

# Physics of Life

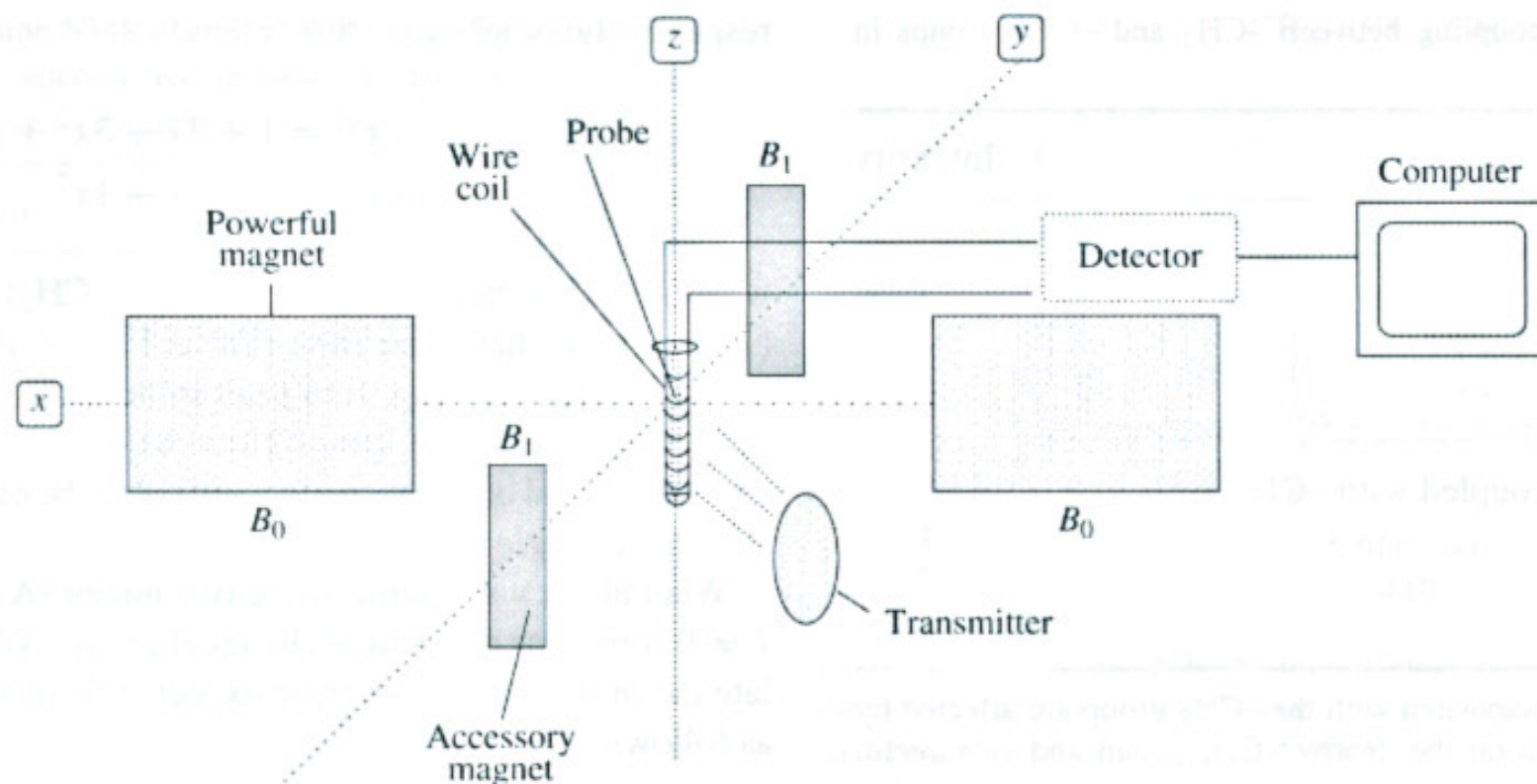
PHYS-468

## **Spectroscopy with NMR and SPR**

Henning Stahlberg,  
LBEM, IPHYS, SB, EPFL

# **NMR Spectrometry**

# NMR Spectrometer



**Figure 3.52.** Outline design of an NMR spectrometer. The sample is placed in a tube called the probe. This is surrounded by wire coil. A powerful magnetic field (of field strength  $B_0$ ) is generated in the  $x$ -axis. Radiofrequency radiation is generated by the transmitter which generates a magnetic field orientated along the  $y$ -axis. When the frequency of this field is the same as the Larmor frequency, the resonance condition is met. Absorption of radiation induces a current in the wire coil along the  $z$ -axis which is electronically detected. This current is proportional to the intensity of absorbance.

The accessory magnet typically needs to produce radiowave frequencies (100MHz to 1000MHz), depending on the magnetic B field strength of the machine.

# Nuclear Magnetic Resonance (NMR) Spectroscopy

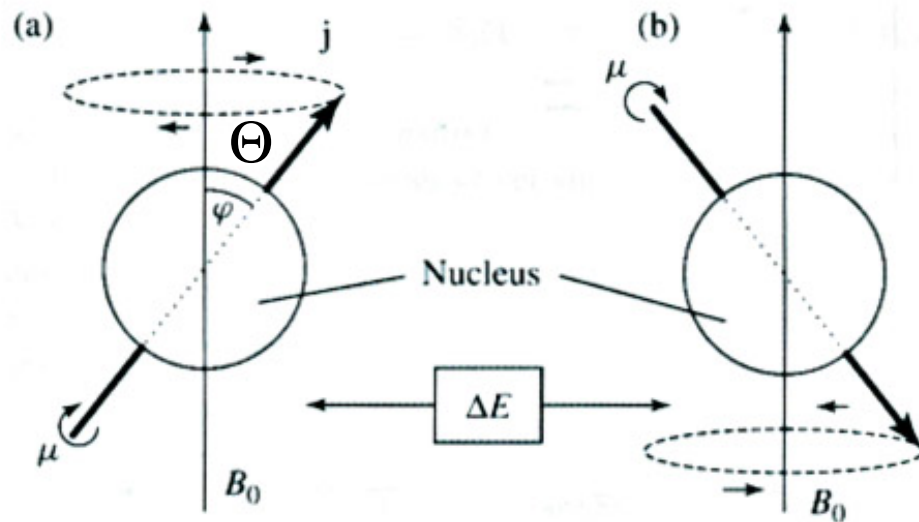
Energy of a wave  
with frequency  $\nu$ :

$$E = h \cdot \nu$$

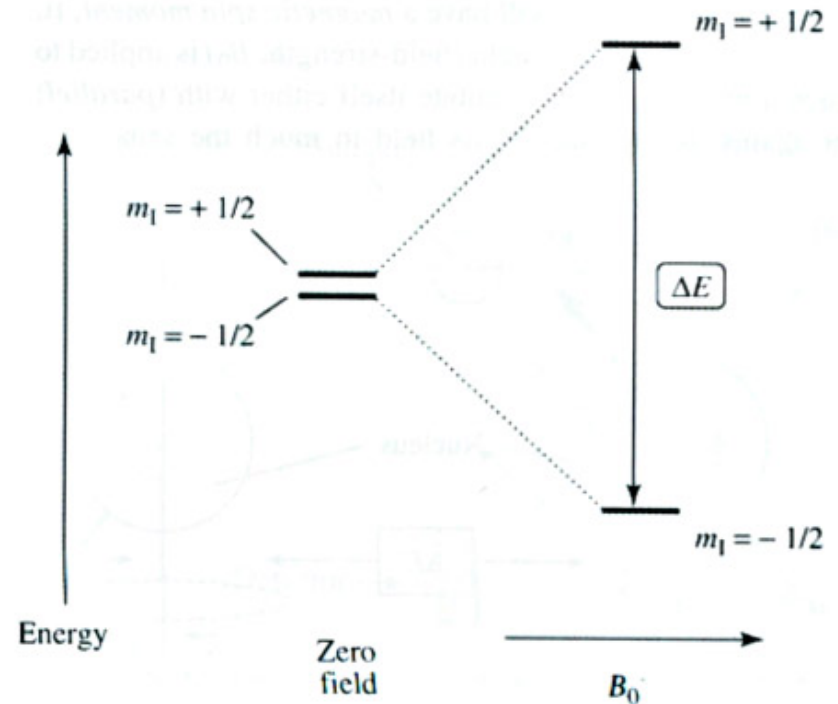
Energy splitting due to  
magnetic field  $B$ :

$$E = \pm \mu \cdot B_0 \cdot \sin \Theta$$

$$\Delta E = 2\mu \cdot B_0 \cdot \sin \Theta$$



**Figure 3.48.** Physical basis of NMR. A spinning nucleus generates a magnetic field with a spin moment,  $\mu$ , which generates an angular momentum,  $j$ . When placed in an external magnetic field ( $B_0$ ), the nucleus can align (a) with or (b) against the field. The difference in energy between these orientations is  $\Delta E$  which corresponds to frequencies in the radiowave part of the spectrum.



**Figure 3.49.** Effect of applied magnetic field on spin states. In the absence of an applied magnetic field (i.e. zero field), the energy difference ( $\Delta E$ ) between the two spin states is very small. The stronger the field strength ( $B_0$ ) of an applied magnetic field becomes the larger  $\Delta E$  (Equation (3.38)).

# Nuclear Magnetic Resonance (NMR) Spectroscopy

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$$\Delta E = 2\mu \cdot B_0 \cdot \sin \Theta$$

Resonance frequency  $\nu$  of an atom  
with spin  $\mu$  in the field  $B$ :

$$\nu = \frac{2\mu \cdot B_0 \cdot \sin \Theta}{h}$$

Since  $\mu$  and  $\sin(\Theta)$  depend on the atom and on the local environment of the atom, the frequency  $\nu$  is also depending on those factors.

Larmor frequency  $\omega$  of resonance condition is:

$$\omega = 2\pi \cdot \nu$$

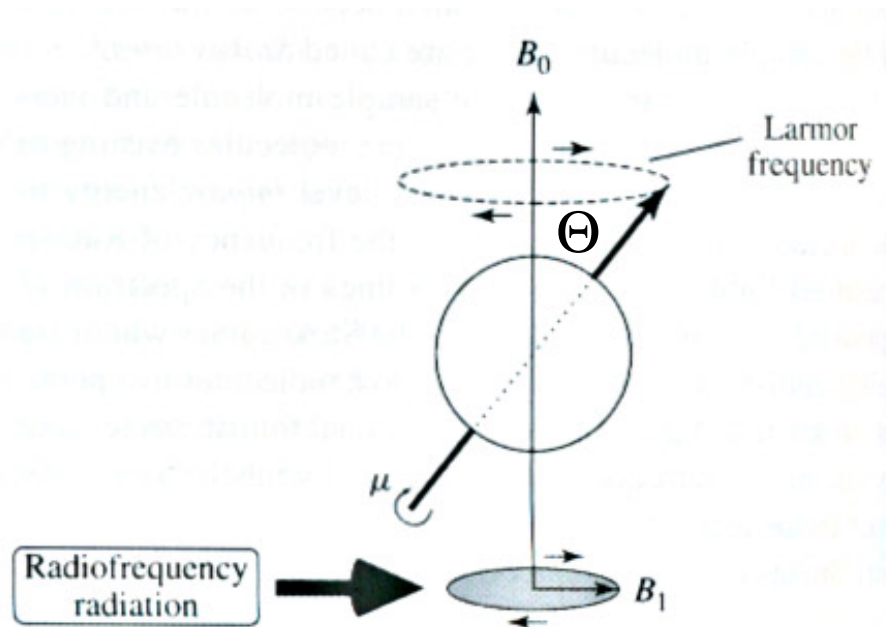
Resonance condition for a specific nucleus:  
(only for nuclei with spin,  
i.e., uneven atomic mass or uneven atomic number,  
such as  $^1\text{H}$ ,  $^{13}\text{C}$ , or  $^{31}\text{P}$ )

$$\Delta E = \frac{\gamma}{2\pi} \cdot B_0$$

$B_0$  = applied magnetic field

$\mu$  = magnetization

$\gamma$  = gyromagnetic ratio of the nucleus (a constant)



**Figure 3.50.** The resonance condition. Exposure of the nucleus to radiofrequency radiation sets up a magnetic field (of field strength,  $B_1$ , shown in grey) which has a frequency of oscillation. The resonance condition occurs when this frequency equals the Larmor frequency of the spin magnetic moment. Transition between spin states only occurs at the resonance condition.

$B_1$  = oscillating magnetic field of nucleus

$B_1 \ll B_0$

# Nuclear Magnetic Resonance (NMR) Spectroscopy

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Since  $\mu$  and  $\sin(\Theta)$  depend on the atom and on the local environment of the atom, the frequency  $\nu$  is also depending on those factors.

**Table 3.6.** Magnetic properties of some nuclei important in biochemistry

Nucleus	$I$	Natural abundance (%)	$\gamma$ rad·s <sup>-1</sup> T <sup>-1</sup>	Resonance Frequency in B field of 14.092 Tesla (MHz)
<sup>1</sup> H	1/2	99.98	26.752	600.0
<sup>2</sup> H	1	0.015	4.107	92.1
<sup>12</sup> C	0	98.9	—	—
<sup>13</sup> C	1/2	1.10	6.7283	150.9
<sup>14</sup> N	1	99.63	1.9338	43.3
<sup>16</sup> O	0	99.76	—	—
<sup>32</sup> S	0	95.02	—	—
<sup>31</sup> P	1/2	100	10.8394	242.9
<sup>35</sup> Cl	3/2	75.77	2.642	58.8
<sup>15</sup> N	1/2	0.37	-2.7126	60.8

$I$  = net spin of nucleus

Larmor frequency  $\omega$  of resonance condition is:

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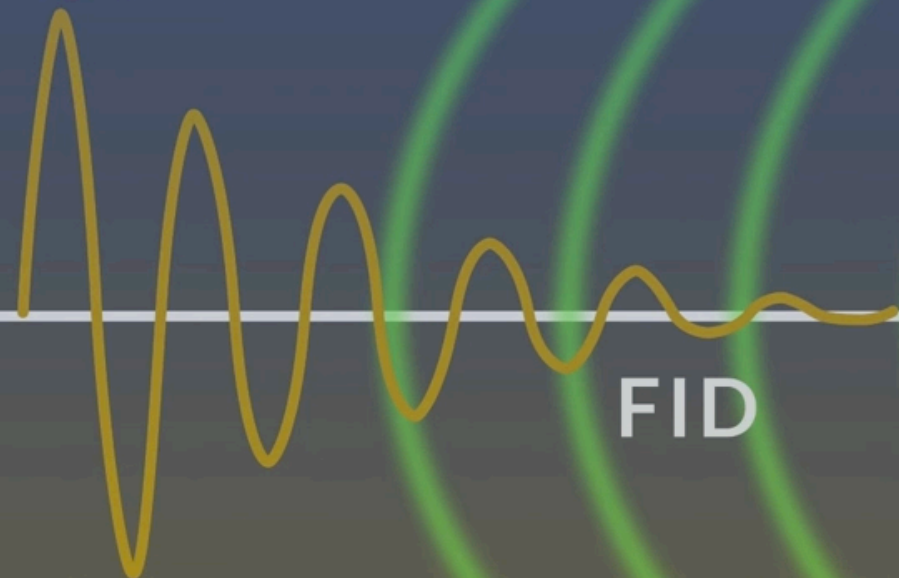
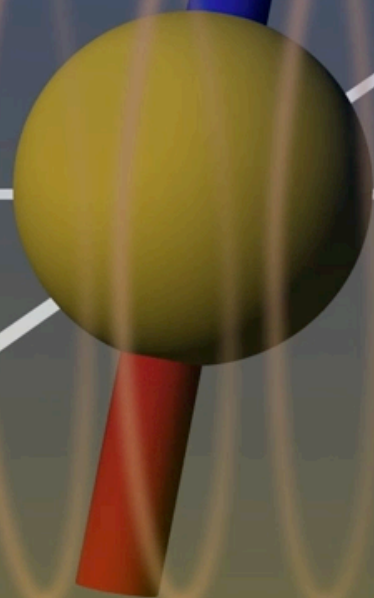
$\gamma$  = gyromagnetic ratio of the nucleus (a constant)



# Proton NMR Spectroscopy

**NMR**  
*nuclear magnetic resonance*

↑  $B_0$



# NMR: Chemical Shielding

Chemical shielding of magnetic field is effective over distances of 3-8Å only.

$$B_{eff} = B_0 \cdot (1 - \sigma)$$

$B_0$  = Initial magnetic field

$\sigma$  = Shielding constant

$B_{eff}$  = Effective magnetic field for nucleus

**Table 3.7.** Proton NMR chemical shift values for some common chemical groups encountered in biomolecules (nucleus under investigation is denoted by arrow) TMS = tetramethylsilane

Chemical group	Chemical shift (ppm)
TMS	0
↓ CH <sub>3</sub> -Metal	-0.5-0
↓ CH <sub>3</sub> -CH <sub>2</sub> -	0.8-1
↓ CH <sub>3</sub> -CH <sub>2</sub> -CH <sub>2</sub> -	1.2-2
↓ CH <sub>3</sub> -C(=O)-	1.8-2.2
↓ H-C≡C-	2.2-3
↓ CH <sub>3</sub> -O-	3.5-4.5
↓ >C=CH <sub>2</sub>	4.7-5.5
↓ -CH=CH-	4.5-7
Benzene	7

E.g., the resonance frequency of the proton in H-C≡C- is shielded by -C≡C- by 2.2 to 3 ppm.

Measuring the absolute Larmor frequency  $\omega$  is difficult.

It is easier to measure a frequency in comparison with that of a reference molecules (TMS), yielding a “chemical shift  $\delta$ ”, in parts per million (ppm):

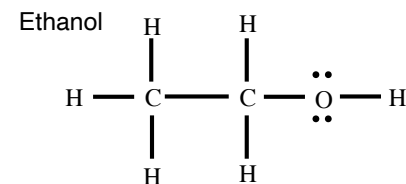
$$\delta = \frac{\nu_S - \nu_{Ref}}{\nu_{Ref}} \cdot 10^6$$

$\delta$  = Chemical shift [ppm]

$\nu_S$  = resonance frequency of sample nucleus

$\nu_{Ref}$  = resonance frequency of reference nucleus

Example: What is the NMR spectrum for Ethanol?





# NMR: Chemical Shielding

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$B_0$  = Initial magnetic field

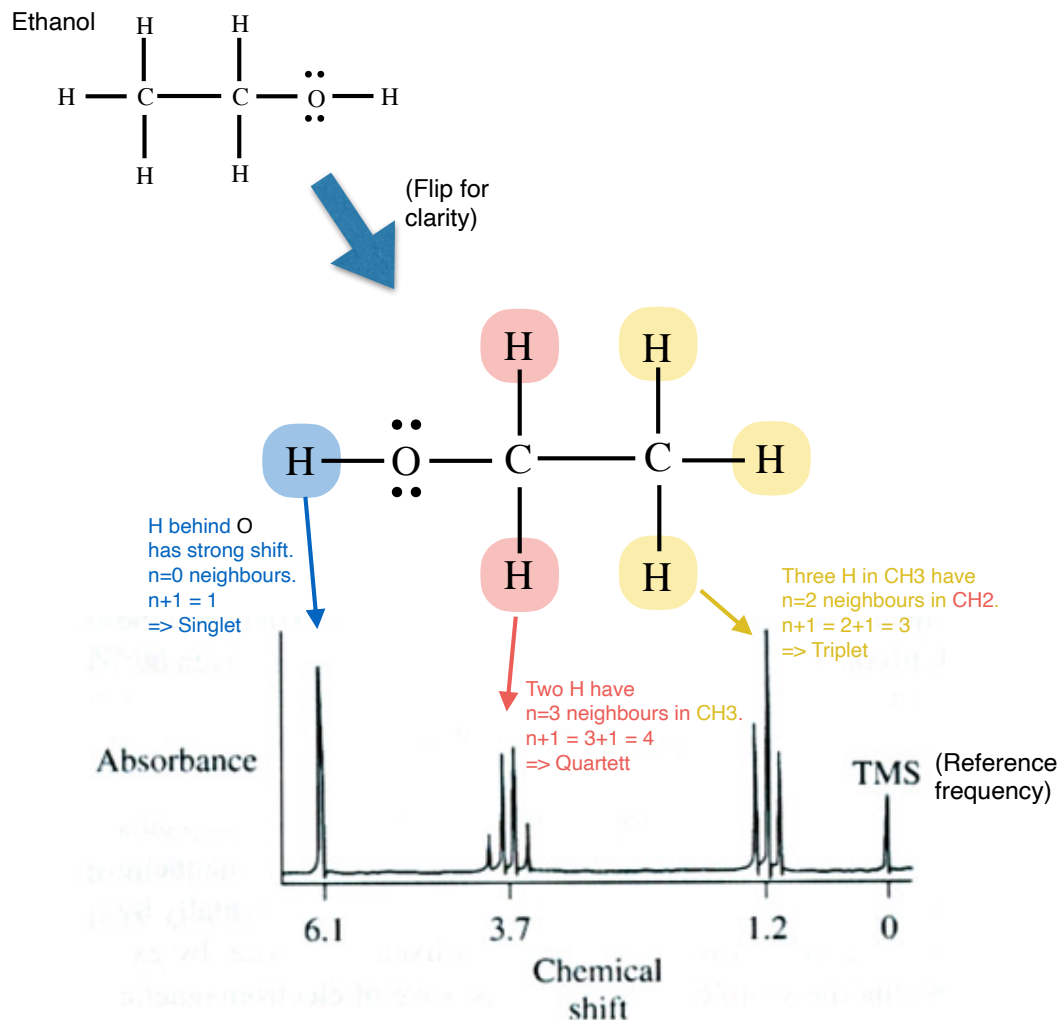
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E.g., the resonance frequency of the proton in H-C≡C- is shielded by -C≡C- by 2.2 to 3 ppm.



**Figure 3.51.** Proton NMR spectrum of ethanol. An NMR spectrum consists of a plot of absorbance intensity versus chemical shift (d). Peaks arising from each of the three types of proton in the structure are labelled. In the case of -CH<sub>3</sub> and CH<sub>2</sub> groups, multiple peaks arise as a result of spin coupling. Butler and Harrod (1989), *Inorganic Chemistry: Principles and Applications*, Addison Wesley Longman, Reproduced with permission.

# NMR: Spin Coupling

Intensities of peaks = coefficients of binomial =  $(1+x)^n$

$$(1+x)^2 = 1 + 2x + 1x^2$$

$$(1+x)^3 = 1 + 3x + 3x^2 + 1x^3$$

$$(1+x)^4 = 1 + 4x + 6x^2 + 4x^3 + 1x^4$$

$$\text{Number of peaks} = (2 \cdot n \cdot I) + 1$$

$n$  = number of nuclei in chemical group

$I$  = spin, (1/2, 1 ...)

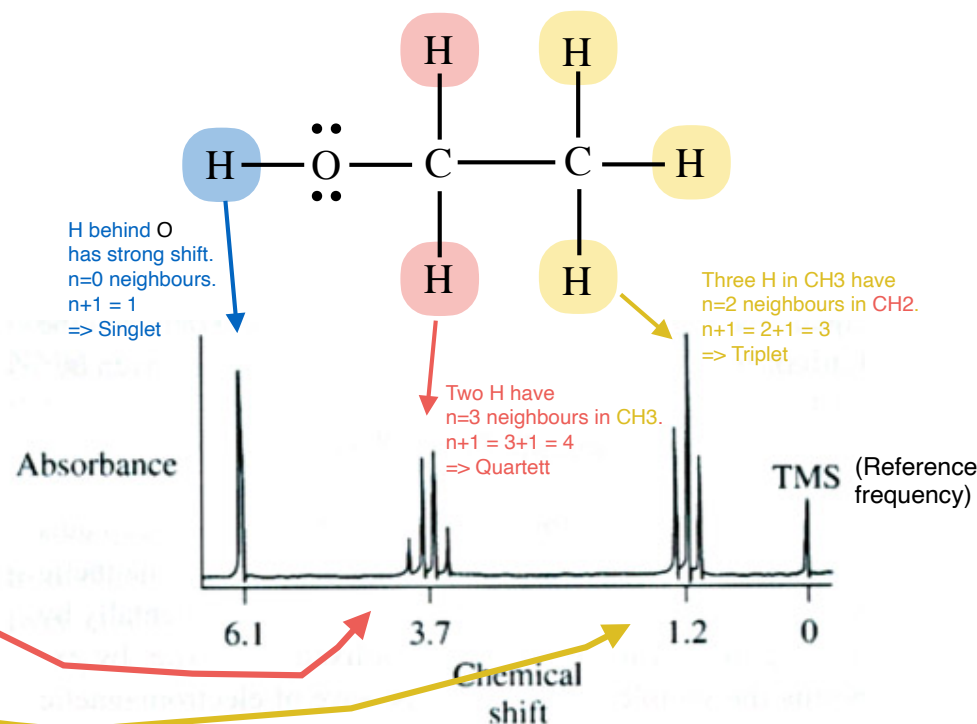
**Table 3.8.** Spin coupling between  $-\text{CH}_2$  and  $-\text{CH}_3$  groups in ethanol

Arrangement	Intensity
<b><math>\text{CH}_2</math> resonances coupled with <math>-\text{CH}_3</math></b>	
All up $\uparrow\uparrow\uparrow$	1
Two up $\uparrow\uparrow\downarrow \uparrow\downarrow\uparrow \downarrow\uparrow\uparrow$	3
One up $\downarrow\uparrow\downarrow \uparrow\downarrow\downarrow \downarrow\downarrow\uparrow$	3
All down $\downarrow\downarrow\downarrow$	1
<b><math>\text{CH}_3</math> resonances coupled with <math>-\text{CH}_2</math></b>	
All up $\uparrow\uparrow$	1
One up $\downarrow\uparrow \uparrow\downarrow$	2
All down $\downarrow\downarrow$	1

*Note:* Resonances associated with the  $-\text{CH}_2$  group are affected by the spins of protons on the nearby  $-\text{CH}_3$  group and *vice versa*. This is called spin-spin coupling. The number and type of possible spin arrangements on the coupled group is shown with arrows. The NMR peak due to the  $-\text{CH}_2$  group splits in the ratio 1 : 3 : 3 : 1 while that due to the  $-\text{CH}_3$  group splits 1 : 2 : 1. This ratio depends on the number of possible spin arrangements in the coupled nuclei.

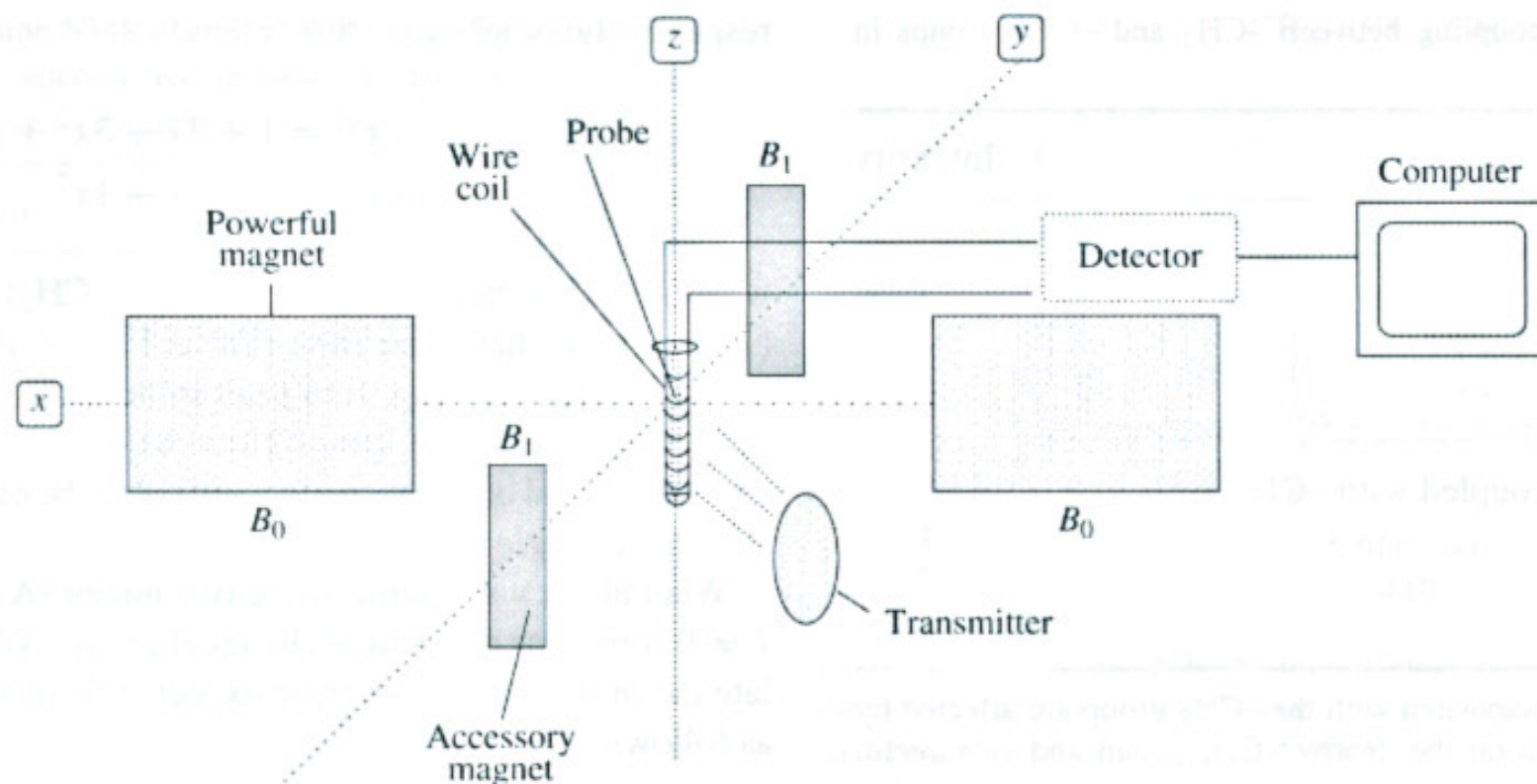
**Table 3.9.** Pascal's triangle

n	Relative intensities
0	1
1	1 : 1
2	1 : 2 : 1
3	1 : 3 : 3 : 1
4	1 : 4 : 6 : 4 : 1
5	1 : 5 : 10 : 10 : 5 : 1
6	1 : 6 : 15 : 20 : 15 : 6 : 1



**Figure 3.51.** Proton NMR spectrum of ethanol. An NMR spectrum consists of a plot of absorbance intensity versus chemical shift (d). Peaks arising from each of the three types of proton in the structure are labelled. In the case of  $-\text{CH}_3$  and  $\text{CH}_2$  groups, multiple peaks arise as a result of spin coupling. Butler and Harrod (1989), *Inorganic Chemistry: Principles and Applications*, Addison Wesley Longman, Reproduced with permission.

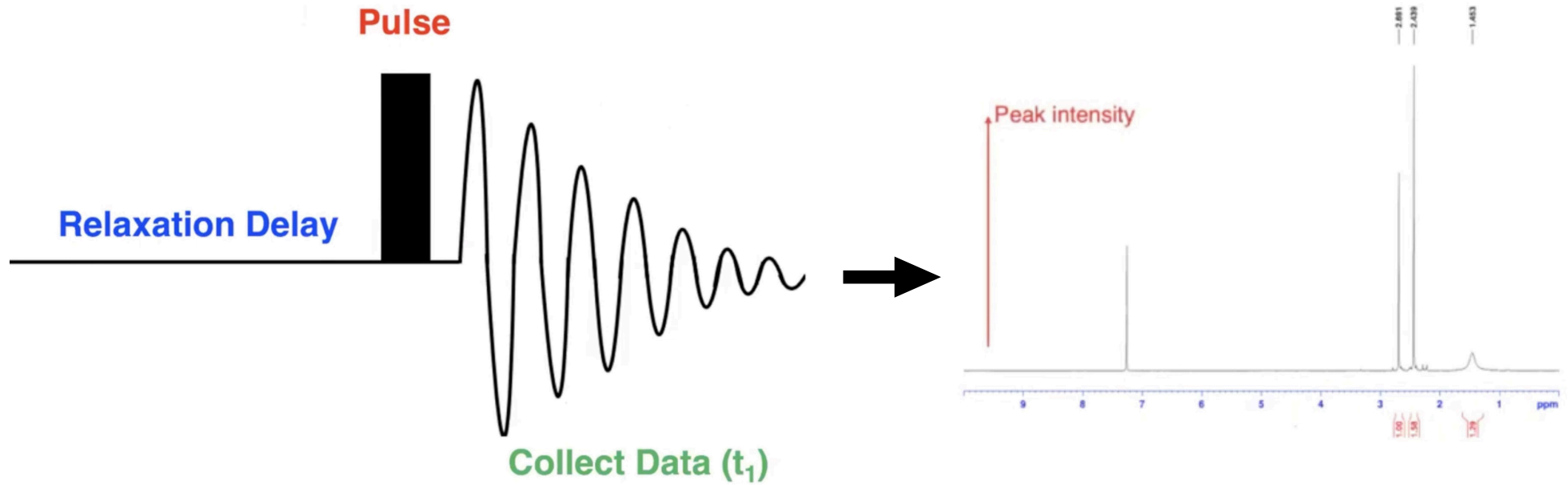
# NMR Spectrometer



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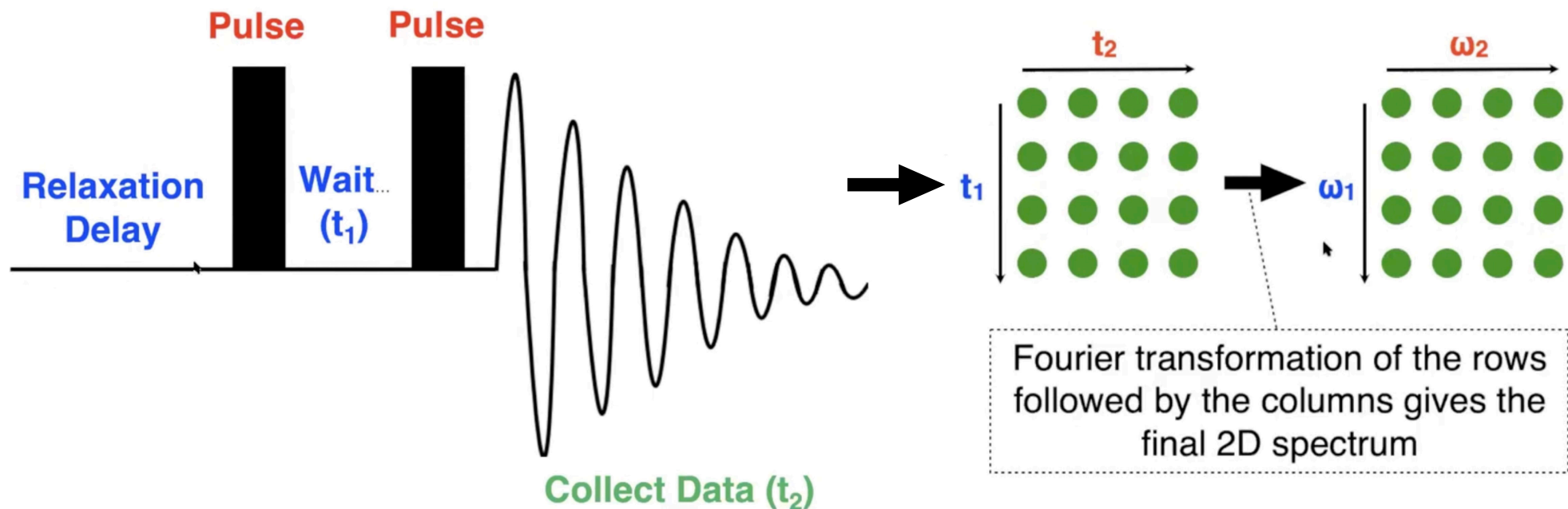
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# 2D NMR Spectroscopy

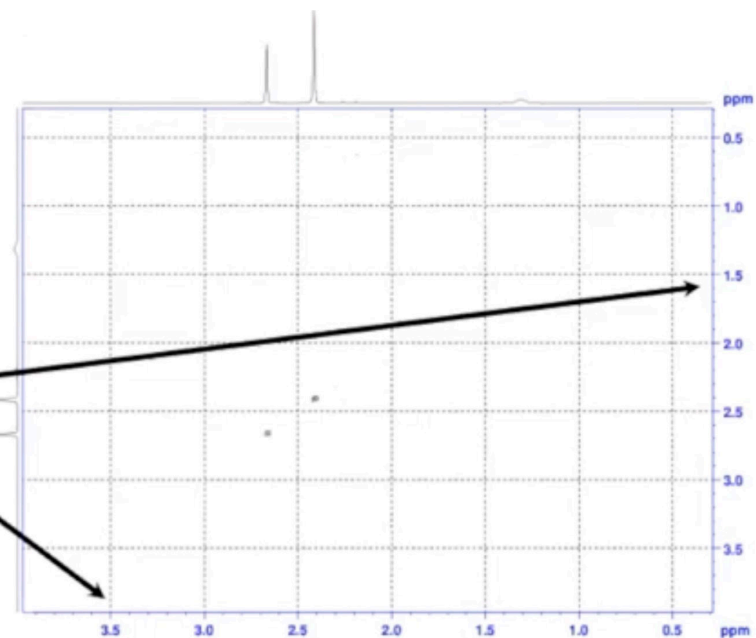




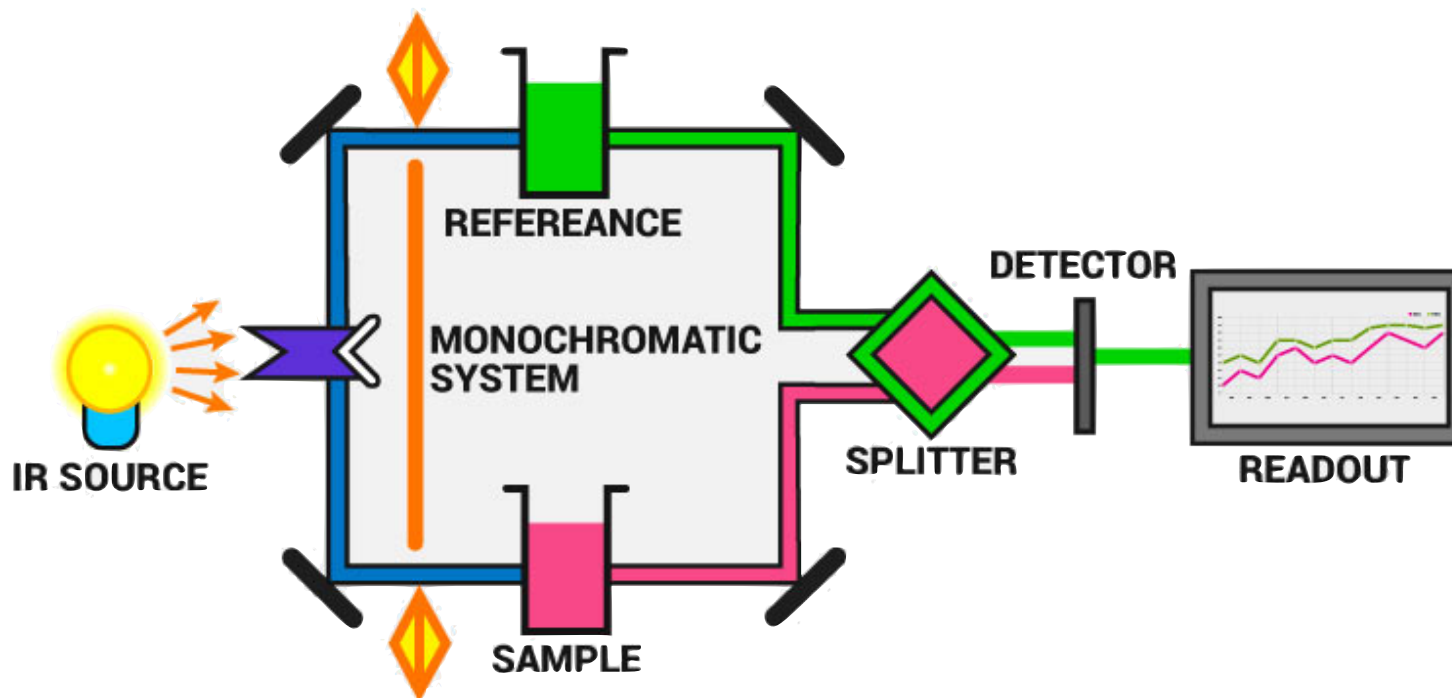
# 2D NMR Spectroscopy



2D NMR spectra  
have two frequency  
dimensions

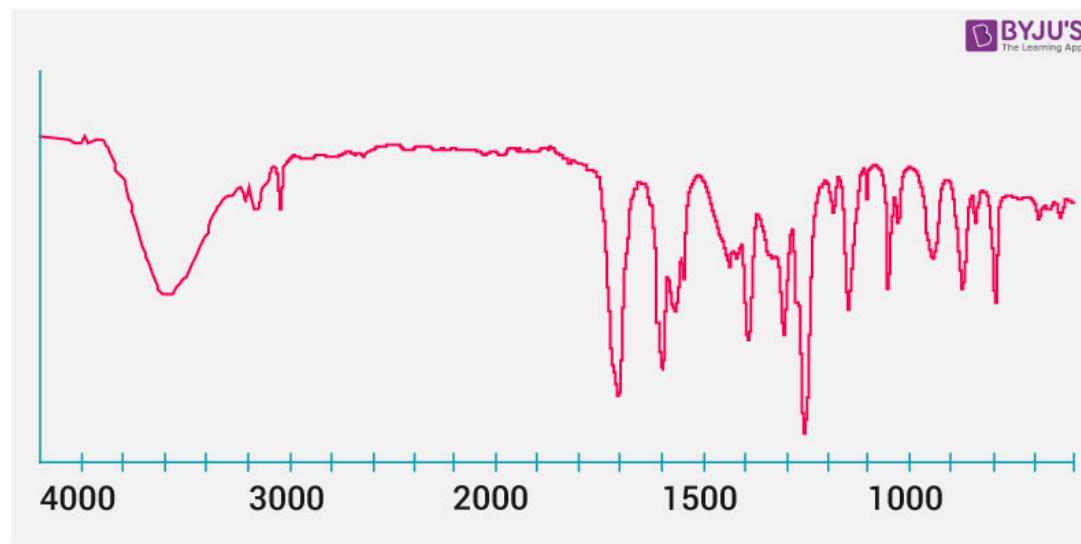
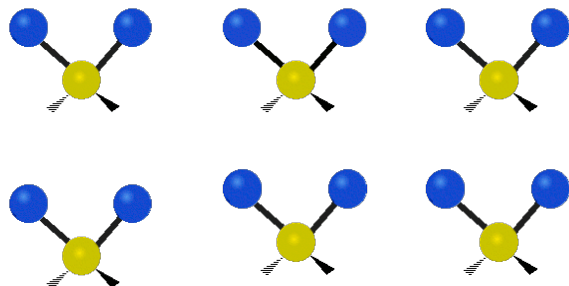


# Infrared Spectroscopy



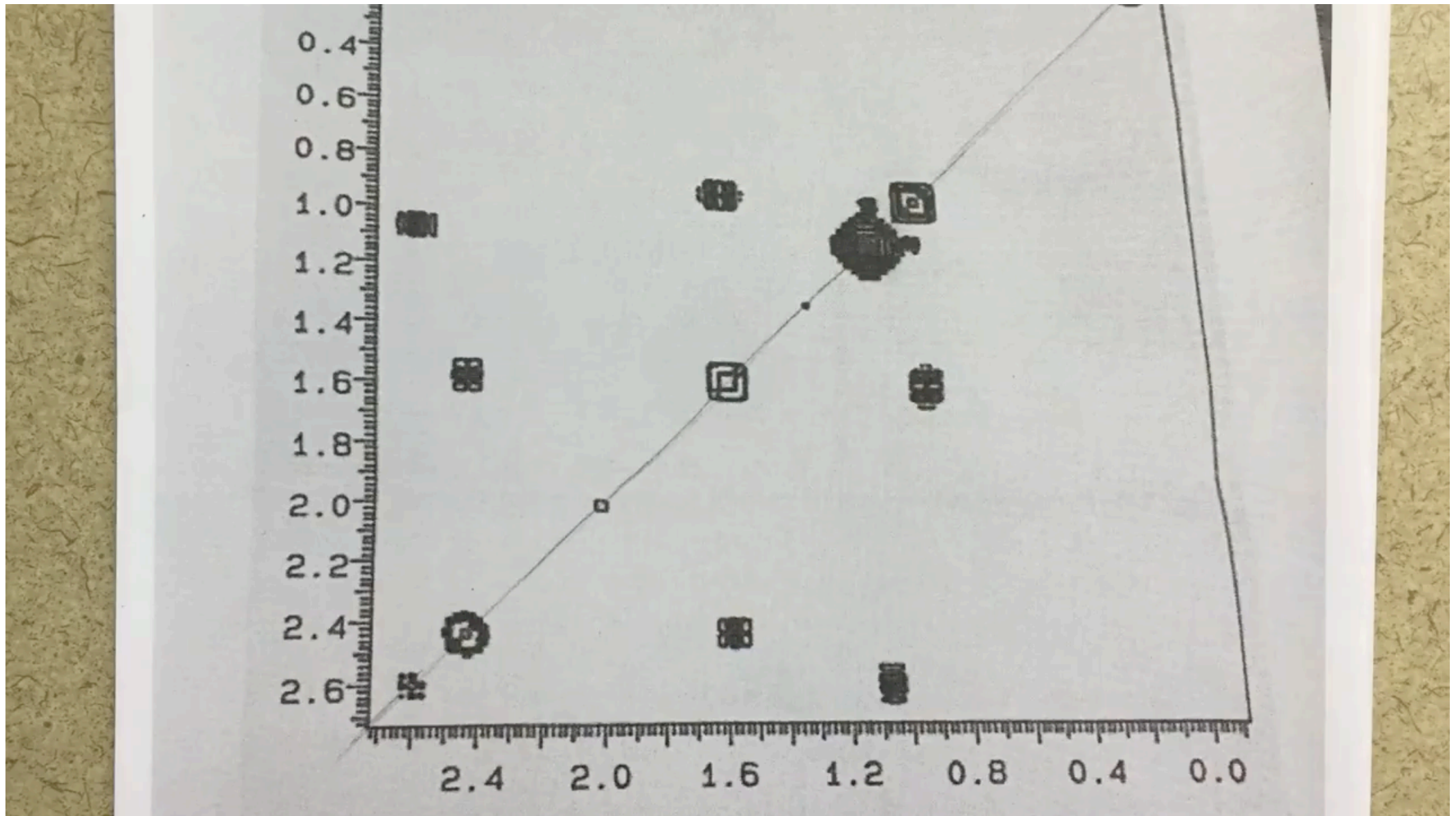
Infrared spectroscopy (IR spectroscopy or vibrational spectroscopy) is the measurement of the interaction of infrared radiation with matter by absorption, emission, or reflection. It is used to study and identify chemical substances or functional groups in solid, liquid, or gaseous forms. It can be used to characterize new materials or identify and verify known and unknown samples.

Different vibrational modes absorb IR light in a way that is characteristic for the molecule.





# 2D NMR Spectroscopy



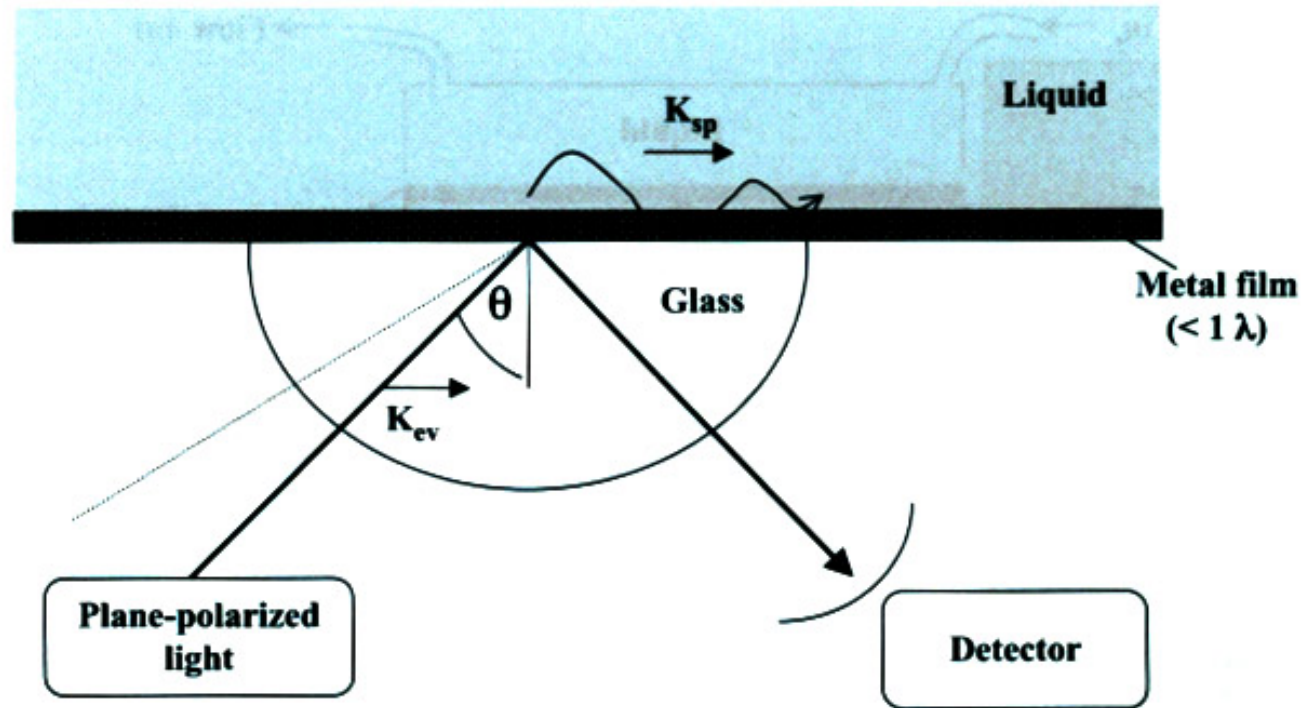
# NMR Spectroscopy

NMR Spectroscopy is used for:

- Determination of an unknown chemical structure
- Non-invasive measurement of the concentration of a chemical
- Analysis of chemical pathways and the production of chemical compounds
- Determination of binding constants
- Determination of the kinetics of a chemical reaction
- Analysis of conformational changes of a protein as a function of external parameters (such as pH, temperature, pressure, ionic strength, ligand binding)
- Structure determination of the active site of an enzyme
- Interaction with other molecules (e.g., enzyme-substrate, protein-protein, protein-DNA)
- Structure determination of proteins in solution
- Determination of the kinetics of conformational changes or of folding dynamics of proteins
- Tomography, imaging.

# **Surface Plasmon Resonance (SPR)**

# Surface Plasmon Resonance (SPR)



**Figure 3.61.** Surface Plasmon resonance. Plane-polarized light (wavelength  $\lambda$ ) arriving at a thin layer (thickness  $< \lambda$ ) of metal between a more (glass) and less (liquid or air) optically dense material is reflected by total internal reflection. An evanescent wave enters the metal interface to a depth  $< \lambda$ . At a particular angle of incidence,  $\theta$ , the evanescent wave (vector  $K_{ev}$ ) couples with free oscillating electrons (plasmons; vector  $K_{sp}$ ) within the metal. Energy absorbed in this process is detected as a sharp reduction in intensity of the reflected light at a specific value for  $\theta$ .  $K_{sp}$  depends strongly on the refractive index of the liquid or air immediately above the metal layer to a depth  $< 300$  nm.

Surface plasmon resonance occurs, when the evanescent wave equals the surface plasmon wave, i.e.  $K_{ev} = K_{sp}$

This happens at the angle Theta under the following condition:

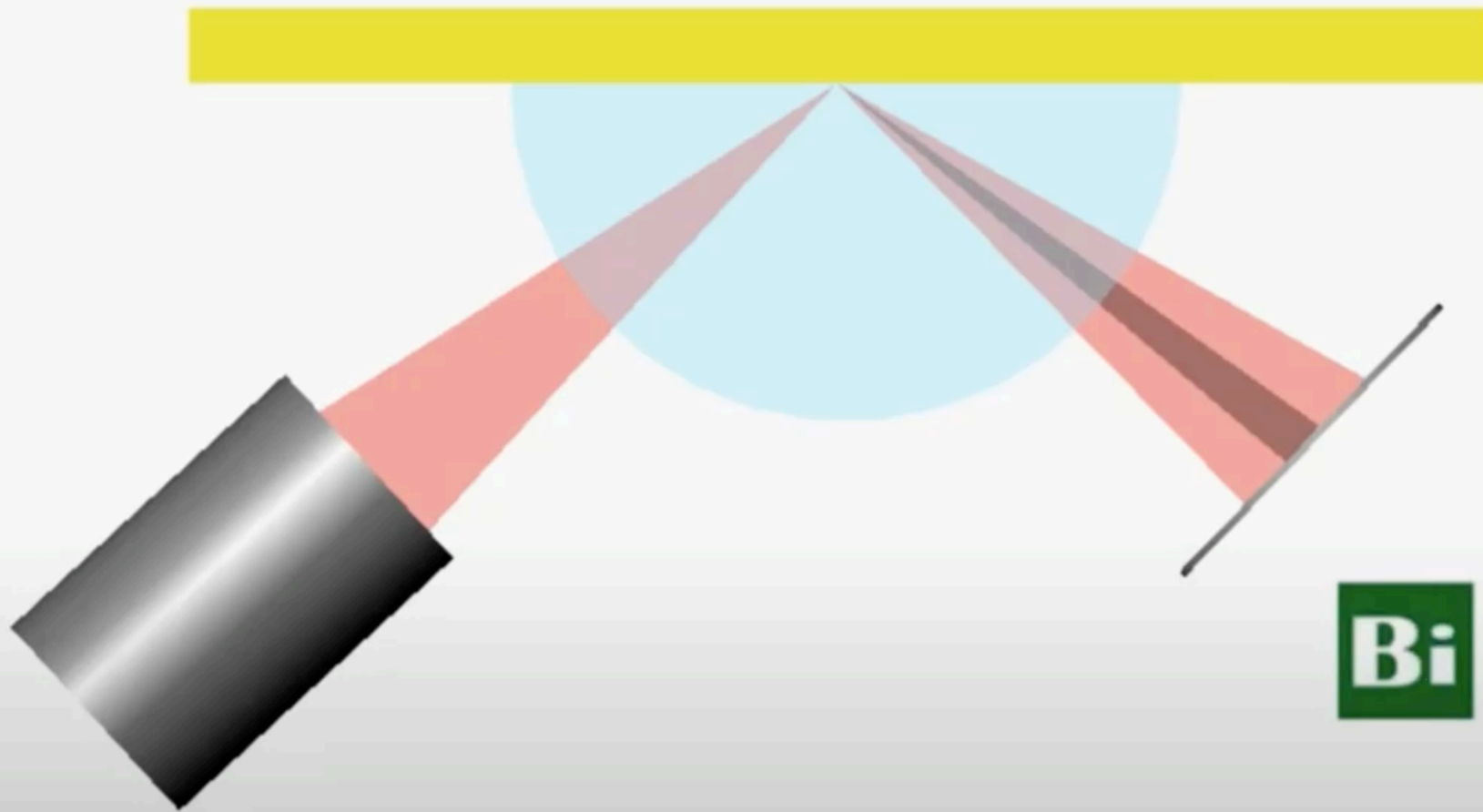
$$\sin \Theta \cdot \sqrt{\epsilon_1(\lambda)} = \sqrt{\frac{\epsilon_2(\lambda) \cdot \epsilon_3(\lambda)}{\epsilon_2(\lambda) + \epsilon_3(\lambda)}}$$

$\epsilon_1$  = dielectric constant of the glass

$\epsilon_2$  = dielectric constant of the metal film

$\epsilon_3$  = dielectric constant of the sample solution

# Surface Plasmon Resonance (SPR)

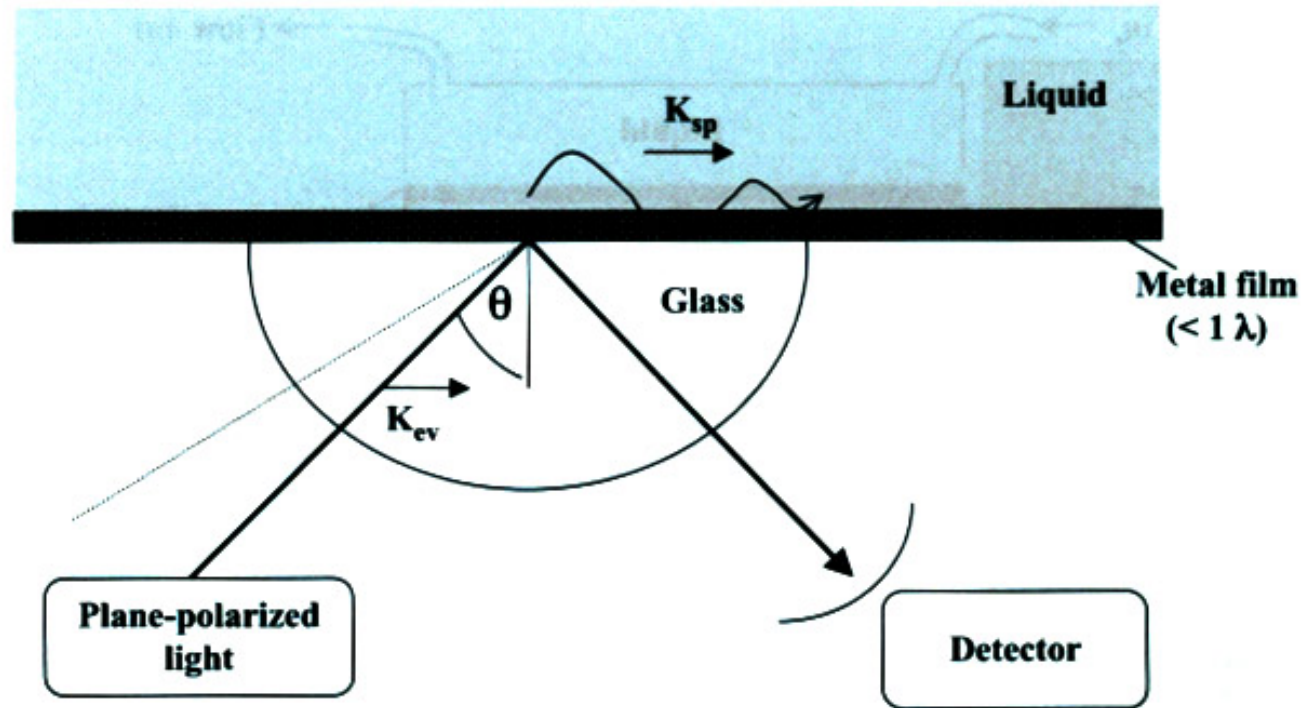


**Bi** Biosensing  
Instrument

<https://www.youtube.com/watch?v=sM-VI3alvAI>



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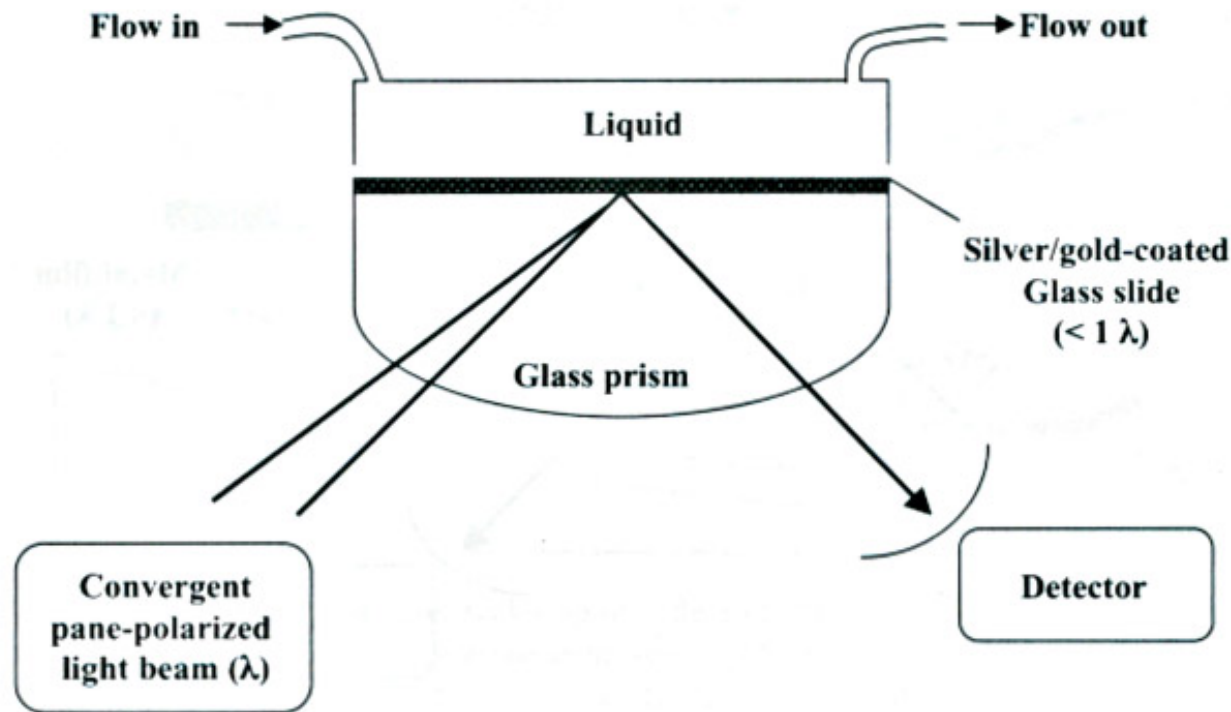
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# Surface Plasmon Resonance (SPR)



**Figure 3.62.** A typical SPR instrument. A convergent beam of plane-polarized light (wavelength,  $\lambda$ ) is focused through a glass prism onto a silver/gold-coated glass slide and reflected to a detector. Solutions can pass through a flow-cell at defined values of flow-rate and temperature. Protein flowing through the flow-cell can alter the surface immediately above the metal layer.

$\mu_0$  = magnetic susceptibility constant  
 $n_g$  = refractive index of glass  
 $c$  = light speed  
 $\Theta$  = light incidence angle

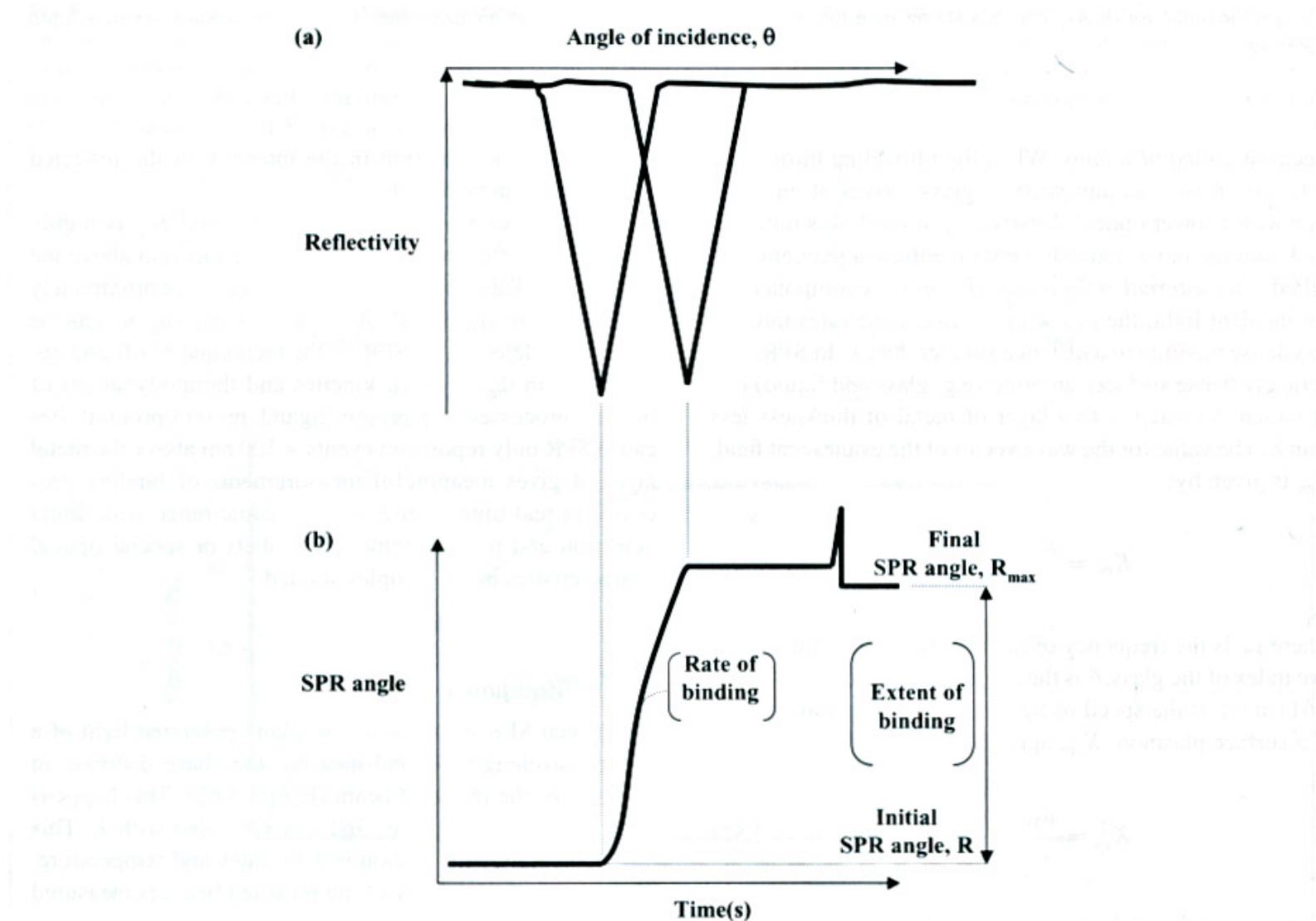
$$K_{ev} = \frac{\mu_0}{c} \cdot n_g \cdot \sin \Theta$$

$$K_{sp} = \frac{\omega_0}{c} \cdot \frac{\delta_m \cdot n_s^2}{\delta_m + n_s^2}$$

$n_s$  = refractive index of solution  
 $\delta_m$  = dielectric const. of metal film  
 $\omega_0$  = angular frequency of waves

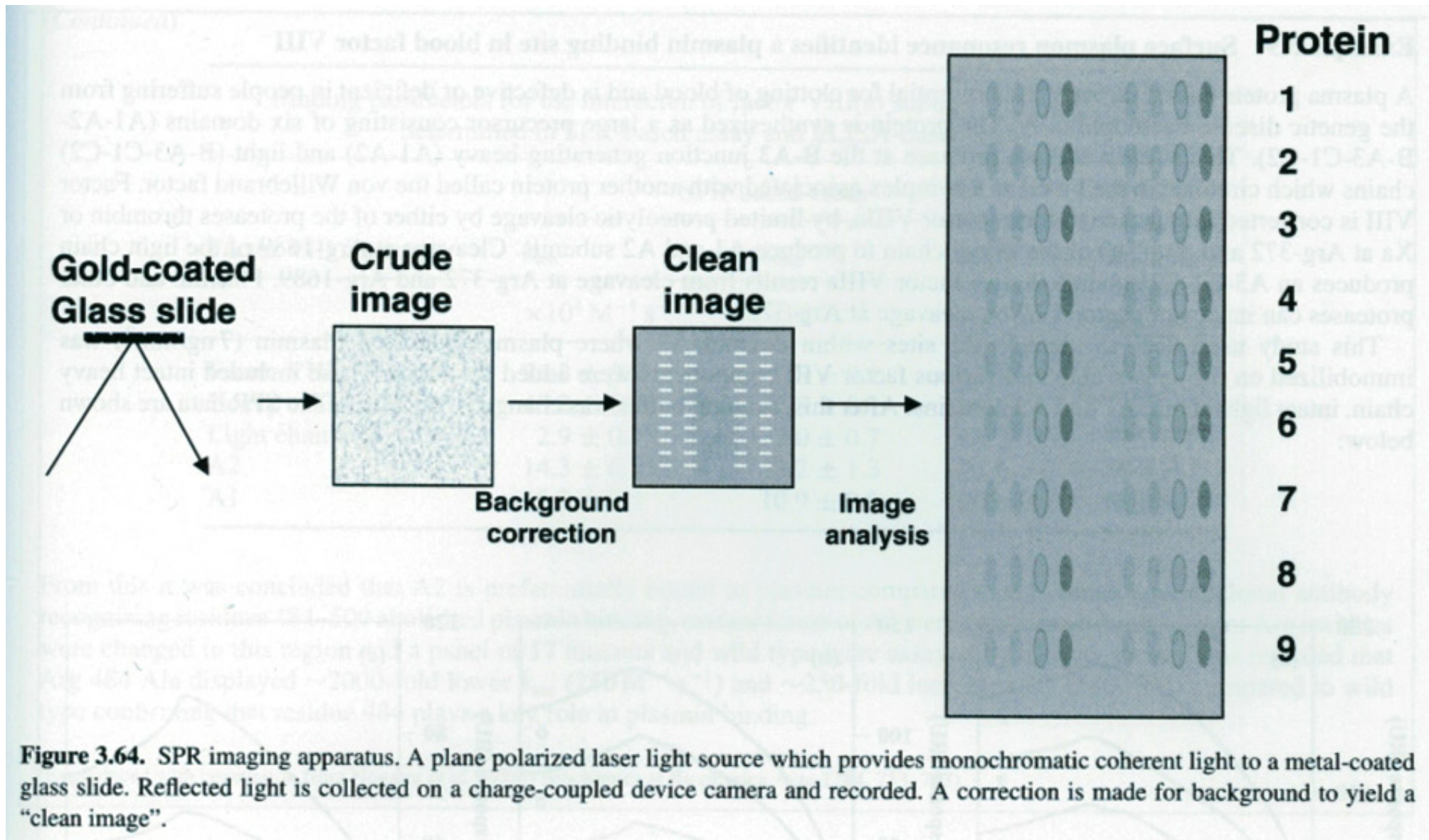
Surface plasmon resonance occurs,  
 when the evanescent wave equals the surface plasmon wave, i.e.  $K_{ev} = K_{sp}$  21

# Surface Plasmon Resonance (SPR) Time Curve



**Figure 3.63.** Schematic of SPR protein binding experiment. The sensor is equilibrated with buffer before addition of a protein solution. This changes  $n_s$  immediately above the sensor surface giving a sharp decrease in reflectivity. Protein binding is followed as a change in SPR angle ( $\theta$ ) over time. When the surface becomes saturated, the SPR angle reaches a maximum. Loosely bound protein is removed by washing with buffer. Extent of adsorption is given by the difference between initial and final SPR angles while the rate of binding may be measured from the steepest part of the positive slope.

# Surface Plasmon Resonance (SPR) Imaging Device





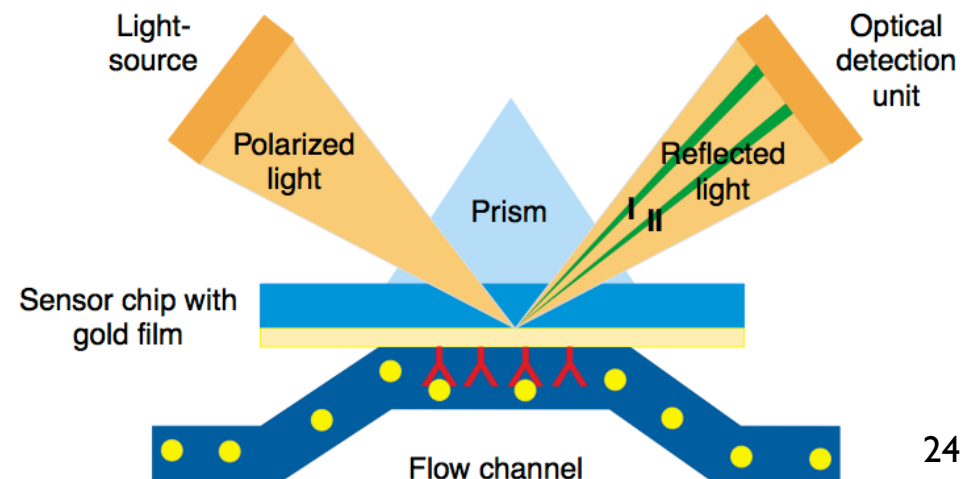
# Surface Plasmon Resonance (SPR)

## Biacore<sup>®</sup>3000

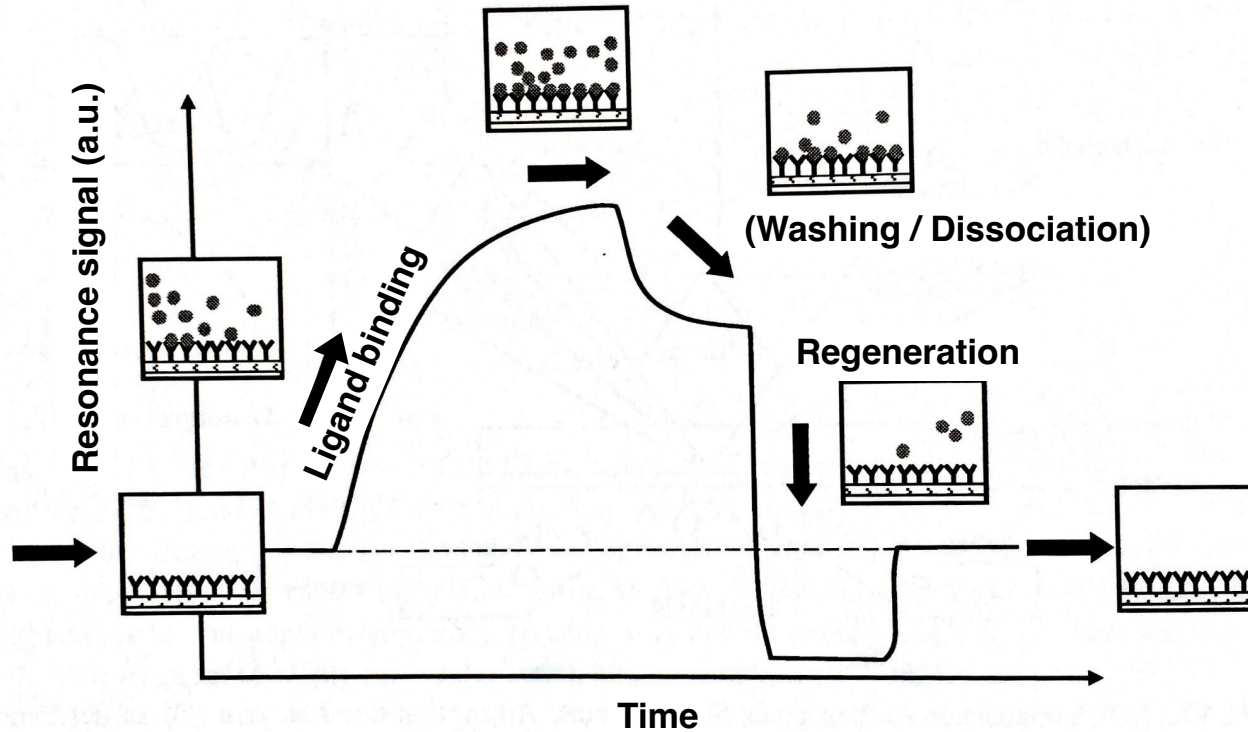


### The high performance research system

- Work with high sensitivity
  - direct detection of small molecules at  $< 1$  nM concentration
  - increased resolution for kinetic analysis
  - measurement of weak affinities
- Recover bound analyte in high concentration
- Deliver recovered analyte to vial or direct to MALDI target
- Shorten analysis times
- Minimize sample consumption
- Study binding in non-aqueous and aqueous samples
- Use Biacore Wizards to simplify and accelerate analysis
- Develop specialized applications efficiently
- Perform the most advanced kinetic evaluation



# Surface Plasmon Resonance (SPR)



## SPR Example:

- A sensor chip surface is covered with antibodies.
- Ligands are added, resulting in an increase of the SPR signal, until ligand binding is saturated.
- Washing with buffer solution leads to partial dissociation of ligands that are not firmly bound.
- Regeneration of the surface by harsh surface treatment and addition of new antibodies restores the chip.

