

**Problem 6.1 DFT for Helium**

The goal of this exercise is to treat the Helium atom within density functional theory. To do this we need to solve the Kohn-Scham equation (Eq. 7.12 in the script) self-consistently. First we need a solver for the Schrödinger equation in (1) for given potential  $V(r)$ .

Given its solution  $\psi(r)/u(r)$  we can compute the Hartree potential by solving the Poisson equation (2). Additionally we can compute an approximate exchange-correlation energy, using the free electron approximation and the solution of (1). All in all this procedure provides you with a new effective potential as input to (1). Repeat the above procedure to arrive at a self-consistent solution.

Throughout this exercise we use atomic units ( $\hbar = m = 4\pi\epsilon_0 = 1$ ).

**a) Schrödinger Solver**

For a spherically symmetric potential  $V(r)$  and solution  $\psi(r)$ , the three-dimensional Schrödinger equation  $(-\frac{1}{2}\Delta + V(r))\psi(r) = \varepsilon\psi(r)$  reduces to the radial equation

$$-\frac{1}{2}u''(r) + V(r)u(r) = \varepsilon u(r), \quad (1)$$

where  $u(r) = \sqrt{4\pi} r \psi(r)$ .

- Implement an algorithm for finding the ground state energy and wave function of (1) for a given potential  $V(r)$ .

*Hint:* Use the code that you implemented to solve Exercise 2.1

- Test your code with the  $l = 0$  sector of the hydrogen atom, where  $V(r) = -\frac{1}{r}$ , and compare your result with the analytical solution  $\varepsilon_{\text{exact}} = -0.5$  a.u. and  $u_{\text{exact}}(r) \propto re^{-r}$ .

In part c), you will apply this code to the effective potential as given by density functional theory.

**b) Poisson Solver**

The Hartree potential  $V_h(\vec{r})$  satisfies the Poisson equation  $\Delta V_h(\vec{r}) = -4\pi\rho(\vec{r})$ . For spherically symmetric  $\rho(r)$  and  $V_h(r)$ , this reduces to

$$U''(r) = -\frac{r}{N}4\pi\rho(r),$$

where  $U(r) := rV_h(r)/N$ .

For a system of  $N = 2$  electrons, we use the ansatz  $\rho(r) = N|\psi_0(r)|^2$  for the electron density, where  $\psi_0$  is the *normalized* ground state of the single-electron Schrödinger equation

with the effective potential from density functional theory (see part c) below). Equivalently,

$$U''(r) = -\frac{u^2(r)}{r} \quad (2)$$

where  $u(r)$  is the ground state of (1) and normalized to norm one,  $\int_0^\infty dr u^2(r) = 1$ . Note that  $U(r)$  satisfies the boundary conditions  $U(0) = 0$  and  $U(\infty) = 1$ .

- Implement a solver for (2) with these boundary conditions for a given function  $u(r)$ .

*Hint:* Use the (velocity free) Verlet algorithm to integrate from  $U(0) = 0$ ,  $U(\Delta r) = \Delta r$  to some  $r_{\max} \gg 0$  (e.g.,  $r_{\max} = 20$ ). Then add a suitable multiple of the homogeneous solution  $U_{\text{hom}}(r) = r$  to fix the outer boundary condition.

- Test your code with the  $u(r)$  as obtained for the hydrogen atom in part a), and compare your result with the analytical solution  $U_{\text{exact}}(r) = -(r+1)e^{-2r} + 1$ .

### c) Helium

Apply density functional theory to the Helium atom. Use the effective potential  $V_{\text{eff}} = V_{\text{en}} + V_{\text{h}} + V_{\text{xc}}$ , where  $V_{\text{en}}$  is the appropriate nuclear potential,  $V_{\text{h}}$  the Hartree potential as obtained via part b), and

$$V_{\text{xc}}(r) = -\left(\frac{3}{2\pi}\right)^{2/3} \left(\frac{4\pi}{3}\rho\right)^{1/3} = -\left(\frac{3}{2\pi^2} \frac{u^2(r)}{r^2}\right)^{1/3}$$

is a parametrization of the exchange-correlation potential, and can be determined via part a) with  $\varepsilon$  and  $u(r)$ .

Repeat these steps iteratively until you reach a self-consistent solution. Decrease your step size  $\Delta r$  and/or increase the cut-off radius  $r_{\max}$  to check where your results are not heavily depending on these constants any more.

*Hint:* You should obtain

$$\epsilon \approx -0.52 \text{ a.u.}$$

$$E \approx -2.72 \text{ a.u.}$$

where energy  $E$  is related to the eigenvalue  $\varepsilon$  by the formula

$$E = 2\epsilon - \int dr V_{\text{h}}(r)u^2(r) - \frac{1}{2} \int dr V_{\text{xc}}(r)u^2(r).$$

### d) (Bonus) PySCF

Use PySCF to do a DFT calculation for the Helium atom and compare your results to those obtained in c).