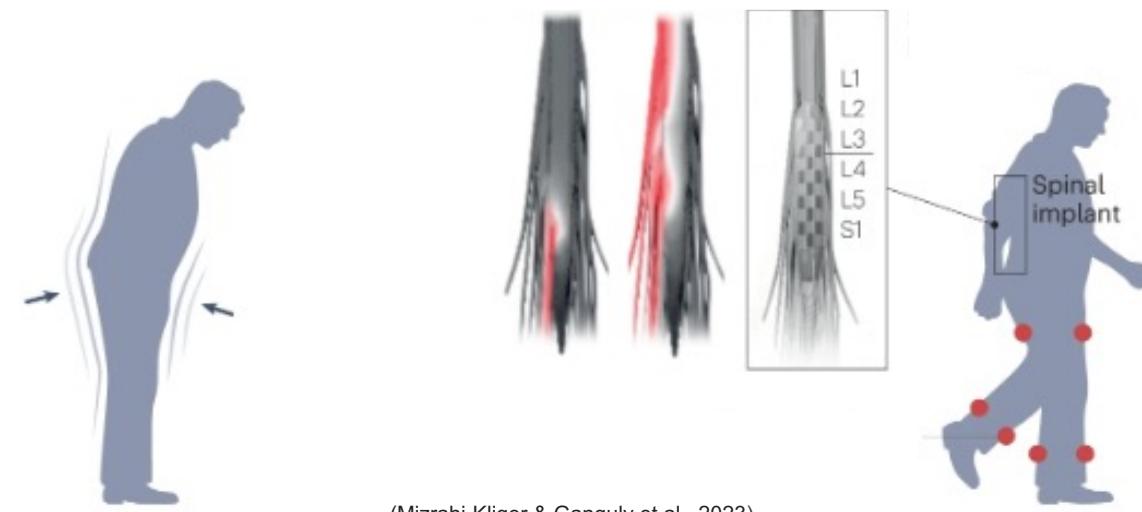
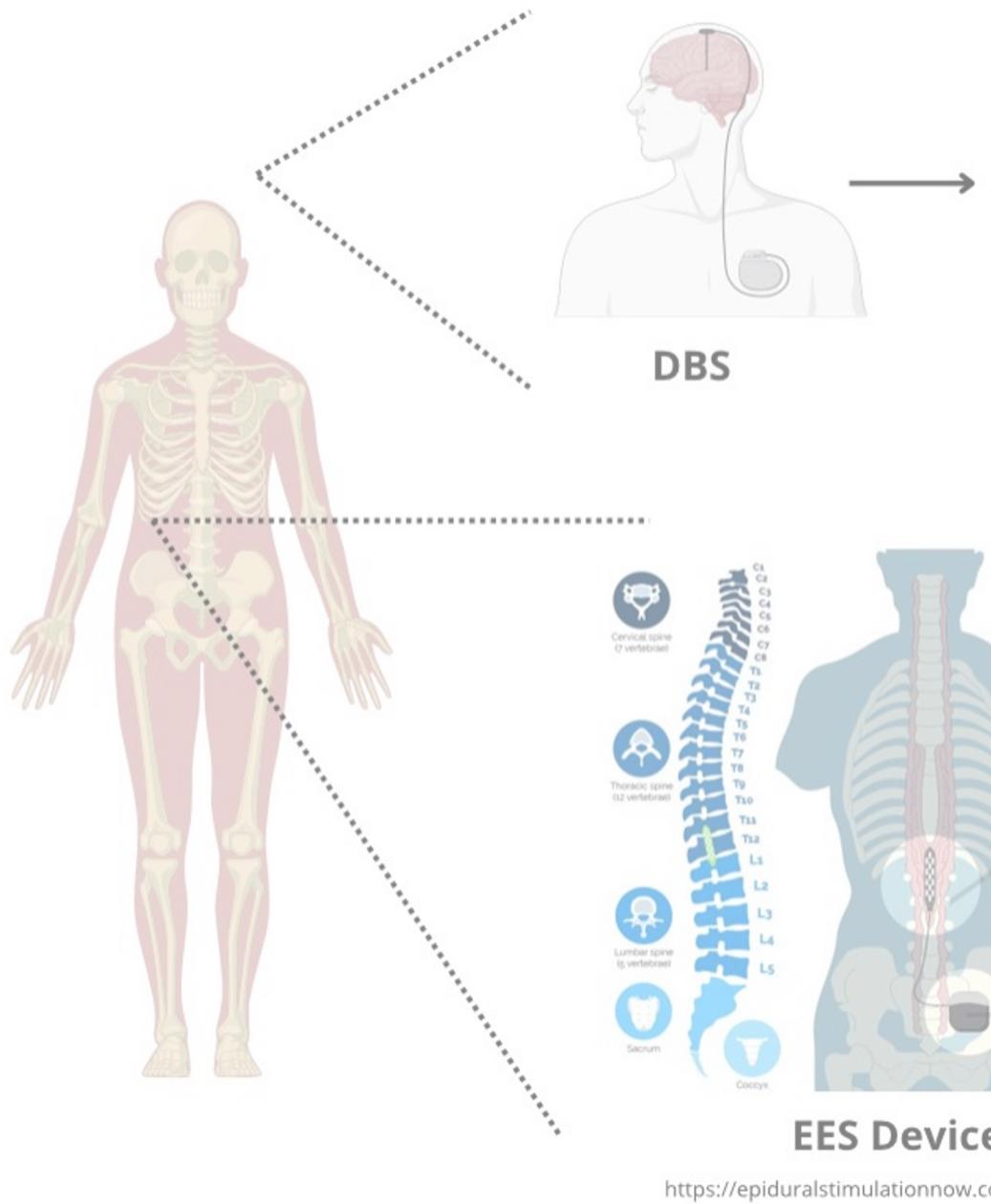


# Advancing Closed-Loop Epidural Electrical Stimulation for Gait Deficits in Parkinson's Disease

Laura Ducret, Toscane Revillard, Lio Grienengerger





# Summary

## Background

## Motivation and Proposed Solution

## Technical

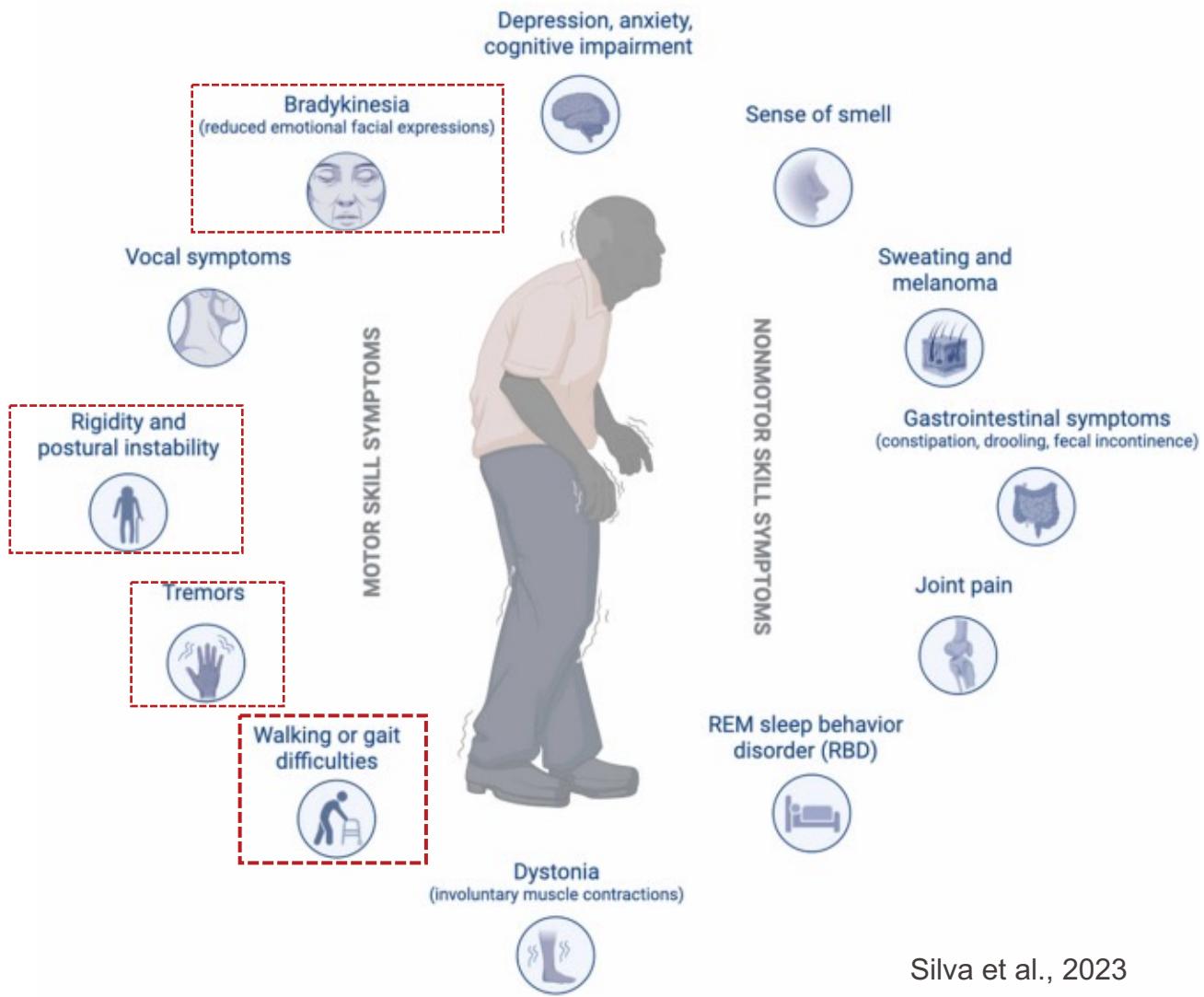
## Clinical Translation

## Limitations

## Conclusions

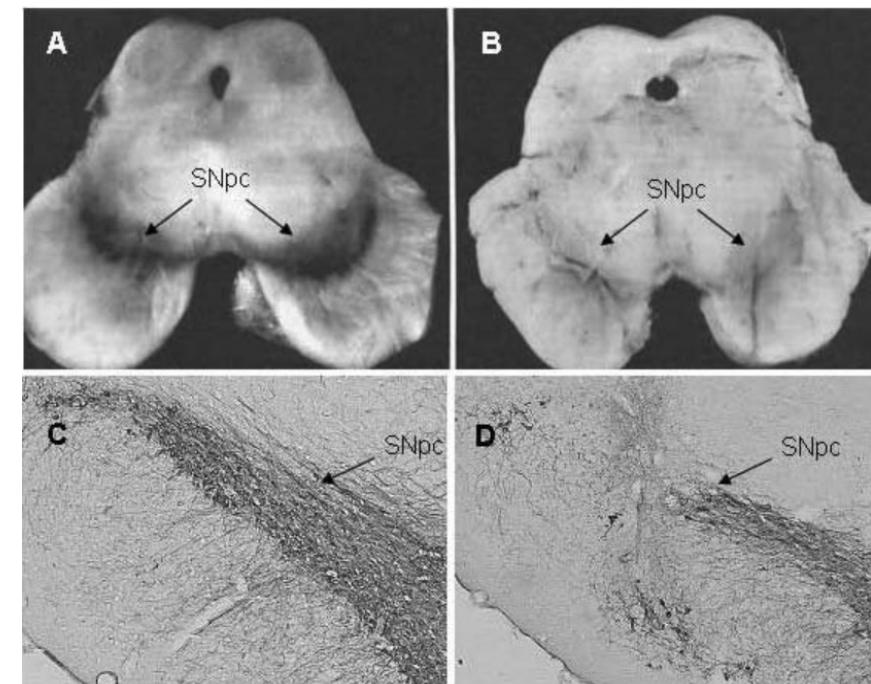
# Introduction and Background : Parkinson's Disease

## Symptoms of Parkinson's Disease



Silva et al., 2023

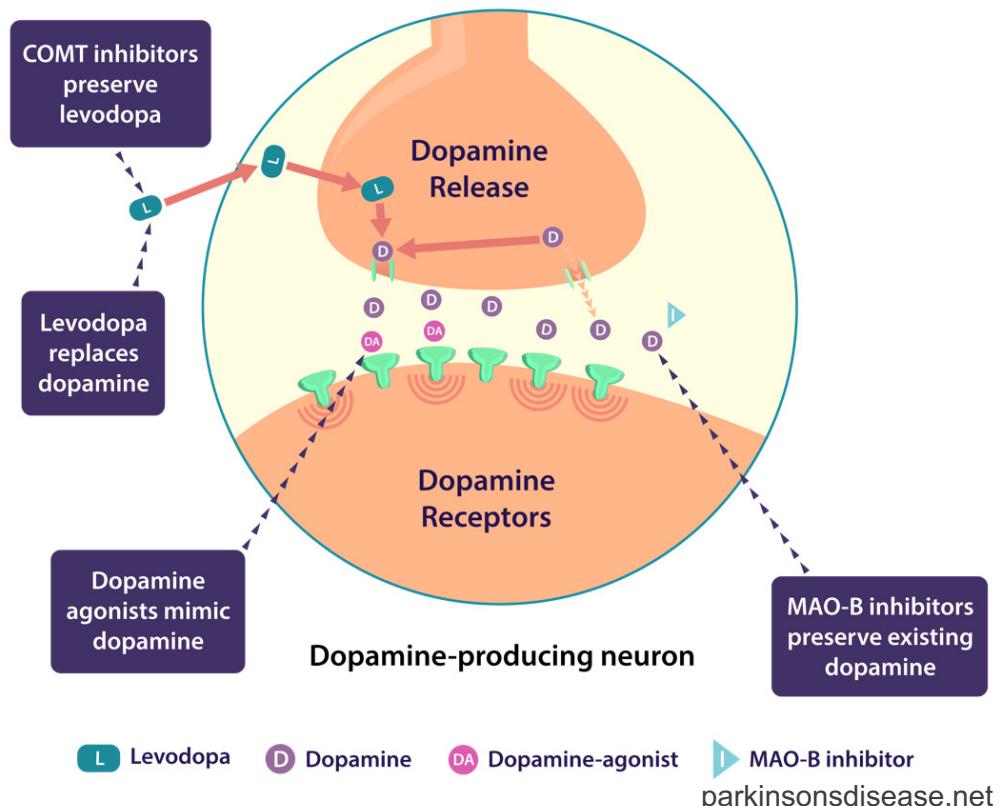
- Over 10 Mio people living with PD (parkinson.org)
- More than 1/1000 people



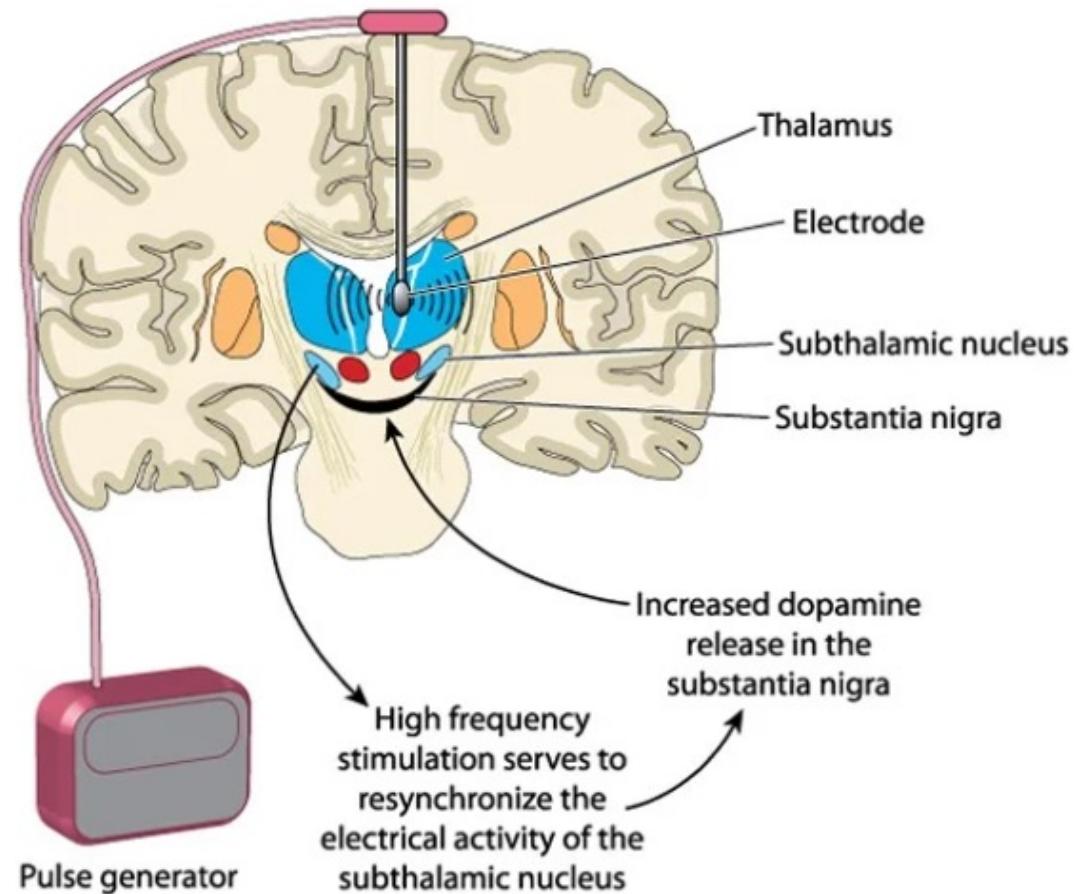
Lima et al., 2012

## 1. Dopaminergic therapy

Medications used to treat Parkinson's disease



## 2. DBS



**■ Dopaminergic therapy :**

- Limited long-term efficiency
- Motor complications / fluctuations
- Resistance to Non-Motor Symptoms
- Side Effects

Rascol et al. 2003

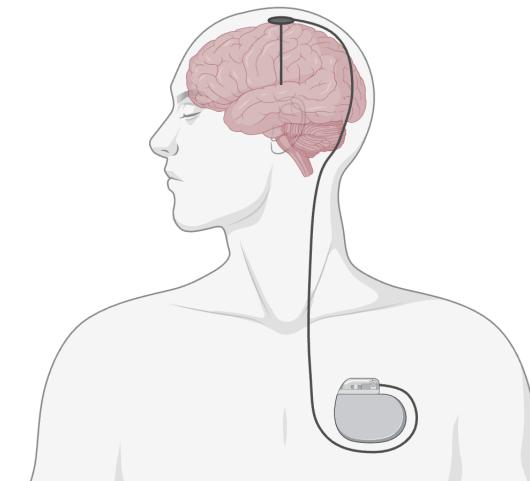
**■ DBS**

- Limited effect on gait and postural instability, especially due to gait fluctuations

Other limitations (which our model will not focus on)

- Speech and Cognitive Decline
- Neuroinflammatory Response
- Surgical Risks
- Behavioral and Emotional Changes:

Rossi et al. 2018, Lozano et al. 2019, Meng et al. 2023



## Why ?

 Problems

1. Current treatments ineffectiveness for Gait Deficits

 Solutions

→ Add Electrical Epidural Stimulation

2. Motor Fluctuations and Symptom Variability

→ Real-Time Adaptive Closed-Loop EES

3. Overstimulation and Energy Inefficiency

→ Closed-Loop to reduce stimulation

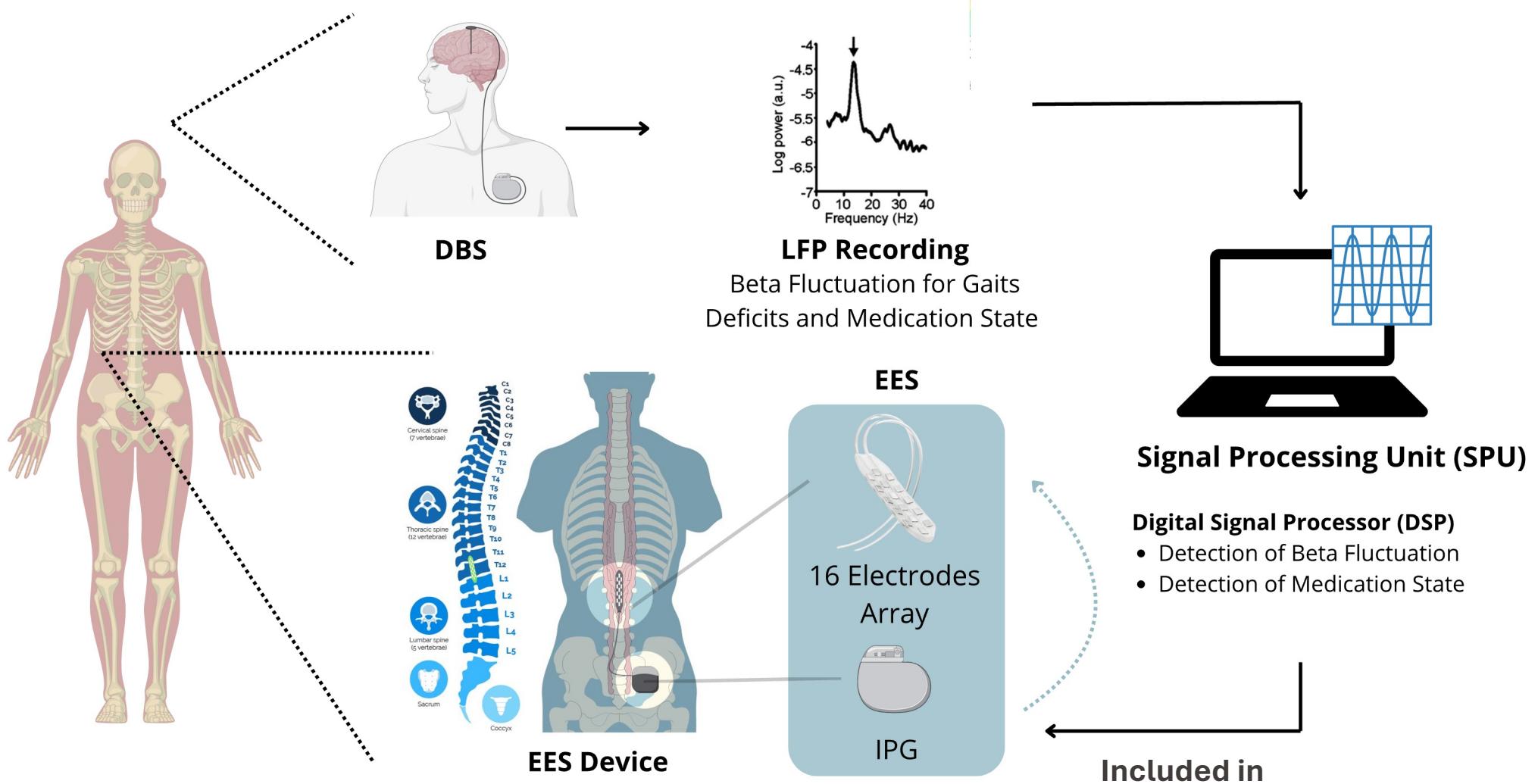
# Proposed Solution: Closed-Loop EES System (2)

## Why ?

### Proposed Solution

**Closed-Loop** Epidural Electrical Stimulation (EES) coupled with Deep Brain Stimulation (DBS) recording for Gait Deficits in Parkinson's Disease

# Proposed Solution: Closed-Loop EES System



<https://epiduralsimulationnow.com/treatment/>

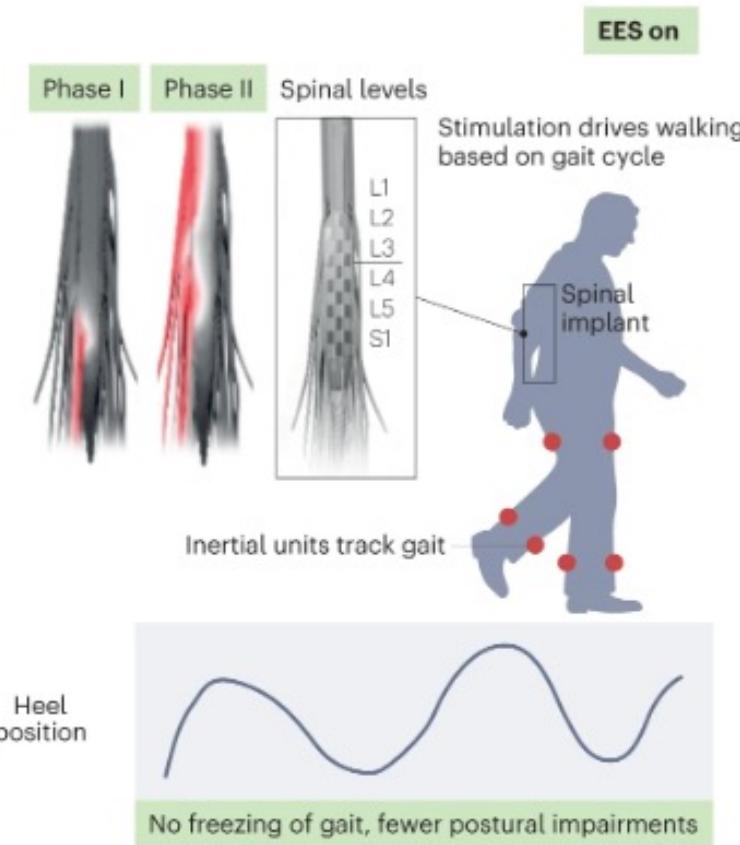
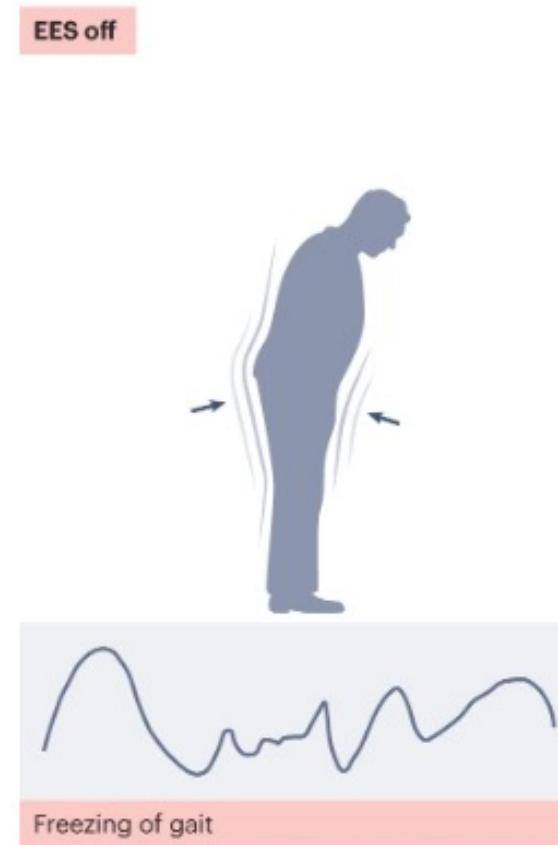
## Electric Epidural Stimulation (EES) : Mizrahi-Kliger & Ganguly, 2023

### ▪ Mechanism:

- Stimulates sensory afferent fibers in the dorsal roots.
- Activates spinal central pattern generators (CPGs) to drive rhythmic walking.

### ▪ Effect: Restores muscle activation, step dynamics, and gait coordination.

### ▪ Outcome: Bypasses impaired brain-spinal communication to improve gait.





1. This Closed-Loop System would avoid **unnecessary electrical epidermal stimulation** when not needed. Beneficial for the battery duration
2. Potentially, if we can **communicate wireless from DBS-IPG** (Implanted Pulse generator) to EES-IPG, not induce a too complicated surgery as not a long wire throughout the body
3. Stimulation would be **adaptive on the medication estate**

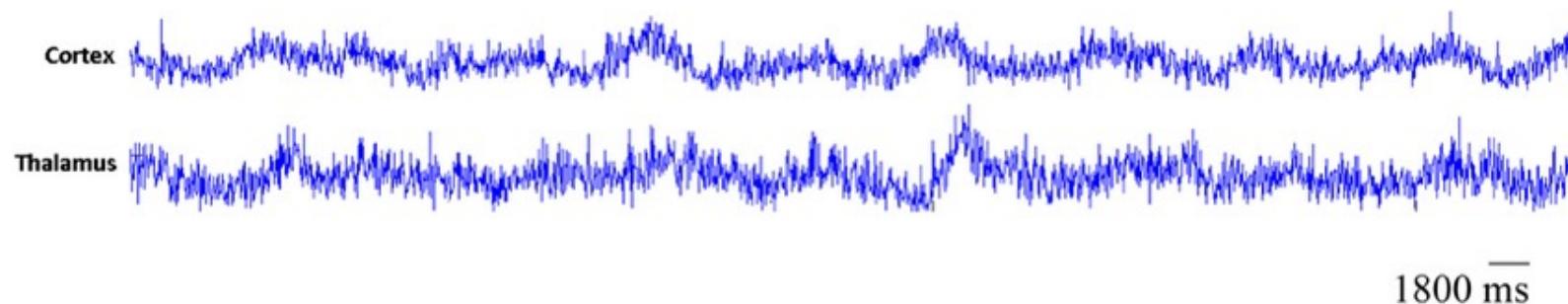
1. LFP recordings of  
beta fluctuations  
with DBS

2. Adaptative  
Treatment based on  
Medication Estate

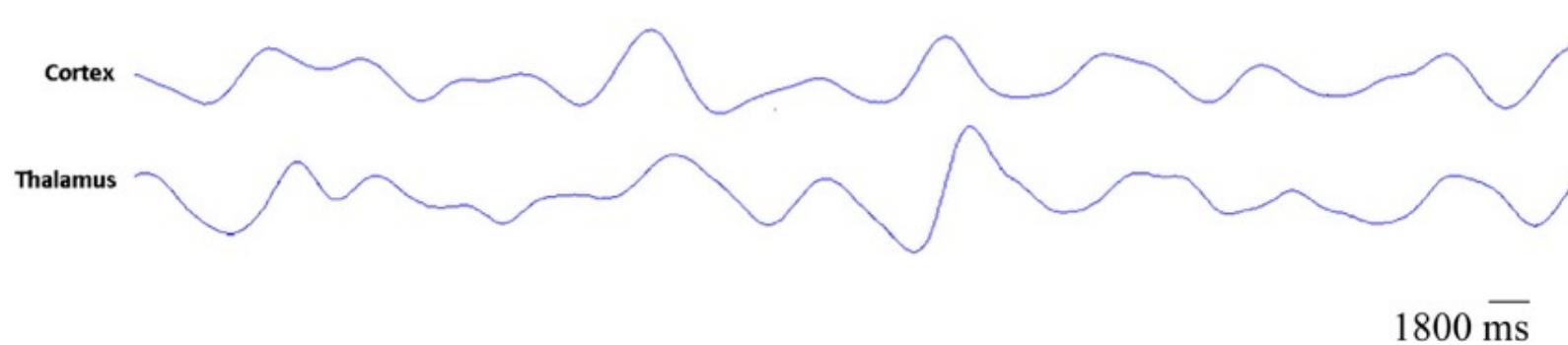
3. Communication to  
EES device and  
individual  
optimization

- LFP signal represents the activation of neuronal population. It captures **the local synaptic activity** and can be recorded from DBS and communicated by Bluetooth (Yin et al., 2021)
- Output signal processed by **real-time Fourier Transform** to highlight peaks in beta-frequency

A Raw LFP



B Smoothed LFP

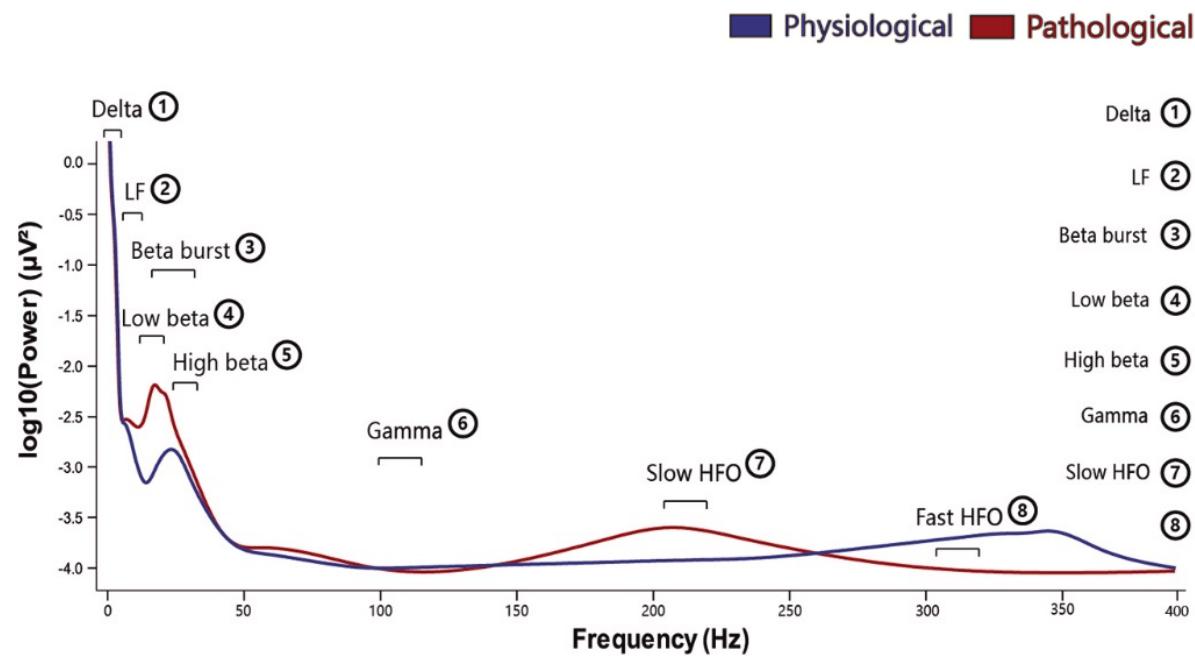


Local Field Potential Processing (Abuhassan et al., 2014)

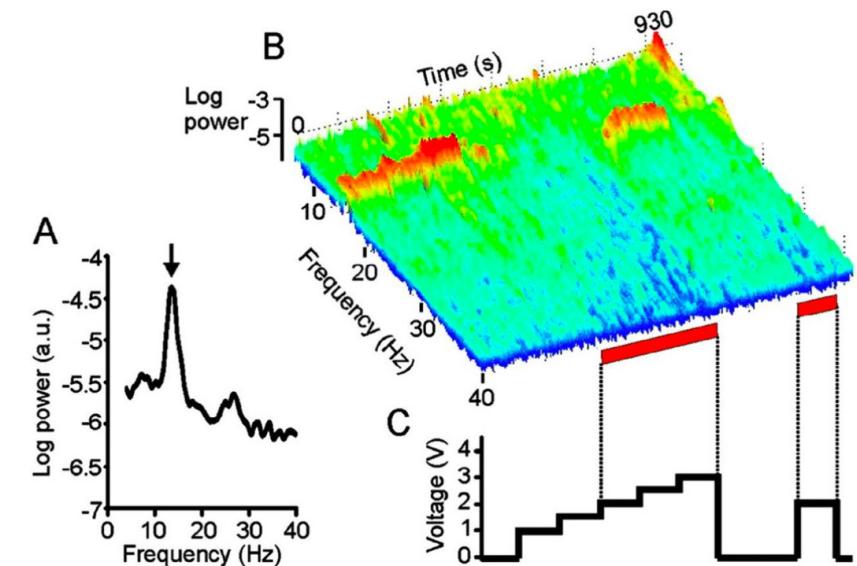
# Local Field Potential (LFP) (2)

1. LFP recordings of beta fluctuations with DBS

- No universal **threshold** of pathological beta fluctuations → must be determined individually
- Larger beta bursts and higher beta power are associated with gait impairments (Yin et al., 2021)



Power Spectrum Analysis of STN LFP in Parkinson's Disease patients (Yin et al., 2021)



LFP recording from DBS in Parkinson's Disease Patients, with (A) large beta peak at 14 Hz without stimulation in comparison to (B) stimulation in red that suppresses Beta peaks (Little et al., 2013)



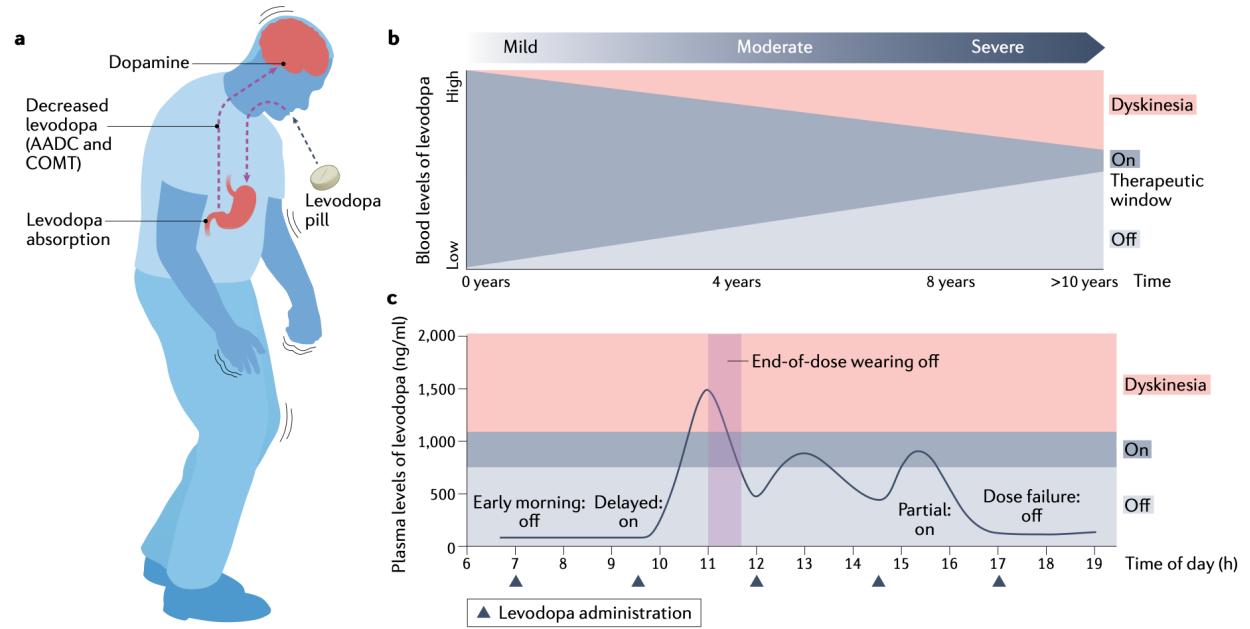
**2. Adaptative Treatment**  
based on **Medication Estate**

■ **ON Medication:**

- motor control typically improves
- freezing and rigidity reduce
- weaker EES stimulation needed
- **avoid overstimulation**

■ **OFF Medication:**

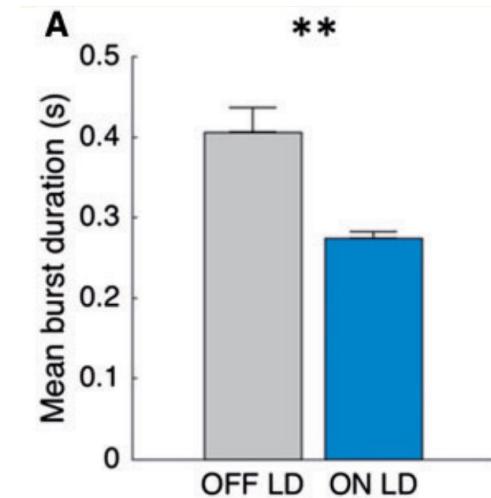
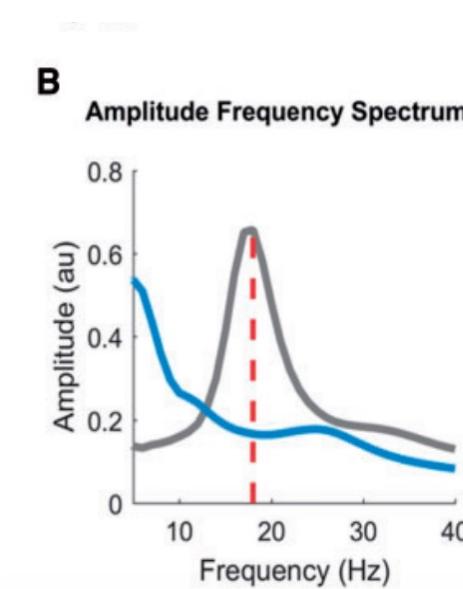
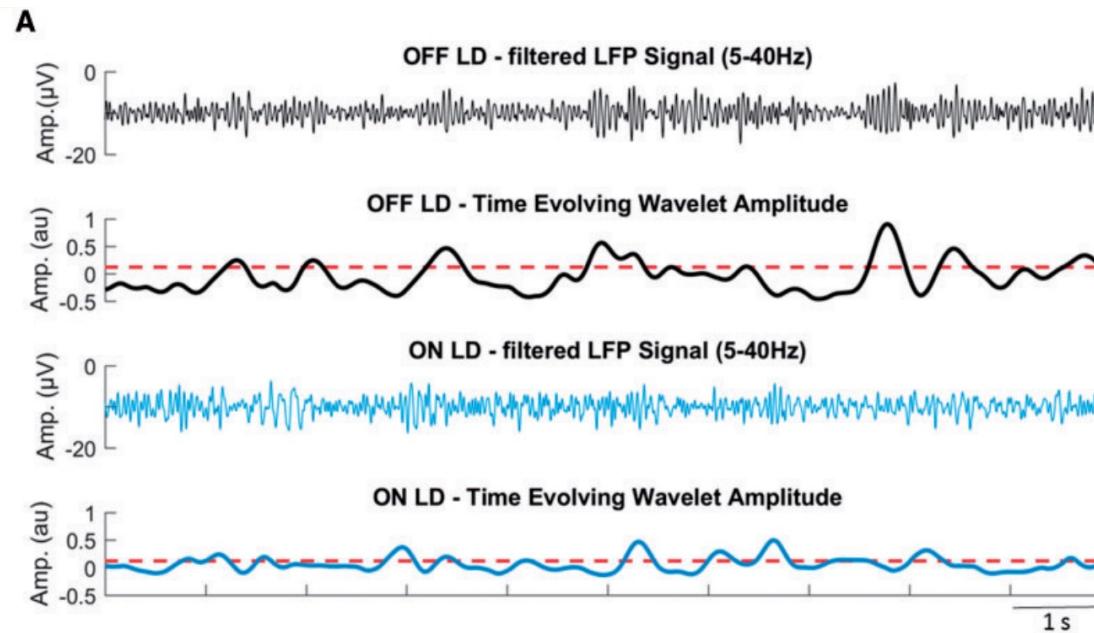
- symptoms (bradykinesia, freezing of gait, and rigidity) worsen
- **Require stronger and more frequent EES stimulation to compensate**



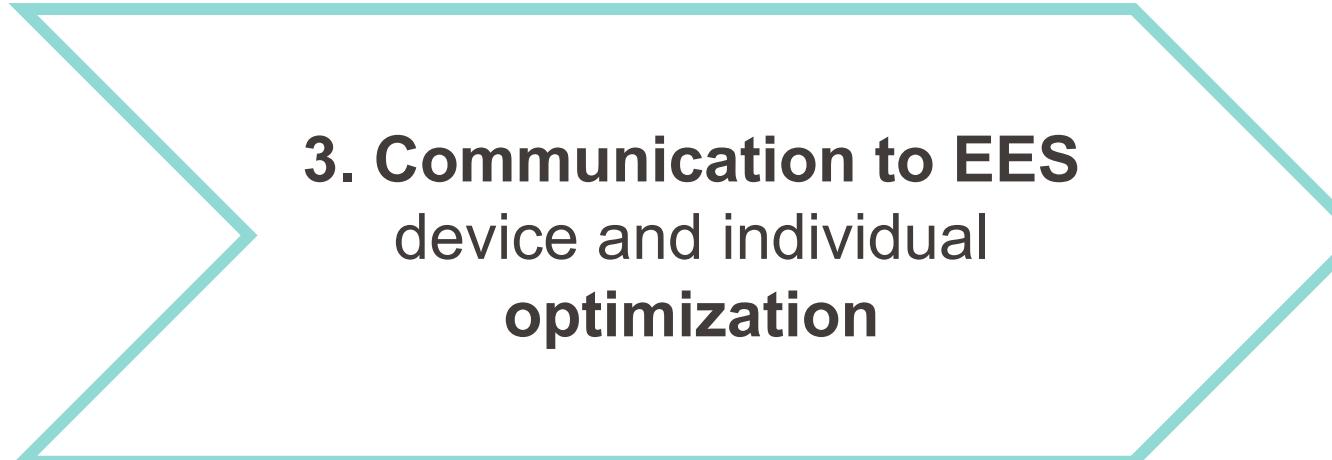
As PD progresses, achieving optimal motor control becomes more challenging due to both levodopa tolerance and disease severity. (Teymourian et al., 2022)

Therefore → Closed-Loop must consider the medication state of the patient

- Difference in range of beta peaks during ON and OFF states → Need two thresholds to adapt stimulation
- Moreover, pathological beta bursts duration are longer in "OFF" states → Identify state
- Dual Threshold Algorithm with one threshold for ON medication and one for OFF medication. (Fleming et al., 2020)

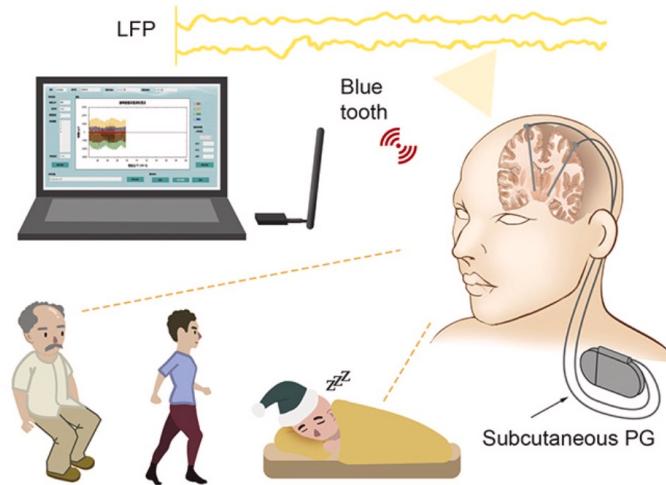


Differences in Peaks between ON and OFF medication (Tinkhauser et al., 2017)



### 3. Communication to EES device and individual optimization

- Current IPG (eg. Percept PC system by Medtronics) allow the **recording of LFPs through the same electrodes used for stimulation**
- Further processing can be integrated by **using the communicator intermediate**, to load the processing program from a computer



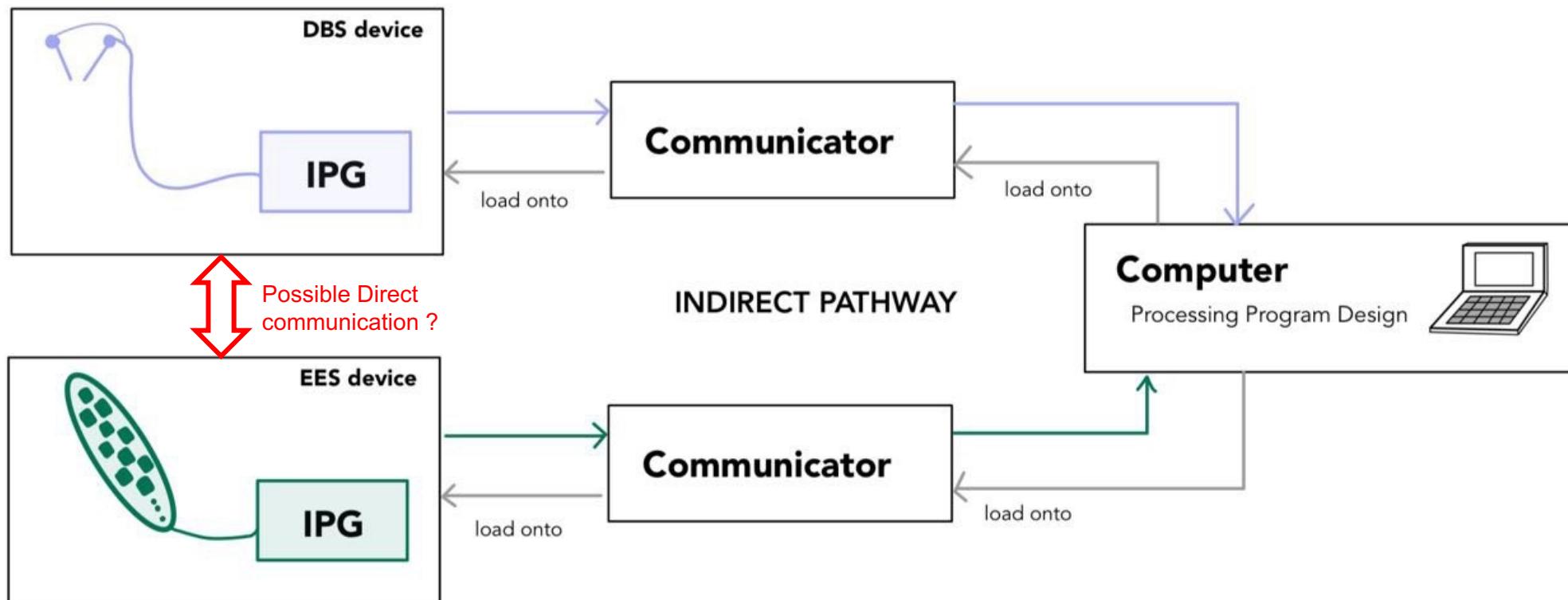
Wireless communication of LFP recordings from DBS (Yin et al., 2021)



3. Communication to EES device and individual optimization

- How can we transmit the signal from DBS-IPG to EES-IPG?

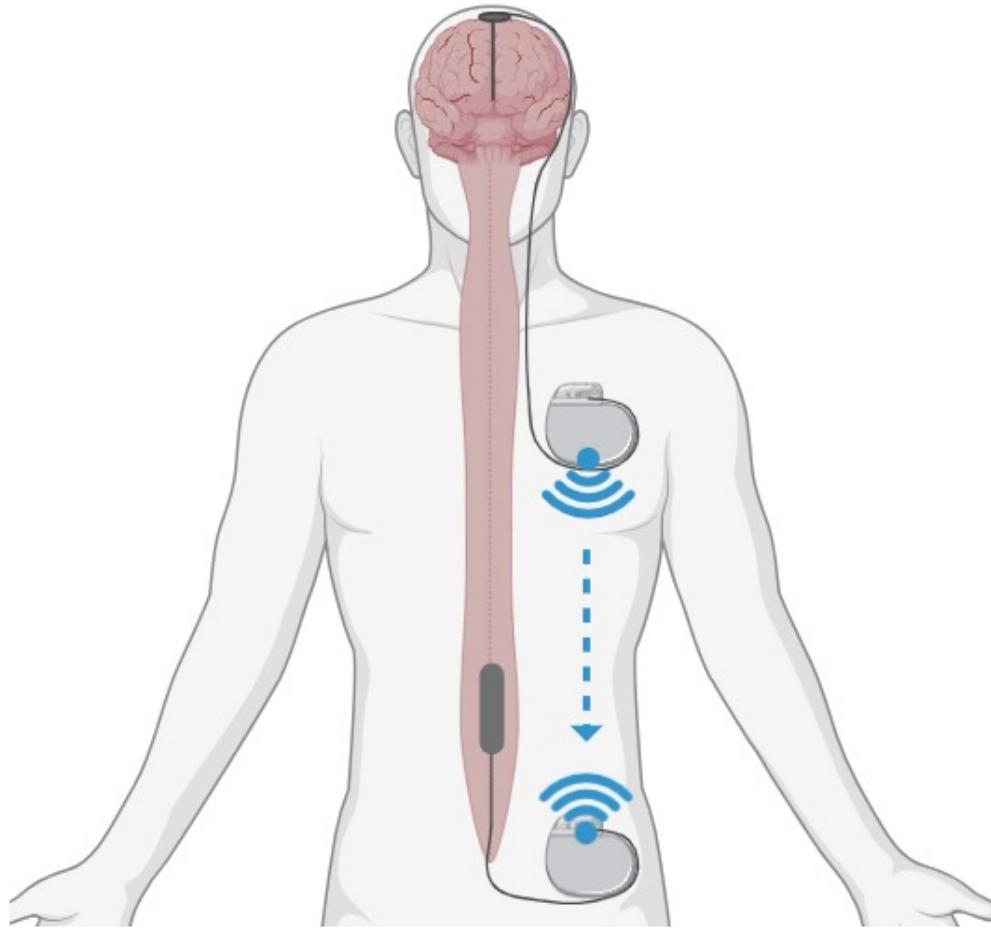
## Closed-Loop between DBS and EES

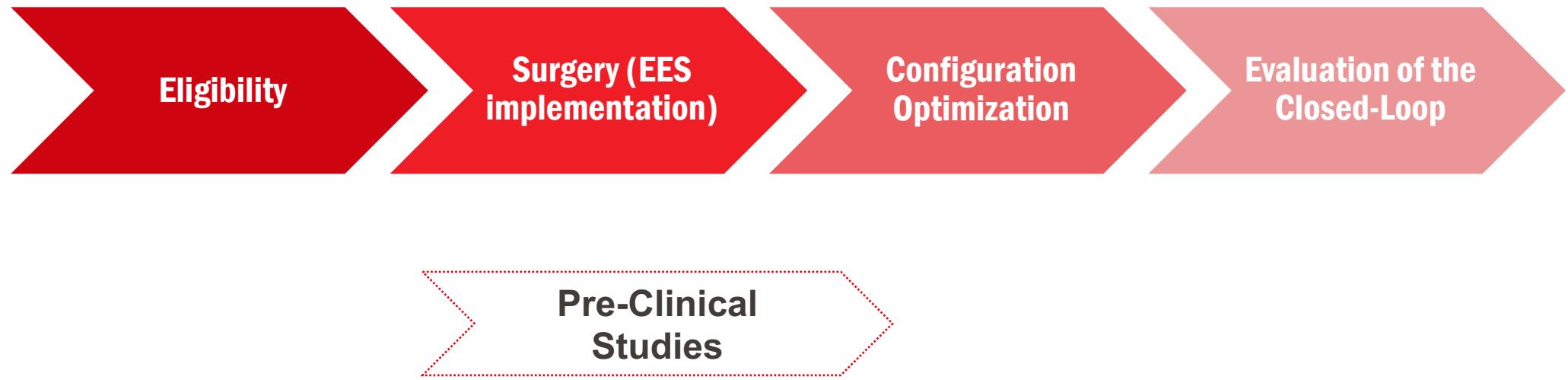


## Three possibilities

1. Integrate EES-IPG in the chest next to DBS-IPG
  - ✓ **Pros:** small distance between the two IPGs, reducing delay
  - ✗ **Cons:** long wire from the chest to the EES device
2. Integrate EES-IPG in the hip
  - ✓ **Pro:** small wire from the IPG and the EES
  - ✗ **Cons:** long wire from the DBS-IPG and the EES-IPG
3. Wireless Connection from DBS-IPG to EES-IPG
  - ✓ **Pro:** no long wire throughout the body
  - ✗ **« Cons »:** not done for now

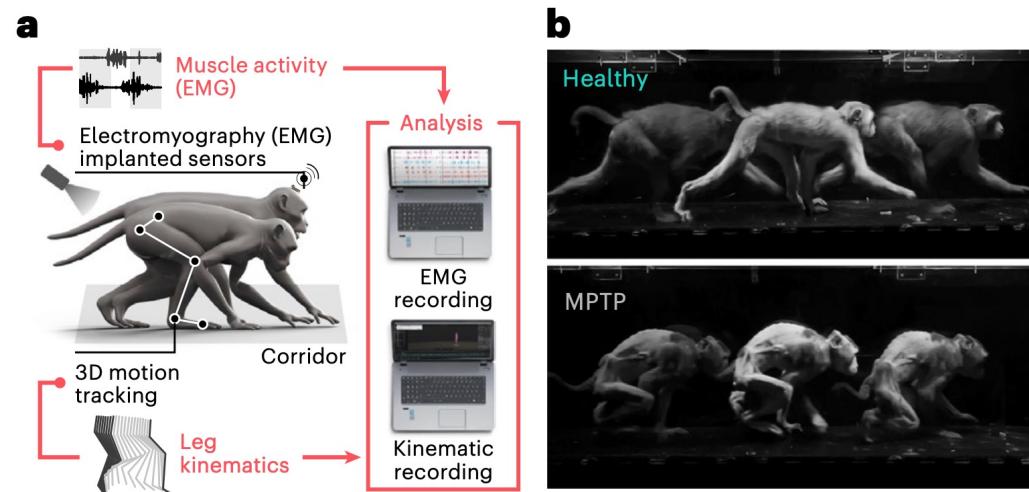
- **Wireless Connection from DBS-IPG to EES-IPG**
- Percept PC IPG (Medtronic) for **DBS** : stimulation + brain sensing (Jimenez, 2021)
- Activa RC IPG (Medtronic) for **EES** :
  - Upgraded with wireless communication modules inspired by Milekovic et al., 2023 and Capogrosso et al., 2016 to receive signals directly from DBS IPG
- Novelty : **SPU** (Processing module) directly in the IPG
  - Embedded SPU: Directly within the EES IPG (e.g., ~2–5 mm<sup>2</sup> microprocessor)
  - Interprets DBS signals and adjusts EES parameters (Amplitude + Frequency (Pulse Width), Wenger et al., 2014) to activate spinal locomotor circuits in real time.



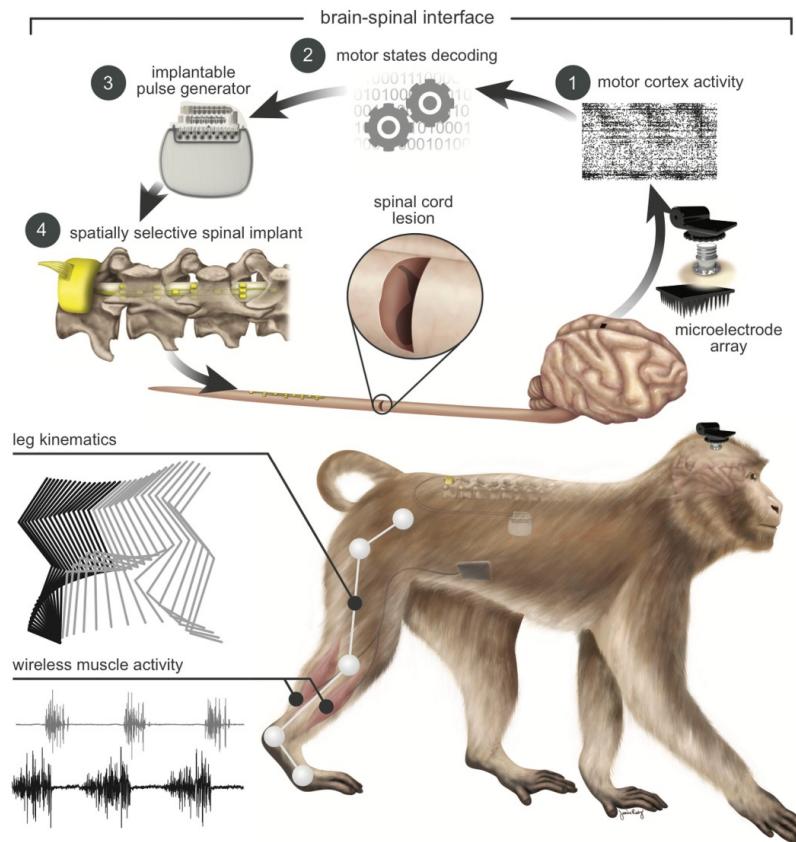


For validation, the closed loop can be tested in non-human primate (NHP).

- NHP treated with MPTP as PD clinical model (Milekovic et al., 2023)
- Combination of DBS stimulation and EES models in MPTP-treated animals for the entire closed-loop



Parkinson's Disease Model by treating Non-Human-Primate with MPTP (Milekovic et al., 2023)



Brain-Spinal Interface in Monkeys  
(Capogrosso et al., 2016)

# Eligibility

- 1. Is **beta band** the right frequency band to consider?
- 2. How are these fluctuations varying with **medication state**?
- 3. What are the **gait deficits** the patient is suffering from?



# Eligibility (2)



## Real-Time Monitoring of Beta Fluctuations and Medication State



### 1. Beta Fluctuations

LFP recordings with DBS



### 2. Medication State

Wearable sensors and ML tools



## Reliability of Beta Power

- Does it rise with worsening gains or impairments?
- Does the beta power align with other motor symptoms?

## Medication-Driven Variability

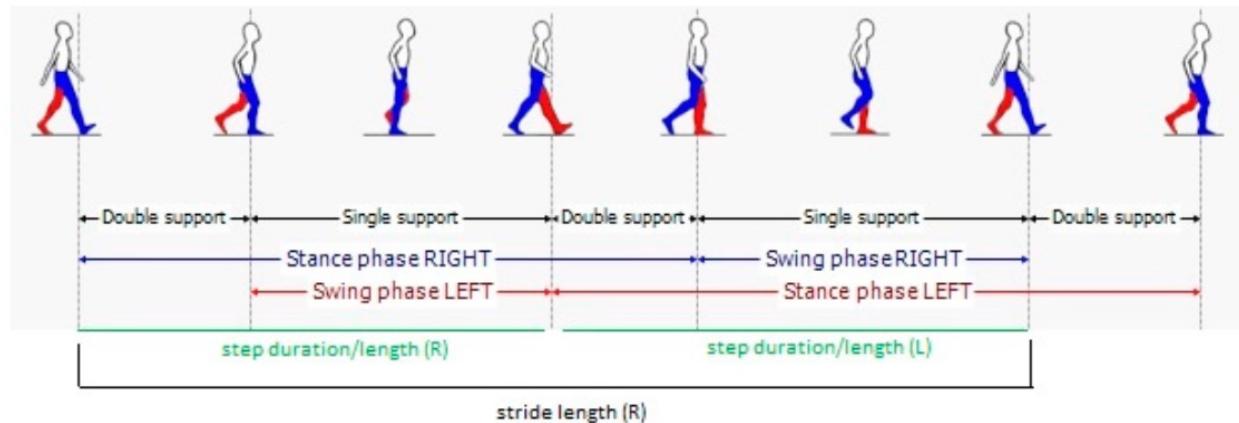
# Eligibility (3)



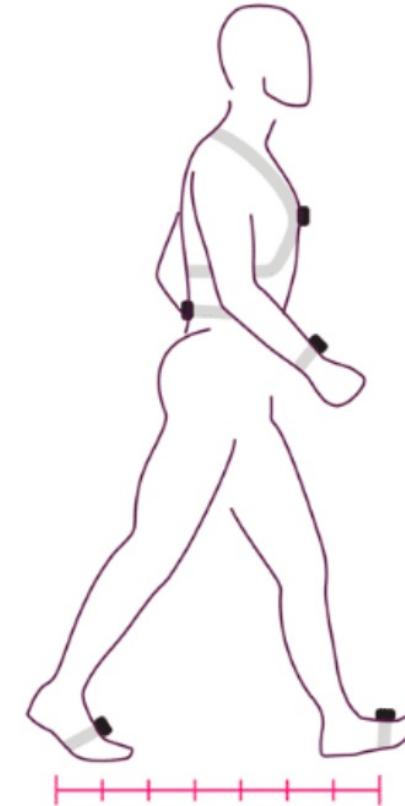
## 3. Gait Quality Analysis

LFP recordings with DBS

- **GAITRite System** (Bryant et al., 2011)
- **3D Motion Capture** (Molina et al., 2021)
- **APDM MobilityLab System** (Antoniades et al., 2020)
- **Inertial Measurement Units (IMU) with Wearable Motor Sensors** (Brognara et al., 2019)



Gait Phases (Antoniades et al., 2020)



<https://clario.com/solutions/precision-motion-for-research/>

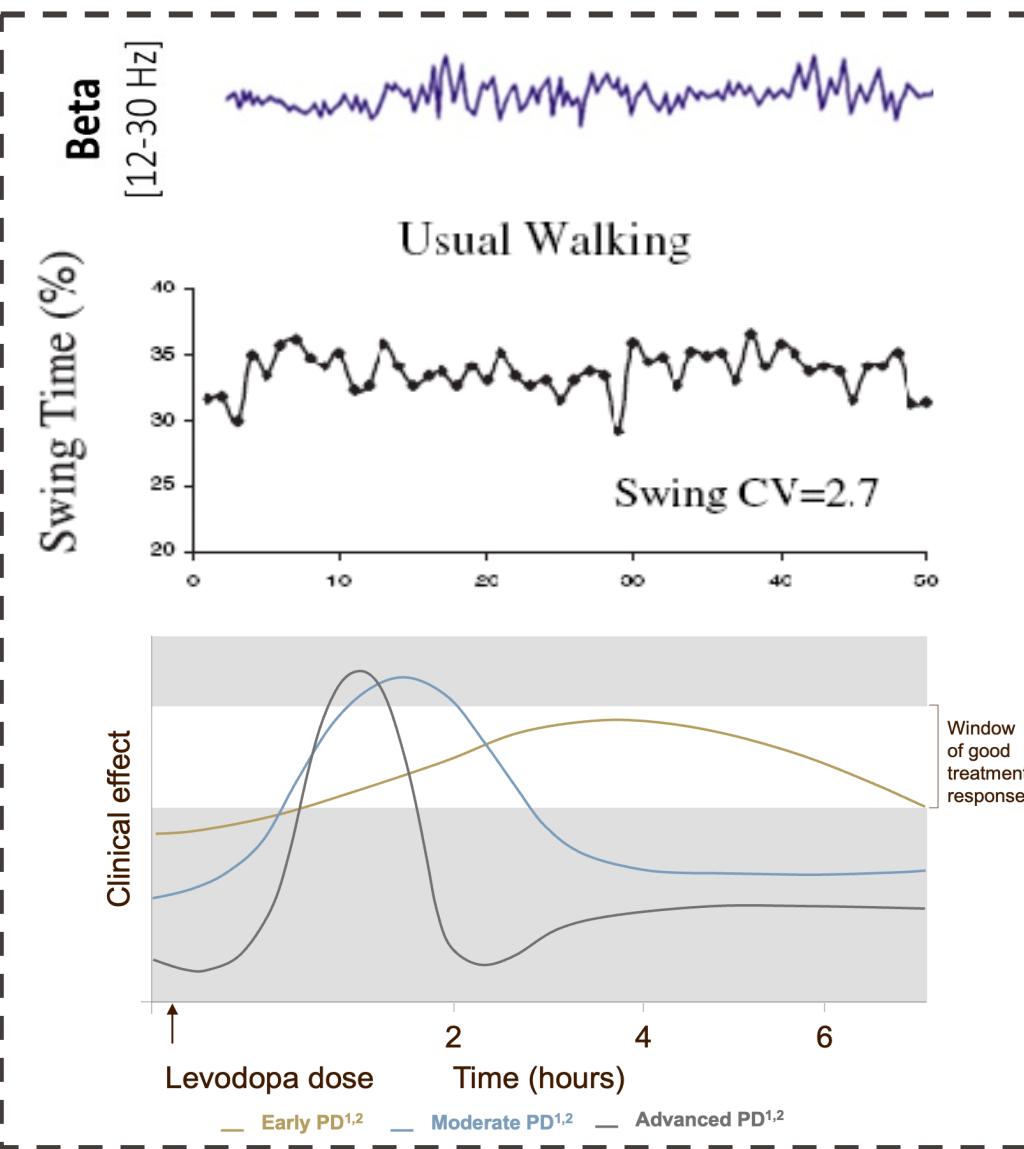
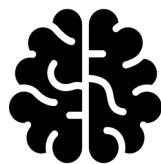
# Eligibility Step

## Eligibility

Surgery (EES implementation)

Configuration Optimization

Evaluation of the Closed-Loop



**Simultaneous Comparison**



## Eligibility

- Already implanted with DBS and who have not achieved sufficient gait improvement from DBS alone
- Primary symptoms include gait freezing and postural instability.
- **Stable enough** for an additional invasive procedure.



## Duration of Surgery

- 1-2 Hours



## Rehabilitation

- **2-3 weeks** before starting again light activities
- **6 weeks** for full recovery
- Avoid certain type of physical activities to avoid displacement of the leads for **the first three months**



EES Implantation Surgery

<https://www.spine-health.com/treatment/pain-management/spinal-cord-stimulator-implantation-surgery-step-step?>



## EES Program Optimization



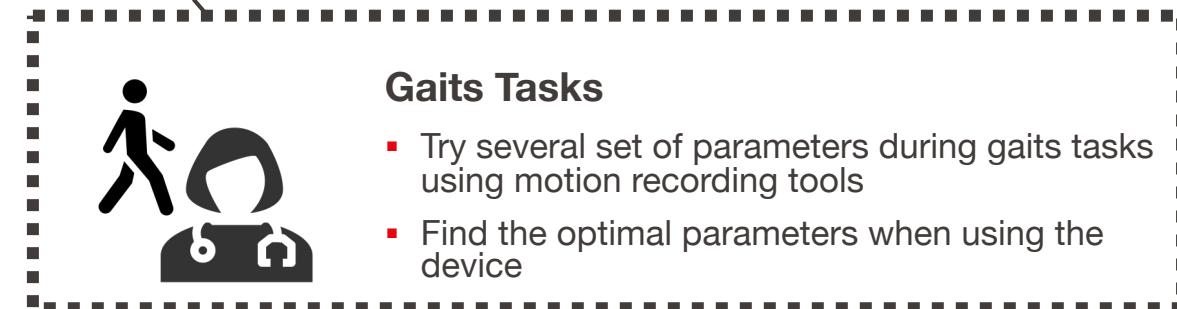
**WARNING:** Parkinson's Disease patients typically don't have spinal cord injury (SCI)

- Thus, they can feel EES stimulation → **potential pain**



### In Bed – Patient's Feedback:

- Try several set of parameters
- Find the pain threshold



### Gaits Tasks

- Try several set of parameters during gaits tasks using motion recording tools
- Find the optimal parameters when using the device

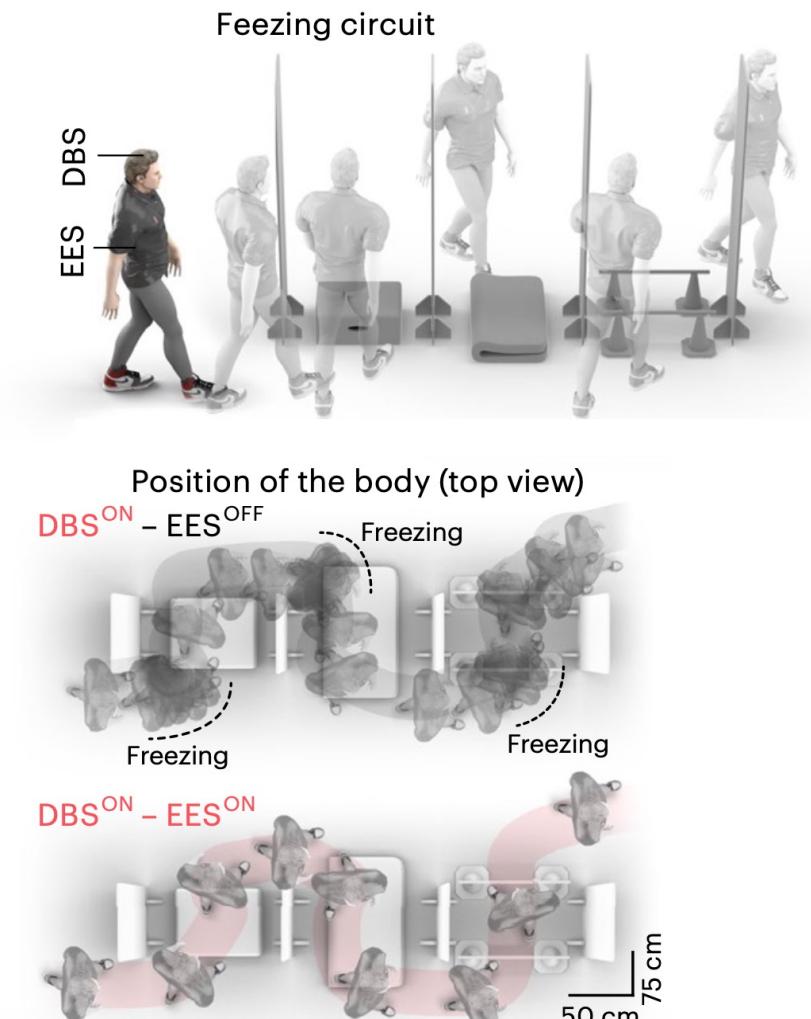


## How can we assess whether the Closed-Loop works?



### In Lab:

- Specific Circuits that can induce gaits freezing to assess closed-loop efficacy
- Likewise before the surgery, gaits analysis with simultaneous recordings



# Closed-Loop Evaluation (2)



## At Home

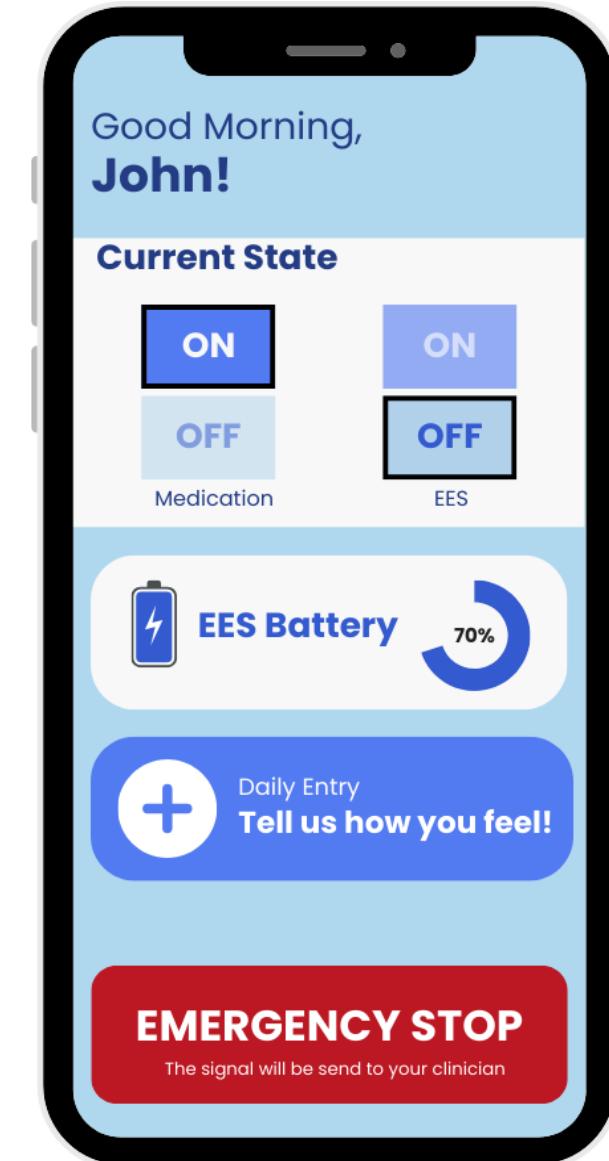
- For patient's comfort
- Long-term validation of the closed loop
- Use some tri-axial accelerometers coupled with some symptom diaries (Fisher et al., 2016)
- Get the **patient's feedback**



### 3-Axis Logging Accelerometer

(Axivity: <https://axivity.com/product/ax3>)

- Wear one on each wrist
- Sense for 12 days without needing to charge
- Use some tri-axial accelerometers (Fisher et al., 2016)





### DBS Limitations

- This closed-loop does not overcome DBS limitations
- DBS stimulation would remain constant

---



### Surgery Risks and Pain

- Invasive device
- EES can be painful
- Risk of infection or foreign body reaction (Eldabe et al., 2016)

---



### Loss of Therapeutic Effects Over Time

- Epidural electrodes can diminish in efficiency (Taccola et al., 2020)
- Electrodes can migrate over time and require a subsequent surgery (Garg et al., 2023)

---



### Battery Duration

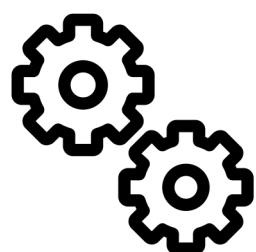
- Even with adaptive stimulation, battery life remains finite, requiring periodic replacements.

Need to address the broader implications of deploying the device



**Ethical:**

- Informed consent for the surgery and procedure
- Accessibility



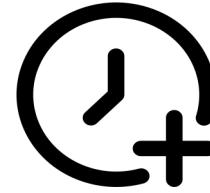
**Practical:**

- Wireless communication
- Costs of the device and surgery

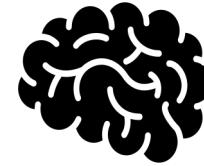


**Regulatory:**

- Compliance with FDA and EMA guidelines
- Safety and efficacy benchmarks



**Longitudinal Studies** to assess durability, safety and functional outcomes



Integrate real-time feedback and adapt **DBS** as a closed-loop to overcome its limitations



Extend the application of the closed-loop to **other motor impairments**

## Innovation

- Closed-Loop addressing **critical limitations** of existing PD therapies
- Real-time adaptation to neural activity and medication state
- **Aim to restore gait and improve quality of life for PD patients**

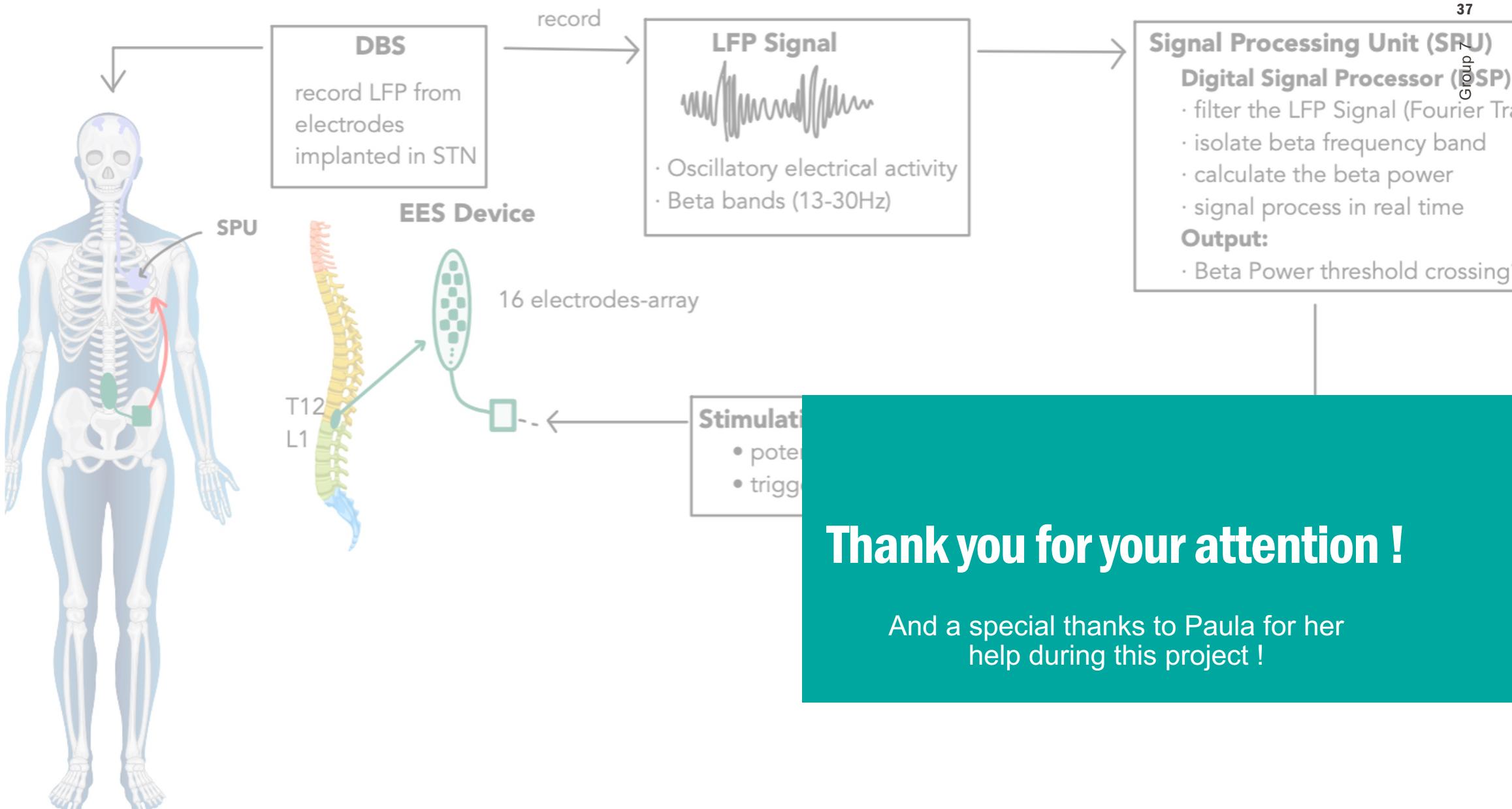
## Benefits

- Tailored solution to manage gait fluctuations
- Paves the way for **functional independence**

## Feasibility

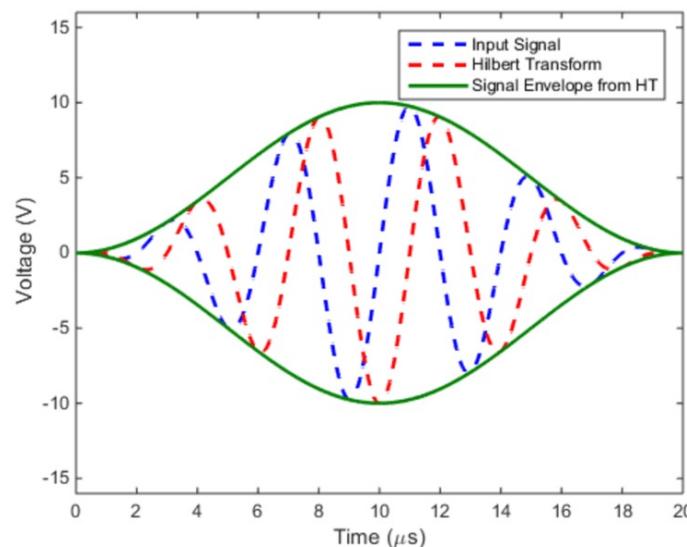
- Grounded in validated technologies (LFP recording, EES, ...) and build on a foundation of scientific advancements
- Some technical challenges
- Both practical and impactful



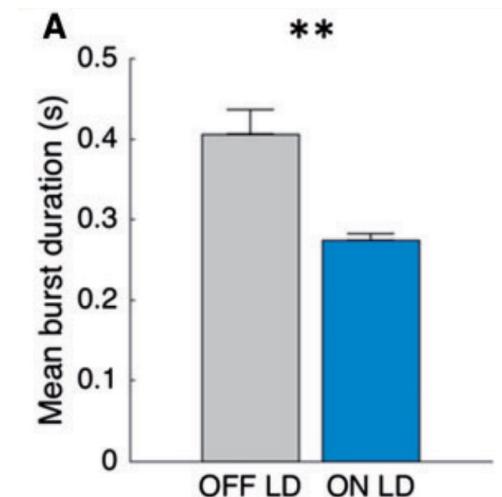


The Algorithm of detection would include :

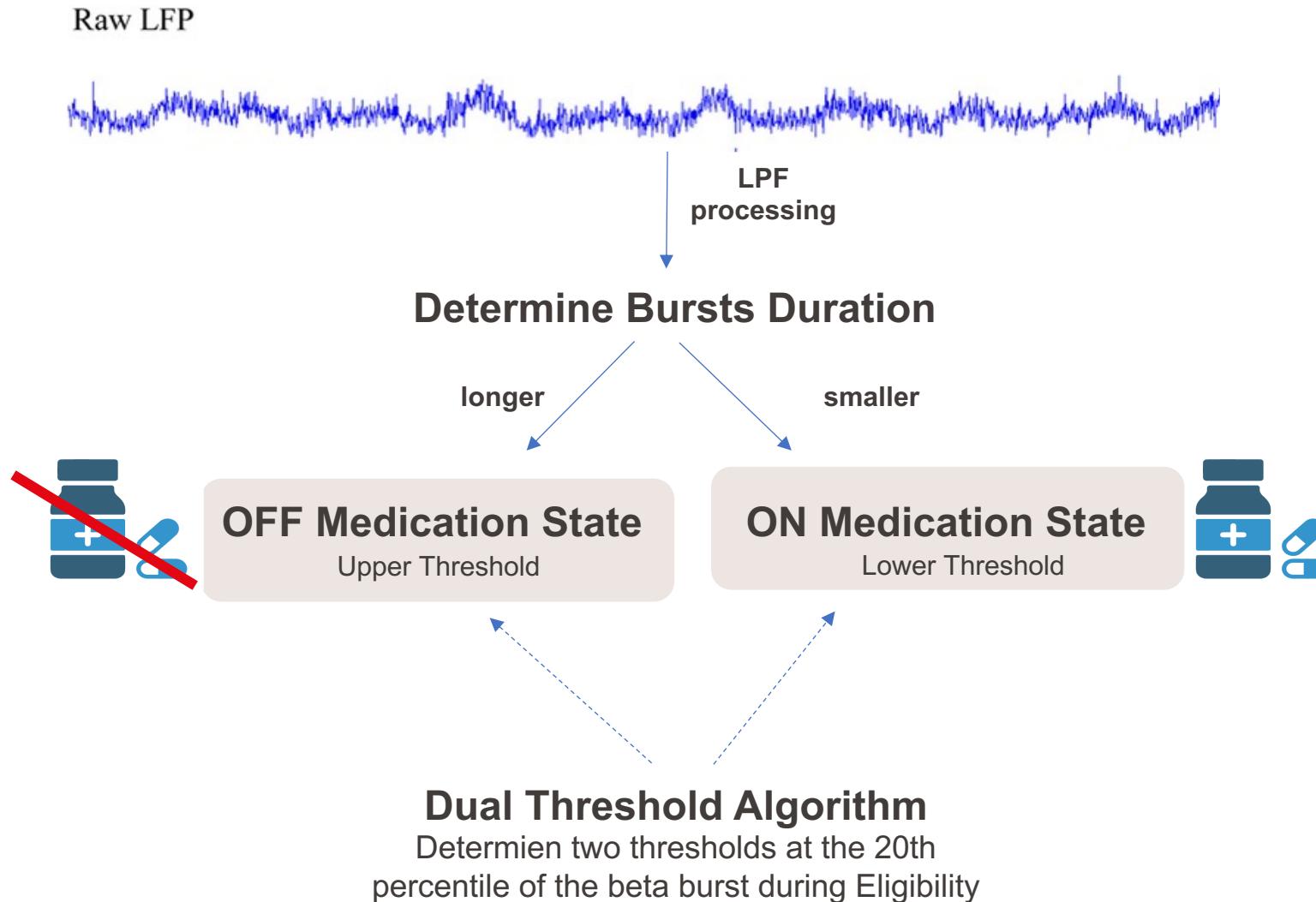
- (1) To adapt threshold based on medication state → **Dual Threshold Algorithm** with ON medication representing the lower threshold and OFF medication the upper one
  - Threshold at the 20th percentile of beta fluctuations (Fleming et al., 2020)
- (2) As beta bursts do not have the same duration (Tinkhauser et al., 2017) → **Hilbert transform** to extract beta power envelope and detect bursts exceeding a predefined amplitude threshold



[https://www.researchgate.net/figure/The-Hilbert-transform-of-a-5-cycle-Hanning-modulated-toneburst-signal\\_fig4\\_341231532](https://www.researchgate.net/figure/The-Hilbert-transform-of-a-5-cycle-Hanning-modulated-toneburst-signal_fig4_341231532)



Differences in Peaks between ON and OFF medication (Tinkhauser et al., 2017)

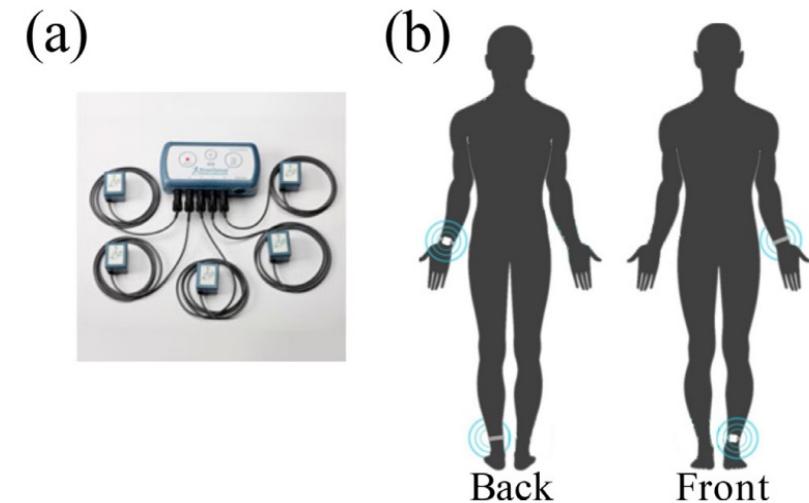


# Complementary: Stimulation Based on Medication Intake

Need real-time recording of ON and OFF medication states

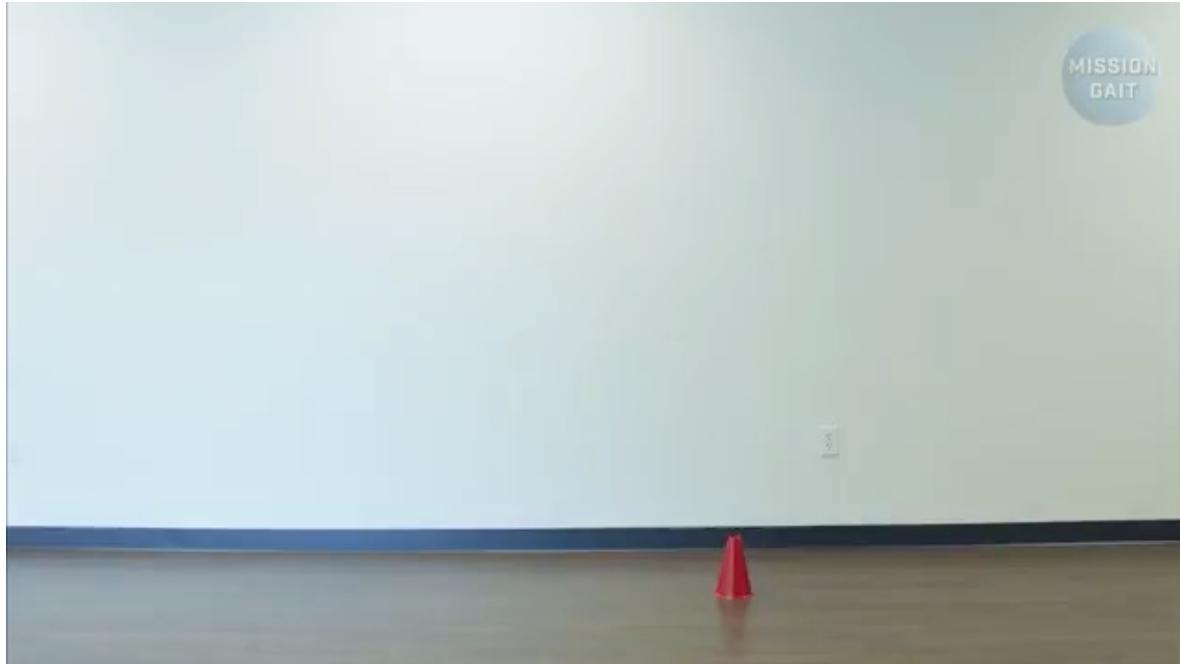
Combination of sensors and Machine Learning Tools

- Use wearable sensors – **wrist and ankle wearable** with accelerometers and gyroscopes (Hssayeni et al., 2019)
- **Adaptive classification of medication states (ON/OFF)** with Reinforcement Learning (RL) framework combined with a Long Short-Term Memory (LSTM) neural network (Shuqair et al., 2024)

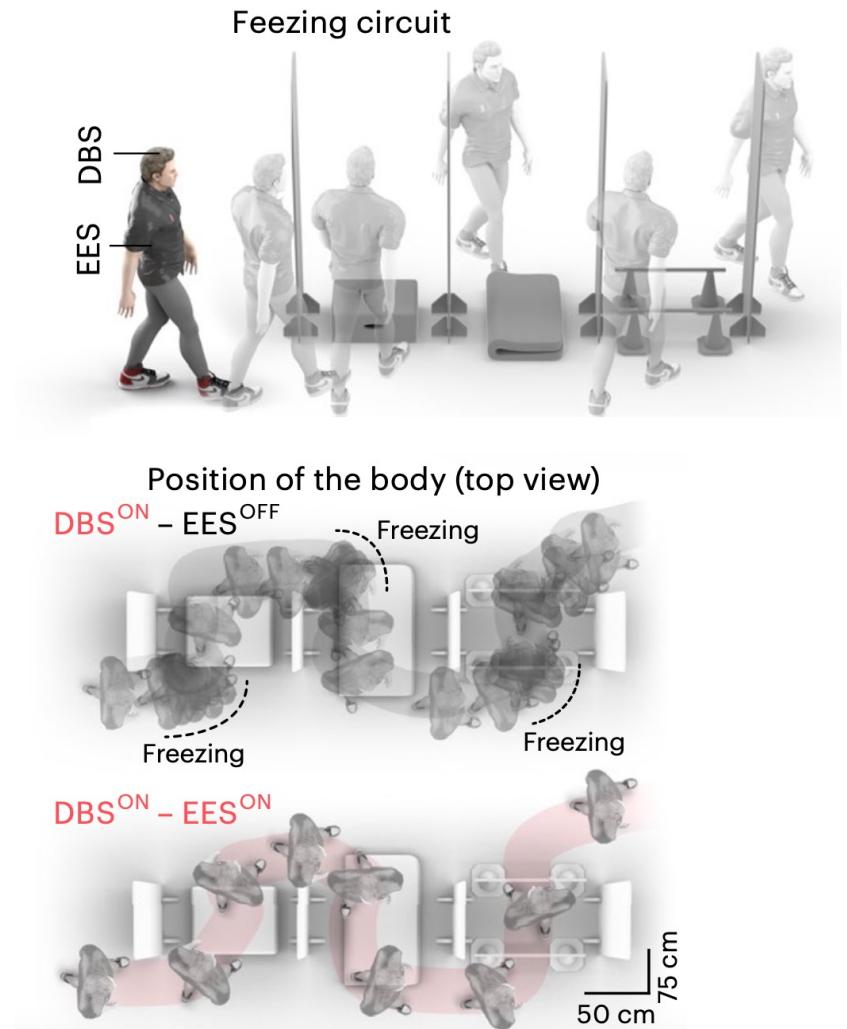


(a) KinetiSense Motion sensor unit and (b) the locations of the wearable sensors (Hssayeni et al., 2019)

# Complementary: Specific Focus on Freezing of Gaits



<https://www.youtube.com/watch?v=EQ0HG16EC3g>



Freezing Gaits Inducing Circuit  
(Milekovic et al., 2023)

- Capogrosso M, Milekovic T, Borton D, et al. A brain-spine interface alleviating gait deficits after spinal cord injury in primates. *Nature*. 2016;539(7628):284-288. doi:10.1038/nature20118
- Milekovic T, Moraud EM, Macellari N, et al. A spinal cord neuroprosthesis for locomotor deficits due to Parkinson's disease. *Nat Med*. 2023;29(11):2854-2865. doi:10.1038/s41591-023-02584-1
- Mizrahi-Kliger, A., Ganguly, K. Spinal stimulation for unfreezing gait in Parkinson's disease. *Nat Med* **29**, 2713–2715 (2023). <https://doi.org/10.1038/s41591-023-02604-0>
- Rascol O, Payoux P, Ory F, Ferreira JJ, Brefel-Courbon C, Montastruc JL. Limitations of current Parkinson's disease therapy. *Ann Neurol*. 2003;53 Suppl 3:S3-12; discussion S12-5. doi: 10.1002/ana.10513. PMID: 12666094.
- Rossi M, Bruno V, Arena J, Cammarota Á, Merello M. Challenges in PD Patient Management After DBS: A Pragmatic Review. *Mov Disord Clin Pract*. 2018 Feb 28;5(3):246-254. doi: 10.1002/mdc3.12592. PMID: 30363375; PMCID: PMC6174419.
- Lozano AM, Lipsman N, Bergman H, Brown P, Chabardes S, Chang JW, Matthews K, McIntyre CC, Schlaepfer TE, Schulder M, Temel Y, Volkmann J, Krauss JK. Deep brain stimulation: current challenges and future directions. *Nat Rev Neurol*. 2019 Mar;15(3):148-160. doi: 10.1038/s41582-018-0128-2. PMID: 30683913; PMCID: PMC6397644.
- Meng H, Wei JH, Yu PZ, Ren JX, Tang MY, Sun JY, Yan XY, Su J. Insights into Advanced Neurological Dysfunction Mechanisms Following DBS Surgery in Parkinson's Patients: Neuroinflammation and Pyroptosis. *Curr Issues Mol Biol*. 2023 May 20;45(5):4480-4494. doi: 10.3390/cimb45050284. PMID: 37232753; PMCID: PMC10217157.
- Stanslaski S, Summers RLS, Tonder L, et al. Sensing data and methodology from the Adaptive DBS Algorithm for Personalized Therapy in Parkinson's Disease (ADAPT-PD) clinical trial. *NPJ Parkinsons Dis*. 2024;10(1):174. Published 2024 Sep 17. doi:10.1038/s41531-024-00772-5

- Teymourian H, Tehrani F, Longardner K, et al. Closing the loop for patients with Parkinson disease: where are we?. *Nat Rev Neurol.* 2022;18(8):497-507. doi:10.1038/s41582-022-00674-1
- Yin Z, Zhu G, Zhao B, et al. Local field potentials in Parkinson's disease: A frequency-based review. *Neurobiol Dis.* 2021;155:105372. doi:10.1016/j.nbd.2021.105372
- Eisdorfer JT, Smit RD, Keefe KM, Lemay MA, Smith GM, Spence AJ. Epidural Electrical Stimulation: A Review of Plasticity Mechanisms That Are Hypothesized to Underlie Enhanced Recovery From Spinal Cord Injury With Stimulation. *Front Mol Neurosci.* 2020;13:163. Published 2020 Sep 2. doi:10.3389/fnmol.2020.00163
- Hssayeni MD, Burack MA, Jimenez-Shahed J, Ghoraani B. Assessment of response to medication in individuals with Parkinson's disease. *Med Eng Phys.* 2019;67:33-43. doi:10.1016/j.medengphy.2019.03.002
- Fisher JM, Hammerla NY, Ploetz T, Andras P, Rochester L, Walker RW. Unsupervised home monitoring of Parkinson's disease motor symptoms using body-worn accelerometers. *Parkinsonism Relat Disord.* 2016;33:44-50. doi:10.1016/j.parkreldis.2016.09.009
- Taccola G, Barber S, Horner PJ, Bazo HAC, Sayenko D. Complications of epidural spinal stimulation: lessons from the past and alternatives for the future. *Spinal Cord.* 2020;58(10):1049-1059. doi:10.1038/s41393-020-0505-8
- Garg I, Wang D. Complications of Spinal Cord Stimulator Trials and Implants: A Review. *Curr Pain Headache Rep.* 2023;27(12):837-842. doi:10.1007/s11916-023-01190-7
- Sam Eldabe, Eric Buchser, Rui V. Duarte, Complications of Spinal Cord Stimulation and Peripheral Nerve Stimulation Techniques: A Review of the Literature, *Pain Medicine*, Volume 17, Issue 2, February 2016, Pages 325–336, <https://doi.org/10.1093/pm/pnv025>

# References

- Shuqair M, Jimenez-Shahed J, Ghoraani B. Reinforcement Learning-Based Adaptive Classification for Medication State Monitoring in Parkinson's Disease. *IEEE J Biomed Health Inform.* 2024;28(10):6168-6179. doi:10.1109/JBHI.2024.3423708
- Little S, Brown P. The functional role of beta oscillations in Parkinson's disease. *Parkinsonism Relat Disord.* 2014;20 Suppl 1:S44-S48. doi:10.1016/S1353-8020(13)70013-0
- Abu Hassan K, Coyle D, Maguire L. Compensating for thalamocortical synaptic loss in Alzheimer's disease. *Front Comput Neurosci.* 2014;8:65. Published 2014 Jun 17. doi:10.3389/fncom.2014.00065
- Ana Beatriz Ramalho Leite Silva, Roger Wilson Gonçalves de Oliveira, Guilherme Pinheiro Diógenes, Marina Feitosa de Castro Aguiar, Camilla Costa Sallem, Micael Porto Portela Lima, Luciano Barroso de Albuquerque Filho, Sara Diógenes Peixoto de Medeiros, Lucas Lopes Penido de Mendonça, Paulo Cesar de Santiago Filho, Diogo Pasquali Nones, Pamella Mendes Martiniano da Silva Cardoso, Michelle Zonkowski Ribas, Stéfani Lara Galvão, Gabriel Felipe Gomes, Amanda Rebouças Bezerra de Menezes, Nayla Lima dos Santos, Victor Monteiro Mororó, Fairane Sousa Duarte, Júlio César Claudino dos Santos, Premotor, nonmotor and motor symptoms of Parkinson's Disease: A new clinical state of the art, *Ageing Research Reviews*, Volume 84, 2023, 101834, ISSN 1568-1637, <https://doi.org/10.1016/j.arr.2022.101834>.
- Lima, Marcelo & Fachin-Martins, Emerson & Delattre, Ana & Proença, Mariana & Mori, Marco & Carabelli, Bruno & Ferraz, Anete. (2012). Motor and Non-Motor Features of Parkinson's Disease – A Review of Clinical and Experimental Studies. *CNS & neurological disorders drug targets.* 11. 439-49. 10.2174/187152712800792893.
- George JS, Strunk J, Mak-McCully R, Houser M, Poizner H, Aron AR. Dopaminergic therapy in Parkinson's disease decreases cortical beta band coherence in the resting state and increases cortical beta band power during executive control. *Neuroimage Clin.* 2013;3:261-270. Published 2013 Aug 8. doi:10.1016/j.nicl.2013.07.013
- Gerd Tinkhauser, Alek Pogosyan, Huiling Tan, Damian M Herz, Andrea A Kühn, Peter Brown, Beta burst dynamics in Parkinson's disease OFF and ON dopaminergic medication, *Brain*, Volume 140, Issue 11, November 2017, Pages 2968–2981, <https://doi.org/10.1093/brain/awx252>
- Jimenez-Shahed, J. (2021). Device profile of the percept PC deep brain stimulation system for the treatment of Parkinson's disease and related disorders. *Expert Review of Medical Devices*, 18(4), 319–332. <https://doi.org/10.1080/17434440.2021.190947>

# References

- Fleming JE, Dunn E, Lowery MM. Simulation of Closed-Loop Deep Brain Stimulation Control Schemes for Suppression of Pathological Beta Oscillations in Parkinson's Disease. *Front Neurosci.* 2020;14:166. Published 2020 Mar 5. doi:10.3389/fnins.2020.00166
- Wenger N, Moraud EM, Raspopovic S, et al. Closed-loop neuromodulation of spinal sensorimotor circuits controls refined locomotion after complete spinal cord injury. *Science Translational Medicine.* 2014;6(255):255ra133-255ra133. doi:[10.1126/scitranslmed.3008325](https://doi.org/10.1126/scitranslmed.3008325)
- Pictures from Biorender and Canva.com
- Images: <https://parkinsonsdisease.net/medications> & <https://www.news-medical.net/health/What-does-deep-brain-stimulation-involve.aspx>