

# Transcranial electric stimulation II and non-invasive deep brain stimulation

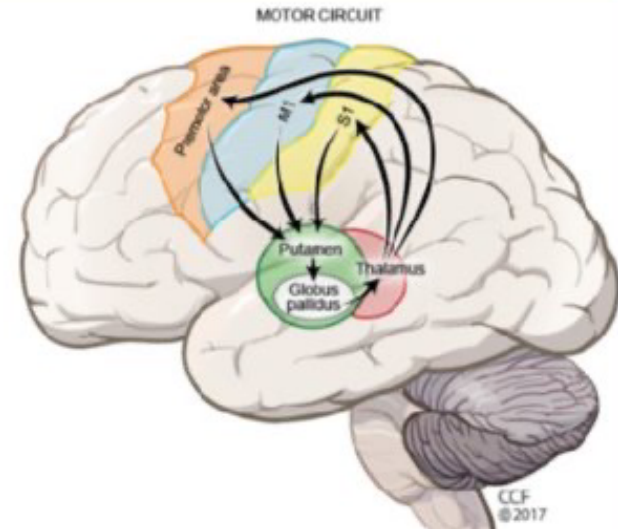
Nx-436

*‘Advanced methods for human neuromodulation’*

Prof. Friedhelm Hummel

Defitech Chair for Clinical Neuroengineering,  
Neuro-X Institute (INX) & Brain Mind Institute (BMI)  
Ecole Federale Polytechnique de Lausanne (EPFL)

Department of Clinical Neuroscience, University Hospital of Geneva





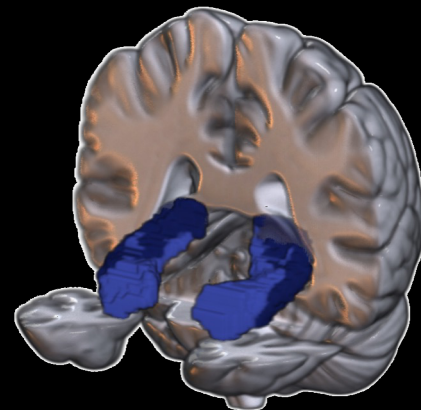


### BG/Striatum alterations :

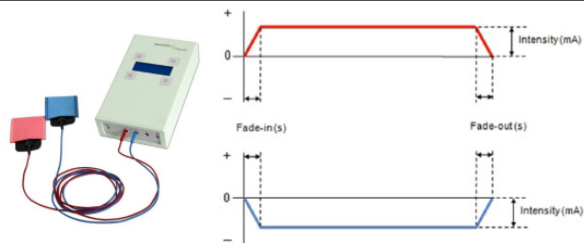
- Parkinson's, Huntington's disease
- Addiction
- Schizophrenia
- Stroke recovery
- ....

### Hippocampus alterations :

- Epilepsy <
- Traumatic Brain Injury (TBI) <
- Alzheimer's disease <
- .... <



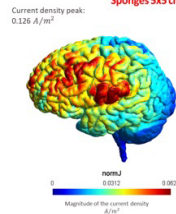
## transcranial Direct Current Stimulation (tDCS)



Anodal tDCS

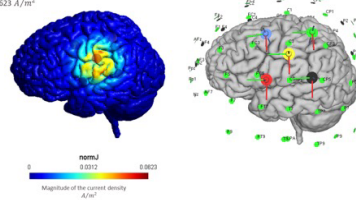
Cathodal tDCS

Gold-standard montage on C3-F4, 1mA  
Sponges 5x5 cm, pads type E, 45° rotated

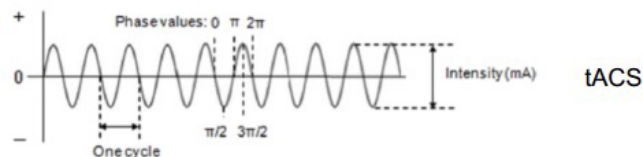


4x1 montage, anode close to C3, 1mA

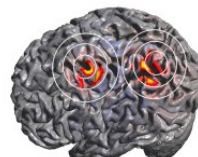
Current density peak:  
0.0623 A/m²



## transcranial Alternating Stimulation (tACS)

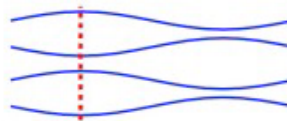


tACS

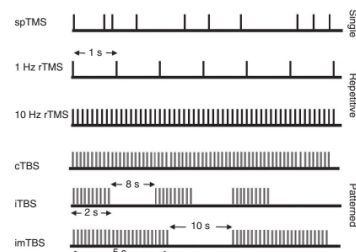
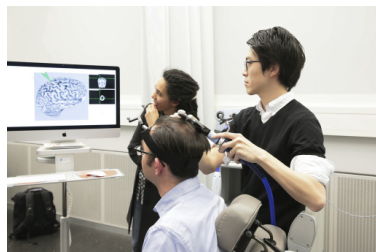


In-Phase

Center 1  
Ring 1  
Center 2  
Ring 2



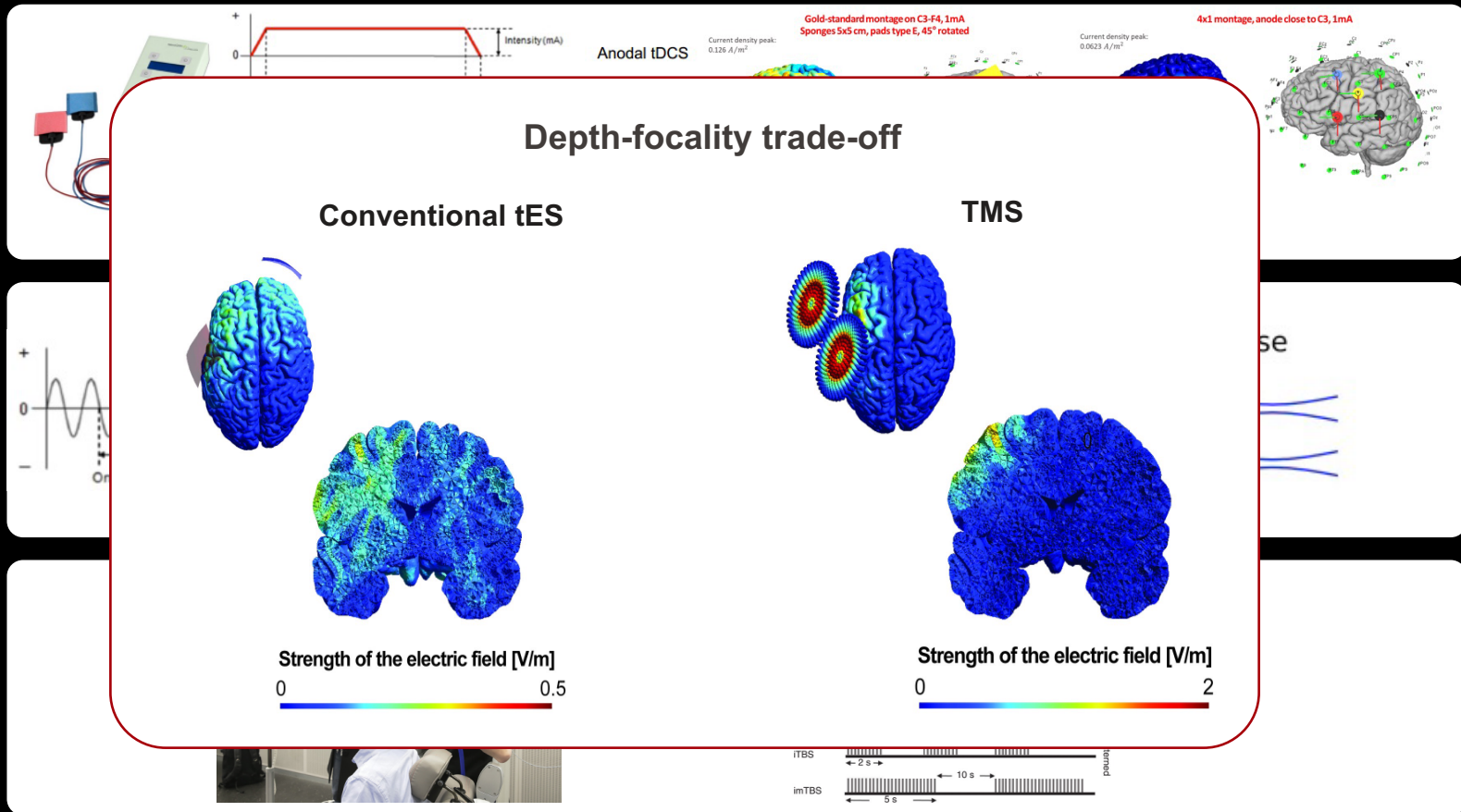
## transcranial Magnetic Stimulation (TMS)



transcranial  
Direct Current  
Stimulation  
(tDCS)

transcranial  
Alternating  
Stimulation  
(tACS)

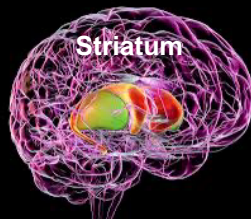
transcranial  
Magnetic  
Stimulation  
(TMS)



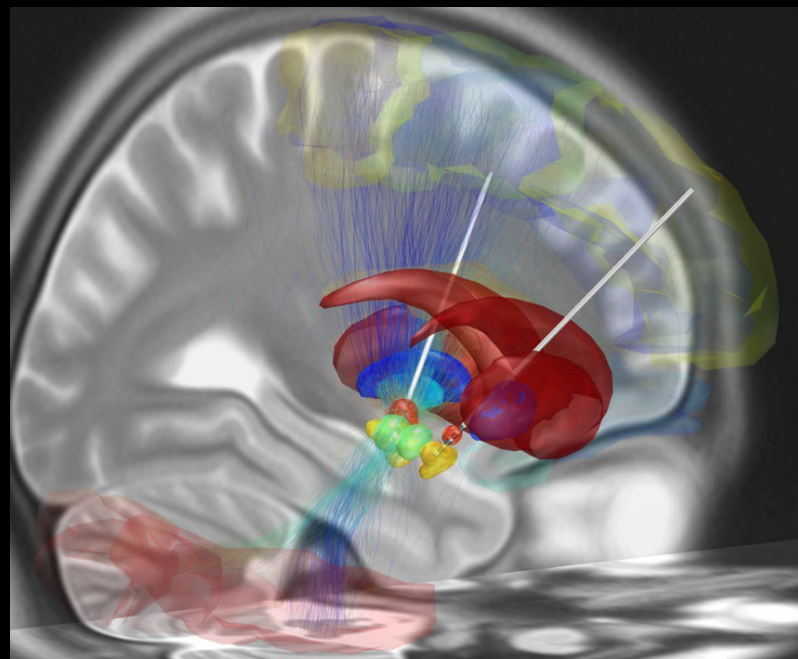
## DBS 1.0



lesioning



## DBS 2.0

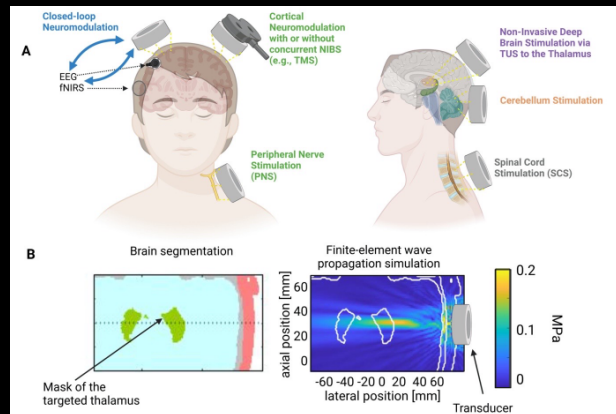


neuromodulation

...only invasive...!

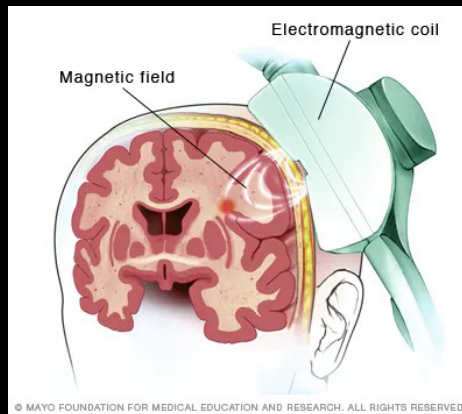


## transcranial focused ultrasound (tUS)



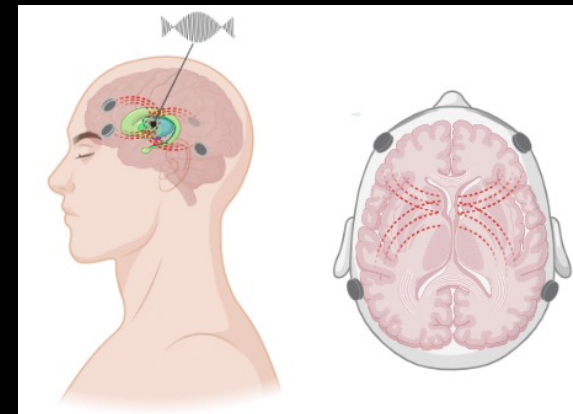
For review e.g., Yüksel *et al.* (2024) IEEE EMBS

## Deep TMS

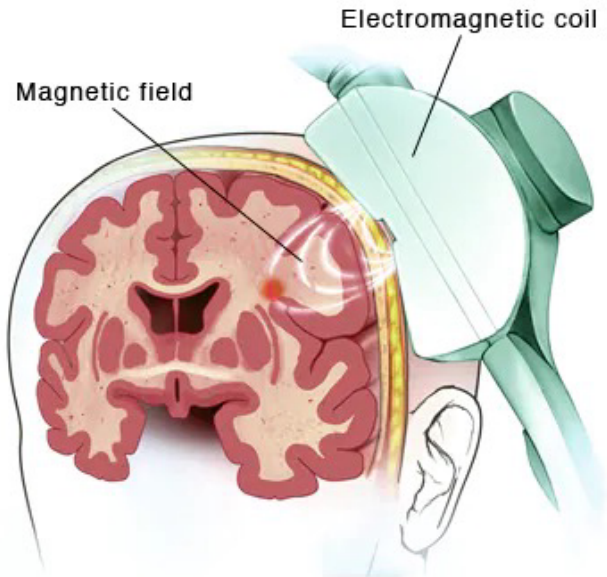


Zibma *et al.*, 2021, Pell *et al.*, 2023

## transcranial temporal interference stimulation (tTIS)



Wessel *et al.* (2023) Nature Neuroscience  
Violante *et al.* (2023) Nature Neuroscience



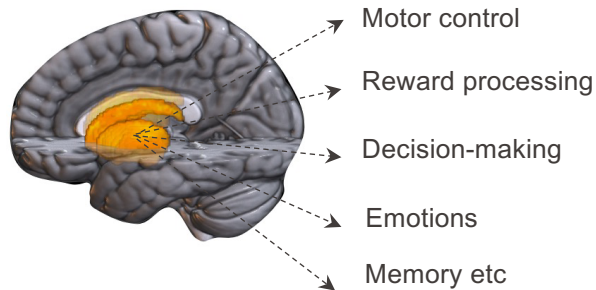
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# deep TMS

Deep brain regions are altered in many **neuro-psychiatric disorders**:

*e.g.*, **striatum, hippocampus, thalamus, DLPFC**

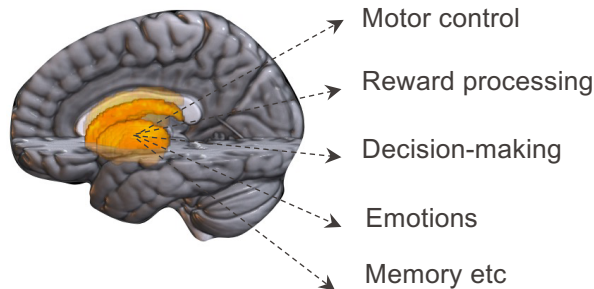
- Stroke
- Apathy
- Parkinsons' disease
- Epilepsy
- Dementia...



Deep brain regions are altered in many **neuro-psychiatric disorders**:

*e.g.*, **striatum, hippocampus, thalamus, DLPFC**

- Stroke
- Apathy
- Parkinsons' disease
- Epilepsy
- Dementia...



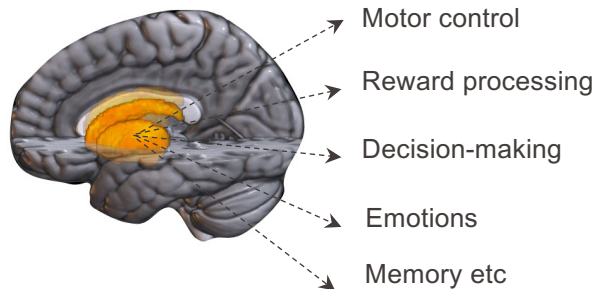
Challenge: focal, non-invasive deep brain stimulation is not possible with conventional approaches due to steep depth-focality trade-off



Deep brain regions are altered in many **neuro-psychiatric disorders**:

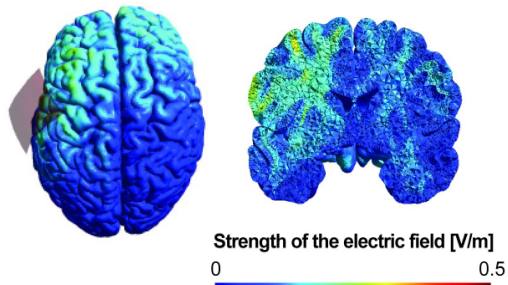
*e.g.*, **striatum, hippocampus, thalamus, DLPFC**

- Stroke
- Apathy
- Parkinsons' disease
- Epilepsy
- Dementia...

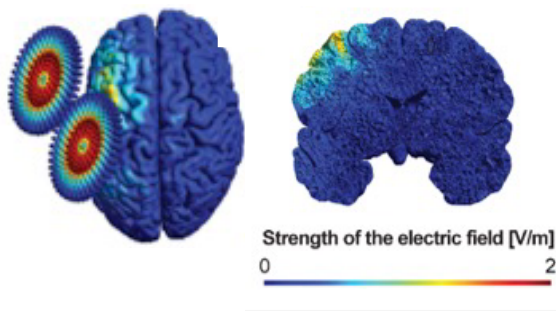


Challenge: focal, non-invasive deep brain stimulation is not possible with conventional approaches

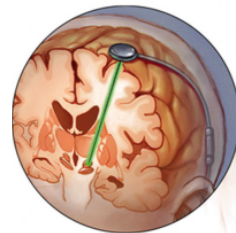
### Conventional tES

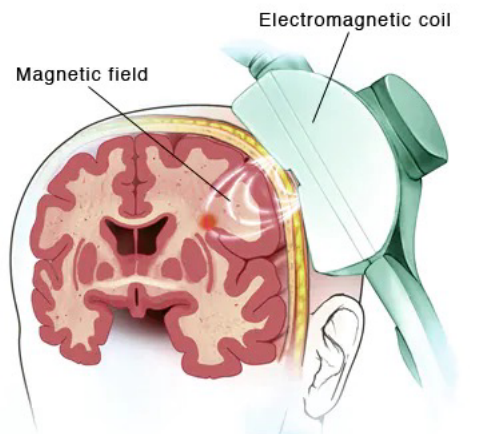


### TMS

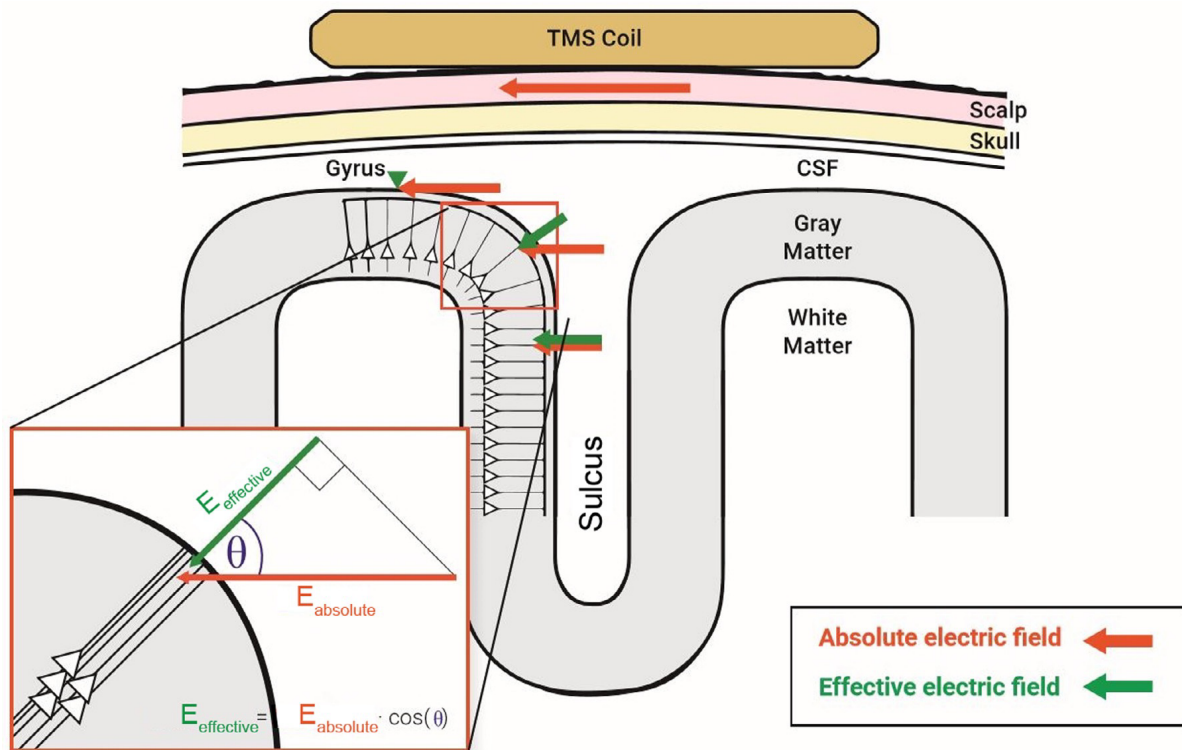


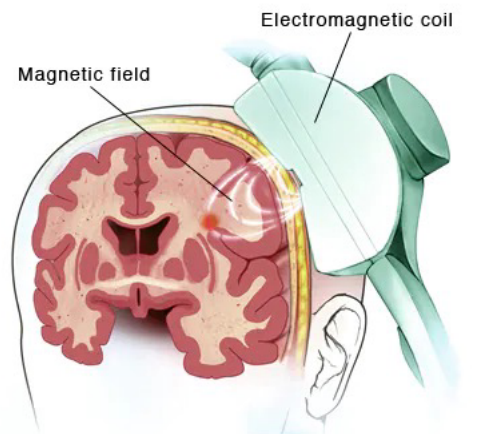
Deep brain stimulation  
is so far **limited to  
invasive methods**



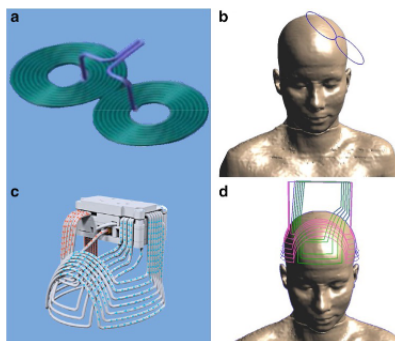


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H1-Coil



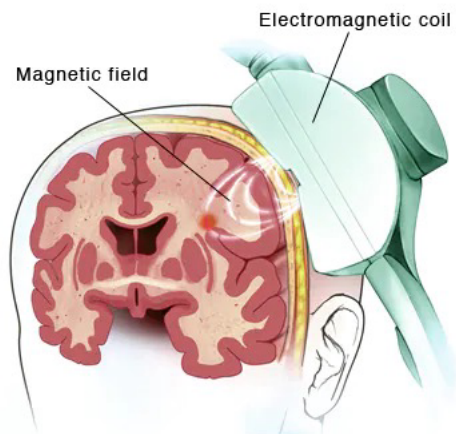
H7-Coil



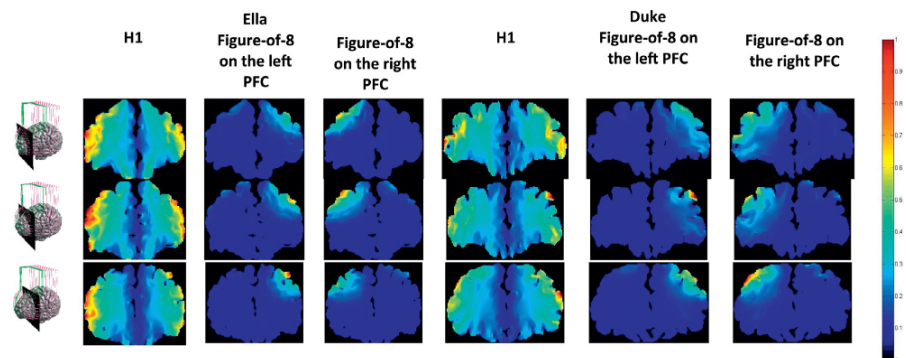
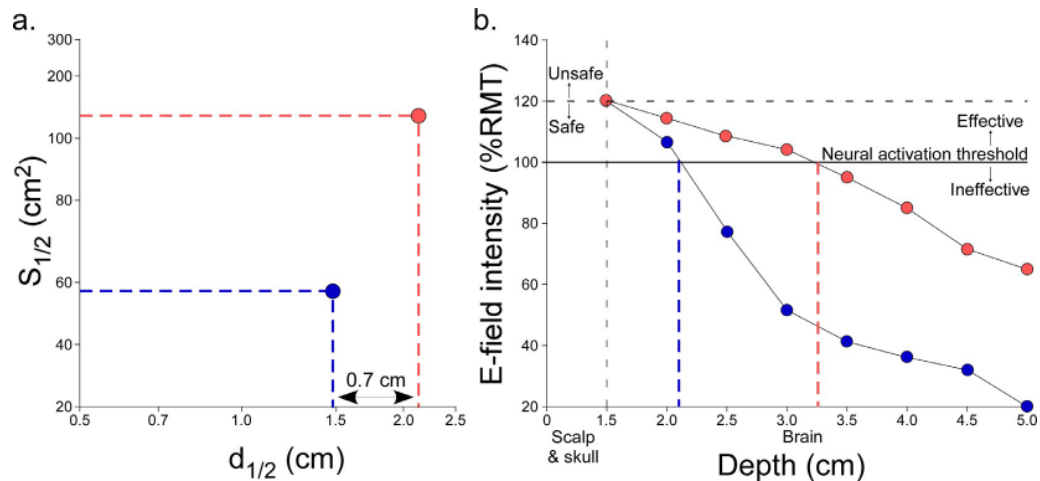
H4-Coil



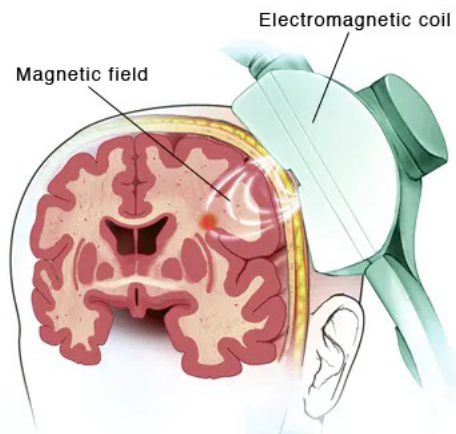
Traditional TMS Coil



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## Deep TMS has been CE-marked to treat:

Patients diagnosed with major depressive disorder.  
Patients diagnosed with obsessive-compulsive disorder.  
Patients diagnosed with smoking addiction.  
Patients diagnosed with Alzheimer's disease.  
Patients diagnosed with autism.  
Patients diagnosed with bipolar disorder.  
Patients diagnosed with chronic pain.  
Patients diagnosed with multiple sclerosis (MS).  
Patients diagnosed with Parkinson's disease.  
Patients diagnosed with post-stroke rehabilitation.  
Patients diagnosed with post-traumatic stress disorder (PTSD).  
Patients diagnosed with negative symptoms of schizophrenia



**H1-Coil**  
for Major Depressive  
Disorder (MDD)



**H7-Coil**  
for Obsessive-Compulsive  
Disorder (OCD)

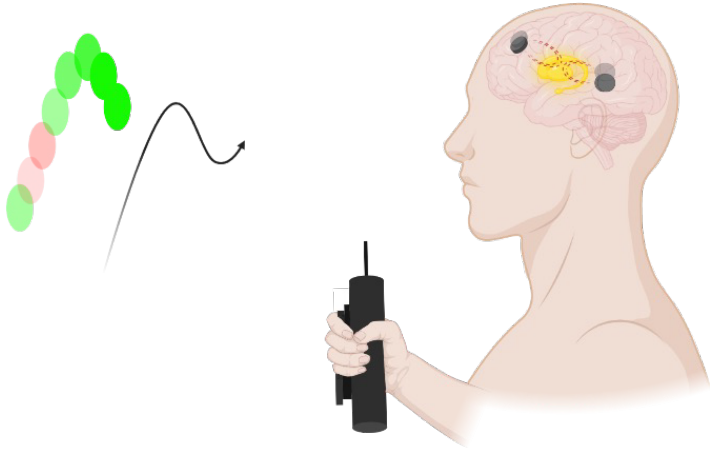


**H4-Coil**  
for Smoking  
Cessation

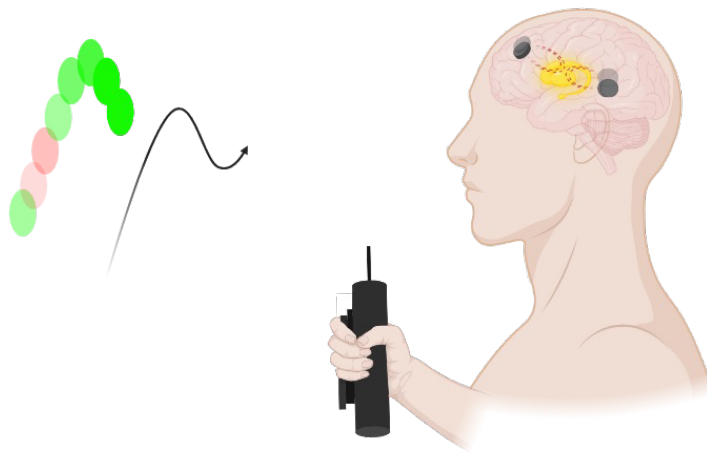


**Traditional TMS Coil**  
for Major Depressive  
Disorder (MDD)

- **Deep TMS by means of H-Coils allows to reach deeper (cortical) structure**
- **Lower focality then classical Figure of 8 coils**
- **Based on special coil architecture**
- **Improves treatment effects**
- **However, still limited to the Cortex!**



**tTIS**

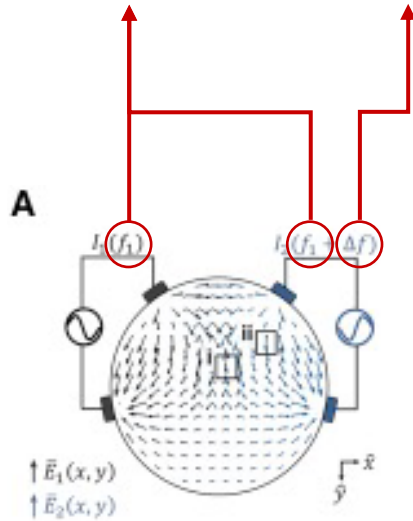


Wessel\*, Beanato\* *et al.*, 2023, *Nature Neuroscience*; Vassiliadis *et al.*, accepted *Nature Human Behaviour* (and bioRxiv);  
Violante *et al.* 2023 *Nature Neuroscience*; Grossman *et al.* 2017 *Cell*



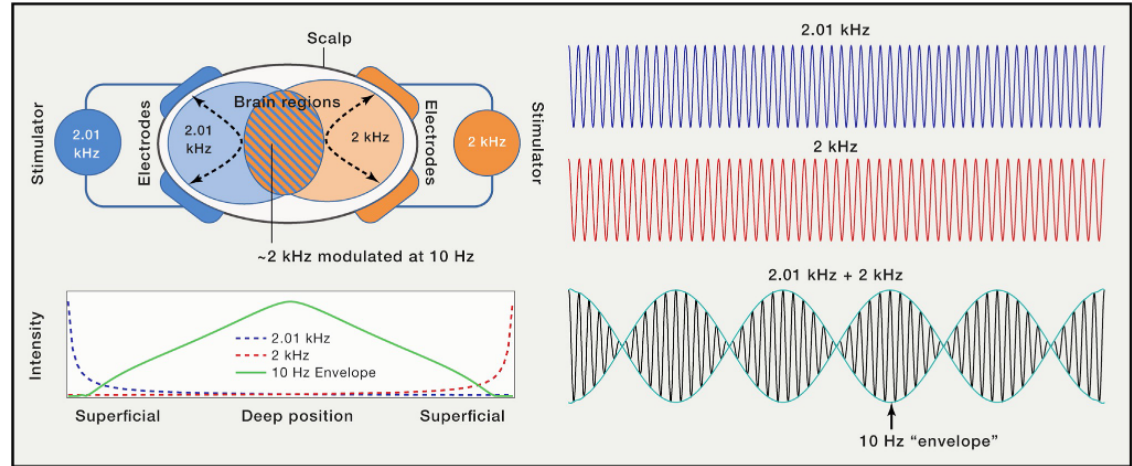
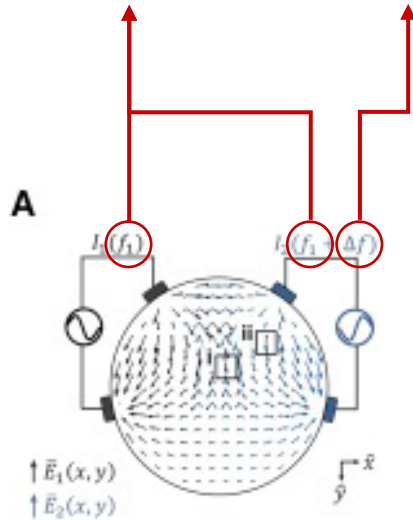
High frequency  
outside neural  
operation

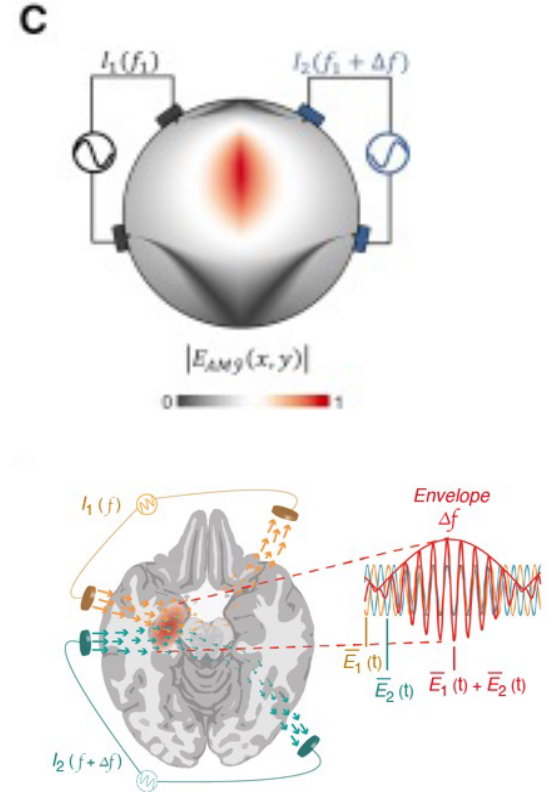
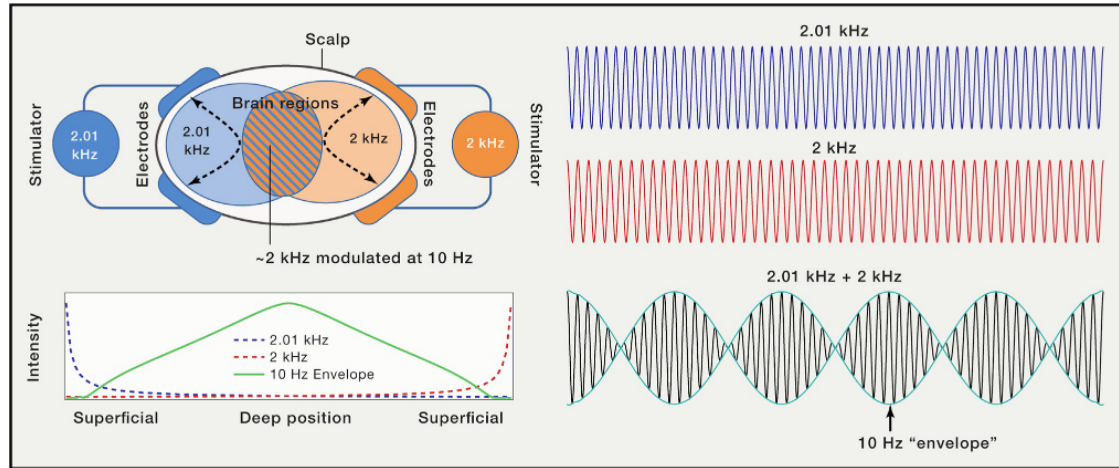
Frequency  
recruiting  
neurons



High frequency  
outside neural  
operation

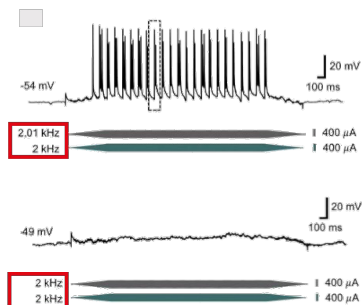
Frequency  
recruiting  
neurons



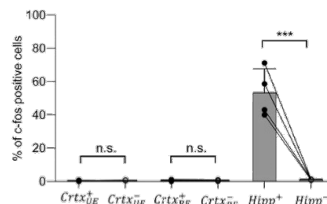
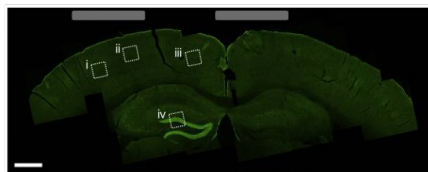


## Rodents

- Envelope modulated fields → synchronized neural firing
- Pure high frequency stimulation → no firing

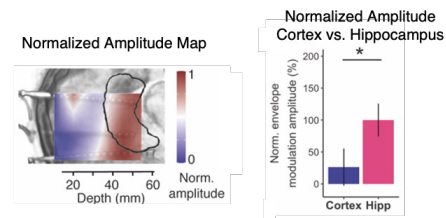
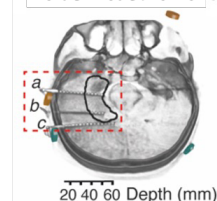


- Stimulation of deep structure does not engage overlying tissues

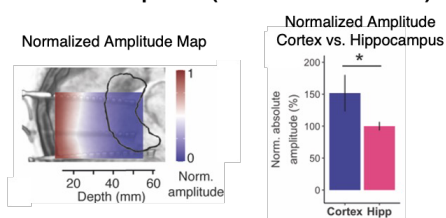
Grossman *et al.*, Cell 2017

## Human cadaver

## Human cadaver fields measurement



## Absolute Amplitude (normal AC stimulation)

Violante *et al.*, Nat Neurosci 2023

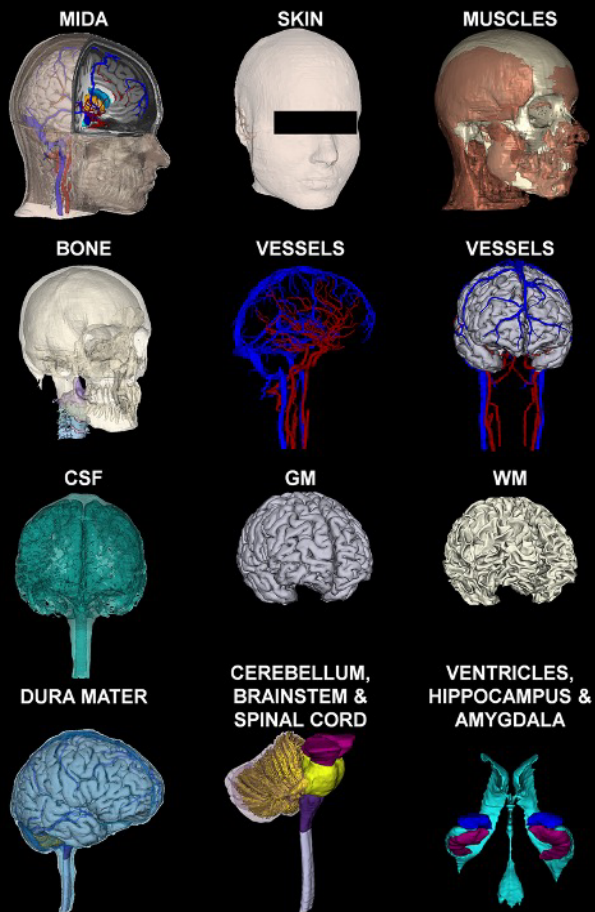
## Questions to solve

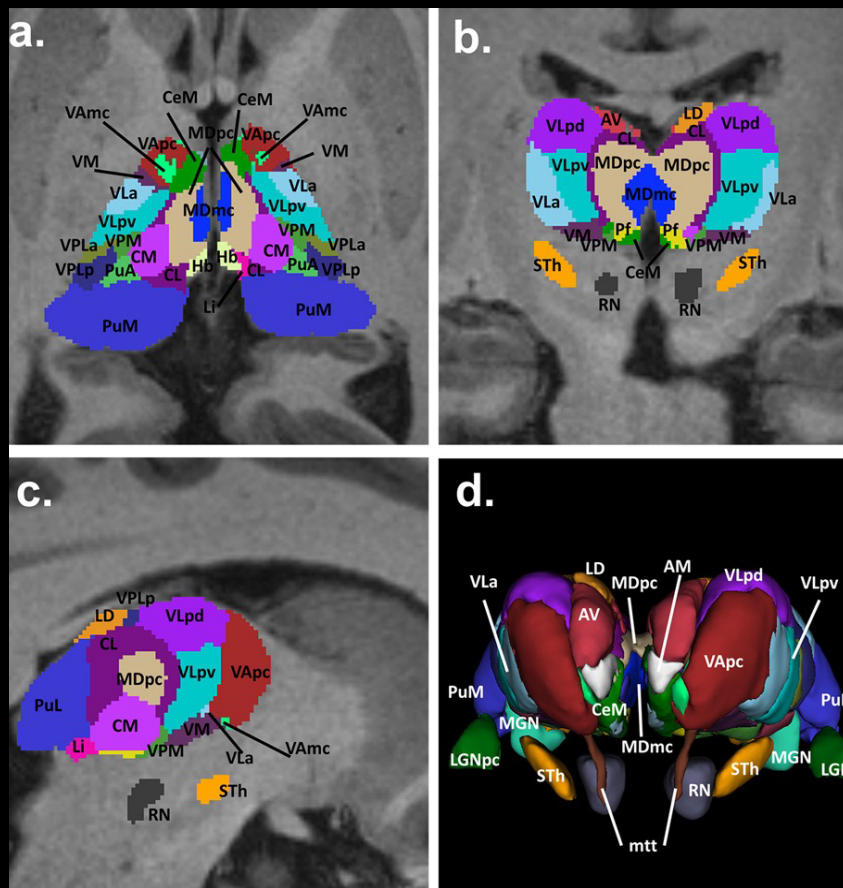
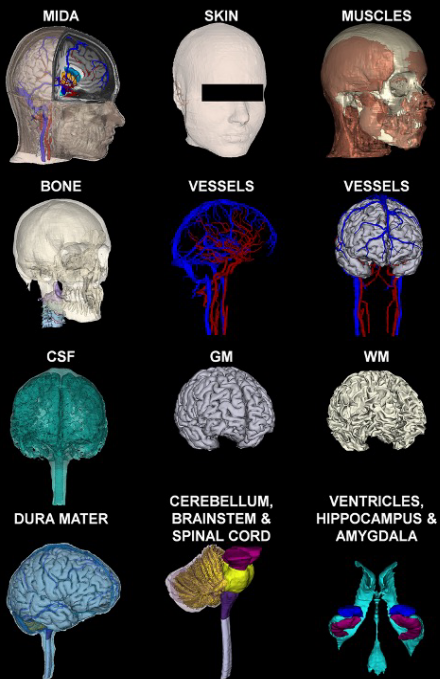
Localization

Stimulation parameters

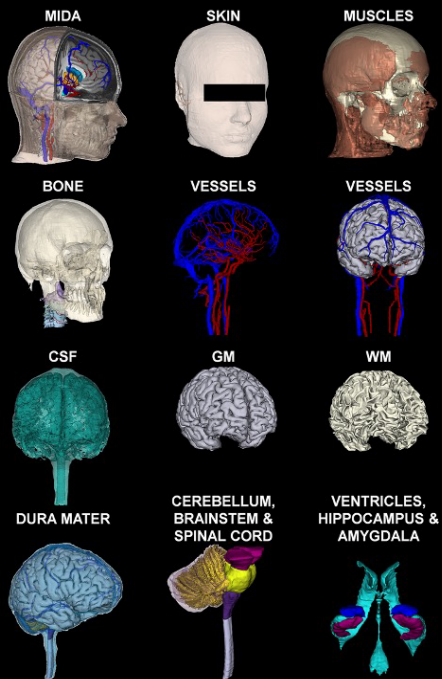
Focality of stimulation effects

Validation of stimulation effects

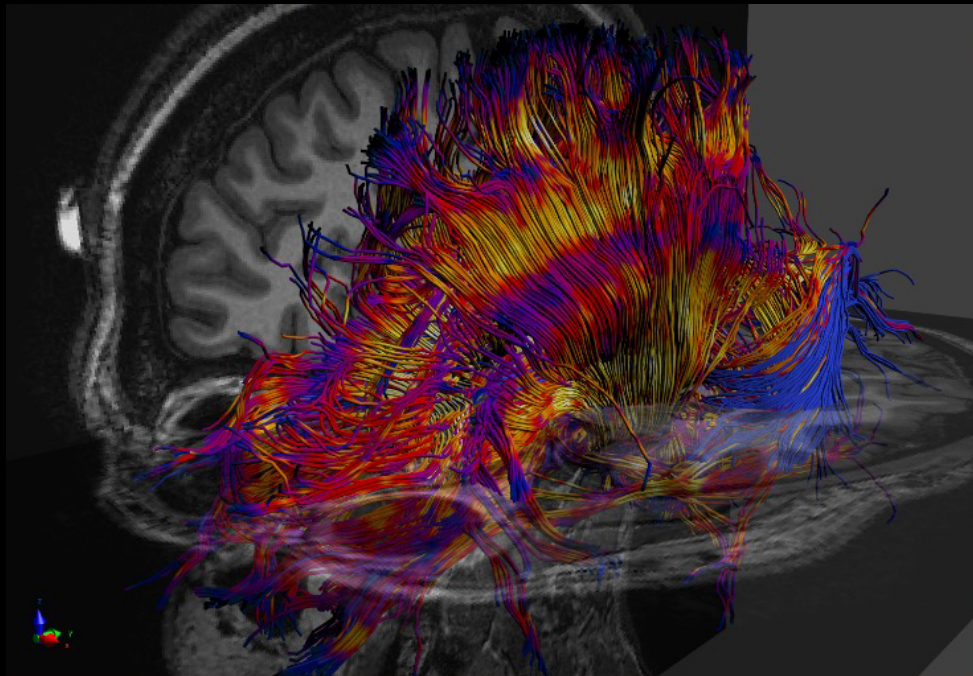






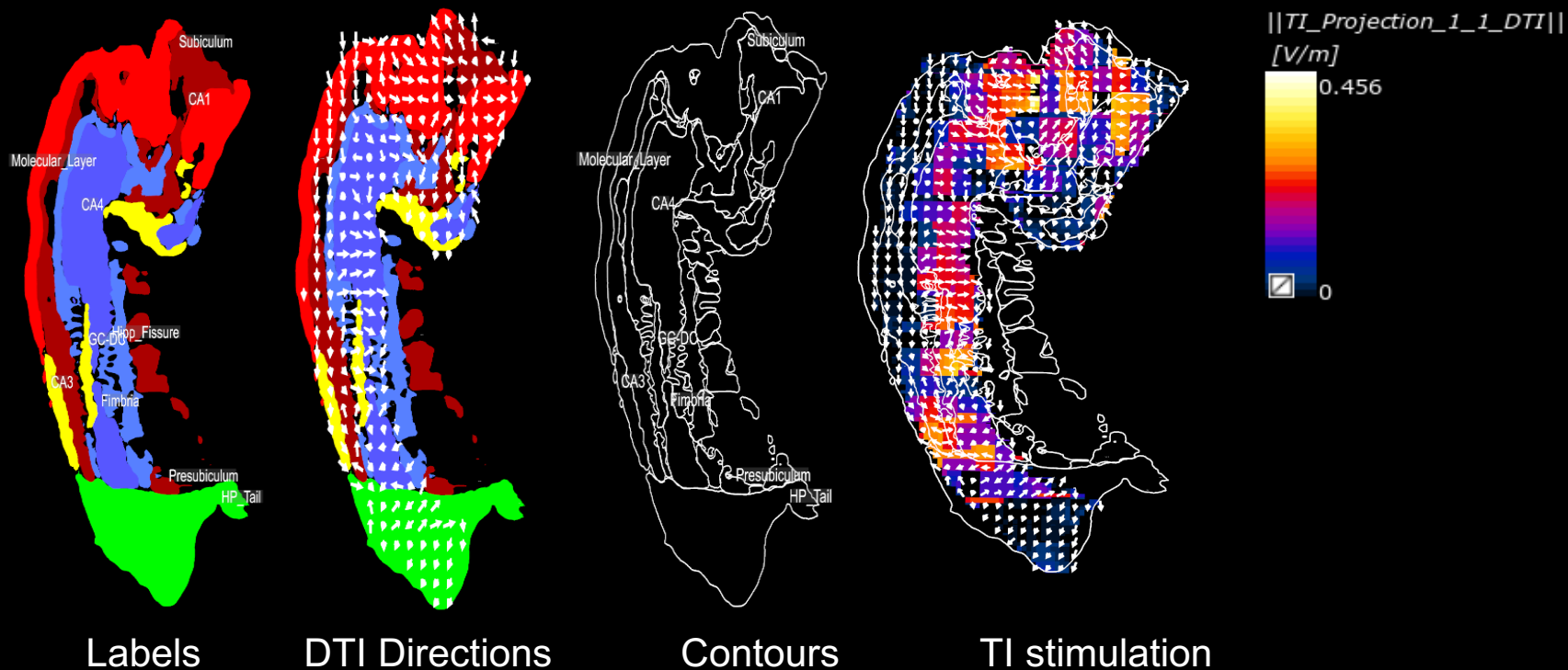


### Further informing the model

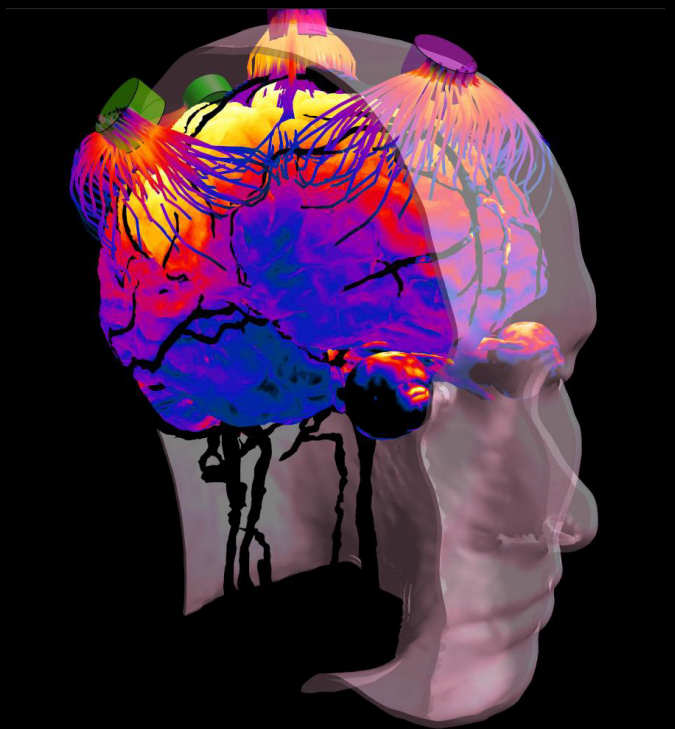


Diffusion tensor imaging (DTI) to obtain information on tissue anisotropy and fiber orientation

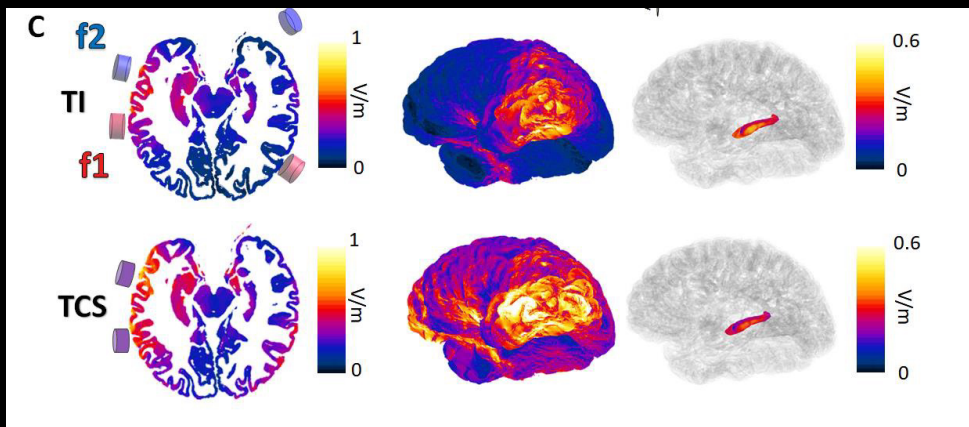
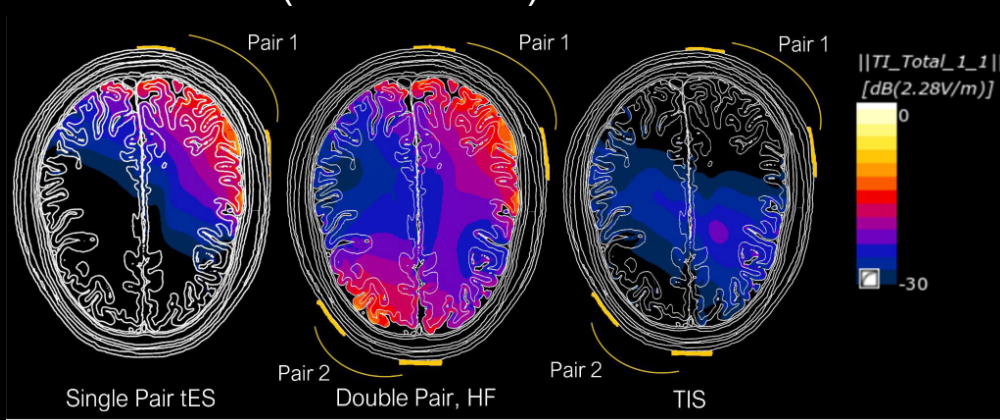
## Hippocampus simulations (MIDA model)



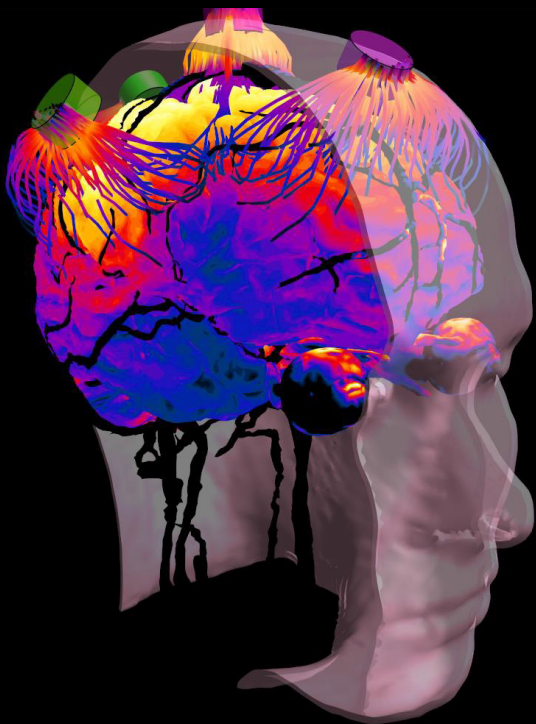
## Whole brain simulations (MIDA model)



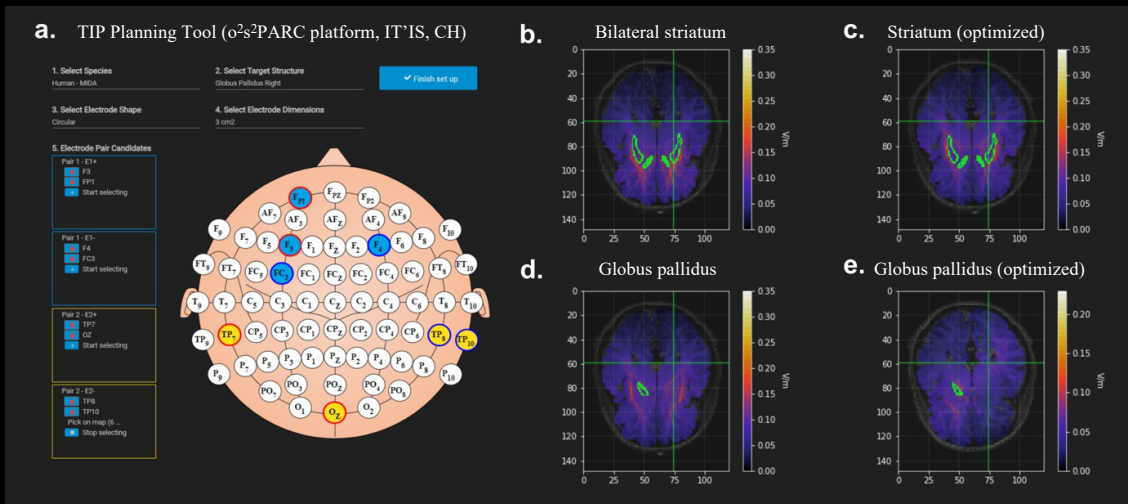
High resolution head model (MIDA, SIM-4-Life) in cooperation with E. Neufeld (IT'IS, ETH Zürich)



Courtesy E. Neufeld



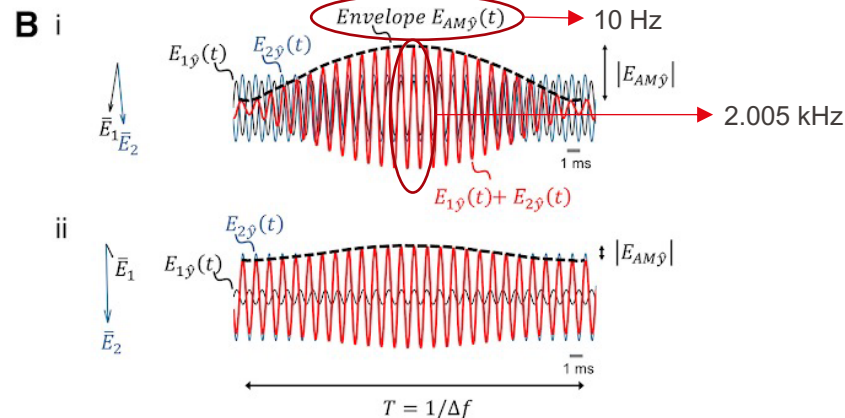
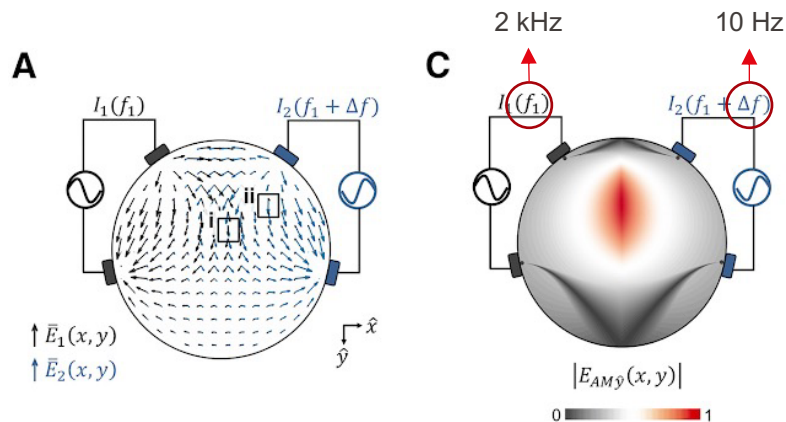
## Planning electrode placement



Courtesy M. Wessel

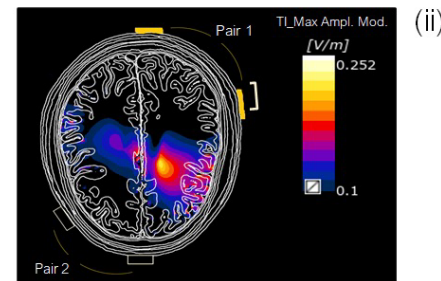
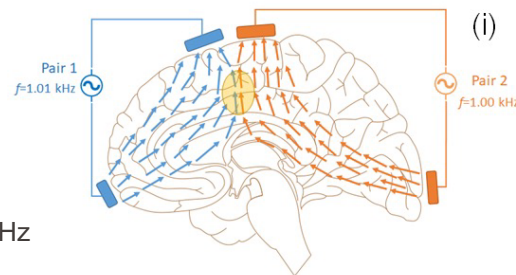
High resolution head model  
(MIDA, SIM-4-Life; IT'IS, ETH Zürich)





Grossman et al., Cell 2017

- ✓ Good **spatial** resolution
- ✓ Able to **reach deep brain structures**
- ✓ **Simulations** crucially important for targeting



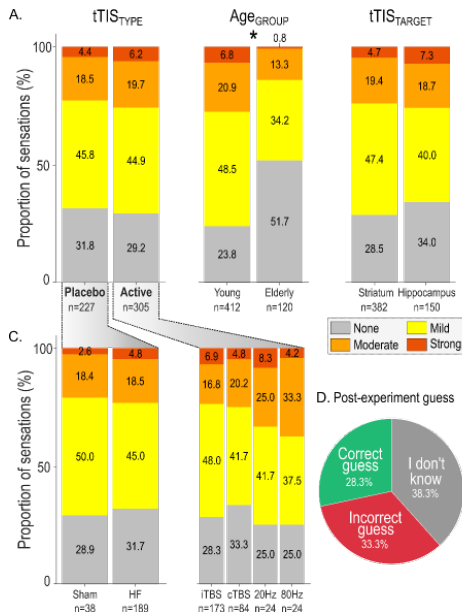
Cassara et al. 2022 bioRxiv

**? Feasible, effective in humans**

**Blinding, perceived sensations, safety**

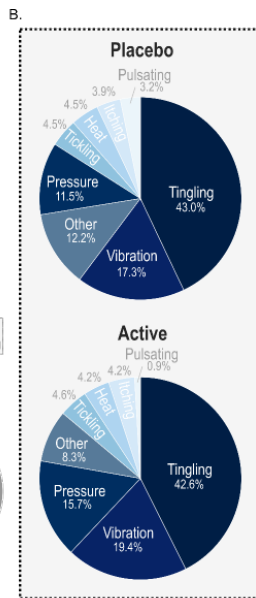
## Deep tTIS

n=119 subjects, n=257 sessions

Vassiliadis *et al.* (2024) J Neural Eng

## Cortical tTIS

n=38 subjects

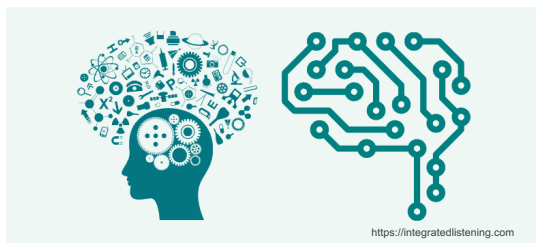


Measurements (Range or Unit)	Active Group (Mean ± SD)		Sham Group (Mean ± SD)		Statistical Results	
	Pre	Post	Pre	Post	F	p
MoCA (0-30)	27.95 ± 1.47	27.68 ± 1.46	27.63 ± 1.17	27.89 ± 1.49	0.973	0.331
PPT (times <sup>1</sup> )						
Right Hand	16.44 ± 1.56	17.21 ± 1.91	16.35 ± 1.60	17.65 ± 0.97	1.985	0.167
Left Hand	15.25 ± 1.86	16.10 ± 2.06	14.93 ± 1.29	15.91 ± 1.42	0.298	0.588
Both Hands	12.72 ± 1.68	13.35 ± 1.68	12.51 ± 1.60	13.30 ± 1.62	0.307	0.583
Assembly	41.30 ± 5.88	45.84 ± 6.68	40.23 ± 7.75	44.14 ± 6.40	0.340	0.564
A-CalCAP (ms <sup>2</sup> )						
SRT	363.79 ± 82.52	357.17 ± 60.90	357.64 ± 50.01	367.96 ± 54.43	0.620	0.436
CRT	428.42 ± 33.54	441.08 ± 41.93	415.76 ± 35.18	422.66 ± 32.58	0.349	0.558
SPM1	501.01 ± 69.28	513.59 ± 83.14	487.74 ± 61.24	481.20 ± 65.78	1.589	0.216
SPM2	593.20 ± 94.59	540.83 ± 78.03	554.99 ± 67.63	535.68 ± 68.15	2.886	0.098
NSE (ng/mL)	14.09 ± 3.21	16.01 ± 2.94	13.40 ± 3.27	14.32 ± 3.72	0.460	0.503
VAMS-R (0-100)						
Sad	1.74 ± 2.16	8.63 ± 24.43	6.26 ± 9.15	9.21 ± 15.51	0.418	0.522
Confused	9.00 ± 19.06	8.16 ± 22.60	15.74 ± 18.47	11.00 ± 17.02	0.462	0.638
Afraid	7.05 ± 22.69	6.32 ± 21.76	4.53 ± 9.06	5.68 ± 11.33	0.060	0.808
Happy	47.00 ± 34.39	43.89 ± 34.41	49.26 ± 31.58	49.37 ± 27.95	0.098	0.756
Tired	31.21 ± 32.54	35.16 ± 30.03	35.47 ± 34.30	31.47 ± 29.62	0.928	0.342
Angry	7.05 ± 22.41	9.68 ± 25.74	2.53 ± 4.61	5.42 ± 10.60	0.006	0.941
Tense	16.68 ± 30.35	8.05 ± 22.31	5.74 ± 8.85	8.89 ± 20.32	2.361	0.133
Energetic	49.21 ± 28.49	47.84 ± 28.75	60.00 ± 30.01	49.16 ± 30.87	1.692	0.202
SAS (1-5)						
Concentration	3.74 ± 0.73	3.26 ± 0.81	3.32 ± 0.48	3.21 ± 0.63	3.196	0.082
Calmness	4.11 ± 0.74	3.79 ± 0.92	3.68 ± 0.75	3.58 ± 0.84	0.475	0.495
Fatigue	2.58 ± 0.84	3.05 ± 1.03	2.37 ± 0.96	3.16 ± 0.96	1.317	0.259
Visual perception	3.68 ± 0.67	3.37 ± 0.60	3.37 ± 0.90	3.32 ± 1.00	1.573	0.218

Piao *et al.* (2022) Brain Sciences



## Neuroplasticity



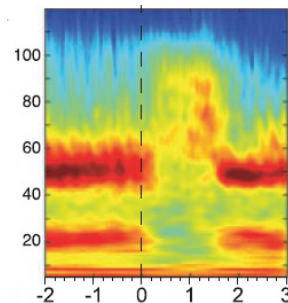
Wessel, Beanato *et al.* (2023) Nature Neuroscience  
Popa, Beanato *et al.* (2023) bioRxiv  
Beanato, Moon *et al.* (under review)

## Neuronal entrainment

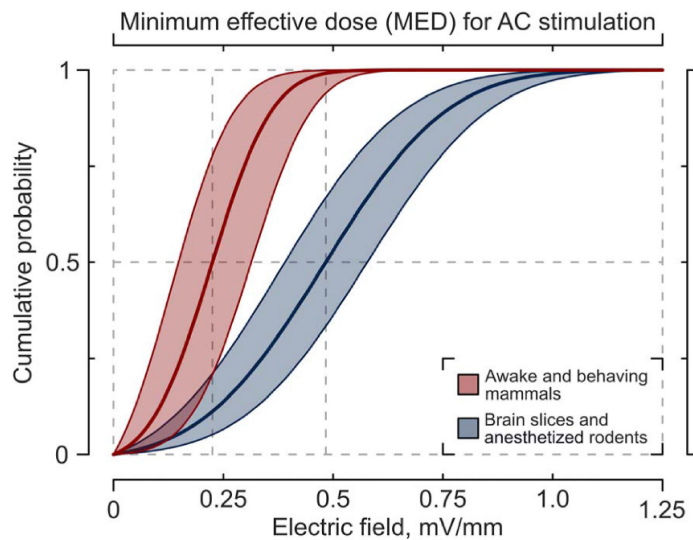


Violante *et al.* (2023) Nature Neuroscience

## Interference

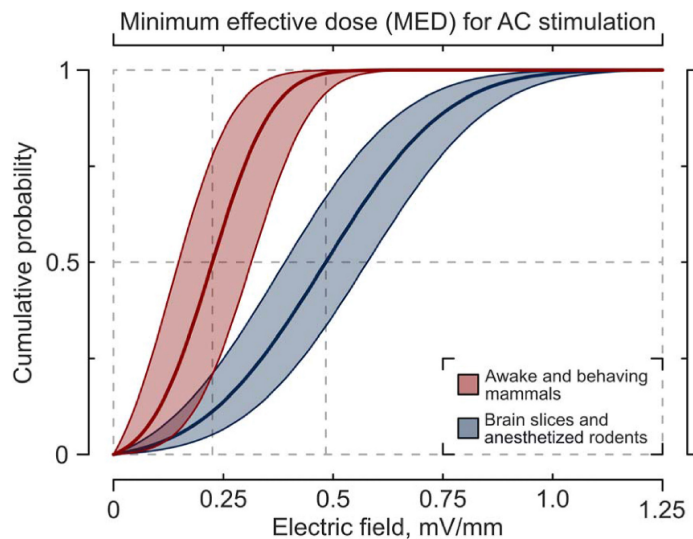


Vassiliadis *et al.* (accepted) Nature Human Behavior

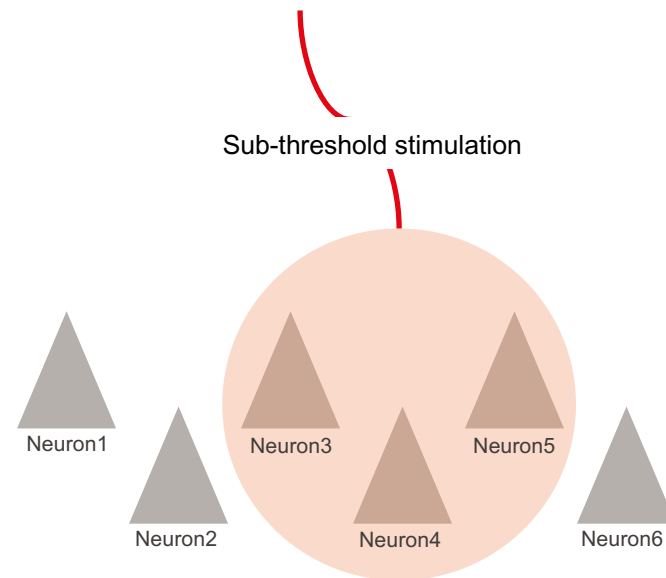


Alekseichuk, 2022

Subthreshold stimulation requires co-activation (Fritsch et al. 2010)

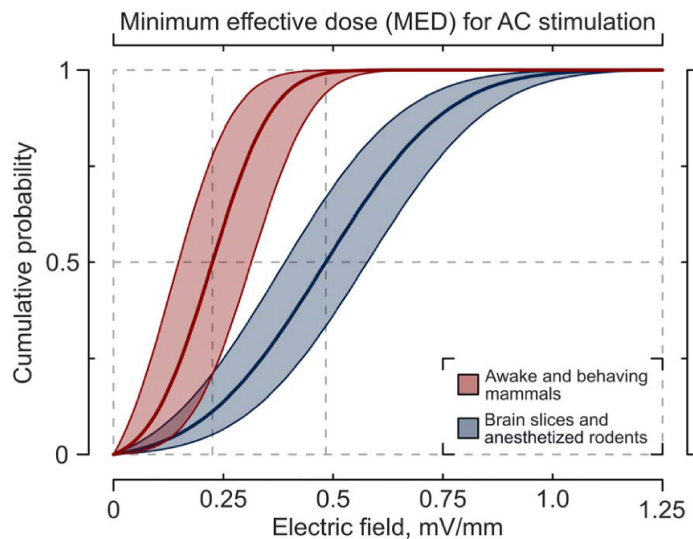


Alekseichuk, 2022

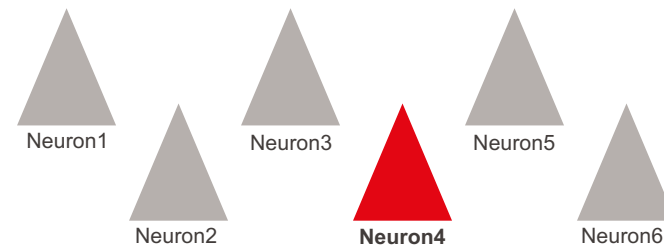


Subthreshold stimulation requires co-activation (Fritsch et al. 2010)

Co-activation steers the stimulation effects

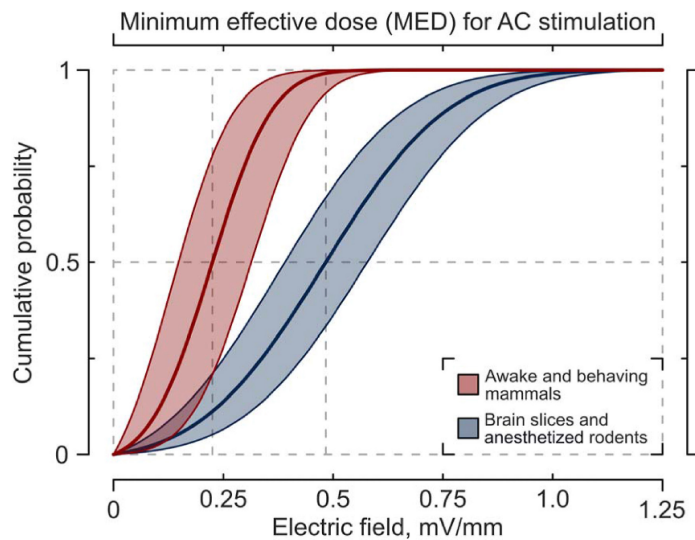


Alekseichuk, 2022

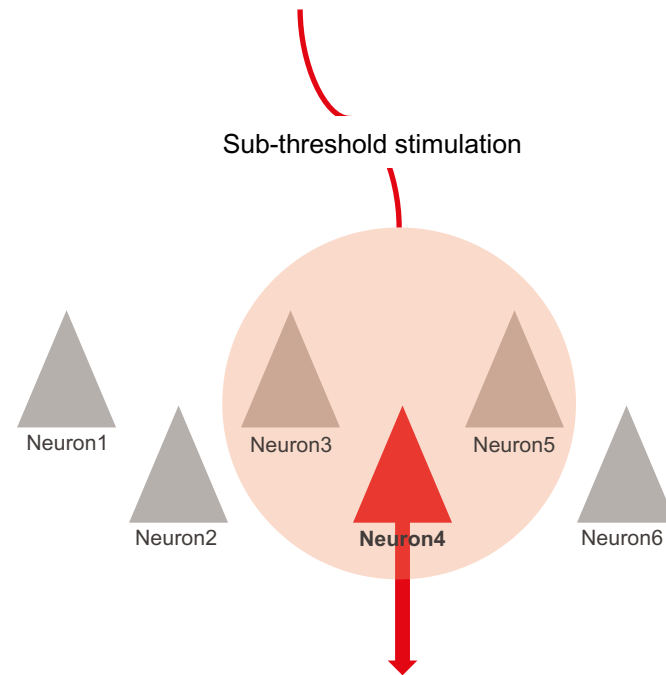


Subthreshold stimulation requires co-activation (Fritsch et al. 2010)

Co-activation steers the stimulation effects

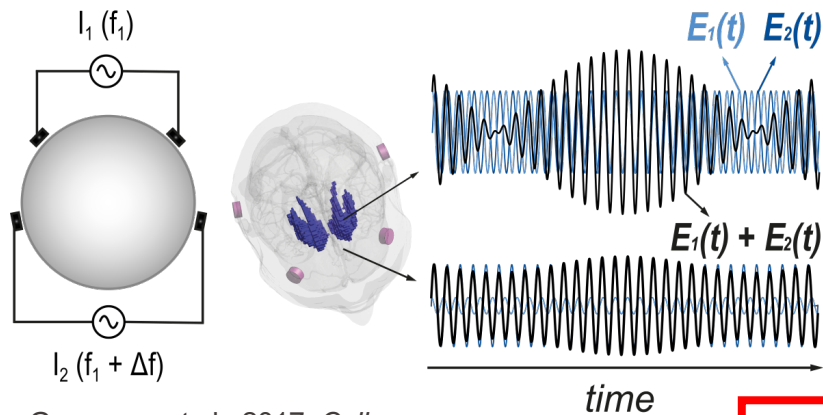


Alekseichuk, 2022



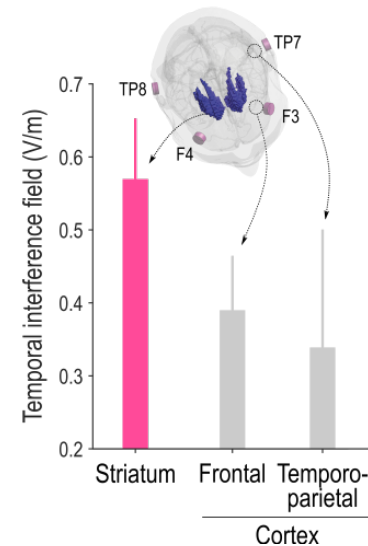
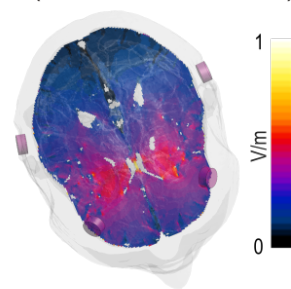
Subthreshold stimulation requires co-activation (Fritsch et al. 2010)

Co-activation steers the stimulation effects



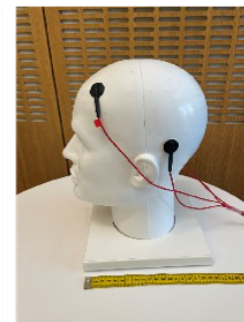
Grossman et al., 2017, *Cell*

Simulations on high resolution head model (collab. Prof Neufeld)

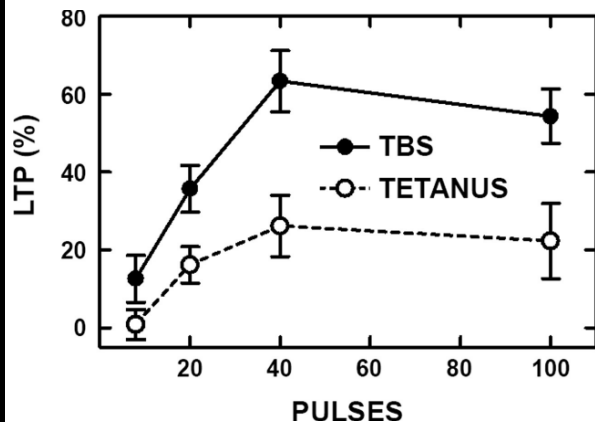


First application of deep tTIS in humans

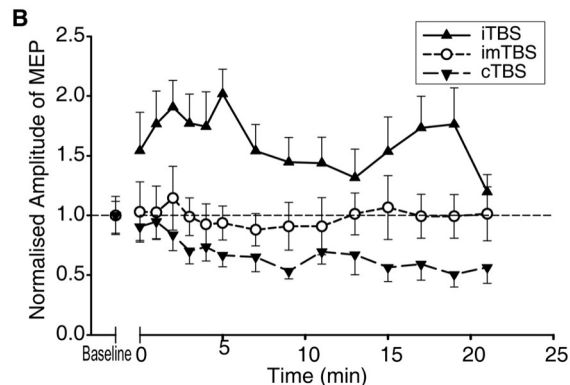
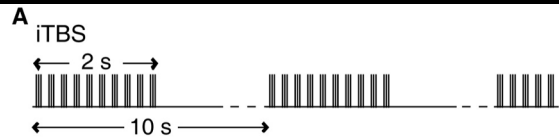
- ✓ Animal model validation (Grossman et al., 2017)
- ✓ Application on cortical structures in humans (Ma et al., 2021)
- ✓ Cadaver work (Violante et al., 2023)



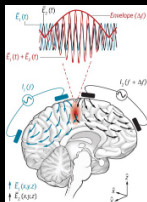
## Hippocampal field CA1



Larson & Munkasy 2015  
Andersen 1991



Huang et al. 2005

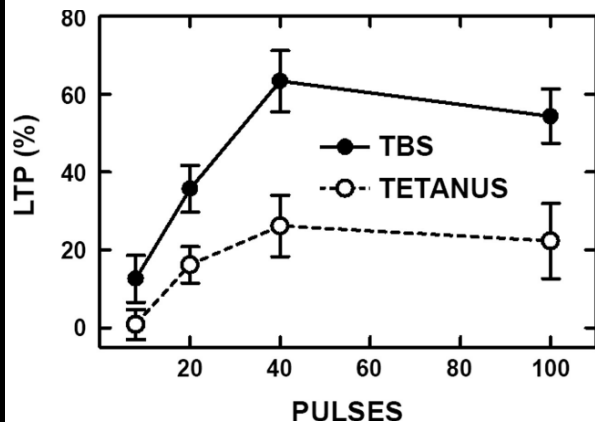


- **iTBS-TI**: 2 tACS channels @ 2kHz and 2.1kHz creating an interference wave with an envelope mimicking a theta-burst, with trains of 3 peaks @100Hz repeated every 200 ms applied for 2sec and followed by 8 sec of HF-control

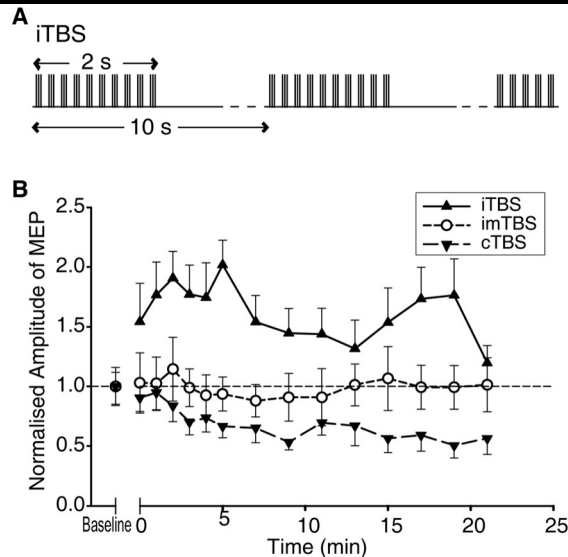
- **HF-control**: 2 tACS channels @ 2kHz without shift in frequencies



## Hippocampal field CA1



Larson & Munkasy 2015  
Andersen 1991

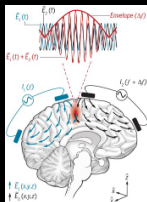


Huang et al. 2005

+

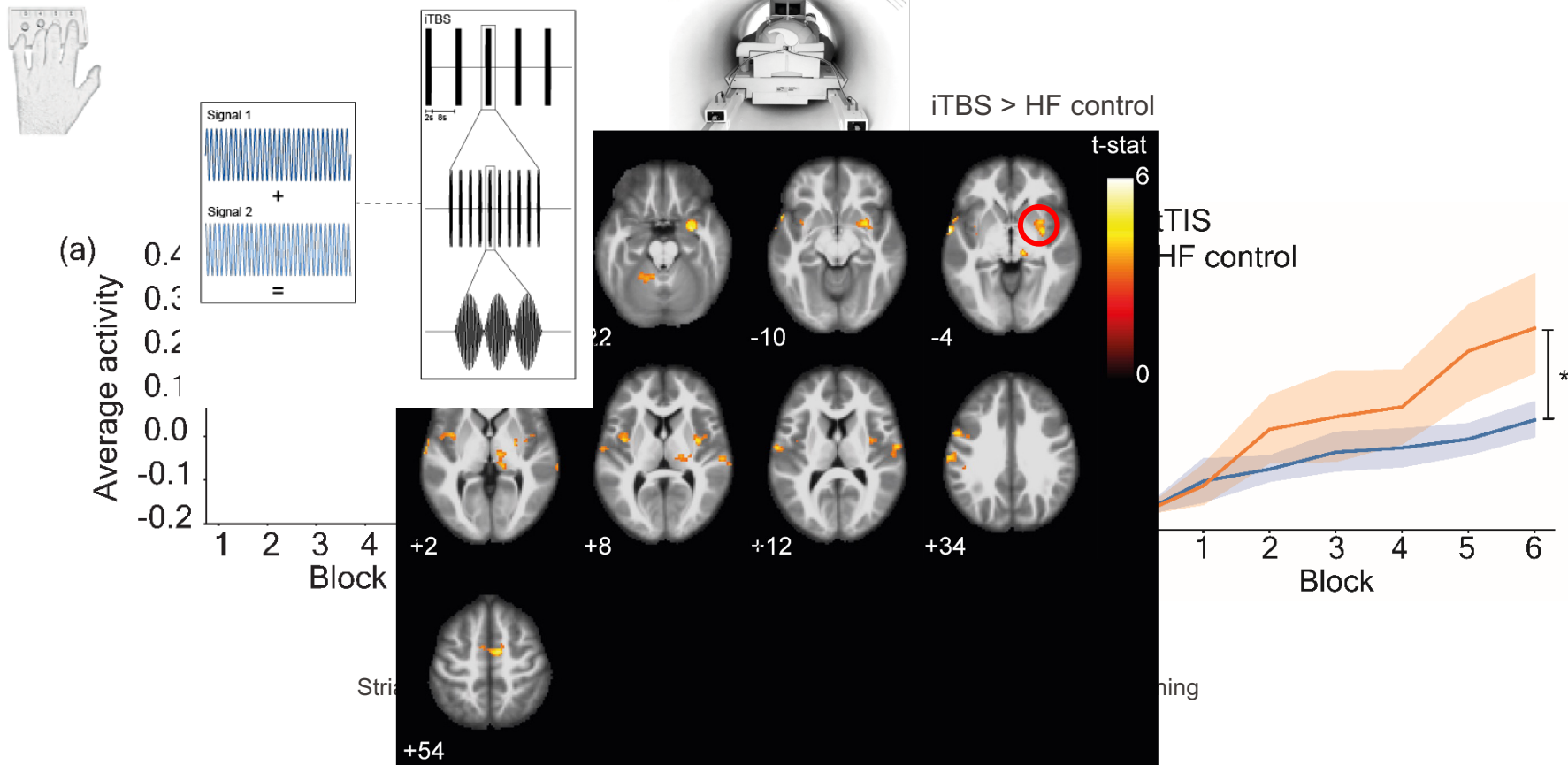


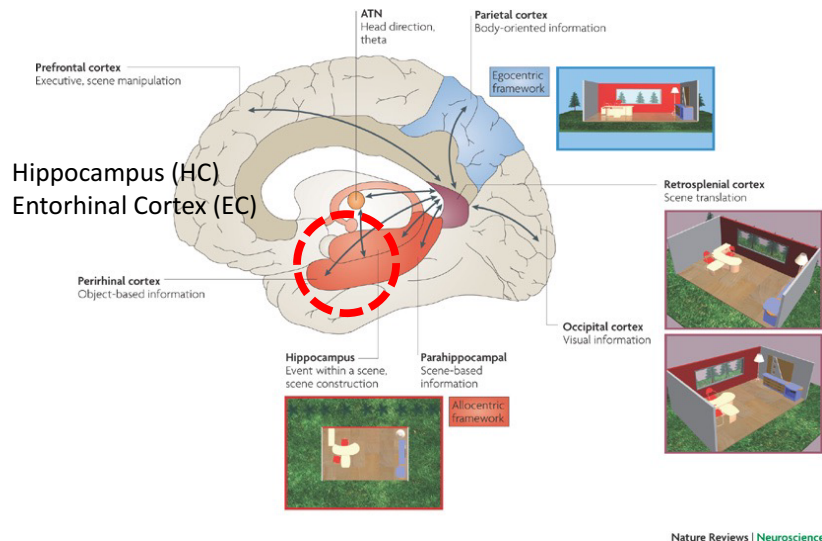
Zimmerman et al. 2013, 2014; Draaisma et al. 2022  
Maceda et al. 2022; Wessel et al. 2022



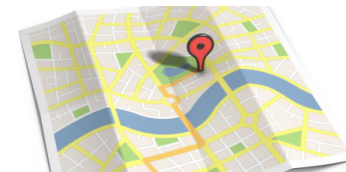
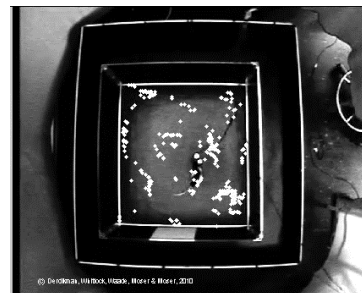
- **iTBS-TI**: 2 tACS channels @ 2kHz and 2.1kHz creating an interference wave with an envelope mimicking a theta-burst, with trains of 3 peaks @100Hz repeated every 200 ms applied for 2sec and followed by 8 sec of HF-control

- **HF-control**: 2 tACS channels @ 2kHz without shift in frequencies



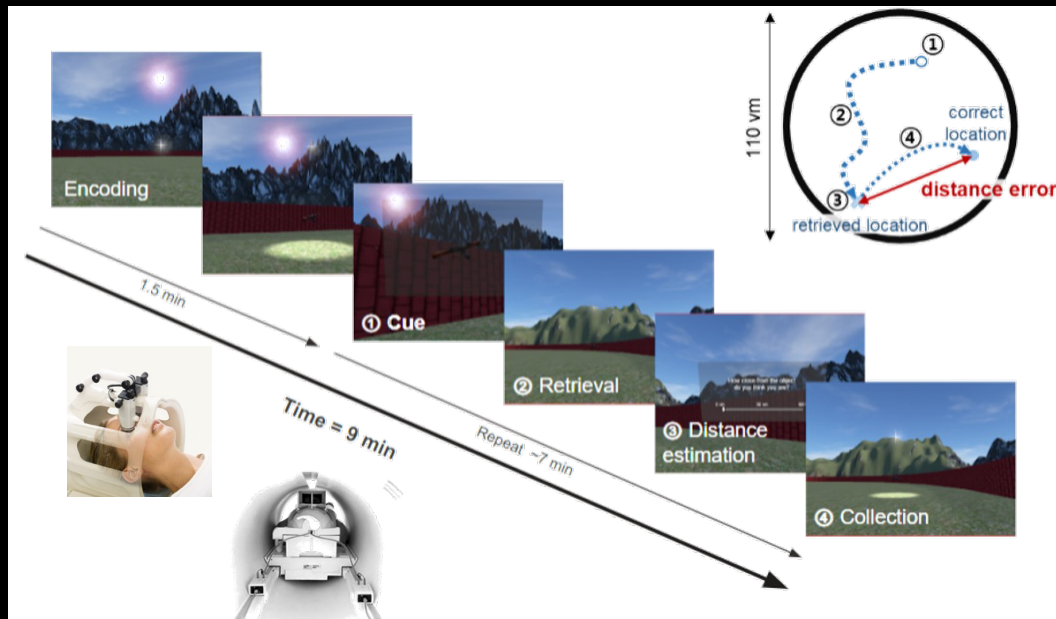


## • Grid cells in Entorhinal cortex (EC)

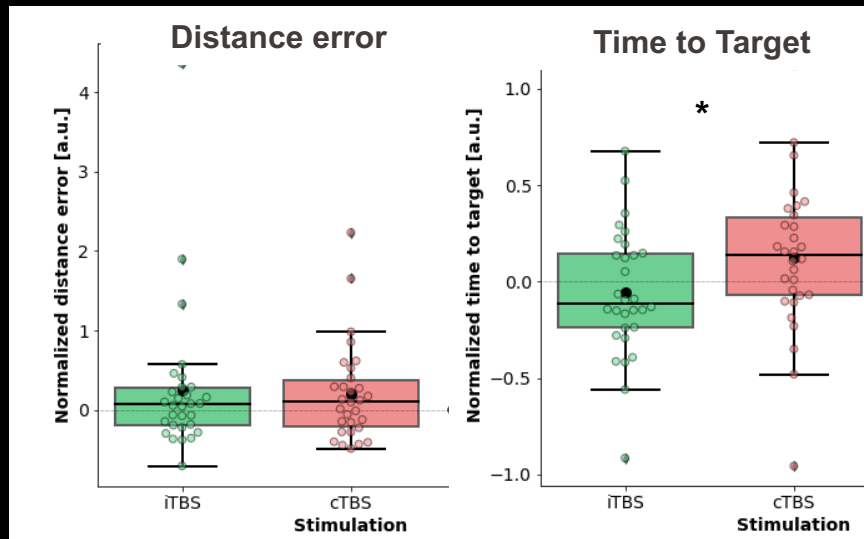


- allocentric spatial representation in the brain
- Spatial navigation
- Memory
- Alzheimer's disease (AD) /MCI

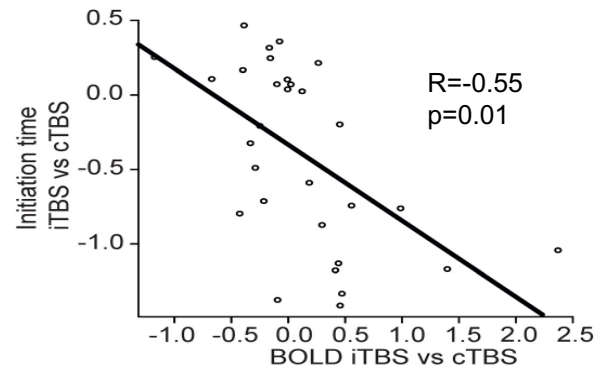
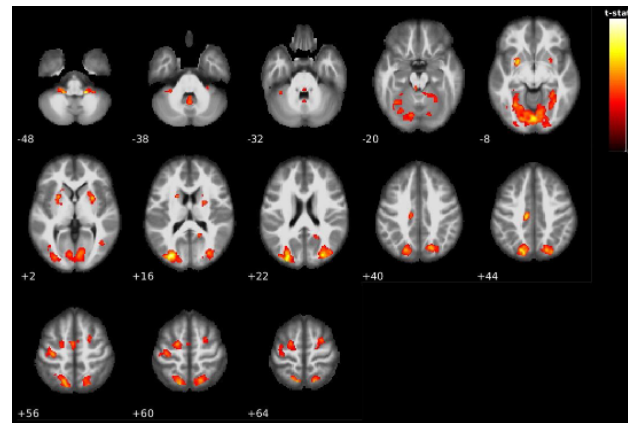
Vann et al., 2009; Moser et al. 2008; Byrne et al. 2007; Kunz et al., 2015



- N = 30 young healthy subjects
- Age  $23.6 \pm 4.07$
- Randomized, double-blind design
- 6 blocks of  $\sim 9.5$  min each
- A-B-C-C-B-A design
- Stimulation: **iTBS-TI** vs. **cTBS-TI** vs. **control** during encoding and retrieval phase
- Target: **right hippocampus**
- Instruction: “perform as **accurate** as possible”



The bigger the hippocampal activity during iTBS vs cTBS the faster subjects retrieve the information about where to go





- **Non-invasive focal deep** brain stimulation in humans is **possible** (Wessel, Beanato *et al.* 2023 Nature Neuroscience; Violante *et al.* 2023 Nature Neuroscience; Vassiliadis *et al.* acc Nature Hum Beh)
- **Good** safety profile (Vassiliadis *et al.* JNE 2024)
- Huge **potential** for **clinical** applications, as **deep brain structures** like the striatum (e.g., stroke recovery, addiction, apathy, movement disorders) or the hippocampus (e.g., dementia, epilepsy) play a **key role** in the **pathophysiology** of the disorder
- Allows to **extend** orchestrated neuromodulation to **subcortical-cortical** interactions

- Temporal Interference stimulation (**tTIS**) provides a **promising, disruptive** opportunity to neuromodulate **non-invasively deep** brain structures like the
  - striatum (e.g., Wessel, Beanato *et al.* 2023 Nature Neuroscience; Kwak *et al.* 2023 Brain Stimulation)
  - hippocampus (e.g., Violante *et al.* 2023 Nature Neuroscience; Grossman *et al.* 2017 Cell)with good focality-depth trade off in first proof-of-concepts
- Detailed simulations crucial for topographic specific application
- tTIS well perceived, good blinding, safe (Vassiliadis *et al.* submitted; Piao *et al.* 2022 Brain Sci)
- Open new **opportunities** to study **causal** relationships between deep brain structures and function in vivo in humans and to develop **novel non-invasive interventional strategies** for **neurological** and **psychiatric** disorders targeting deep brain structures causally involved in the pathophysiology
- Open questions, challenges
  - Personalized application
  - Higher topographic resolution
  - Understanding of underlying mechanisms
  - Closed-loop stimulation
  - Home-based self-application
  - Proof-of-concept in clinical populations



**Questions?**