

Case Studies

[three concrete examples of accelerators]

Laboratory for Particle Accelerator Physics, EPFL

The Goal for this lesson

apply the studied concepts to realistic applications, determine the key parameters for three accelerator configurations

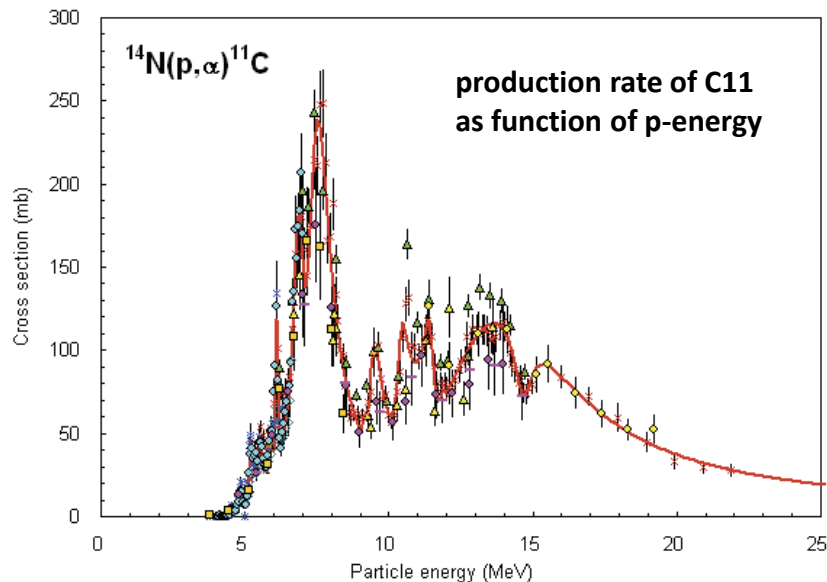
1. design of a compact cyclotron for medical isotope production [**10MeV**]
2. parameters of a high energy proton accelerator [**500GeV**]
3. use the same synchrotron for electrons [**20GeV**]

→ recapitulation of several accelerator concepts

Case Study : 10MeV Cyclotron

- motivation
- Step 1: define bending magnet
- Step 2: focusing properties
- Step 3: realise acceleration to full energy

Isotope Production for medical Purposes



Carbon-11 (C-11) radiotracers are widely used for the early diagnosis of cancer, monitoring therapeutic response to cancer treatment, and pharmacokinetic investigations of anticancer drugs.

The short half-life of carbon-11 (20.38 minutes) creates special challenges for the synthesis of C-11 labeled tracers; these include the challenges of synthesizing C-11 target compounds with high radiochemical yield ...

[Z. Tu, R.H. Mach, Washington University School of Medicine]

→ we need a **super compact and cost efficient accelerator** delivering $\approx 10\text{MeV}$ protons, suited for installation in a hospital

The Classical Cyclotron

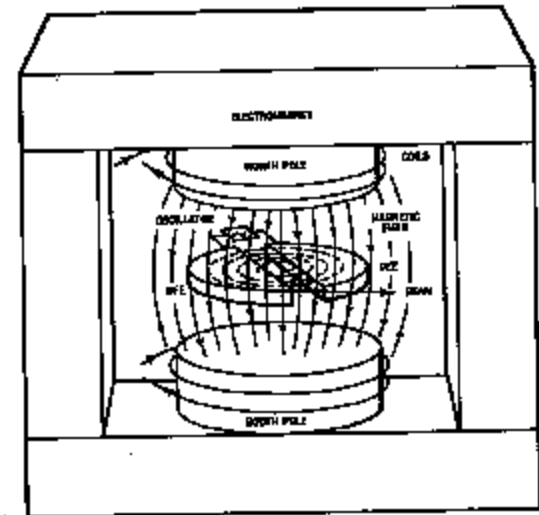
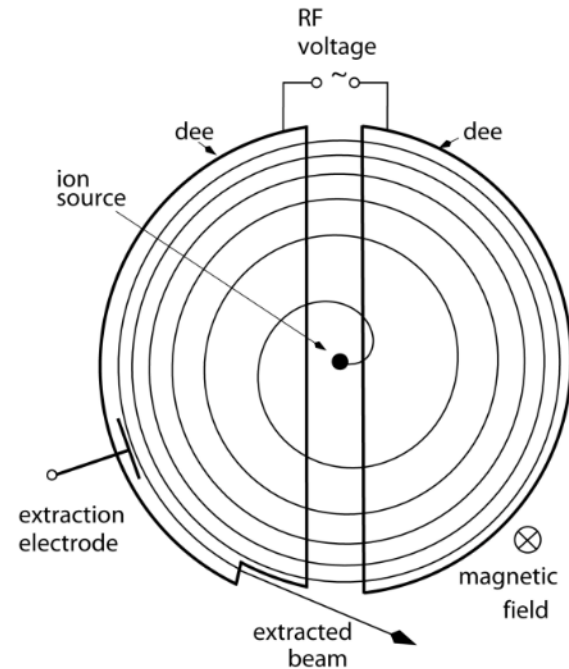
two capacitive electrodes „Dees“, two gaps per turn

internal ion source

homogenous B field, radial gradient possible

constant revolution frequency for low E_k

our plan: accelerate fast and survive with a slight non-synchronism

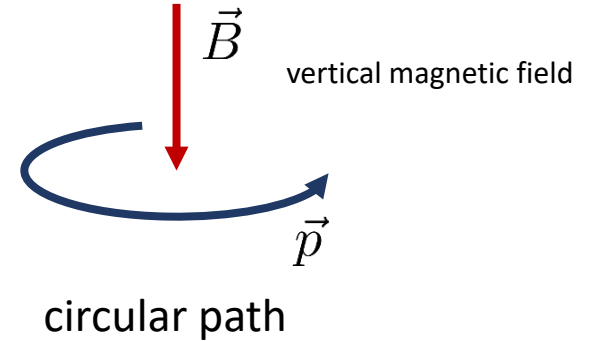


Lorentz force in magnetic field

$$\vec{F} = e \dot{\vec{r}} \times \vec{B} + \cancel{e \vec{E}} = m \omega^2 \vec{r}$$

force perpendicular to
velocity $\dot{\vec{r}}$ and field \vec{B}

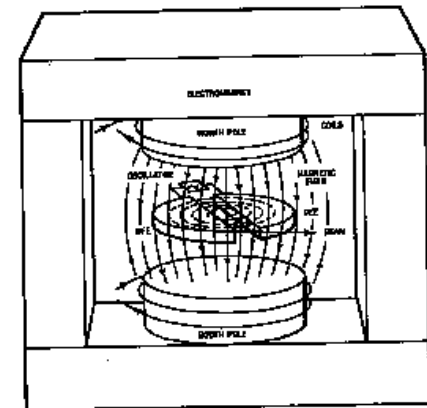
centrifugal
force



Solution is circular path:

$$\vec{r}(t) = \rho \begin{pmatrix} \cos \omega t \\ \sin \omega t \\ 0 \end{pmatrix} \quad \text{with:} \quad \rho = \frac{p}{eB_z} \quad \omega = \frac{eB_z}{m}$$

note: $m = \gamma m_0$



Relativistic Factors

relativistic energy-momentum
relation:

$$\begin{aligned} E_{\text{tot}} &= \sqrt{c^2 p^2 + m_0^2 c^4} \\ E_{\text{tot}} &= E_k + m_0 c^2 \\ &= \gamma m_0 c^2 \end{aligned}$$



proton rest energy:
938 MeV

convenient relativistic factors:

$$\begin{aligned} \gamma &= 1 + \frac{E_k}{m_0 c^2} \\ \beta &= \sqrt{1 - \frac{1}{\gamma^2}} \end{aligned}$$

momentum: $p = mv$
 $cp = \beta \gamma m_0 c^2$
 $= \beta E_{\text{tot}}$

Step 1: Choice of Field for Energy $\approx 10\text{MeV}$



C. Oliver, CIEMAT, Spain et al.
superconducting cyclotron, $B=4$ Tesla

magnetic rigidity

$$B\rho [\text{T} \cdot \text{m}] = 3.3356 \cdot p [\text{GeV}/c]$$

not negligible in this case, $\beta \ll 1$



$$B\rho [\text{T} \cdot \text{m}] = 3.3356 \times \beta \times E_{\text{tot}} [\text{GeV}]$$
$$\left(\approx 4.426 \sqrt{E_k / m_o c^2} \text{ for } \beta \ll 1 \right)$$

kinetic energy E_k	10 MeV
velocity β	0,145
superconducting magnet	4.0 T
extraction radius @ 4T	11,4 cm



The high field of 4T results in compact dimensions.

Step 2: Focusing in Classical Cyclotron

Even with a simple magnet we can introduce a radial gradient to achieve focusing.

the **field index** describes the (normalized)
radial slope of the bending field:

$$k = \frac{R}{B} \frac{dB}{dR}$$

we want focusing in both planes:

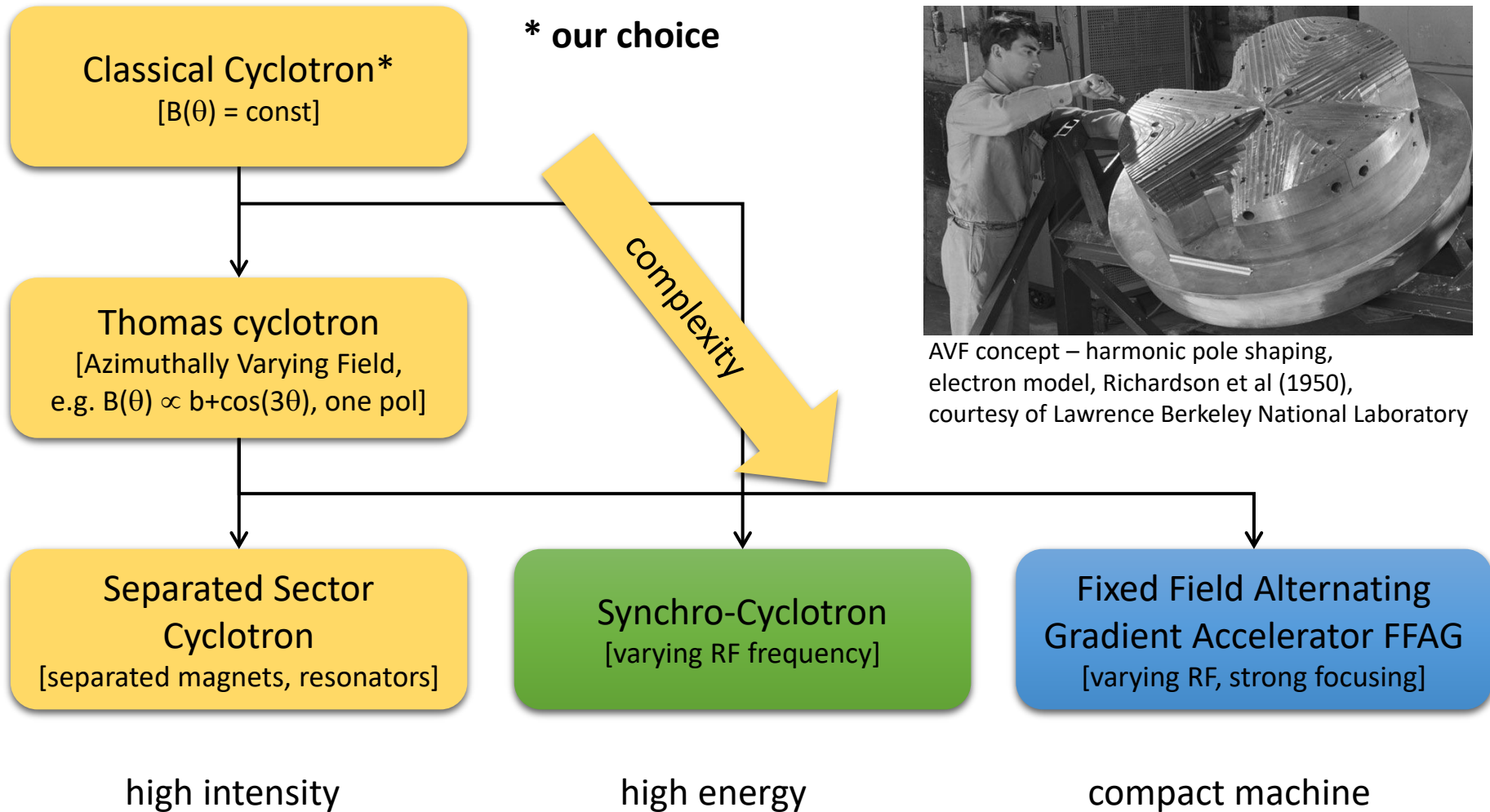
$$\begin{aligned}\ddot{x} + \omega_c^2(1 + k)x &= 0 \\ \ddot{y} - \omega_c^2 k y &= 0\end{aligned}$$

**tunes in a cyclotron, both
should real numbers:**

$$\begin{aligned}Q_r &= \sqrt{1 + k} \\ Q_y &= \sqrt{-k}\end{aligned}$$

**thus $-1 < k < 0$ (negative slope of field) to keep beam focused!
but: not isochronous!**

Overview on cyclotron type accelerators



Obtaining a minimal focusing in vertical plane

parametrization of B:

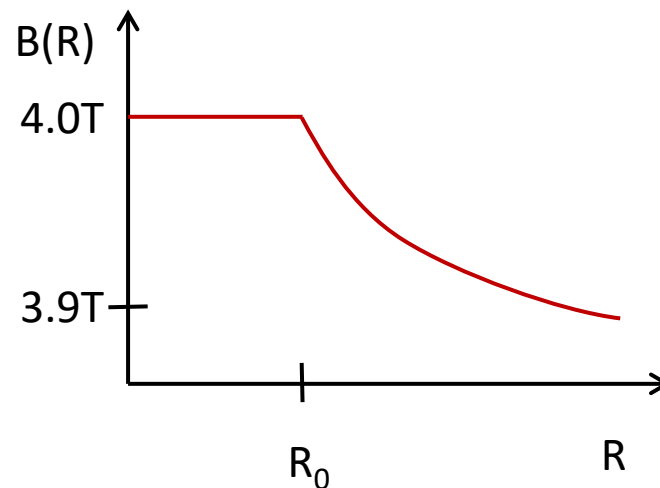
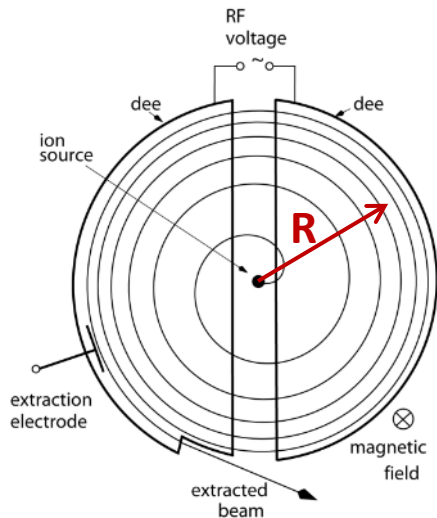
$$B(R) = B_0 \left(\frac{R}{R_0} \right)^k$$

→ a small for value for k is sufficient
(compromise between focusing strength and
phase slip)

$$k = \frac{R}{B} \frac{dB}{dR}$$

→ chose k = -0.01

→ $Q_y = 0.1$ → one oscillation per 10 turns



Step 3: Acceleration Process

very simple simulation process, for example using Excel:

set of equations to iterate through the acceleration process:

$$\Delta E_k = V_g \times \sin \phi$$

$$\Delta \phi = 2\pi \times \frac{B(R(E_k)) - B_{\text{iso}}}{B_{\text{iso}}}$$

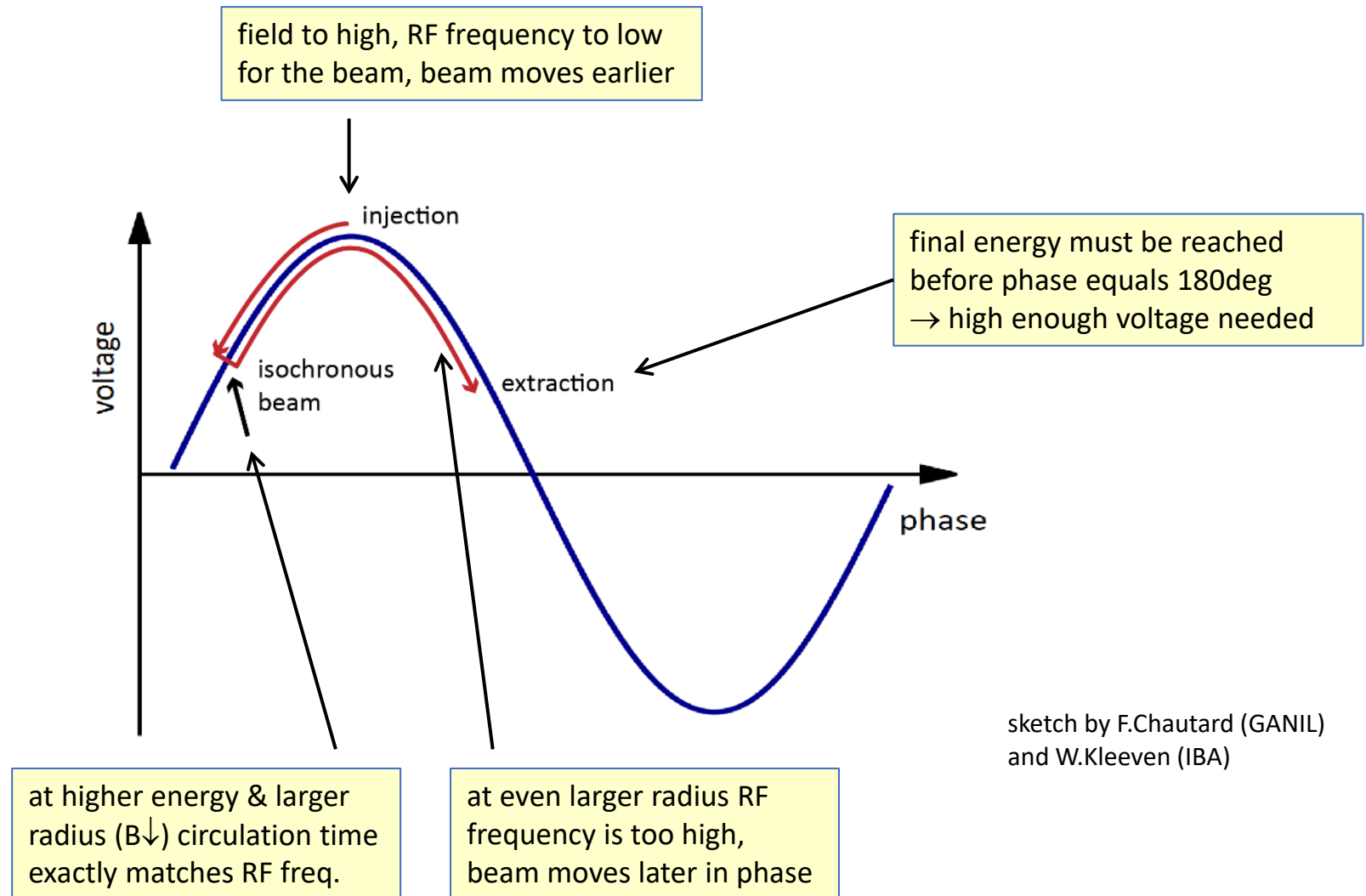
B_{iso} = field theoretically required to obtain a fixed revolution frequency f_{rev}

$$B_{\text{iso}} = \frac{2\pi\gamma m_0}{e} f_{\text{rev}}$$

energy and phase are computed from turn to turn

→ the aim is to see whether the desired energy can be reached without losing completely the synchronism with the RF wave

Qualitative Explanation of Acceleration in a non-isochronous Cyclotron



Simulation Result

result:
with 2x46kV voltage gain per turn
an energy of 10MeV is reached
Nr of turns: 65

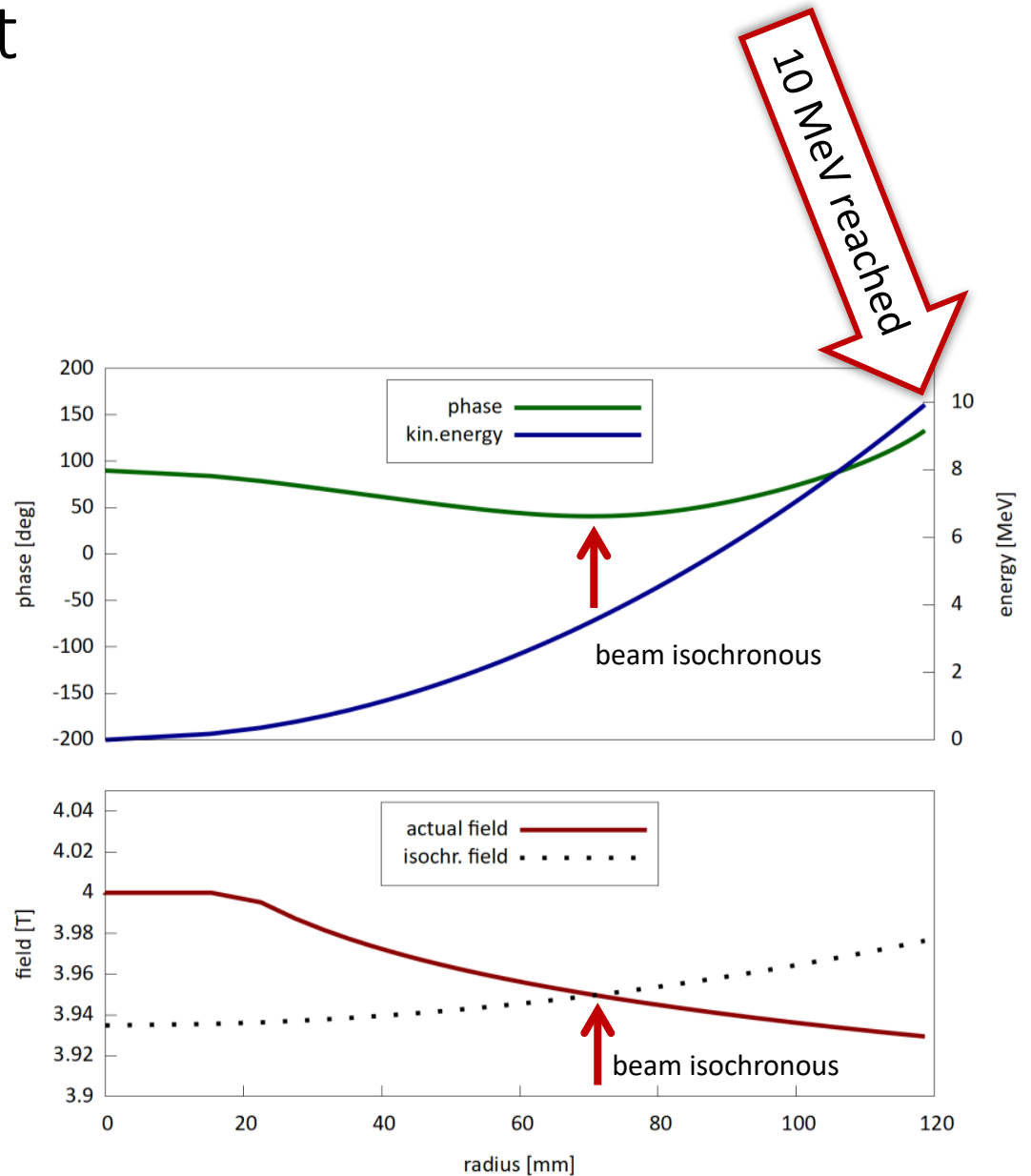
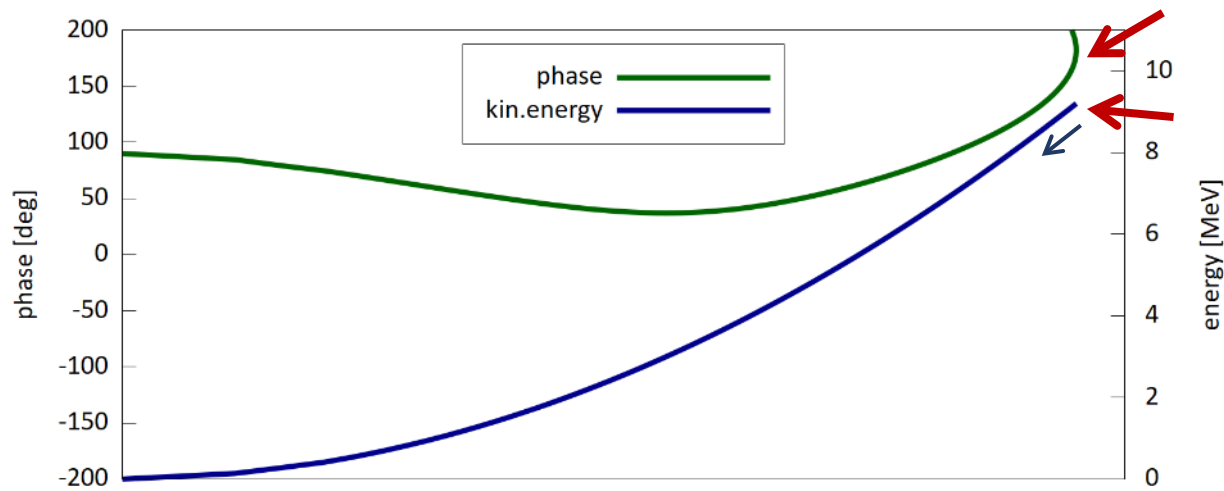


Illustration of insufficient voltage



phase of 180deg reached

beam decelerated

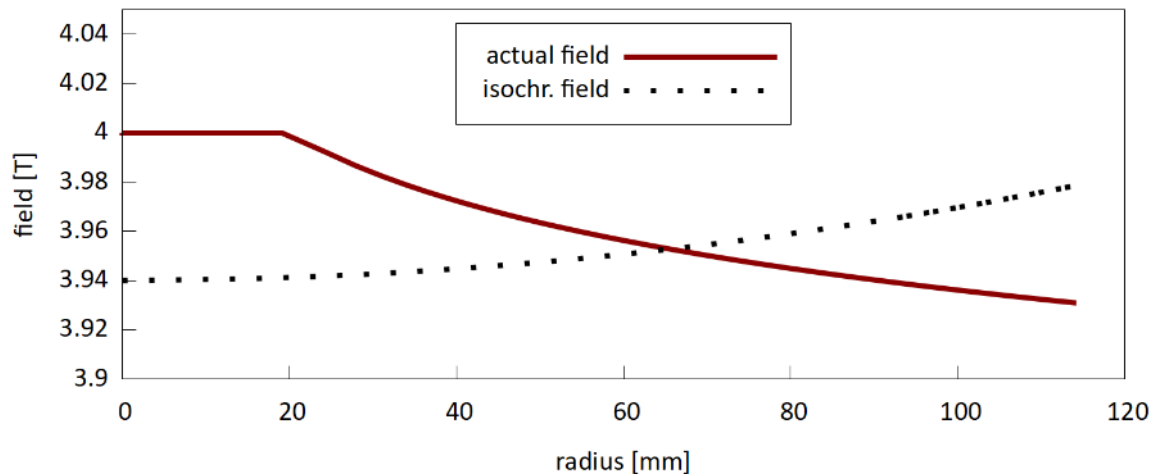


illustration:

with 70kV voltage the phase turns over and beam is decelerated again

E_{\max} falls below 10MeV

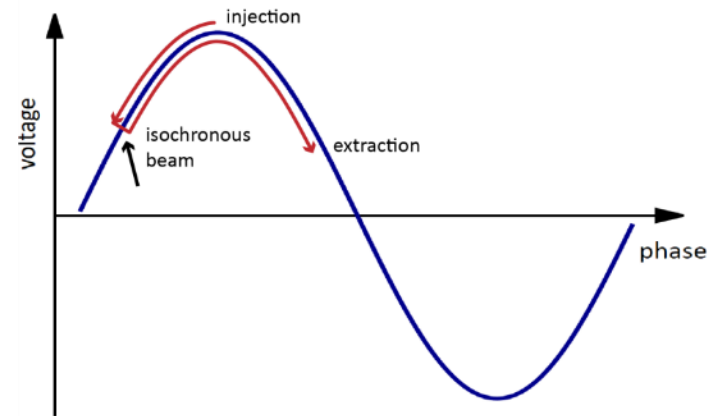
Summary on Cyclotron Example

using a high field of a superconducting magnet a compact and simple 10MeV cyclotron for isotope production can be realised

in this classical cyclotron the beam stays not in phase with the RF, thus a high gap voltage $>45\text{kV}$ is needed to perform the acceleration quickly

we needed:

- magnetic rigidity, cyclotron frequency
- knowledge on focusing via radial field index
- a bit of longitudinal dynamics and ..
- numerical integration of energy, phase coord.

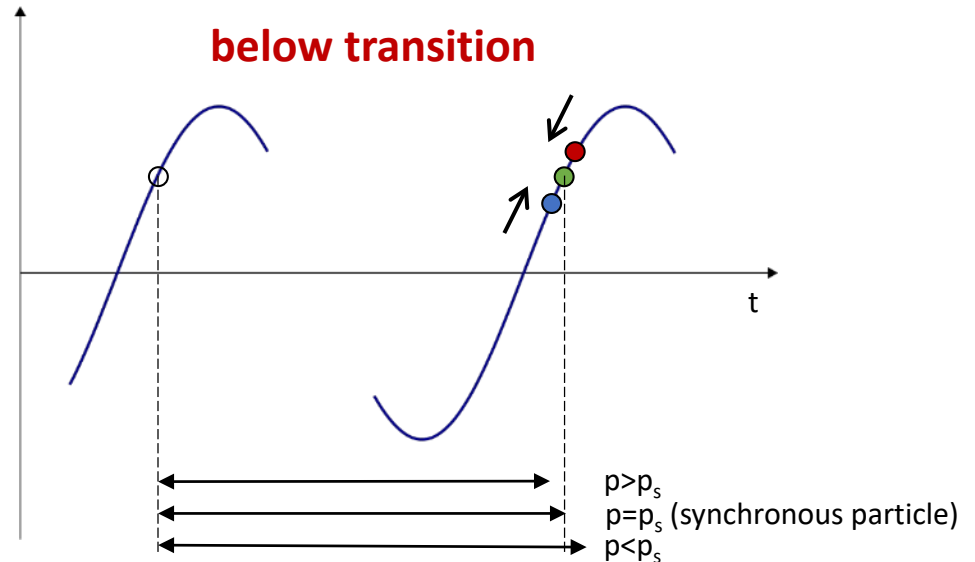


Recap Synchrotron:

Stable phases above and below transition ?

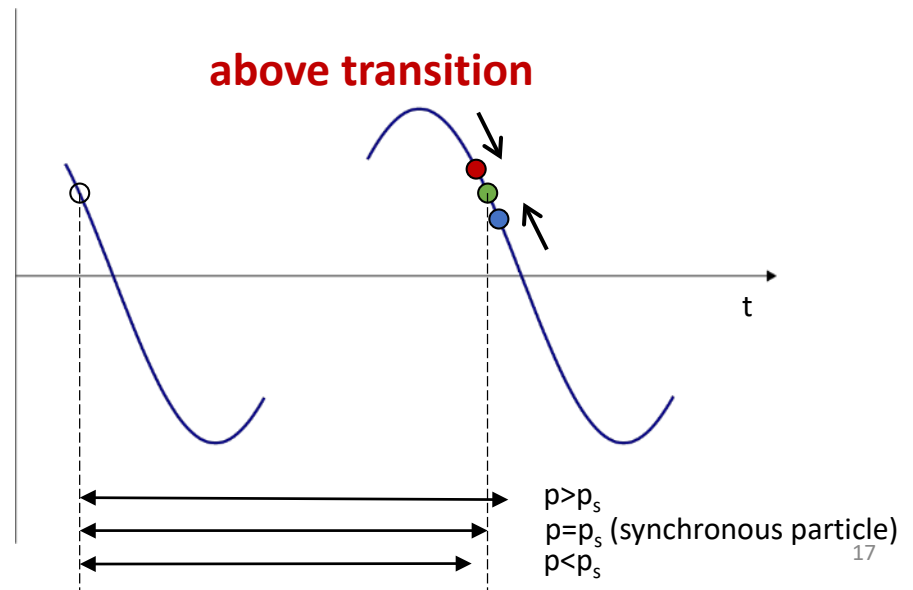
$E < E_{tr}$: with increasing energy
circulation time is reduced
(dominated by velocity)

$$\eta_c < 0$$



$E > E_{tr}$: with increasing energy
circulation time is increased
(dominated by path length)

$$\eta_c > 0$$



Synchrotron: Transition Energy

dependence of circulation time on momentum

competing effects: **path length** ↔ **speed of particles**

$$\frac{\Delta\tau}{\tau} = \underbrace{\left(\frac{1}{\gamma_{\text{tr}}^2} - \frac{1}{\gamma^2} \right)}_{\text{Slip factor: } \eta_c} \frac{\Delta p}{p}$$

momentum compaction factor

$$\frac{1}{\gamma_{\text{tr}}^2} = \alpha_c = \frac{1}{C} \oint \frac{D(s)}{\rho(s)} ds$$

$$E_{\text{tr}} = \gamma_{\text{tr}} m_0 c^2$$

the **transition energy** is a parameter of the magnet lattice

compare **cyclotron** example:

$$\frac{\Delta\tau}{\tau} = \underbrace{\left(\frac{1}{1+k} - \frac{1}{\gamma^2} \right)}_{=0 \text{ for isochronous cyclotron}} \frac{\Delta p}{p}$$

$$k = \frac{R}{B} \frac{dB}{dR} \quad \text{field index}$$

= 0 for isochronous cyclotron

Stationary Bucket and Separatrix

relation with slip factor:

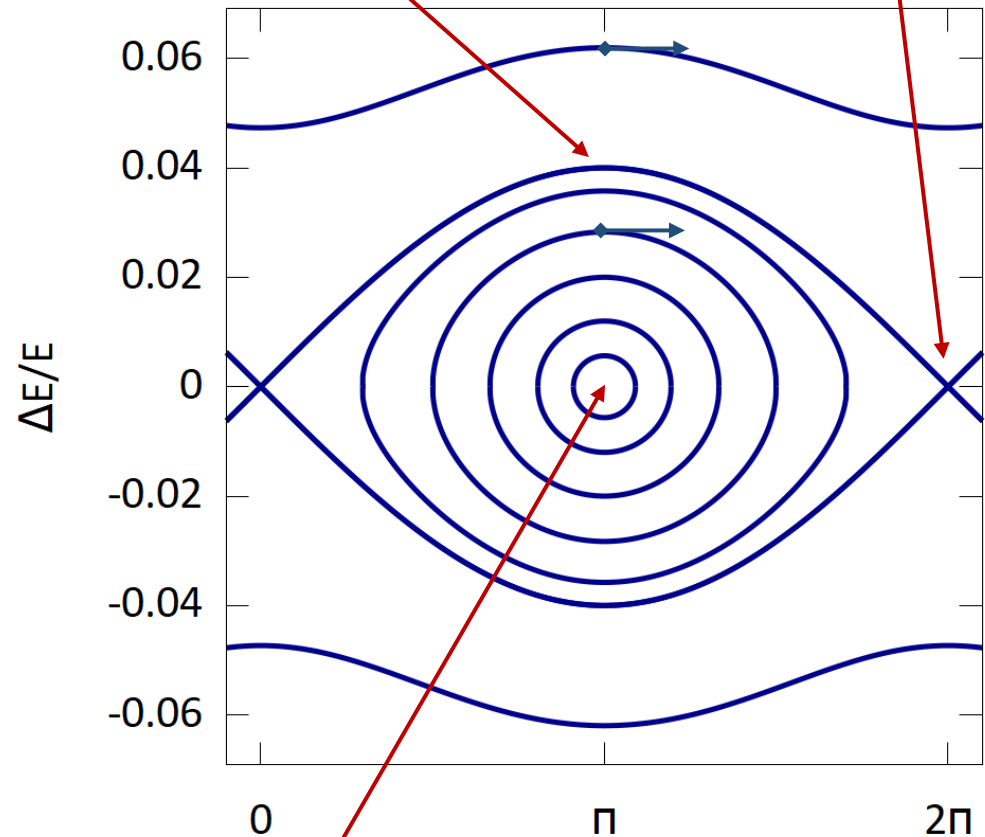
$$\frac{\Delta\tau}{\tau} = \eta_c \frac{\Delta p}{p}$$

for example above transition:

$$\eta_c > 0 \rightarrow \Delta E > 0, \Delta\phi > 0$$

maximum energy acceptance
on separatrix

unstable fixpoint defines
 H_{sep} , separatrix



Stable fixpoint $\phi = \pi$

phase [rad]

Next: Case Study 500GeV Synchrotron

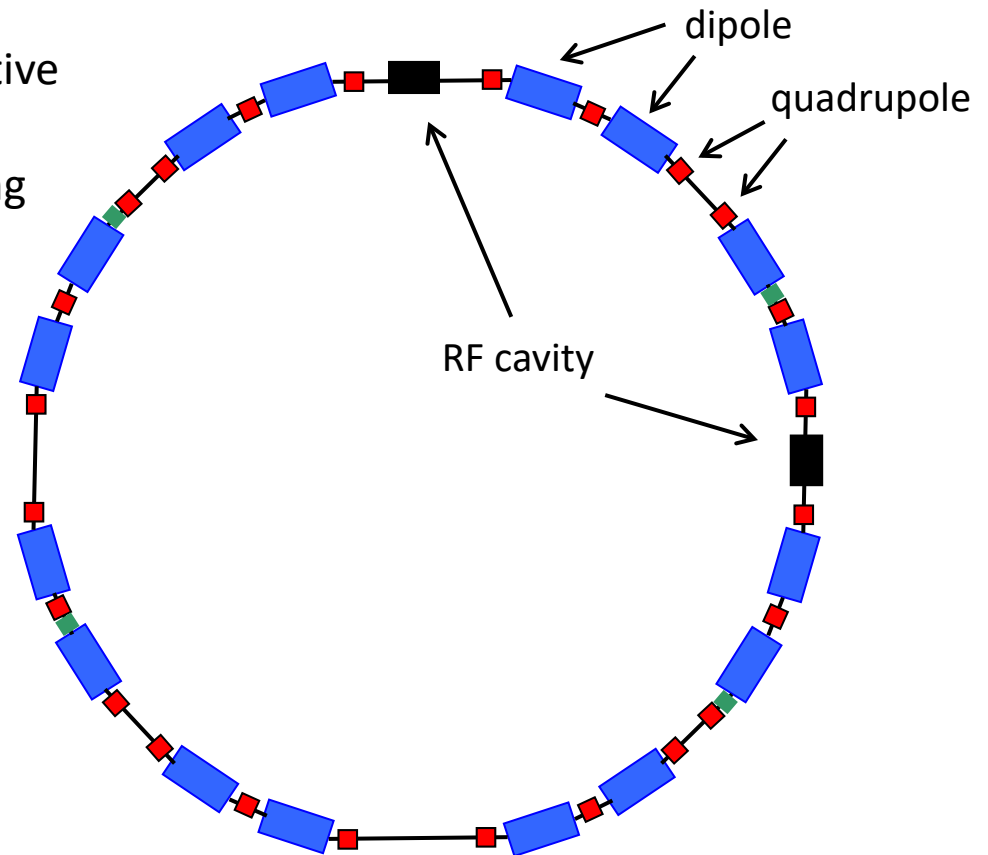
- bending strength, injection/extraction energy
- focusing and beam size
- main parameters, chromaticity and its correction

Common Accelerator Types: Synchrotron

Synchrotron:

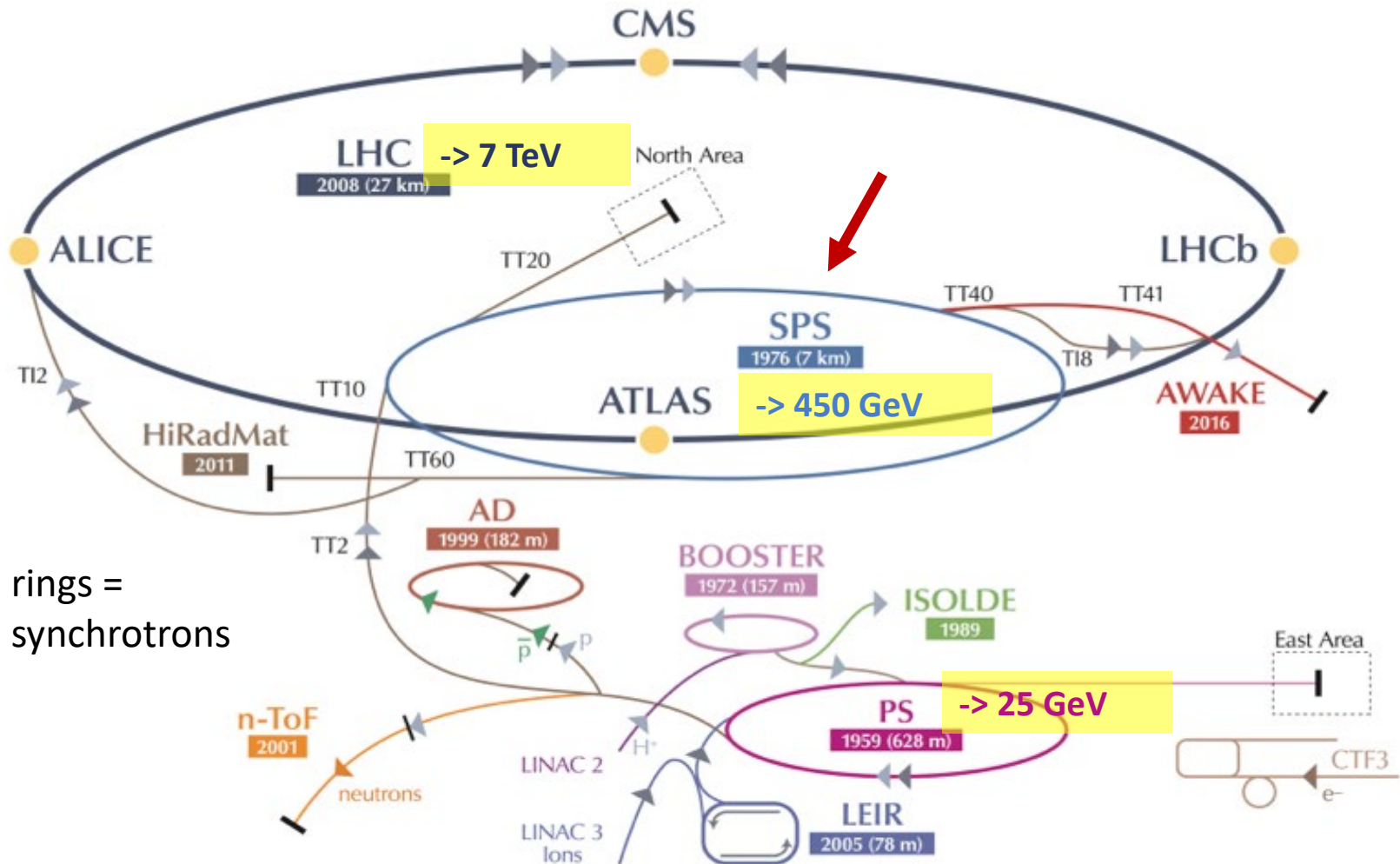
- multiple use of cavities
- high energies possible – limited by B-field (p, ions) or SR power (e)
- for a range of parameters cost effective

→ this is the best choice for accelerating protons to 500GeV



CERN Accelerator Zoo

SPS = Super Proton Synchrotron, 25 → 450 GeV



rings =
synchrotrons

► p (proton) ► ion ► neutrons ► \bar{p} (antiproton) ► electron ► proton/antiproton conversion

A high energy proton synchrotron a la SPS

a proton synchrotron for 500GeV

- ring size and field strength
- FODO lattice choices
- other machine parameters



[Super Proton Synchrotron, CERN]

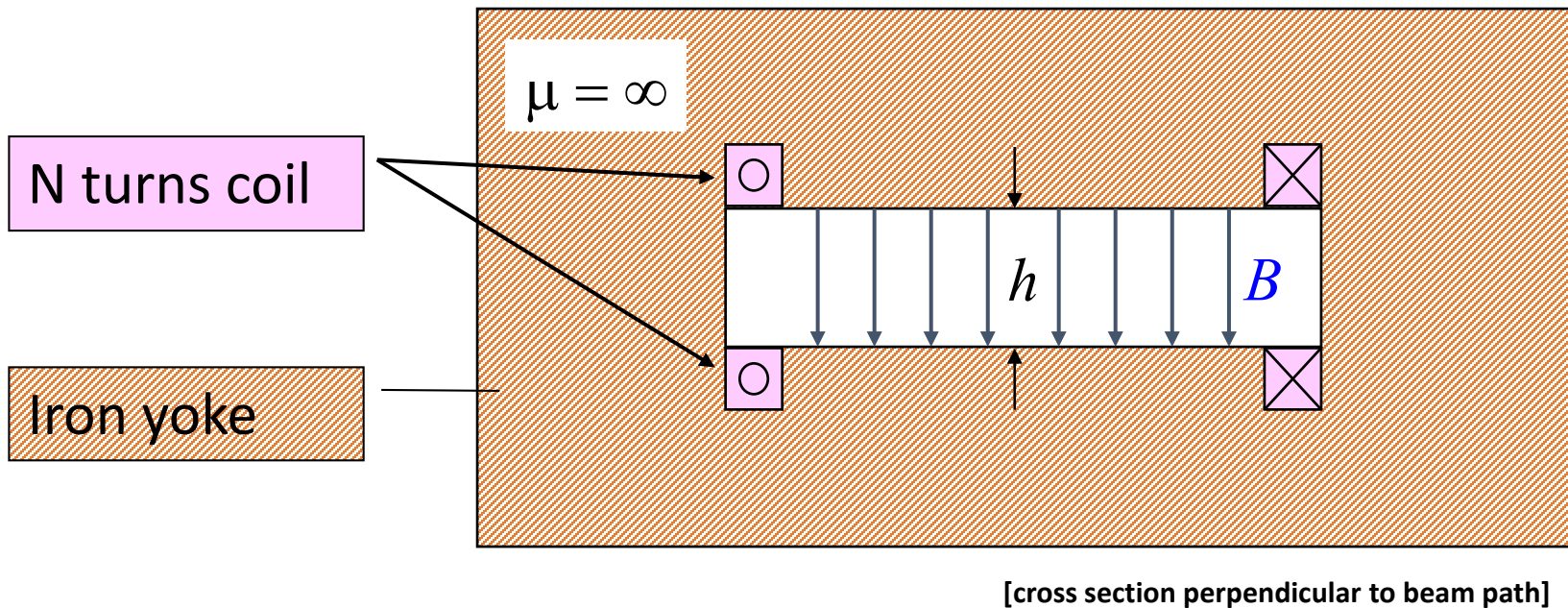
main dipoles of the SPS at CERN: $2.0\text{ T} \times 6.3\text{ m}$



[A.Milanese, CERN]

Bending magnets (dipoles)

Iron dominated magnets ($B < 2$ Tesla)



field strength
in gap:

$$B = \mu_0 \frac{N \cdot I}{h}$$

I magnet current
 N number of turns
 h gap height

Step 1: A Magnet for a 500GeV Ring

length = 12m

room temp. magnet: $B_{\max} = 2\text{T}$

→ bend radius $\rho_{\min} = 835.5\text{m}$

→ bending angle $\theta = l/\rho = 14.4\text{mrad}$

magnets needed for ring: $N = 2\pi/\theta = 437.4$

→ rounding up: $N = 440$ Magnets

magnetic rigidity:

$$B\rho [\text{T} \cdot \text{m}] = 3.3356 \cdot p [\text{GeV}/c]$$

magnet specification:

$B = 1.988 \text{ Tesla}, l = 12.0 \text{ m}, N = 440$

→ $\rho = 840.4\text{m}$

Step 2: Energy Range

the synchrotron ramps from an injection energy E_{\min} to $E_{\max} = 500\text{GeV}$ due to field errors etc. **the factor E_{\max}/E_{\min} cannot be arbitrarily large**
we choose $E_{\min} = 25\text{ GeV}$, which is reasonably possible

the normalized emittance
is conserved:

$$\varepsilon_n = \beta\gamma\varepsilon \approx \gamma\varepsilon$$

$$\varepsilon_n = \text{const}$$

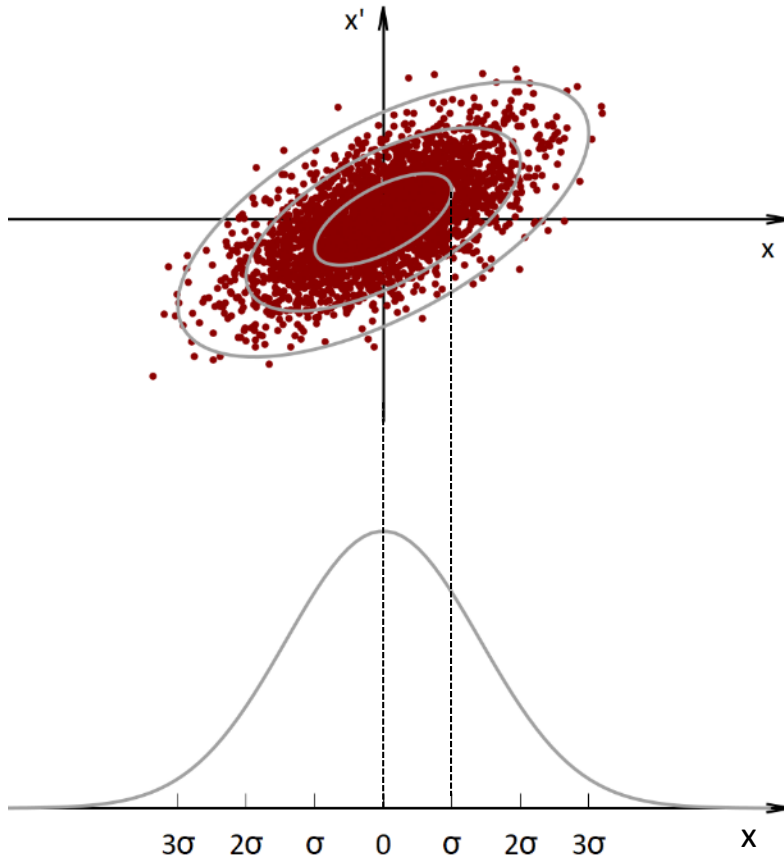
example value:
 $\varepsilon_n = 5\text{ mm mrad}$

the geometric emittance at E_{\min} is larger by a factor 20

the transverse beam size at E_{\min} is larger by a factor $\sqrt{20} = 4.5$

→ magnet aperture and beam pipe must accommodate the beam at E_{\min}

Recap: Beam Emittance



emittance characterizes the phase space volume of the beam, here of x, x'

beam emittance as statistical property:

$$\varepsilon_x = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}$$

projected distribution:

$$\sigma_x = \sqrt{\langle x^2 \rangle}$$

$$\sigma_x = \sqrt{\varepsilon_x \beta_x}$$

Comparison to low energy cyclotron

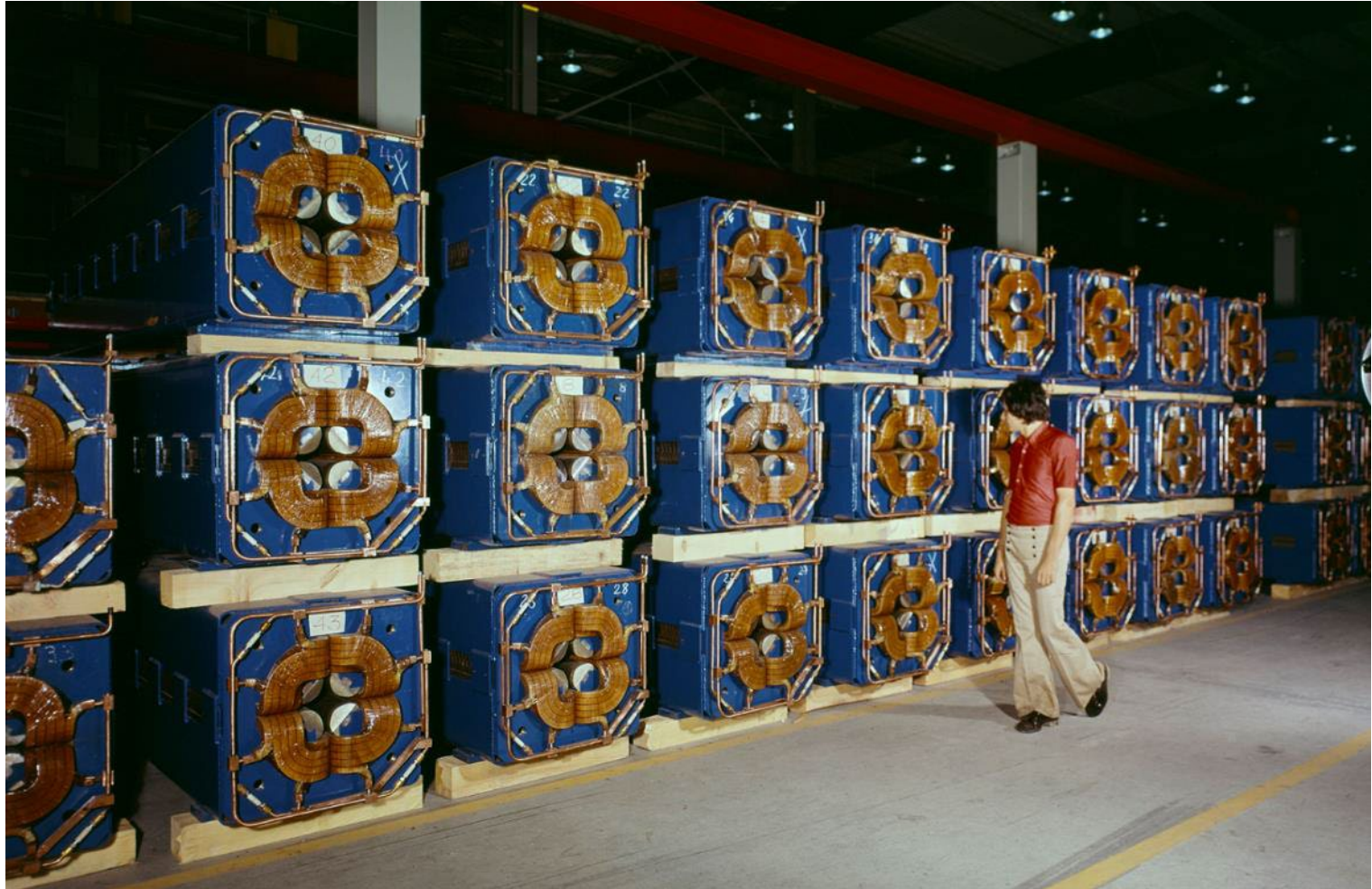
the synchrotron is highly relativistic

the circulation time is practically constant

variations of f_{rev} as we considered for the cyclotron are not an issue

	cyclotron $E_k = 10\text{MeV}$	synchrotron $E_k = 25\text{GeV}$	synchrotron $E_k = 500\text{GeV}$
γ	1.011	27.6	534
β	0.145	0.9993	0.999998
$\rho @ 2T$	0.229 m		835 m

Step 3: Focusing using Quadrupoles



[SPS quadrupoles, A.Milanese, CERN]

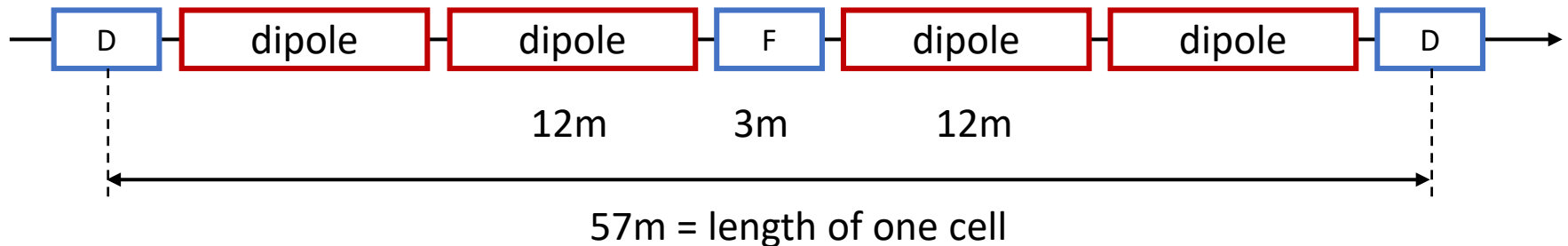


FODO Cell Structure

Four dipoles per FODO cell: $440/4 = 110$ cells

Besides bending also focusing is needed

→ part of the circumference cannot be covered with bending field, but is needed for gaps between magnets and for quadrupoles



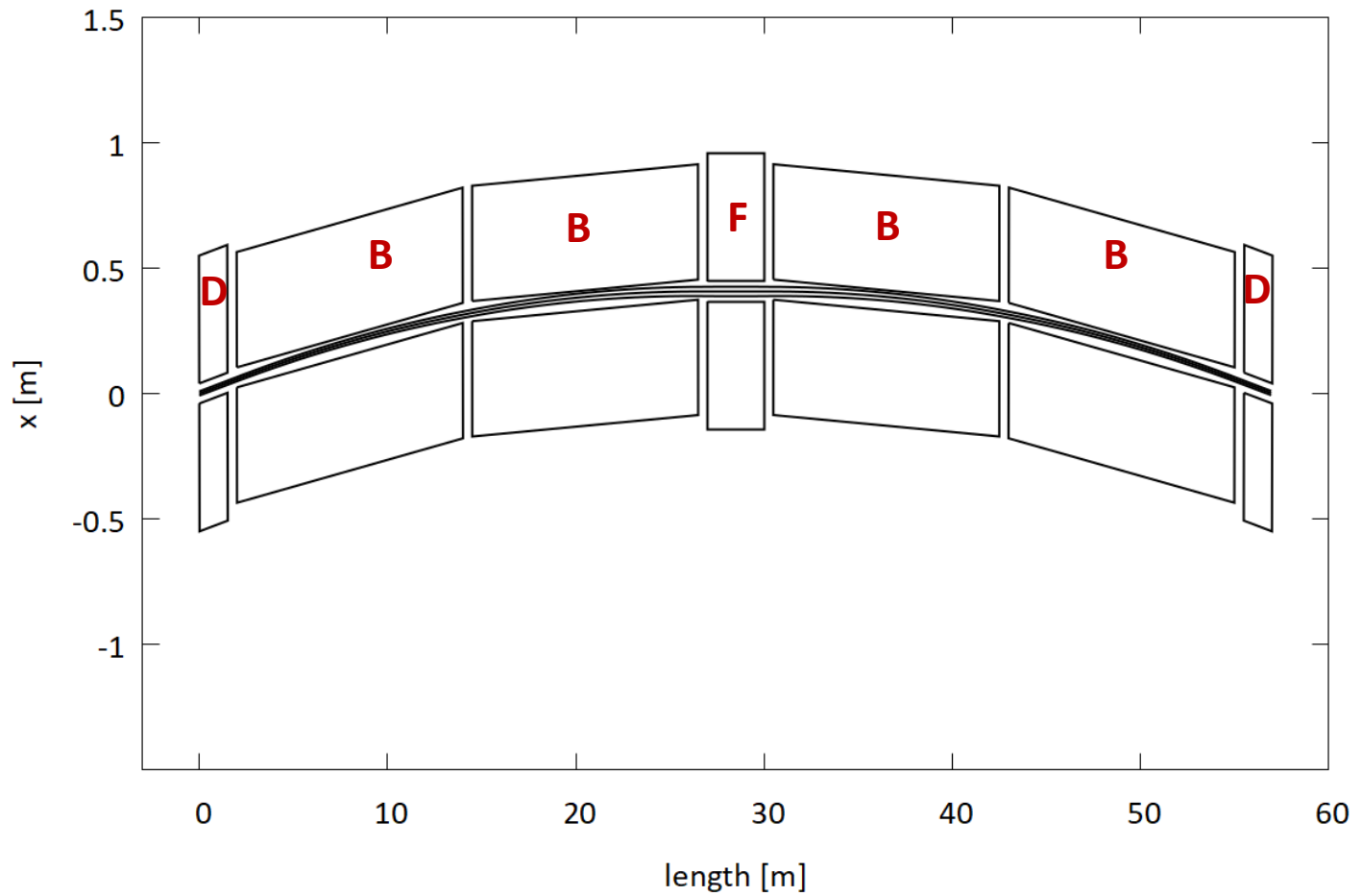
bending radius: $\rho = 840.4\text{m}$

average radius: $R = 57/48 \times \rho = 998\text{m}$

circumference: $C = 2\pi \times R = 6270\text{m}$

in practice more “insertions” needed: $C \approx 8000\text{ m}$

FODO Cell Geometry

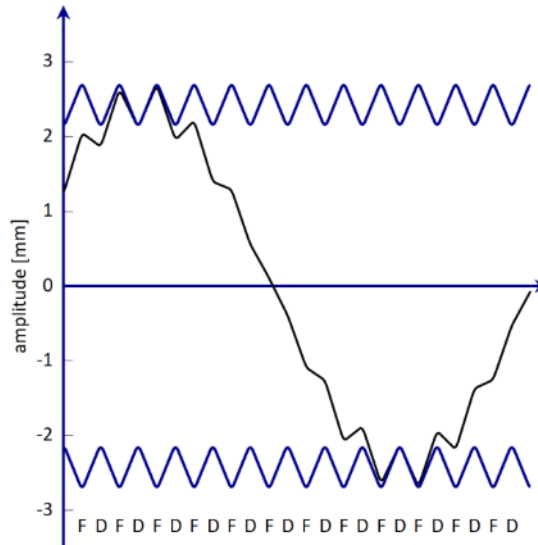


Note scale ratio $s/x \approx 20$

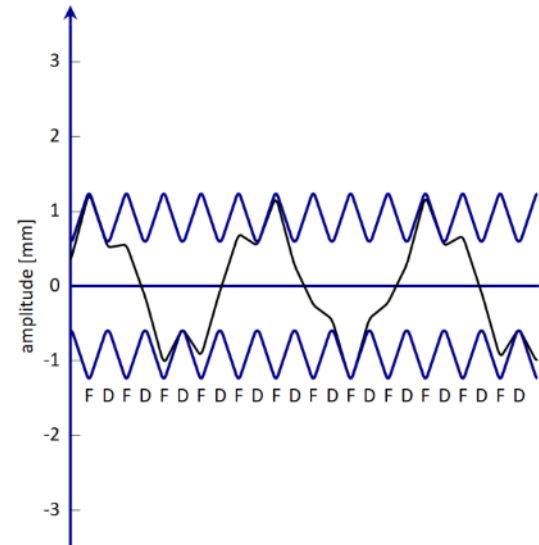
Recap: Beta Function

$$x(s) = A\sqrt{\beta(s)} \cos \varphi(s), \quad \varphi(s) = \int_{t=s_0}^s \frac{dt}{\beta(t)}$$

→ the **beta function** is a **scaling factor** for the amplitude of orbit oscillations and their **local wavelength** at the same time



weak quads, β large



strong quads, β small

Rough estimates of key parameters

phase advance per cell:
we choose 90deg:

$$Q \approx N_{\text{cell}} \cdot \frac{90\text{deg}}{360\text{deg}} = 27.5$$

$$Q = \frac{1}{2\pi} \oint \frac{ds}{\beta(s)} \approx \frac{R}{\beta_{\text{avg}}}$$

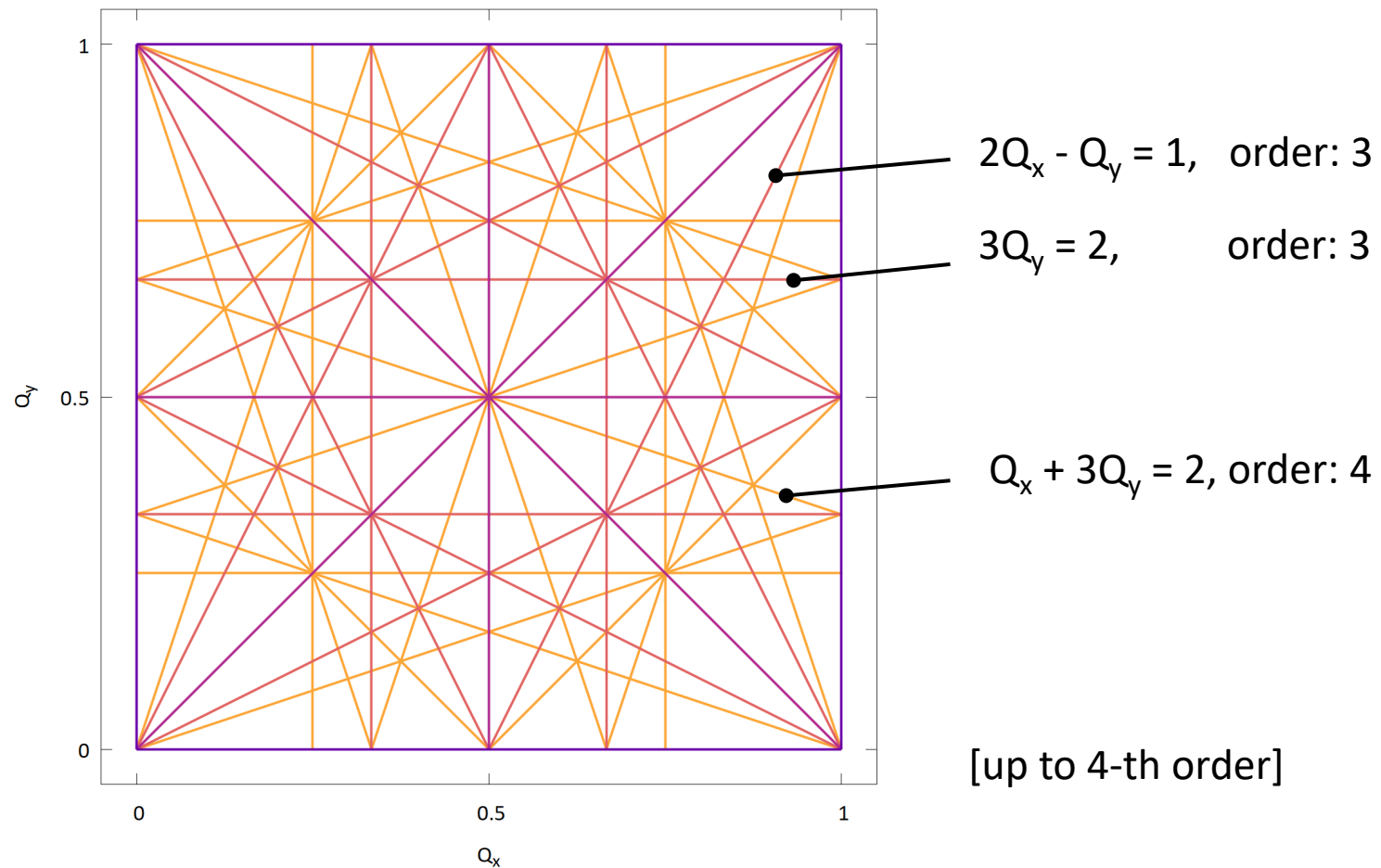
Beta-function is estimated from
smooth approximation (R=998m):

$$\beta_{\text{avg}} \approx R/Q = 36 \text{ m}$$

Resonance Plot

condition for resonance: $j \cdot Q_x + k \cdot Q_y = n$

order of resonance: $|j| + |k|$



Estimate of dispersion, momentum compaction

$$D'' + K(s)D = \frac{1}{\rho}$$

simplifying
assumptions:

$$D(s) = D_{\text{avg}} = \text{const}$$

$$K(s) = 1/\beta_{\text{avg}}^2$$

$$\beta_{\text{avg}} = R/Q$$

$$D_{\text{avg}} \approx \frac{R}{Q^2}$$

$$\alpha_c \approx \frac{\langle D \rangle}{R} = \frac{1}{Q^2}$$

our 500GeV lattice, $Q \approx 27.5$, $R \approx 998\text{m}$:

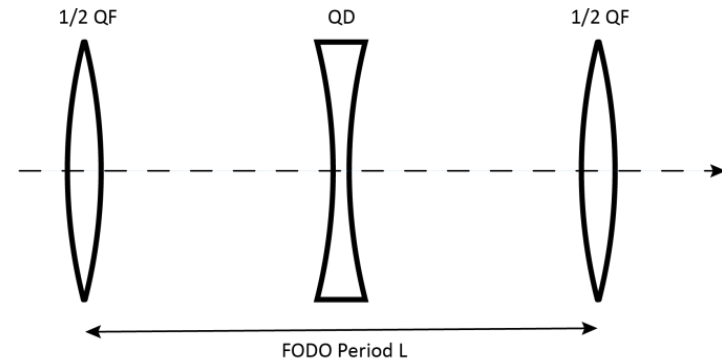
$$D_{\text{avg}} = 1.32 \text{ m}$$

$$\alpha_c = 1.3 \times 10^{-3}$$

momentum compaction = path
length vs momentum change

$$\frac{\Delta C}{C} = \alpha_c \frac{\Delta p}{p}$$

Recap: FODO Cell



thin lens approximation:

$$\mathbf{M}_{\text{FODO}} = \begin{pmatrix} 1 - \frac{L^2}{8f^2} & L \left(1 + \frac{L}{4f}\right) \\ -\frac{1}{f^*} & 1 - \frac{L^2}{8f^2} \end{pmatrix}, \quad \frac{1}{f^*} = \frac{L}{4f^2} \left(1 - \frac{L}{4f}\right)$$

F: focusing quadrupole

D: defocusing quadrupole

f = focal length of quadrupole

Simplest case: $f = f_D = -f_F$, $l_1 = l_2$ (distance between quads)

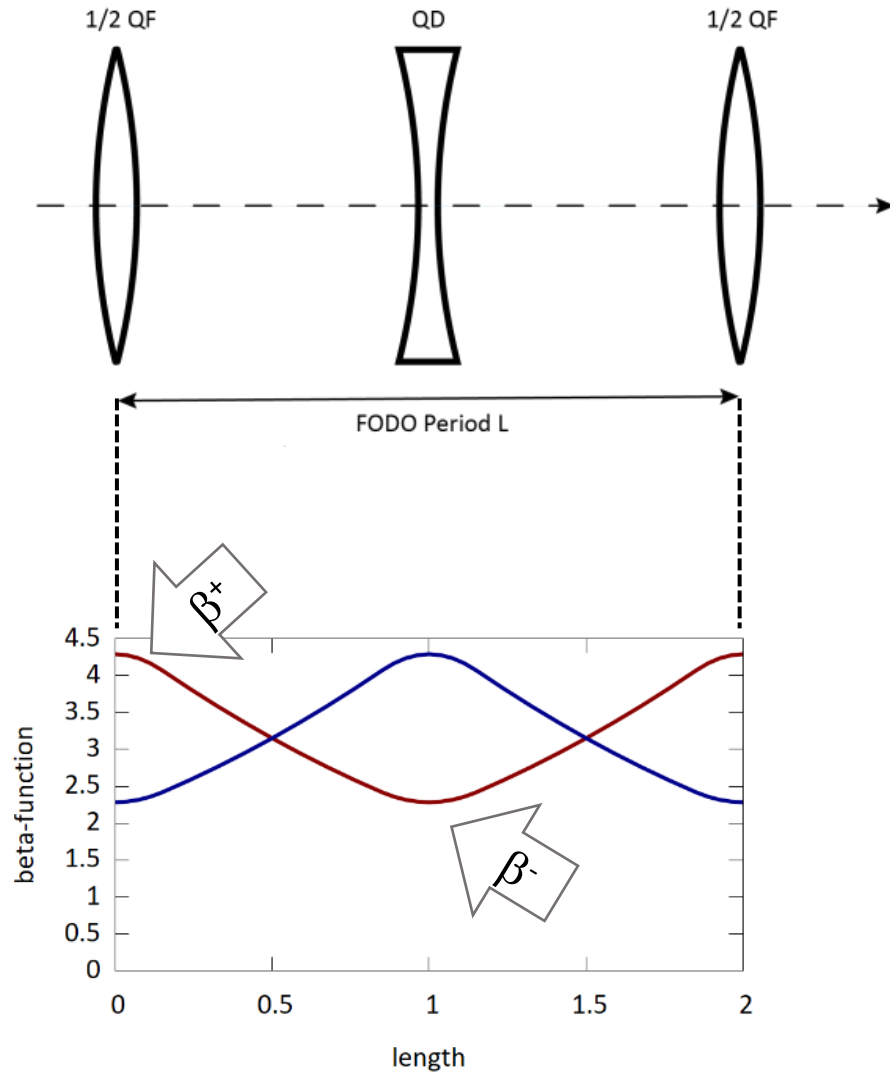
Recap: FODO Cell Parameters

we obtain for β^+ in the focusing quad
and β^- in the defocusing:

$$\beta^{\pm} = L \frac{1 \pm \sin(\mu/2)}{\sin \mu}$$

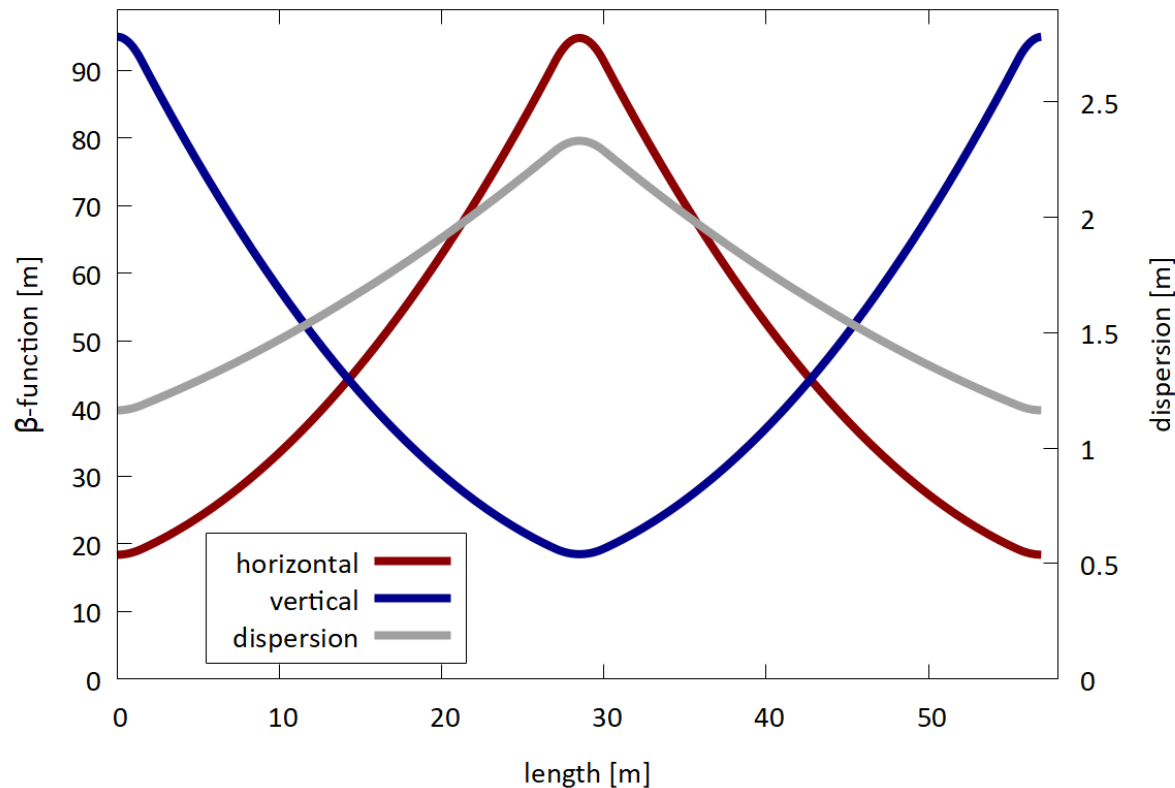
phase advance per cell (adjustable):

$$\sin(\mu/2) = \frac{L}{4f}$$



FODO Cell Beam Optics (90deg)

the three main optics functions in the FODO cell are β_x , β_y , D_x



$$\beta^+ \approx 92 \text{ m}$$

$$\beta^- \approx 18 \text{ m}$$

$$\beta_{\text{avg}} \approx \sqrt{\beta^+ \beta^-}$$
$$= 40.5 \text{ m}$$

(estimate was 36.3m)

Emittance and Beam Size

normalized emittance \leftrightarrow geometric emittance

$$\varepsilon_n = 5 \text{ mm mrad} \rightarrow \varepsilon_{500 \text{ GeV}} = 10^{-8} \text{ mm mrad}$$

$$\varepsilon_{25 \text{ GeV}} = 2 \cdot 10^{-7} \text{ mm mrad}$$

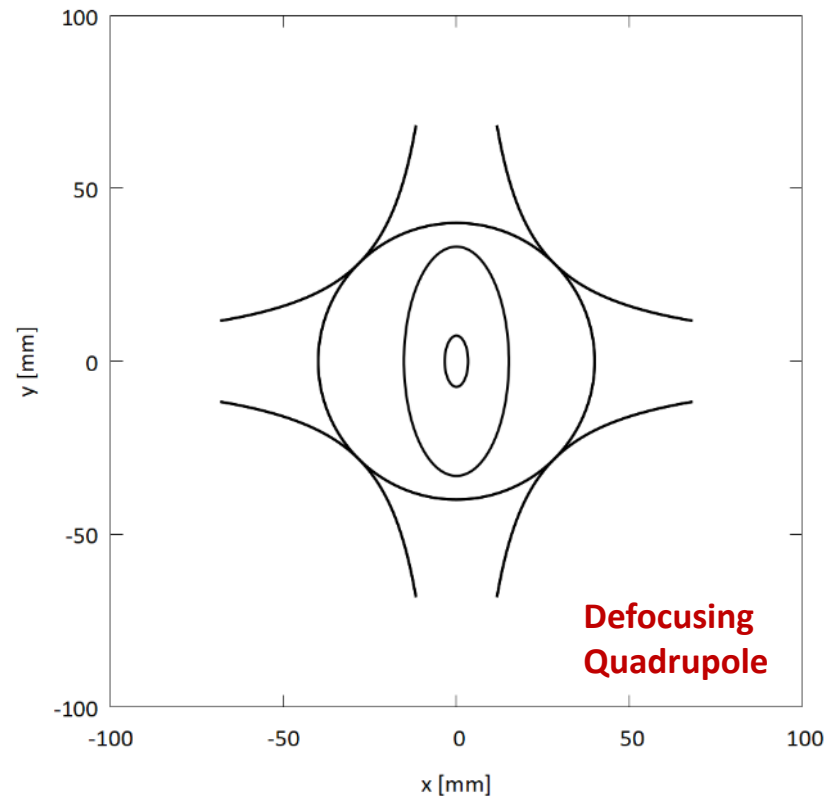
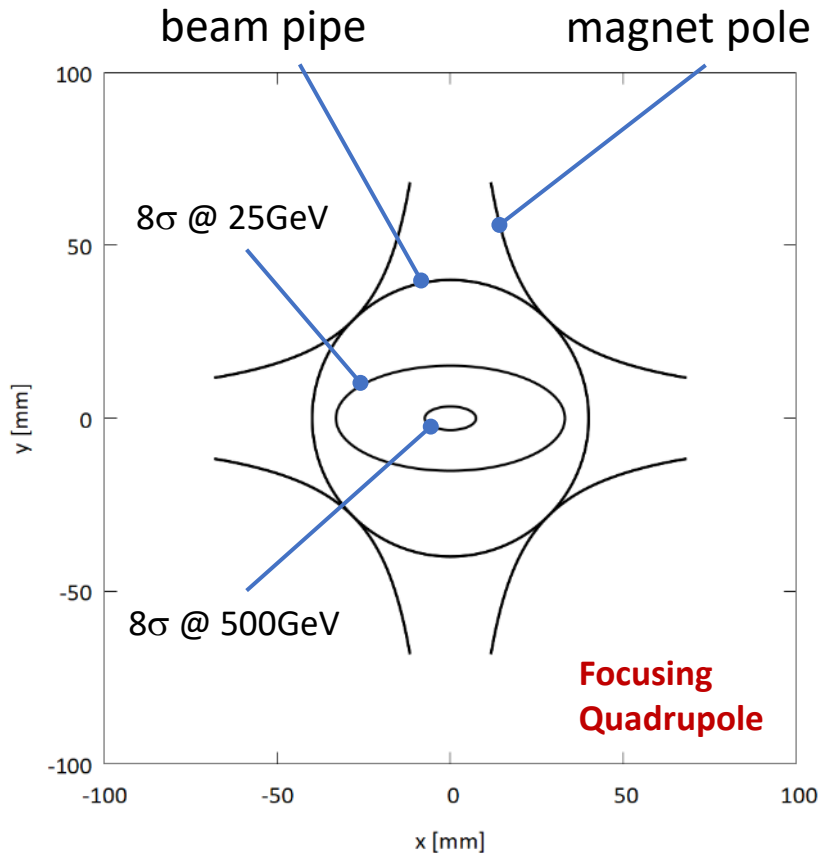
Beam size and angular spread:

$$\sigma_x = \sqrt{\varepsilon \beta_x}, \quad \sigma'_x = \sqrt{\varepsilon / \beta_x}$$

max/min values in FODO lattice:

	σ_{500}	σ'_{500}	σ_{25}	σ'_{25}
$\beta^+ = 92\text{m}$	1.0 mm	10 μrad	4.3 mm	47 μrad
$\beta^- = 18\text{m}$	0.4 mm	23 μrad	1.8 mm	102 μrad

Beam Envelopes, at 25GeV and at 500GeV



The **normalised emittance** is invariant during acceleration: $\varepsilon_n = \beta\gamma\varepsilon$

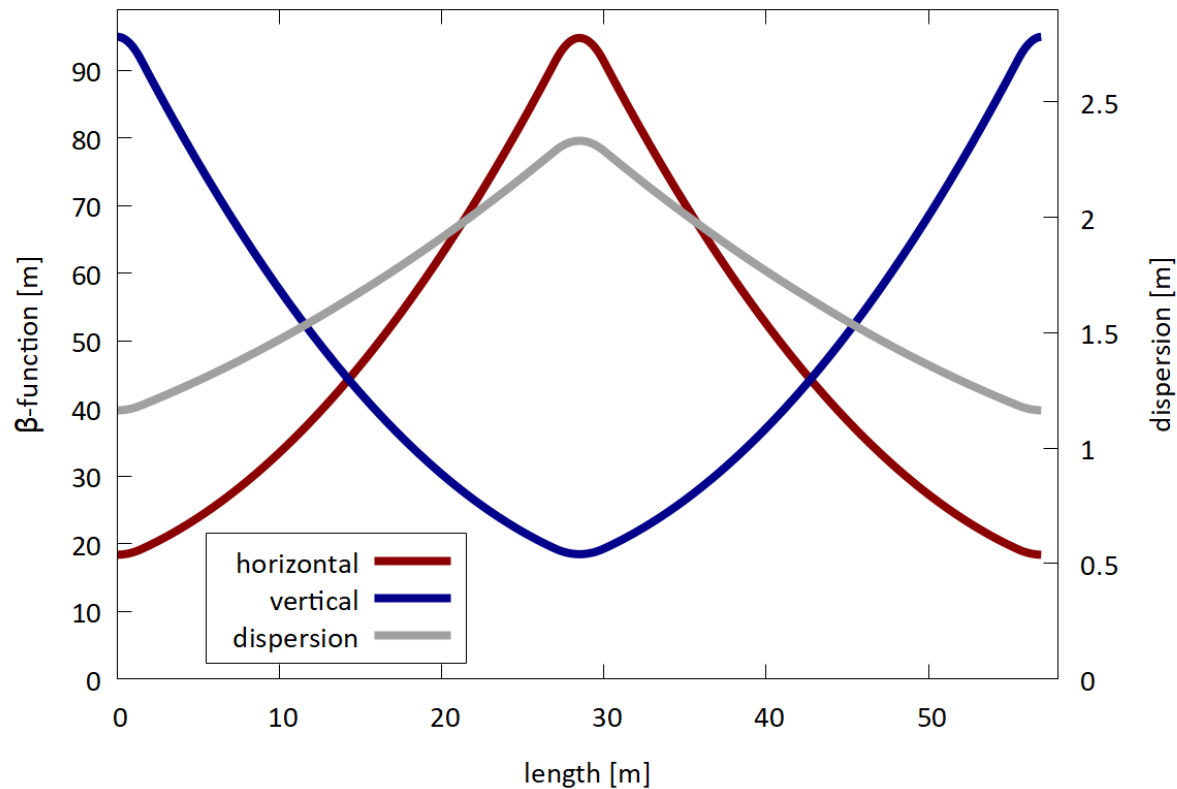
$$\sigma_x = \sqrt{\beta_x \varepsilon_x}$$

$$\sigma_y = \sqrt{\beta_y \varepsilon_y}$$

norm. emittance:

$$\varepsilon_n = 5 \text{ mm mrad}$$

FODO Cell Dispersion (90deg)



$$D^+ \approx 2.3 \text{ m}$$

$$D^- \approx 1.2 \text{ m}$$

$$D_{\text{avg}} \approx \sqrt{D^+ D^-}$$
$$= 1.6 \text{ m}$$

(estimate 1.3m)

Recap: Chromaticity

Chromaticity ξ = change of tune per relative change of momentum:

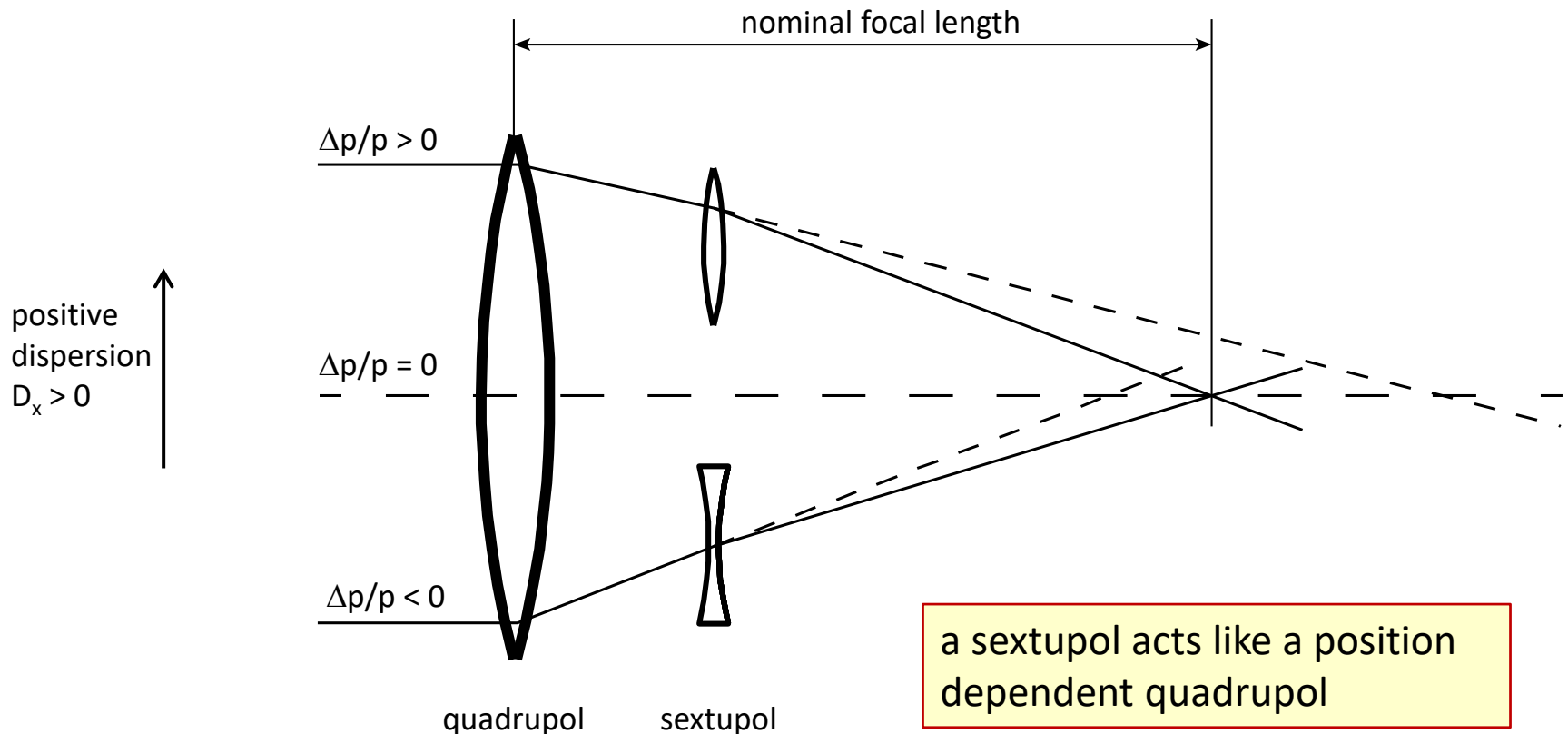
$$\Delta Q = \xi \frac{\Delta p}{p_0}$$

integration over gradients around ring, betafunction as “sensitivity factor”:

$$\xi_x = -\frac{1}{4\pi} \oint K(s) \beta_x(s) ds$$

→ chromaticity is overall negative in a FODO lattice and it must be corrected towards a small number using sextupoles besides each quadrupole

Recap Chromaticity: Correction using Sextupoles



total chromaticity
in a ring:

$$\xi_{\text{tot}} = \frac{1}{4\pi} \oint (m(s)D(s) - K(s))\beta_x(s)ds$$

Summary Case Study Proton Accelerators

- a 10 MeV classical cyclotron with a simple s.c. magnet as cost effective solution for a hospital
- a 500GeV large proton synchrotron with 7km circumference, FODO structure and electron beam option

keywords:

cyclotron, relativistic factors β & γ , magnetic rigidity, cyclotron frequency, field index & tunes in cyclotron

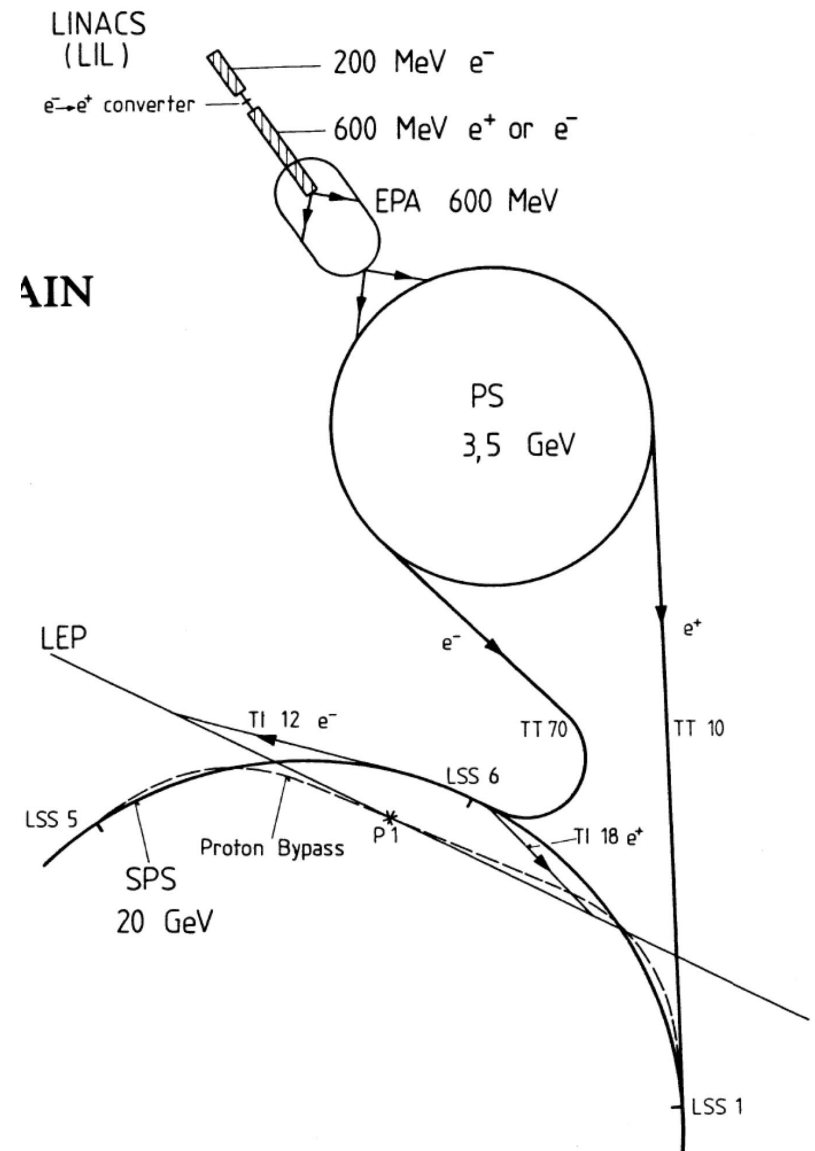
synchrotron, transition energy, normalized & geometric emittance

beta function, FODO structure, phase advance per cell, betatron frequency/tune, chromaticity

How about electrons in same Synchrotron?

The CERN SPS was used to accelerate also Electrons and Positrons to $\approx 20\text{GeV}$ for LEP.

Our example synchrotron is good for 500GeV protons.
Why only 20GeV electrons?





Parameters for electron acceleration.

E_{\min}	3.5 GeV
E_{\max}	20 GeV
$N_e (e^+ \text{ \& } e^-)$	2×10^{11}
I	1.5 mA

note circulation
time: 21 μ s

- for leptons the maximum energy results from SR losses and RF voltage/power
- the equilibrium emittance can be reduced with stronger focusing, SPS was operated with 135deg phase advance per FODO cell

20GeV → SR losses, RF voltage and power

energy loss / turn
per photon

$$U_0[\text{keV}] = 88.5 \times \frac{E^4[\text{GeV}^4]}{\rho[\text{m}]}$$

= 17MeV / turn

our machine at 20GeV:

E_{max}	20 GeV
U_0	17 MeV
I	1.5 mA
P_{RF}	25 kW

hypothetical @ 500GeV:

E_{max}	500 GeV
U_0	6.6 TeV!
I	1.5 mA
P_{RF}	9.9 GW!

energy loss / turn →

beam current →

RF power →

Critical Energy of Dipole Radiation

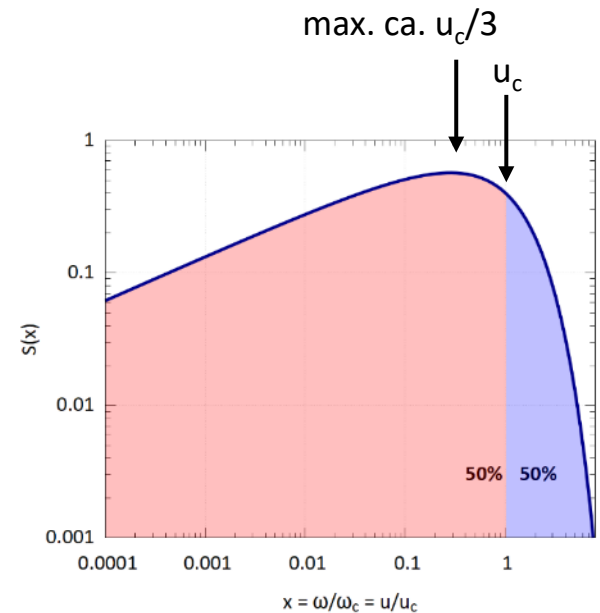
critical energy
of radiation: $u_c [\text{keV}] \approx 2.218 \frac{E^3 [\text{GeV}^3]}{\rho [\text{m}]}$

in our model accelerator ($\rho=840\text{m}$):

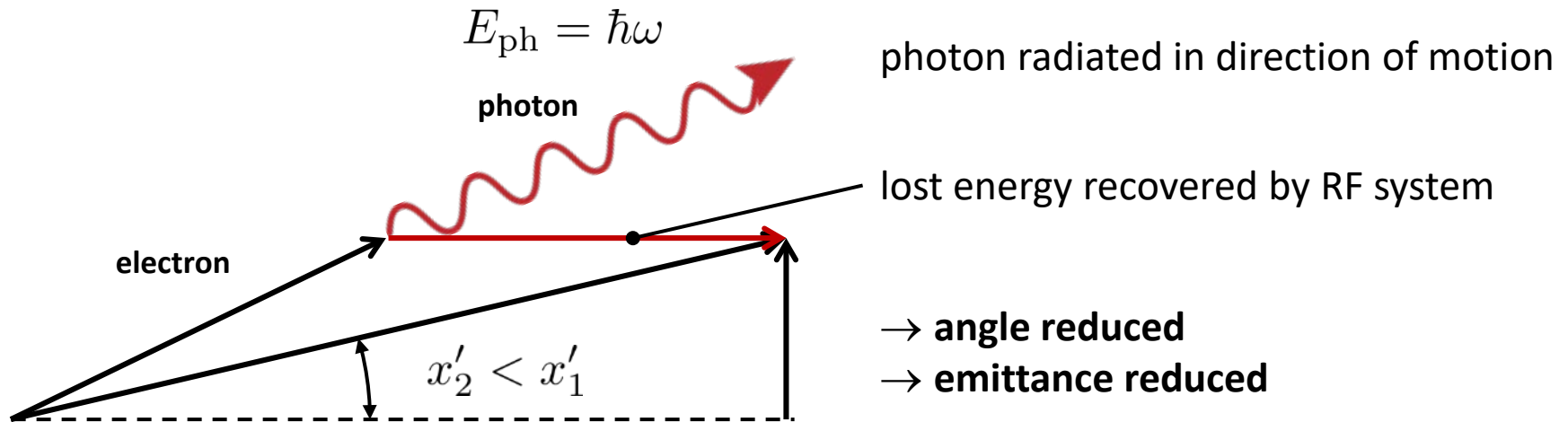
$$E_c(20 \text{ GeV}) = 21 \text{ keV}$$

$$E_c(3.5 \text{ GeV}) = 0.11 \text{ keV}$$

photon
wavelength: $1 \text{ \AA} = 10^{-10} \text{ m} \sim 12.4 \text{ keV}$



Radiation Damping



damping times:
(most cases: $\mathcal{D}=0$)

$$\frac{1}{\tau_i} = \frac{U_0}{2\tau_0 E_s} \times J_i, \quad J_\epsilon = 2 + \mathcal{D}, \quad J_y = 1, \quad J_x = 1 - \mathcal{D}$$

The balance between emission of photons with stochastic energy distribution and compensation of average energy loss leads to an equilibrium emittance.

Estimate of equilibrium electron beam emittance

$$\varepsilon_x \approx \frac{C_q R}{J_x \rho_0} \frac{\gamma^2}{Q_x^3}$$

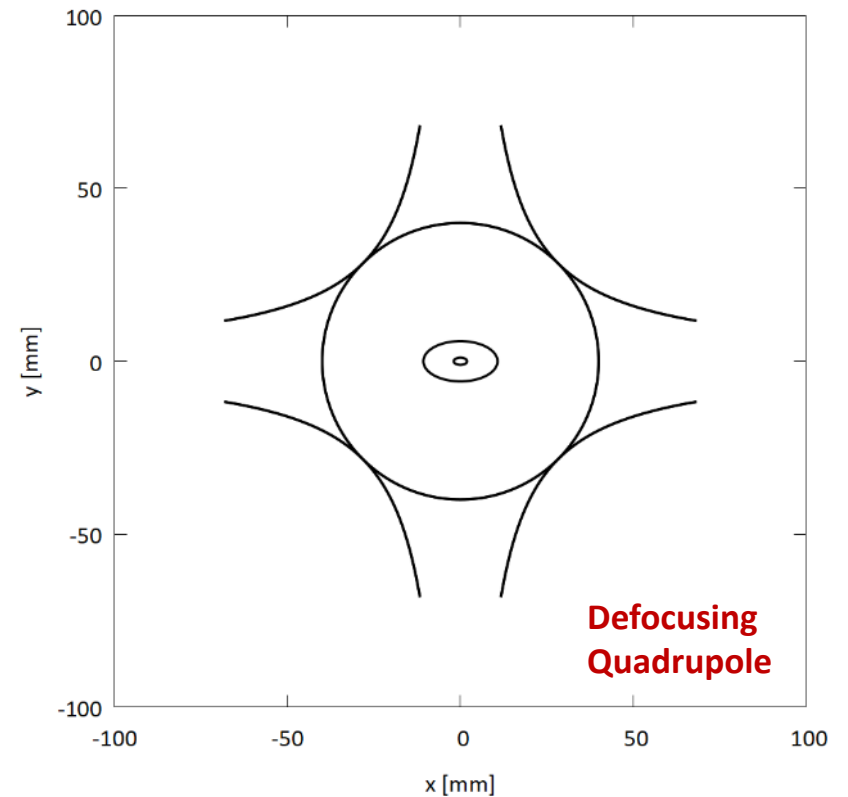
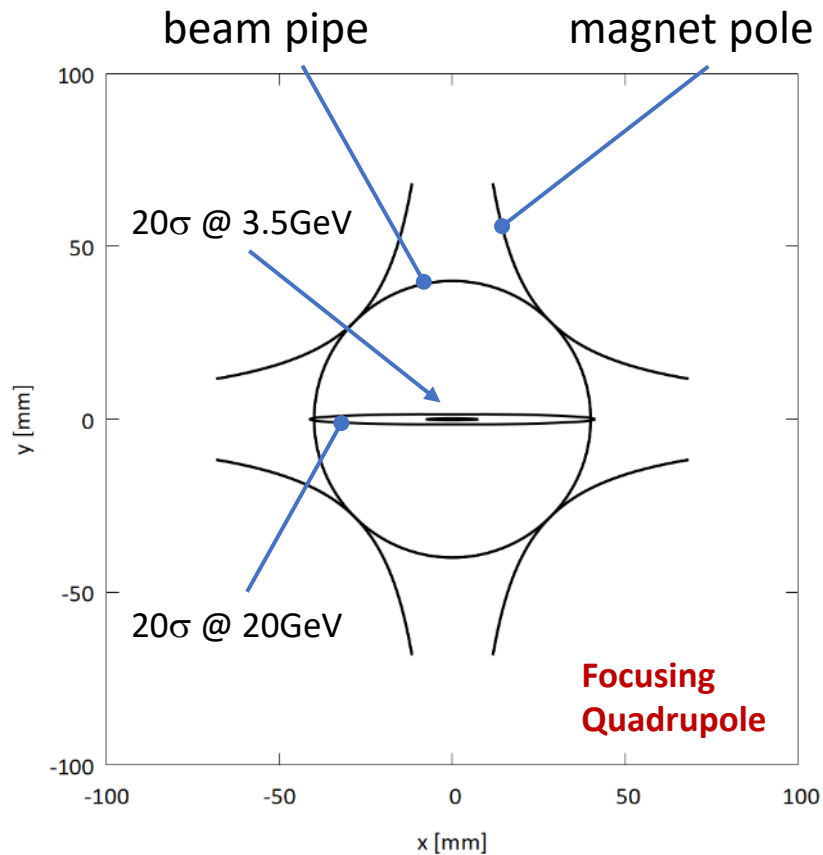
energy
focusing

$$C_q = 3.84 \times 10^{-13} \text{ m}$$
$$R/\rho_0 \approx 1.3$$
$$J_x \approx 1$$

Energy	Emittance
E = 20 GeV	$\varepsilon_x \approx 34 \text{ nm}$
E = 3.5 GeV	$\varepsilon_x \approx 1.1 \text{ nm}$

- for electrons the beam is larger at higher energies !
- vertical emittance: assume coupling factor $1/50 = 2\% \rightarrow$ beam is flat

Beam Envelopes for Electrons



Summary Electron Synchrotron Example

- although the magnets are good for 500GeV , electrons can reasonably be accelerated only to several 10GeV; limited by synchrotron radiation losses
- the electron beam assumes an equilibrium size which is larger at 20GeV than at 3.5GeV

key topics:

properties of radiation: synchrotron radiation loss per turn $\propto E^4$, critical energy of radiated photons $\propto E^3$, photon energies in keV range, 12keV correspond to 1\AA

electron beam shrinks to equilibrium emittance = balance of radiation damping and quantum excitation, emittance scales as E^2 and Q_x^{-3}

Appendix: focusing in a classical cyclotron

centrifugal force mv^2/r



Lorentz force $qv \times B$



$$m\ddot{r} = mr\dot{\theta}^2 - qr\dot{\theta}B_z$$

focusing: consider small deviations x from beam orbit R ($r = R+x$):

$$\ddot{x} + \frac{q}{m}vB_z(R+x) - \frac{v^2}{R+x} = 0,$$

$$\ddot{x} + \frac{q}{m}v \left(B_z(R) + \frac{dB_z}{dR}x \right) - \frac{v^2}{R} \left(1 - \frac{x}{R} \right) = 0,$$

$$\ddot{x} + \omega_c^2(1+k)x = 0.$$

using: $\omega_c = qB_z/m = v/R$, $r\dot{\theta} \approx v$, $k = \frac{R}{B} \frac{dB}{dR}$

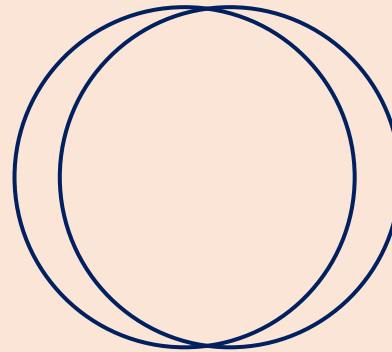
Appendix: betatron tunes in cyclotrons

thus in radial plane:

$$\begin{aligned}\omega_r &= \omega_c \sqrt{1+k} = \omega_c \nu_r \\ \nu_r &= \sqrt{1+k} \\ &\approx \gamma\end{aligned}$$

using isochronicity condition

note: simple case for $k = 0$: $\nu_r = 1$
(one circular orbit oscillates w.r.t the other)



using Maxwell to relate B_z and B_R :

$$\text{rot } \vec{B} = \frac{dB_R}{dz} - \frac{dB_z}{dR} = 0$$

in vertical plane:

$$\nu_z = \sqrt{-k}$$



$k < 0$ to obtain
vertical focus.

**thus: in classical cyclotron $k < 0$ required for vert. focus;
however **this violates isochronous condition** $k = \gamma^2 - 1 > 0$**