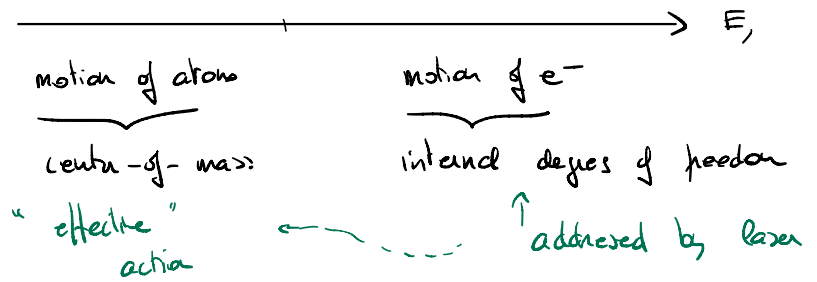


Mechanical effects of light

Idea: photons have momentum

"Effective" theory



I. Formulation

1) Hilbert space

$$\mathcal{H} = \mathcal{H}_{\text{at}} \otimes \mathcal{H}_{\text{field}} = \mathcal{H}_{\text{c.o.m.}} \otimes \mathcal{H}_{\text{internal}} \otimes \mathcal{H}_{\text{laser mode}} \otimes \mathcal{H}_{\text{vac}}$$

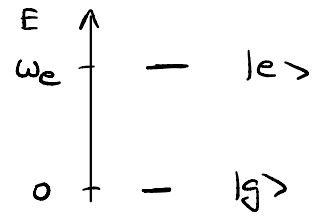
- $\mathcal{H}_{\text{com}} = \text{Sp} \{ |\vec{r}\rangle, \vec{r} \in \mathbb{R}^3 \}$ atom in free space
- $\mathcal{H}_{\text{internal}} = \text{Sp} \{ |n\rangle, n \in \mathbb{N} \}$ energy levels of the atom
- $\mathcal{H}_{\text{laser}} = \text{Sp} \{ |n\rangle, n \in \mathbb{N} \}$ one particular mode addressed by the laser
- $\mathcal{H}_{\text{vac}} = \mathcal{F}$ Fock space

2) Simplifications

- Reduce $\mathcal{H}_{\text{int}}$ to a 2-d Hilbert space $= \text{Sp} \{ |g\rangle, |e\rangle \}$
↳ cycling transition
- laser mode is in a coherent state $\rightarrow$ classical field
- Vacuum as a reservoir (Markov approximation) $\rightarrow$ Lindblad equation
- c.o.m. motion is slow compared with internal dynamics.

3) Hamiltonian

acts on $\mathcal{H}_{\text{can}} \otimes \mathcal{H}_{\text{int}}$



$$\hat{H} = \frac{\hat{p}^2}{2m} \otimes \hat{1} + \hat{1} \otimes \omega_e |e\rangle\langle e| + \frac{\omega_L(\hat{r})}{2} \left[e^{i(\omega_0 t - \vec{k}_L \cdot \hat{r})} \otimes |g\rangle\langle e| + \text{h.c.} \right]$$

key points: ω_L and $\phi = \omega_0 t - \vec{k}_L \cdot \hat{r}$ are operators on $\mathcal{H}_{\text{can}}$

- within dipole approximation: "size" of the atom $\ll \lambda$
- the atom "feels" ω_L and ϕ at the position of its c.o.m.

$$\omega_L(\hat{r}) : \langle \psi_{\text{can}} | \omega_L(\hat{r}) | \psi_{\text{can}} \rangle \text{ for c.o.m. in state } |\psi_{\text{can}}\rangle$$

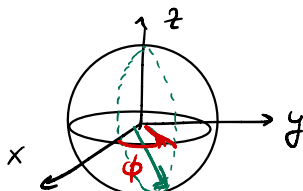
$$\omega_L(\hat{r}) = -\vec{E}(\hat{r}) \cdot \vec{d}_0 \quad \phi(\hat{r}) = \omega_0 t - \vec{k}_L \cdot \hat{r}$$

II. Recoil and Doppler effects

1) Phase imprinting

reminder:

$$\hat{H}_{\text{int}} = \frac{\omega_L}{2} (e^{-i\phi} |e\rangle\langle g| + \text{h.c.}) = \frac{\omega_L}{2} \vec{n} \cdot \vec{\sigma}$$



here $\phi(\hat{r})$

Consider: $|\psi_{\text{at}}\rangle = |\vec{r}\rangle \otimes |g\rangle$

$$\begin{aligned} \hat{H}_{\text{int}} |\psi_{\text{at}}\rangle &= \frac{\omega_L}{2} \left(e^{-i\phi(\hat{r})} |\vec{r}\rangle \otimes |e\rangle \right) \\ &= \int d^3\vec{R} \underbrace{|\vec{R}\rangle\langle\vec{R}|}_{\text{identity}} e^{-i\phi(\hat{r})} |\vec{r}\rangle \\ &= \int d^3\vec{R} e^{-i\phi(\vec{R})} |\vec{R}\rangle \underbrace{\langle\vec{R}|\vec{r}\rangle}_{e^{i\vec{r}\cdot\vec{R}}} \\ &\rightarrow = \int d^3\vec{R} e^{-i\phi(\vec{R}) + i\vec{r}\cdot\vec{R}} |\vec{R}\rangle \end{aligned}$$

Plane wave: $\phi(\vec{r}) = e^{i(\vec{k}_L \cdot \vec{r} - \omega_0 t)}$ then:

$$\hat{H}_{int} |\psi_{at}\rangle = \frac{\hbar\omega_0}{2} \underbrace{e^{i(\vec{k}_L \cdot \vec{r} + \vec{p} \cdot \vec{r})}}_{|\vec{p} + \hbar\vec{k}_L\rangle} |\vec{r}\rangle$$

$$\text{So } \hat{H}_{int} = \frac{\hbar\omega_0}{2} \sum_{\vec{p}} e^{i\omega_0 t} |\vec{p}g \times \vec{p} + \hbar\vec{k}_L, c\rangle + \text{h.c.}$$

2) Shifts

Move to the rest frame of the atom (from the laboratory frame where momentum $\vec{p}$)

unitary transformation:

$$\hat{U}(t) = e^{i \frac{\vec{p}^2}{2m} t} \quad \hat{A} \rightarrow (i\partial_t \hat{U}) \hat{U}^\dagger + \hat{U} \hat{A} \hat{U}^\dagger$$

in this frame:

$$\begin{aligned} \hat{H} &= \frac{\hbar\omega_0}{2} \sum_{\vec{p}} e^{i\omega_0 t} \underbrace{\hat{U} |\vec{p}g \times \vec{p} + \hbar\vec{k}_L, c\rangle \hat{U}^\dagger}_{e^{i \frac{\vec{p}^2}{2m} t} |\vec{p}g \times \vec{p} + \hbar\vec{k}_L, c\rangle e^{-i \frac{(\vec{p} + \hbar\vec{k}_L)^2}{2m} t}} + \text{h.c.} \\ &= \frac{\hbar\omega_0}{2} \sum_{\vec{p}} e^{i(\omega_0 - \frac{\vec{p} \cdot \hbar\vec{k}_L}{m} - \frac{\hbar^2 k_L^2}{2m}) t} |\vec{p}g \times \vec{p} + \hbar\vec{k}_L, c\rangle + \text{h.c.} \end{aligned}$$

$$\omega_c \rightarrow \omega_0 - \frac{\vec{p} \cdot \hbar\vec{k}_L}{m} - \frac{\hbar^2 k_L^2}{2m}$$

• Doppler shift: $\frac{\vec{p} \cdot \hbar\vec{k}_L}{m} = \vec{p} \cdot \vec{v}_{rec}$

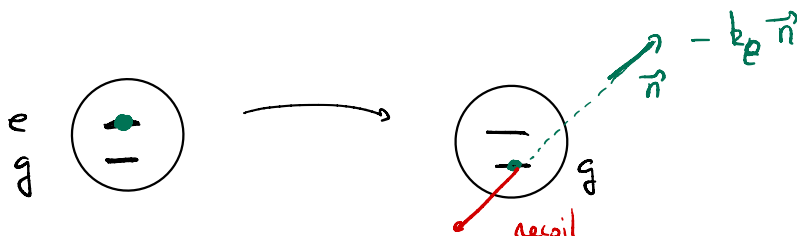
$$v_{rec} = \frac{\hbar k_L}{m}$$

Ex: ${}^6\text{Li}$ at 300K: 1 GHz

Ex: ${}^6\text{Li} \sim 0.1 \text{ ms}^{-1}$

• Recoil shift: $E_R = \frac{\hbar^2 k_L^2}{2m} = \hbar \cdot 77 \text{ kHz}$ for ${}^6\text{Li}$

3) Jump operators



$$k_L = \frac{\omega_c}{c}$$

Family of jump operators: $\hat{L}(\vec{n}) = \sum_{\vec{r}} |\vec{r} + \vec{k}\vec{n}, g\rangle \langle \vec{r}, e|$

$$= e^{i\vec{k}\vec{n} \cdot \vec{r}} \otimes |g\rangle \langle e|$$

note: $\Gamma_{\vec{n}} \quad (\hat{H}(\vec{n}) = \sqrt{E_n} \cdot \hat{L}(\vec{n}))$

4) doublet equation:

$$\dot{\hat{\rho}} = -i [\hat{H}, \hat{\rho}] + \sum_{\vec{n}} \Gamma_{\vec{n}} \left(\hat{L}(\vec{n}) \hat{\rho} \hat{L}(\vec{n})^\dagger - \frac{1}{2} \hat{L}^\dagger \hat{L}(\vec{n}) \hat{\rho} - \frac{1}{2} \hat{\rho} \hat{L}^\dagger \hat{L}(\vec{n}) \right)$$

note that: $\hat{L}^\dagger(\vec{n}) \hat{L}(\vec{n}) = |e\rangle \langle e|$ introduce $\Gamma = \sum_{\vec{n}} \Gamma_{\vec{n}}$

$$\dot{\hat{\rho}} = -i [\hat{H}, \hat{\rho}] - \underbrace{\frac{\Gamma}{2} (|e\rangle \langle e| \hat{\rho} + \hat{\rho} |e\rangle \langle e|)}_{\text{non Hermitian "decay" of } |e\rangle} + \underbrace{\sum_{\vec{n}} \Gamma_{\vec{n}} \hat{L}(\vec{n}) \hat{\rho} \hat{L}^\dagger(\vec{n})}_{\text{"kicks" upon spat. emission}}$$

last term: $\hat{\rho} = |e\rangle \langle e|$

then $\hat{L}(\vec{n}) \hat{\rho} \hat{L}^\dagger(\vec{n}) = |g, \vec{r} + \vec{k}\vec{n}\rangle \langle g, \vec{r} + \vec{k}\vec{n}|$

III. Semi-classical Force

1) Further simplifications

- the momentum "spread" of the atom is large $\Delta p \gg k_c, k_e \dots$
 $\Leftrightarrow$ position spread is small $\Delta R \ll \lambda$

$\Rightarrow k_c$ is small (momentum exchanges with em field are continuous)

- internal dynamics is much faster than motion of con.

$\Rightarrow$ steady state description of the internal state for each position
 $\Gamma, \omega_L \gg E_c$

$\Rightarrow$ Ansatz for the density matrix: $\hat{\rho} = \hat{\rho}_{\text{con}} \otimes \hat{\rho}_{\text{int}}^{\text{st}} \quad \hat{\rho}_{\text{int}}^{\text{st}}(\vec{R}(t))$

2) Spontaneous emission

$$\sum_{\vec{n}} \Gamma_{\vec{n}} e^{i \vec{k}_e \vec{n} \cdot \vec{r}} |g\rangle \langle e| \rho |e\rangle \langle g| e^{-i \vec{k}_e \vec{n} \cdot \vec{r}}$$

since k_e is small: $e^{i \vec{k}_e \vec{n} \cdot \vec{r}} \sim 1 + i \vec{k}_e \vec{n} \cdot \vec{r} - \frac{k_e^2}{2} (\vec{n} \cdot \vec{r})^2 + \dots$

$$S_0 = \sum_{\vec{n}} \Gamma_{\vec{n}} |g\rangle \langle e| \rho |e\rangle \langle g| + \sum_{\vec{n}} i \vec{k}_e \vec{n} \cdot \vec{r} |g\rangle \langle e| \rho |e\rangle \langle g| + \sum_{\vec{n}} |g\rangle \langle e| \rho |e\rangle \langle g| - i \vec{k}_e \vec{n} \cdot \vec{r} + O(k_e^2)$$

up to $O(k_e^2)$: no net effect of spont. emission of the center of mass motion!

now go to $O(k_e^2)$:

$$\dot{\hat{\rho}} = -i [\hat{H}, \hat{\rho}] + \Gamma |g\rangle \langle e| \rho |e\rangle \langle g| - \frac{\Gamma}{2} (|e\rangle \langle e| \hat{\rho} + \hat{\rho} |e\rangle \langle e|)$$

the dissipative part of the Lindblad eq is only affecting the internal state!!

3) Force:

$$\vec{F} = \frac{d}{dt} \langle \hat{\vec{p}} \rangle = \frac{d}{dt} \text{Tr}(\hat{\rho} \hat{\vec{p}}) = \text{Tr}(\dot{\hat{\rho}} \hat{\vec{p}})$$

$$= -i \text{Tr}([\hat{H}, \hat{\rho}] \hat{\vec{p}})$$

$$\text{Tr}(AB) = \text{Tr}(BA)$$

$$= -i \text{Tr}(\hat{\rho} [\hat{\vec{p}}, \hat{H}])$$

$$\vec{F} = -i \langle [\hat{\vec{p}}, \hat{H}] \rangle$$

$\hat{H}$ depends on $\vec{r}$: move to position representation $\hat{\vec{p}} = -i \vec{\nabla}_r$
(via $\psi(\vec{r})$, $\phi(\vec{r})$...)

$$\text{then } \langle [\hat{\vec{p}}, \hat{H}] \rangle = -i \langle \vec{\nabla}_r \hat{H} \rangle$$

Proof: for any state $|\alpha\rangle$: $\langle \alpha | \vec{\nabla}_r (\hat{H} |\alpha\rangle) = \langle \alpha | (\vec{\nabla}_r \hat{H}) |\alpha\rangle + \langle \alpha | \hat{H} (\vec{\nabla}_r |\alpha\rangle)$

So:

$$\vec{F} = -\langle \vec{\nabla}_r \hat{H} \rangle$$

For an atom at rest: $\hat{H} = \omega_c |e\rangle\langle e| + \frac{\omega_L(\vec{r})}{2} \left(e^{i(\omega_0 t - \vec{k}_L \cdot \vec{r})} |g\rangle\langle e| + h.c. \right)$

in a frame rotating at ω_0 (for internal dof):

$$\hat{H} = \Delta |e\rangle\langle e| + \frac{\omega_L(\vec{r})}{2} \left(e^{-i\vec{k}_L \cdot \vec{r}} |g\rangle\langle e| + h.c. \right)$$

$$\langle -\vec{\nabla}_r \hat{H} \rangle = - \frac{\vec{\nabla} \omega_L(\vec{r})}{2} \underbrace{\left(\langle e^{-i\vec{k}_L \cdot \vec{r}} |g\rangle\langle e| + h.c. \rangle \right)}_{\text{internal dof} \rightarrow \text{OBE!}} + i\vec{k}_L \frac{\omega_L}{2} \left(\langle e^{-i\vec{k}_L \cdot \vec{r}} |g\rangle\langle e| + \dots \right)$$

$$\vec{F} = \frac{\vec{\nabla} \omega_L}{\omega_L} \frac{\Delta \cdot S(\vec{r})}{1 + S(\vec{r})} + \vec{k}_L \cdot \frac{\Gamma}{2} \frac{S(\vec{r})}{1 + S(\vec{r})} \quad S(\vec{r}) = \frac{\omega_L^2(\vec{r})/2}{\Delta^2 + \frac{\Gamma^2}{4}}$$

$$= \vec{F}_{\text{dip}} + \vec{F}_{\text{rad}}$$

- $\vec{F}_{\text{dip}}$: dipole force $= \vec{F}_{\text{dip}} = \frac{\Delta}{2} \frac{\vec{\nabla} S}{1 + S}$
- $\vec{F}_{\text{rad}}$: radiation pressure $= \vec{F}_{\text{rad}} = \vec{k}_L \cdot \Gamma \cdot \langle \rho_{ee} \rangle_{\text{sr}}$

IV - Interpretation

1) Dipole force

reexpressed as: $\vec{F}_{\text{dip}} = -\vec{\nabla} \left(-\frac{\Delta}{2} \ln(1 + S(\vec{r})) \right)$

$\rightarrow$ dipole force is conservative and $U_{\text{dip}} = -\frac{\Delta}{2} \ln(1 + S)$

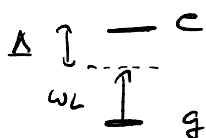
limiting case: $\Delta \gg \omega_L, \Gamma$

$$S \ll 1 \Rightarrow \langle \rho_{ee} \rangle \ll 1$$

$$\text{then } U_{\text{dip}} = -\frac{S}{2} \Delta = -\frac{\omega_L^2(\vec{r})}{4\Delta}$$

- $\omega_L \propto \Sigma$ E-field amplitude
 $\Rightarrow U_{\text{dip}} \propto I$ light intensity
- U_{dip} is also independent of r

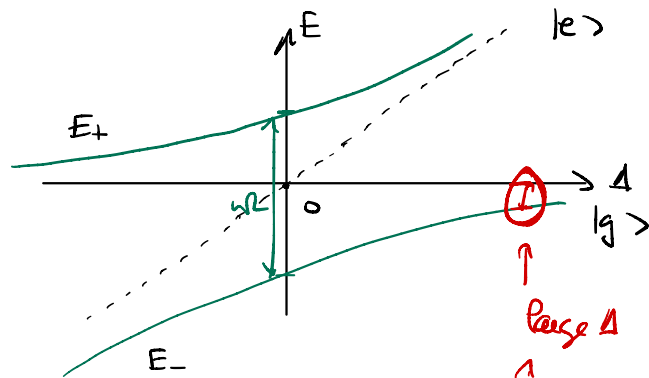
Recovering the dipole potential: 2-level atom in external field



$$\hat{H} = \omega_c |e\rangle\langle e| + \frac{\omega_L}{2} \left(e^{-i\omega_L t} |e\rangle\langle g| + h.c. \right)$$

in a rotating frame: $\hat{H} = \Delta |e\rangle\langle e| + \frac{\hbar\Omega}{2} (|e\rangle\langle g| + |g\rangle\langle e|)$

energy spectrum:



large Δ : $E_{\pm} = \frac{1}{2} (\Delta \pm \sqrt{\Delta^2 + \hbar^2\Omega^2})$ $E_- \rightarrow -\frac{\hbar^2\Omega^2}{4\Delta}$

and $|g\rangle \rightarrow |g\rangle$

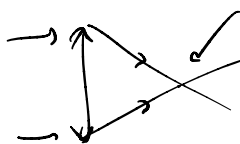
Now if $\hbar\Omega(\vec{r}) \rightarrow E_-(\vec{r})$

Remarks:

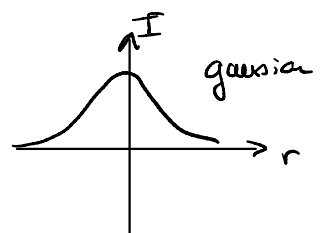
- $U_{dip} \Leftrightarrow$ AC-Stark shift
(can also be obtained directly using 2nd order perturbation theory)

- Also possible for DC fields: $\Delta \rightarrow \omega_c$

- Optical dipole trap:

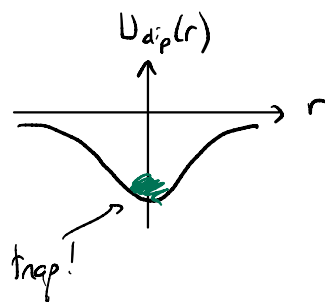


at the focus:



$\Rightarrow$ for $\Delta \gg 0$, ($\omega_c \gg \omega_L$)

"optical tweezer"



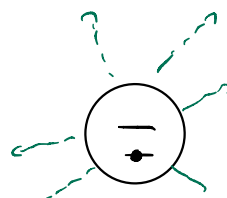
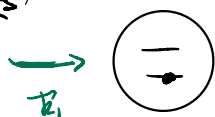
Optical lattices $\vec{k}_L \rightarrow I(r) \leftarrow -\vec{k}_L$

$\Rightarrow U_{dip} = U_0 \cos^2(k_L r) \Rightarrow$ band structure

2) Radiation pressure

$\vec{F} = \Gamma \langle p_{ec} \rangle^{sr} \vec{k}_L$

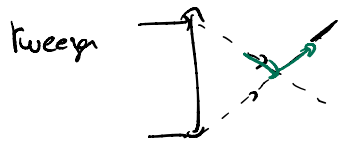
cycles:



Remarks: • intrinsically related to spontaneous emission

($\Rightarrow$) incoherent

• dipole force: stimulated emission



• radiative pressure is related to photon absorption:

3) Doppler cooling

an atom moving with momentum $\vec{p}$

$$\Delta_0 \rightarrow \Delta_0 + \vec{p} \cdot \vec{v}_{rec} - E_{rec}$$

here: E_{rec} is negligible

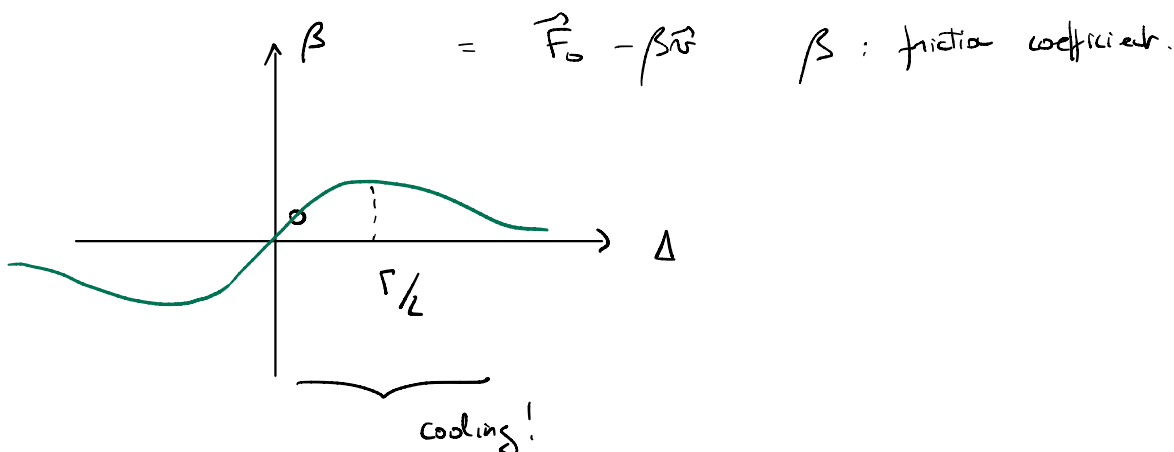
$$\vec{F}_{rad} = \hbar \vec{k} \frac{\Gamma n^2}{4} \frac{1}{\Delta_0^2 + \Gamma'^2}$$

$\left\{ \begin{array}{l} \Gamma' : \text{power broadened} \\ \text{linewidth} \end{array} \right.$

$$= \hbar \vec{k} \frac{\Gamma n^2}{4} \frac{1}{(\Delta_0 + \vec{p} \cdot \vec{v}_{rec})^2 + \frac{\Gamma'^2}{2}}$$

First order expansion for Doppler shift:

$$\vec{F}_{rad} = \hbar \vec{k} \frac{\Gamma n^2}{4} \left(\frac{1}{\Delta_0^2 + \Gamma'^2} - \vec{p} \cdot \vec{v}_{rec} \frac{2 \Delta_0}{(\Delta_0^2 + \Gamma'^2)^2} + \dots \right)$$



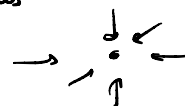
Interpretation:

• Doppler shift bring the atom closer to resonance when it moves against the beam



Remarks: • to cool in all directions: 6 laser beams

"optical molasses"



4) limitation and extensions

- limit to Doppler cooling : terms $O(k^2)$ in the divided equation
random walk in k space $\langle \Delta p^2 \rangle \sim 2m\hbar\Gamma$
→ limit temperature: $kT \sim \hbar\Gamma$ $\left(\begin{array}{l} {}^6\text{Li} = 280 \mu\text{K} \\ {}^{88}\text{Sr} : 400 \text{ nK} \end{array} \right.$

- the finite $k_z \Rightarrow$ cannot reach $\vec{v}=0$ in free space
→ E_{rec} is the hard limit
For trapped atoms → reaching the ground state is possible

- other mechanism to cool in free space : Sisyphus cooling

