

**Problem 4.1 The Tight-Binding Model**

In this exercise we analytically solve the tight-binding model

$$\hat{H} = \sum_{\langle i,j \rangle, \sigma} \left( t_{ij} \hat{c}_{i,\sigma}^\dagger \hat{c}_{j,\sigma} + h.c. \right) \quad (1)$$

on the square lattice. We assume uniform hopping amplitudes  $t_{ij} = -t$ .

Bring the Hamiltonian into the diagonal form

$$\hat{H} = \sum_{\mathbf{k}, \sigma} \epsilon(\mathbf{k}) \hat{n}_{\mathbf{k}, \sigma} \quad (2)$$

and identify the dispersion relation  $\epsilon(\mathbf{k})$ .

*Hint:* Assuming the lattice has  $N = L \times L$  sites with spacing  $a$  and periodic boundary conditions, you can replace the field operators by their Fourier transforms using

$$\hat{c}_{i,\sigma} = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{r}_i} \hat{c}_{\mathbf{k},\sigma}, \quad (3)$$

where the lattice momenta  $\mathbf{k}$  run over  $N$  points of the Brillouin zone

$$\mathbf{k} = \frac{2\pi}{aL} (n_x, n_y), \quad n_{x,y} = \{0, \dots, L-1\}. \quad (4)$$

**Problem 4.2 Exact Diagonalization of the Bose-Hubbard model**

We consider the Bose-Hubbard model, given by the hamiltonian

$$\hat{H} = -t \sum_{\langle i,j \rangle} \left( \hat{b}_i^\dagger \hat{b}_j + \hat{b}_j^\dagger \hat{b}_i \right) + \frac{U}{2} \sum_i \hat{n}_i (\hat{n}_i - 1) - \mu \sum_i \hat{n}_i$$

Here the sites can be occupied by spinless bosons ( $b_i^{(\dagger)}$ : bosonic annihilation (creation) operators). As each site could hold an arbitrary number of bosons, you have to limit the total number of particles, e.g. to  $< d = 5$ .

- Choose an adequate basis for the degrees of freedom involved. Fix  $t = 1$  and  $\mu = 0$ . For  $U = -1, 1, 4$  construct the above Hamiltonian in that basis and diagonalize it. For which cases does the particle number cut-off seem reasonable?

*Hint:* Follow the approach in Section 5.4.1 of the lecture notes.

- We know that  $[\hat{H}, \hat{N}] = 0$ , therefore all eigenstates  $|\psi_i\rangle$  of  $\hat{H}$  have a fixed number of particles. Compute the number of particles of each eigenstate  $\langle \psi_i | \hat{N} | \psi_i \rangle$ .
- Since  $[\hat{H}, \hat{N}] = 0$ , this is a symmetry of the system. Use this fact to find the ground state for a fixed number of particles using the power method (by changing the initial guess).

### Problem 4.3 Exact diagonalization of the t-V model

Implement exact diagonalization for the 1-dimensional t-V model of length  $L$  with a potential  $V$ .

$$\hat{H} = t \sum_{\langle i,j \rangle} \left( \hat{c}_i^\dagger \hat{c}_j + \hat{c}_j^\dagger \hat{c}_i \right) + V \sum_{\langle i,j \rangle} \hat{n}_i \hat{n}_j$$

For the numerical implementation, you can fix  $t = 1$  and  $V = 1$ .

- Use the Jordan-Wigner transform as described in section 5.4.2 of the lecture notes to write the annihilation operator  $\hat{c}_i$  in terms of kronecker products of spin operators. Implement a function which constructs the corresponding sparse matrix and use it to construct the whole hamiltonian.
- Use a sparse eigenvalue solver to find the ground state energy for the periodic and non-periodic case for some system sizes (2,4,6,8,10,12, ...).

For the ground state at open boundary conditions, you can find some reference results below:

L	Energy per site
2	-0.5000
3	-0.4714
4	-0.5000
5	-0.5177
$\vdots$	$\vdots$

- Use again  $[\hat{H}, \hat{N}] = 0$  to find the ground state for a fixed number of particles using the power method with an appropriate initial guess.
- (optional): Block-diagonalize the Hamiltonian matrix and make use of the resulting structure to speed up the ED calculation.

### Problem 4.4 Exact diagonalization of the Fermi-Hubbard model

Study the Fermi-Hubbard model given by the Hamiltonian

$$\hat{H} = -t \sum_{\langle i,j \rangle, \sigma} \left( \hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + \hat{c}_{j\sigma}^\dagger \hat{c}_{i\sigma} \right) + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}.$$

with exact diagonalization. Here the local degrees of freedom correspond to fermions carrying spin-1/2.

*Hint:* Use the procedure described in section 5.4.2 of the lecture notes to map it to a problem of spinless fermions and use a Jordan-Wigner transform like in Problem 4.3.