

# Plasma profiles and their control

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# Outline I

## ① Profile control

## ② Tokamak profile dynamics

Magnetic field diffusion

Kinetic transport

Full Tokamak Simulators

## ③ Profile control

Actuators

Sensors

Control

# Section 1

## Profile control

# Introduction

- Plasma held place by TF+PF coils, magnetic equilibrium described by Grad-Shafranov equation. To determine equilibrium we need to know the internal profiles  $p'(\psi)$ ,  $T(\psi) = RB_\phi$ .
- But what determines this internal pressure and poloidal current distribution?

# Introduction

- Plasma held place by TF+PF coils, magnetic equilibrium described by Grad-Shafranov equation. To determine equilibrium we need to know the internal profiles  $p'(\psi)$ ,  $T(\psi) = RB_\phi$ .
- But what determines this internal pressure and poloidal current distribution?
- We will discuss *internal* evolution (=transport) of current, particles and energy.
  - 1D problem: transport  $\parallel \mathbf{B}$  is  $\infty$ -fast, only transport  $\perp \mathbf{B}$  evolves slowly. (Q:How slowly?)
  - *Plasma profiles*: important for stability and performance.
  - We will (roughly) derive 1D transport equations for  $j$ ,  $T$ ,  $n$ .
- What are the actuators? How do they affect profile evolution?

## Section 2

# Tokamak profile dynamics

# Subsection 1

## Magnetic field diffusion

## B field diffusion in resistive medium

- Ohm's law for resistive MHD:

$$\mathbf{E} + \mathbf{v} \times \mathbf{B} = \eta \mathbf{j} \quad (1)$$

assume  $\mathbf{v} = 0$ ,  $\eta = cst$  and use  $\nabla \times \mathbf{B} = \mu_0 \mathbf{j}$

$$\mathbf{E} = \frac{\eta}{\mu_0} (\nabla \times \mathbf{B}) \quad (2)$$

Take  $\nabla \times$  both sides and use  $\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$

$$\frac{\partial \mathbf{B}}{\partial t} = -\frac{\eta}{\mu_0} \nabla \times (\nabla \times \mathbf{B}) = \frac{\eta}{\mu_0} \nabla^2 \mathbf{B} \quad (3)$$



## Typical time scales

$$\frac{\partial \mathbf{B}}{\partial t} = \frac{\eta}{\mu_0} \nabla^2 \mathbf{B} \quad (4)$$

- Superconducting case:  $\eta = 0 \rightarrow \frac{\partial \mathbf{B}}{\partial t} = 0$  (Field frozen in medium)
- Conducting case, typical time scales depend on resistivity and system size  $\tau = \frac{\mu_0 L^2}{\eta}$

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- Exercise:
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    - TCV:  $L = 0.25\text{m}$ ,  $T_e = 1\text{keV}$ ,  $t_{\text{shot}} = 2\text{s}$
    - JET:  $L = 1\text{m}$ ,  $T_e = 3\text{keV}$ ,  $t_{\text{shot}} = 12\text{s}$
    - ITER:  $L = 2\text{m}$ ,  $T_e = 5\text{keV}$ ,  $t_{\text{shot}} = 300\text{s}$

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Answer:

- TCV: 0.14s
- JET: 11.4s
- ITER: 100s

NB: Slow internal dissipation of **B** field can not be ignored.

# Diffusion of poloidal flux in tokamak

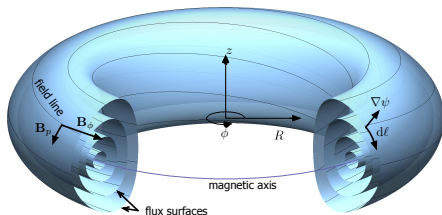
- Sketch of derivation. Full derivations in [1], [2], [3]
- Write Ohm's law (without flows) with conductivity  $\sigma = 1/\eta$

$$\underbrace{\mathbf{j}}_{\text{total current}} = \underbrace{\sigma \mathbf{E}}_{\text{inductive/Ohmic current}} + \underbrace{\mathbf{j}_{ni}}_{\text{non-inductive current}} \quad (5)$$

- Project in direction  $\parallel \mathbf{B}$  and average over a flux surface (from Grad-Shafranov equilibrium)

$$\langle \mathbf{j} \cdot \mathbf{B} \rangle = \sigma_{\parallel} \langle \mathbf{E} \cdot \mathbf{B} \rangle + \langle \mathbf{j}_{ni} \cdot \mathbf{B} \rangle \quad (6)$$

# Diffusion of poloidal flux in tokamak



- $\psi(R, Z) = \int \mathbf{B} \cdot d\mathbf{A}_Z$ , loci of constant  $\psi$  define flux surfaces.
- Define toroidal flux through a flux surface:

$$\Phi = \int \mathbf{B} \cdot d\mathbf{A}_\phi = \int B_\phi dA_\phi, \quad (7)$$

associate radial metric  $\rho = \sqrt{\Phi}$  and  $\rho_N = \rho/\rho_b$  ( $b = \text{boundary}$ )

# Diffusion of poloidal flux in tokamak

## Exercise:

- Show that in the case of circular cross section, large aspect ratio ( $R/a \rightarrow \infty$ ) and low plasma current ( $B_\phi \approx B_0$ ) it holds that  $\rho_N = r/a$ , where  $r$  is the geometric radius and  $a$  is the radius of the LCFS.

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## Solution:

- $B_\phi = B_0$ , circular cross section, then  $\Phi = \pi r^2 B_0$  and  $\rho = r\sqrt{\pi B_0}$ ,  $\rho_{edge} = a\sqrt{\pi B_0}$  so  $\rho_N = r/a$



# Flux surface averaging

Volume:

$$V = \iiint dV = \iiint R d\phi \frac{d\psi}{|\nabla\psi|} d\ell_p = \int \left( \oint \frac{d\ell_p}{B_p} \right) d\psi \quad (8)$$

$$\frac{\partial V}{\partial \psi} = \oint \frac{d\ell_p}{B_p} \quad (9)$$

Flux Surface average of Q:

$$\langle Q \rangle \equiv \frac{\partial}{\partial V} \iiint Q dV = \frac{\partial \psi}{\partial V} \frac{\partial}{\partial \psi} \int \oint Q \frac{2\pi R}{|\nabla\psi|} d\ell d\psi$$

Recalling  $\frac{|\nabla\psi|}{2\pi R} = |B_p|$ , we get

$$\langle Q \rangle \equiv \frac{\partial}{\partial V} \int Q dV = \oint Q \frac{d\ell_p}{B_p} / \oint \frac{d\ell_p}{B_p} \quad (10)$$

## A useful relation

$$\begin{aligned}
 \langle \nabla \cdot \mathbf{F} \rangle &= \frac{\partial}{\partial V} \int (\nabla \cdot \mathbf{F}) dV = \frac{\partial}{\partial V} \int (\nabla \cdot \mathbf{F}) R d\phi d\ell_p \frac{d\psi}{|\nabla \psi|} \\
 &= \frac{\partial}{\partial V} \oint \mathbf{F} \cdot \frac{\nabla V}{|\nabla V|} R d\phi d\ell_p \quad (\text{by Gauss}) \\
 &= \frac{\partial}{\partial V} 2\pi \oint \mathbf{F} \cdot \nabla V \frac{\partial \psi}{\partial V} \frac{R d\ell_p}{|\nabla \psi|} \\
 &= \frac{\partial}{\partial V} \langle \mathbf{F} \cdot \nabla V \rangle
 \end{aligned} \tag{11}$$

## Poloidal flux transport

Consider a surface of constant poloidal flux, of which each point of the boundary is moving with velocity  $\mathbf{u}_\psi$ . For this surface we can state

$$\frac{\partial \psi}{\partial t} + \mathbf{u}_\psi \cdot \nabla \psi = 0 \quad (12)$$

Now for a general scalar field  $F(t, x, y, z)$  define scalar  $H(t) = \int_V F dV$  where  $V$  is the volume enclosed by a flux surface  $\psi = cst$  moving with speed  $\mathbf{u}_\psi$ , then

$$\left. \frac{\partial}{\partial t} H \right|_{\psi=cst} = \int_V \frac{\partial F}{\partial t} dV + \oint_S F \mathbf{u}_\psi \cdot d\mathbf{S}_\psi = \int_V \frac{\partial F}{\partial t} dV + \oint_S F \mathbf{u}_\psi \cdot \frac{\nabla \psi}{|\nabla \psi|} dS_\psi \quad (13)$$

## Toroidal flux evolution

Using this equation, we can express the time rate of change of toroidal flux  $\Phi$  enclosed by a surface  $S$  of constant poloidal flux  $\psi = cst$  as:

$$\begin{aligned} \left. \frac{\partial \Phi}{\partial t} \right|_{\psi=cst} &= \frac{1}{2\pi} \frac{\partial}{\partial t} \int_V \mathbf{B} \cdot \nabla \phi dV \\ &= \frac{1}{2\pi} \int_V \frac{\partial \mathbf{B}}{\partial t} \cdot \nabla \phi dV + \frac{1}{2\pi} \oint_S (\mathbf{B} \cdot \nabla \phi) (\mathbf{u}_\psi \cdot \nabla \psi) \frac{dS}{|\nabla \psi|} \end{aligned} \quad (14)$$

Which can be rewritten, using Faraday's law and Gauss' theorem (details in [3]) as:

$$\left. \frac{\partial \Phi}{\partial t} \right|_{\psi=cst} = - \oint_S (\mathbf{E} \cdot \mathbf{B}_p + B_\phi E_\phi) \frac{dS}{|\nabla \psi|} = - \oint_S (\mathbf{E} \cdot \mathbf{B}) \frac{dS}{|\nabla \psi|} \quad (15)$$

$$= - \frac{\partial V}{\partial \psi} \langle \mathbf{E} \cdot \mathbf{B} \rangle \quad (16)$$

## Rate of change of poloidal flux

This can be related to the time rate of change of the poloidal flux as follows:

$$\left. \frac{\partial \psi}{\partial t} \right|_{\Phi=cst} = \frac{\partial \psi}{\partial V} \frac{\partial V}{\partial \Phi} \frac{\partial \Phi}{\partial t} \bigg|_{\psi=cst} \quad (17a)$$

$$\left. \frac{\partial \psi}{\partial t} \right|_{\rho} + \frac{\partial \psi}{\partial \rho} \frac{\partial \rho}{\partial t} \bigg|_{\Phi} = - \frac{\partial V}{\partial \Phi} \langle \mathbf{E} \cdot \mathbf{B} \rangle \quad (17b)$$

$$\left. \frac{\partial \psi}{\partial t} \right|_{\rho} - \frac{\rho \dot{\Phi}_b}{2\Phi_b} \frac{\partial \psi}{\partial \rho} = - \frac{\partial V}{\partial \Phi} \langle \mathbf{E} \cdot \mathbf{B} \rangle \quad (17c)$$

# Ohm's law

Back to the parallel Ohm's law:

$$\langle \mathbf{j} \cdot \mathbf{B} \rangle = \sigma_{\parallel} \langle \mathbf{E} \cdot \mathbf{B} \rangle + \langle \mathbf{j}_{ni} \cdot \mathbf{B} \rangle \quad (18)$$

where  $\mathbf{j}_{ni} = \mathbf{j}_{bs} + \mathbf{j}_{cd}$  is the non-inductive component of the current density, decomposed into bootstrap (plasma self-driven) and current drive (externally driven) parts. Equivalently:

$$\sigma_{\parallel} \frac{\langle \mathbf{E} \cdot \mathbf{B} \rangle}{B_0} = \frac{\langle \mathbf{j} \cdot \mathbf{B} \rangle}{B_0} - \frac{\langle \mathbf{j}_{bs} \cdot \mathbf{B} \rangle}{B_0} - \frac{\langle \mathbf{j}_{cd} \cdot \mathbf{B} \rangle}{B_0} \quad (19)$$

$$\text{or } \sigma_{\parallel} E_{\parallel} = j_{\parallel} - j_{bs} - j_{cd} \quad (20)$$

- We have an expression for the LHS term involving  $\langle \mathbf{E} \cdot \mathbf{B} \rangle$ , from (17c)
- The non-inductive terms can be computed from current drive models directly
- Last piece: expression for  $j_{\parallel}$  as a function of  $\psi(\rho)$

## Parallel current

As a final step we need to rewrite the term  $j_{\parallel} = \frac{\langle \mathbf{j} \cdot \mathbf{B} \rangle}{B_0}$  in terms of  $\psi$ :

$$\frac{\langle \mathbf{j} \cdot \mathbf{B} \rangle}{B_0} = \underbrace{\frac{F}{2\pi\mu_0 B_0} \langle \nabla \cdot (\nabla \psi / R^2) \rangle}_{j_{\phi} B_{\phi}} + \underbrace{\frac{1}{2\pi\mu_0 B_0} \langle \nabla F \cdot \nabla \psi / R^2 \rangle}_{\mathbf{j}_p \cdot \mathbf{B}_p} \quad (21)$$

$$\frac{\langle \mathbf{j} \cdot \mathbf{B} \rangle}{B_0} = \frac{F^2}{16\pi^4 \mu_0 \Phi_{b\rho}^2} \frac{\partial}{\partial \rho} \left( \frac{g_2 g_3}{\rho} \frac{\partial \psi}{\partial \rho} \right) \quad (22)$$

Where we introduced  $F(\psi) = RB_{\phi}$ ,  $g_2 = \left( \frac{\partial V}{\partial \psi} \right)^2 \left\langle \frac{|\nabla V|^2}{R^2} \right\rangle$ ,  $g_3 = \langle 1/R^2 \rangle$   
*(Geometric profiles)*

# Flux Diffusion: Sketch of derivation

$$\sigma_{\parallel} \left( \frac{\partial \psi}{\partial t} - \frac{\rho \dot{\Phi}_b}{2\Phi_b} \frac{\partial \psi}{\partial \rho} \right) = \frac{T^2}{16\pi^4 \mu_0 \Phi_b^2 \rho} \frac{\partial}{\partial \rho} \left( \frac{g_2 g_3}{\rho} \frac{\partial \psi}{\partial \rho} \right) - \frac{B_0 V'}{2\Phi_b \rho} j_{ni} \quad (23)$$

Where:

- $g_2 = \left( \frac{\partial V}{\partial \psi} \right)^2 \left\langle \frac{|\nabla \psi|^2}{R^2} \right\rangle$ ,  $g_3 = \langle 1/R^2 \rangle$  (*Flux surface averages*)
- $V' = dV/d\rho$ . (*Volume derivative*)
- $T = RB_{\phi} = R_0 B_0 + \text{small correction due to poloidal currents.}$
- $\sigma_{\parallel}$  is the conductivity (roughly  $\sim T_e^{3/2}$ )
- $j_{ni}$  is non-inductive current drive (self-generated, or from auxiliary sources)



# Flux Diffusion: Final result

$$\sigma_{\parallel} \left( \frac{\partial \psi}{\partial t} - \frac{\rho \dot{\Phi}_b}{2\Phi_b} \frac{\partial \psi}{\partial \rho} \right) = \frac{T^2}{16\pi^4 \mu_0 \Phi_b^2 \rho} \frac{\partial}{\partial \rho} \left( \frac{g_2 g_3}{\rho} \frac{\partial \psi}{\partial \rho} \right) - \frac{B_0 V'}{2\Phi_b \rho} j_{ni} \quad (24)$$

Recall: (Ohmic  $\mathbf{j}$ ) = (Total  $\mathbf{j}$ ) - (non-inductive  $\mathbf{j}$ )

Special cases:

- $j_{ni} = 0$ : "Ohmic" plasma: all current sustained by inductive part (LHS). Requires  $\frac{d\psi}{dt} > 0 \forall t$ .
- $\frac{d\psi}{dt} = \text{constant}$ : *stationary state*.  $\frac{\partial}{\partial \rho} \frac{\partial \psi}{\partial t} = \frac{\partial}{\partial t} \frac{\partial \psi}{\partial \rho} = 0$ . Fixed plasma current profile (shape) but not steady-state.
- $\frac{d\psi}{dt} = 0$ : fully *steady-state* plasma. Plasma current sustained entirely by  $j_{ni}$  **desired for reactor**

## Boundary conditions for poloidal flux

Boundary conditions:

- Impose total plasma current

$$\left[ \frac{g_2 g_3}{\rho} \frac{\partial \psi}{\partial \rho} \right]_{\rho=\rho_b} = I_p(t). \quad (25)$$

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Interpretation:

- To have nonzero  $I_p$  we need  $\left[ \frac{g_2 g_3}{\rho} \frac{\partial \psi}{\partial \rho} \right]_{\rho=\rho_b} \neq 0$ , but  $\psi(\rho)$  evolution is governed by diffusion equation that evolves to  $\frac{\partial \psi}{\partial \rho} = 0$ . How do we maintain  $I_p$ ?

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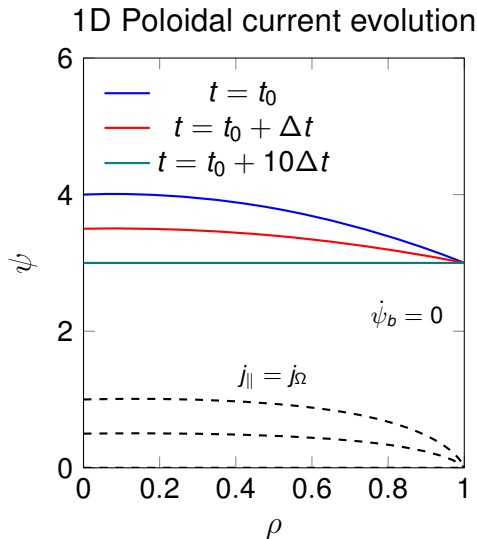
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- Two possibilities
  - Time-varying  $\psi(\rho_b)$  (induced current)
  - Use source term (non-inductive current)

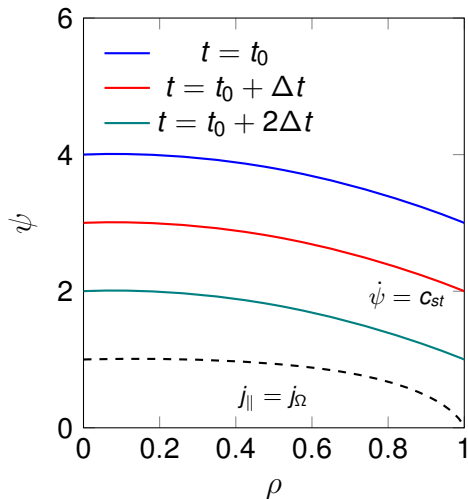
# Flux evolution without inductive current drive



- Start with initial condition for  $\psi(\rho)$  s.t.  $j_{||} > 0$
- Diffusion tends to flatten  $\psi(\rho)$
- $j_{||}$  (current) decays in time

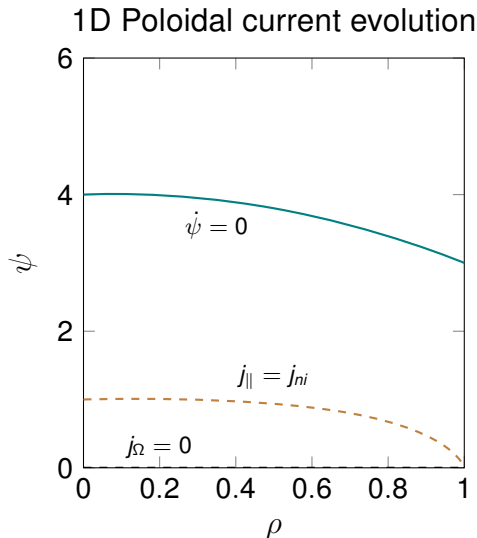
# Flux evolution for inductive current drive

## 1D Poloidal current evolution



- Start with initial condition for  $\psi(\rho)$  s.t.  $j_{||} > 0$
- If  $\dot{\psi} = c_{st}$  current profile stays the same.
- Can maintain a non-flat  $\psi(\rho)$  (non-zero  $j_{||}$ ) despite diffusion.
- Needs time-varying  $\dot{\psi}_b$  (Transformer effect!)

# Flux evolution for fully-non-inductive current drive



- Start with initial condition for  $\psi(\rho)$  s.t.  $j_{\parallel} > 0$
- Assume current is fully carried by non-inductive current  $j_{\parallel} - j_{ni} = 0$
- Can maintain a non-flat  $\psi(\rho)$  (non-zero  $j_{\parallel}$ ) despite diffusion.
- Maintains  $\dot{\psi}_b = 0$  (No Transformer!) and  $\psi = 0$  steady state

## Question: response to step in auxiliary current drive

Simplified flux diffusion equation

$$\sigma_{||} \frac{\partial \psi}{\partial t} = \frac{F^2}{(2\pi)^4 \mu_0 B_0^2 \rho} \frac{\partial}{\partial \rho} \left( \frac{g_2 g_3}{\rho} \frac{\partial \psi}{\partial \rho} \right) - \frac{V'}{2\pi \rho} j_{ni} \quad (26)$$

- Question:

- Suppose we are in a stationary state with  $\frac{\partial \psi}{\partial t} = c$ .
- Qualitatively, what happens if we instantaneously increase  $j_{ni}$  using a (spatially) narrow current drive actuator?
- Does the current density profile change instantaneously?
- Which physical law does this example represent?



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- Answer:

- We can not change the 1st term on the LHS since its time evolution is governed by (26) itself! Thus, instantaneously, only the ohmic current density  $\sim \frac{\partial \psi}{\partial t}$  changes.
- This is an example of Lenz's law: an inductive circuit resists a change in flux. Also called "back-EMF".

## Other measures of current distribution

- In practice, physicists like to work with  $q$  profile since it is indicative of MHD stability.

$$q(\psi) = \frac{1}{2\pi} \oint \frac{1}{R} \frac{B_\phi}{B_\rho} d\ell = \frac{T(\psi)}{2\pi} \oint \frac{d\ell}{R^2 B_\rho} \quad (27)$$

It can also be shown that  $q \equiv \frac{\partial \Phi}{\partial \psi}$ .

- Plasma stability, performance, transport is influenced by  $q$  and its spatial derivative the *magnetic shear*  $s = \frac{\rho}{q} \frac{\partial q}{\partial \rho}$ .

## Subsection 2

### Kinetic transport

# Thermal energy transport equation

- Diffusive transport law for energy density  $W = k_B T_e n_e$

$$\frac{\partial W}{\partial t} + \frac{1}{\rho} \frac{\partial}{\partial \rho} \Gamma = \text{Sources} \quad (28)$$

with diffusive flux  $\Gamma = \rho D \frac{\partial W}{\partial \rho}$  with diffusion coefficient  $D$ .

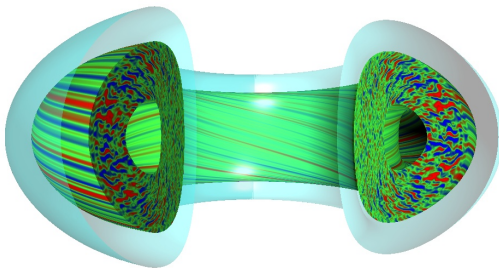
- In toroidal geometry, this is more complicated. For each species:

$$\frac{3}{2} (V')^{-5/3} \left( \frac{\partial}{\partial t} \left[ (V')^{5/3} n_\alpha T_\alpha \right] \right) + \frac{1}{V'} \frac{\partial}{\partial \rho} \left( q_\alpha + \frac{5}{2} T_\alpha \Gamma_\alpha \right) = P_\alpha \quad (29)$$

- $V' = \frac{\partial V}{\partial \rho}$  (geometry)
- Similarly for particle transport
- For each species ( $e^-$ ,  $i^+$ , impurities)!

# Transport coefficients

- Diffusive/advective fluxes in plasma depend in a complicated way on the plasma itself, nonlinear multi-scale *turbulence*.
- For modeling/control purposes, must resort to simple models.
- Recent breakthrough: Neural Network emulation of Gyrokinetic fluxes from the QuaLiKiz quasilinear gyrokinetic code [4], [5]. Speedup from 1 hour to 1 ms per time step.



**Figure:** Gyrokinetic simulations of tokamak turbulence. Source: GYRO/PPPL

# Models for diffusive and convective flux

- Transport equation, for species  $\alpha$ :

$$\frac{3}{2}(V')^{-5/3} \left( \frac{\partial}{\partial t} \left[ (V')^{5/3} n_{\alpha} T_{\alpha} \right] \right) + \frac{1}{V'} \frac{\partial}{\partial \rho} \left( q_{\alpha} + \frac{5}{2} T_{\alpha} \Gamma_{\alpha} \right) = P_{\alpha} \quad (30)$$

where  $q_{\alpha}$  is the diffusive flux and  $\Gamma_{\alpha}$  is the convective flux.

$$\frac{q_{\alpha}}{n_{\alpha} T_{\alpha}} = -V' G_1 \langle (\nabla \psi)^2 \rangle \sum_{\beta \in \text{all species}} \left( \chi_{\alpha\beta}^T \frac{1}{T_{\beta}} \frac{\partial T_{\beta}}{\partial \rho} + \chi_{\alpha\beta}^n \frac{1}{n_{\beta}} \frac{\partial n_{\beta}}{\partial \rho} \right) \quad (31)$$

$$\frac{\Gamma_{\alpha}}{n_{\alpha}} = -V' G_1 \langle (\nabla \psi)^2 \rangle \sum_{\beta \in \text{all species}} \left( D_{\alpha\beta}^T \frac{1}{T_{\beta}} \frac{\partial T_{\beta}}{\partial \rho} + D_{\alpha\beta}^n \frac{1}{n_{\beta}} \frac{\partial n_{\beta}}{\partial \rho} \right) \quad (32)$$

## Qualitative picture of transport coefficients

- Accurate and simple models for thermal transport do not exist (yet). We can only make some qualitative statements.
- Usually diagonal terms are dominant, e.g.  $\chi_{ee}$  for electrons.
- Usually diffusive terms are assumed dominant.  $D_{\alpha\beta} = 0$
- Then  $T_e$  diffusion equation becomes, e.g.

$$\frac{3}{2}(V')^{-5/3} \left( \frac{\partial}{\partial t} \left[ (V')^{5/3} n_e T_e \right] \right) = \frac{1}{V'} \frac{\partial}{\partial \rho} \left( V' G_1 \chi_{ee} n_e \frac{\partial T_e}{\partial \rho} \right) + P_e \quad (33)$$

## Qualitative picture of transport coefficients

- Higher plasma current gives more confinement.
- Transport is 'stiff'. Above a critical  $\frac{\nabla T_e}{T_e}$ ,  $\chi_e$  increases drastically. Increasing  $\frac{\nabla T_e}{T_e}$  above this threshold requires much more power (limited by actuators).
- High magnetic shear and low  $q$  are 'good' (low transport). Volume-averaged  $\langle s/q \rangle$  [6]
- Shear close to 0 or negative is also good, can give 'internal transport barriers' (ITBs). e.g. [7], [8]



# Sources and sinks for thermal energy

- Sources (spatially dependent)
  - Ohmic heating power (resistivity)  $\sim \langle \mathbf{j} \cdot \mathbf{E} \rangle$
  - Local heat deposition by auxiliary systems. Compute using specialized codes like TORBEAM (EC) [9], RABBIT (NBI) [10]...
  - Collisional energy exchange with other species ( $\alpha$  particles!)
- Sinks (spatially dependent)
  - Radiation losses (line radiation, cyclotron radiation, Bremsstrahlung)
  - Conduction (loss to the wall)
  - Collisional energy exchange with other species.

## Subsection 3

### Full Tokamak Simulators

## Coupling to 2D equilibrium

In reality, 1D transport is tightly coupled to 2D equilibrium (Grad-Shafranov). Geometric factors  $g_2$ ,  $g_3$  come from 2D  $\psi(R, Z)$  distribution

- Given a pressure and current distribution, GS equation determines 2D equilibrium. Very fast (Alfven =  $\mu\text{s}$ ) timescales
- Given a 2D equilibrium geometry, 1D transport equations evolve distribution of current and pressure. Confinement time scales vs current redistribution time scales. ITER:  $\tau_E \sim 5\text{s}$ ,  $\tau_{\text{crt}} \sim 100\text{s}$ .

In practice: strongly nonlinear coupling between two sets of equations.

- Some good simulators exist (ASTRA-SPIDER, DINA, CORSICA, JINTRAC).
- Alternatives: solve transport equations in equilibrium solver directly (NICE)

# State-of-the-art of full tokamak simulators

We saw most of the components of a full tokamak simulator:

- Magnetic equilibrium
- Flux + Energy transport + particle transport w Sources/Sinks
- MHD 'events' (sawteeth, NTM) + MHD limits.
- Models for actuators and diagnostics

Several codes or 'code suites' exist to solve these coupled systems of equations representing a 'Full Tokamak Simulator'

- JINTRAC-DINA (ITER) [11]
- FENIX (=ASTRA+SPIDER) (IPP Garching) [12]
- various others in USA, Japan, Korea...

# Section 3

## Profile control

# Subsection 1

## Actuators

# Actuators

- Poloidal flux (current distribution)
  - $I_p$  (boundary)
  - $\sigma_{||}$  or  $j_{BS}$  (heating changes resistivity or  $BS$  current)
  - $j_{aux}$  (direct source).
  - Shape changes.
- Thermal transport:
  - Heating (direct source)
  - Change of transport via changing turbulence  $q, s, \nabla T_e, \nabla n_e, \dots$
- Particle transport
  - Gas (edge)
  - Neutral beam (internal source)
  - Change particle transport.

## Subsection 2

### Sensors



# Sensors (diagnostics) for profile control

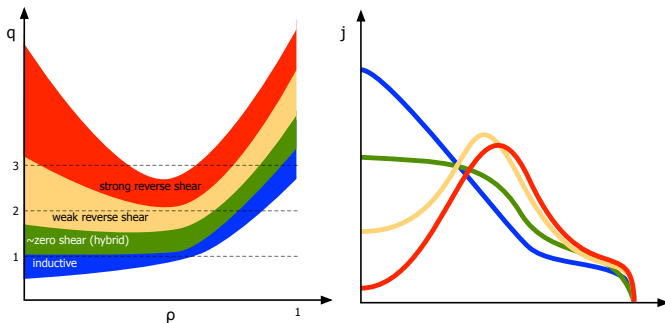
- Kinetic profiles: ECE, Thomson, interferometry, ...
- Magnetic profiles: MSE, Polarimetry, ...
- 2D equilibrium: RT equilibrium reconstruction, ...
- Different sampling rates, varying radial location of measurements, technically challenging diagnostics.
- How to merge information from various sources? *State observers* (Next lecture)

## Subsection 3

### Control

# Control Objectives

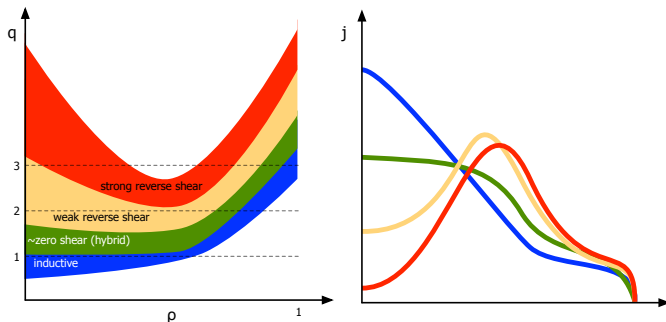
- Obtain plasma with desired profile shapes (“scenario”), possibly in stationary condition, at end of current ramp-up.



- Q: why is the inductive current profile peaked?

# Control Objectives

- Obtain plasma with desired profile shapes (“scenario”), possibly in stationary condition, at end of current ramp-up.



- Q: why is the inductive current profile peaked?
- A:  $\sigma_{neo} \sim T_e^{3/2}$

# Control strategies

- Open-loop vs closed-loop control
  - Open-loop: design actuator time trajectories to get desired plasma state. (*everyday practice for tokamak operators who program plasma discharges*)
  - Closed-loop: attempt to track a reference: reject disturbances, robust against model uncertainties, etc (*done very rarely - desired in the future*)
- Both can benefit from model-based design tools

# Model-based open-loop trajectory design

- Solve open-loop trajectory optimization problem
- Include cost function (what you want) and constraints (what is possible)
- Simulation examples in literature: [13] [14], [15] and some have been tested in practice [16].

# Examples of closed-loop profile control

- Non model-based
  - Control  $q_0$  and  $q_{min}$  in DIII-D ramp-up using NBI [17]
  - Plasma regime control by LH power in Tore Supra [Imbeaux EPS 2009]
- Model-based: system identification of linear model around operating point
  - Linear optimal feedback control around set point (JET, DIII-D) [18], [19].
- Model-based: first principle models
  - Model-based controllers tested on DIII-D [20], [21], [22]
  - Lyapunov-based controller design [23]
  - Adaptive model based on linearization [24]
  - Model Predictive Control [25], [26]

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