

Neural Manifolds and Low-dimensional dynamics: **What are Neural Manifolds?**

1. What are Neural Manifolds?

- experimental observations

2. Two views of Neural Activity

- computing (Hopfield model)
- neural circuits (field model)

3. Low-dimensional dynamics

- formalism and assumption
- dynamics

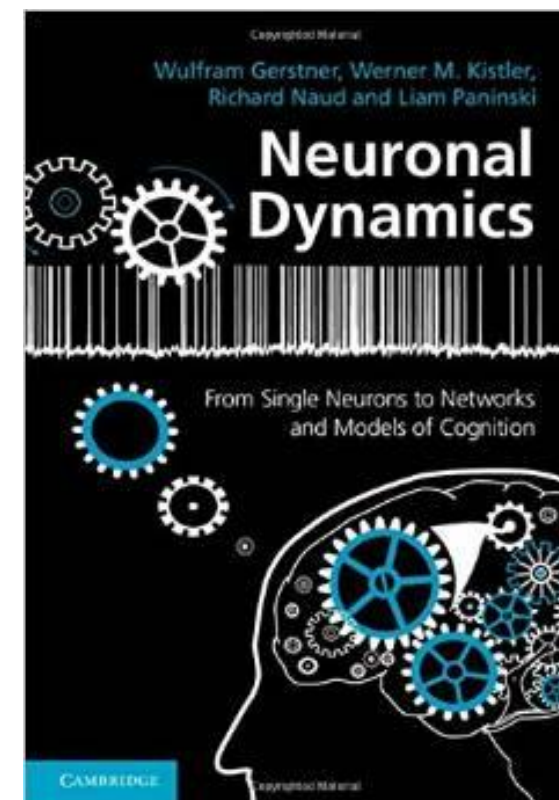
4. Examples of low-dim dynamics

- context-dependent decision making

Wulfram Gerstner

EPFL, Lausanne, Switzerland

Cambridge Univ. Press



Introduction: What are Neural Manifolds?

Mante, V., Sussillo, D., Shenoy, K.V., Newsome, W.T.: Context-dependent computation by recurrent dynamics in prefrontal cortex. Nature 503(7474), 78–84 (2013)

Shenoy, K.V., Sahani, M., Churchland, M.M.: Cortical control of arm movements: A dynamical systems perspective. Annual Review of Neuroscience 36(1), 337–359 (2013)

Mastrogiuseppe, F., Ostojic, S.: Linking connectivity, dynamics, and computations in low-rank recurrent neural networks. Neuron 99(3), 609–623 (2018)

Chaudhuri, R., Gercek, B., Pandey, B., Peyrache, A., Fiete, I.: The intrinsic attractor manifold and population dynamics of a canonical cognitive circuit across waking and sleep. Nature Neuroscience 22(9), 1512–1520 (2019)

Vyas, S., Golub, M.D., Sussillo, D., Shenoy, K.V.: Computation through neural population dynamics. Annual Review of Neuroscience 43(1), 249–275 (2020)

Barack, D.L., Krakauer, J.W.: Two views on the cognitive brain. Nature Reviews Neuroscience 22(6), 359–371 (2021)

Langdon, C., Genkin, M., Engel, T.A.: A unifying perspective on neural manifolds and circuits for cognition. Nature Reviews Neuroscience 24(6), 363–377 (2023)

DePasquale, B., Sussillo, D., Abbott, L.F., Churchland, M.M.: The centrality of population-level factors to network computation is demonstrated by a versatile approach for training spiking networks. Neuron 111(5), 631–649 (2023)

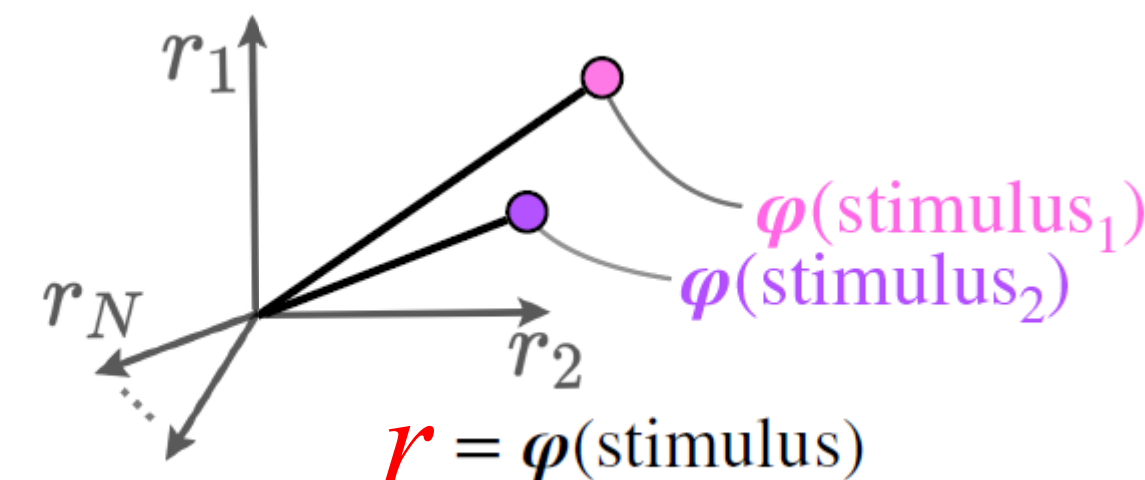
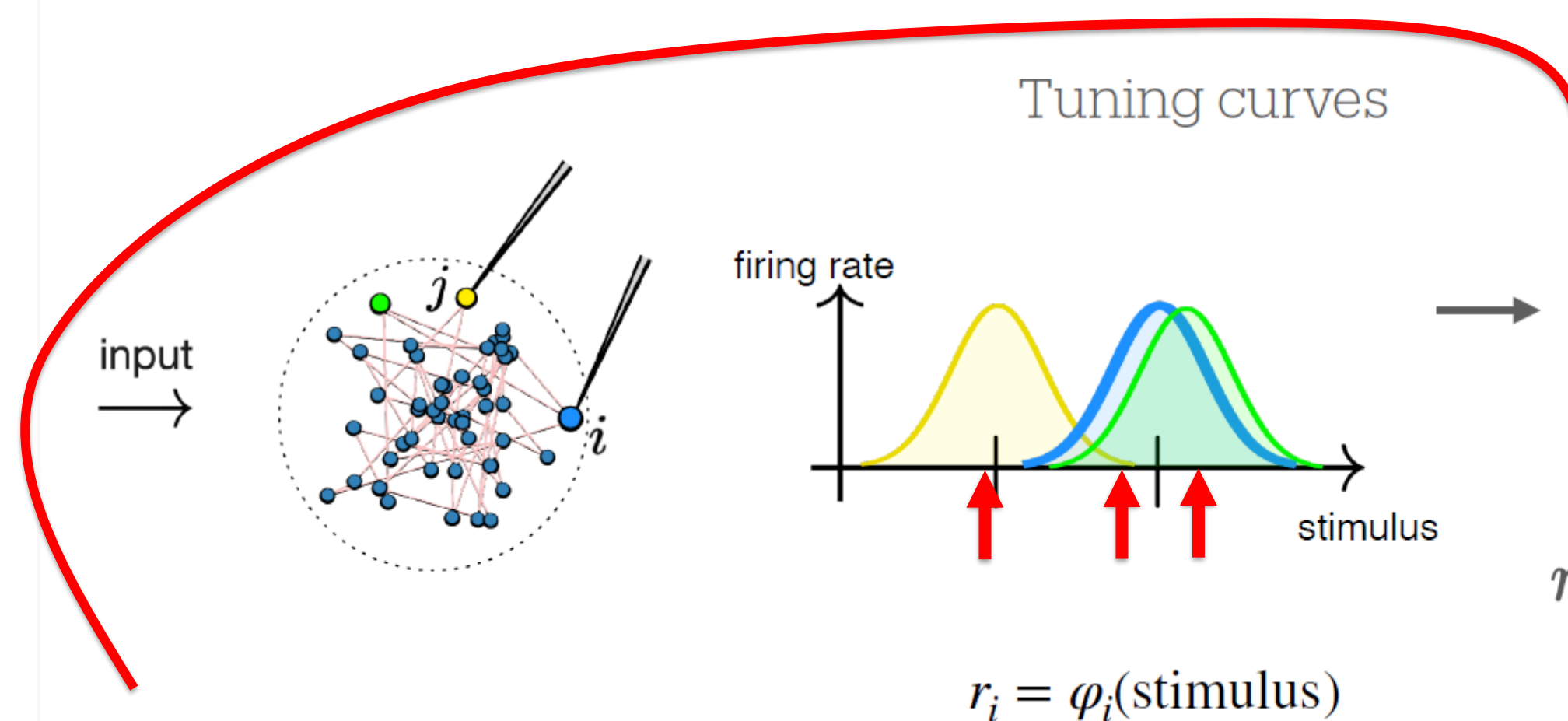
Pezon, L., Schmutz, V, Gerstner, W. (2024), Linking Neural Manifolds to Principles of Circuit Structure in Recurrent Networks bioRxiv doi: <https://doi.org/10.1101/2024.02.28.582565>

Introduction: low-dimensional response manifold

Neural Manifold: The activity does not fill the N-dimensional space

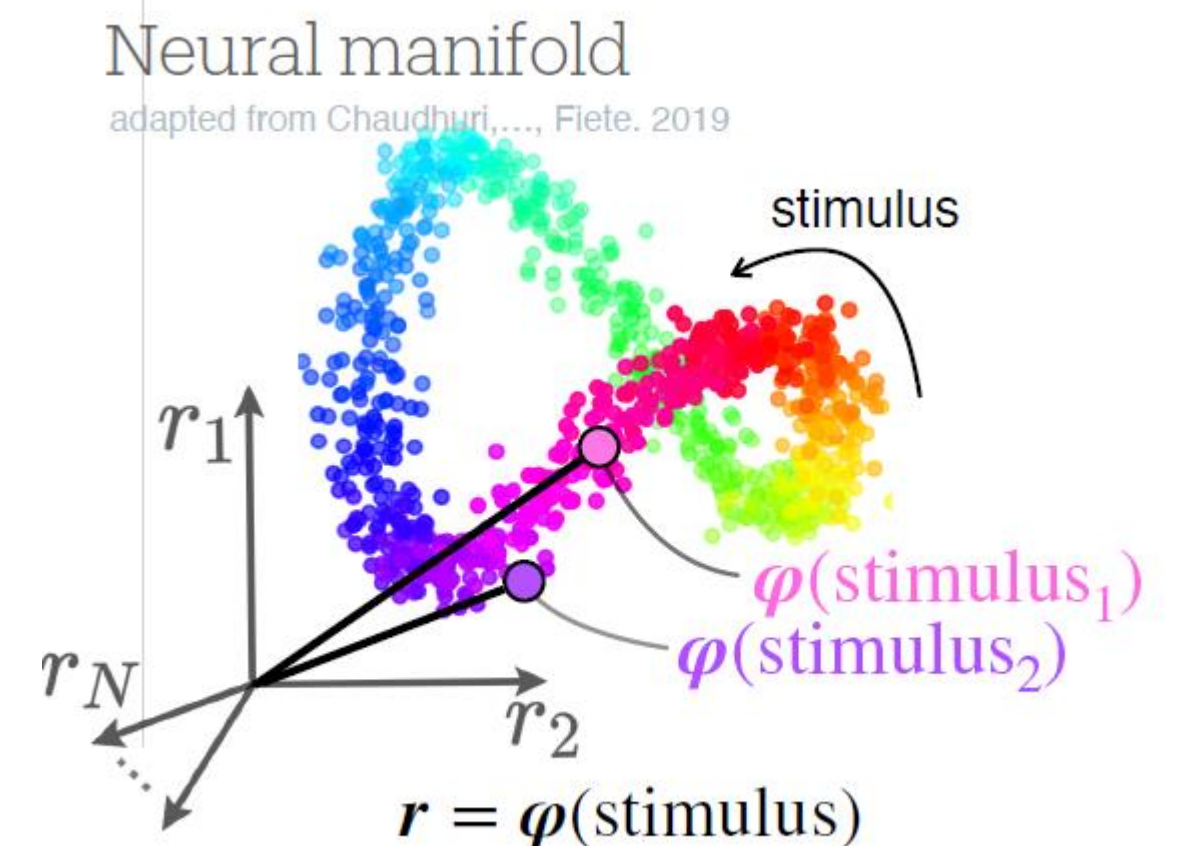
From tuning curves to the neural manifold

Tuning curves map a stimulus to a vector of population activity Kriegeskorte & Wei 2021



vector of firing rates

$$r = (r_1, r_2, r_3, \dots, r_N)$$

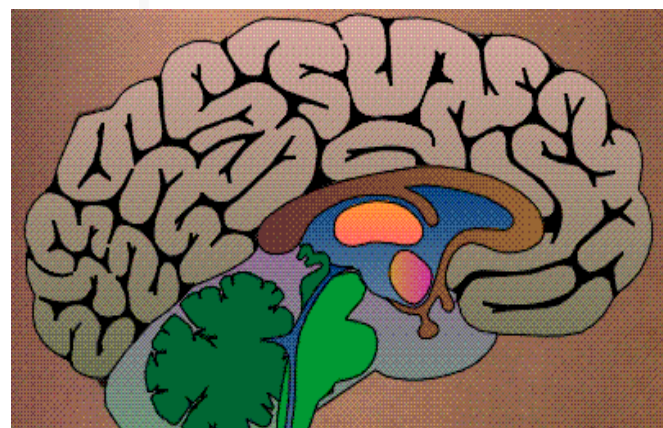


Introduction: low-dimensional dynamics

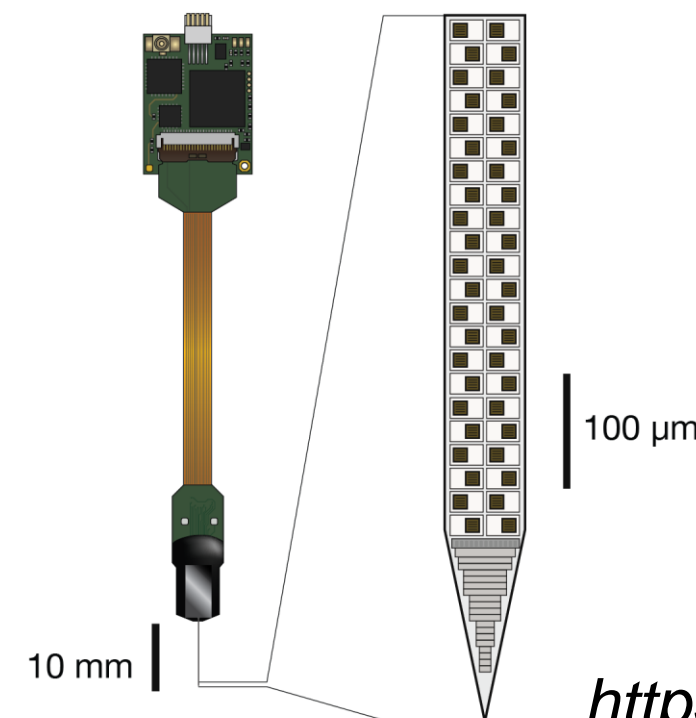
How can we think about neural activity?

low-dimensional dynamics

Simultaneous recordings from hundreds of neurons:



neuropixel probe



<https://www.neuropixels.org/>

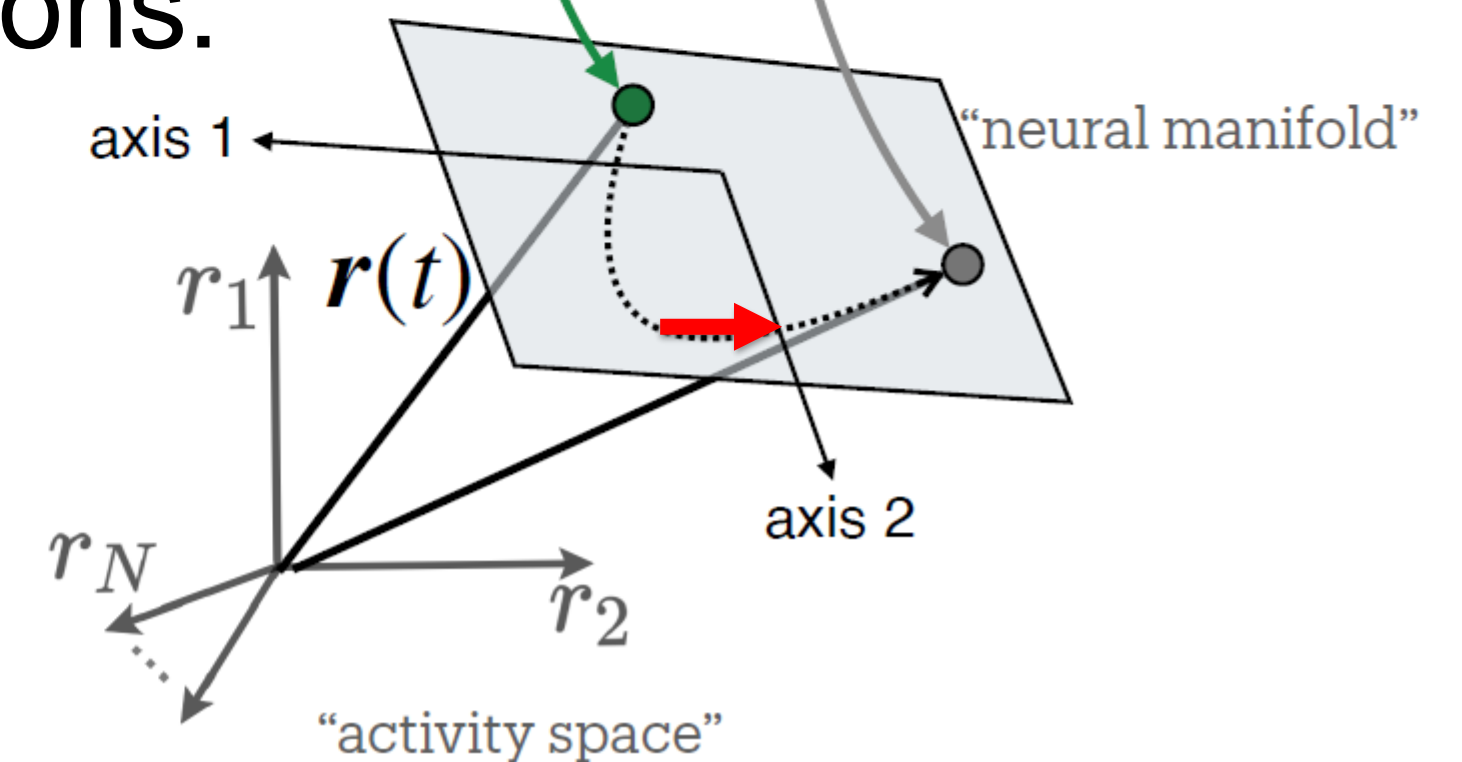
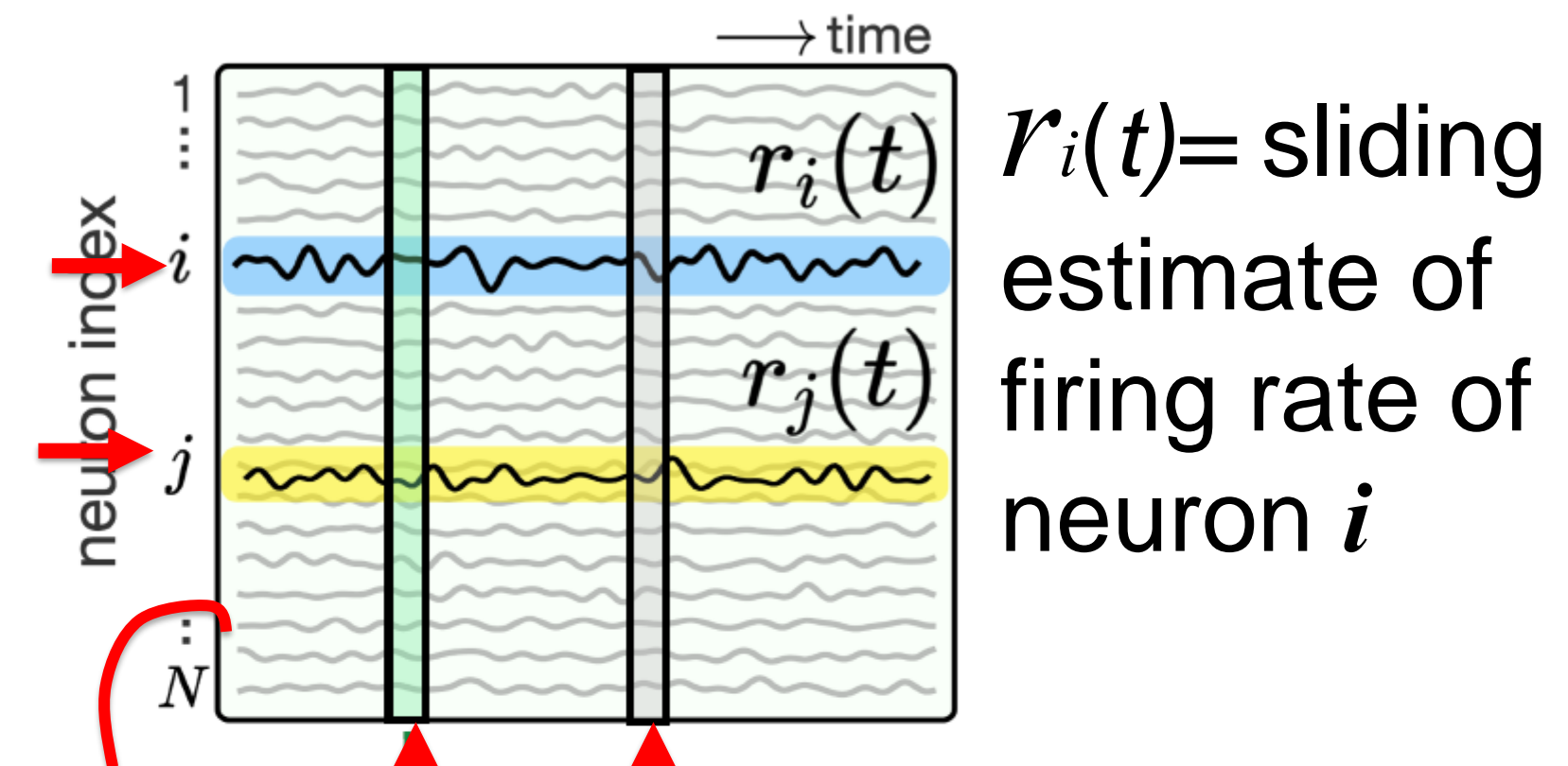


Image: Pezon et al. 2024

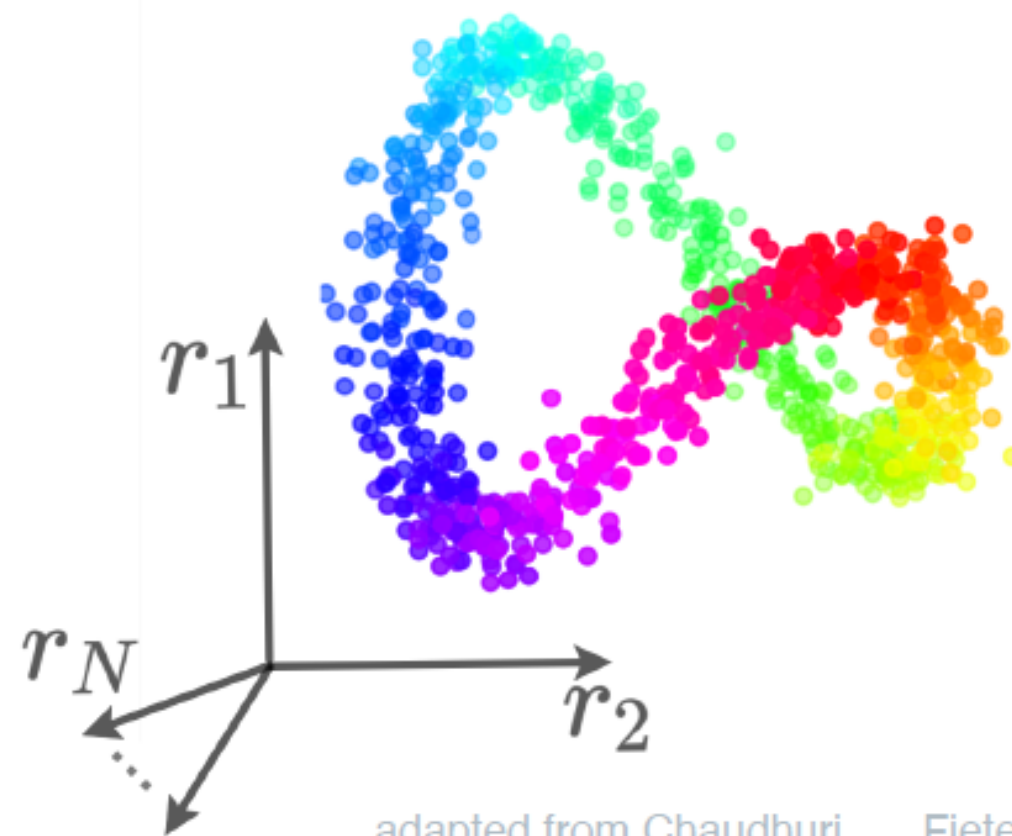
Introduction: low-dimensional dynamics

Brain computation = dynamics in manifold

- observation:** in many brain areas, the high-dimensional activity lies in a low-dimensional “*manifold*”

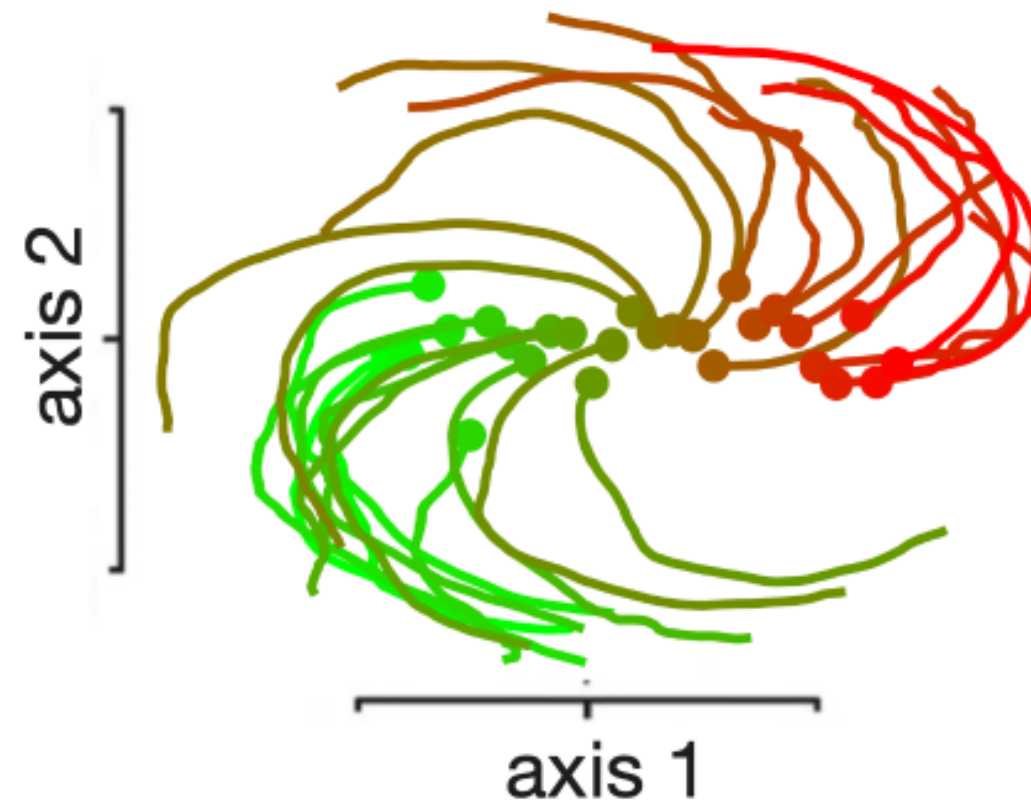
ex: HD cells & grid cells, prefrontal cortex, motor control

Ex: HD system (mouse)

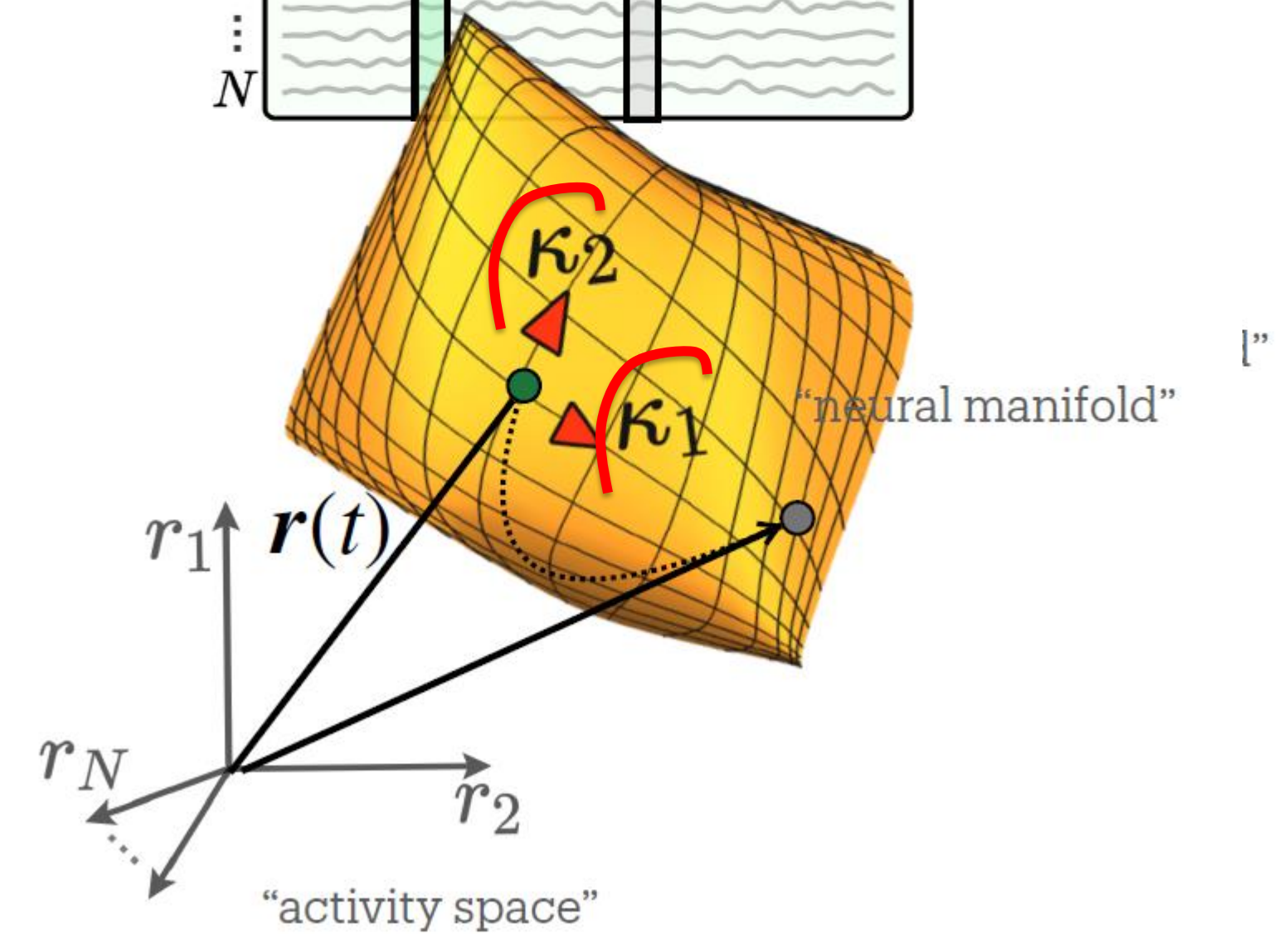
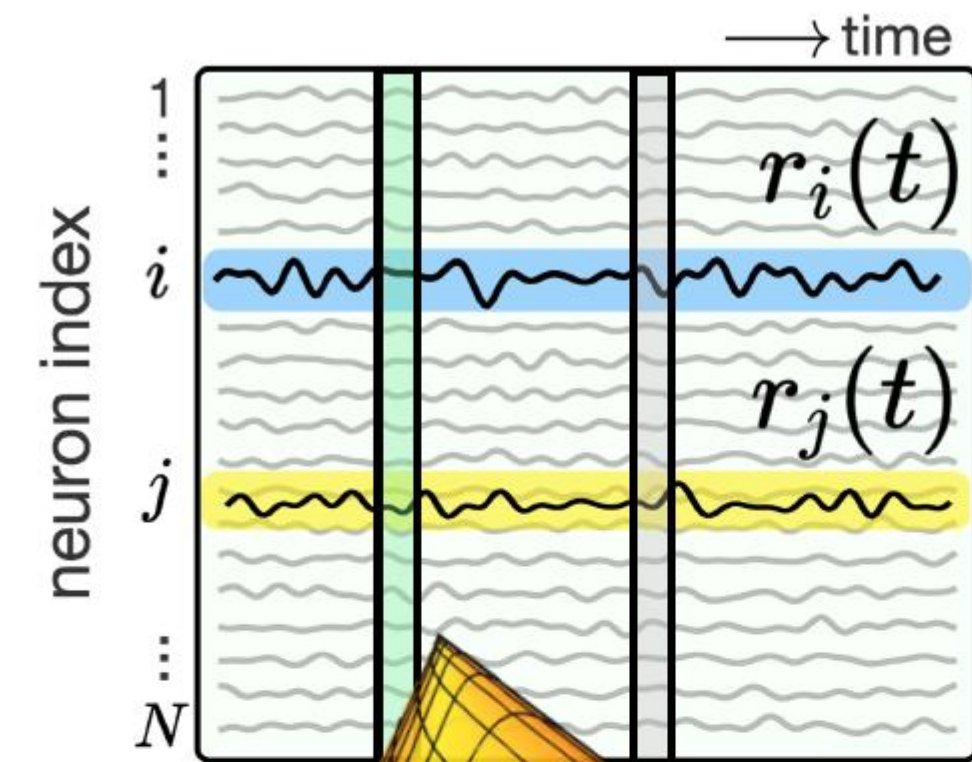


adapted from Chaudhuri, ..., Fiete. 2019

Ex: motor cortex (monkey)



adapted from Churchland, ..., Shen

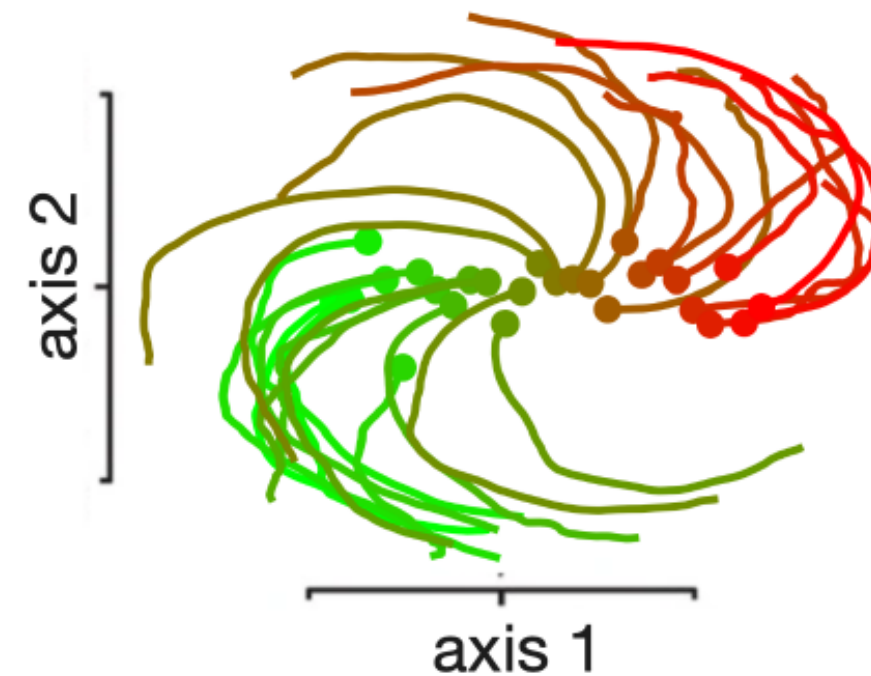


Introduction: low-dimensional dynamics

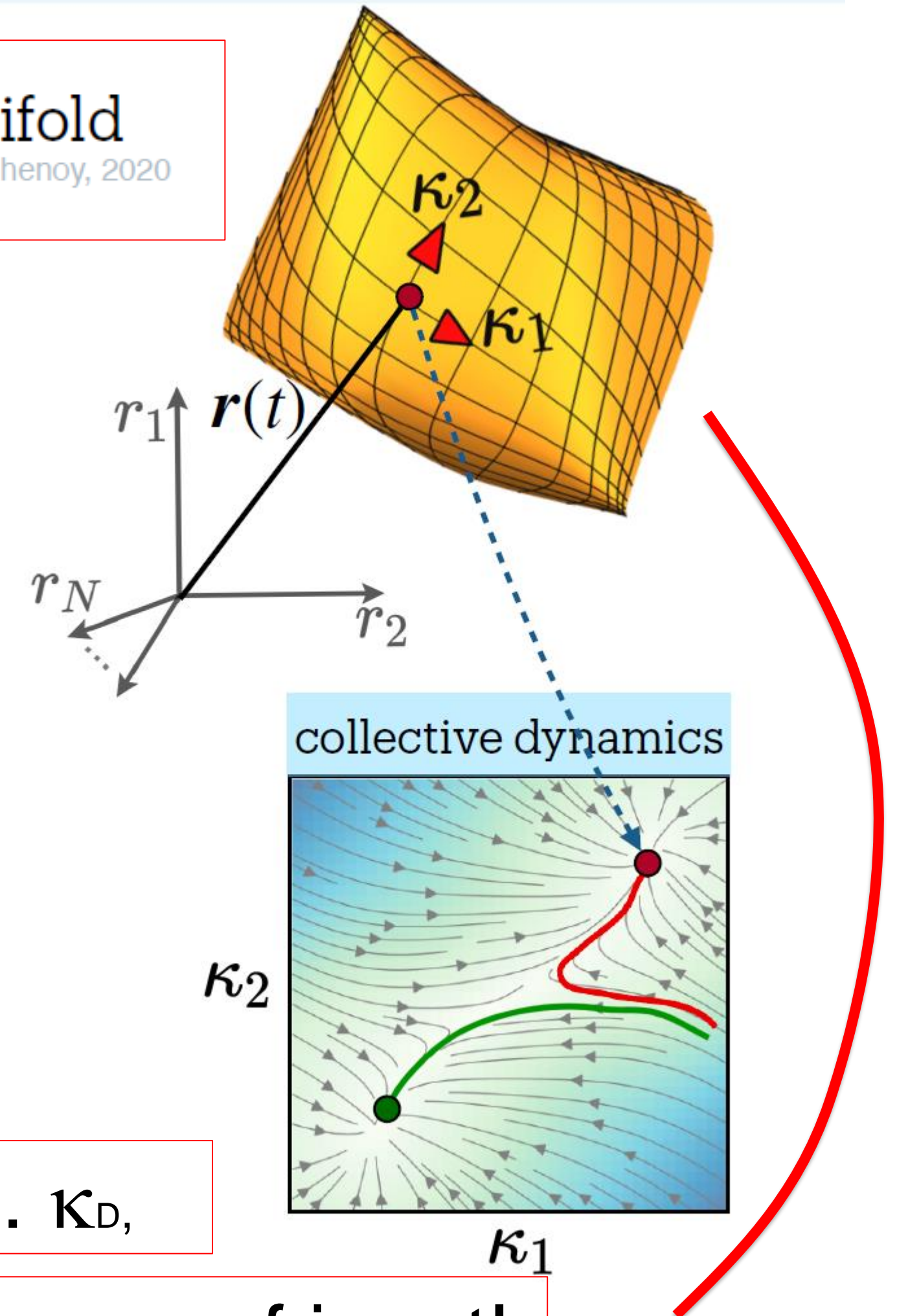
computations are described by **collective dynamics** in the manifold

Vyas, S., Golub, M.D., Sussillo, D., Shenoy, 2020

Ex: motor cortex (monkey)



adapted from Churchland, ..., Shenoy, 2012



Flow described by small number of variables $\kappa_1, \dots, \kappa_D$,

Low-dimensional dynamics even during sleep/absence of input!

Chaudhuri et al, 2019

Image: Pezon et al. 2024

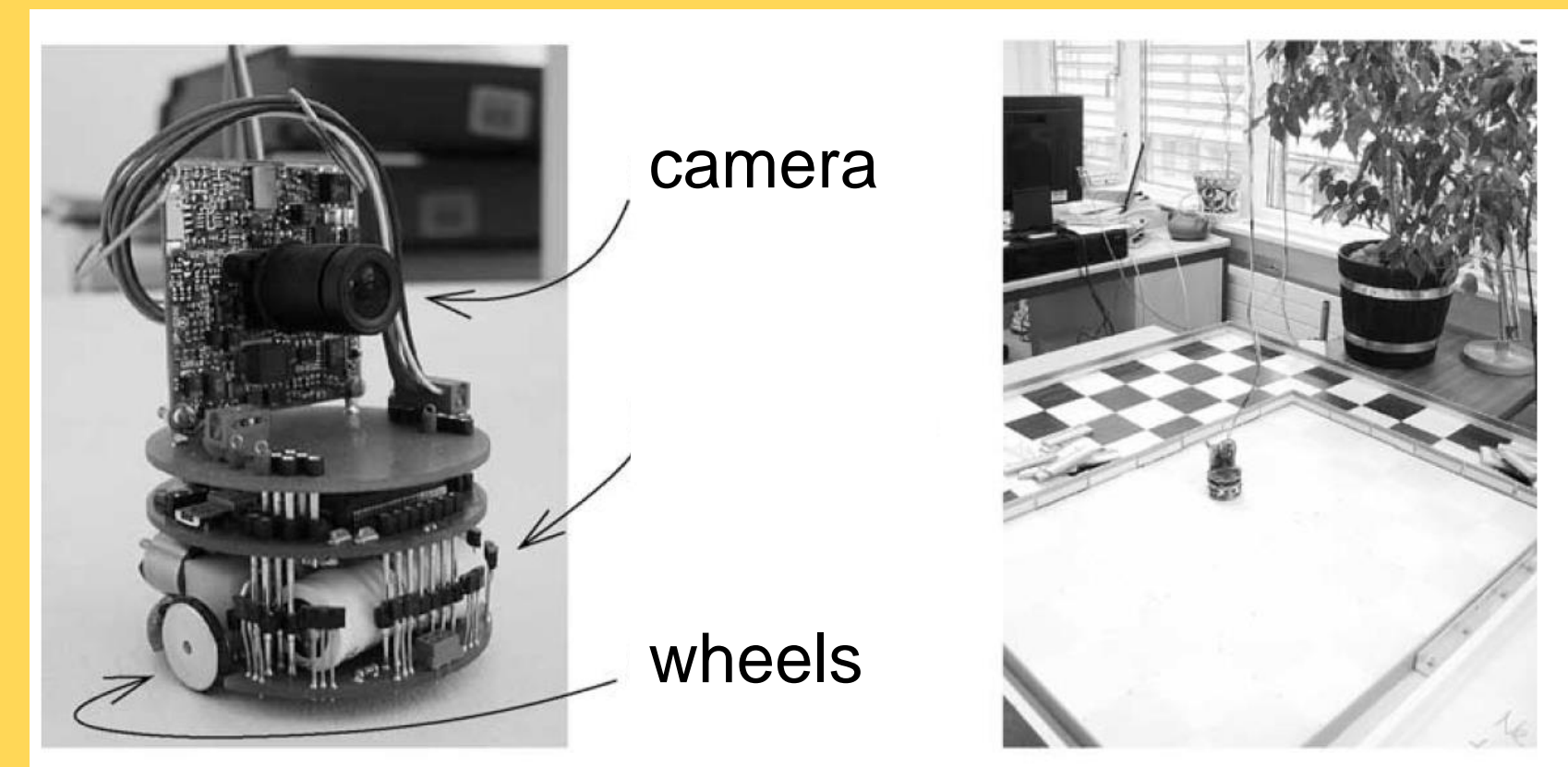
Quiz: low-dimensional dynamics

[] If an experimentalist records simultaneously from 287 neurons, then the momentary 'rate vector' of observed activity represents the network state as a point in 287 dimensions.

[] Different time points of the rate vector do not 'fill' the 287-dimensional space. Rather they live on a low-dimensional manifold.

[] A black-and-white camera of 1024 pixels mounted stably on a robot moving in an indoor environment of 2mX2m, generates measurement values that live on a 3-dimensional manifold in 1024-dimensional space

view manifold: Arleo&Gerstner (2000)
<https://doi.org/10.1007/s004220000171>



Even during dreaming, the neural activity lives on a low-dim. manifold
waking and sleep: Chaudhuri et al, 2019, <https://doi.org/10.1038/s41593-019-0460-x>

Neural Manifolds and low-dimensional dynamics

List of video lectures on Computational Neuroscience, organized by topics:

<https://lcnwww.epfl.ch/gerstner/NeuronalDynamics-MOOCall.html>

YouTube Channel:

<https://www.youtube.com/@gerstnerlab>

References:

Kriegeskorte and Wei (2021), Neural Tuning and Representational Geometry, Nat. Rev. Neuroscience 22:703-718

Churchland et al. (2012), Neural Population Dynamics During Reaching, Nature 487:51-56

Shenoy, K.V., Sahani, M., Churchland, M.M.: Cortical control of arm movements: A dynamical systems perspective. Annual Review of Neuroscience 36(1), 337–359 (2013)

Vyas, S., Golub, M.D., Sussillo, D., Shenoy, K.V.: Computation through neural population dynamics. Annual Review of Neuroscience 43(1), 249–275 (2020)

**Pezon, L., Schmutz, V, Gerstner, W. (2024), Linking Neural Manifolds to Principles of Circuit Structure in Recurrent Networks bioRxiv
doi: <https://doi.org/10.1101/2024.02.28.582565>**

Chaudhuri, R., et al. The intrinsic attractor manifold and population dynamics of a canonical cognitive circuit across waking and sleep. Nat. Neurosci. 22(9), 1512–1520 (2019)

Arleo, A, Gerstner W. (2000), Spatial cognition and neuro-mimetic navigation: a model of hippocampal place cell activity *Biol. Cybern* 83, 287–299 (2000)

Neural Manifolds and Low-dimensional dynamics:

**How can we interpret
neural activity?**

1. What are Neural Manifolds?

- experimental observations

2. Two views of Neural Activity

2.1 first view: computing (Hopfield model)

2.2 2nd view: neural circuits (field model)

3. Low-dimensional dynamics

- formalism and assumption
- dynamics

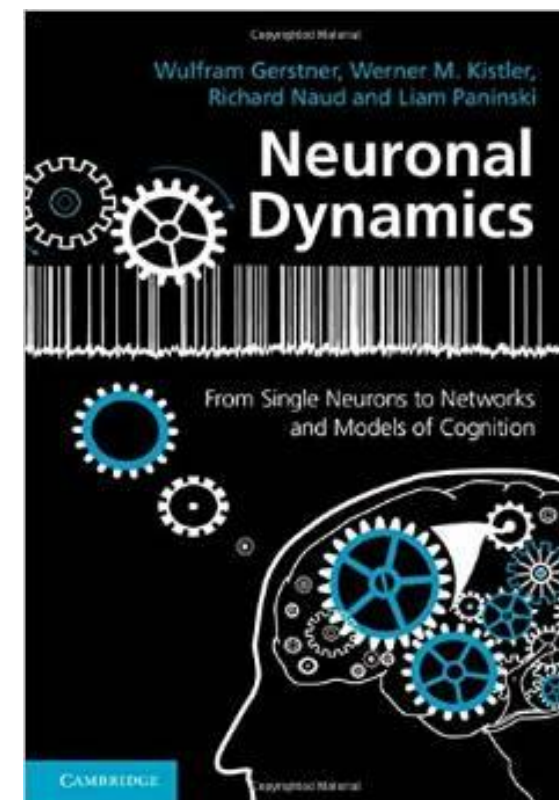
4. Examples of low-dim dynamics

- context-dependent decision making

Wulfram Gerstner

EPFL, Lausanne, Switzerland

Cambridge Univ. Press



Introduction: How can we understand neuronal activity?

How can we understand principles of neuronal activity?

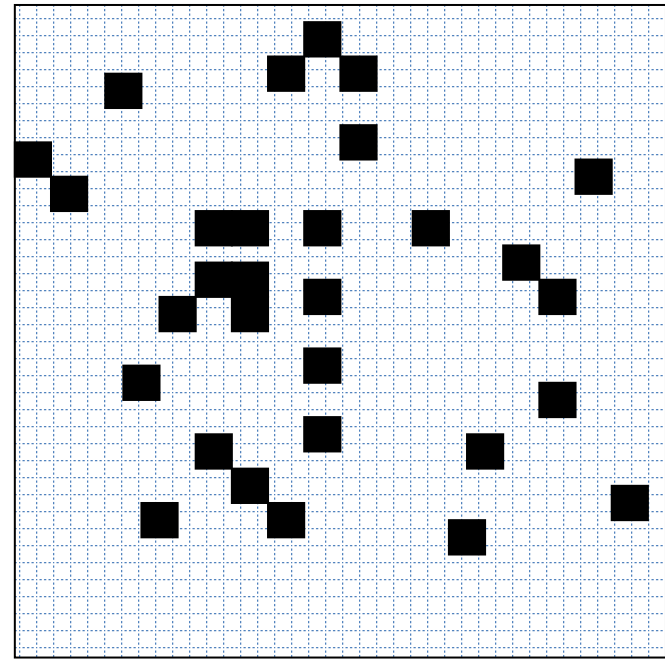
D. Barack and J. Krakauer, 2021

C. Langdon and T. Engel, 2023

Two different perspectives

- **Low-dimensional dynamics:**
e.g., flow towards fixed point/attractor dynamics:
→ Hopfield model → computation as flow!
- **Receptive fields and wiring/circuits:**
neurons can be classified according to
functional similarity → function from wiring/
circuit structure!
→ field model

Review: Hopfield Model of Associative Memory



Prototype

\vec{p}^1 (random pattern)

interactions

$$w_{ij} = \frac{1}{N} \sum_{\mu} p_i^{\mu} p_j^{\mu}$$

Sum over all
prototypes

This rule
is very good
for **random**
patterns

It does not work well
for correlated patterns

dynamics

$$S_i(t+1) = \text{sgn}\left[\sum_j w_{ij} S_j(t)\right]$$

j
all interactions with i

**Random patterns, fully connected:
Hopfield model**

J. Hopfield, 1982

Review: Hopfield Model of Associative Memory

$$S_i(t+1) = \text{sgn}\left[\sum_j w_{ij} S_j(t)\right]$$

weights: $w_{ij} = \frac{1}{N} \sum_{\mu} p_i^{\mu} p_j^{\mu}$

$$S_i(t+1) = \text{sgn}\left[\sum_{\mu} p_i^{\mu} m^{\mu}(t)\right]$$

overlap
(similarity)

$$m^{\mu}(t) = \frac{1}{N} \sum_j p_j^{\mu} S_j(t)$$

Review Hopfield model: memory retrieval

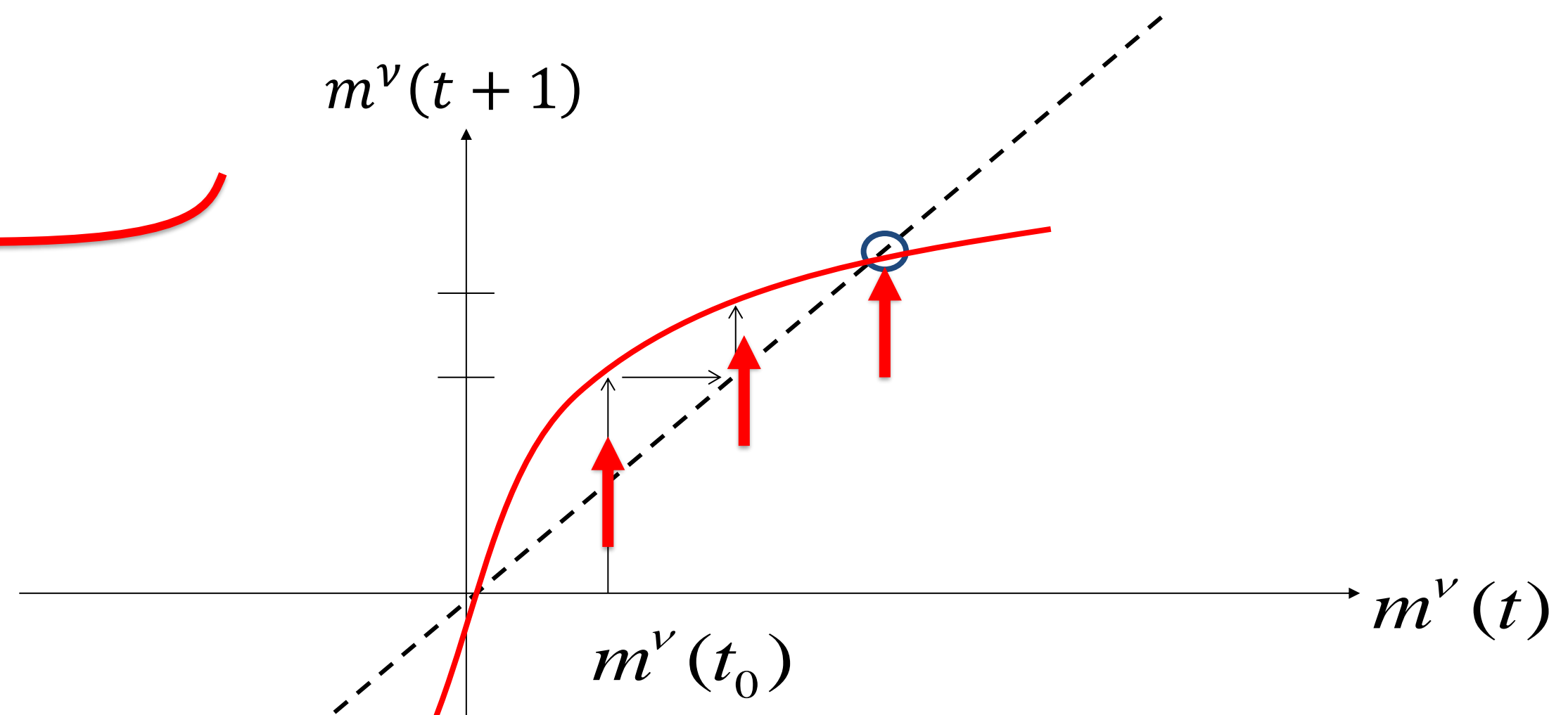
$$\underline{\Pr\{S_i(t+1) = +1 \mid h_i\}} = g[h_i] = g\left[\sum_j w_{ij} S_j(t)\right] = g\left(\sum_{\mu} p_i^{\mu} m^{\mu}(t)\right)$$

overlap
(similarity)

$$m^{\mu}(t) = \frac{1}{N} \sum_j p_j^{\mu} S_j(t)$$

If we start close to pattern v , **1-dimensional dynamics**

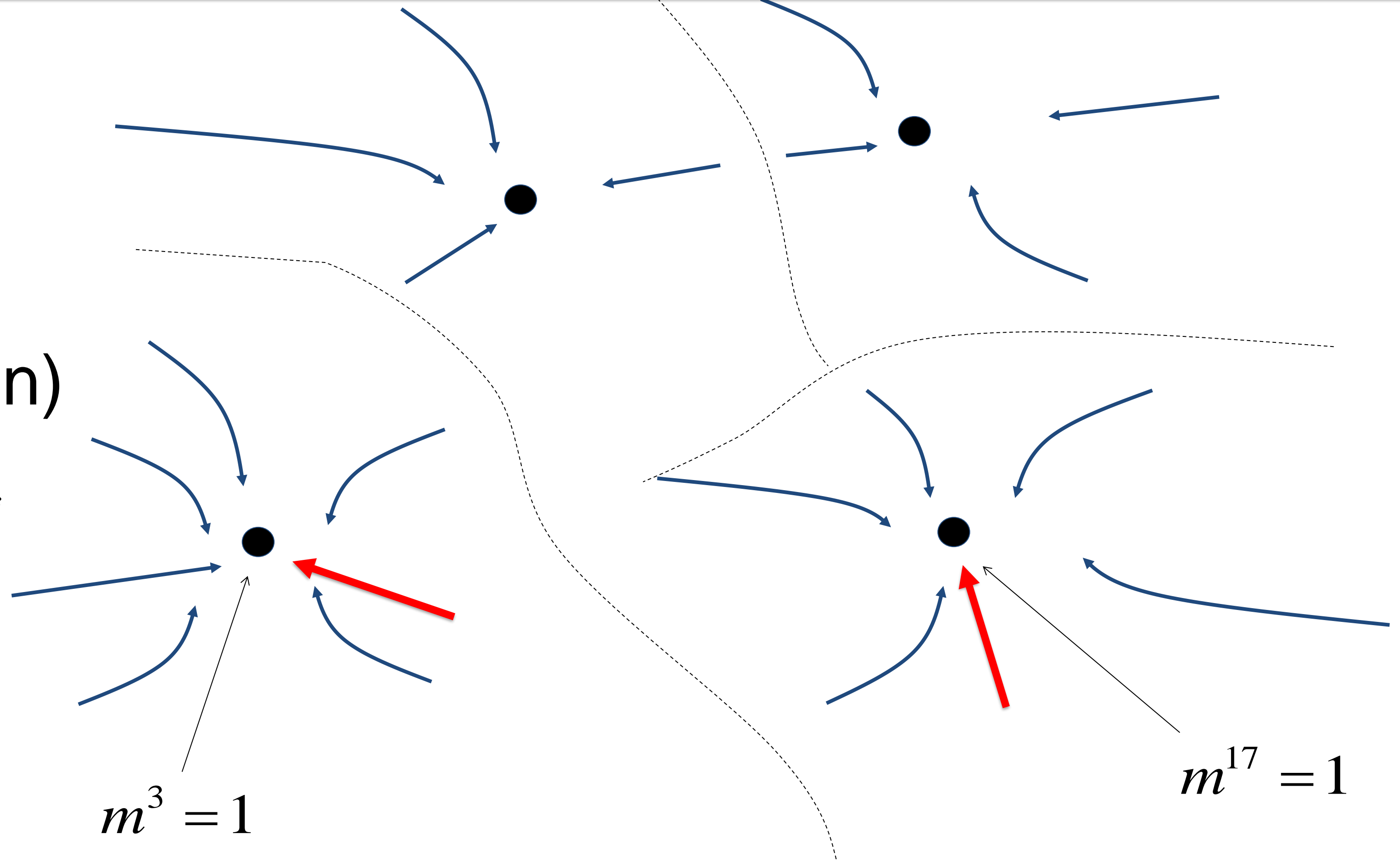
$$m^v(t+1) = \tilde{F}(m^v(t))$$



Review: Hopfield model: attractor dynamics

Overlap (definition)

$$m^3(t+1) = \sum_j p_j^3 S_j$$



Review: Stochastic Hopfield model: memory retrieval

- Memory retrieval possible with stochastic dynamics
- Fixed point at value with large overlap (e.g., 0.95)
- Random patterns: nearly orthogonal
- Pattern retrieval yields low-dimensional dynamics,
even if '*state*'= N variables (i.e. configuration of all neurons)

Question: are overlap variables m^ν 'somehow related' to the low-dimensional variables κ in experiments?

Neural Manifolds and Low-dimensional dynamics:

**How can we interpret
neural activity?**

1. What are Neural Manifolds?

- experimental observations

2. Two views of Neural Activity

2.1 first view: computing (Hopfield model)

2.2 2nd view: neural circuits (field model)

3. Low-dimensional dynamics

- formalism and assumption
- dynamics

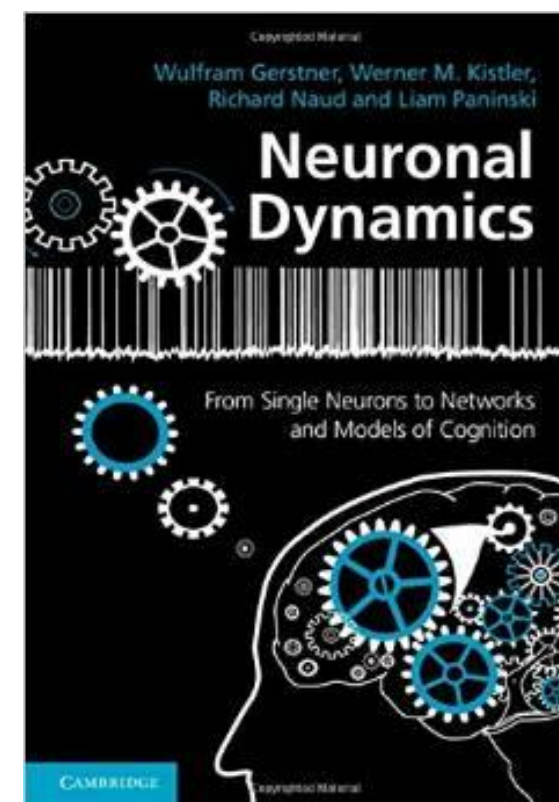
4. Examples of low-dim dynamics

- context-dependent decision making

Wulfram Gerstner

EPFL, Lausanne, Switzerland

Cambridge Univ. Press



Introduction: How can we understand neuronal activity?

How can we understand principles of neuronal activity?

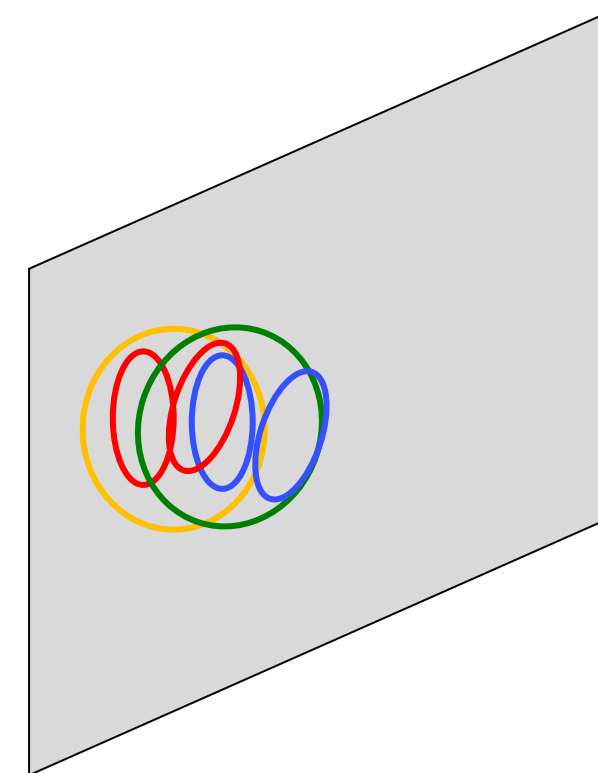
D. Barack and J. Krakauer, 2021

C. Langdon and T. Engel, 2023

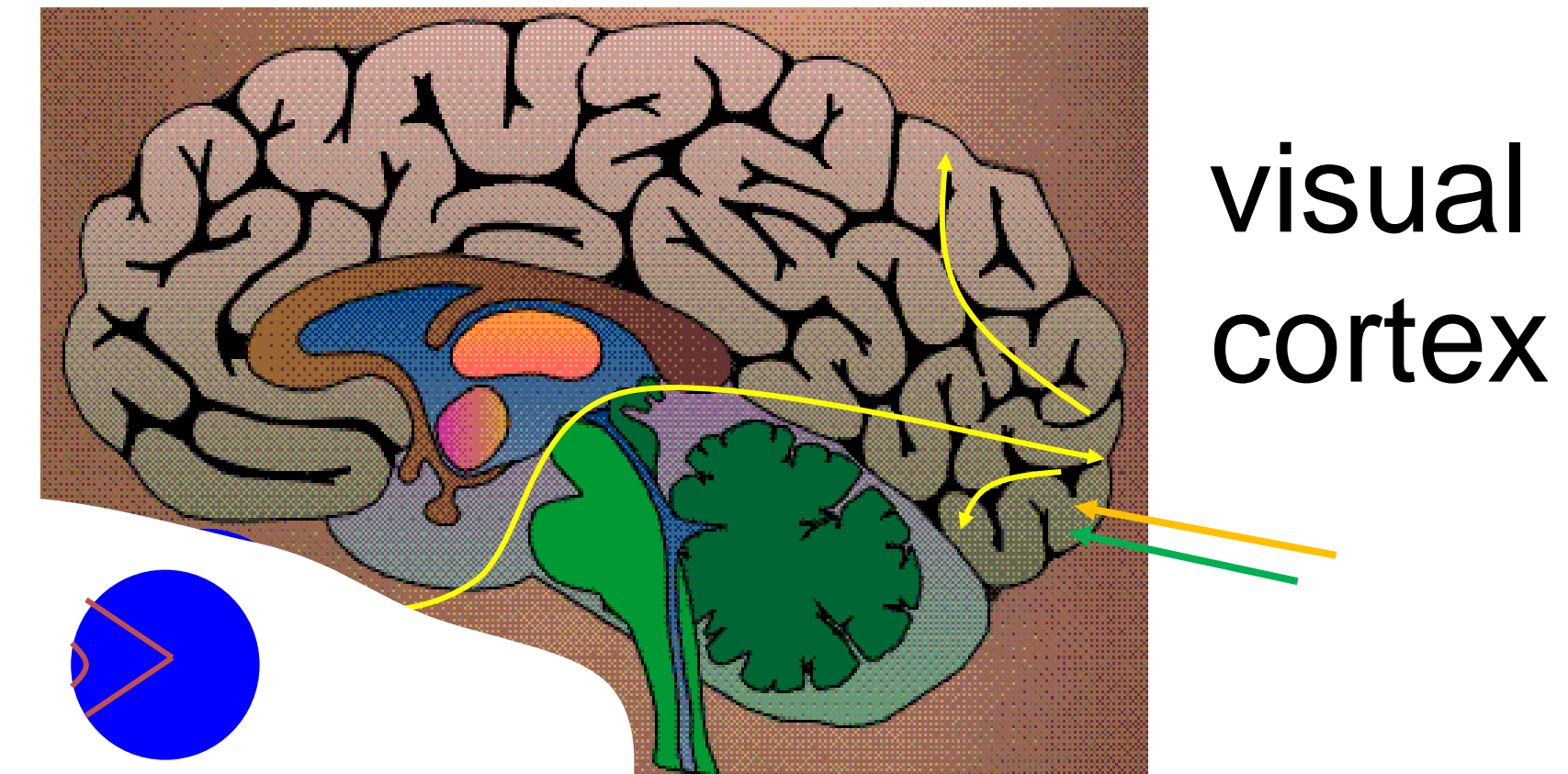
Two different perspectives

- Hopfield model: **low dimensional dynamics**
(e.g., flow towards fixed point/attractor dynamics)
- Field models for perception:
each neuron has a receptive field
(neurons can be classified according to
functional similarity)

Review: receptive fields and cortical maps



- Neighboring cells in visual cortex
- have similar center of receptive field
→ **spatial map of visual field**
 - have similar preferred orientation:
→ **cortical orientation map**
 - **connectivity stronger between cells with similar orientation**

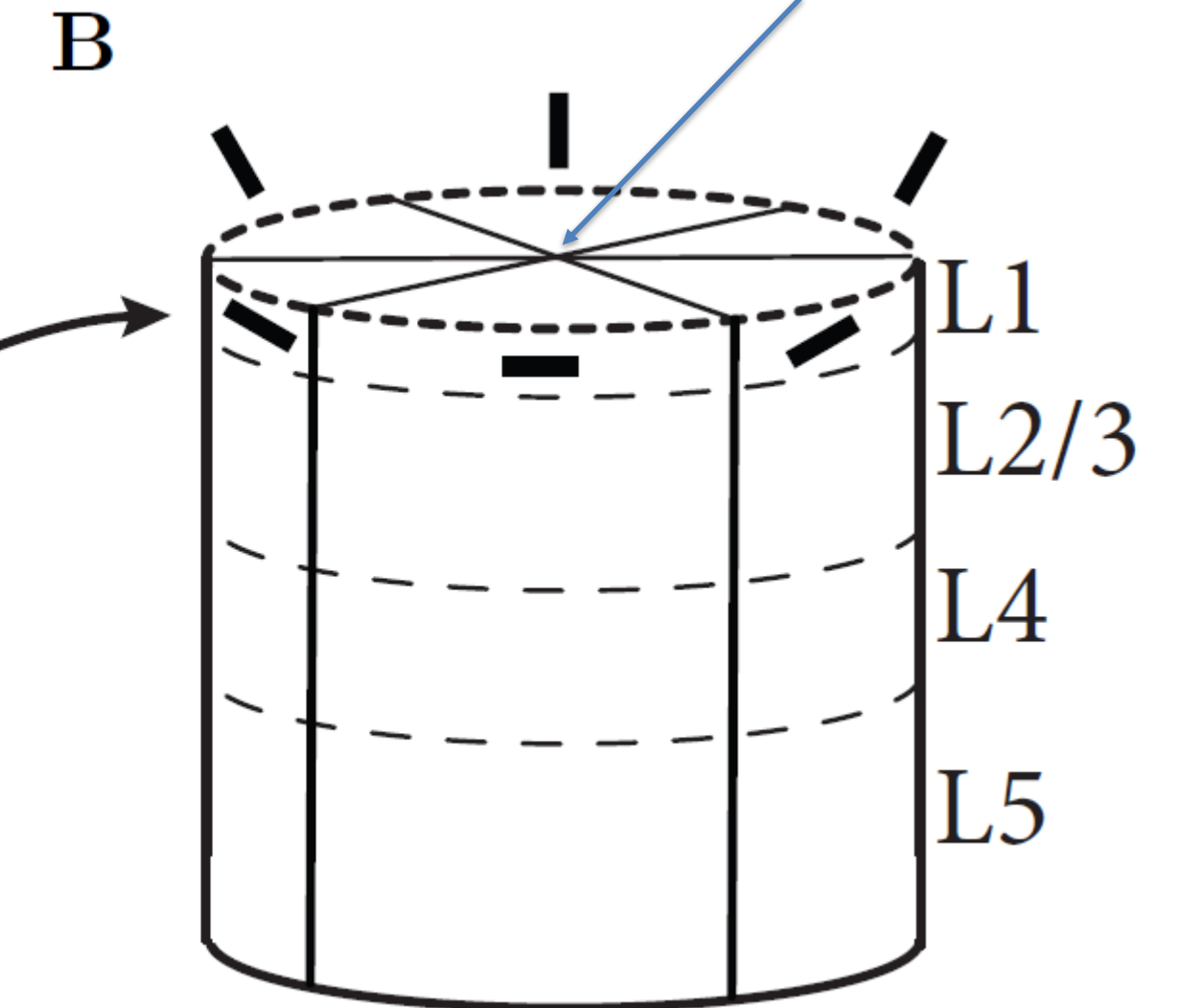
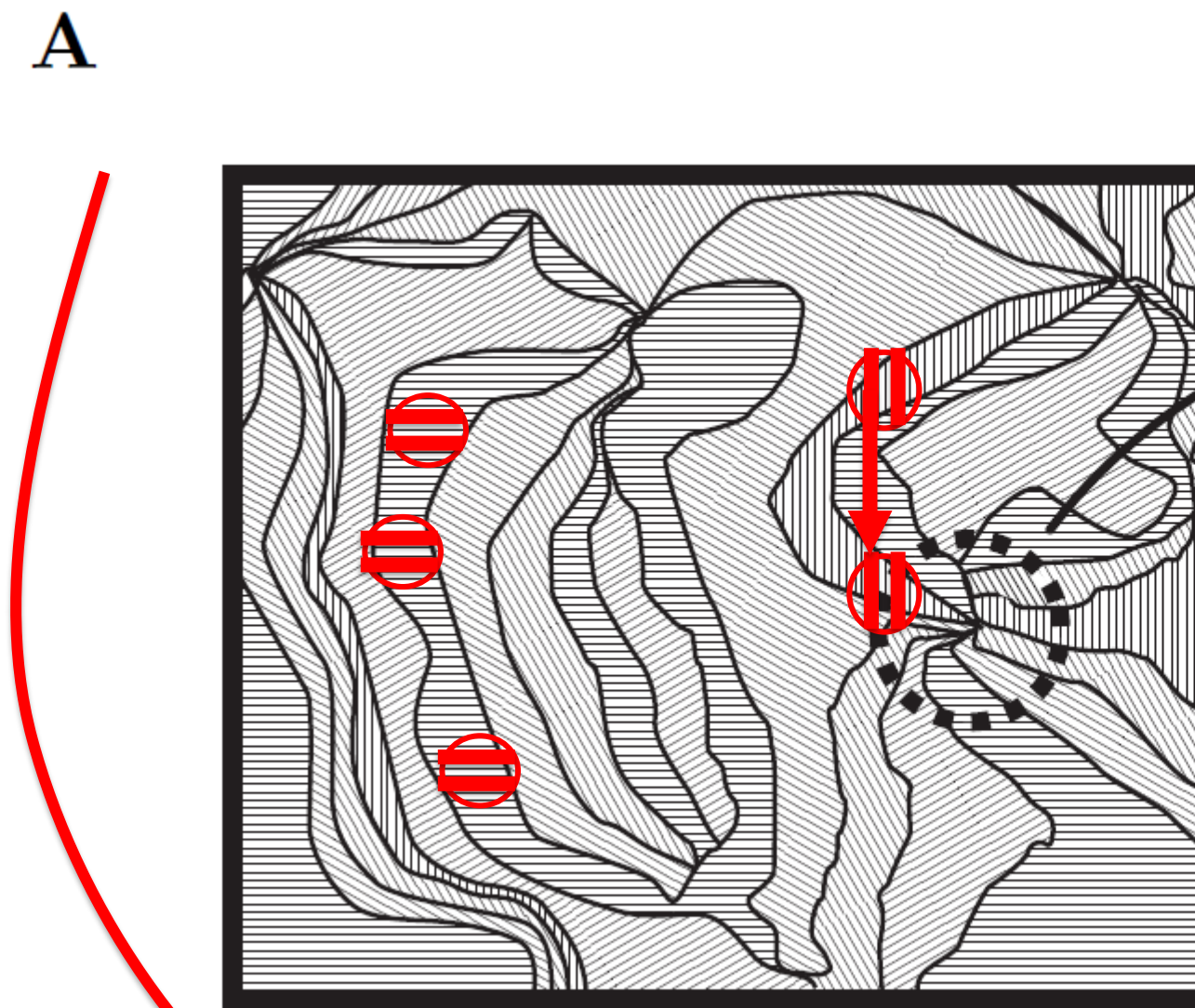
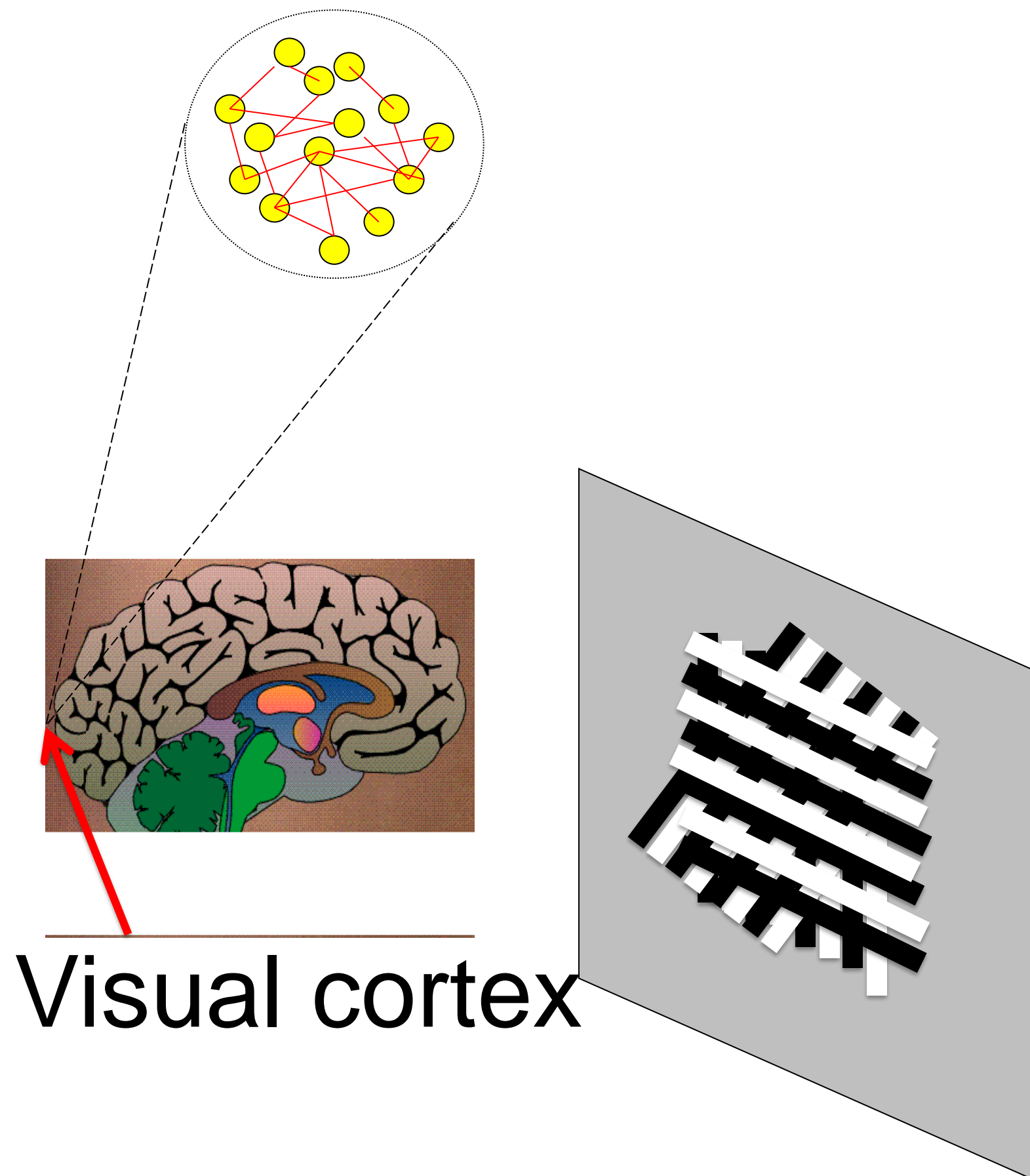


*Hubel and Wiesel 1968;
Bonhoeffer&Grinvald,
1991;
Bressloff&Cowan, 2002;
Kaschube et al. 2010*

Review: receptive fields and cortical maps

neighboring neurons: similar orientation and similar RF center
along cortical surface: orientation AND RF center change

pinwheel



*Image: Gerstner et al.
Neuronal Dynamics (2014)*

*Bonhoeffer&Grinvald, 1991;
Bressloff&Cowan, 2002;
Kaschube et al. 2010*

Review: receptive fields and cortical maps

neighboring neurons: similar orientation and similar RF center
along cortical surface: orientation AND RF center change

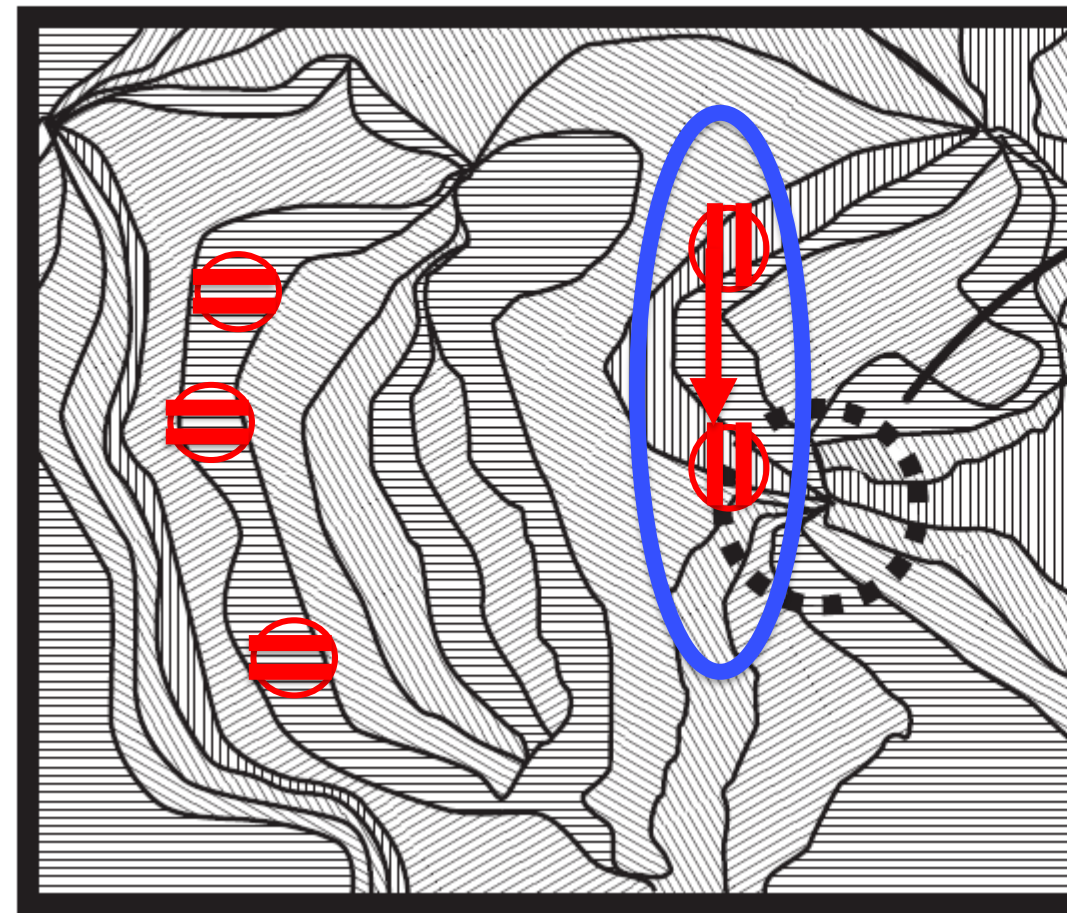
pinwheel

Strong connections

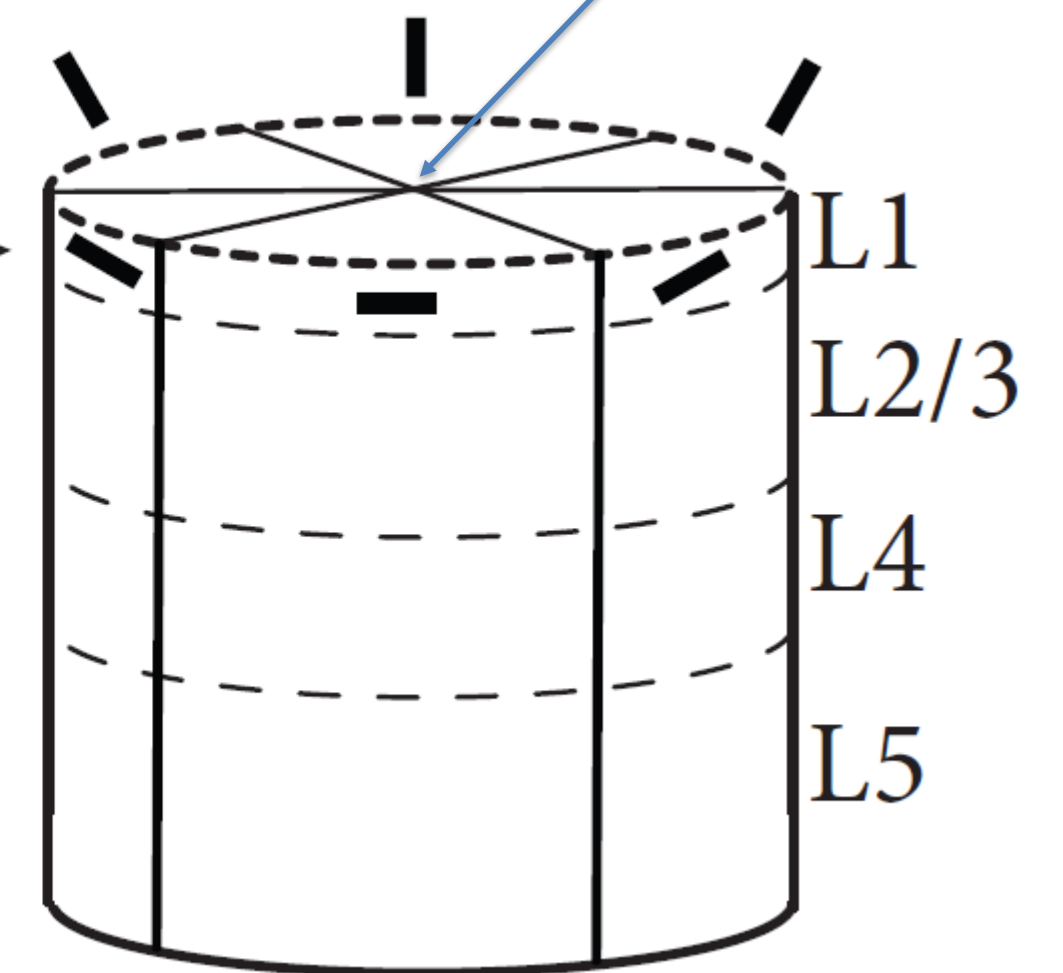
- between neurons of similar orientation
- between neurons of similar RF Center

‘patchy connectivity’

A



B



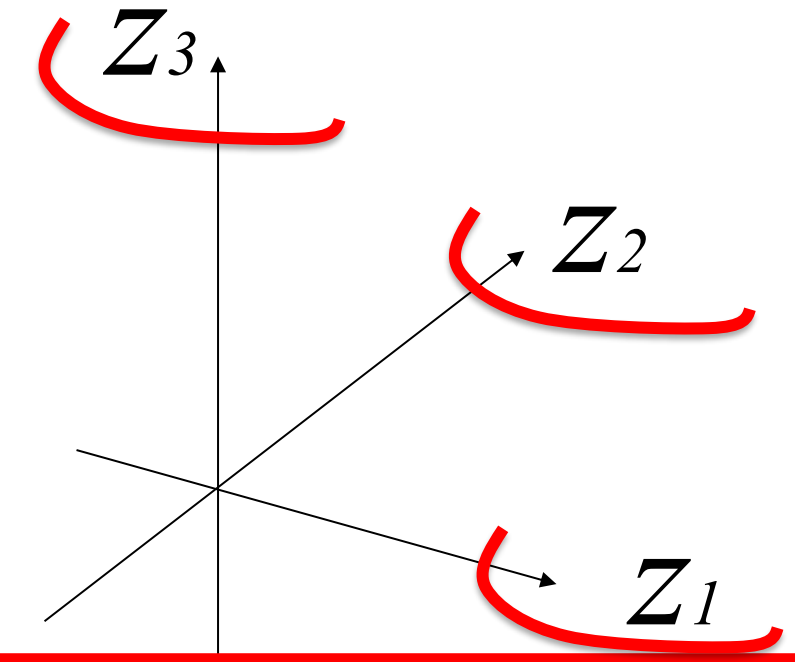
*Image: Gerstner et al.
Neuronal Dynamics (2014)*

*Bonhoeffer&Grinvald, 1991;
Bressloff&Cowan, 2002;
Kaschube et al. 2010*

Review: functional similarity of neurons

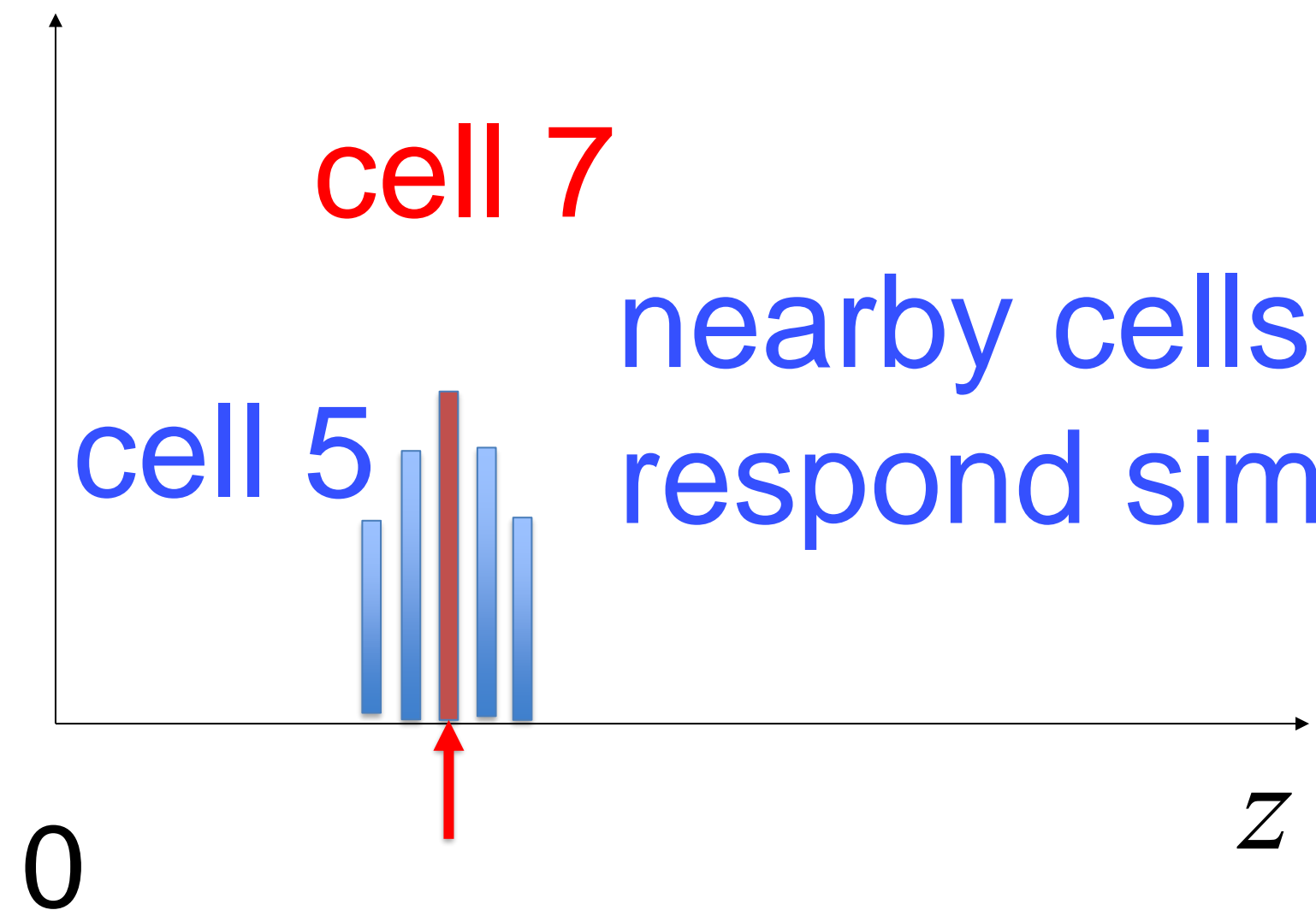
functional
characterization
of neuron

orientation of rec. field: z_1
horizontal placement of rec. field: z_2
vertical placement of rec. field: z_3



rate (response to a stimulus)

**functional similarity =
neighborhood in abstract space**



cell 7

cell 5

nearby cells (along abstract axis)
respond similarly

abstract axis: - a feature of receptive field

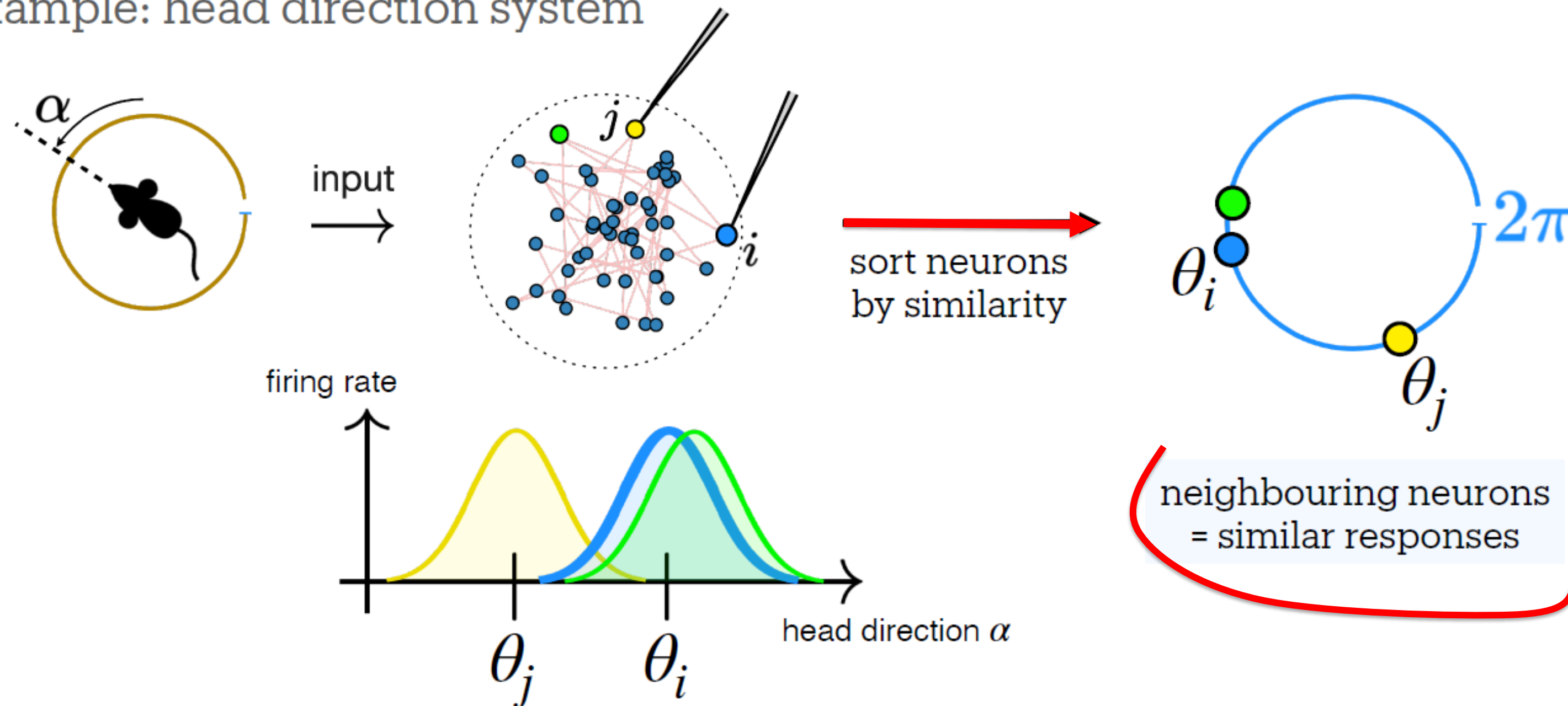
0

a stimulus that maximally
excites cell 7

Review: functional similarity of neurons

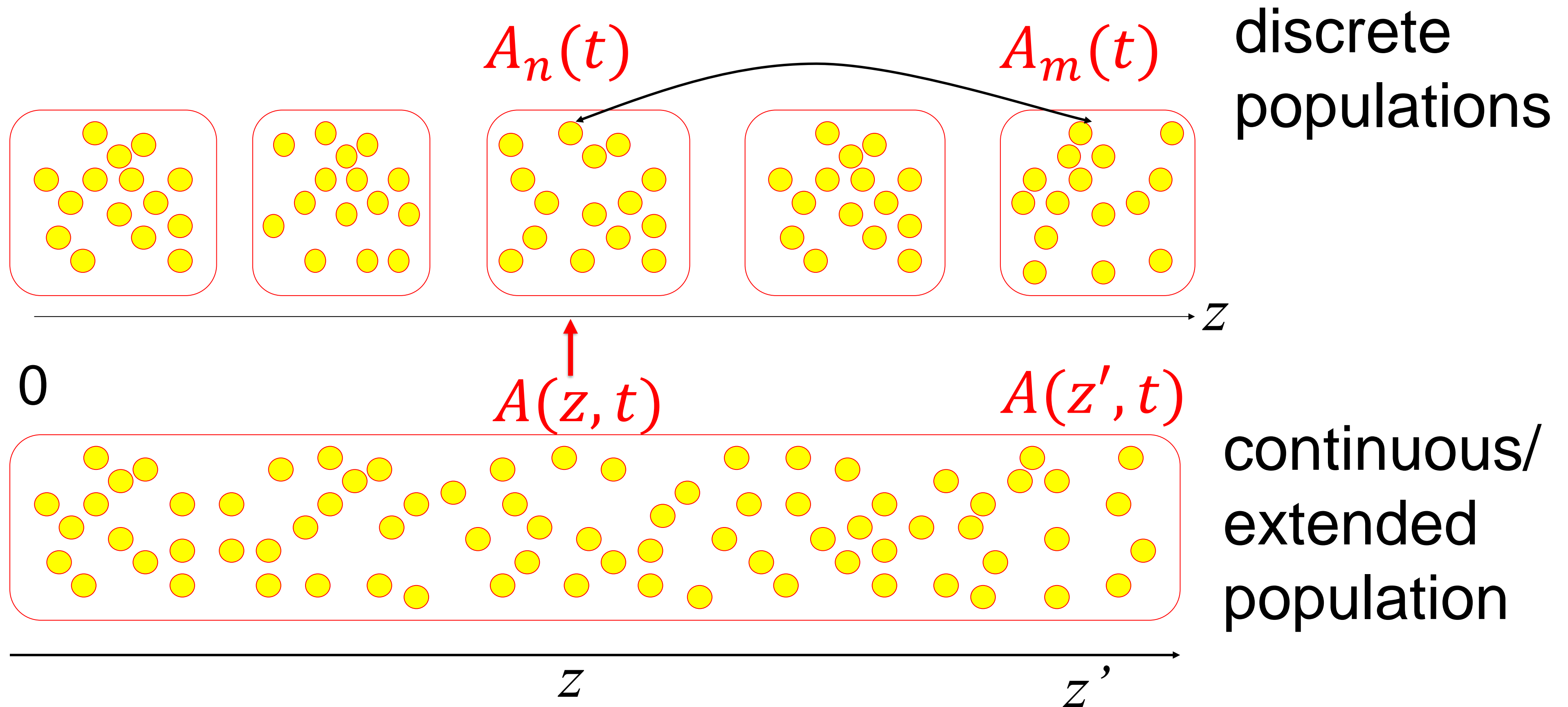
**functional similarity =
neighborhood in abstract space**

Example: head direction system



variable z :
position on ring

Review: multiple populations → continuum



Review: Field equation (continuum model)

Membrane potential

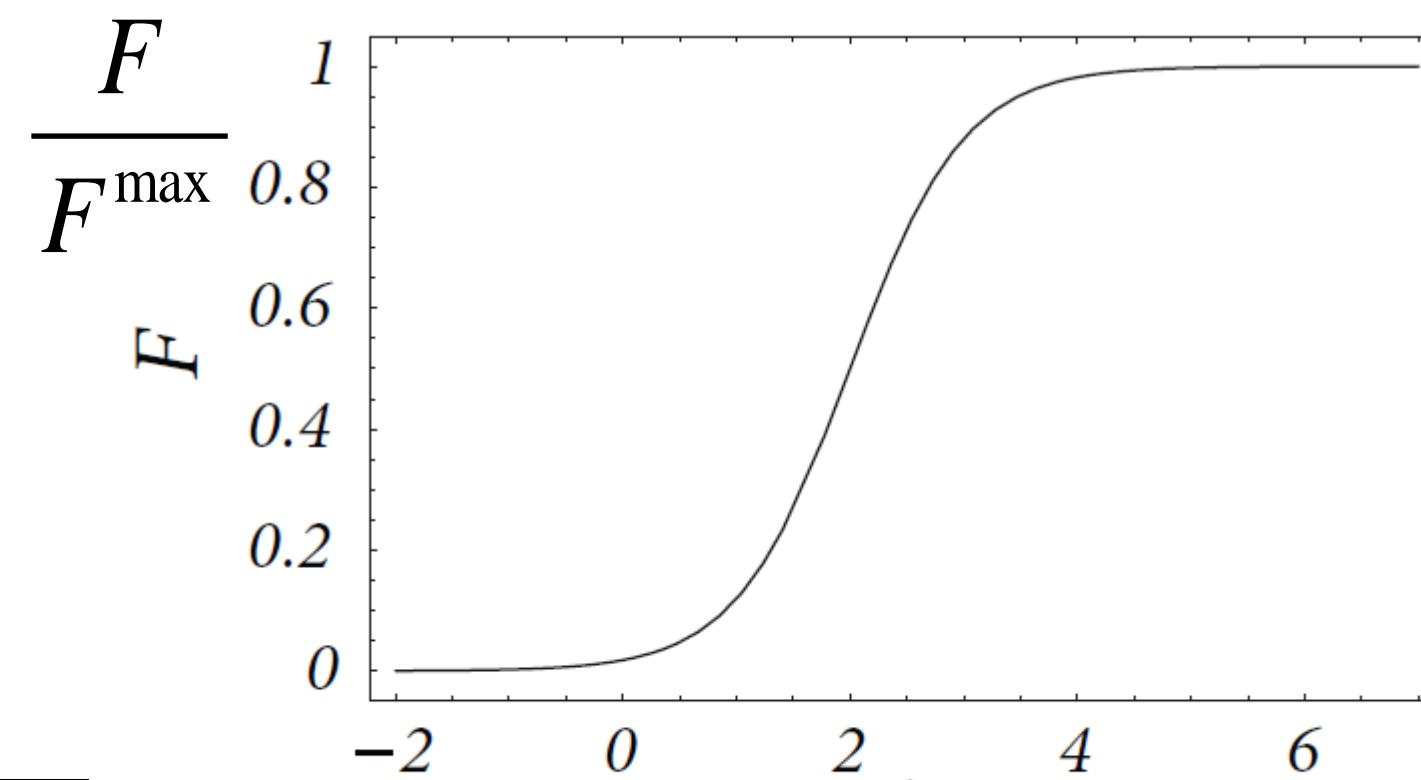
Wilson and Cowan, 1973

$$\tau \frac{d}{dt} h(z, t) = -h(z, t) + RI^{ext}(z, t) + \int w(z, z') F(h(z', t)) dz'$$

- field equation = population activity model in continuum
- position **z = abstract variable** (functional similarity)
- **coupling weight depends on functional similarity:**
- neurons with 'similar function' strongly connected

- population activity (rate)

$$A(z', t) = F(h(z', t))$$



Summary/review: Field equation

A population rate model in continuous space is also called a field equation.

$$\tau \frac{d}{dt} h(z, t) = -h(z, t) + RI^{ext}(z, t) + \int w(z, z') F(h(z', t)) dz'$$

Here the variable z can be interpreted as an **abstract quantity**, such as the orientation of the preferred visual stimulus: **Functional similarity**

In the general model $w(z, z')$ could be an arbitrary function; but in most field equations it is taken as a distance-dependent function $w(z - z')$. **Connectivity is stronger between cells with similar 'functional role'.**

A classic choice is the Mexican-Hat function with long-range inhibition and short-range excitation. Note that in real neural networks, inhibition involves a separate class of neurons.

Summary: How can we interpret neural activity?

How can we understand principles of neuronal activity?

D. Barack and J. Krakauer, 2021

C. Langdon and T. Engel, 2023

Two different perspectives

- **low dimensional dynamics**

→ Hopfield model

(e.g., flow towards fixed point/attractor dynamics)

- **neurons and functional similarity**

→ continuum model

(functional similarity reflected in wiring,
wiring causes dynamics)

→ Relation between the two views? Relation to known models?

Summary:

There are **two different perspectives** on how to interpret neuronal activity:

- The classic view since Hubel and Wiesel was to start with **receptive fields**. We can then define **functional similarity** between neurons as neurons with similar receptive fields. On the theory side, this view has led to **field models** where neurons are organized along one or several abstract axis. Functionally similar neurons have typically stronger (more positive) connections to each other than to functionally different neurons. Hence **wiring** reflects functional similarity.
- The modern view is that neurons perform computational and that these computations can be described by a **flow or dynamics in low-dimensional manifolds**: Even though modern experiment probe the activity of hundreds of neurons simultaneously, we do not need 100 variables to describe the activity but only a few. On the theory this is similar to mean-field models or the Hopfield model. In the Hopfield model, we have encountered **effective variables** ('overlap') that describe the **collective dynamics**.

The question of the following videos is how the two views are connected to each other and to standard models of computational neuroscience

Neural Manifolds and low-dimensional dynamics

List of video lectures on Computational Neuroscience, organized by topics:

<https://lcnwww.epfl.ch/gerstner/NeuronalDynamics-MOOCall.html>

YouTube Channel:

<https://www.youtube.com/@gerstnerlab>

References:

Mastrogiuseppe, F., Ostojic, S.: Linking connectivity, dynamics, and computations in low-rank recurrent neural networks. Neuron 99(3), 609–623 (2018)

→ **Barack, D.L., Krakauer, J.W.: Two views on the cognitive brain.**

Nature Reviews Neuroscience 22(6), 359–371 (2021)

→ **Langdon, C., Genkin, M., Engel, T.A.: A unifying perspective on neural manifolds and circuits for cognition. Nature Reviews Neuroscience 24(6), 363–377 (2023)**

Pezon, L., Schmutz, V, Gerstner, W. (2024), Linking Neural Manifolds to Principles of Circuit Structure in Recurrent Networks bioRxiv doi: <https://doi.org/10.1101/2024.02.28.582565>

Neural Manifolds and Low-dimensional dynamics: Low-Rank Recurrent Neural Networks

1. What are Neural Manifolds?

- experimental observations

2. Two views of Neural Activity

- computing (Hopfield model)
- neural circuits (field model)

3. Low-rank recurrent networks

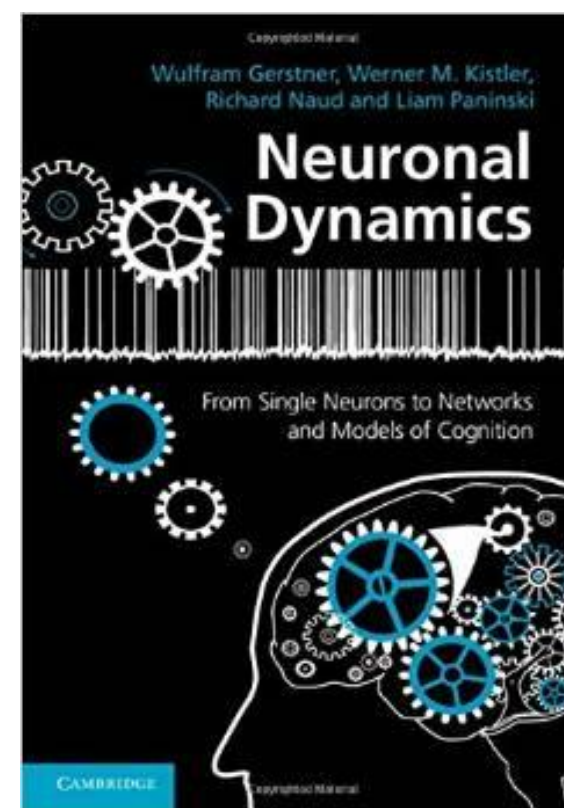
- formalism of low-rank networks
- dynamics

4. Examples of low-dim dynamics

- context-dependent decision making

Wulfram Gerstner

EPFL, Lausanne, Switzerland



Cambridge Univ. Press

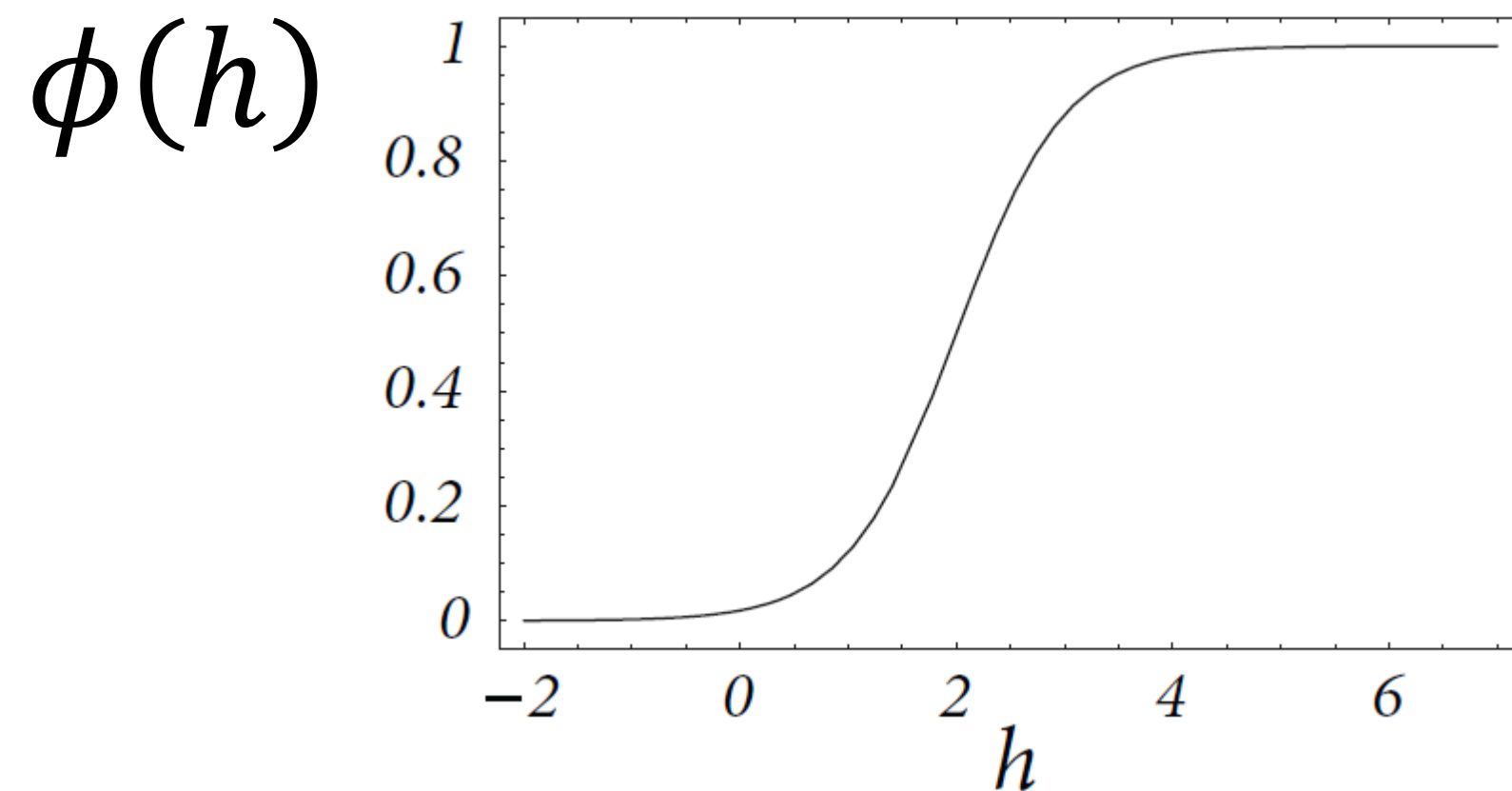
Recurrent Neural Network (RNN)

Recurrent network of N neurons.

Membrane potential of neuron i :

$$\frac{d}{dt}h_i(t) = -\frac{1}{\tau}h_i(t) + \sum_j W_{ij} \phi(h_j(t))$$

Firing rate:

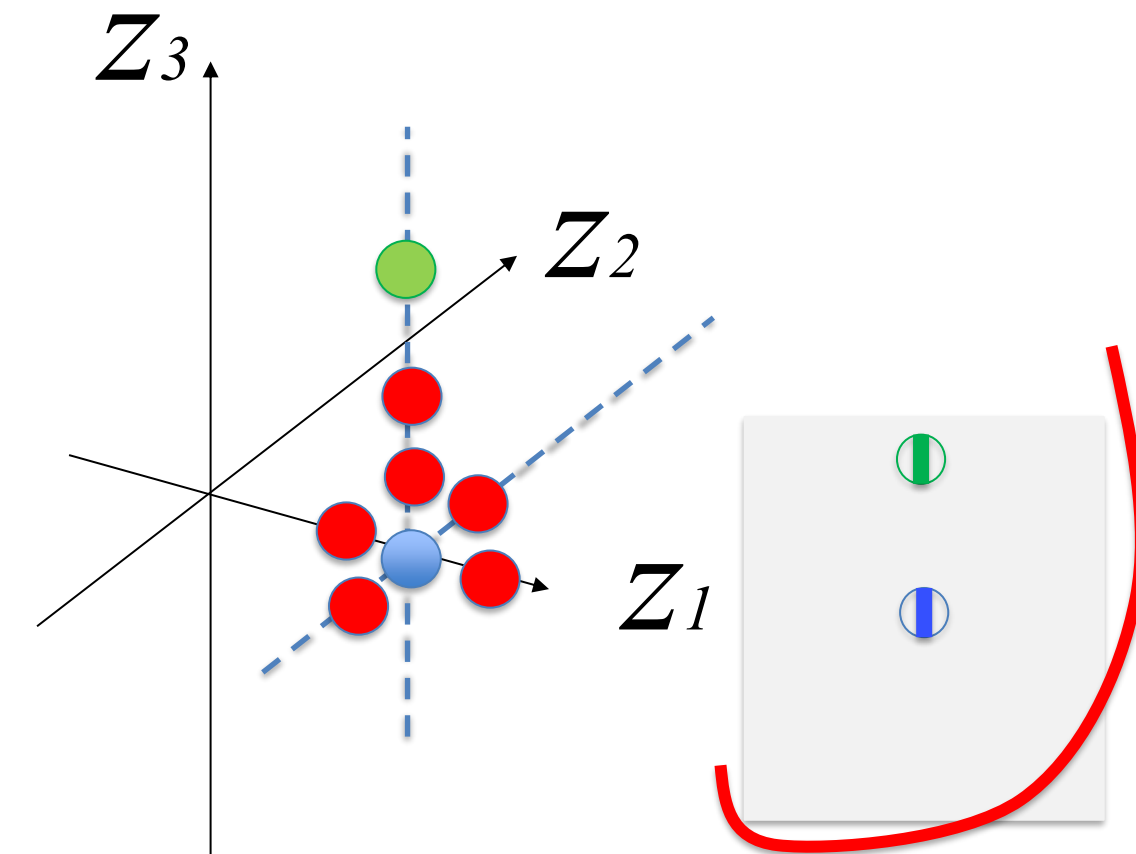


Three assumptions

Assumption 1: neurons are functionally characterized by features

functional
characterization
of neuron

orientation of rec. field: z_1
horizontal placement of rec. field: z_2
vertical placement of rec. field: z_3



Each abstract axis: a feature of receptive field

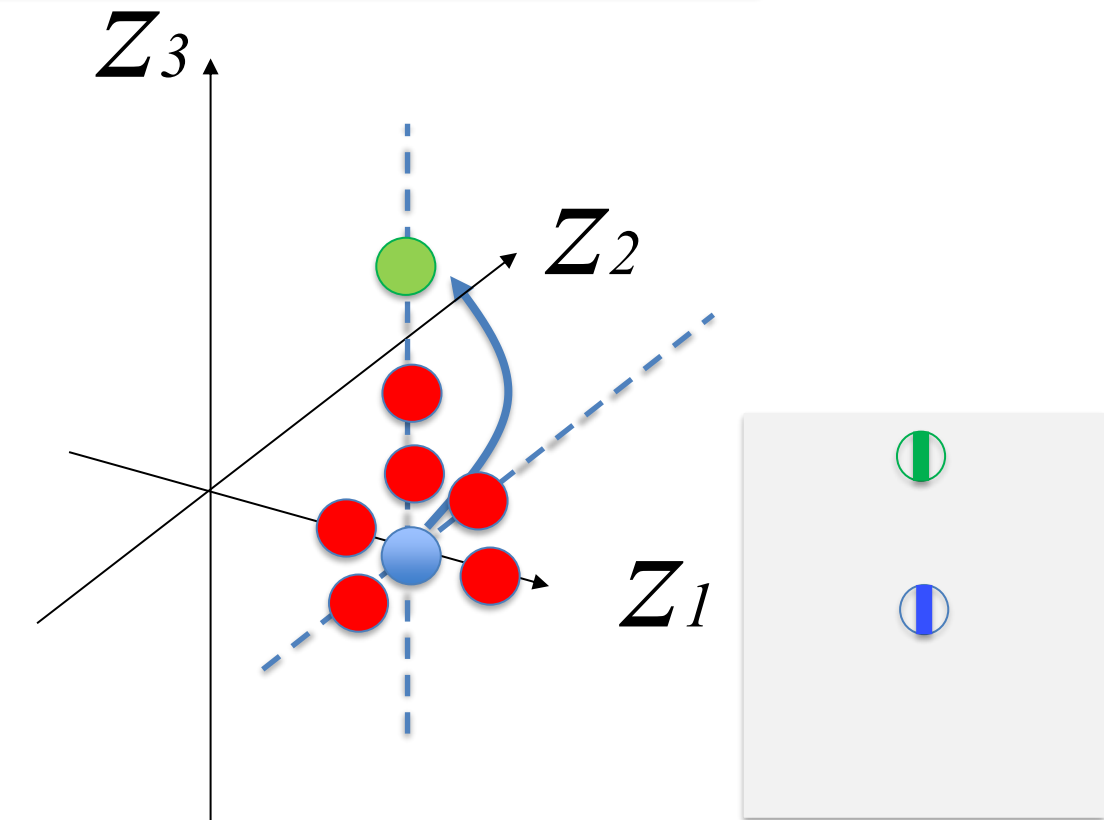
functional similarity = neighborhood in abstract space

Three assumptions

Assumption 2: Similar neurons have similar connectivity:
functionally similar neurons are strongly connected

functional
characterization
of neuron

orientation of rec. field: z_1
horizontal placement of rec. field: z_2
vertical placement of rec. field: z_3



Each abstract axis: a feature of receptive field

Example of 'patchy connectivity': neurons with similar orientation are strongly connected (even if far distance on cortical surface)

Three assumptions

Assumption 3: Connectivity is of 'low rank' (outer product)

$$W_{ij} = \sum_{\mu}^D F_i^{\mu} G_j^{\mu}$$

for example: **D=1**

→ all columns of matrix W_{ij}
are linearly dependent
→ **rank 1 (not 2!)**

Example of low-rank: connectivity in Hopfield model

$$W_{ij} = \sum_{\mu}^D p_i^{\mu} p_j^{\mu}$$

with $p_i^{\mu} = +/-1$ the target value
of neuron i in pattern μ

Functional similarities and 'wiring'

functional similarity = neighborhood in abstract space

Assumption 1:

Position of neuron i in abstract space: $\mathbf{z}_i = (z_1, z_2, z_3, \dots)$ (i)

Assumption 2:

Weight of connection from j to i depends on the positions $\mathbf{z}_i, \mathbf{z}_j$:

$$W_{ij} = w(\mathbf{z}_i, \mathbf{z}_j)$$

Assumption 3:

Specific choice of weight from j to i :

$$W_{ij} = \sum_{\mu}^D F_i^{\mu} G_j^{\mu} = \sum_{\mu}^D f_{\mu}(\mathbf{z}_i) g_{\mu}(\mathbf{z}_j)$$

$$f_{\mu}(\mathbf{z}_i) = F_i^{\mu}$$

$$g_{\mu}(\mathbf{z}_j) = G_j^{\mu}$$

Field equation in functional similarity space

$$\frac{d}{dt} h_i(t) = -\frac{1}{\tau} h_i(t) + \sum_j W_{ij} \phi(h_j(t))$$

use weights:

$$W_{ij} = \sum_{\mu}^D f_{\mu}(\mathbf{z}_i) g_{\mu}(\mathbf{z}_j)$$

with neuron i at position \mathbf{z}_i

$$\frac{d}{dt} h(\mathbf{z}_i, t) = -\frac{1}{\tau} h(\mathbf{z}_i, t) + \sum_j \sum_{\mu}^D f_{\mu}(\mathbf{z}_i) g_{\mu}(\mathbf{z}_j) \phi(h(\mathbf{z}_j, t))$$

$$\frac{d}{dt} h(\mathbf{z}, t) = -\frac{1}{\tau} h(\mathbf{z}, t) + \int d\mathbf{z}' \rho(\mathbf{z}') \sum_{\mu}^D f_{\mu}(\mathbf{z}) g_{\mu}(\mathbf{z}') \phi(h(\mathbf{z}', t))$$

generalized field equation (large number of neurons)

Field equation and low-dimensional dynamics

$$\frac{d}{dt}h(\mathbf{z}, t) = -\frac{1}{\tau}h(\mathbf{z}, t) + \underbrace{\int d\mathbf{z}' \rho(\mathbf{z}')}_{\text{weight}} \sum_{\mu}^D \underbrace{f_{\mu}(\mathbf{z}) g_{\mu}(\mathbf{z}') \phi(h(\mathbf{z}', t))}_{\alpha_{\mu}(t)}$$

$$\frac{d}{dt}h(\mathbf{z}, t) = -\frac{1}{\tau}h(\mathbf{z}, t) + \sum_{\mu}^D \underbrace{f_{\mu}(\mathbf{z})}_{\text{basis function}} \underbrace{\alpha_{\mu}(t)}_{\text{coefficient}}$$

D 'basis functions'

Idea: write

$$h(\mathbf{z}, t) = \sum_{\mu}^D \underbrace{f_{\mu}(\mathbf{z})}_{\text{basis function}} \underbrace{\kappa_{\mu}(t)}_{\text{projection onto basis f.}}$$

Field equation and low-dimensional dynamics

(X)
$$\frac{d}{dt}h(\mathbf{z}, t) = -\frac{1}{\tau}h(\mathbf{z}, t) + \sum_{\mu}^D f_{\mu}(\mathbf{z})\alpha^{\mu}(t) + I(\mathbf{z}, t)$$

Annotations: A red arrow points to $\frac{1}{\tau}$. A red bracket groups $f_{\mu}(\mathbf{z})\alpha^{\mu}(t)$ and $+I(\mathbf{z}, t)$. A green arrow points to $\alpha^{\mu}(t)$ with the label "coefficient".

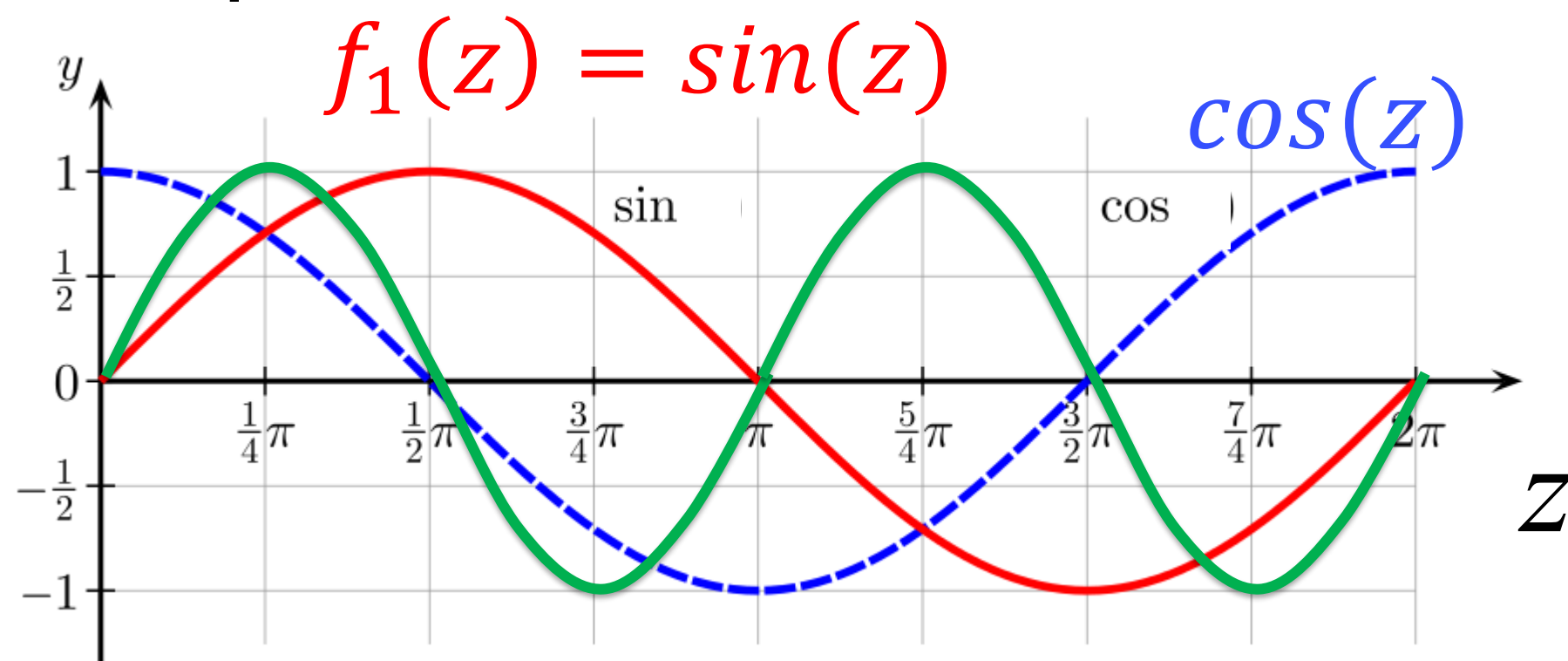
D 'basis functions'

Idea: write

$$h(\mathbf{z}, t) = \sum_{\mu}^D f_{\mu}(\mathbf{z})\kappa_{\mu}(t)$$

Example:

projection onto basis f.



$$I(\mathbf{z}, t) = \sum_{\mu}^{D+3} f_{\mu}(\mathbf{z})I_{\mu}(t)$$

external input in same basis

Field equation and low-dimensional dynamics

Idea: write

$$h(\mathbf{z}, t) = \sum_{\mu}^D f_{\mu}(\mathbf{z}) \kappa_{\mu}(t)$$

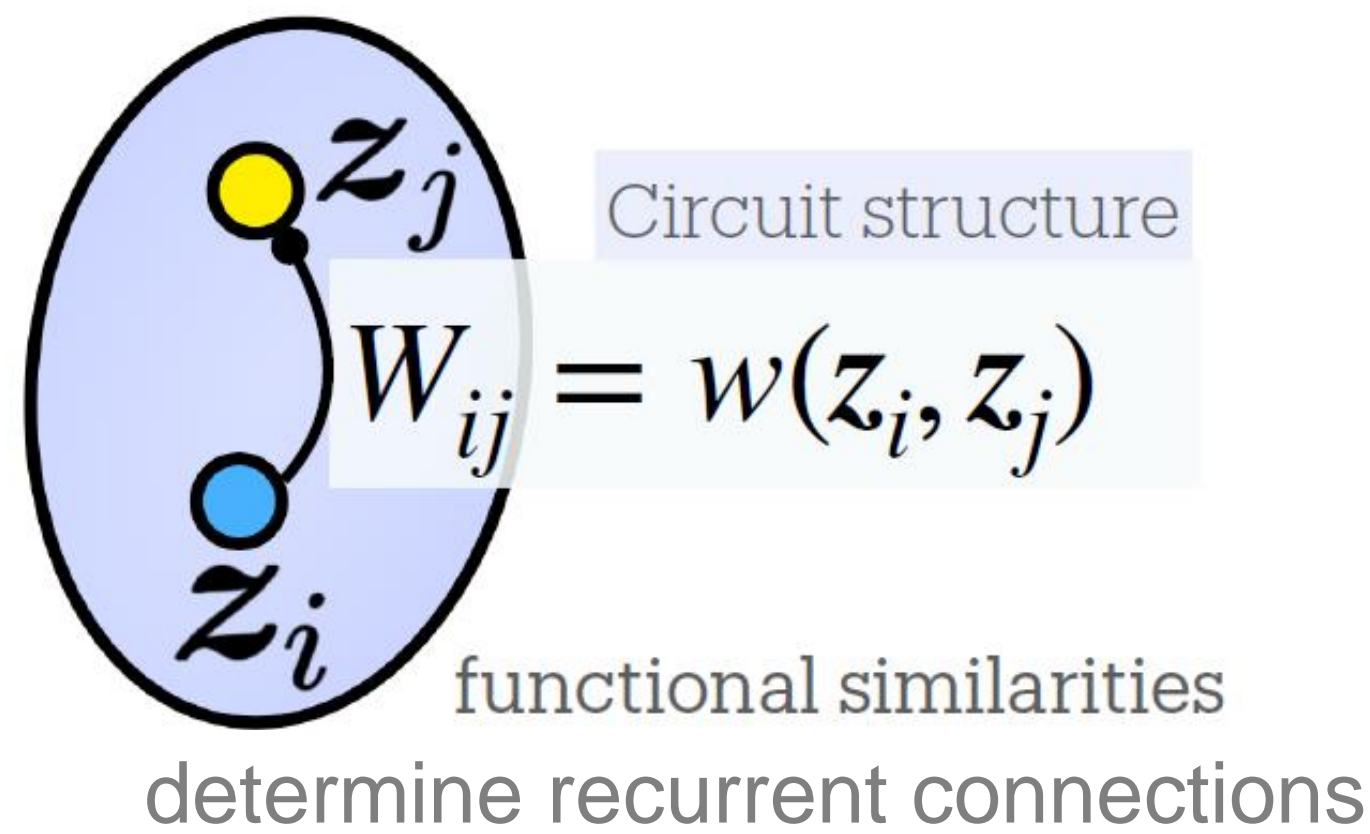
→ yields D coupled equations

$$\frac{d}{dt} \kappa_{\mu}(t) = -\frac{1}{\tau} \kappa_{\mu}(t) + \int d\mathbf{z} \rho(\mathbf{z}) g_{\mu}(\mathbf{z}) \underbrace{\phi\left(\sum_{\nu}^D f_{\nu}(\mathbf{z}) \kappa_{\nu}(t)\right)}_{\phi(h(\mathbf{z}, t))}$$

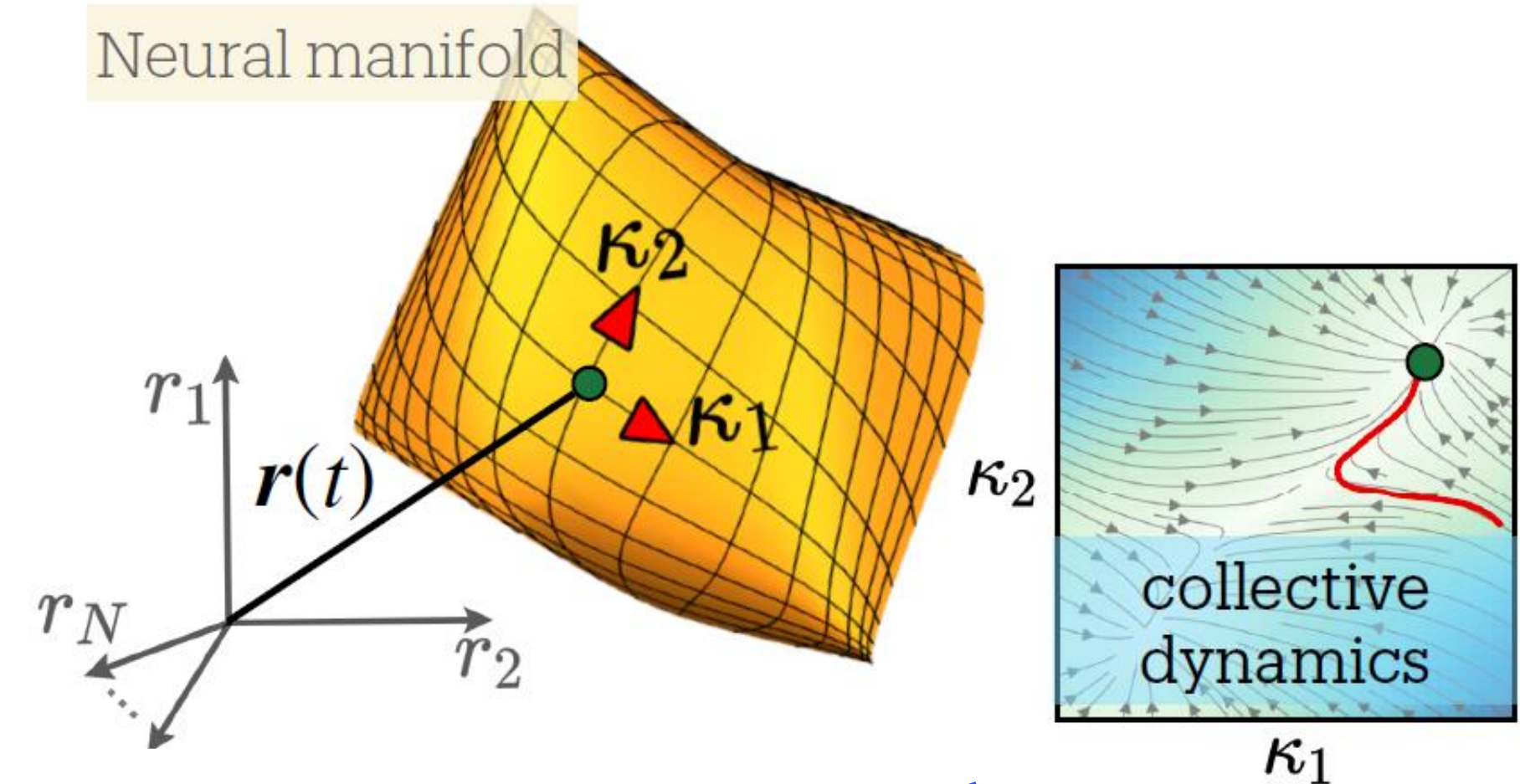
→ activity of all N neurons ($N \gg 1$) is described by D equations in recurrent network (without external input)

Summary: low-dimensional dynamics

- What is relation between functional similarity and manifold?
functional similarity reflected in wiring, wiring causes dynamics



?



with weights $W_{ij} = \sum_{\mu}^D f_{\mu}(z_i) g_{\mu}(z_j)$, dynamics evolves in D dim.

→ flow described by small number of variables $\kappa_1, \dots, \kappa_D$,

Summary: low-dimensional dynamics

To generate **low-dimensional dynamics** in **heterogeneous** networks of N neurons, three ingredients are important:

- (i) neurons characterized by abstract positions z representing functional similarity
- (ii) weight matrix depends on z and z'
- (iii) weight matrix is of low rank: outer-product with D terms

- field model for large network (N to infinity)
- collective dynamics evolves in D dimensions
- external input can also be included in formalism

References:

→ **Mastrogiuseppe, F., Ostojic, S. (2018), Linking connectivity, dynamics, and computations in low-rank recurrent neural networks.** Neuron 99(3), 609–62329

Pezon, L., Schmutz, V, Gerstner, W. (2024), Linking Neural Manifolds to Principles of Circuit Structure in Recurrent Networks bioRxiv doi: <https://doi.org/10.1101/2024.02.28.582565>

List of video lectures on Computational Neuroscience, organized by topics:

<https://lcnwww.epfl.ch/gerstner/NeuronalDynamics-MOOCall.html>

YouTube Channel:

<https://www.youtube.com/@gerstnerlab>

Textbook (online):

<https://neurondynamics.epfl.ch/>

Part B:

The following slides correspond to the video here:

<https://youtu.be/eO4F-j0Z6RA>

From Spiking Neurons to Rate Units: Emergent Rate-based Dynamics in Spiking Neural Networks

Valentin Schmutz, Johanni Brea,
Wulfram Gerstner
EPFL, Lausanne, Switzerland

1. The problem of Firing Rates
- textbook introduction

2. Firing rates without duplicates

V. Schmutz, J. Brea, W. Gerstner (2025) **Emergent rate-based dynamics in duplicate-free populations of spiking neurons**
Physical Review Letters, 134:018401
[DOI 10.1103/PhysRevLett.134.018401](https://doi.org/10.1103/PhysRevLett.134.018401)

What is the Firing Rate? 1. spike count (temporal average)

spikes in response to stimulus

trial 1



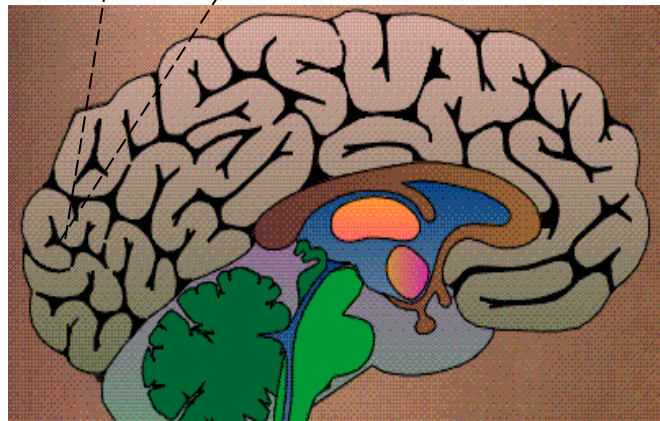
rate as a (normalized) spike count:

$$v(t) = \frac{n^{sp}}{T}$$

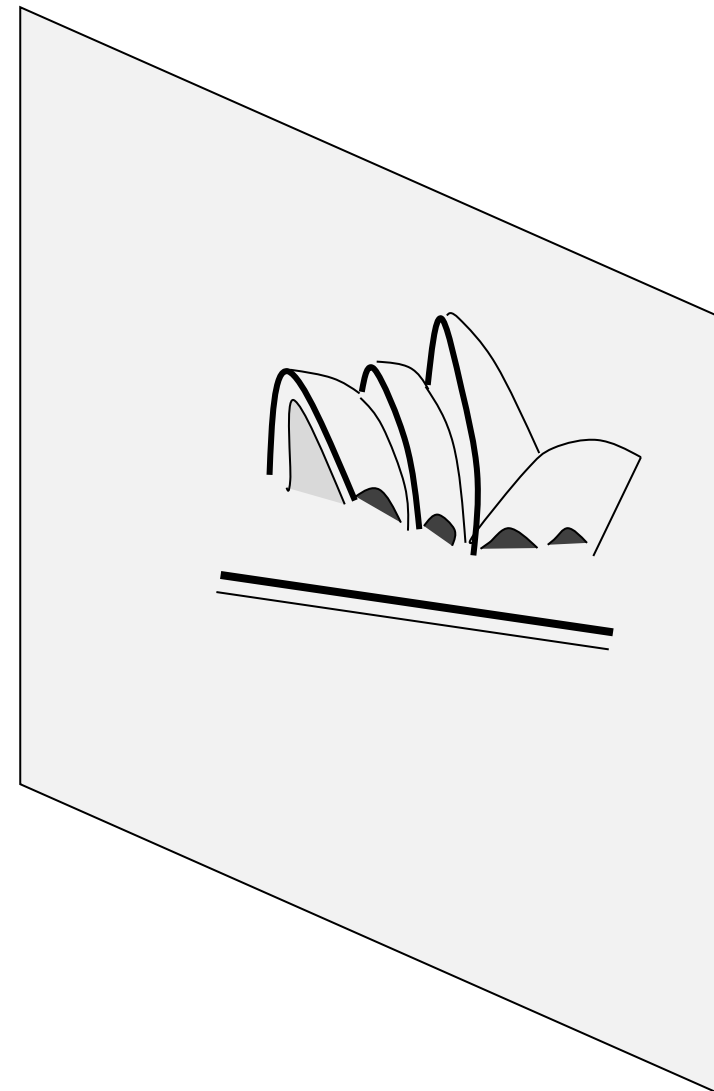
single neuron/single trial:
temporal average

$T=1s$

stim

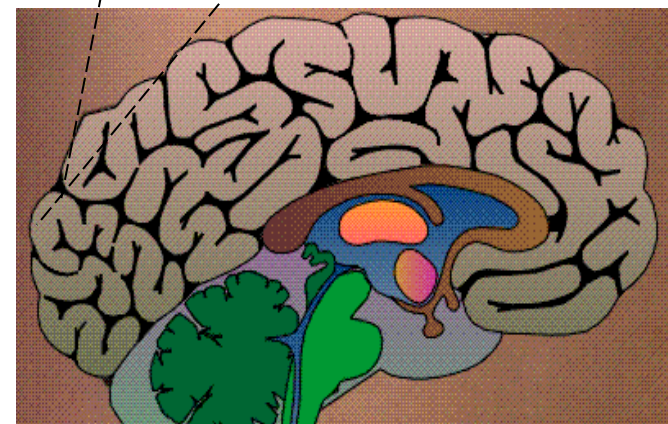


brain

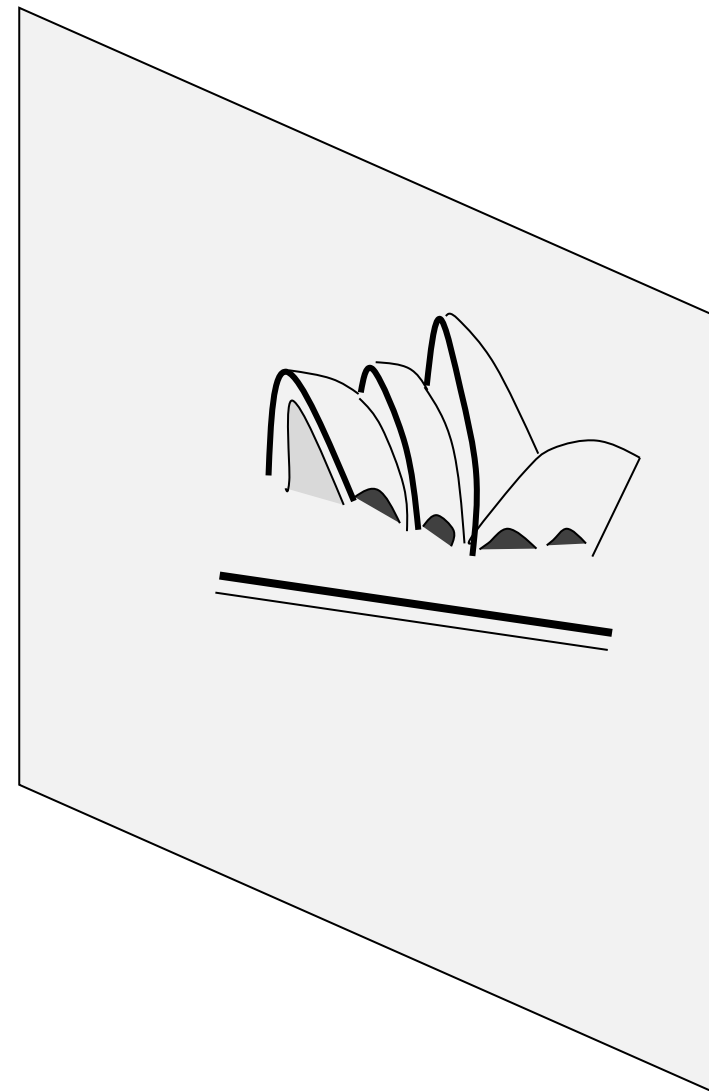
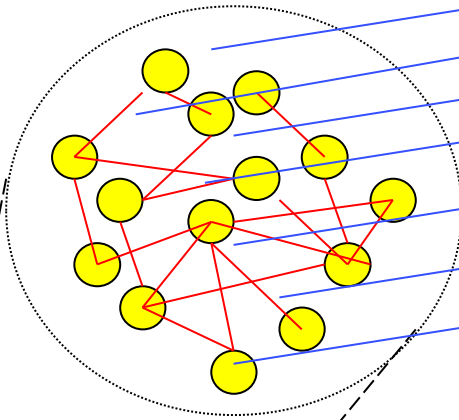


What is the firing rate? 2. population activity (spatial average)

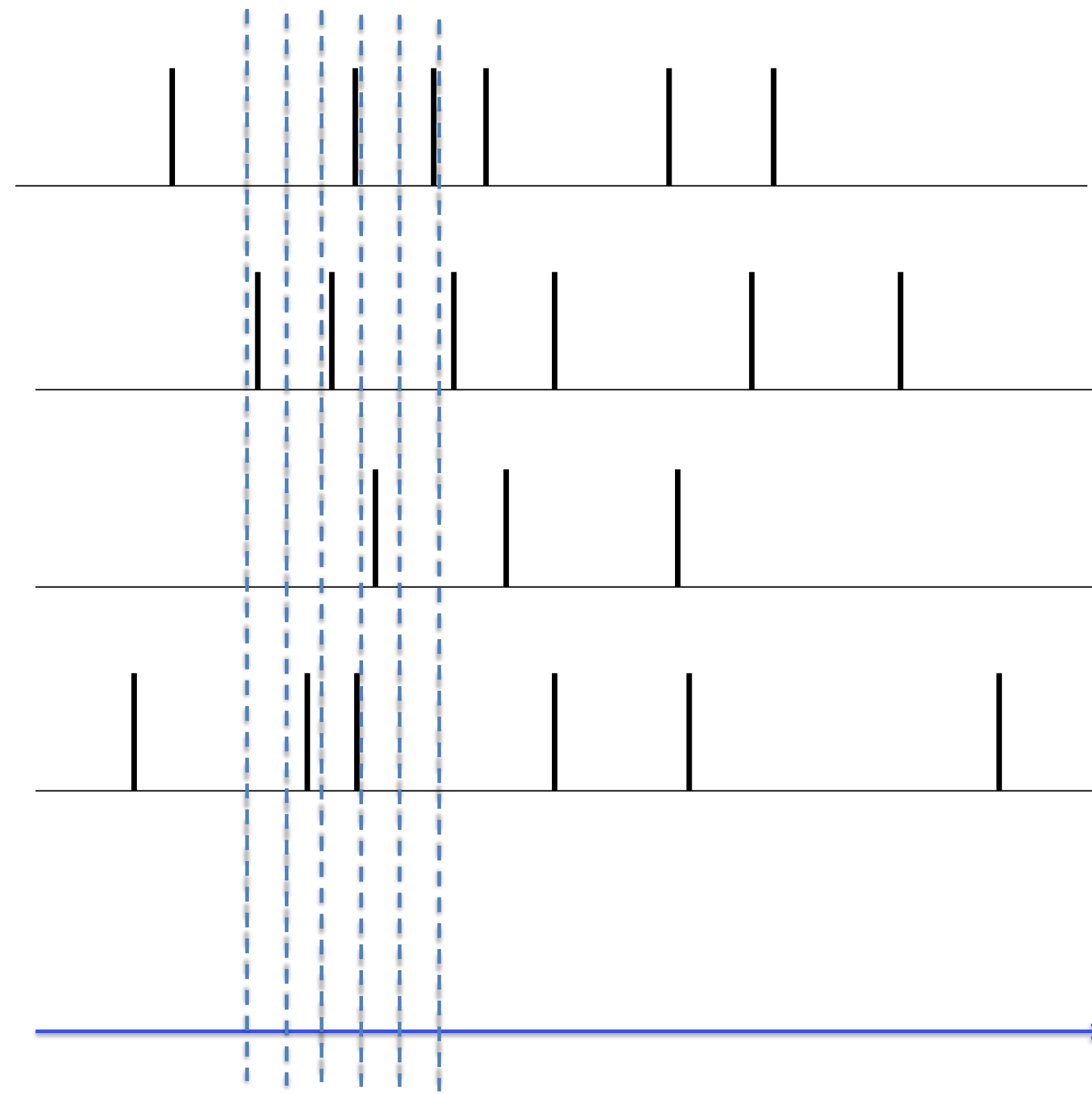
population of neurons
with similar properties



brain



stim



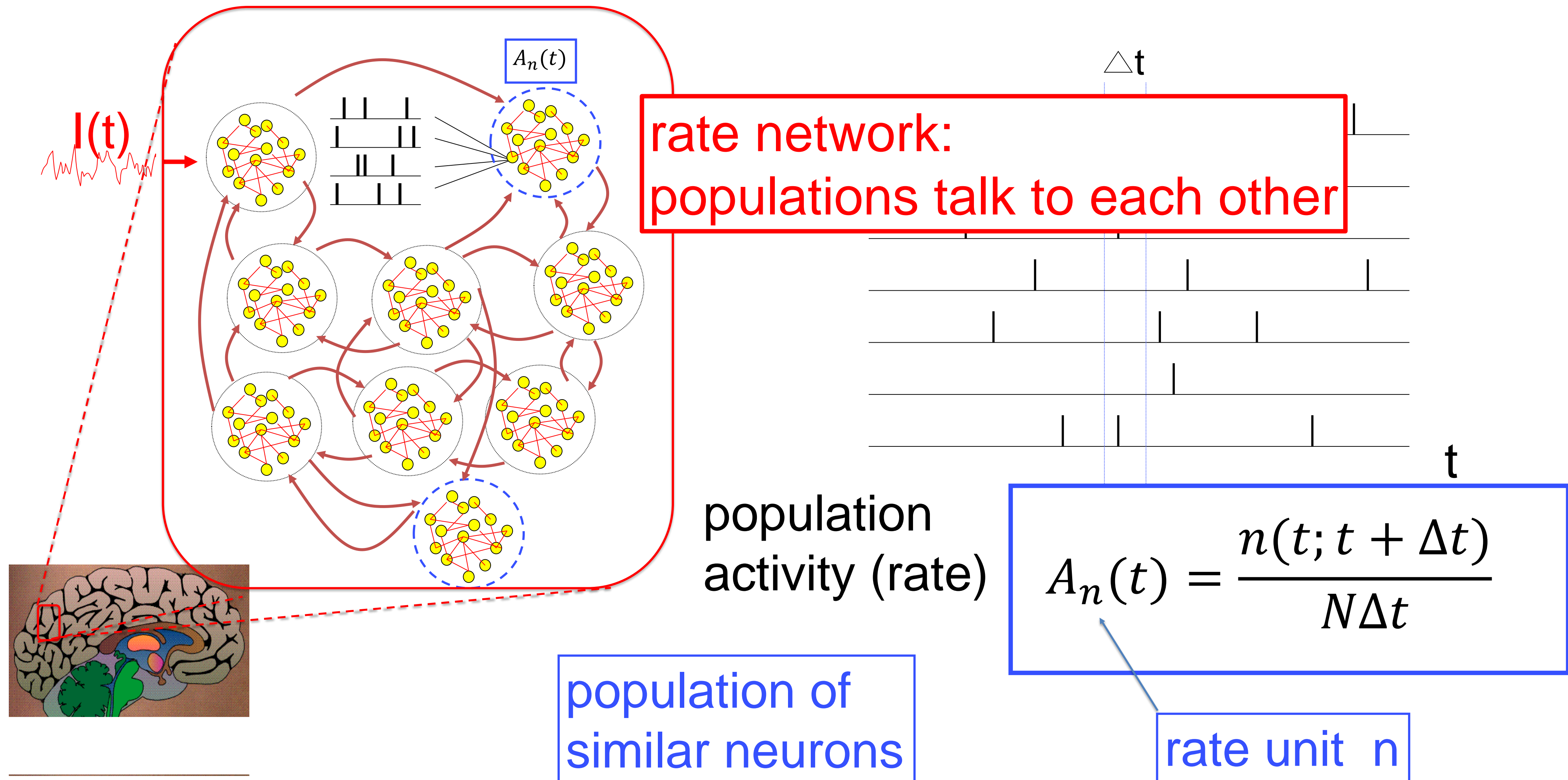
neuron 1

neuron 2

Neuron K

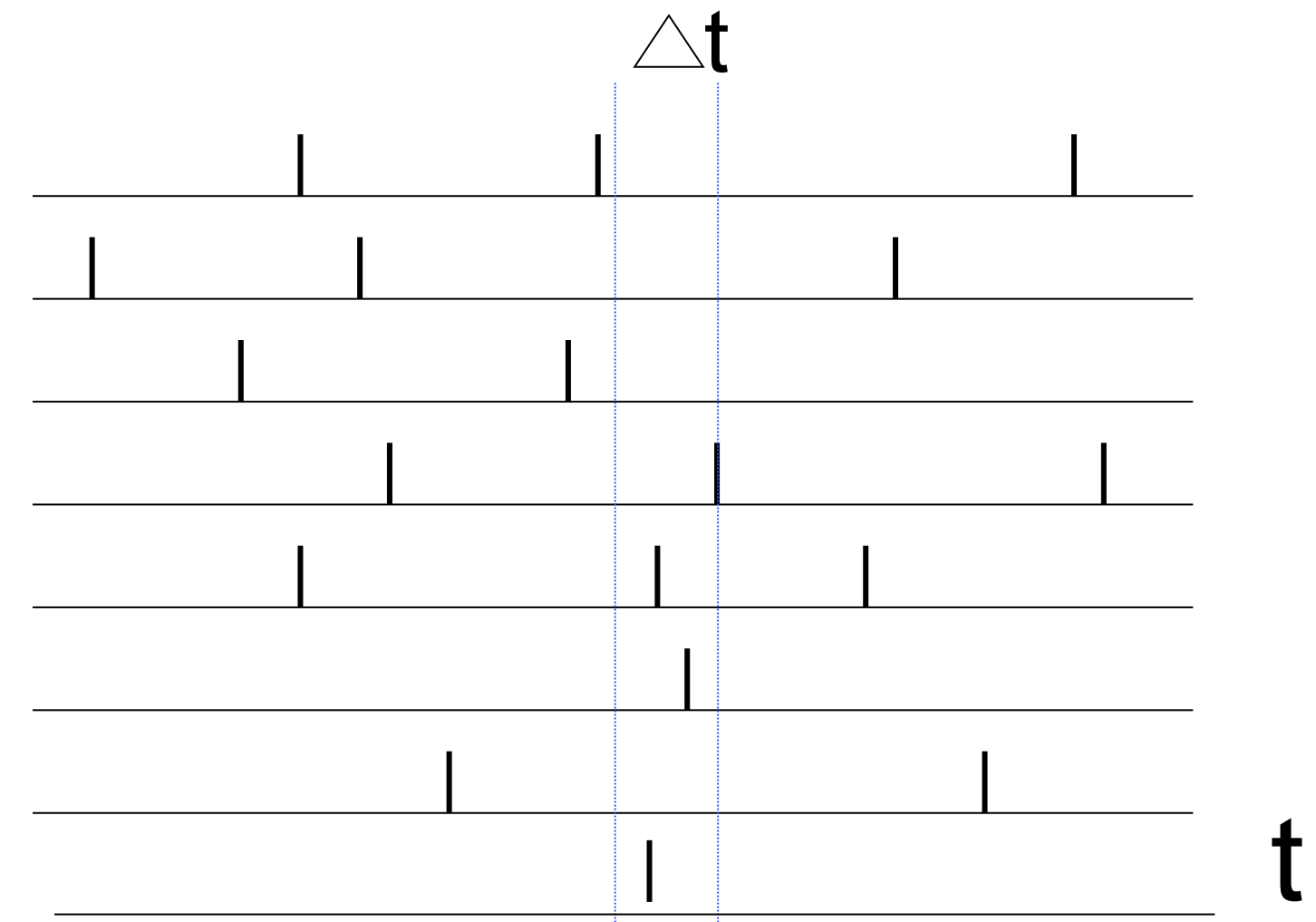
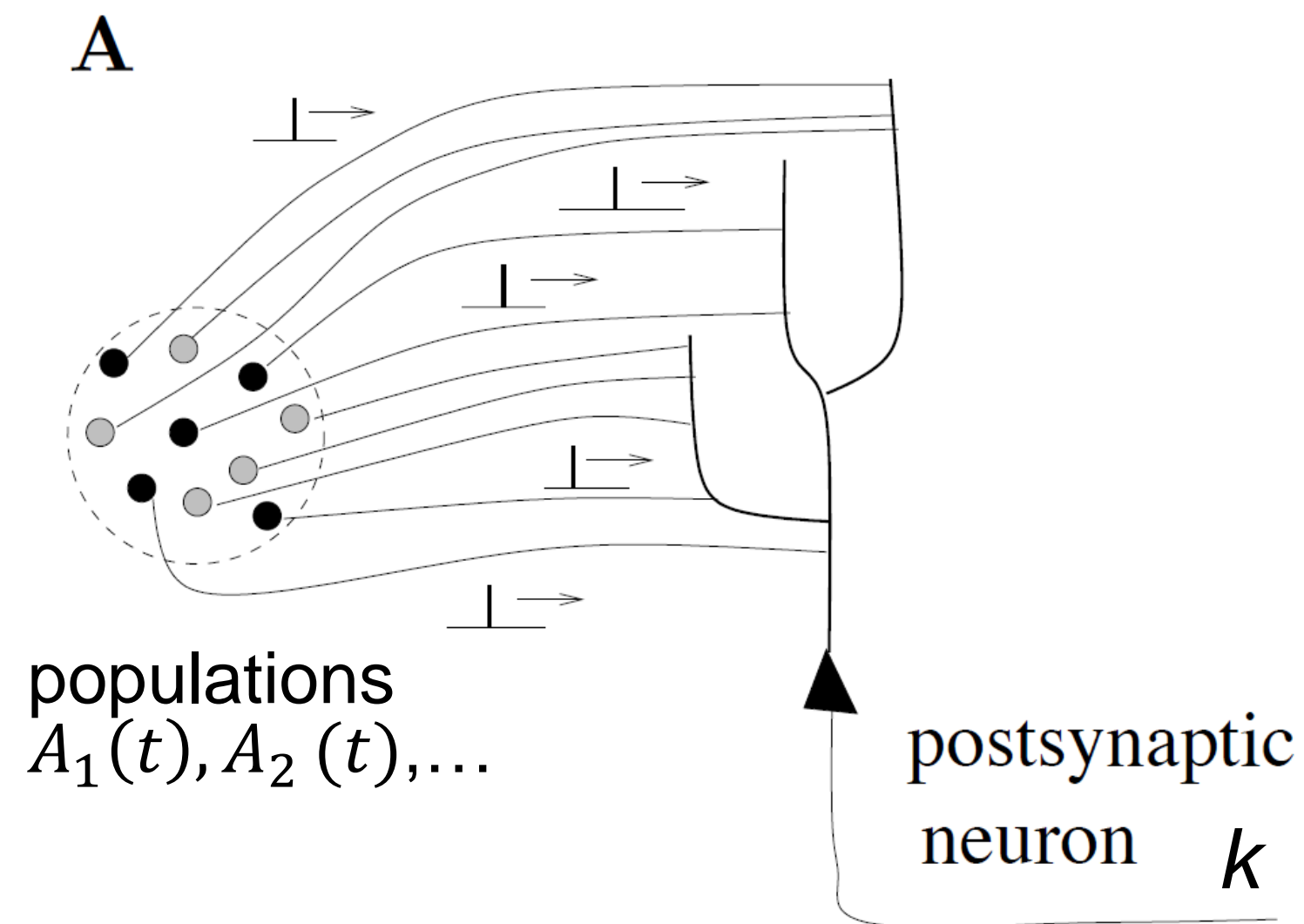
single trial/multiple neurons:
average over population of
similar neurons (e.g., layer 5b)

Rate model: interacting populations (duplicate neurons)



Rate codes: population activity

population activity - rate defined by population average



‘natural readout’

population activity (rate)

$$A_n(t) = \frac{n(t; t + \Delta t)}{N \Delta t}$$

Textbooks: e.g.

but are the presynaptic pools really **homogenous populations of duplicate neurons/similar neurons?**
→ weighted average over very heterogeneous group!

Definitions of Rate codes: summary

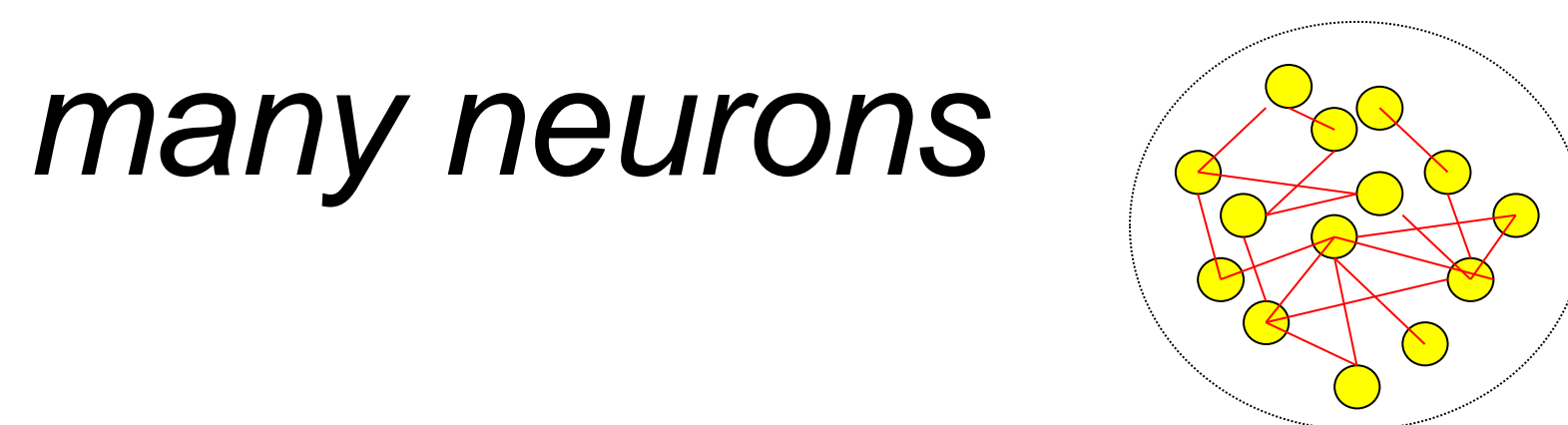
Two averaging methods

- single trial, average over time



too slow
for animal!!!

- single trial, average over population



‘natural’, but do we have enough
duplicate/similar neurons?

Textbooks: e.g. - *Neuronal Dynamics*, Gerstner et al., (Cambridge Univ. Press, 2014)
- *Theoretical Neuroscience*, Dayan and Abbott (MIT Press, 2001)

Big question:

Is a rate description meaningful in spiking neurons, if

- temporal averaging is impossible because **signals are fast**
- there are **no duplicate neurons (no similar neurons)**



☐ intuitively plausible
☐ intuitively not plausible
☐ may be, but if yes, then under
very **strict** conditions

From Spiking Neurons to Rate Units

Emergent Rate-based Dynamics in Spiking Neural Networks:

Valentin Schmutz, Johanni Brea,
Wulfram Gerstner
EPFL, Lausanne, Switzerland

1. The problem of Firing Rates
- textbook introduction

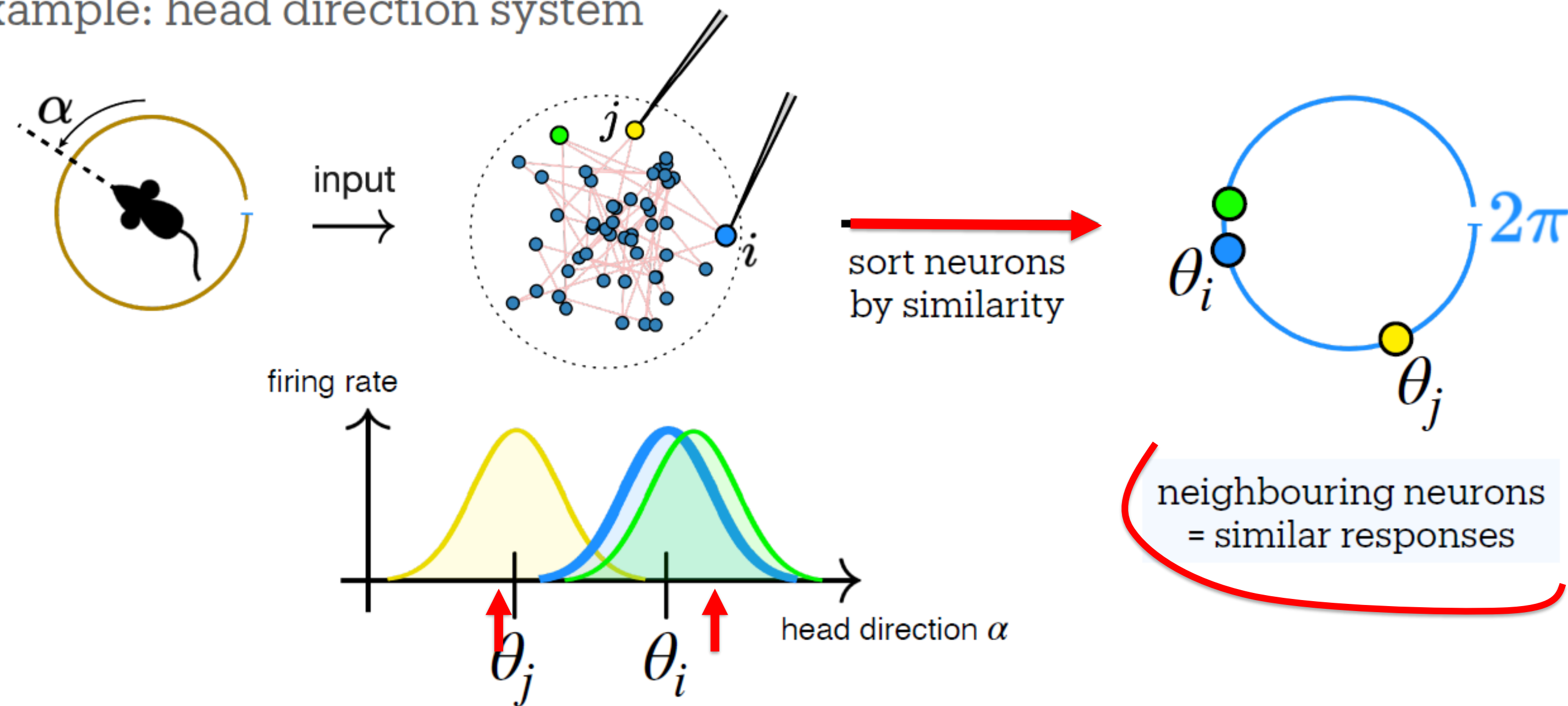
2. Firing rates without duplicates

V. Schmutz, J. Brea, W. Gerstner (2025) **Emergent rate-based dynamics in duplicate-free populations of spiking neurons**
Physical Review Letters, 134:018401
[DOI 10.1103/PhysRevLett.134.018401](https://doi.org/10.1103/PhysRevLett.134.018401)

Review: functional similarity of neurons

**functional similarity =
neighborhood in abstract space**

Example: head direction system

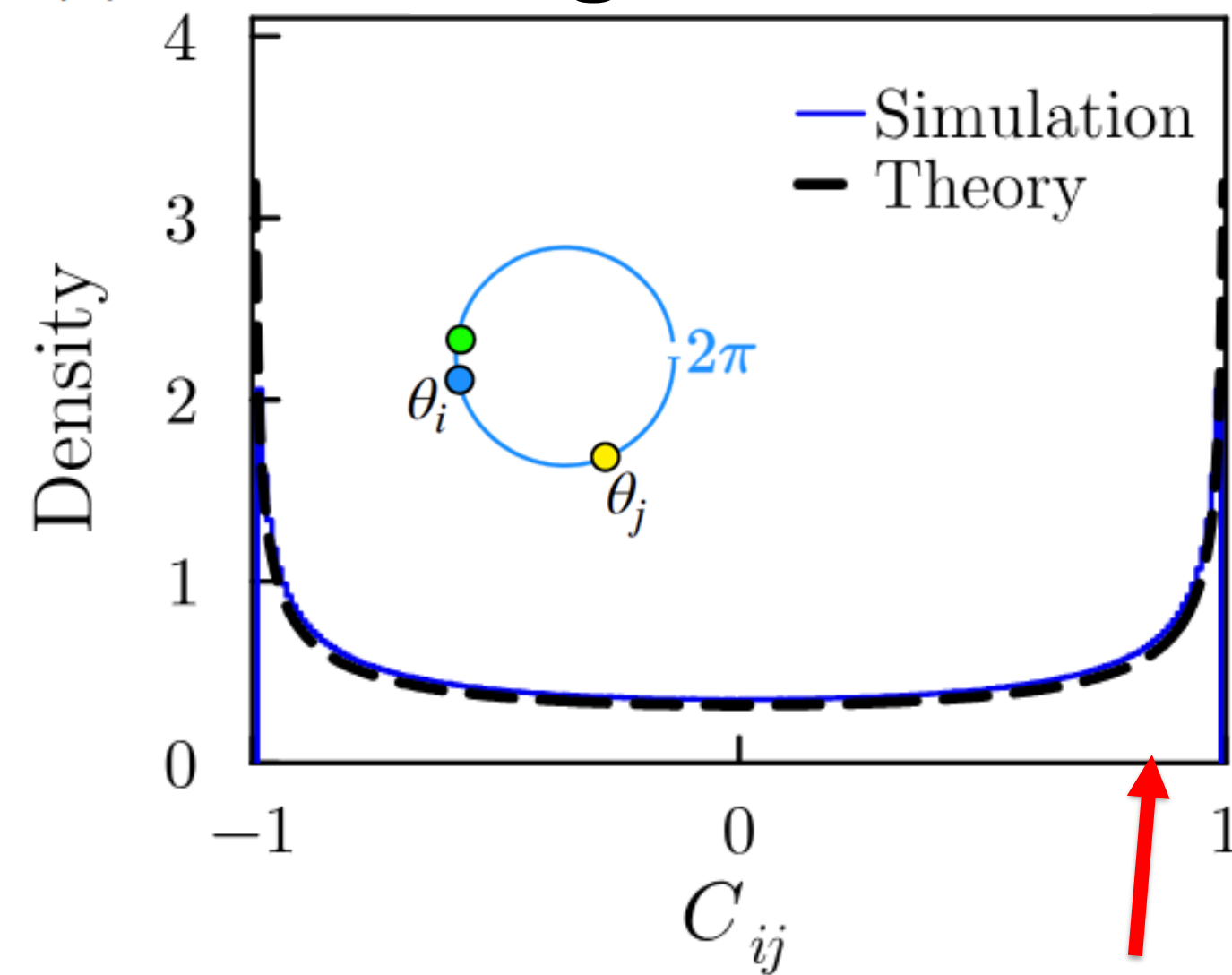


variable z :
position on ring
'ring model'

Functionally similar neurons do not always sit next to each other in cortex.

Correlations between two neurons ($N = 10^6$ neurons total)

(a) ring model



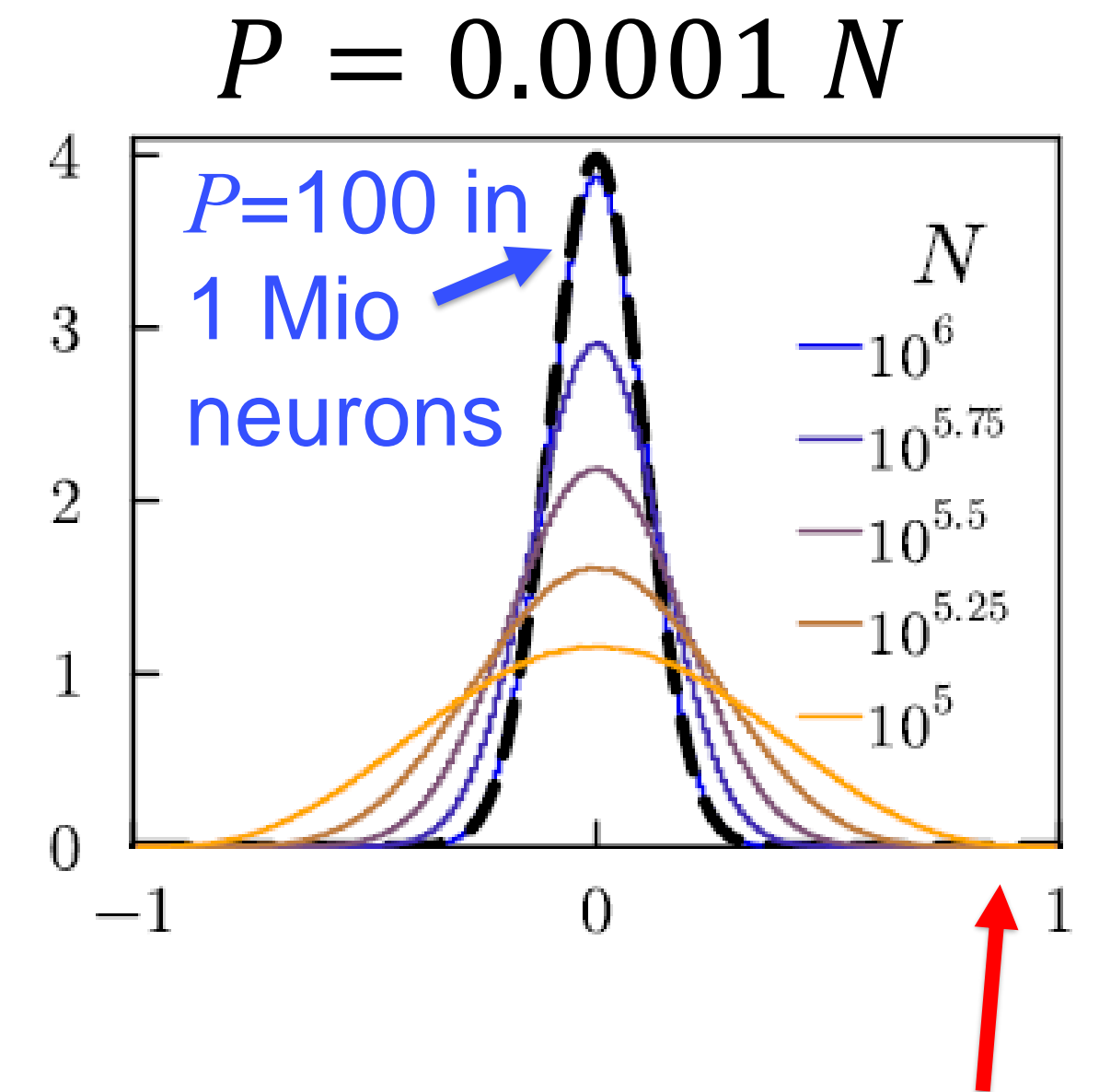
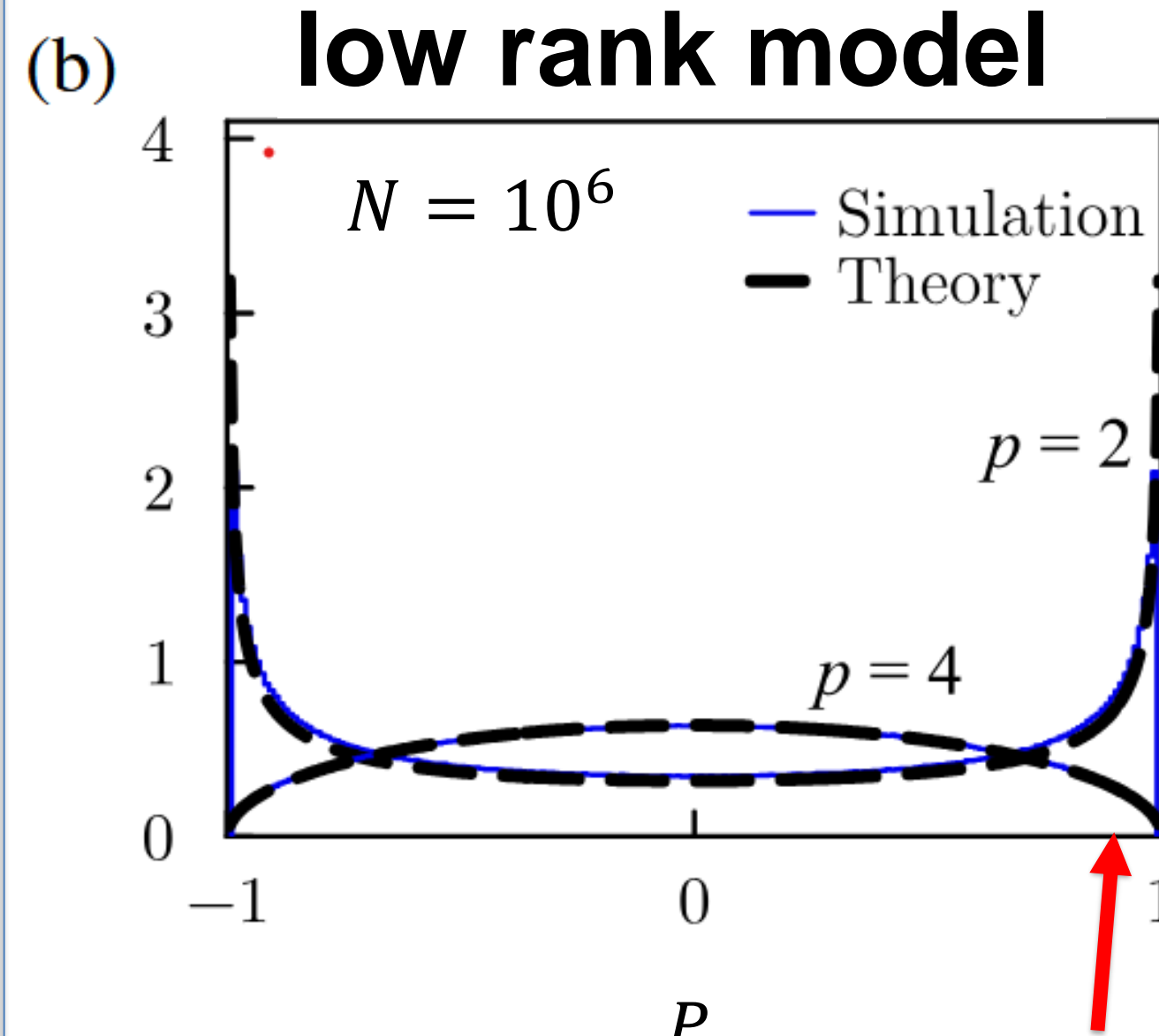
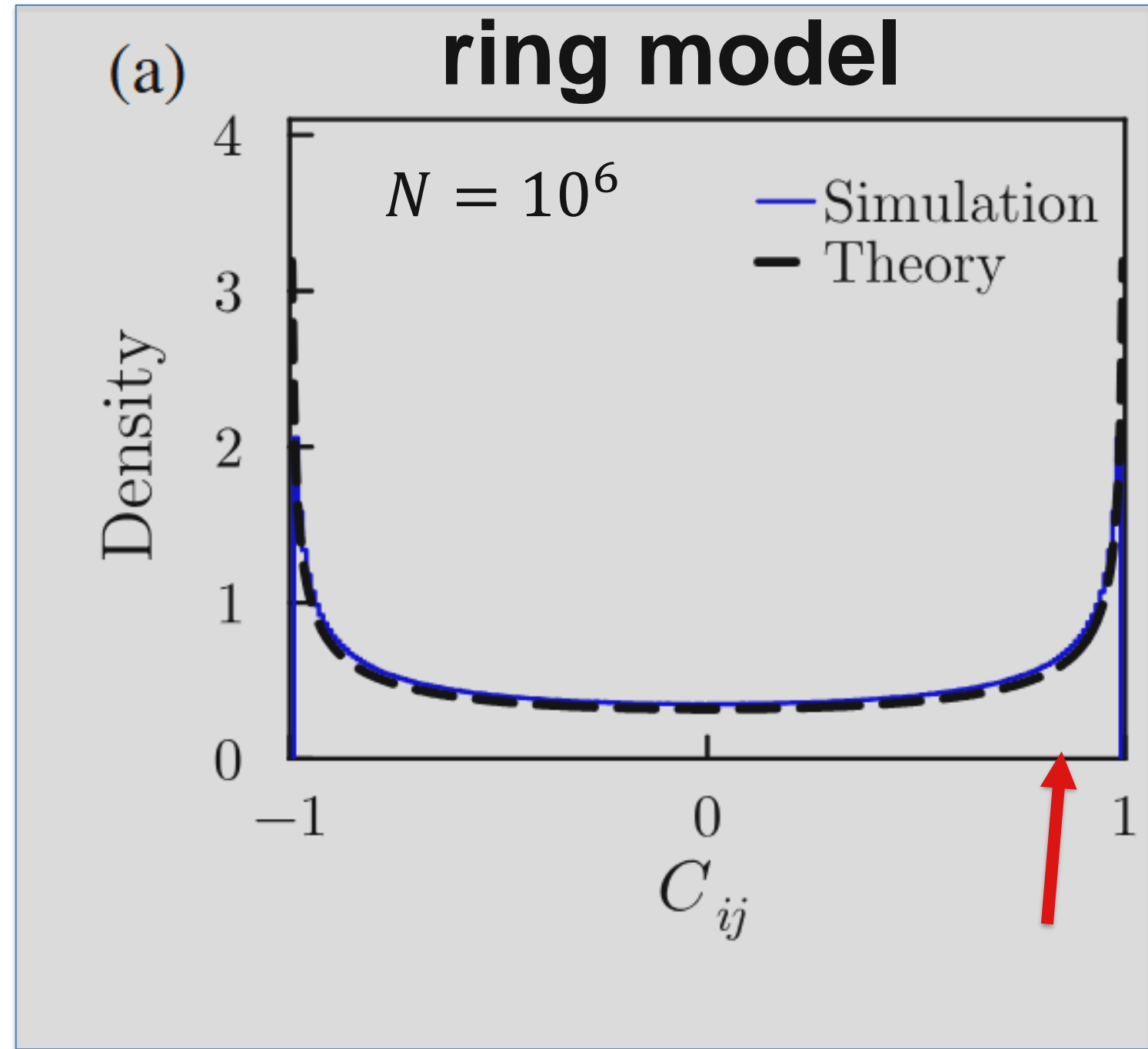
-ring model with Poisson neurons
-stimulation at random location

Horizontal axis:
amount of correlation between 2 neurons

high correlation,
caused by pairs of neighboring neurons

Ring model: many pairs of neurons are strongly correlated.
→ “duplicate neurons”: identical or strongly correlated
→ duplicate neurons respond ‘nearly the same’

Correlations between two neurons: low-rank weight matrix



$$w_{ij} = \frac{1}{N} \sum_{\mu}^P \xi_i^{\mu} \xi_j^{\mu} \quad \xi_j^{\mu} \text{ are Gaussian distributed}$$


Neurons become uncorrelated for $P \rightarrow \infty$; $N \rightarrow \infty$; $\frac{P}{N} \rightarrow 0$
e.g. $P = N^{1/3}$

→ **no duplicate neurons**

V. Schmutz, J. Brea, W. Gerstner (2025) *Emergent rate-based dynamics in duplicate-free populations of spiking neurons*
 Physical Review Letters, 134:018401

1st important finding:

For low-rank weights

$$w_{ij} = \frac{1}{N} \sum_{\mu} \xi_i^{\mu} \xi_j^{\mu}$$


Proof:
Concentration of Measure

ξ_j^{μ} are Gaussian distributed

neurons become uncorrelated for $P \rightarrow \infty$; $N \rightarrow \infty$; $\frac{P}{N} \rightarrow 0$

→ **no duplicate neurons**

e. g. $P = N^{1/3}$

V. Schmutz, J. Brea, W. Gerstner (2025) *Emergent rate-based dynamics in duplicate-free populations of spiking neurons*
Physical Review Letters, 134:018401

With low-rank weights and $P = N^{1/3}$,
we can exclude duplicate neurons: **is rate coding possible?**

Recurrent Neural Network (RNN)

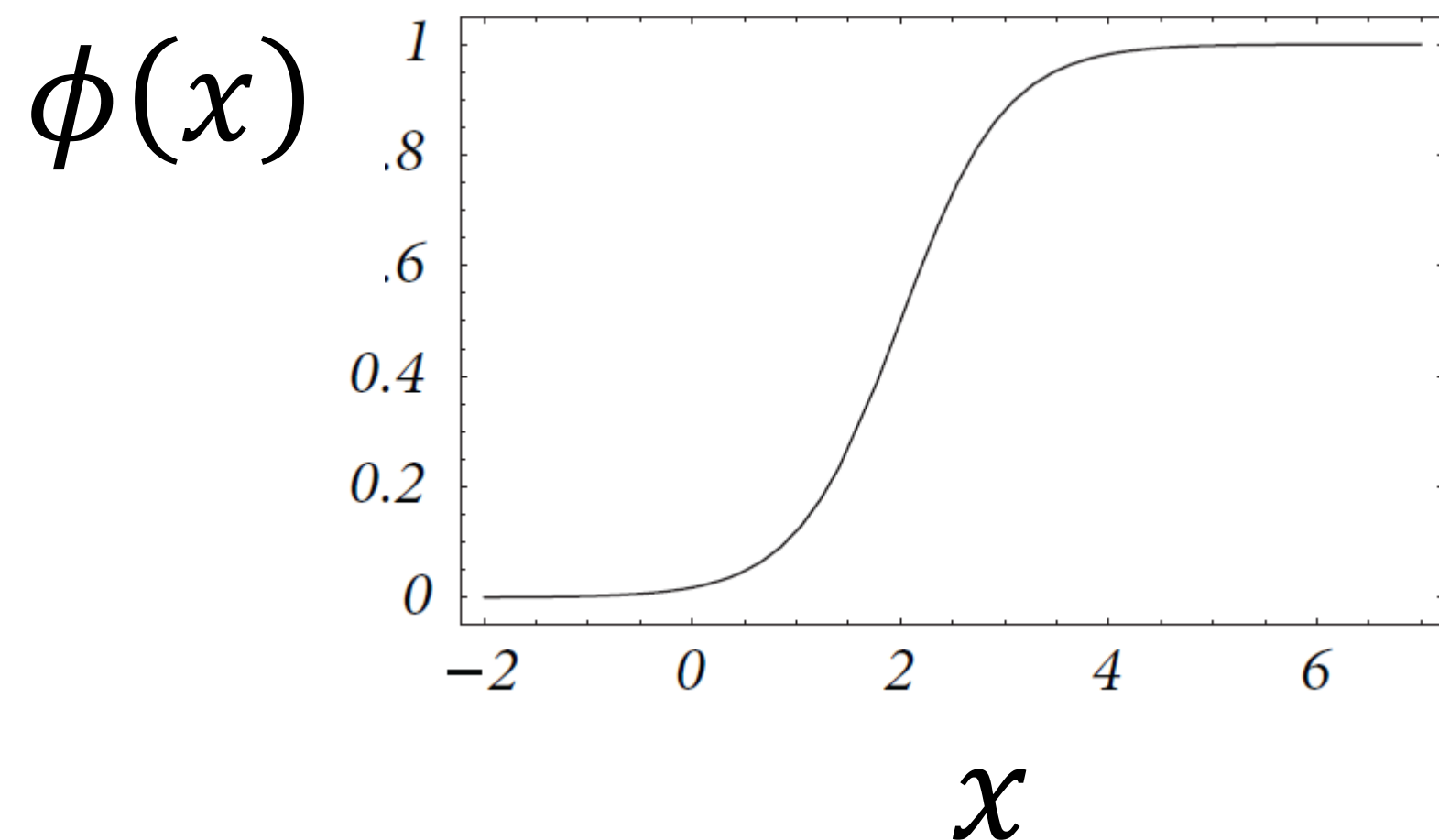
Recurrent network of N neurons.

Membrane potential of neuron i :

$$\frac{d}{dt}x_i(t) = -\frac{1}{\tau}x_i(t) + \sum_j w_{ij} \phi(x_j(t)) + I_i^{ext}(t)$$

“rate model”

firing rate (rate variable):



Spiking Neural Network (SNN)

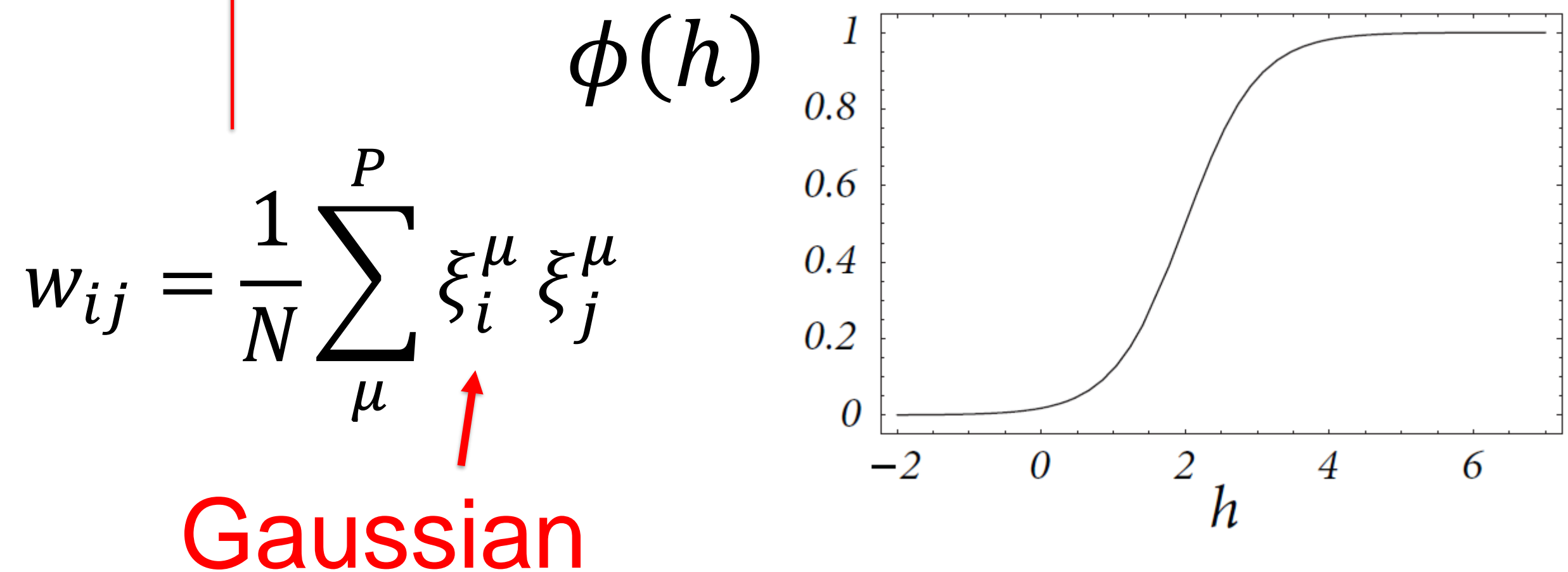
Recurrent network of N neurons.

Membrane potential of neuron i :

$$\frac{d}{dt}h_i(t) = -\frac{1}{\tau}h_i(t) + \sum_j w_{ij} S_j(t) + I_i^{ext}(t)$$

“spiking model”: spike causes jump

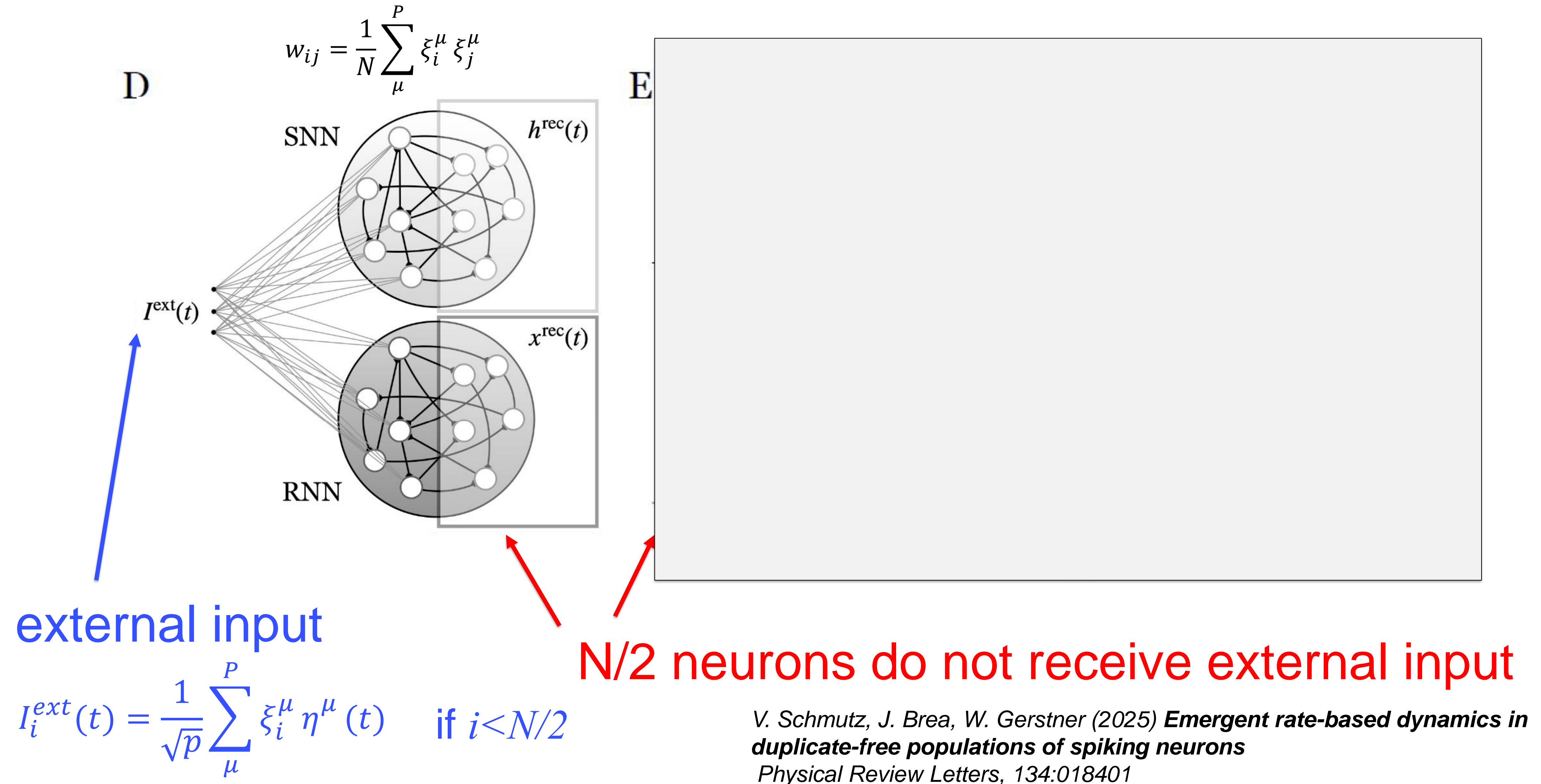
spike generated by inhomogeneous Poisson pr. with stochastic intensity



$$w_{ij} = \frac{1}{N} \sum_{\mu} \xi_i^{\mu} \xi_j^{\mu}$$

Gaussian


Compare SNN and RNN for same input, same connections



V. Schmutz, J. Brea, W. Gerstner (2025) **Emergent rate-based dynamics in duplicate-free populations of spiking neurons**
Physical Review Letters, 134:018401

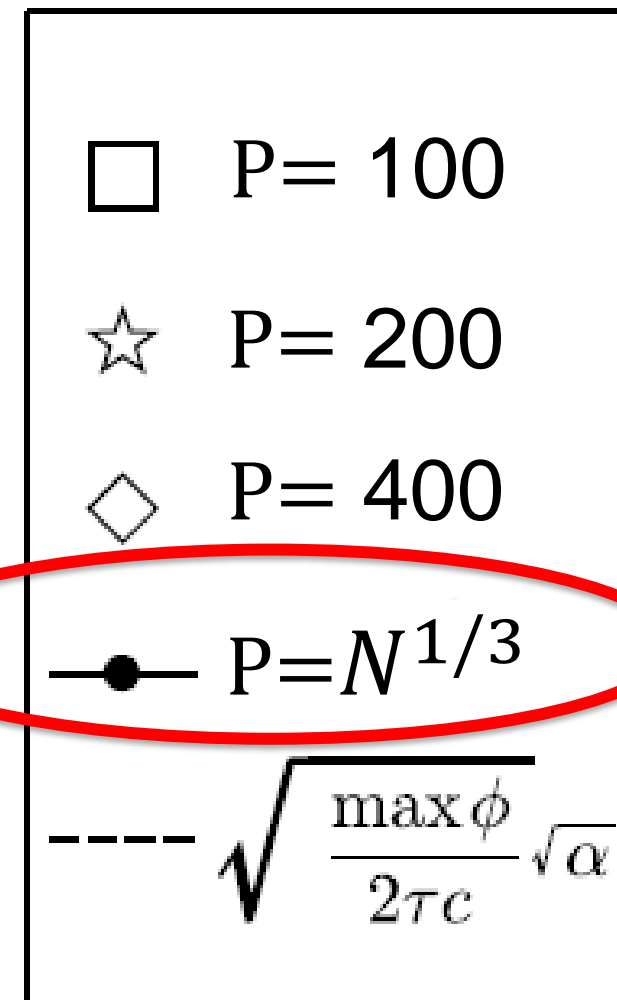
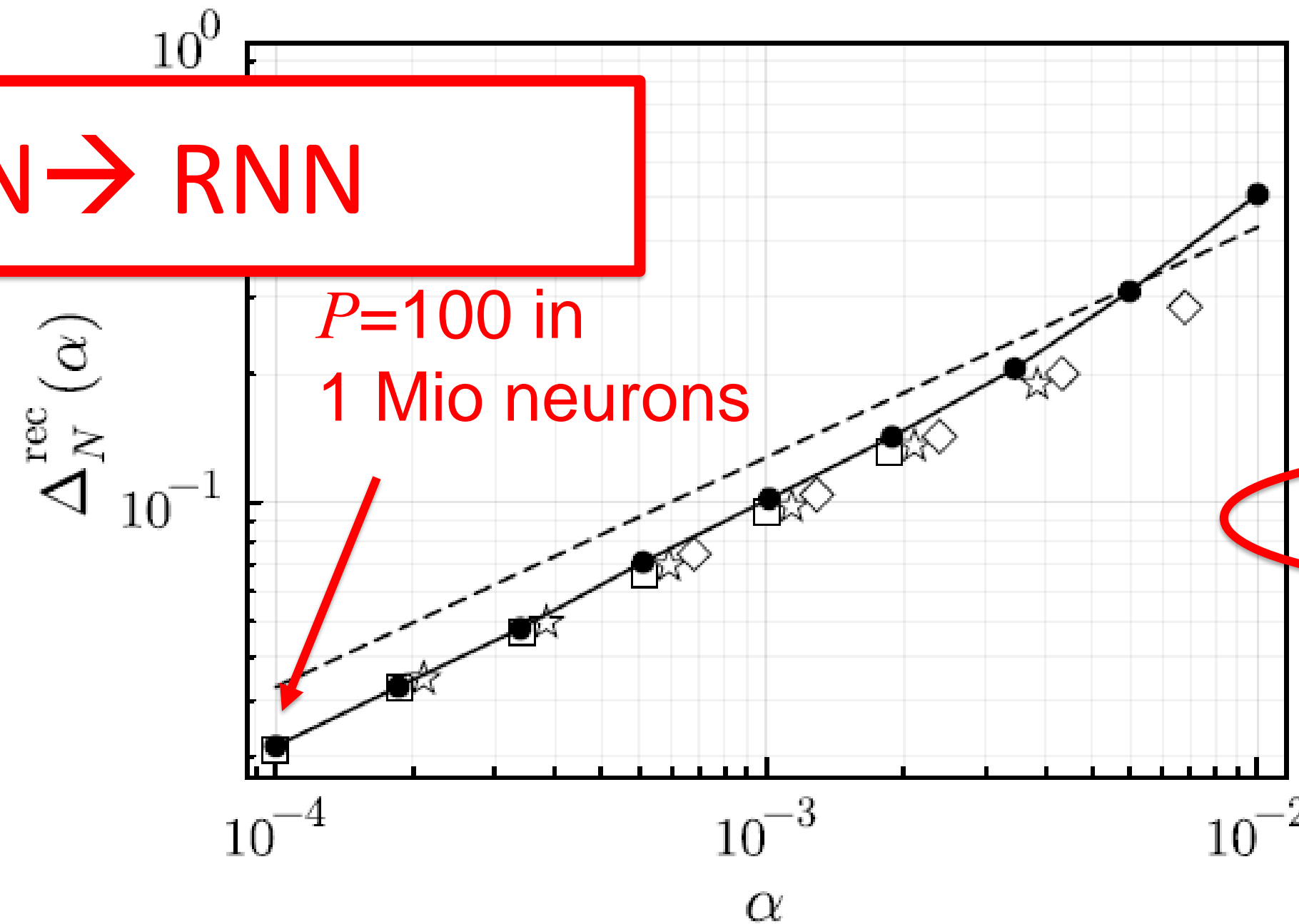
Distance between potential in SNN (spikes) and RNN (rates)

$$\Delta_N^{\text{rec}}(\alpha) := \frac{2}{N} \sum_{i=N/2+1}^N \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T |h_i^{\text{rec}}(t) - x_i^{\text{rec}}(t)| dt$$



Simulation for large N,

SNN → RNN



$P = \alpha N$

momentary
distance

bound for small α

V. Schmutz, J. Brea, W. Gerstner (2025) *Emergent rate-based dynamics in duplicate-free populations of spiking neurons* Phys.Rev. Lett. 134:018401

effect of spikes disappears for large networks,
no need to average over time or neuron duplicates!

Summary Rate coding with **instantaneous time-dependent rates** is possible in network of spiking neurons even though not a single pair of neurons is correlated (**no duplicates**)

- completely heterogeneous population
- no spatial averaging
- no temporal averaging

SNN → RNN

Rather: low-rank weight matrix

- **low-dimensional** network-input to each neuron
- neural activity lives in a ***P*-dimensional manifold**
- *e.g.* $P = N^{1/3}$
- $P=100$ -dimensional activity in 1 Mio neurons

Answer to our big question:

Is rate coding meaningful in spiking neurons, even if

- signals are fast (no temporal averaging possible)
- there are no duplicate neurons

YES!!!!, if 'low-rank' connectivity

$N \times N$ matrix

$$w_{ij} = \frac{1}{N} \sum_{\mu}^P \xi_i^{\mu} \xi_j^{\mu} \rightarrow \text{rank } P$$

Gaussian (or binary +/-1)

Is low-rank connectivity a strange assumption?

1) *“Neurons have receptive fields and wiring patterns: is a low-rank model realistic AT ALL?”*

Barack, D.L., Krakauer, J.W.: Two views on the cognitive brain. Nat. Rev. Neurosc. (2021)

Langdon, C., Genkin, M., Engel, T.A.: A unifying perspective on neural manifolds and circuits for cognition. Nat. Rev. Neurosci. (2023)

Answer: All standard models of cortex are dominated by a low-rank connectivity matrix

Pezon, L., Schmutz, V, Gerstner, W. (2024), Linking Neural Manifolds to Principles of Circuit Structure in Recurrent Networks bioRxiv doi: <https://doi.org/10.1101/2024.02.28.582565>

2) *“How are low-rank networks related to low-dim. dynamics?”*

Answer: rank P weight matrix (outer product matrix) always generate P -dimensional dynamics (\rightarrow neural manifolds)

Mastrogiuseppe, F., Ostojic, S.: Linking connectivity, dynamics, and computations in low-rank recurrent neural networks. Neuron 99(3), 609–623 (2018)

Conclusions

- SNN \rightarrow RNN without averaging!
- rather 'loose' conditions
- rank P can be 'relatively large'

*V. Schmutz, J. Brea, W. Gerstner (2025) **Emergent rate-based dynamics in duplicate-free populations of spiking neurons**
Phys.Rev. Lett. 134:018401*