

PSI

EPFL

# **Multidisciplinary approach to NPs characterization**

**Doctoral School, MSE-674**

**1<sup>st</sup> Edition**

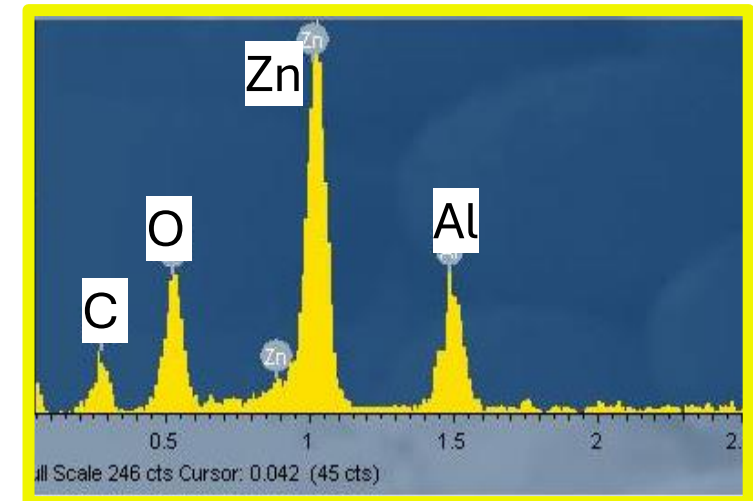
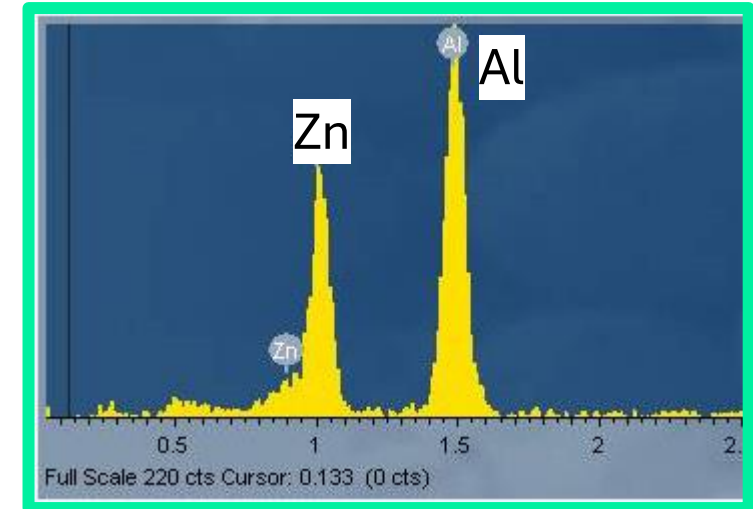
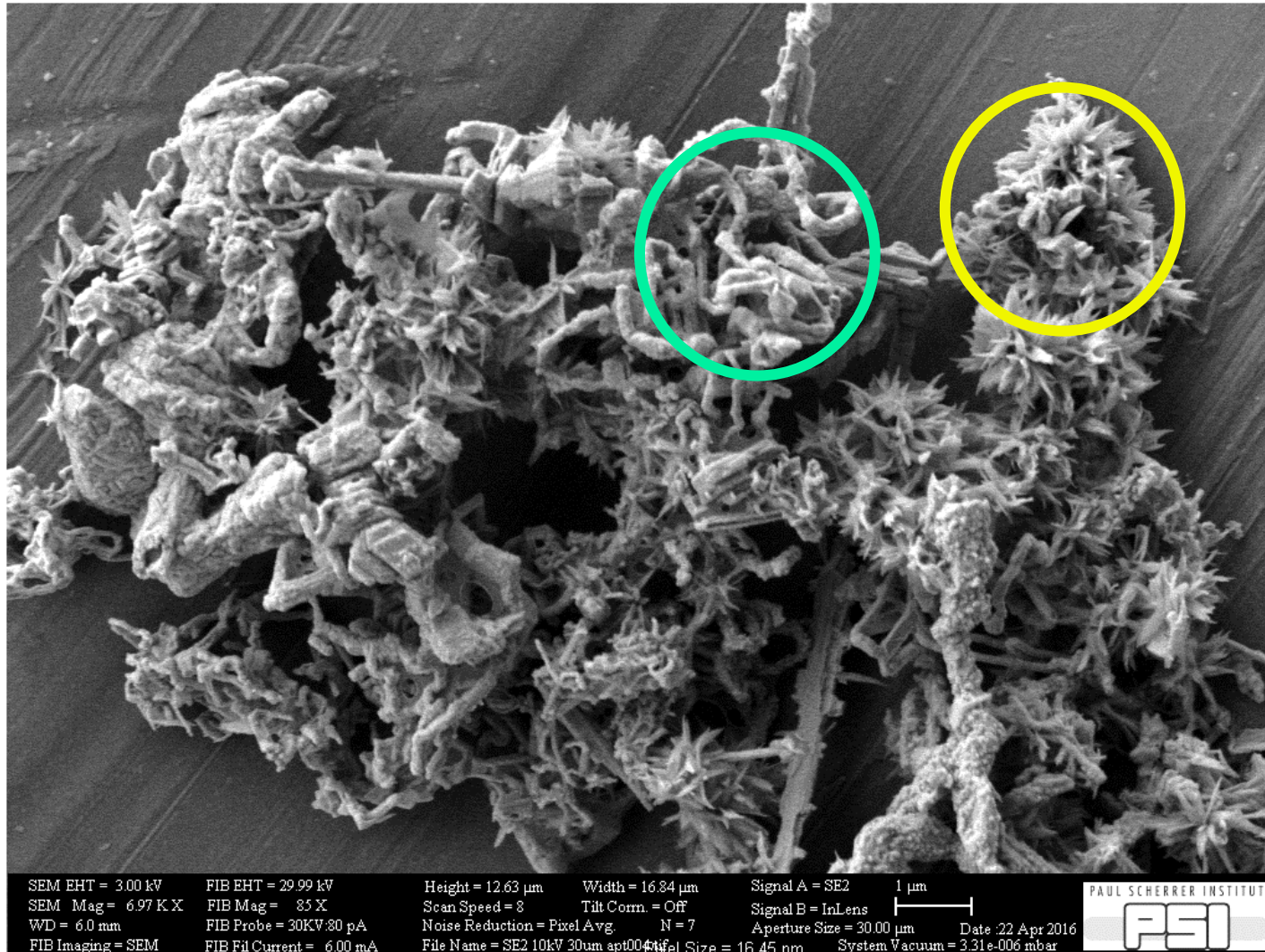
Casati Nicola, Lütz Bueno Viviane, Mueller Elisabeth, Testino Andrea  
PSI-Villigen, 7th-9th January 2025

# Agenda

- 1 Why Electron Microscopy
- 2 Intro
- 3 SEM Basics
- 4 (S)TEM Basics
- 5 Analytics
- 6 Special Examples



# Zn crystals



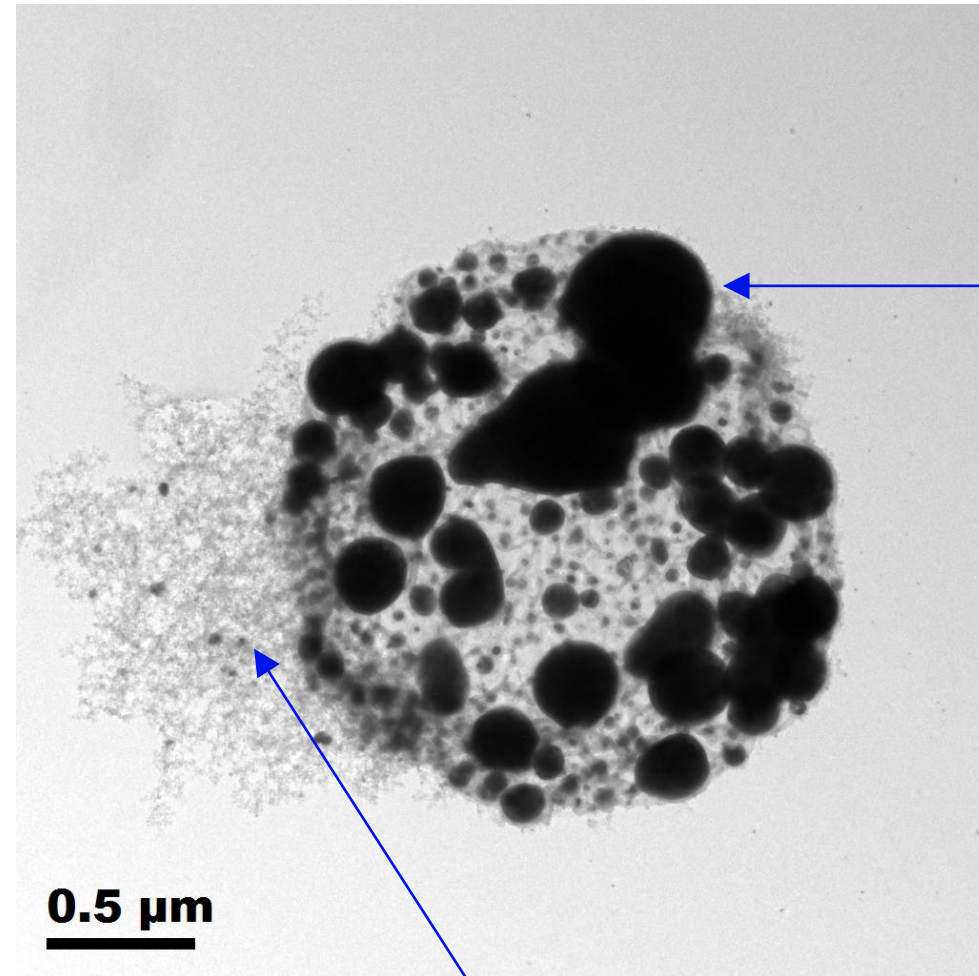
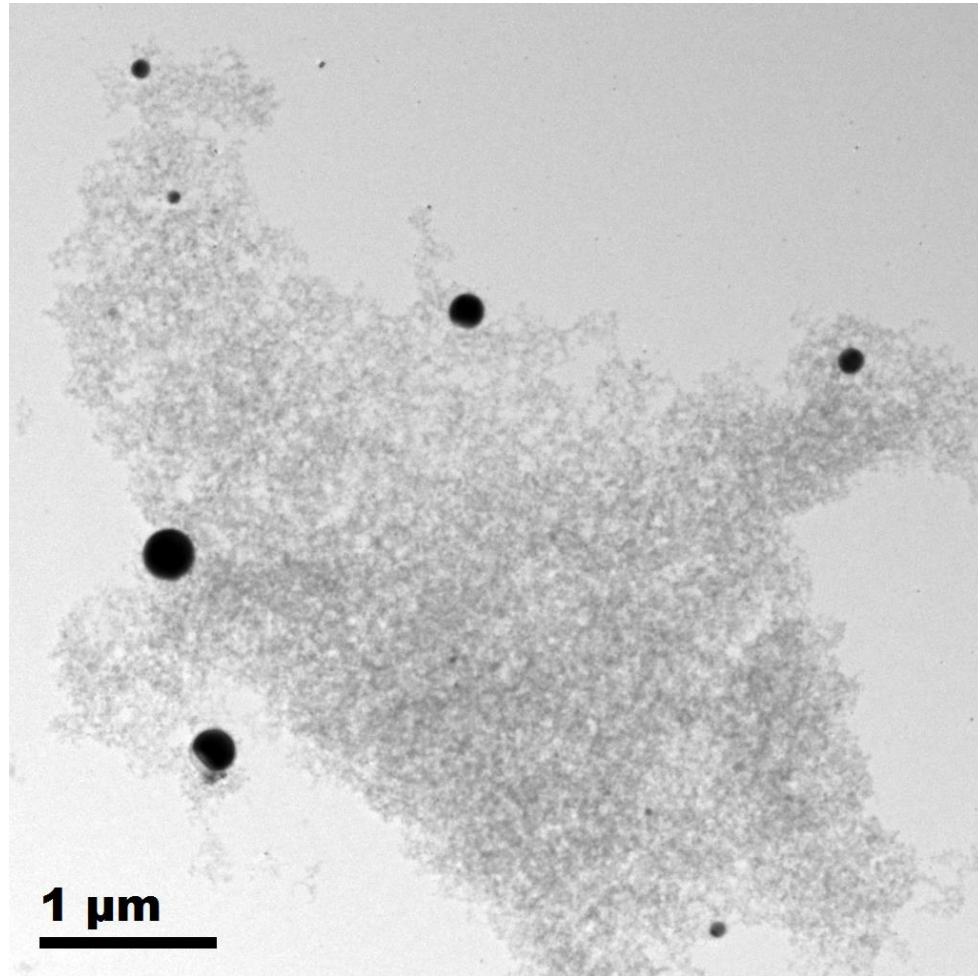


## Ag-nanoparticles as anti-bacteriocides In sports and medical cloths

**Information from provider:**  
Size of Ag-nanoparticles from XRD: 20-40nm



From normal TEM images: few particles 200-1000nm, some 20-50nm

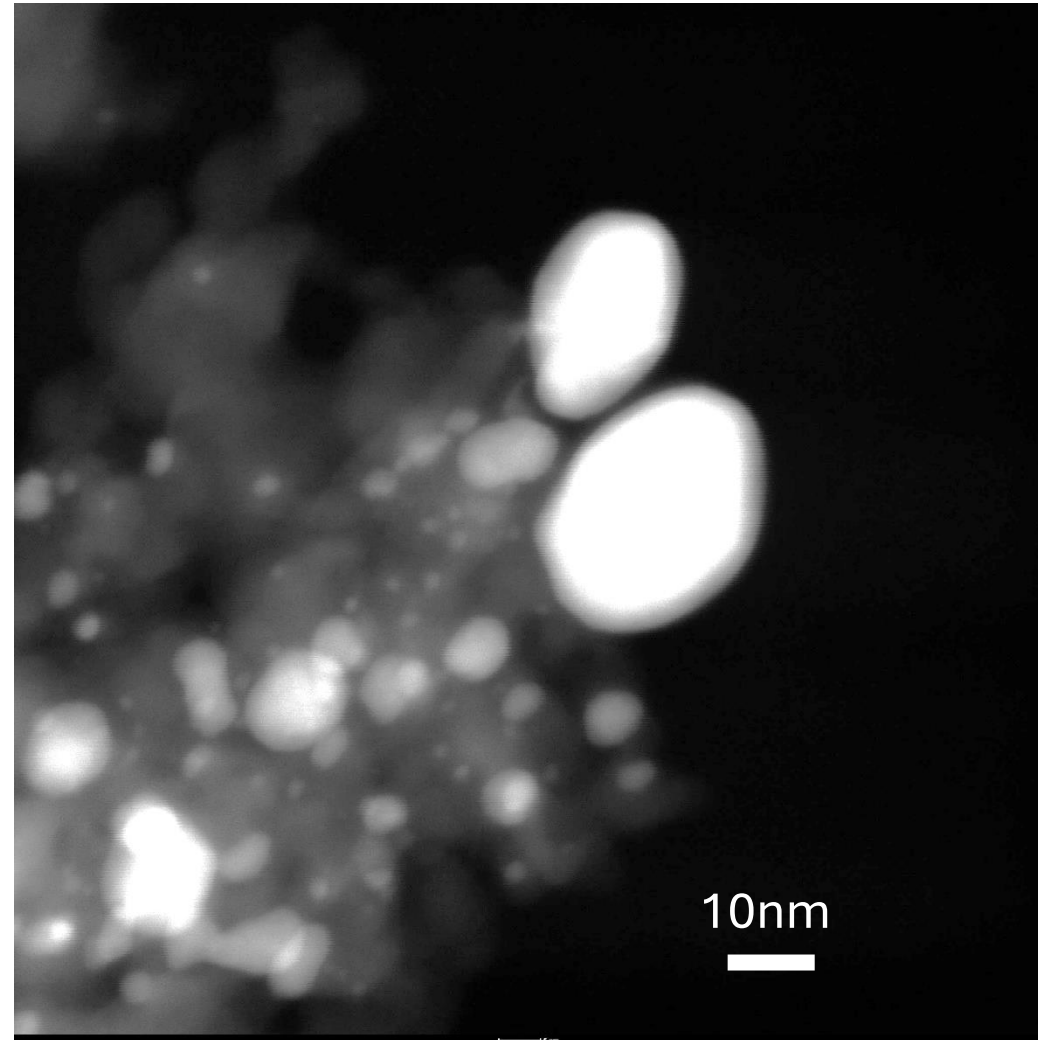
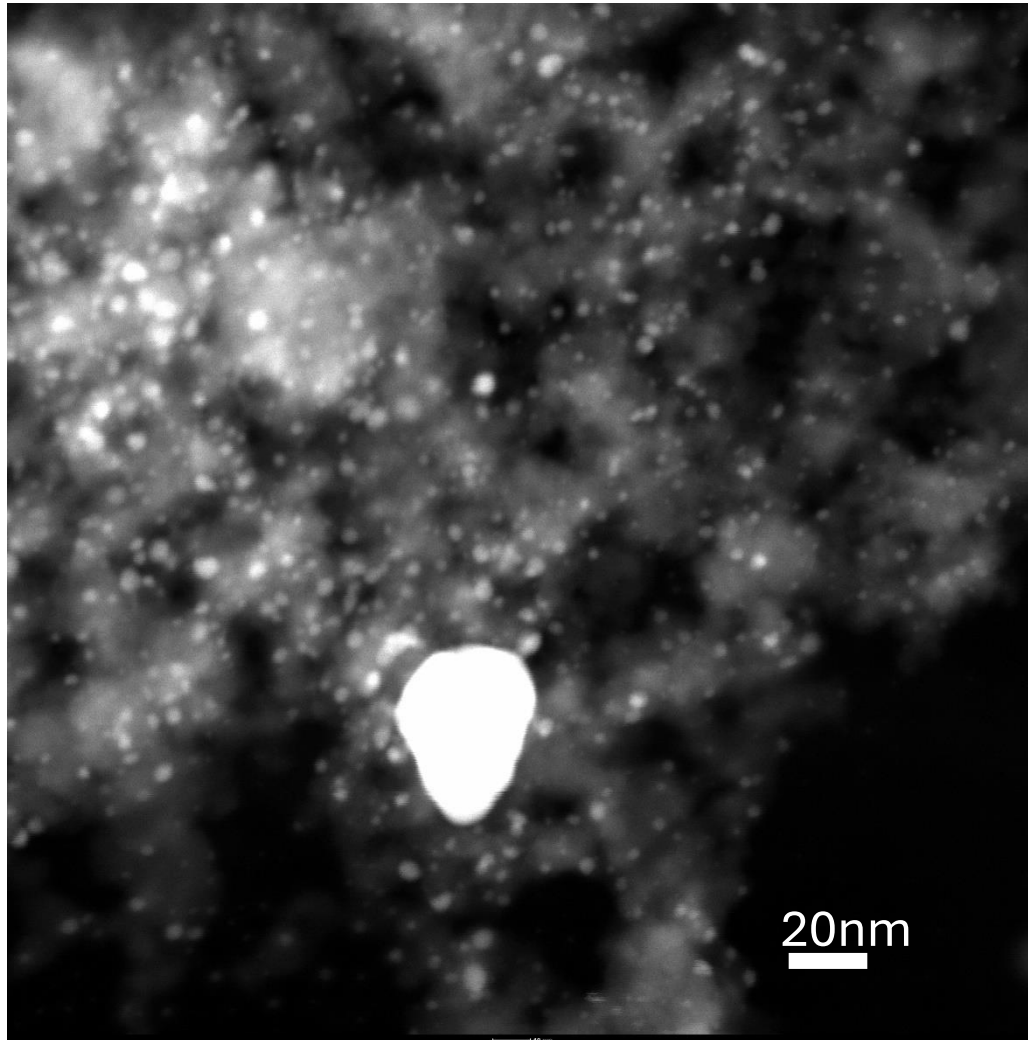


500nm

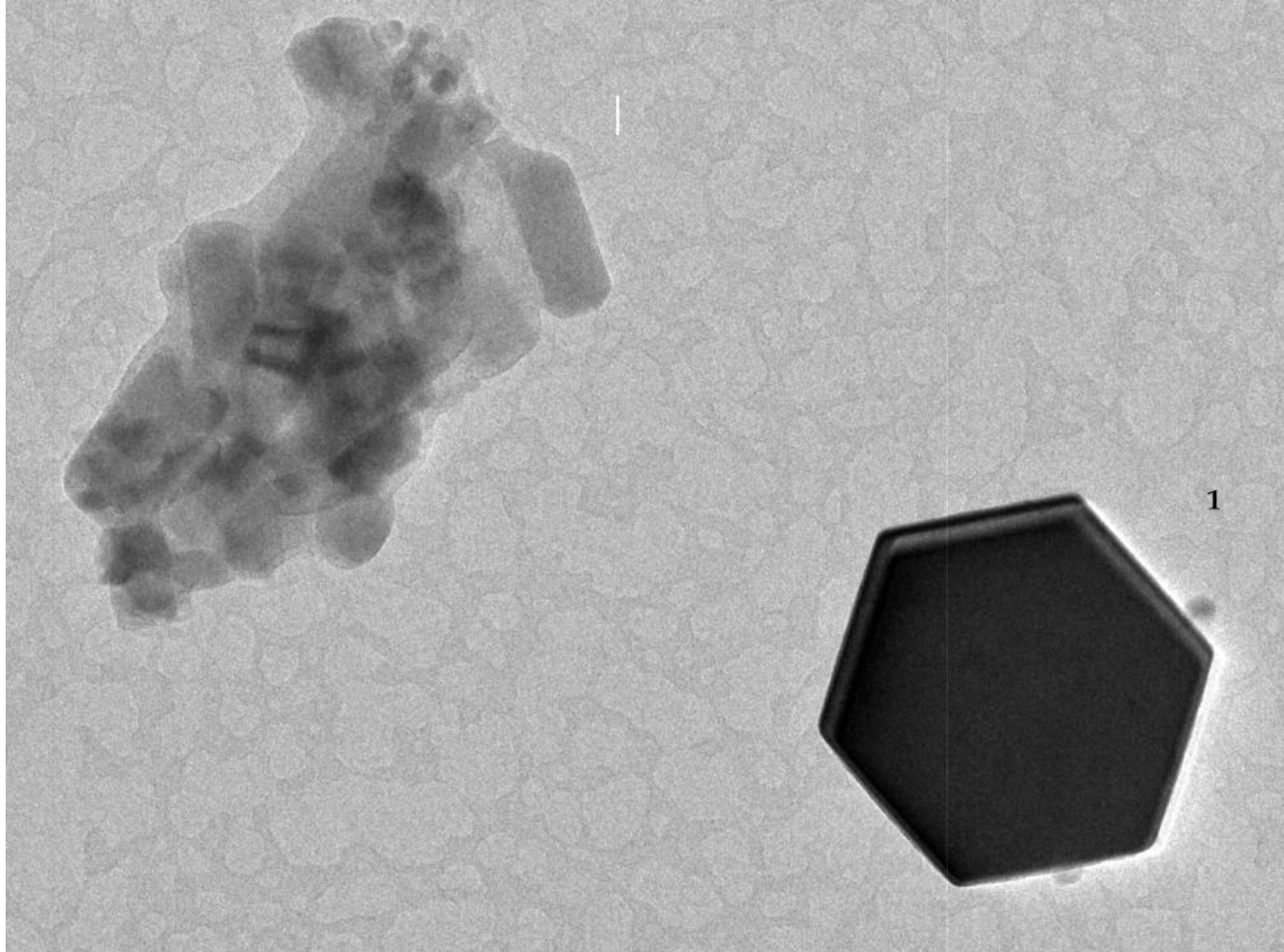
20nm

# Ag nPs

From HAADF STEM images: few particles 200-1000nm, some 20-50nm, most <5nm



# Nanoparticles: crystallites of different shapes/habit



These particles may have the same size, but is the crystal structure the same?

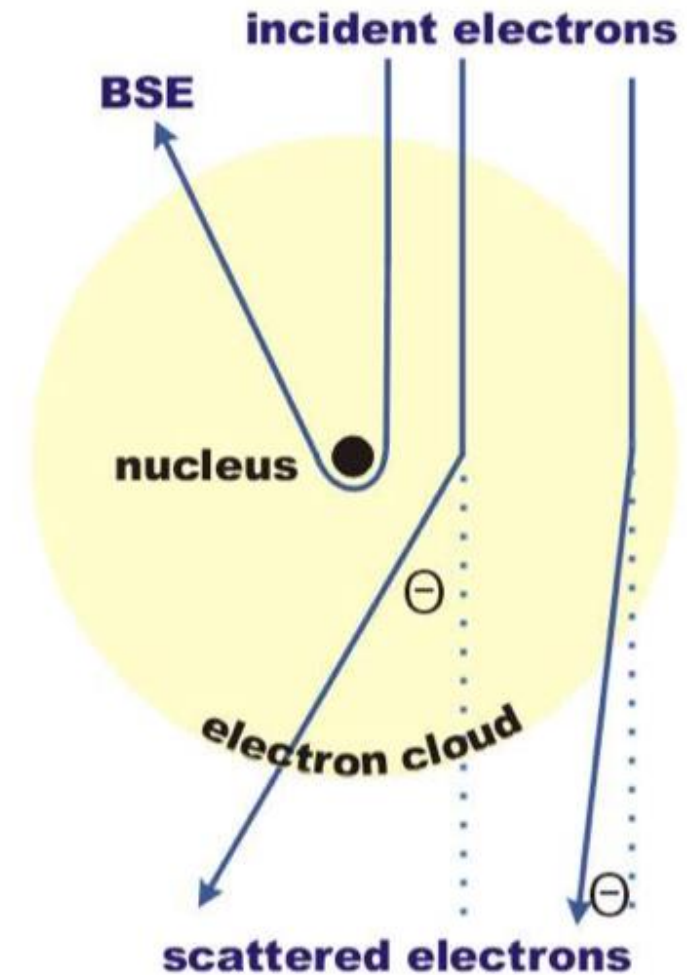


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## Scattering of an electron

- Electrons scatter **elastically** at the positive charge of the **atom core**
- Electron scatter **inelastically** at the **electron shell** of an atom within the sample



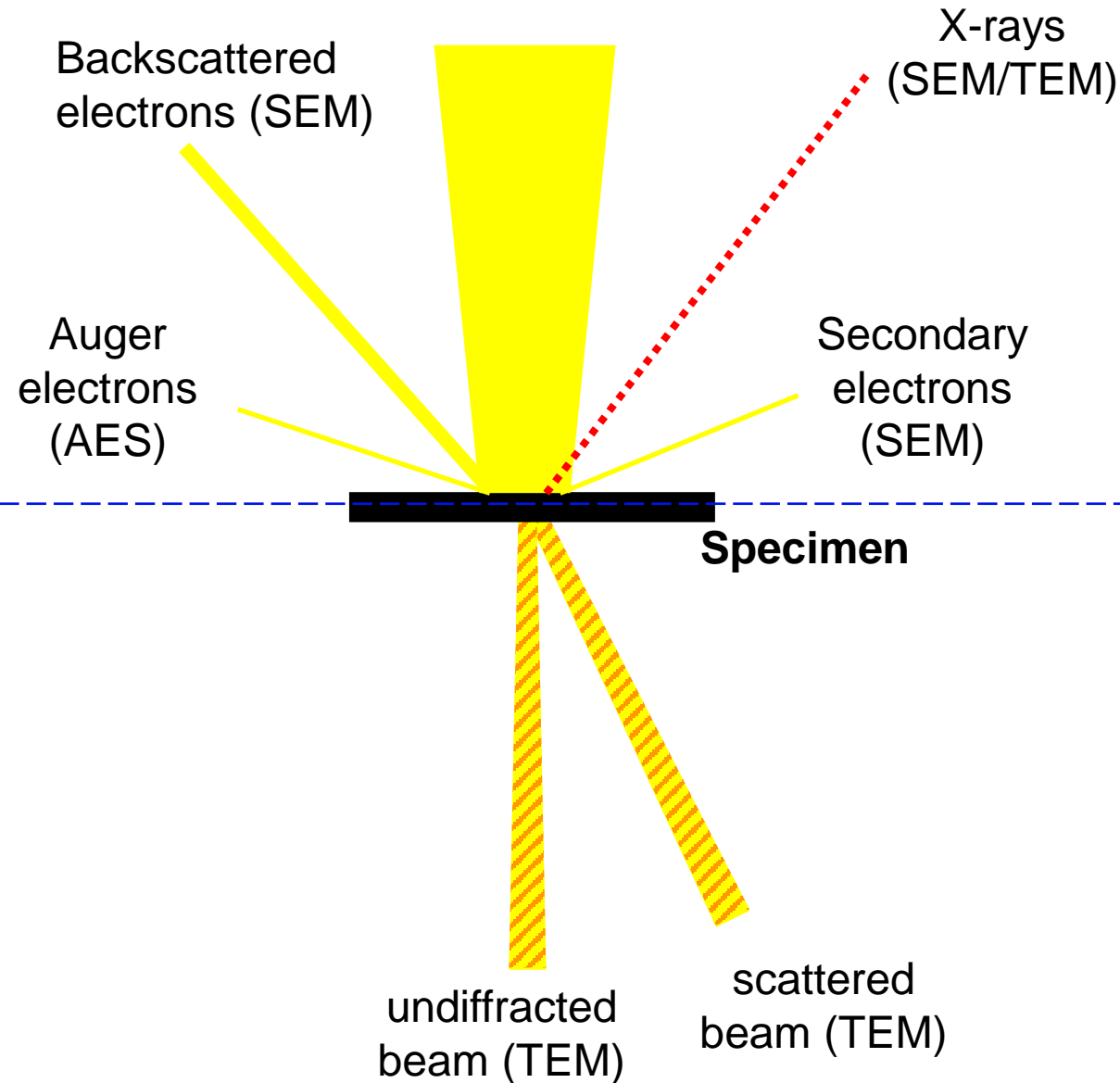
# Interaction of Electrons with Matter

## SEM:

imaging

## X-rays:

XEDS (EDX, EDS),  
WDS, EPMA



## TEM:

**elastic scattering:**  
TEM, HRTEM, STEM,  
SAED, CBED

**inelastic scattering:**  
EELS, ESI

**X-rays:**  
XEDS (EDX, EDS)





## Light Microscope

### **Opaque specimen:**

reflected-light microscope

- illumination from above
- surface = ?

### **Transparent specimen:**

transmitted-light microscope

- illumination from below
- bulk structure = ?

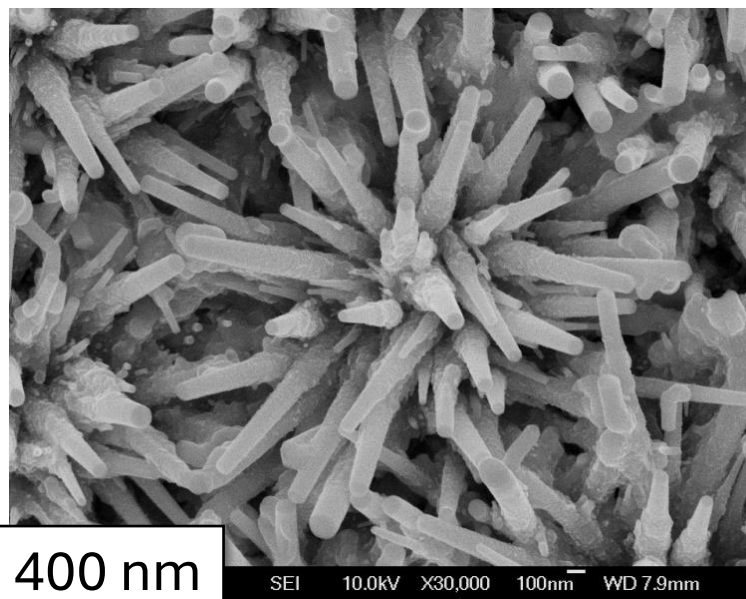
## Electron Microscope

### **Scanning Electron Microscope**

### **Transmission Electron Microscope**

# Scanning Electron Microscope

Focused beam scanned over the sample ; detection in **reflection** (serial acquisition)



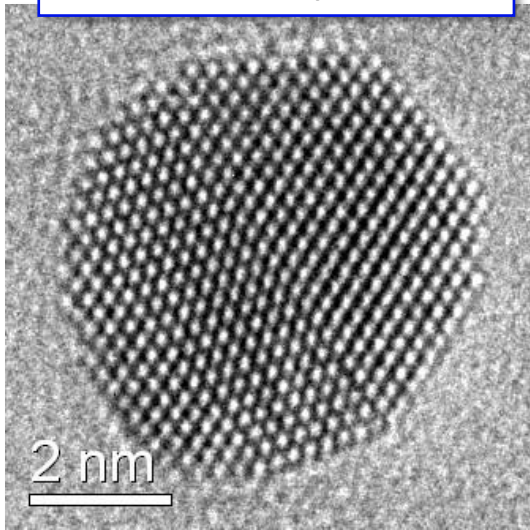
JEOL 6700F Scanning Electron Microscope  
1.0 nm **resolution** @ 15 kV  
2.2 nm resolution @ 1 kV  
**Magnification**: 25x to 650'000x  
Robinson Backscatter Electron Detector  
EDAX **X-ray Micro Analysis** System



# Transmission Electron Microscope

Parallel or scanning  
beam illumination;  
detection in **transmission**  
(parallel/serial  
acquisition)

Gold Nanoparticle



FEI Tecnai F-30  
Field emission gun  
Acceleration voltage: 300 kV  
**Point resolution:** 0.18 nm  
Information limit: 0.12 nm  
**Magnification:** 50x to 10'000'000x  
**Imaging filter** (GIF), **EDX system**  
2 slow-scan CCD cameras (1k x 1k)  
1 TV-rate CCD camera  
Stages: heating, cooling,  
tomography, in situ etc.



# Wave Lengths of Electrons

## Accelerating voltages:

SEM 0.1 – 40 kV

TEM 30 – 1'000kV

## Atomic distances:

~ 0.1 nm

## Compare with:

1 keV Soft X-rays →  $\lambda \sim 10 \text{ \AA}$

100 keV Hard X-rays →  $\lambda \sim 0.1 \text{ \AA}$

34 meV Neutrons →  $\lambda \sim 1.5 \text{ \AA}$

$V_{\text{acc}} / \text{kV}$	Nonrelativistic wavelength [nm]	Relativistic wavelength [nm]	Effective resolution [nm]
1	0.0388	0.0388	~ 1.2
30	0.00706	0.00697	~ 0.6
100	0.00386	0.00370	~ 0.3 / 0.11
300	0.00223	0.00197	~ 0.18 / 0.05
1000	0.00124	0.00087	~ 0.1

SEM

TEM

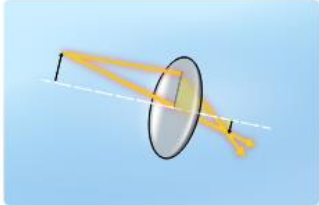
(With spherical aberration corrector)


Good intro to many techniques: <https://myscope.training>


**MYScope**  
MICROSCOPY TRAINING


MICROSCOPY AUSTRALIA


TOPICS


  
Microscopy Basics

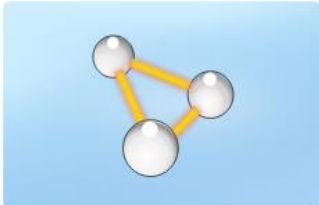
  
Scanning Electron Microscopy

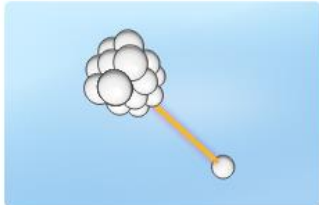
  
Transmission Electron Microscopy

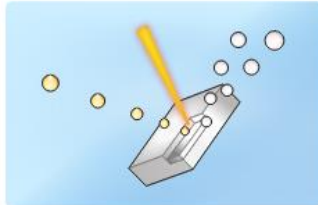
  
X-ray Diffraction

  
Scanning Probe & Atomic Force Microscopy

  
Light & Fluorescence Microscopy




  
Energy Dispersive Spectroscopy




  
Atom Probe Tomography




  
Focused Ion Beam

ACKNOWLEDGMENTS

Microscopy Australia Facilities



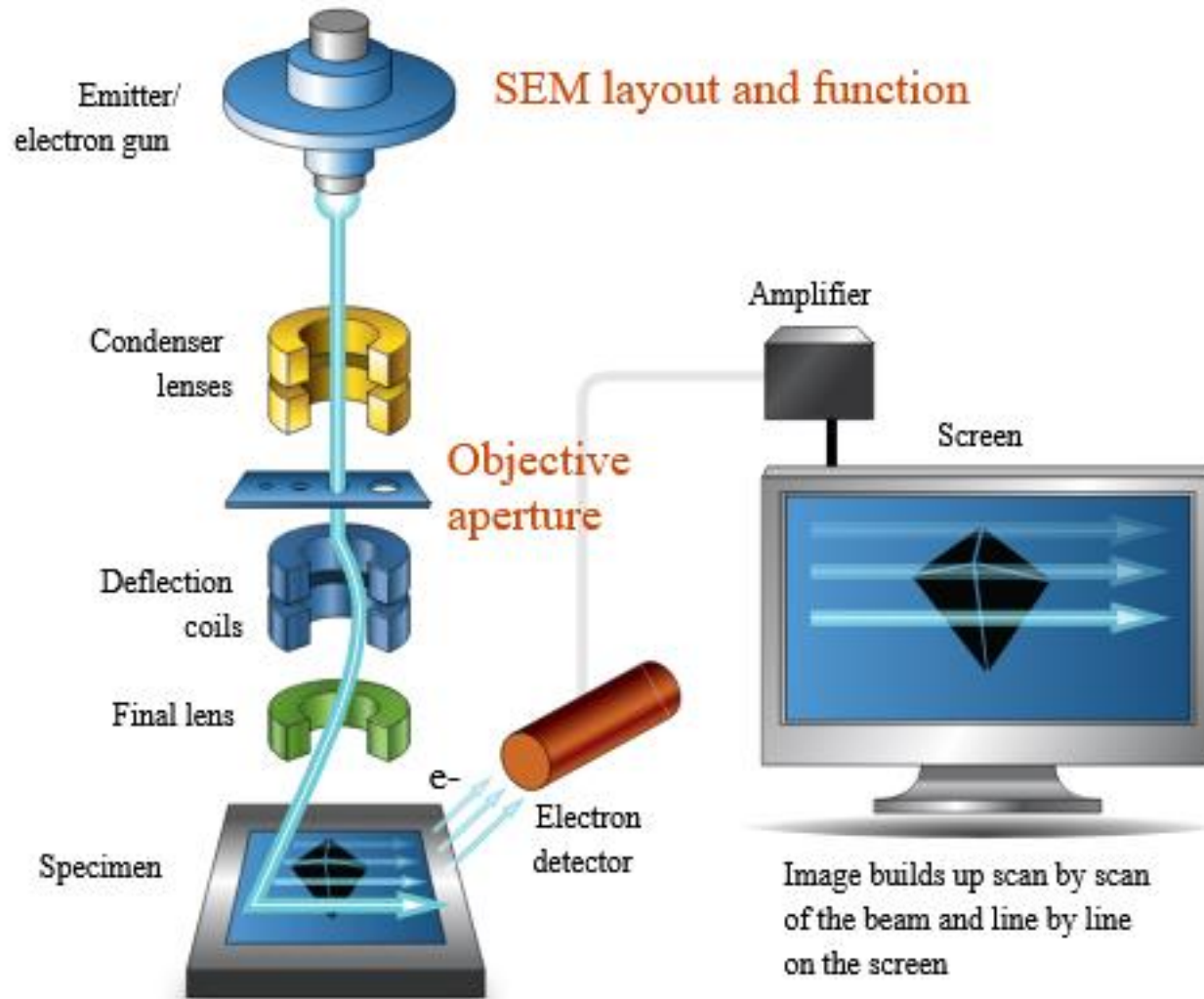




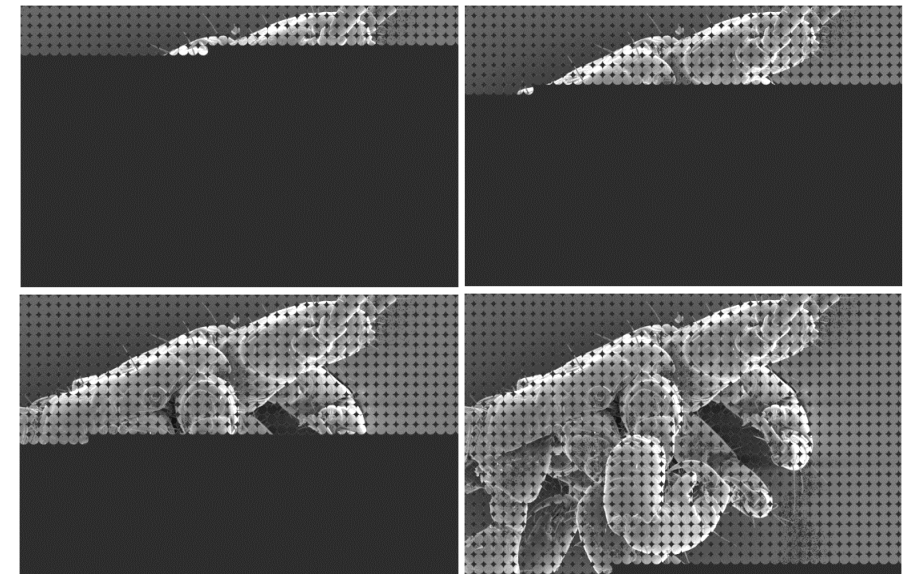
- 1 Why Electron Microscopy
- 2 Intro
- 3 SEM Basics**
  - principles
  - main signals
  - effect of beam energy and sample material
  - detection
- 4 (S)TEM Basics
- 5 Analytics
- 6 Special Examples



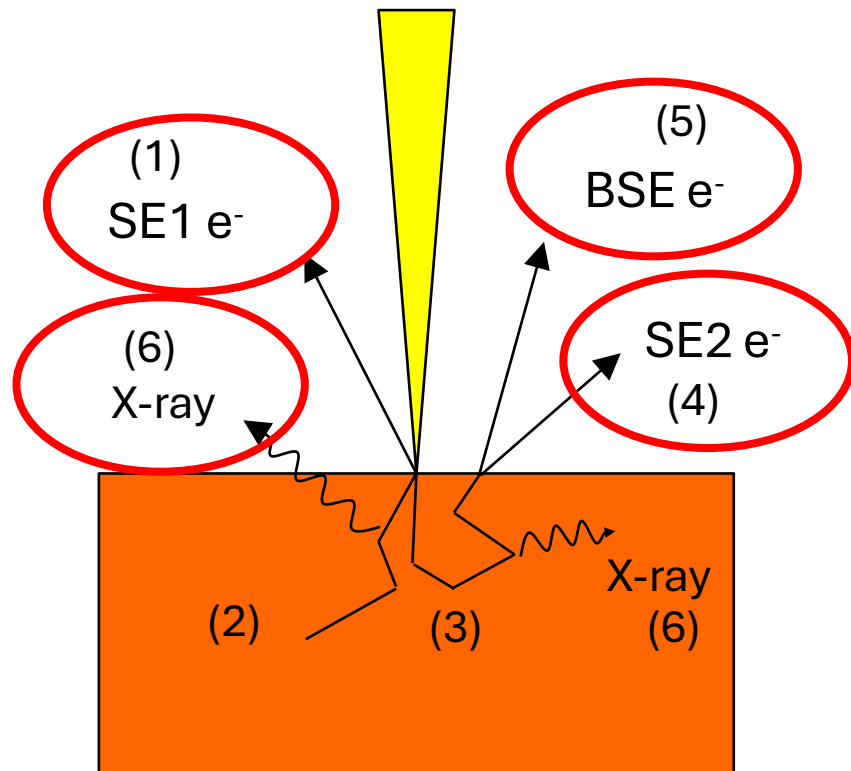
# SEM (scanning electron microscope)



- Vacuum system
- Electron source demagnified by electromagnetic lenses and focused onto specimen
- Beam scanned over specimen area
- Signal detected per scan position (serial acquisition)
- Magnification defined by size of scanned area



## Main signals



Typical interactions with the sample and signals emitted from the specimen:

1. secondary electrons emitted due to incident electron (SE1)
2. (absorbed electrons)
3. elastically and inelastically scattered electrons
4. secondary electrons emitted due to BSE (SE2)
5. backscatter electrons (BSE)
6. X-rays due to (in)elastic scattering of the beam electron

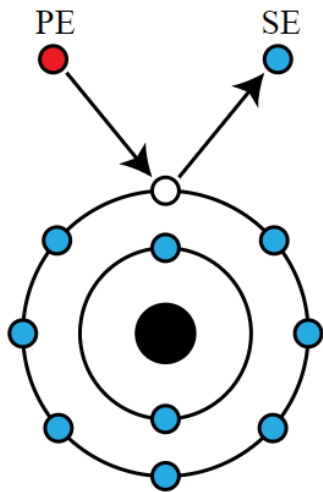
additional signals:

1. heat
2. sound
3. Auger electrons
4. cathodoluminescence
5. fluorescence X-rays

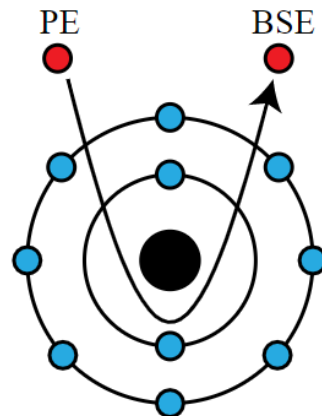
# Typical usage of an SEM

- Acceleration voltage: 0.2-30 kV
- Magnification 30x - 500'000x
- Field Emission Electron Source Microscope: point resolution ~ 1 nm

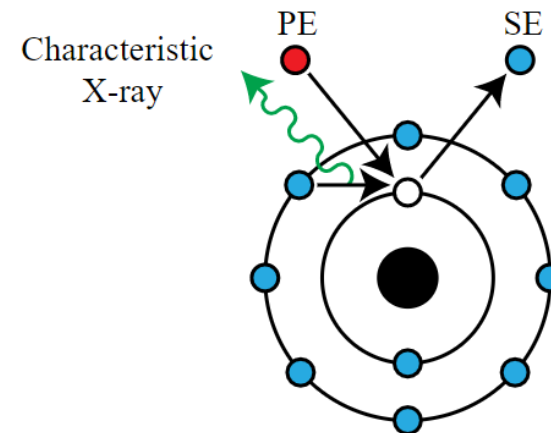
- **Frequently measured signals:**



**Secondary electrons**

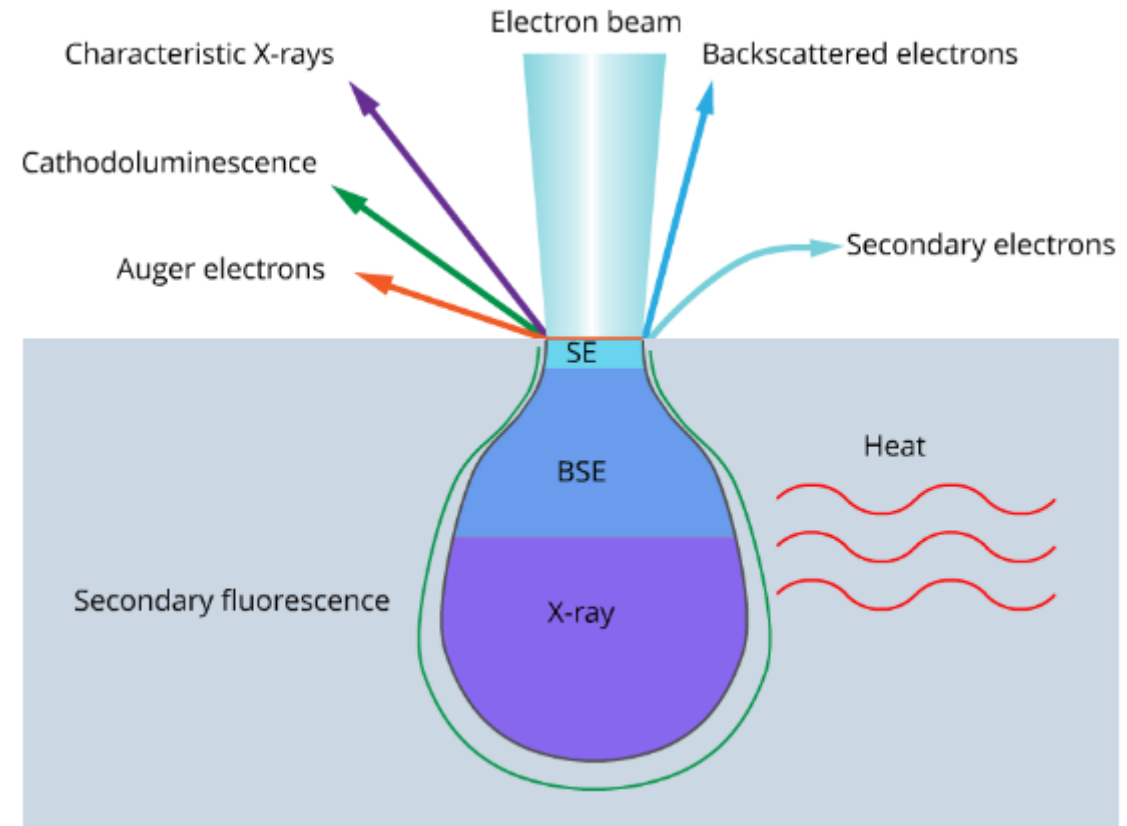
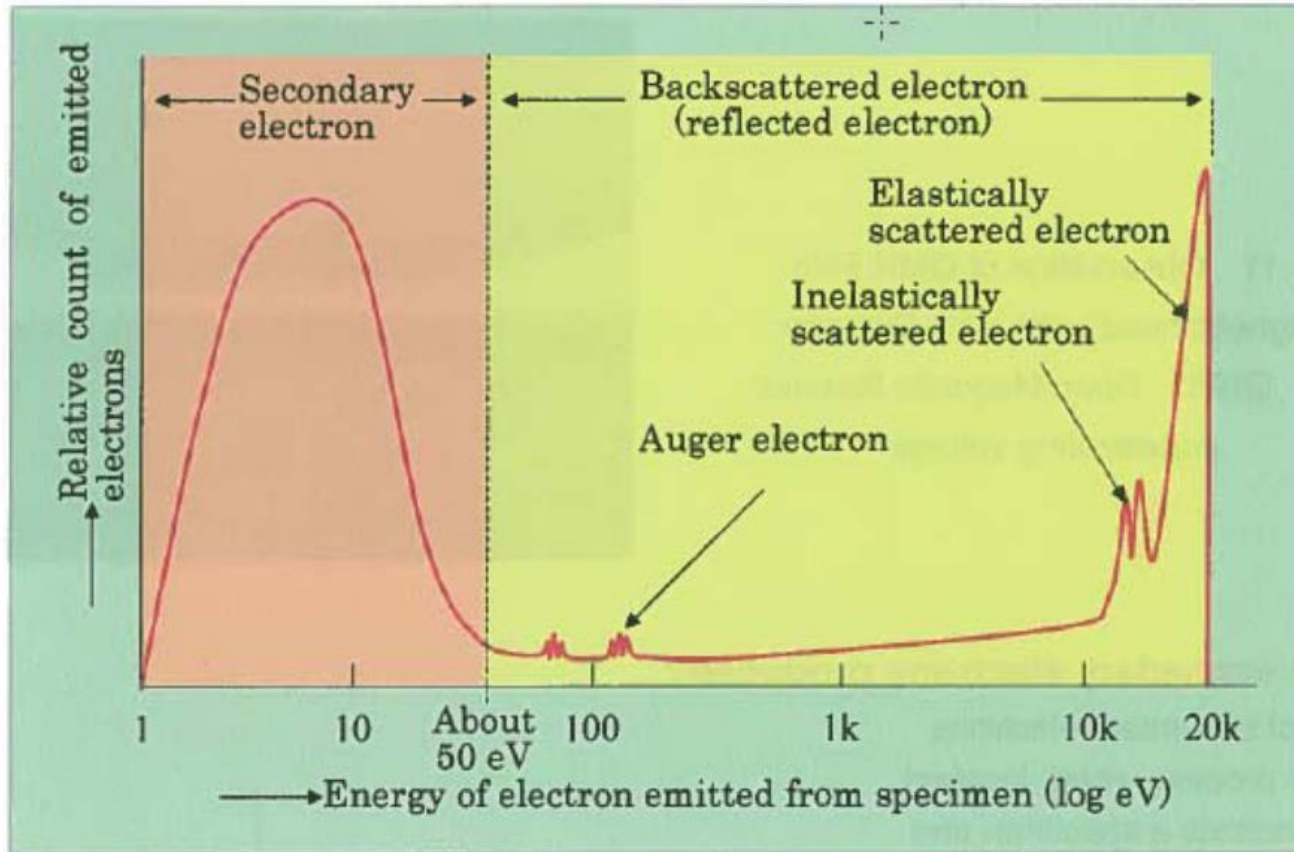


**Back scattered electrons**



**Characteristic  
X-rays**

# Energy and intensity of emitted electrons



electron yield as a function of the energy of the emitted electron

SE: from few nm depth  
BSE: up to few  $\mu\text{m}$ ,  $f(Z)$   
X-rays: several  $\mu\text{m}$

<http://www.engr.uvic.ca/~mech580/electron-microscopy/Introduction%20SEM.pdf>

[https://www.epfl.ch/research/facilities/cime/wp-content/uploads/2019/11/SEM\\_Fall2019.pdf](https://www.epfl.ch/research/facilities/cime/wp-content/uploads/2019/11/SEM_Fall2019.pdf)



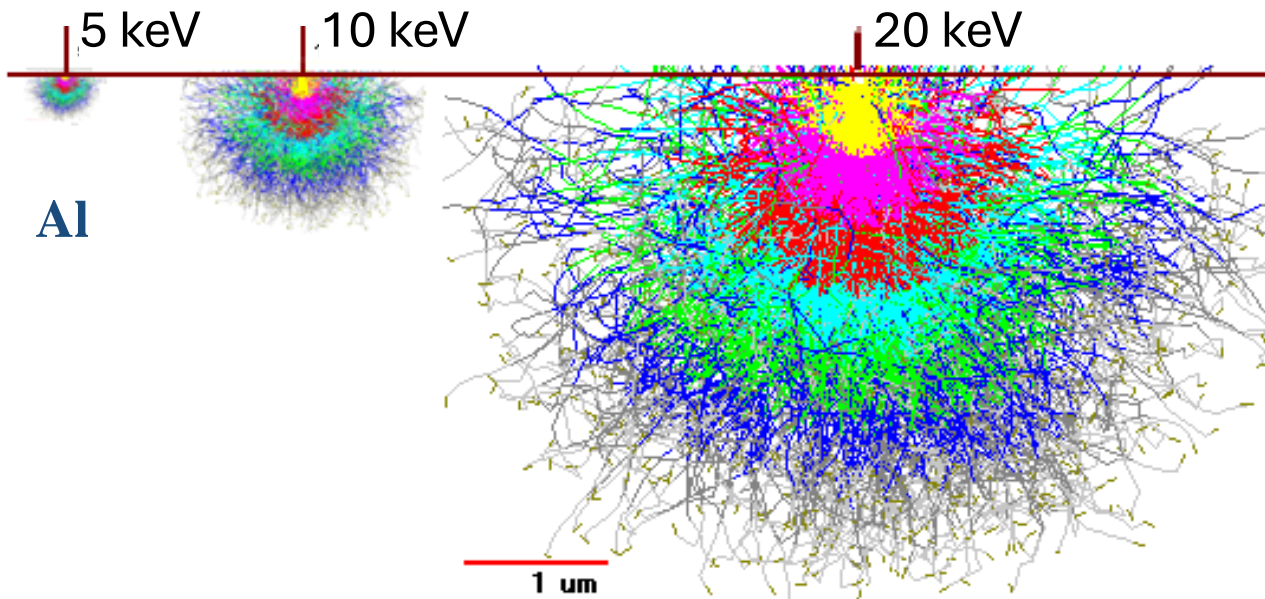
## Backscattered electrons

- Energy of backscattered electrons up to energy of the incident electrons
- 20-40% of electrons backscattered with 50-60% of the energy
- Originate from a large sample volume → low spatial resolution

## Secondary electrons

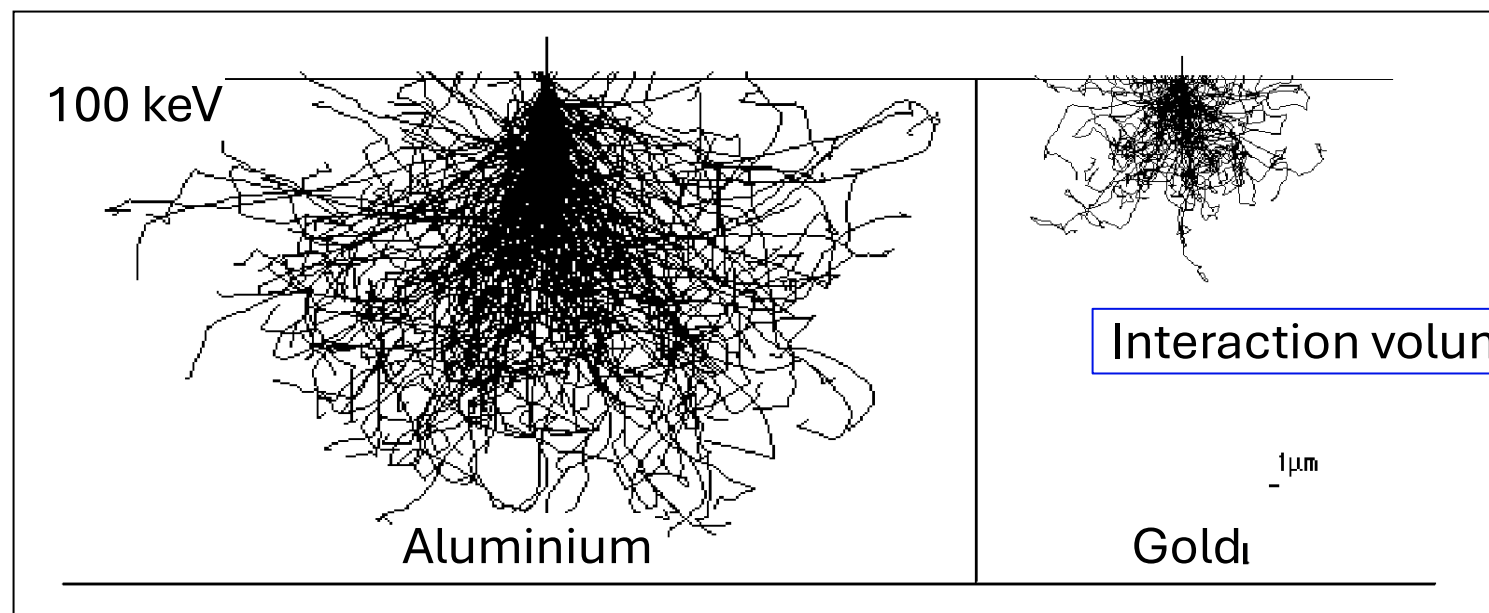
- From inelastic scattering processes
- Transfer of energy to weakly bound electrons in outer atomic shells
- Low energy electrons ( $\leq 50$  eV) independent of excitation voltage
- Can only pass through a few nm's  
→ come from surface region referred to as escape region
- SE1 electrons: high spatial resolution; SE2 electrons not: watermark of BSE!

# Interaction volume as a function of voltage and atomic species



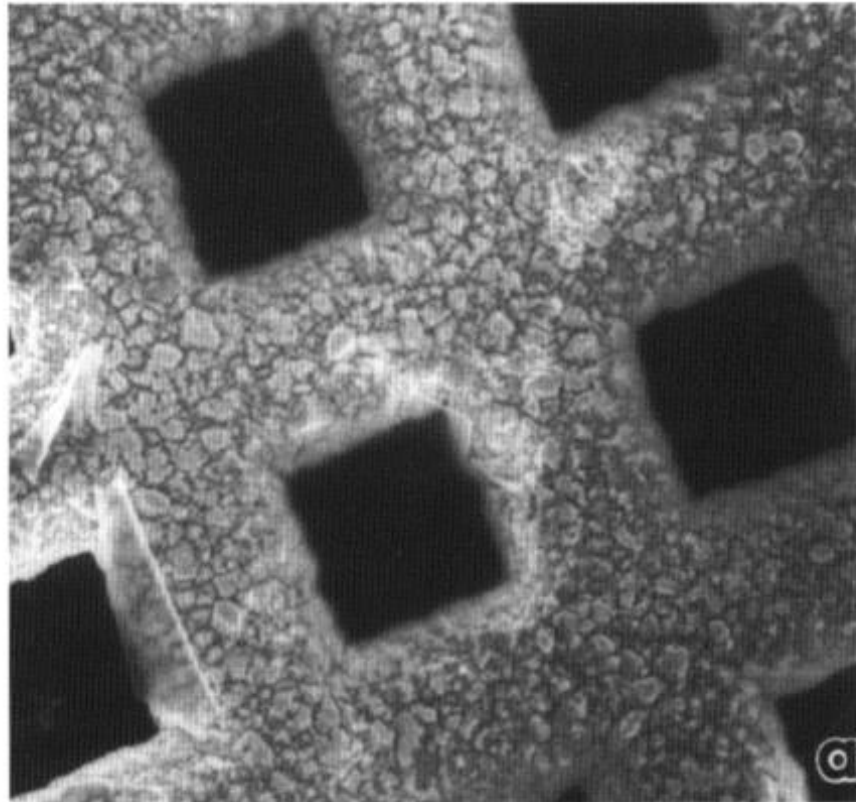
Slide by N. Zaluzec  
DC Joy's Monte Carlo  
Simulation Program

$$\text{Interaction volume} = f(E_0)$$



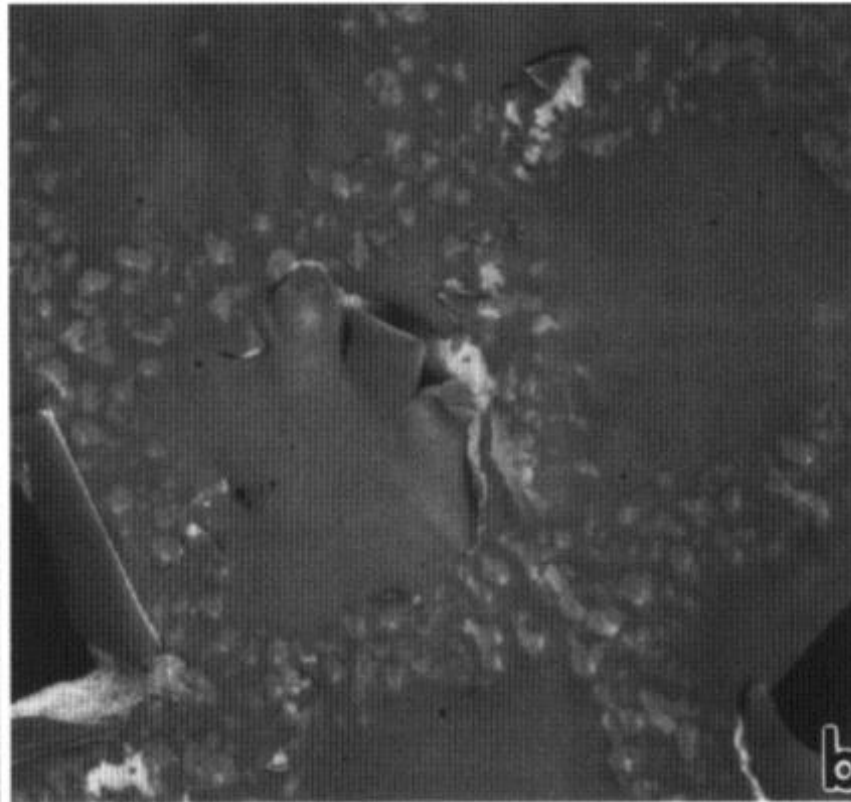
$$\text{Interaction volume} = f(Z)$$

# Effect of accelerating voltage on penetration depth and signal



20kV

Carbon foil on top of a copper grid



2kV

SE image of thin  
C-film on Cu-grid

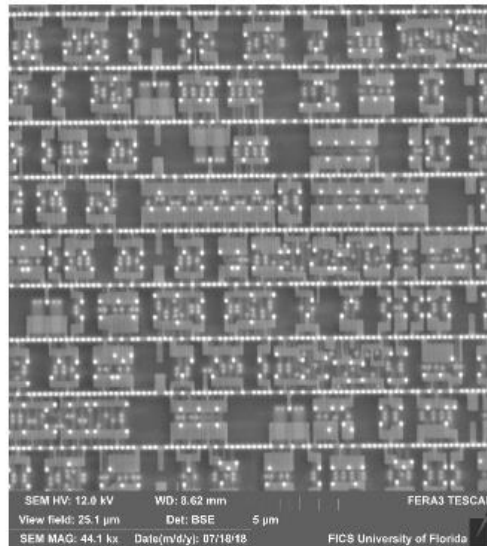
- Strong penetration
- Cu grid under C-film visible through electron backscattering, structure of C-film hidden

- Low penetration through C-grid, most signal produced in the C-film.
- C-film and its defects become visible

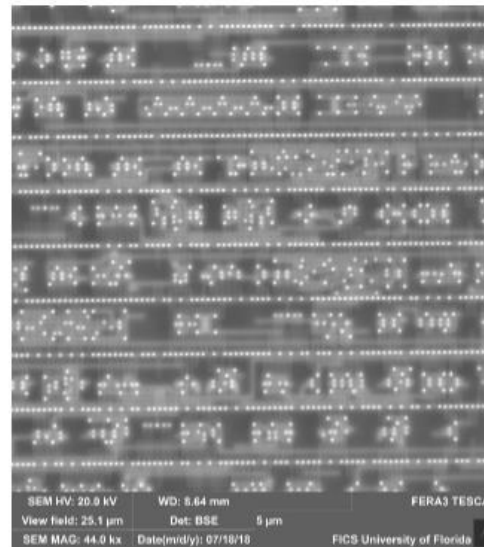
# Effect of Beam Energy on SE Imaging



5keV



12keV



20keV



30keV

<https://faculty.eng.ufl.edu/navid-asadi/wp-content/uploads/sites/84/2021/09/lecture-3-SEM-Principle.pdf>

Higher voltage;

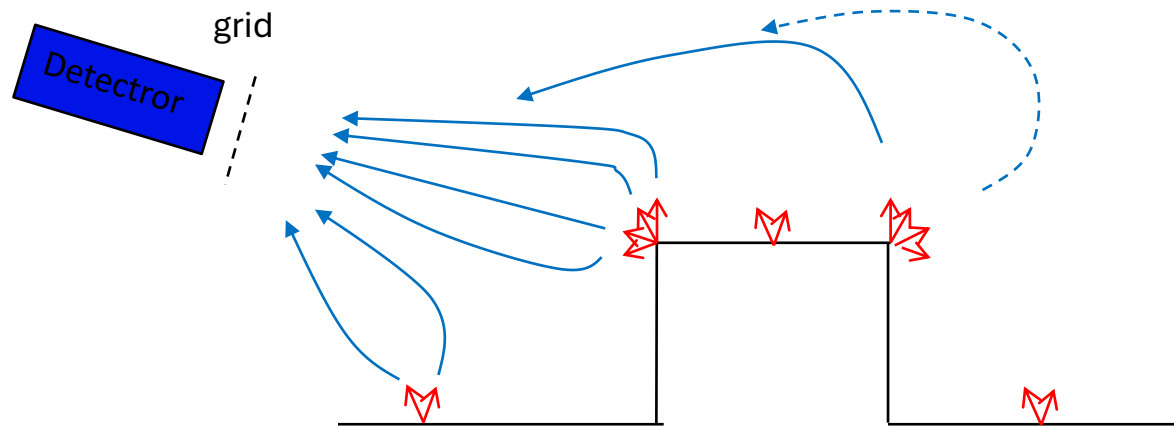
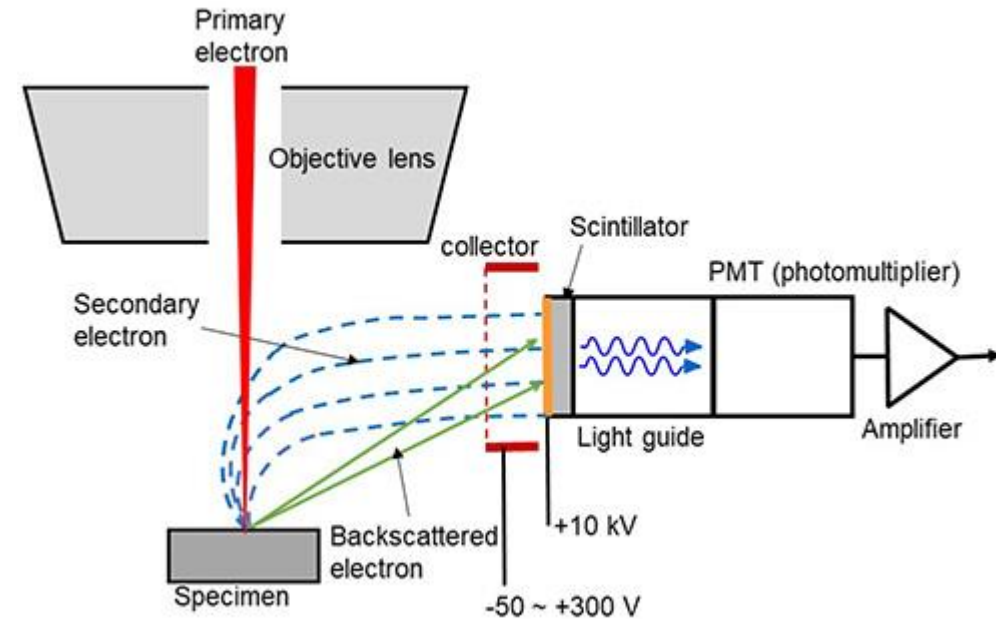
- Less SE1
- More SE2 carrying the watermark from BSE caused by high Z species



# Detection: off-axis detectors

## Principle of Everhart-Thornley detector

- Positioned at the side
- Secondary electrons attracted by positive voltage
- Further accelerated for interaction with scintillator
- Enhanced by a photomultiplier

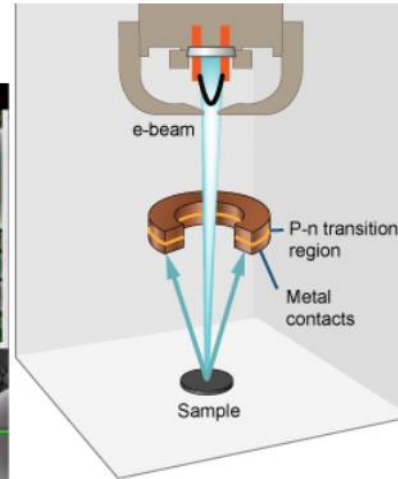
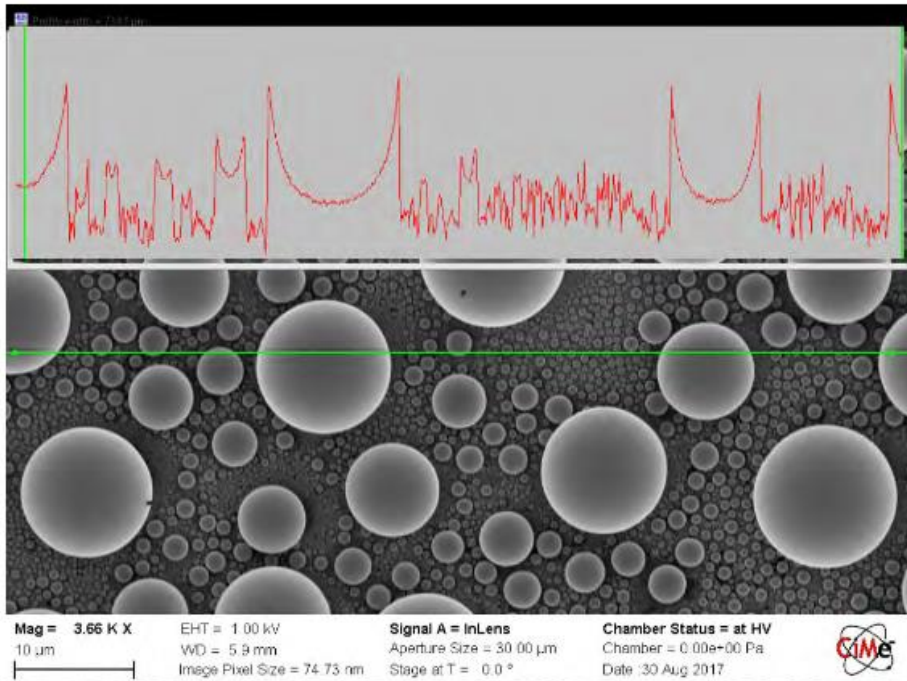


## 3d effect:

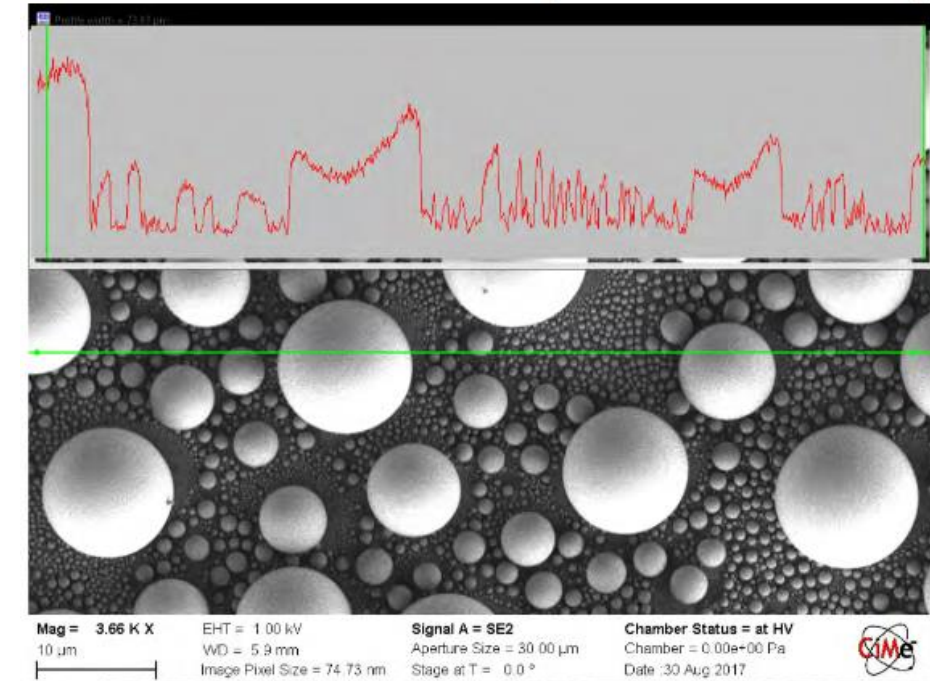
- Edge-effect: at edge, more electrons can escape
- Shadow-effect: higher probability for electrons to reach the detector from sample side facing towards the detector

# Detection: on-axis detectors

## In-lens SE detector

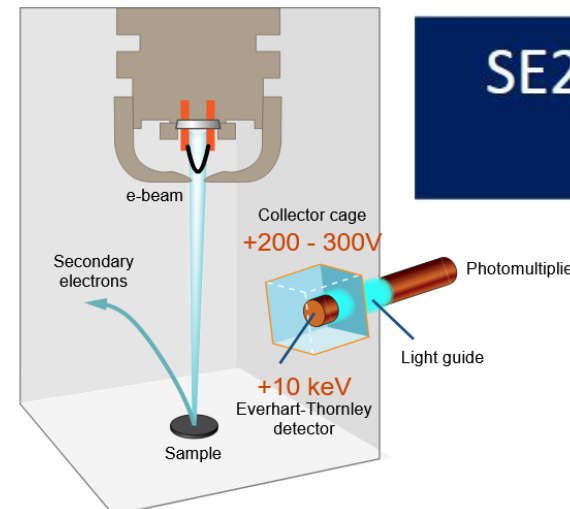


## Everhart Thornley detector



In-lens SE Detector located directly above and centered

(In-lens BSE detector is analogous)



SE2 Detector located on the lower right

# Critical aspects for nanoparticles

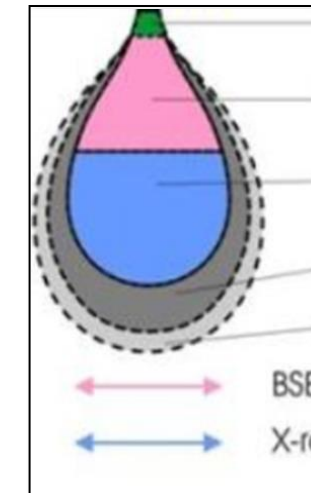
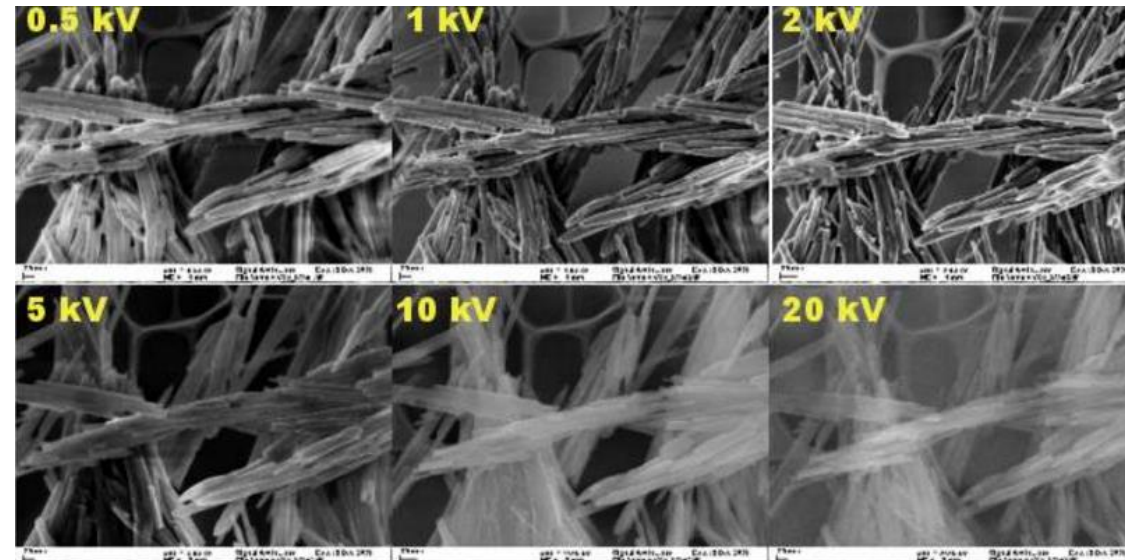
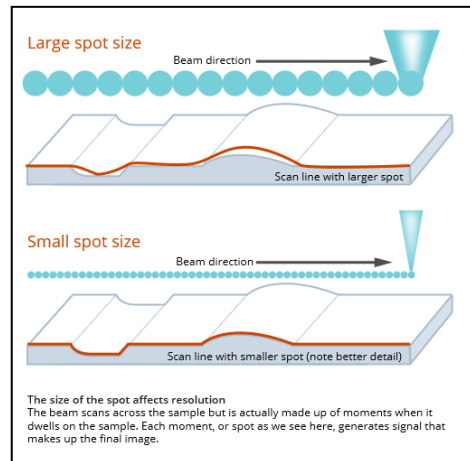
The spatial resolution of the SEM depends on:

- **Size of the electron spot** = function of **wavelength of the electrons** and **the electron-optical system**.
- more importantly: **size of the interaction volume**.
- spot size and the interaction volume are large compared to the distances between atoms!

→ **Resolution of SEM not high enough to image individual atoms.**

Interaction volume:

Spot size:



SE1  
BSE  
Characteristic X-rays

# Requirements for sample material

## **Clean!**

(contamination...)

## **Electrically conducting**

Coat insulating samples with thin (3-10 nm) conducting layer e.g. C or Au and connect to ground

## **Suitable for high vacuum**

Porous samples need long pumping times

## **Beam tolerance:**

Beam sensitive materials can benefit from low voltage, or from cryogenic temperatures

## **Environmental SEM (ESEM) for humid samples:**

Low vacuum possible due to a differential pumping system.

Humidity is also useful for conducting away surface charges in insulating samples.

## **Etc.**



# X-ray spectroscopy

See TEM-lecture

- 1 Why Electron Microscopy
- 2 Intro
- 3 SEM Basics
- 4 (S)TEM Basics**
  - components/principles
  - parallel beam illumination imaging and diffraction
  - specimen preparation
- 5 Analytics
- 6 Special Examples

# All TEMs based on same principals



Modern „boxed“  
TEM  
(Thermo Scientific,  
300kV)

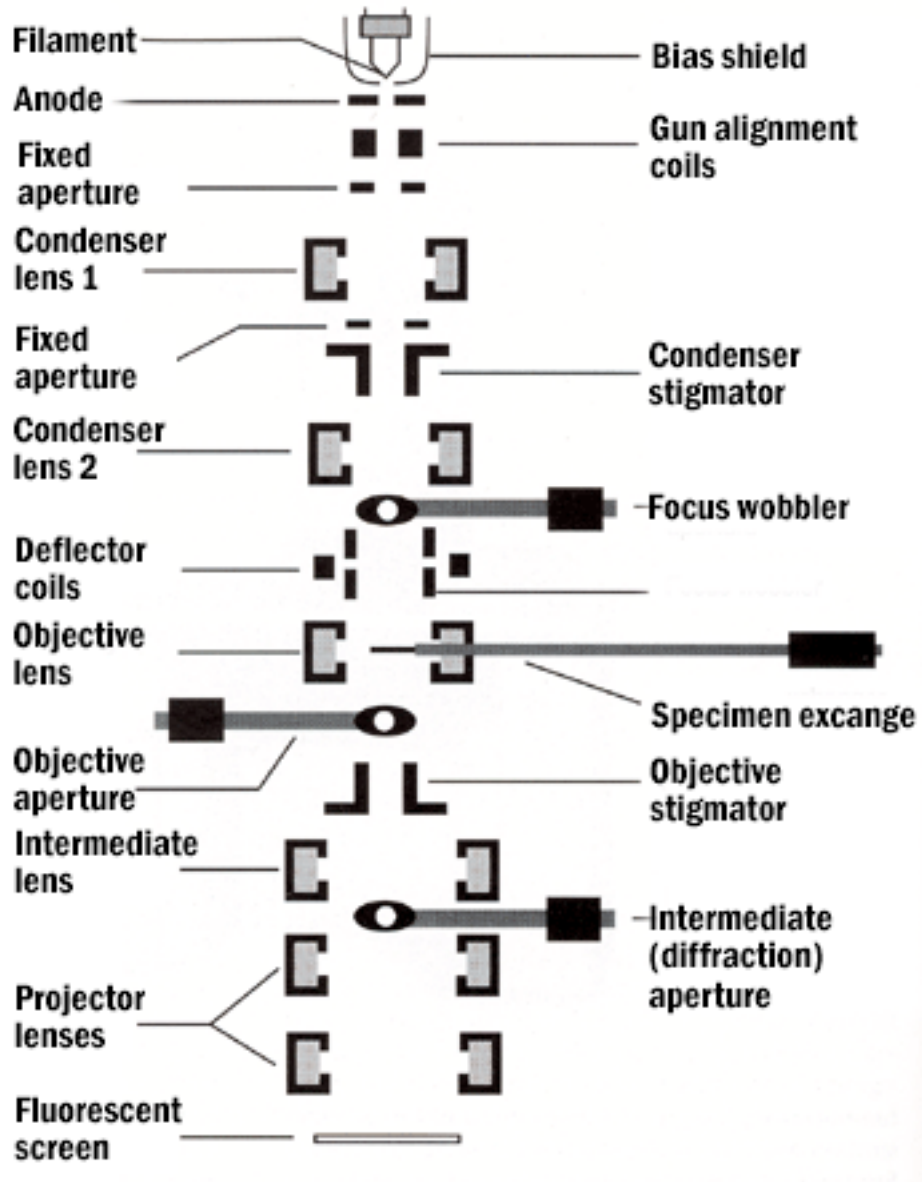


Highvoltage TEM  
(JEOL, 1MeV)



Table top TEM  
(DeLong, 5kV)

# Main components of a TEM



## Vacuum tube

Illumination system

Specimen

Imaging system

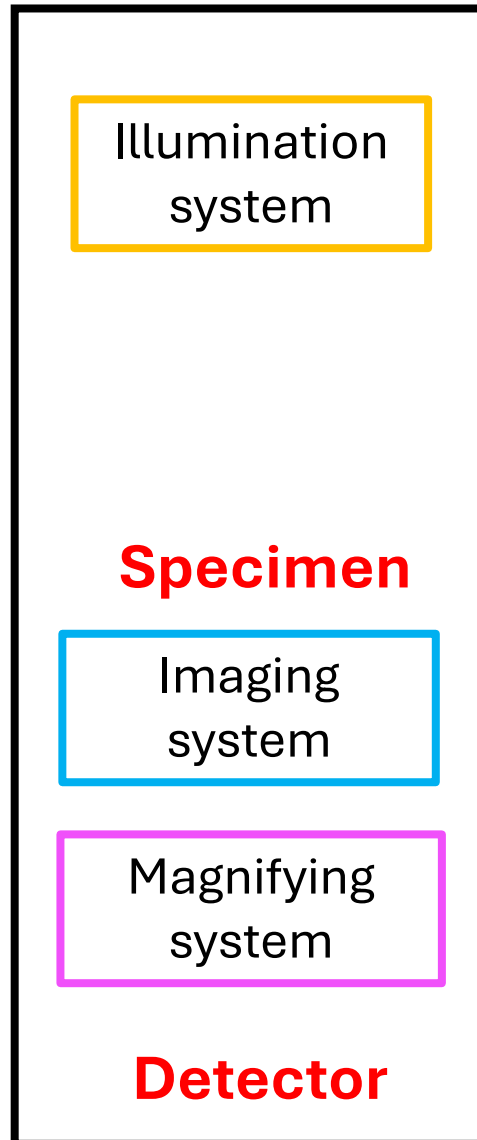
Magnifying system

Detector



# Function of the different parts

Vacuum tube



Prepare an electron beam and position it in the correct intensity, convergence angle and tilt at the right place on the sample



Expose the sample in the right way to the beam



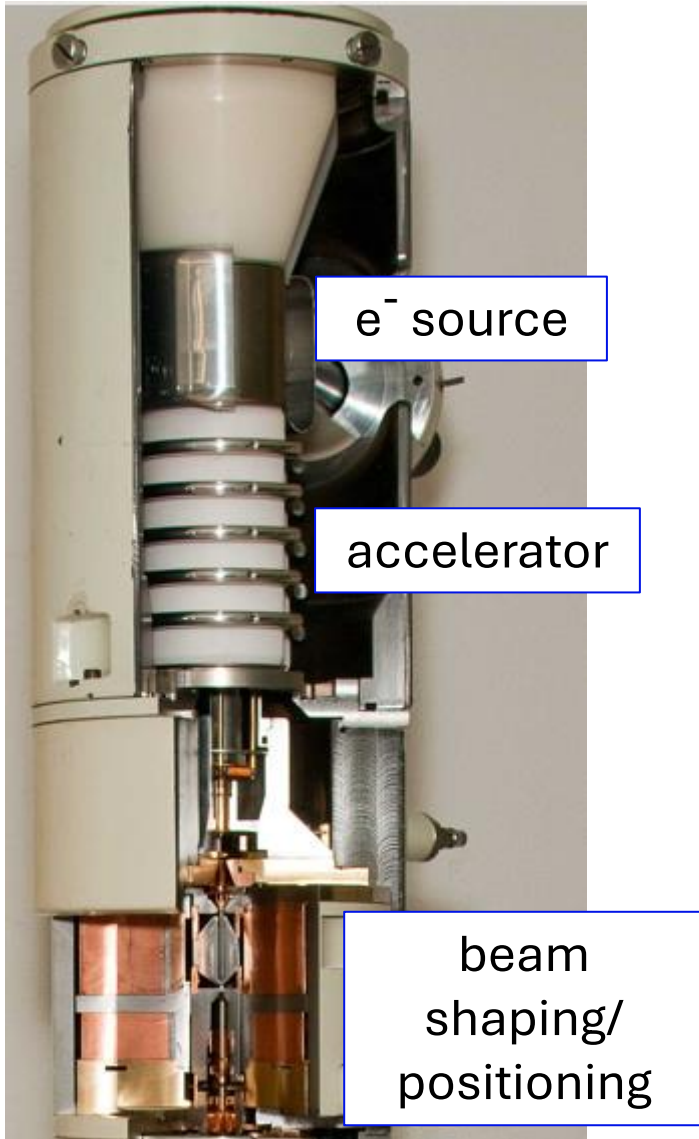
Produce an image or diffraction pattern of a specific area of your specimen



Magnify this image or diffraction pattern as required



Record the data



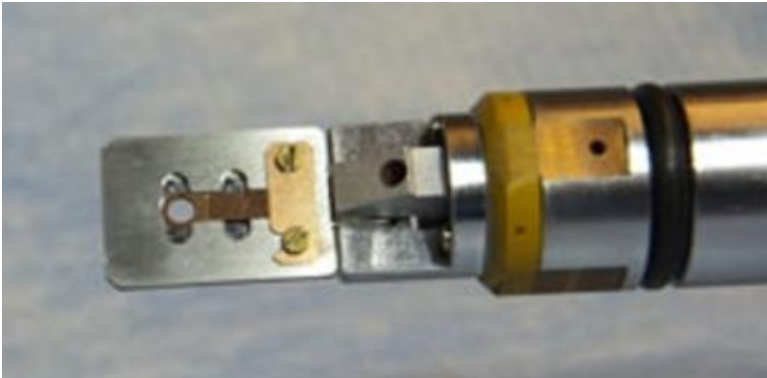
## Function of the components

- Source: provide electrons (different source types)
- Acceleration: voltage applied to produce electrons with specific wavelength  $\lambda$   
Possible voltages: 5keV - 3MeV  
Typical voltages: 60, 80, 100, 120, 200, 300keV
- Lens set: provide a convergent or parallel beam of a specific intensity and diameter

## Important

- All in vacuum
- Electro-magnetic lenses
- Wave lengths few picometers  $\leftrightarrow$  Resolution 0.5 – 3 Å

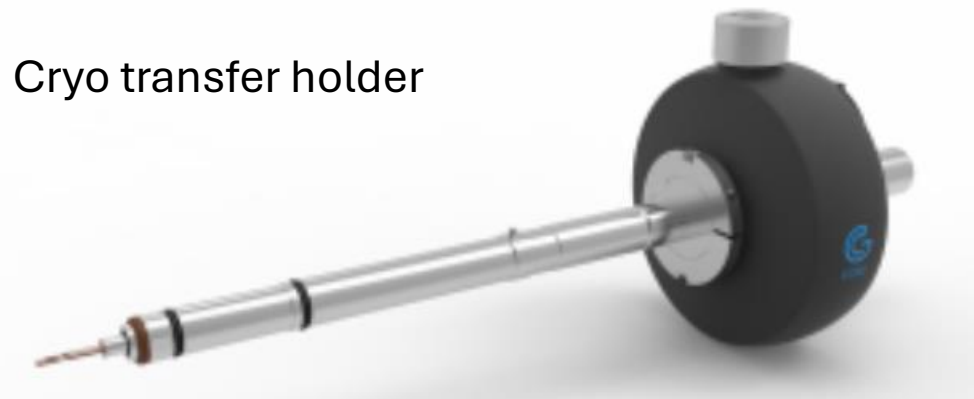
# Holders: examples for room temperature/cryo holders



Single tilt holder



Single tilt holder tomography holder

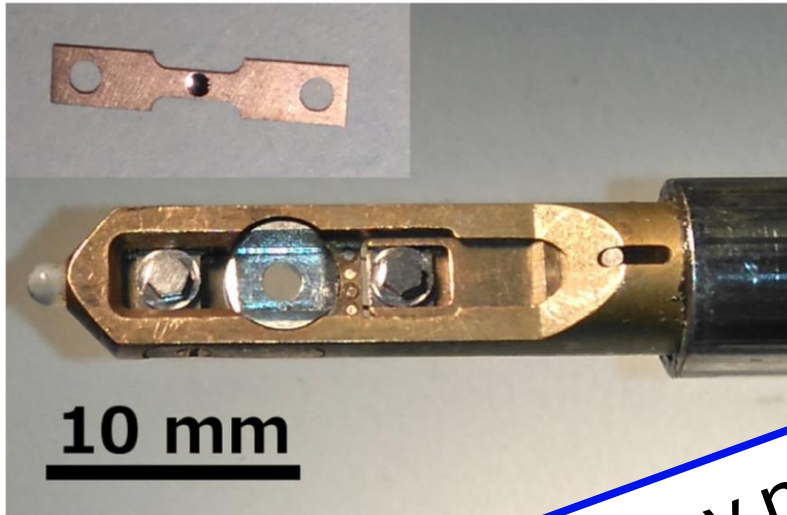


Cryo transfer holder



Double tilt holder

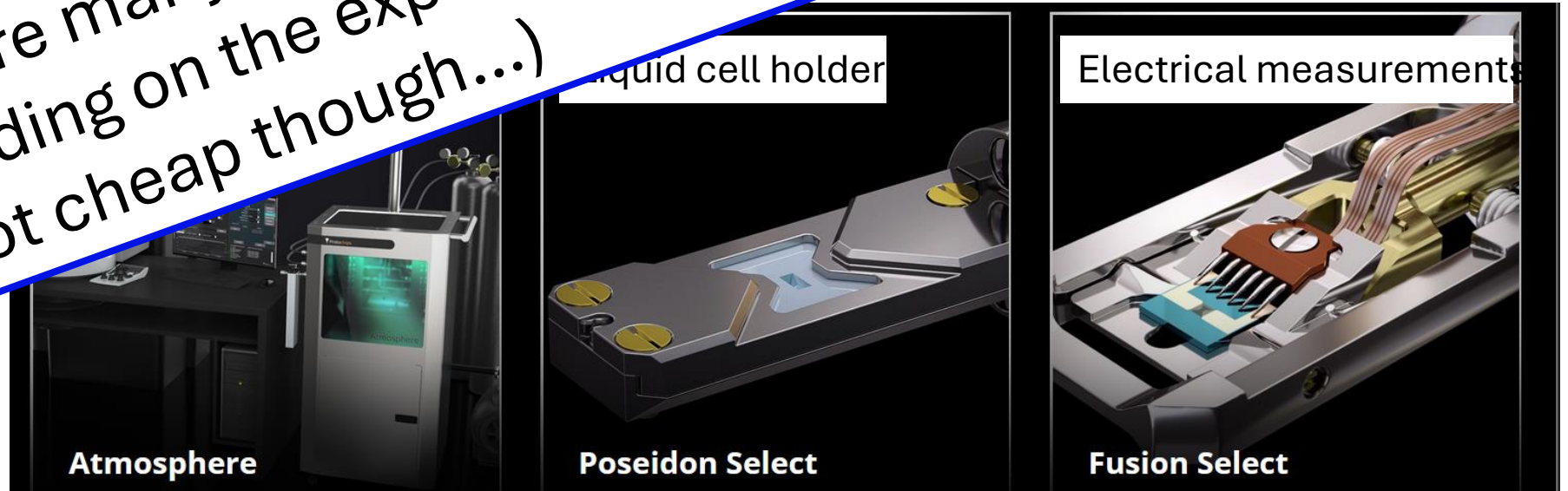
# In situ holders



Tensile straining holder

There are many more holder types available – just depending on the experiments you need to do (they are not cheap though...)

Gas atmosphere holder





# Specimen and imaging system: specimen position

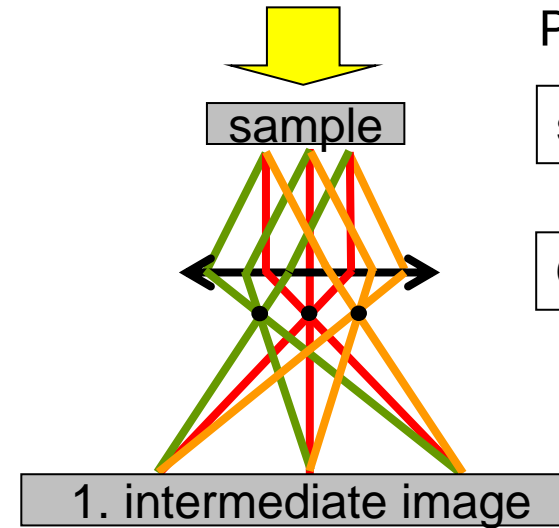
**Specimen**

Imaging  
system

**Text books**

diffraction pattern

magnified image



Parallel beam illumination

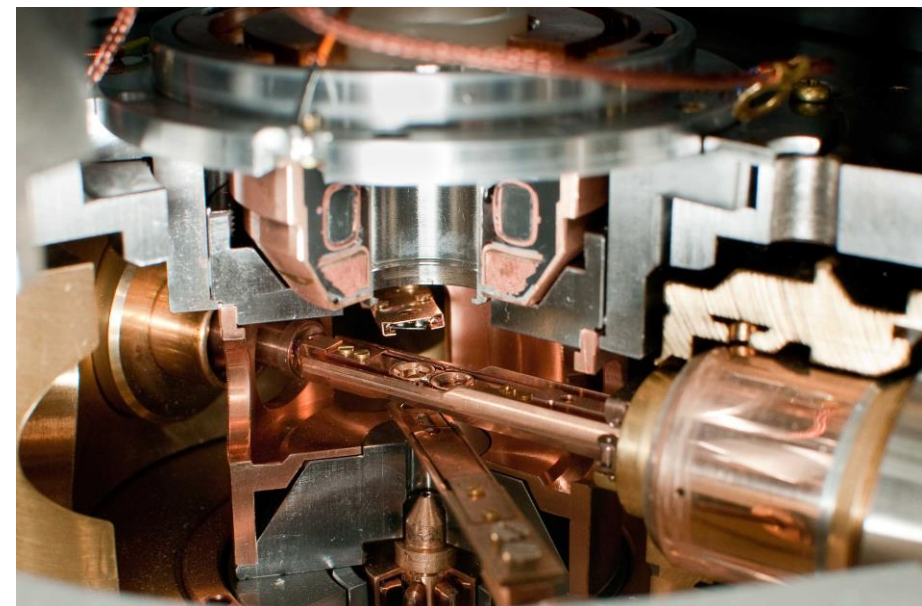
sample

Objective lens

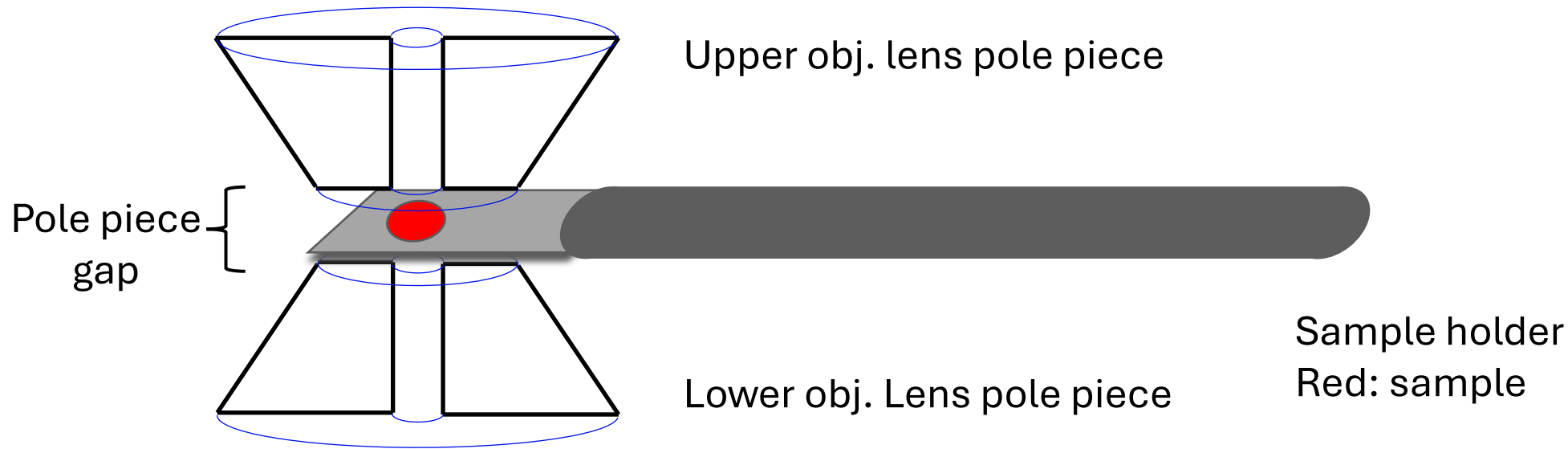
1. intermediate image

**Reality**

Specimen sits in  
the pole piece gap



# Specimen and imaging system: objective lens

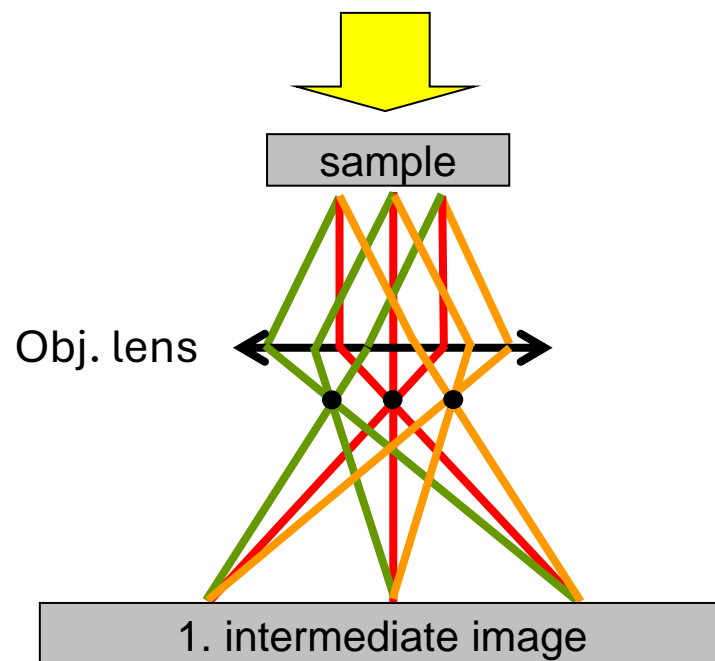


- The function of the objective lens is to produce a diffraction pattern and an image
- Large gap = high tilt capability, but lower resolution; small gap = low tilt, but higher resolution  
**High tilt capability and high resolution are contradictory requests**
- Typical pole piece gaps: 2-10mm  $\leftrightarrow$  resolution at 200kV: 2.3Å – 2.7Å  $\leftrightarrow$   $\lambda = 2.5\text{pm}$
- Typical tilt angles for standard holders (not tomography): 5-35°
- **The specimen is in a high magnetic field (> 2T !!!!)**

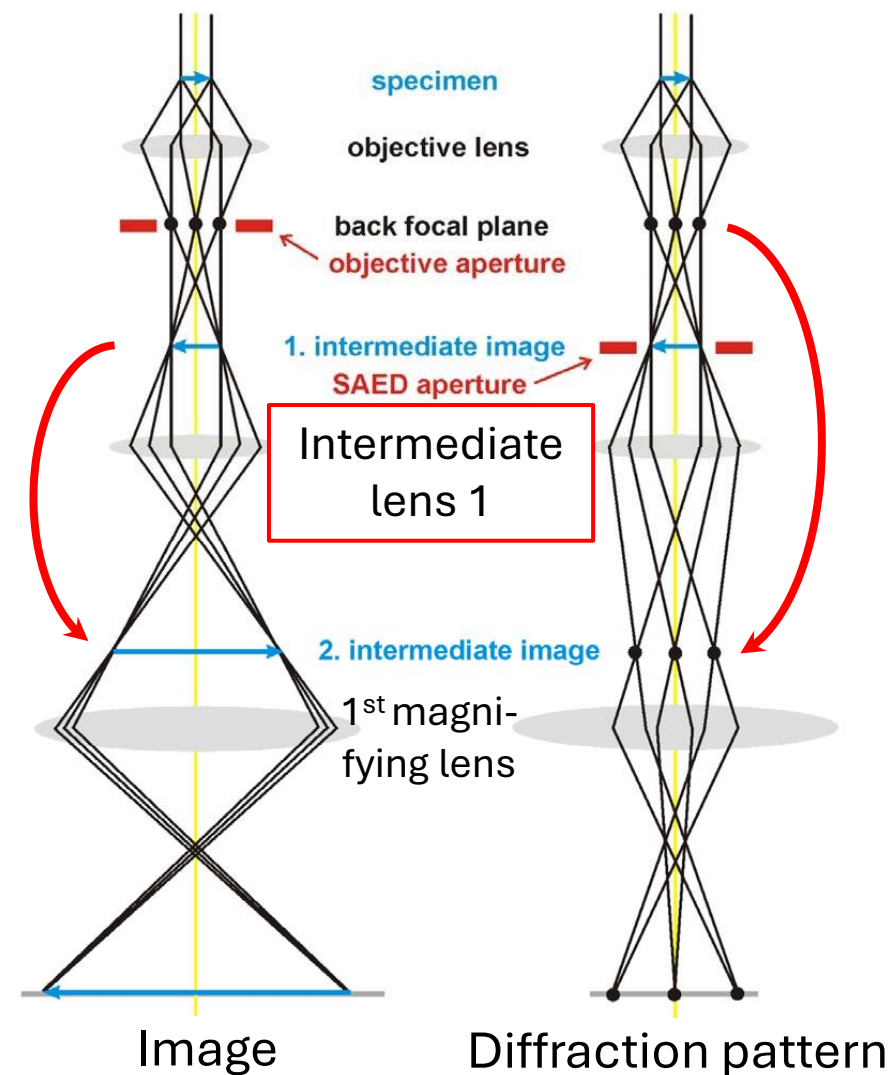
# Specimen and imaging system

Specimen

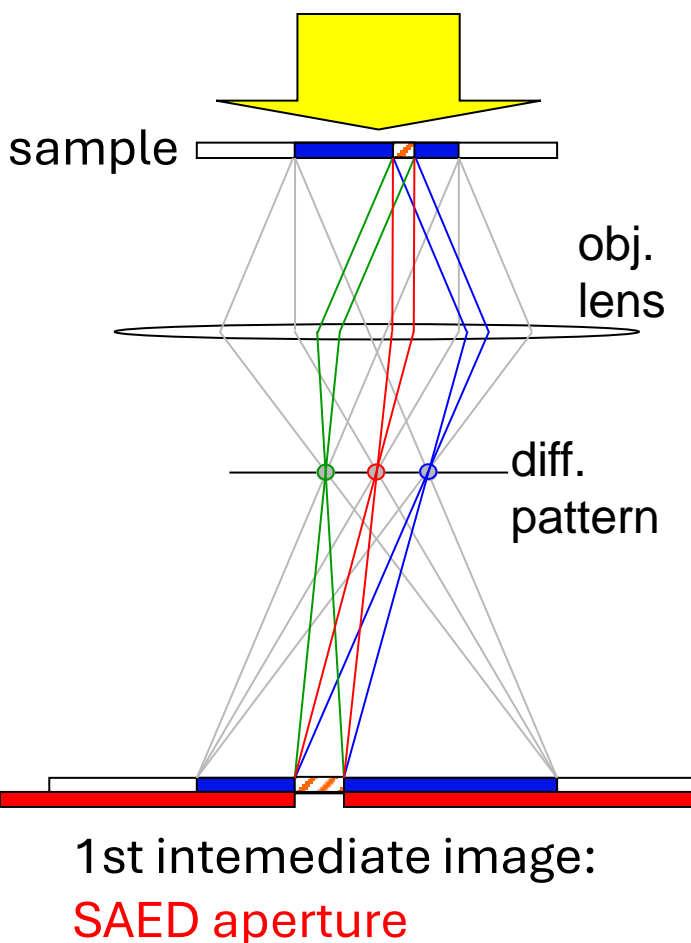
Imaging system



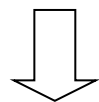
TEM provides direct and reciprocal space information from the same specimen area



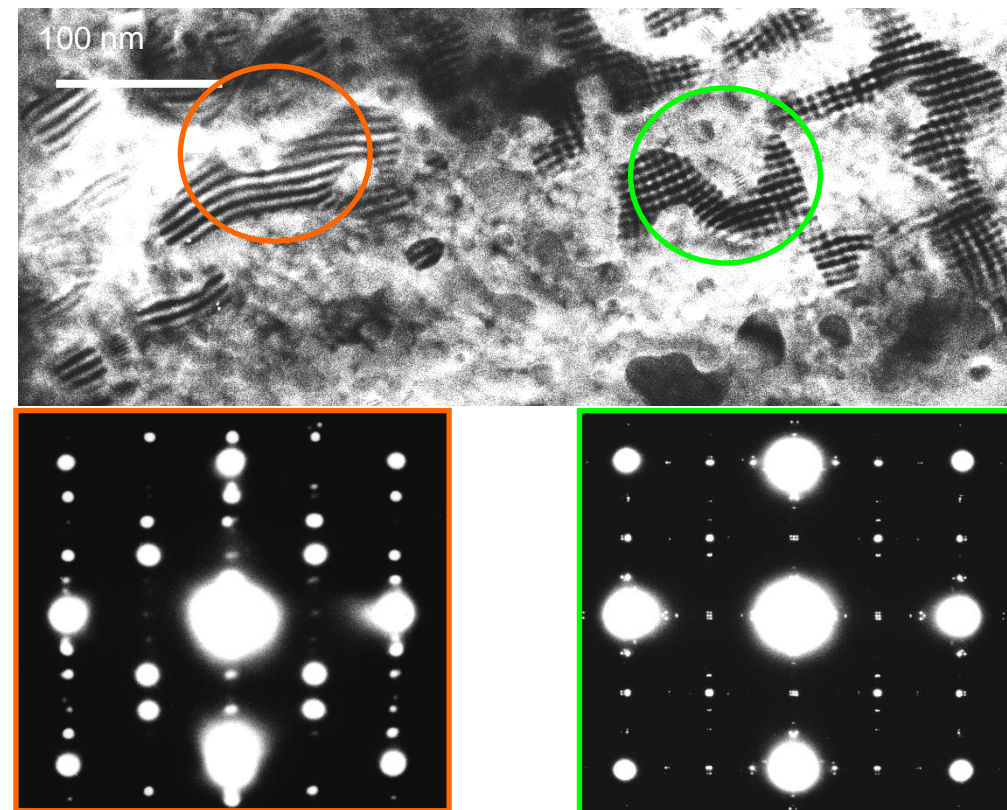
# Selected Area Electron Diffraction



a part of the illuminated area  
is selected by **SAED aperture**  
in first image plane  
(principle of reciprocity; only  
fully true for a perfect lens!)

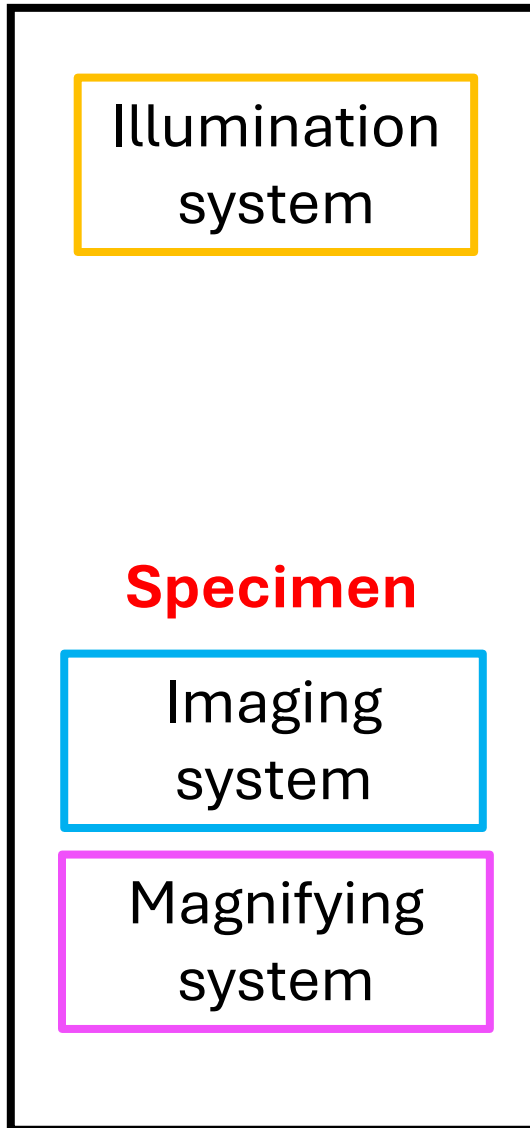


**diffraction pattern stems from  
the selected specimen area**  
(e.g. from a precipitate on nP).



**SAED aperture:** selects the sample area (in first intermediate image) from  
which a diffraction pattern is formed (shown in last lecture)





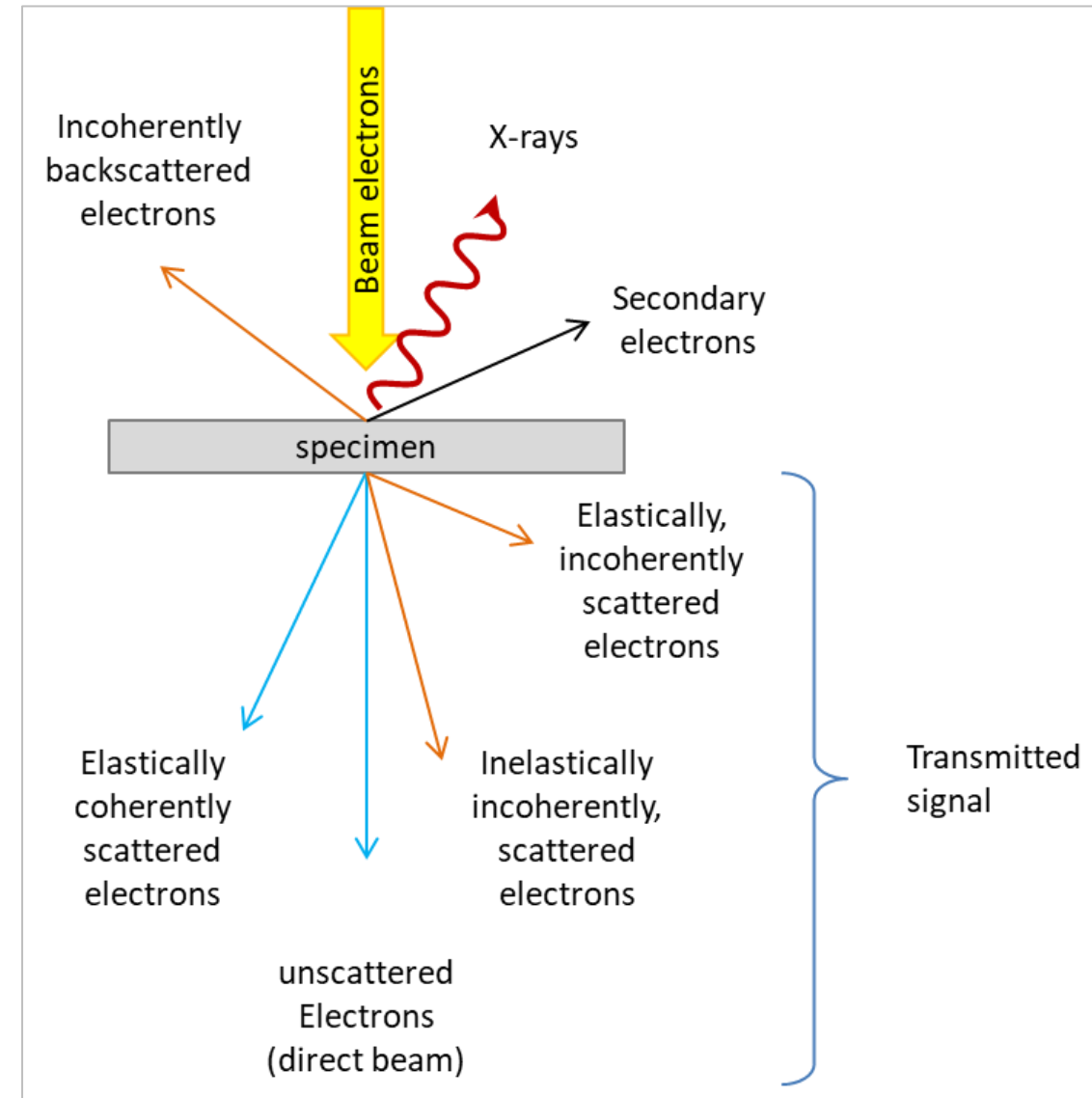
## Magnifying lenses:

- all lenses after the 1<sup>st</sup> intermediate lens are magnifying lenses
- There are 2-4 magnifying lenses (they are called **intermediate lenses** or **projective lenses**, depending on the manufacturer)
- In imaging the magnification of the image is called „**magnification**“
- In diffraction the magnification is called „**camera length**“
- The strength of each lens and the combination of the lenses give a set of magnifications choosable (typically 50x – 5'000'000x)

After the magnifying system, an image or a diffraction pattern is ready to be recorded

## Types of scattering

- **forward or backward.** For TEM, usually forward scatter is used.
- **coherent or incoherent.** For *coherent* scattering, phase relationship between electrons is maintained, amplitudes are summed up, giving interference phenomena. For incoherent interactions, phase relationship is lost and their intensities are summed up to form the signal.
- **elastic ( $E_{\text{el}} = E_0$ ) or inelastic ( $E_{\text{el}} < E_0$ ).** At *inelastic* interactions, beam electrons lose energy to an electron of the respective atom and is mostly scattered under a small angle. If undergoing an elastic interaction, the electron does not lose energy, but in a crystal, it can be scattered under larger angles (Bragg scattering).

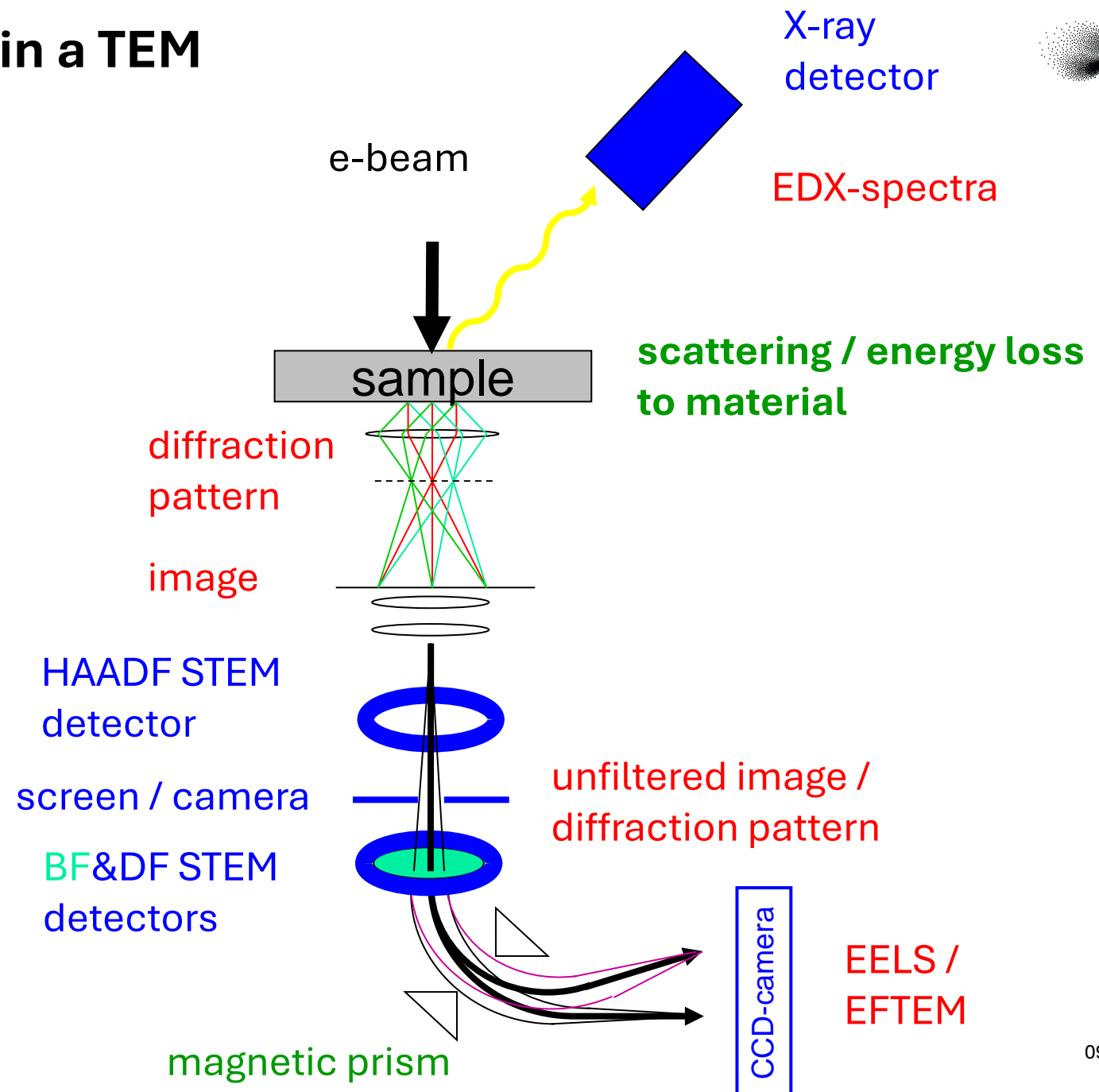


# Typical signals detected in a TEM

X-ray  
detector



red: signal type  
blue: detector type



## I assume you are familiar with the following concepts:

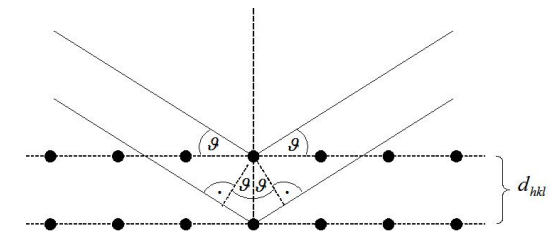
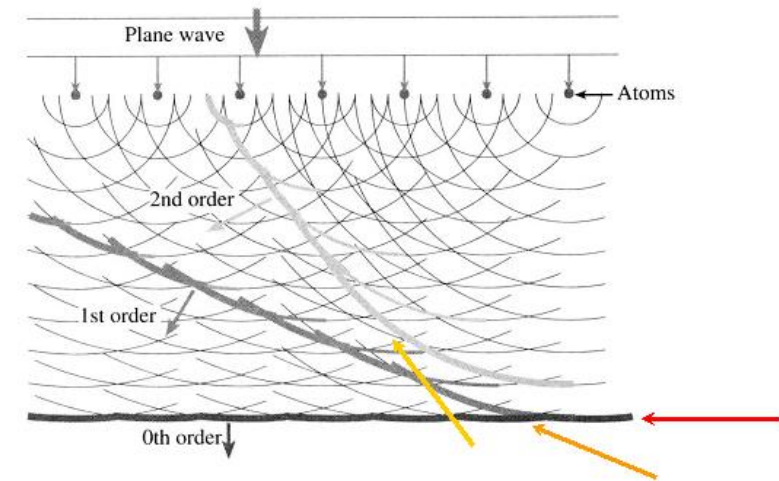
- Collective scattering on a periodic arrangement = diffraction
- In the far field, the electrons propagate along specific directions, where they interfere constructively
- The relation between scattering angle and real space distance is described by the Bragg equation (= constructive interference)

$$2d_{hkl} \sin \vartheta = n\lambda$$

- The direct space lattice is connected with the so called reciprocal space lattice which is defined by basic reciprocal lattice vectors:

$$\underline{a}^* = \frac{\underline{b} \times \underline{c}}{V}; \quad \underline{b}^* = \frac{\underline{c} \times \underline{a}}{V}; \quad \underline{c}^* = \frac{\underline{a} \times \underline{b}}{V}$$

$$V = a(b \times c) = \text{volume of the direct lattice unit cell}$$



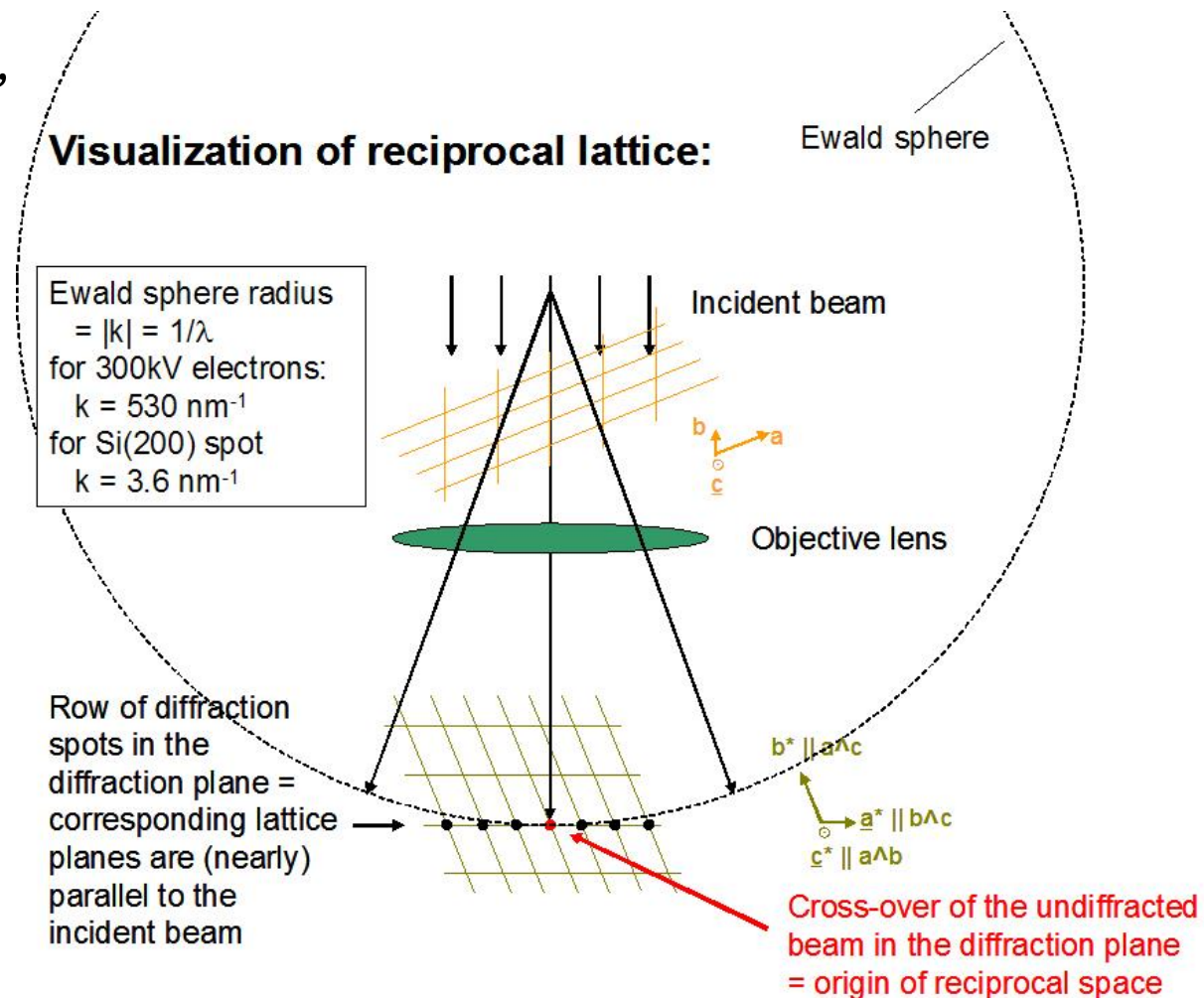
Bragg condition



# Elastically scattered electrons: parallel beam TEM imaging and diffraction

I assume you are familiar with the following concepts:

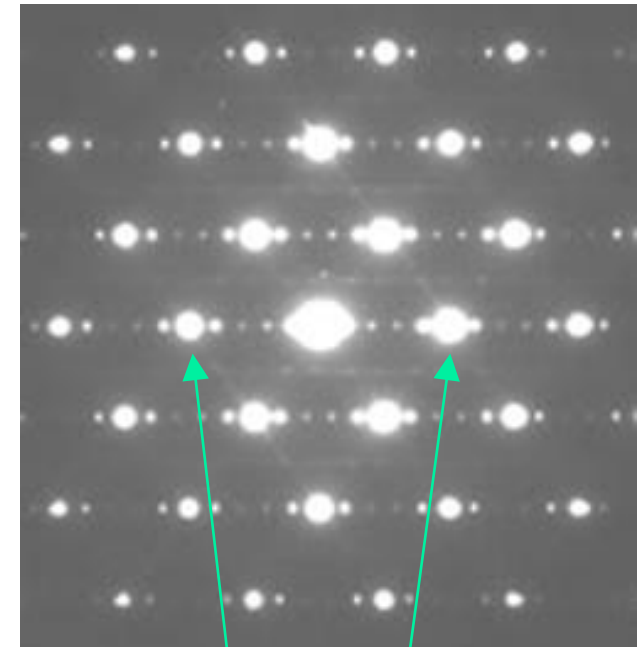
- In Ewald sphere construction: reciprocal lattice points are excited (= show an intensity peak), if it lies on the Ewald sphere (= the scattered vector has the same length as the unscattered one = no energy loss or gain)



# Electron diffraction: differences to X-rays

	X-rays	electrons	effect
Wave length	0.1-1Å	1-3pm	
Ewald sphere diameter	$\sim a_{hkl}$	$\sim 100^* a_{hkl}$	Many spots visible
Interaction	Weak -> single scattering	Strong -> Multiple scattering	Forbidden spots may be visible
Required crystal size for structure solution	$\mu\text{m}$	few 10nm	Structure of small crystals solvable
precision	high	low	

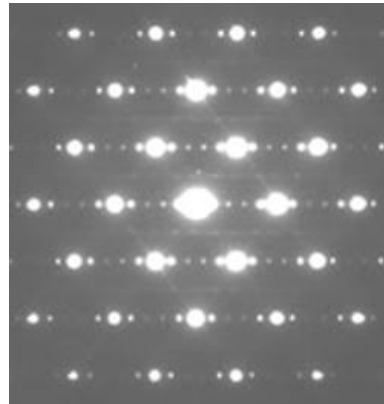
Diffraction pattern of a single crystalline SiGe superlattice



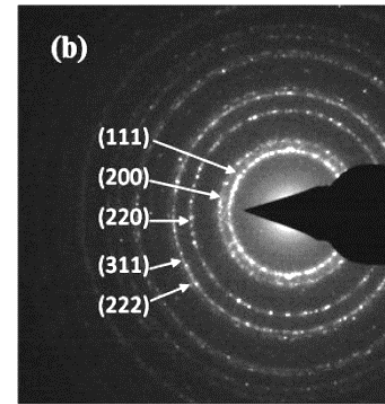
Forbidden (200) type reflections

# Electron diffraction

Phase determination



Single crystalline

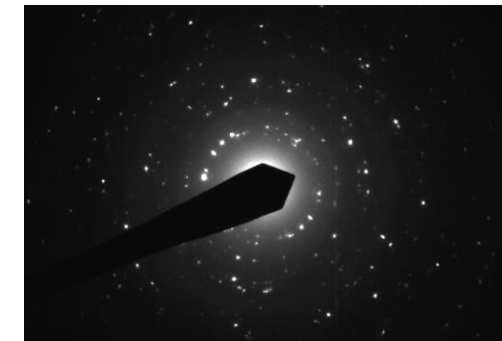
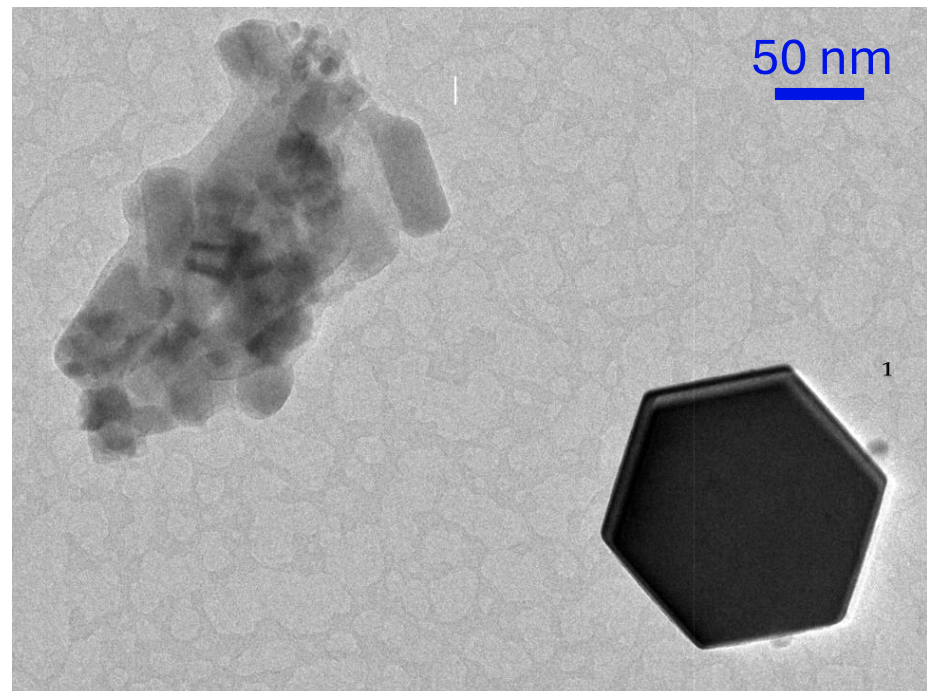


Poly crystalline



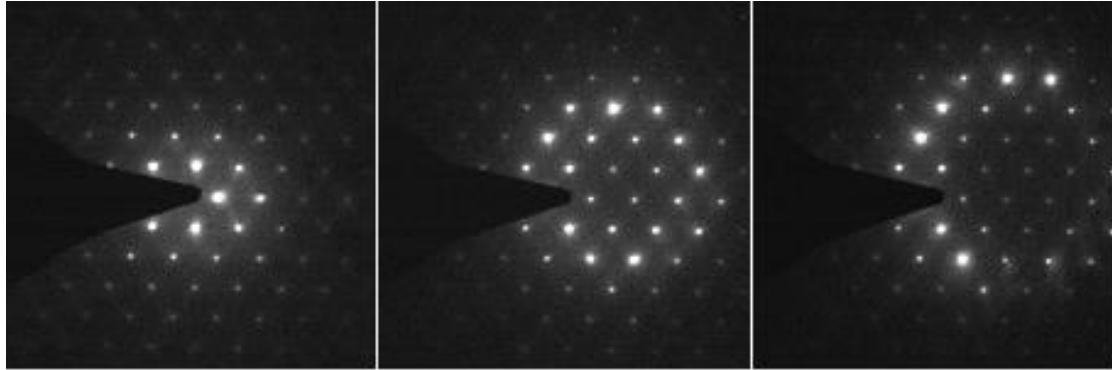
Amorphous

Zn-nanoparticles:  
Are they metallic or oxydized?

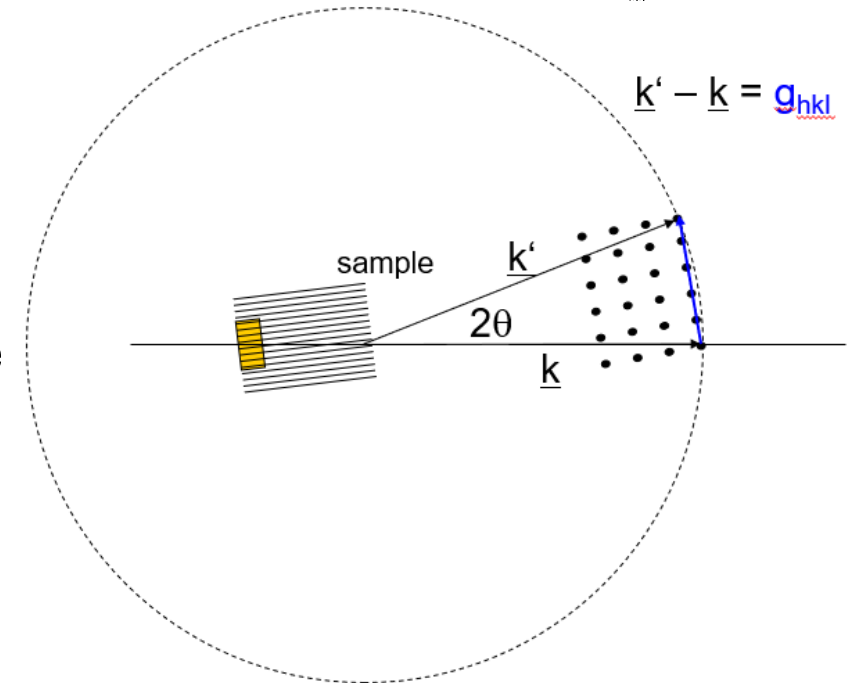


# Electron diffraction

## Crystal tilt and structure solution



For a tilted specimen, the intersection with the Ewald sphere is a circle.



Known from XRD:

Reciprocal space = Fourier transform of crystal

-> Mapping reciprocal space should allow to solve the structure in **kinematical situation**.

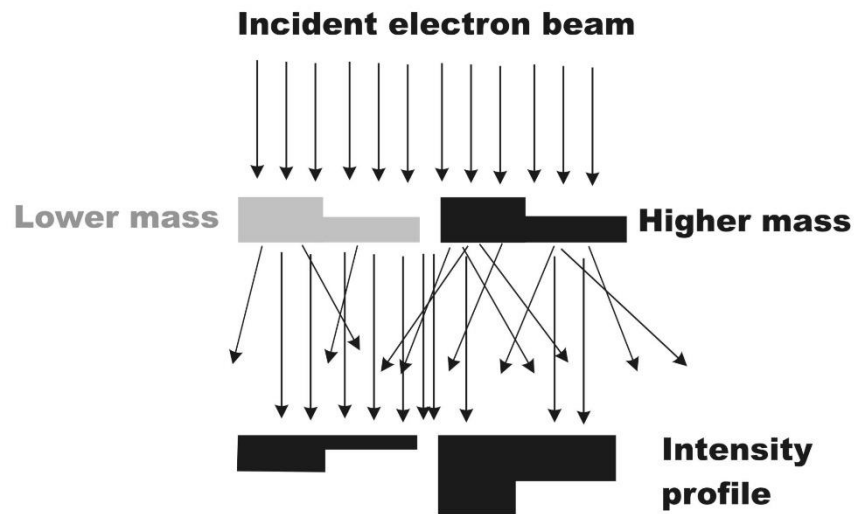
Principle problem: **electron diffraction = multiple scattering** (especially in low index zone axis)

Practical aspects:

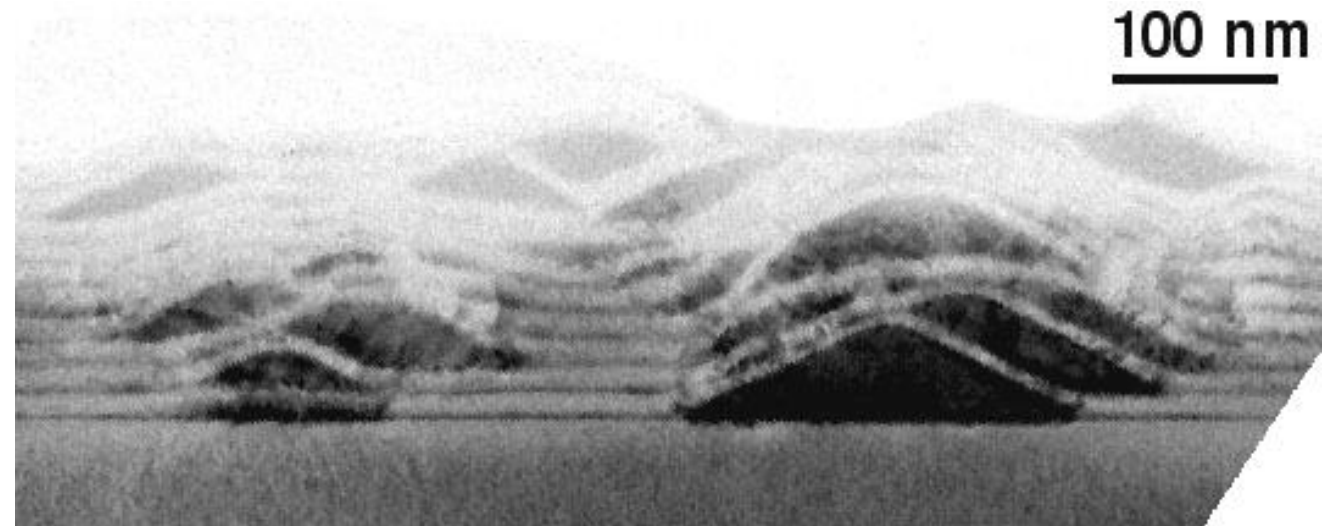
- Crystallite far enough away from other crystals
- Mapping of reciprocal space by sample rotation in steps of  $0.5^\circ - 1^\circ$ , tilt range at least  $\pm 50^\circ - 60^\circ$
- Data evaluation with XRD software or software developed for electron microscopy



# Imaging: How to get contrast? Mass/thickness contrast

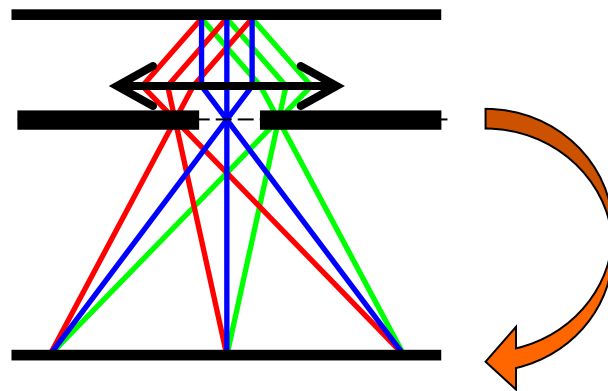


Absorption increases with thickness of the specimen and with mass of the atomic species. Selecting the undiffracted beam only, adds artificial absorption.



objective aperture  
in diffraction plane

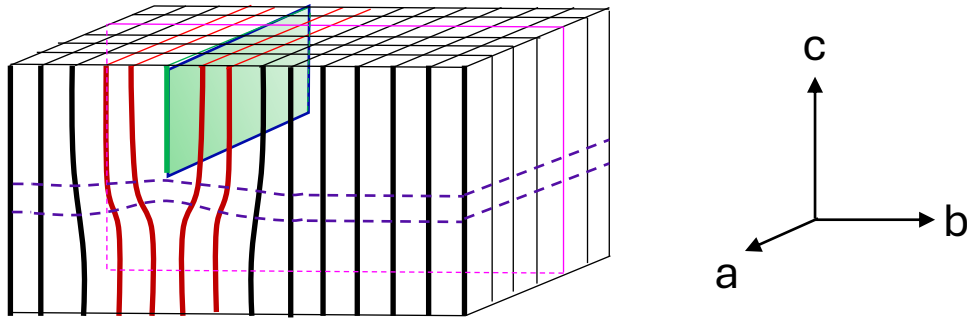
Image plane



Selective information

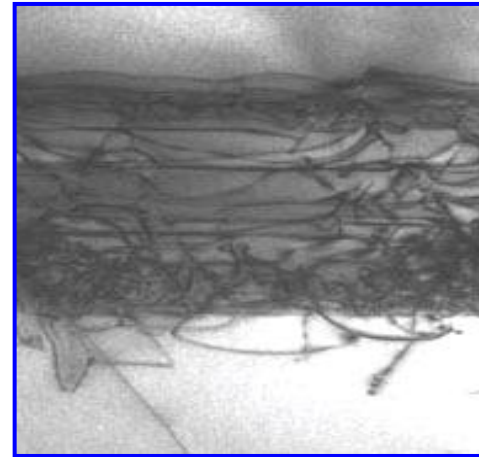
Mass/thickness contrast is  
**most often not sufficient.**

# Imaging: How to get contrast? Diffraction contrast

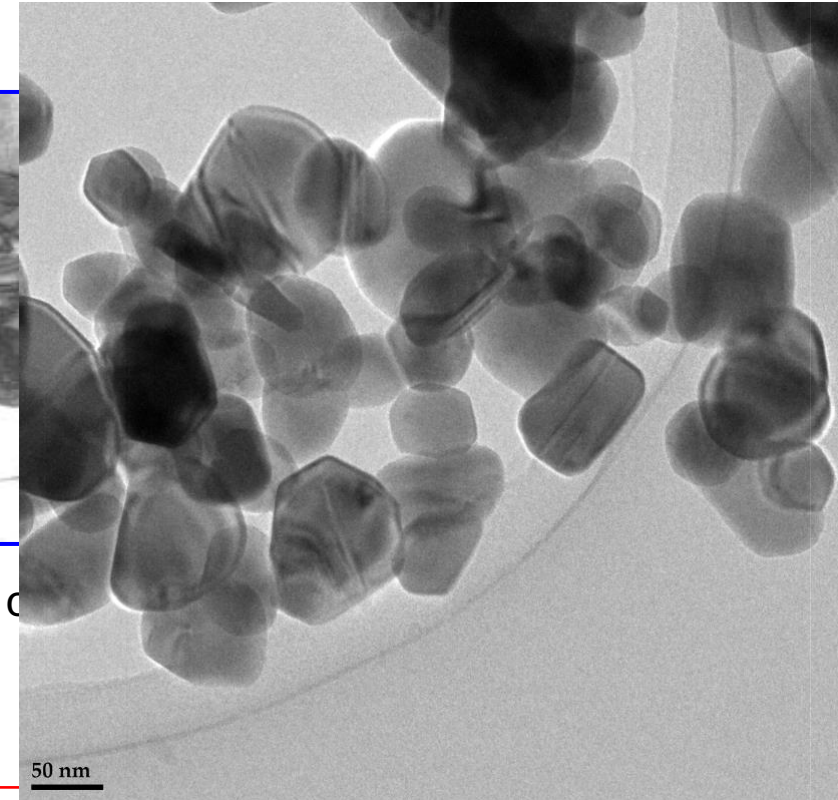


Example of an edge dislocation:

- Strong bending of the read planes (a-c)
- Weaker bending of brownish planes (a-b)
- No bending of the pink planes (b-c)



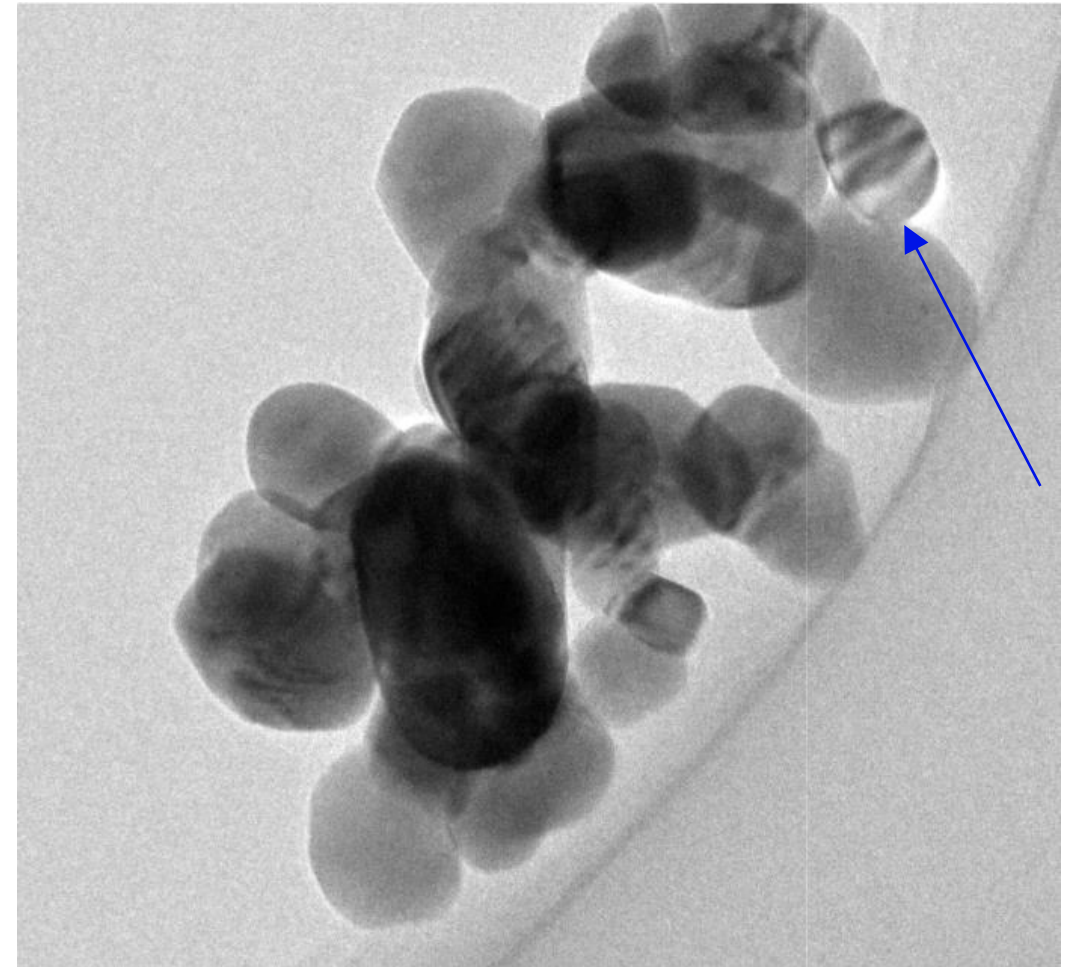
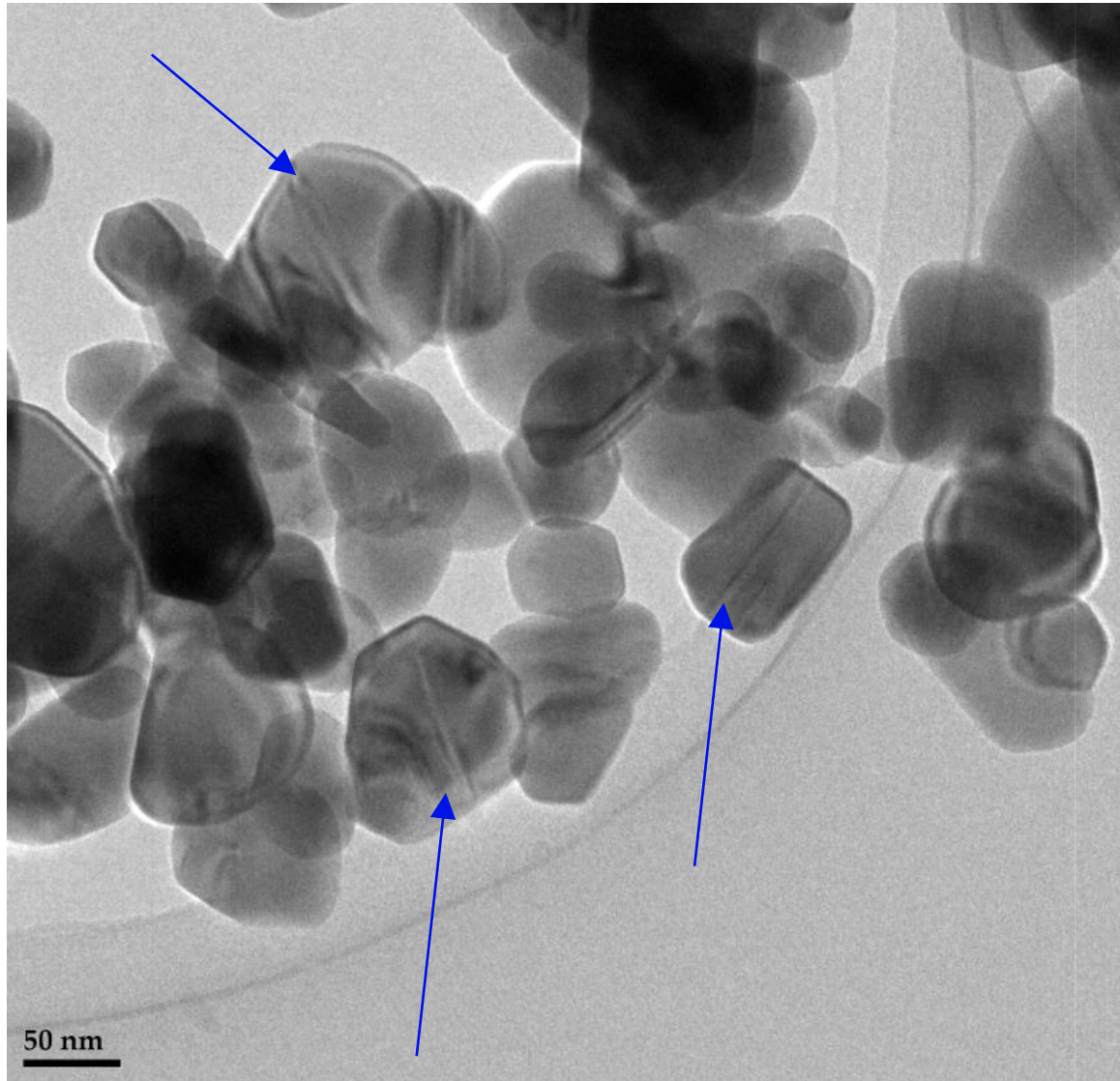
Crystalline SiGe layer



**The electron beam gets selectively deflected close to the dislocation core:**

- **Strong contrast** from the (a-c) plane
- **Weak contrast** from the (a-b) plane
- **No contrast** at all from scattering at the b-c) plane

# How to get contrast? Diffraction contrast

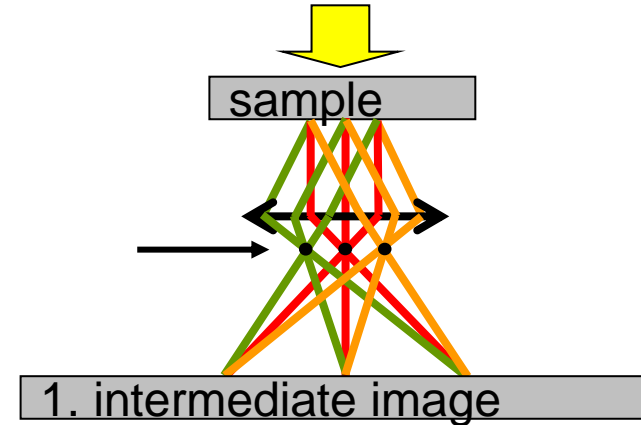
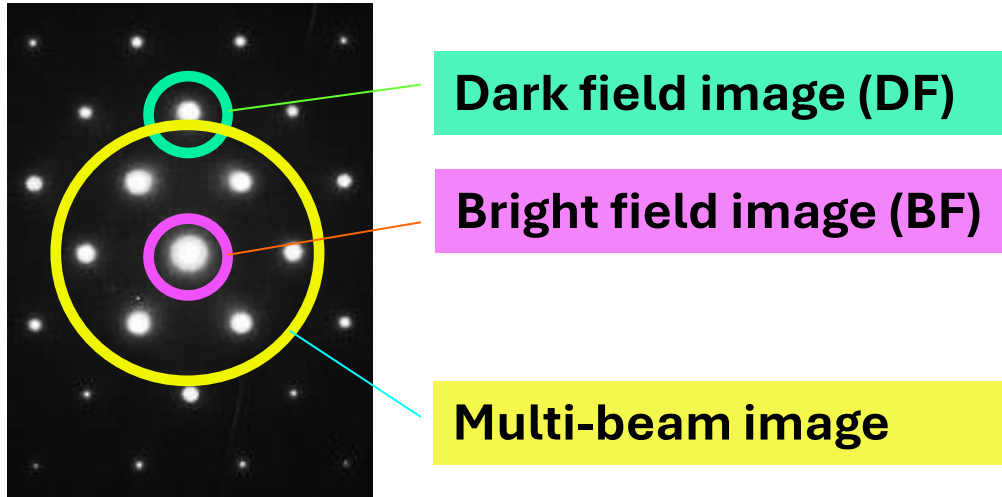


With nanoparticles, internal structure or (parts of) particles being unexpectedly dark or bright are typically a consequence of diffraction contrast

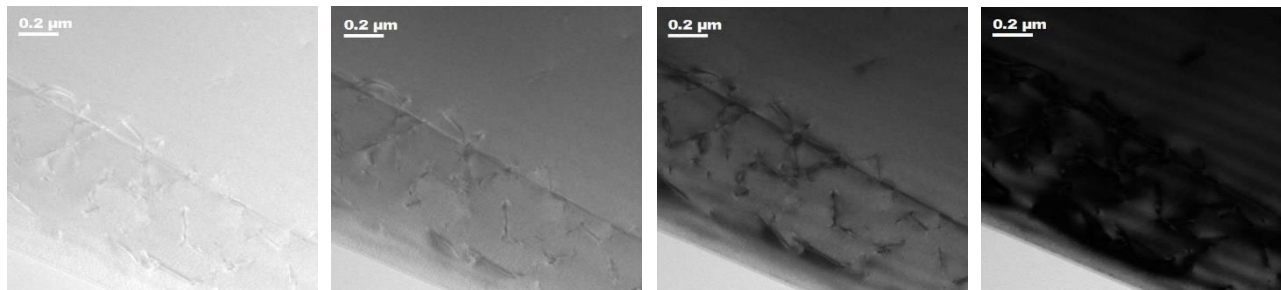


# Objective aperture for enhancing image contrast:

Selection of electrons with specific angle highlights specific phenomena.



contrast



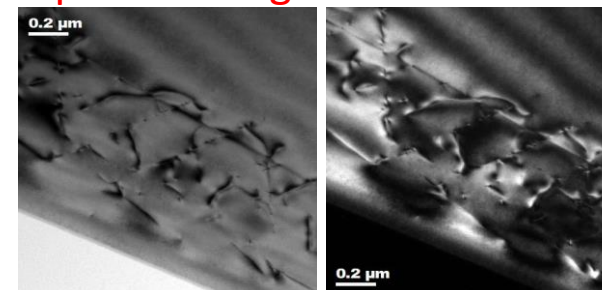
without  
aperture

aperture incl.  
Si(400)

aperture incl.  
Si(200)

BF

Specific things visible



BF  
(220)

DF  
(220)

DF highlights

- High-Z atoms
- High-diff areas

**Smaller aperture = more contrast, but lower resolution**



# Imaging: How to get contrast? Phase contrast

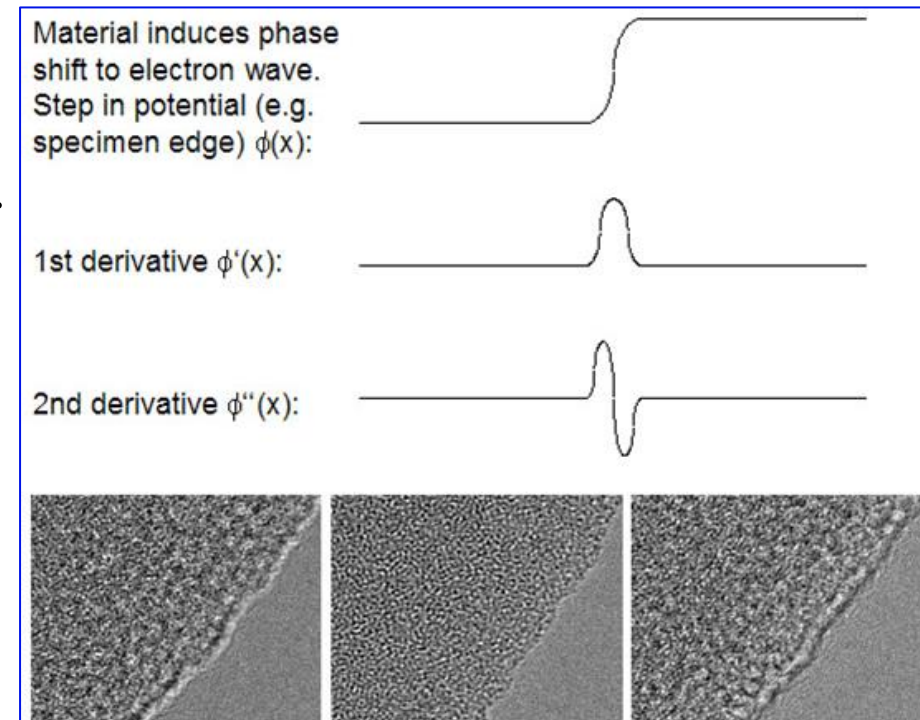
Phase contrast: most important contrast mechanism in high-resolution TEM (HRTEM) imaging:

- Differences in intensity due to interference effects between scattered and unscattered electrons are known as phase contrast.
- Applicable to highly magnified images of thin crystalline or non-crystalline specimens.
- proportional to the (positive or negative) defocus  $D$  and to the second derivative of the phase shift function:

$$I(x) = 1 + \frac{\Delta\lambda}{2\pi} \phi''(x)$$

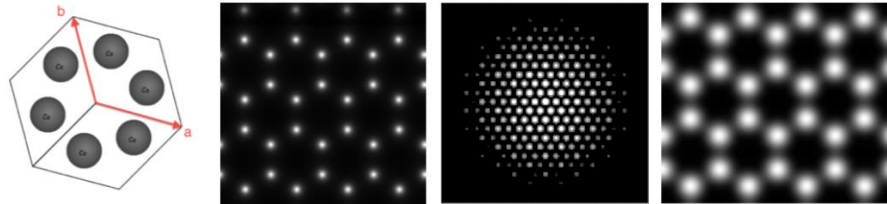
- Defocus increases contrast, but reduces resolution.

Edge of amorphous C-layer at underfocus (left), close to focus (center) and overfocus (right)

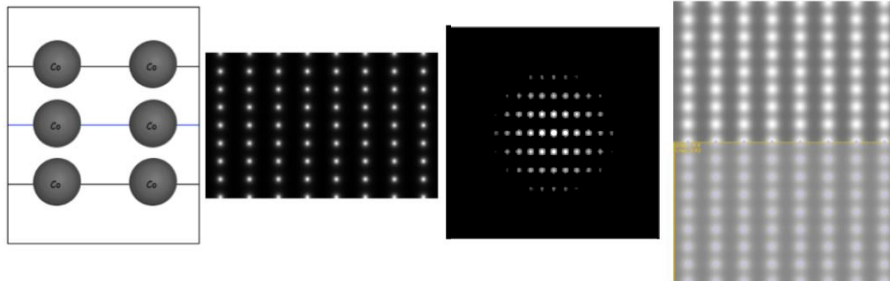


# High-resolution TEM (HRTEM)

Simple structure: Co [001] & [120] or Weber [10-10]



Co [001]

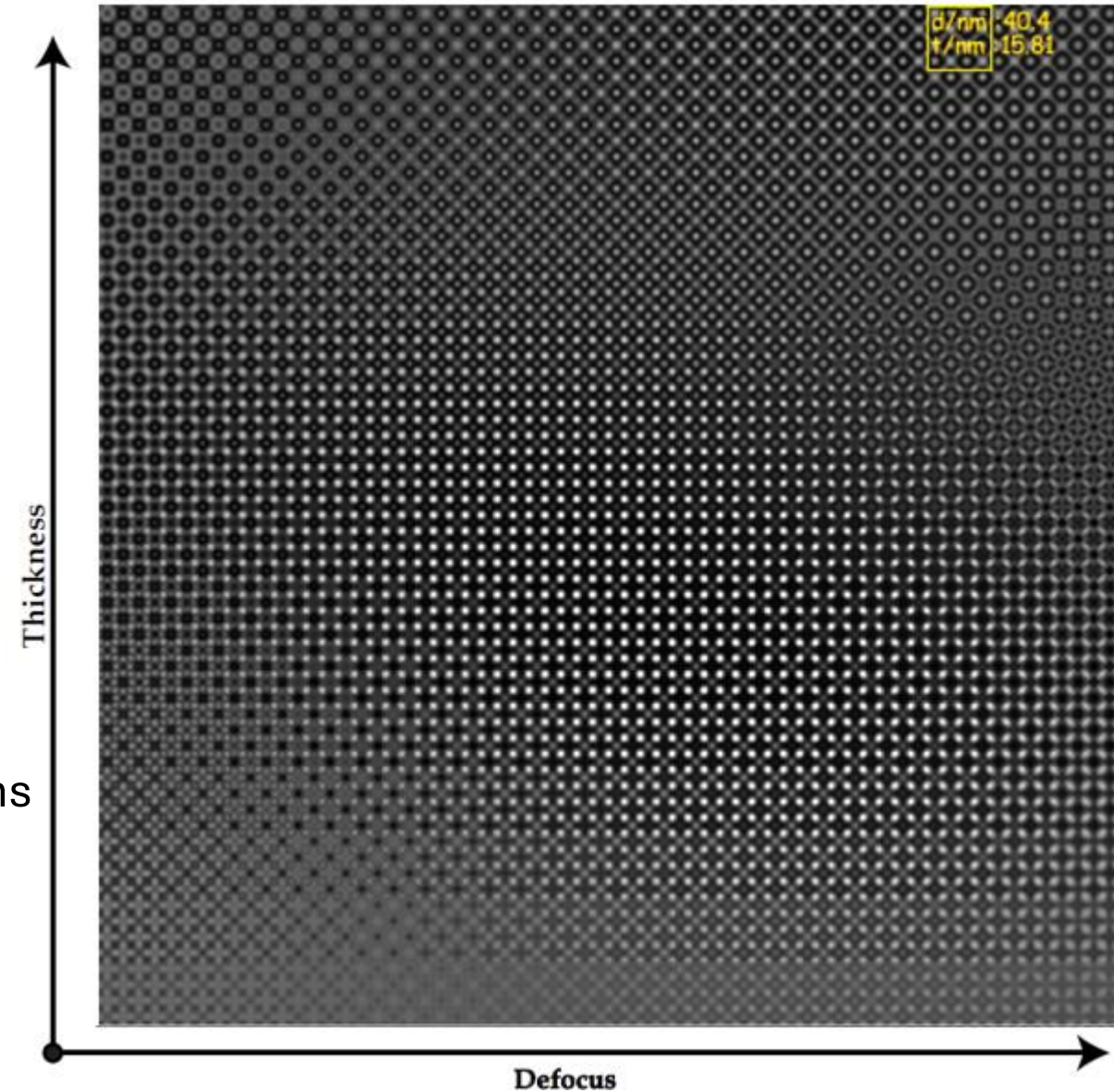


Co [120]

Projected potential

SAED

HRTEM



- **HRTEM = interference pattern** of diffracted beams with the undiffracted beam, function of atom positions
- Aberrations limit the resolution
- Perfectly along low-indexed zone axes
- Pattern = function of defocus and sample thickness
- Only interpretable for very thin specimens

# Quality of electromagnetic lenses: poor lenses!

Electro-magnetic lenses suffer from **aberrations**, the most „famous“ ones are:

**Defocus** (variable), **astigmatism** (correctable), **spherical aberration** (main aberration), **chromatic aberration** (critical mainly at low voltages)



Core of the M100 galaxy seen through Hubble (source: NASA)



Graph by Quentin Ramasse  
SuperSTEM course 2012

## Glass lenses:

combination of convex and concave lenses allows correction of aberrations

## Electro-magnetic lenses:

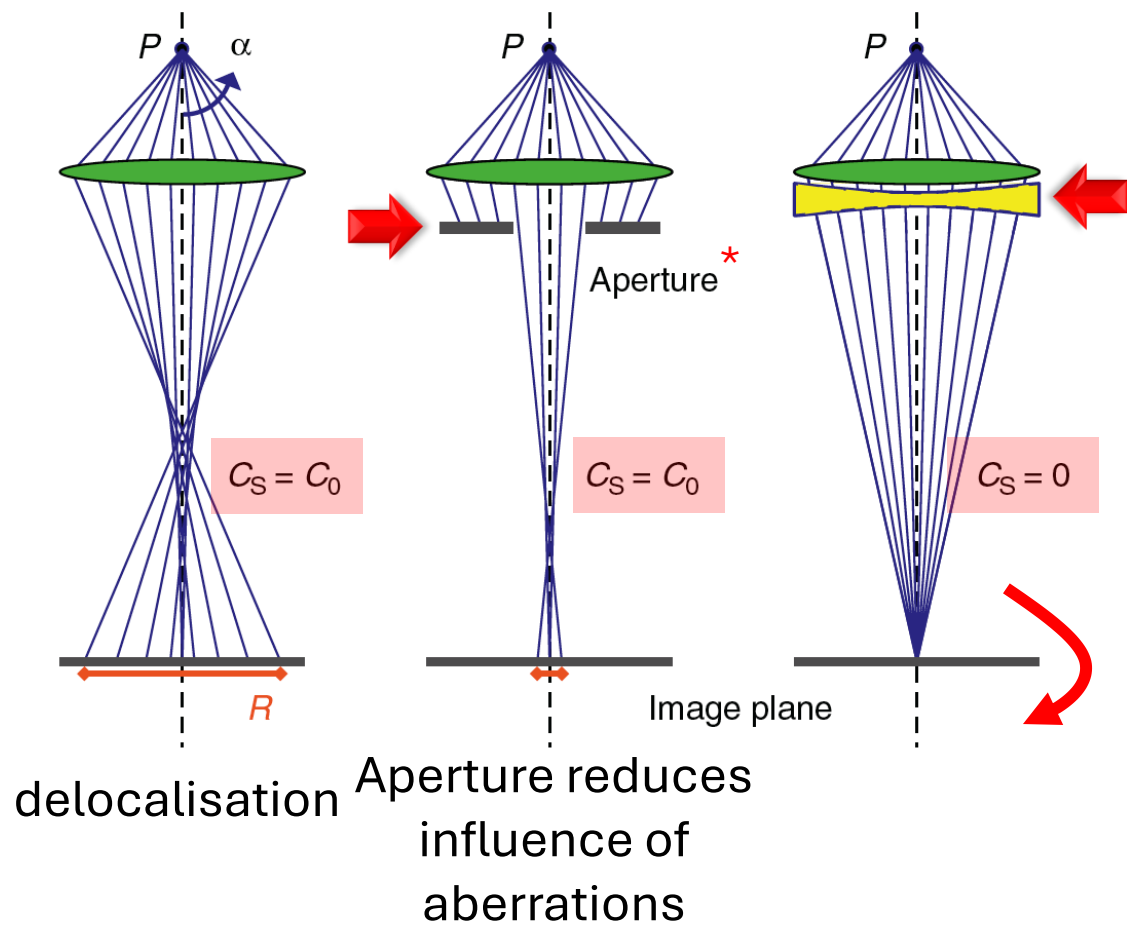
Physics laws make rotationally symmetric concave electro-magnetic lenses impossible



Spherical aberration correctors based on non-rotational symmetric lenses only exist since 1998. Resolution improvement ~ 3x

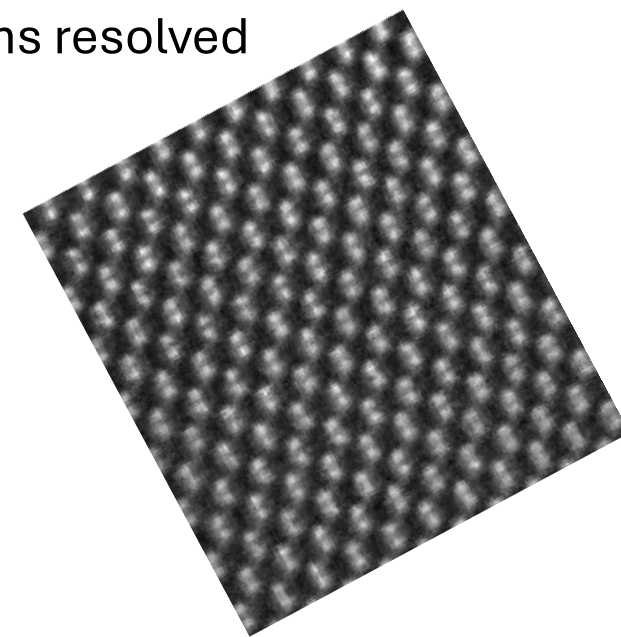


# Spherical-aberration correction: improved resolution (~3x)

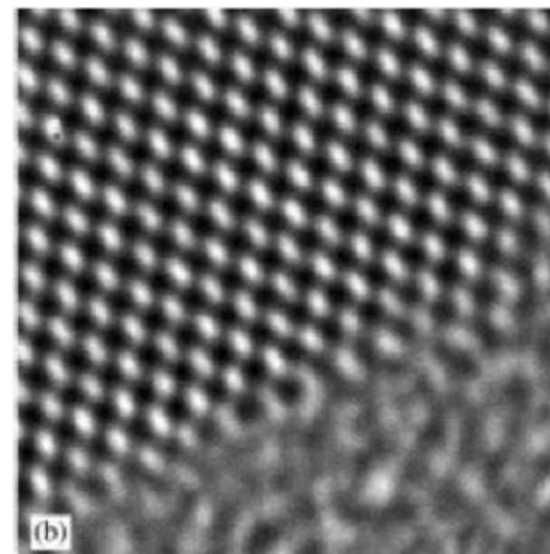


eliminate  
dispersion  
of scattering  
angle

**With Cs-correction**  
-> individual atomic  
columns resolved



Achievable resolution with Cs-correction:  
@ 200keV:  $< 0.8\text{\AA}$  (instead of  $2.5\text{\AA}$ )  
@ 300keV:  $\approx 0.5\text{\AA}$  (instead of  $1.8\text{\AA}$ )

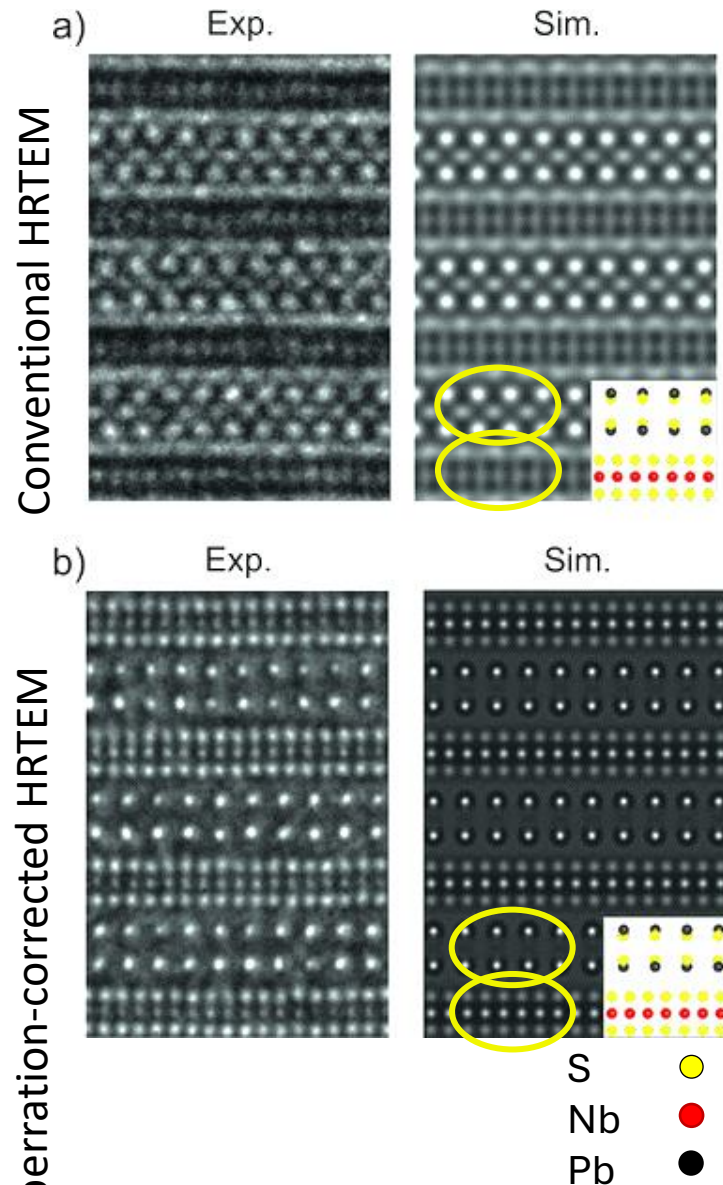


**Without Cs-correction**  
-> only periodicity resolved

Silicon crystal in (110) projection



# Advantages of aberration correction: see the atoms



## perfect $(\text{PbS})_{1.14}\text{NbS}_2$ layer compound

Comparison of experimental and simulated HRTEM images along the commensurate interface direction.

(a) Conventional HRTEM imaging (simulation parameters:  $U=300$  kV,  $C_s=0.7$  mm,  $\Delta f=-60$  nm,  $t=3.2$  nm) and

(b) aberration-corrected HRTEM imaging under optimized conditions ( $U=300$  kV,  $C_s=-13$   $\mu\text{m}$ ,  $\Delta f=+5.8$  nm,  $t=3.2$  nm). Only in the latter case there is a direct relationship between the image contrast and the projected crystal structure (insets).

E. Spieker et al.; Journal of Microscopy, Volume: 237, Issue: 3, Pages: 341-346, First published: 15 February 2010, DOI: (10.1111/j.1365-2818.2009.03257.x)

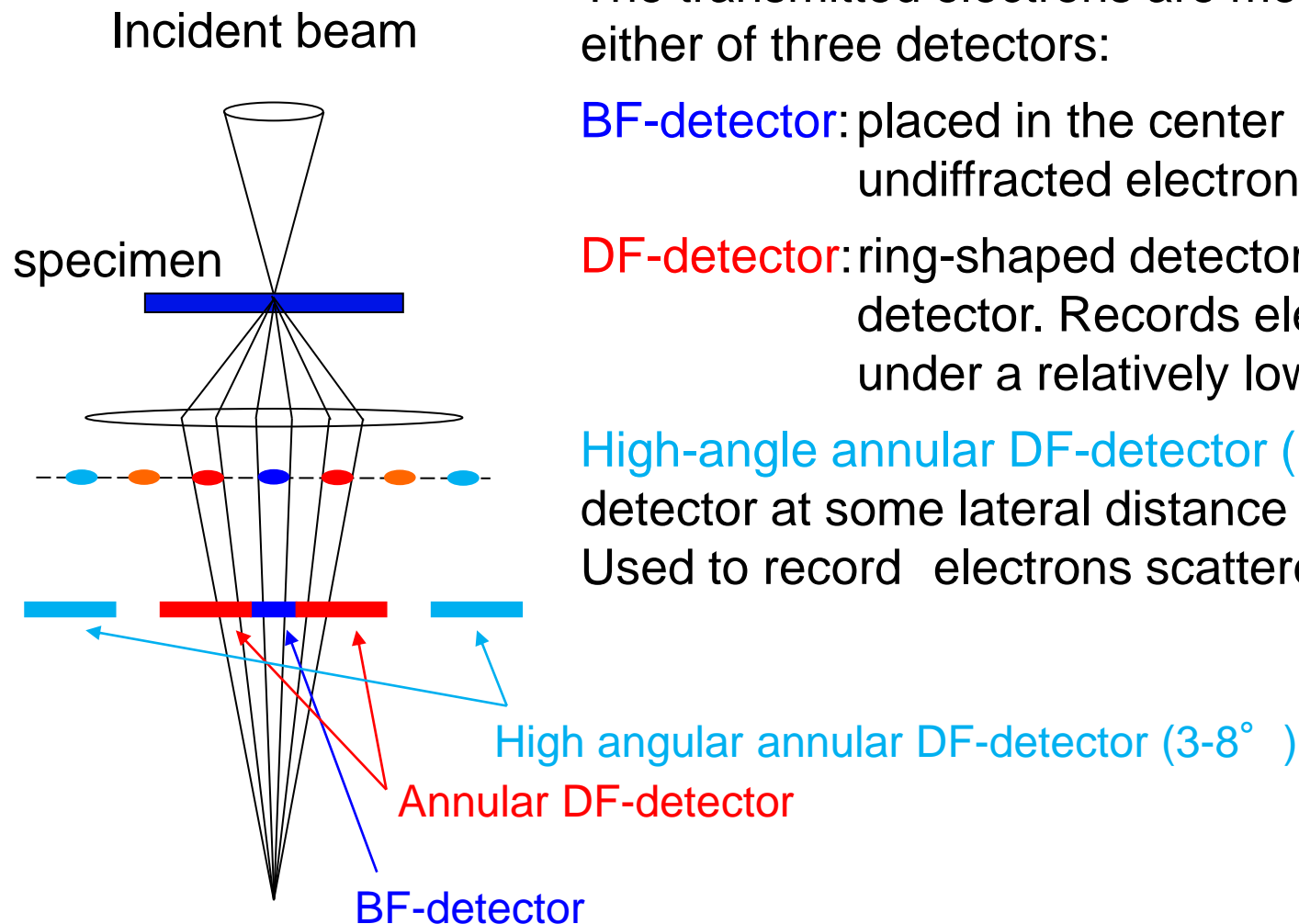
# Scanning transmission electron microscopy (STEM)

In STEM, a **focussed beam is scanned** over the sample. The transmitted electrons are measured pixel by pixel with either of three detectors:

**BF-detector**: placed in the center in order to record the undiffracted electrons

**DF-detector**: ring-shaped detector adjacent to the BF-detector. Records electrons scattered under a relatively low angle (Bragg-region)

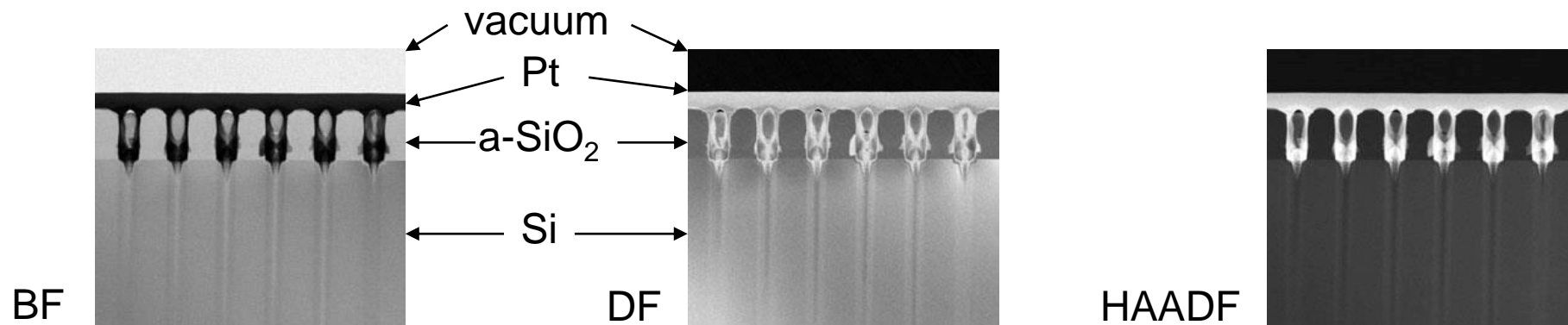
**High-angle annular DF-detector (HAADF)**: ring-shaped detector at some lateral distance from the DF-detector. Used to record electrons scattered under a high angle



Jeol News 2001

# Imaging modes in STEM

- **BF and DF STEM** provide information comparable to BF and DF TEM
- **HAADF STEM = Z-contrast imaging**: nearly incoherent imaging, strong dependence on atomic number  $Z$  (**chemical contrast!**, corresponds to Rutherford scattering with electrons)

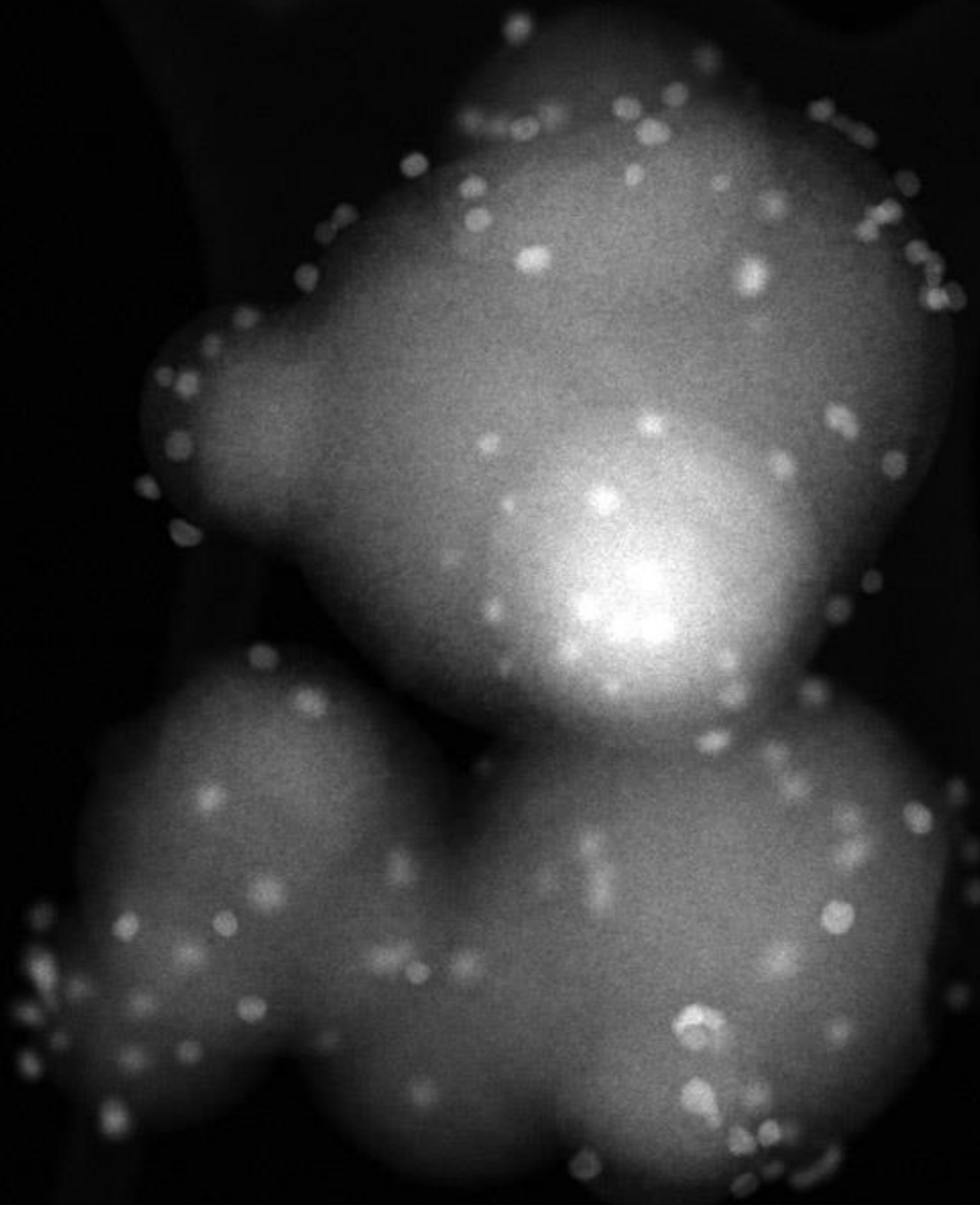


- **Very important application of STEM: Micro-probe analysis!!** With the very small beam diameter a correspondingly high spatial resolution can be reached for EELS (and EDX)

# Especially interesting for nPs: HAADF STEM

- **HRTEM** makes use of **coherent** elastically scattered electron beams to image phase contrast.
- **HAADF** (high-angle annular dark-field) **STEM** makes use of **incoherent** elastically scattered electrons
  - **Scattering intensity** → incoherent superposition of scattering contributions from individual atoms  $\propto Z^2$  → «Z-contrast imaging»
  - Atom columns always appear **bright** and channels **dark**
  - Dark field mode: use STEM with **ring** detector to detect electrons elastically scattered at **large** angles (**4 to 9°**)
  - Spatial resolution defined by **beam size**





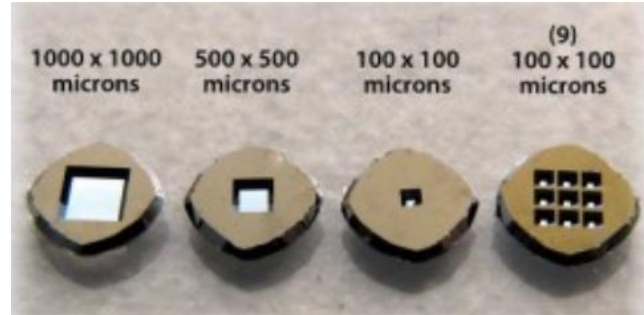
# Specimen preparation

## C-coated Copper Grids

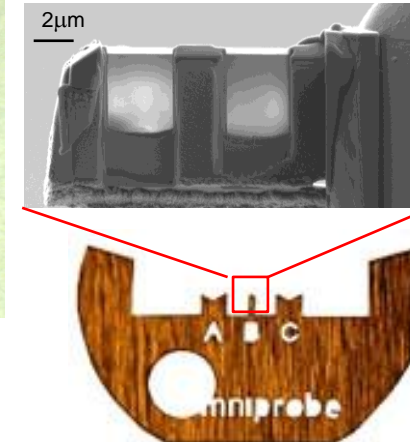
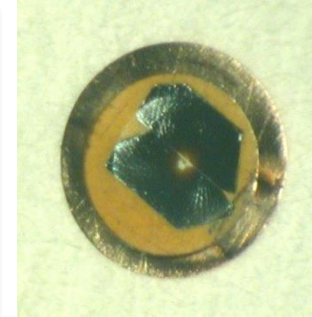


<https://scienceservices.de>

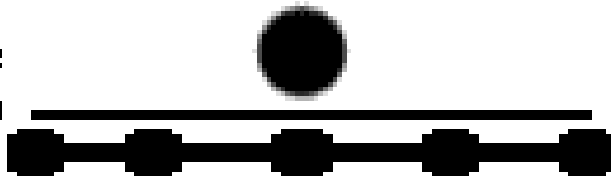
## SiN Membranes



<http://www.octalab.com>

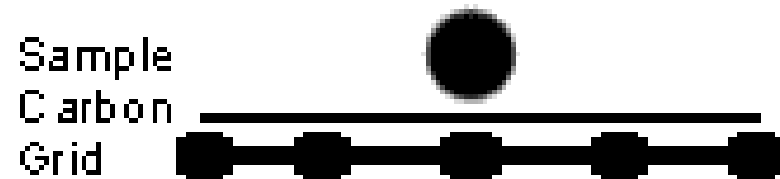


Sample  
Carbon  
Grid



Sample must be

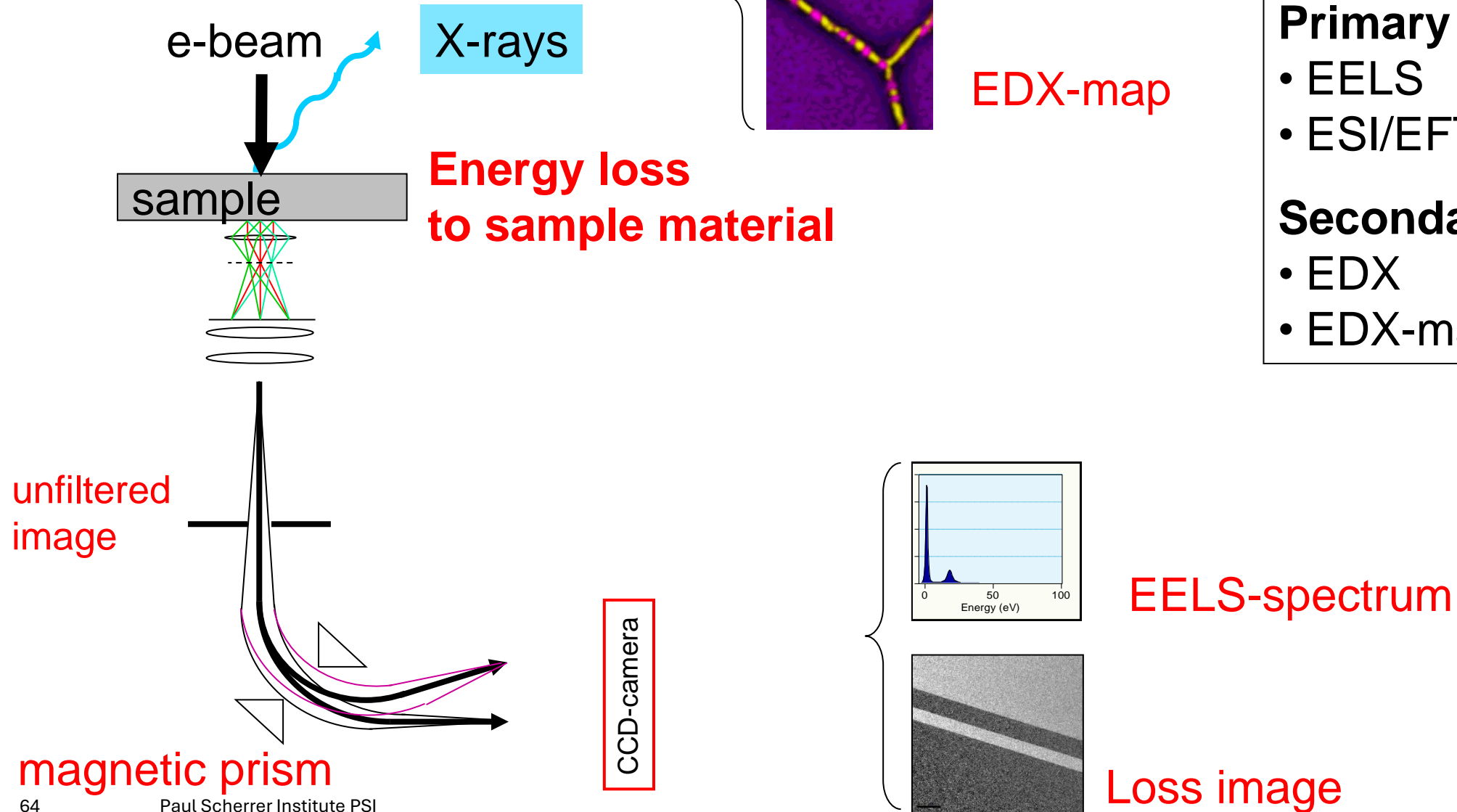
- **transparent** to electrons, so for most applications **< 100 nm** thick!
- Vacuum compatible (usually dry)
- Clean (contamination!)
- Beam resistant (-> cryo for beam sensitive specimens)



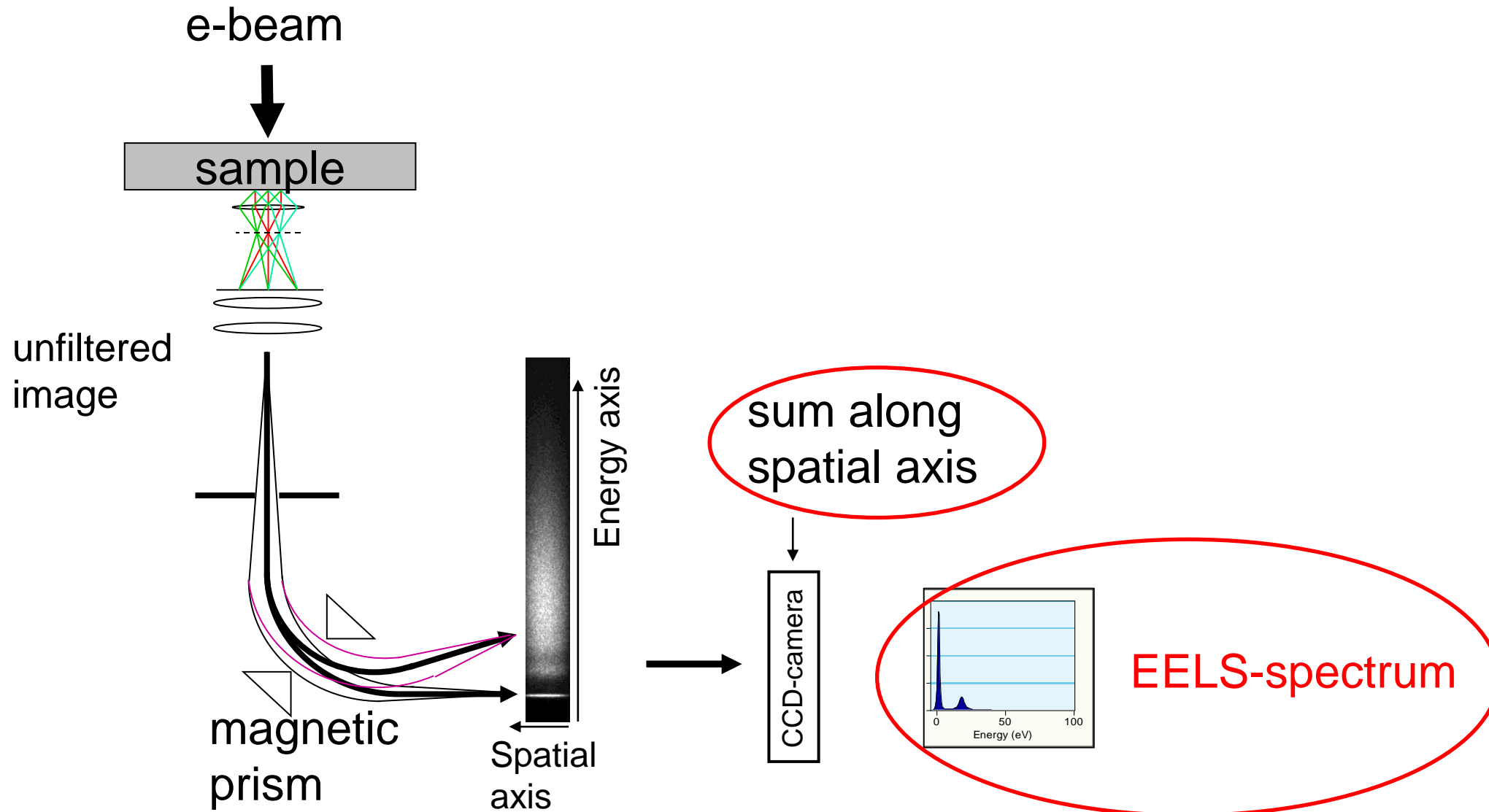
But why a Carbon or SiN support?

- Transparent to electrons
- Low Background
- Heat resistant
- Excellent chemical stability
- Does not electrically charge

# Analytical TEM

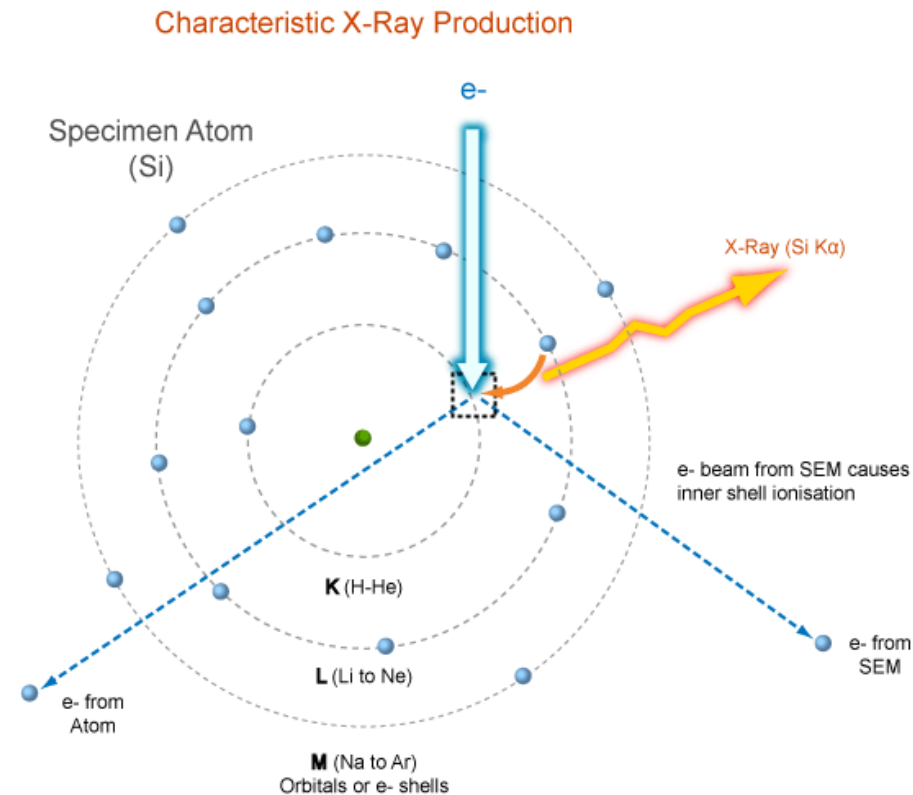


# Electron Energy Loss Spectroscopy (EELS)



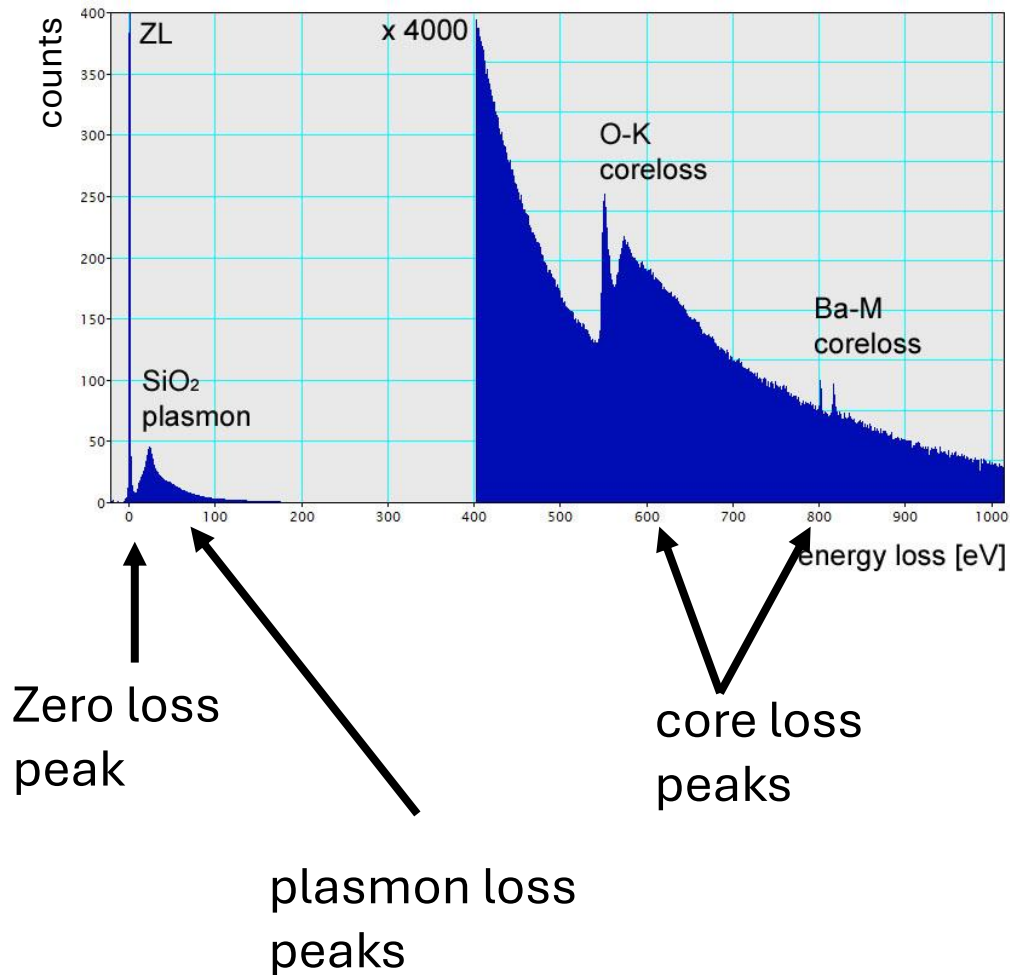


- The beam electron can transfer energy to an electron of an atom of the specimen:
  - excitation to an unoccupied state or
  - shooting an electron out
- The unoccupied state can be filled by an electron of an outer shell under the emission of an X-ray.
- The energy of the X-ray is specific for the respective atomic species



# Electron Energy Loss Spectroscopy

Electron of a sample atom is excited to an empty state

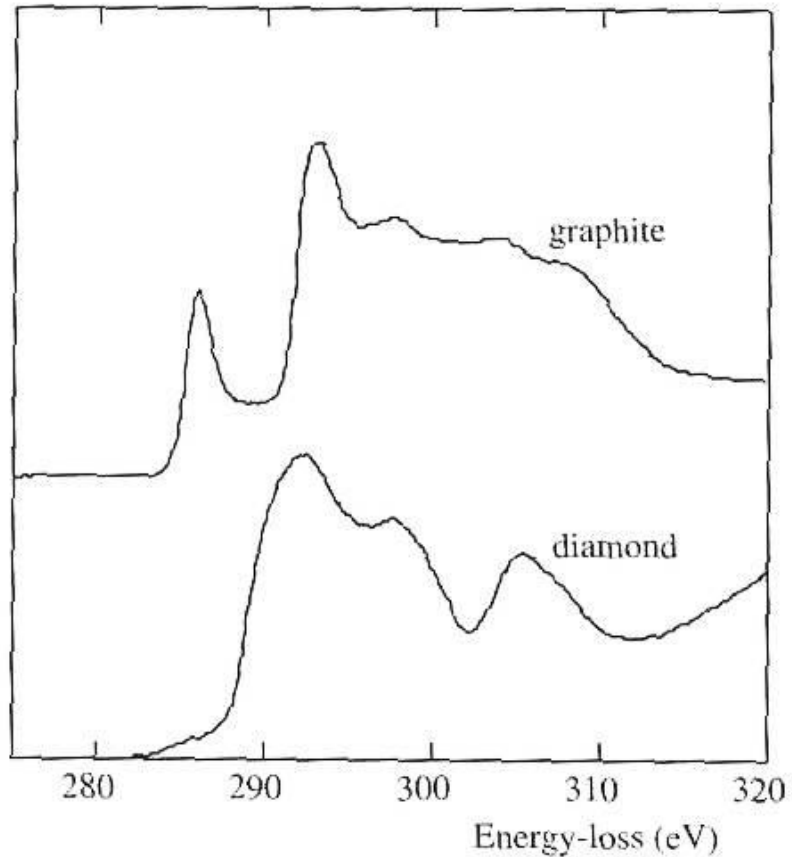


## EELS characteristics

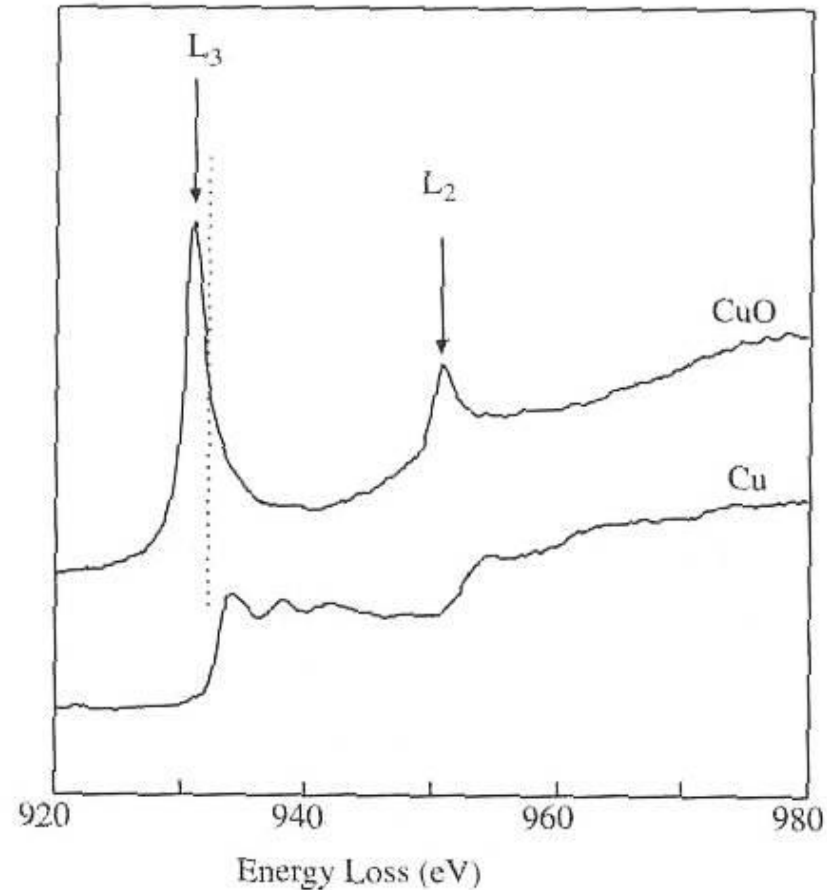
- intensity decrease
- large **background**
- high sensitivity for light elements!
- Usable energy range:  $\leq 2000\text{eV}$

## Information on

- sample thickness
- sample composition (quantitative)
- local bonding configuration

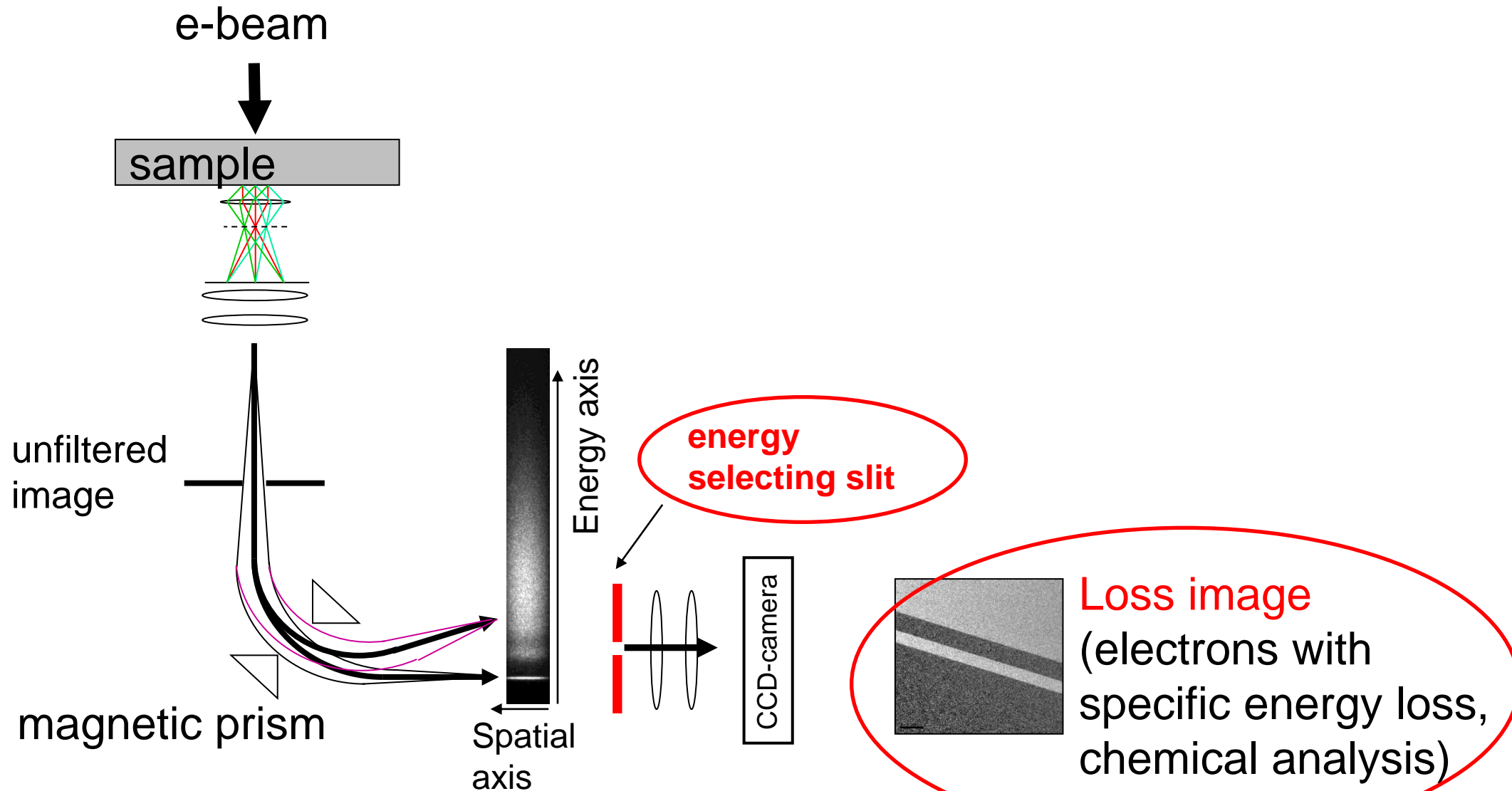


**Characteristics of the C\_K edge  
depending on hybridization**



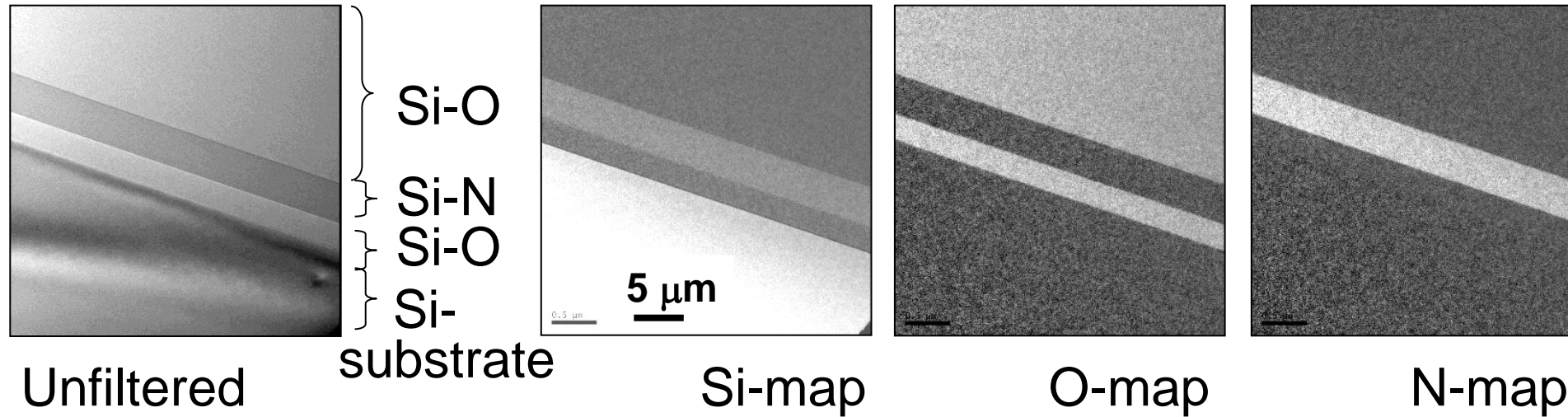
**Characteristics of the Cu\_L<sub>2,3</sub>  
edges depending on oxidation  
state of Cu**

# Electron Spectroscopic Imaging (ESI) = Energy Filtered TEM (EFTEM) PSI



# Energy filtered TEM (EFTEM)

Images are acquired with electrons of a specific energy loss



## Elemental maps:

- areas containing the specific element are bright
- only relative intensities

## Restrictions:

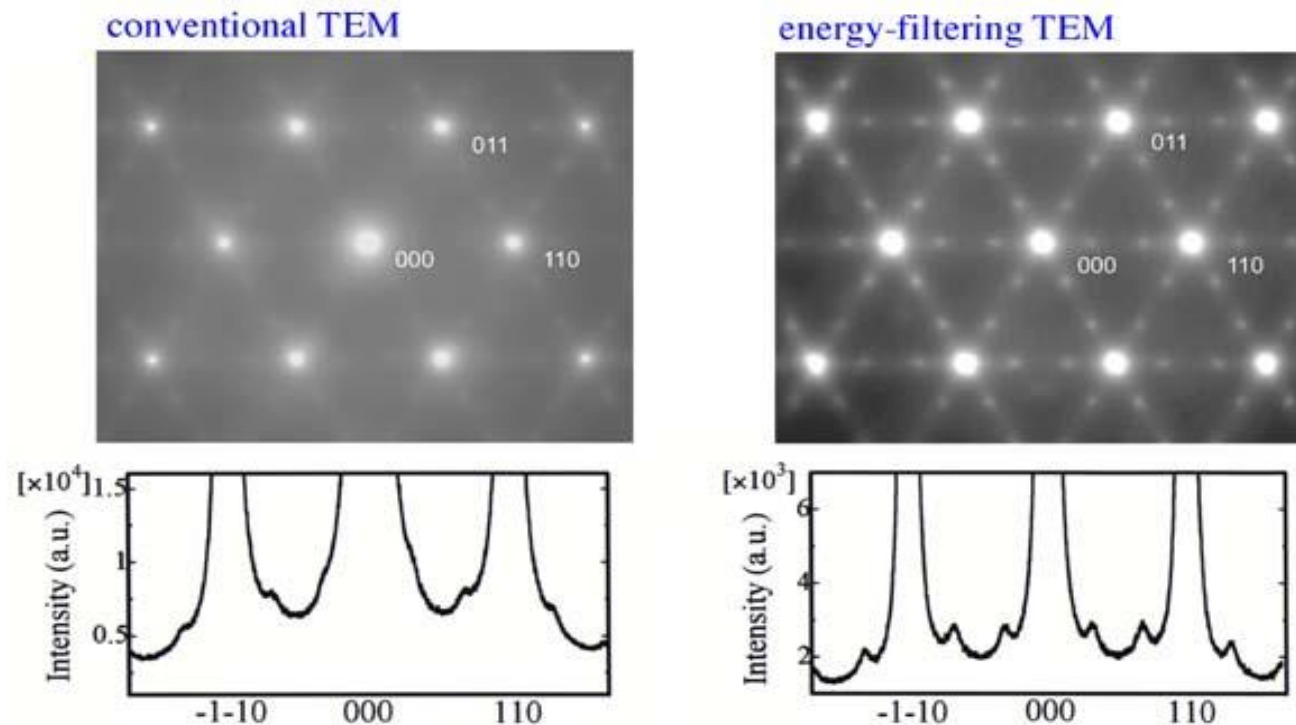
- Thin specimen areas required
- Signal to noise ratio is poor (especially at high energy losses!)
- No overlapping edges
- **No maps without EEL spectrum!**



# Special application of EFTEM/ESI: Zero-loss filtering

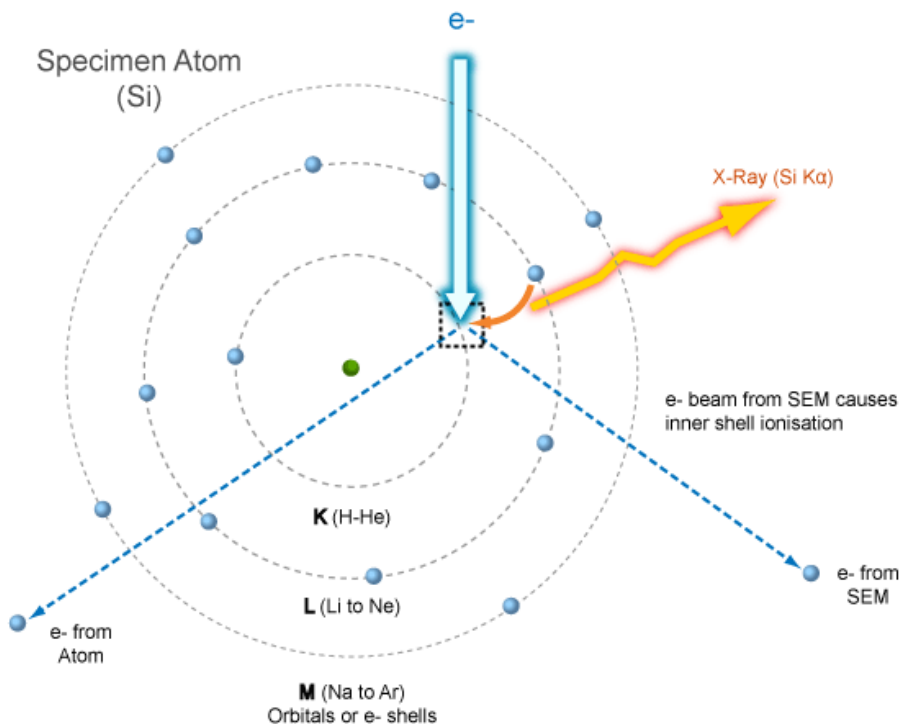
**ZL-filtering:** All inelastically scattered electrons are removed

- blurring due to inelastic scattering is removed
- no chemical information



- The beam electron can transfer energy to an electron of an atom of the specimen:
  - **excitation to an unoccupied state** or
  - **shooting an electron out**
- The **unoccupied state can be filled** by an electron of an outer shell under the **emission of an X-ray**.
- The energy of the X-ray is specific for the respective atomic species

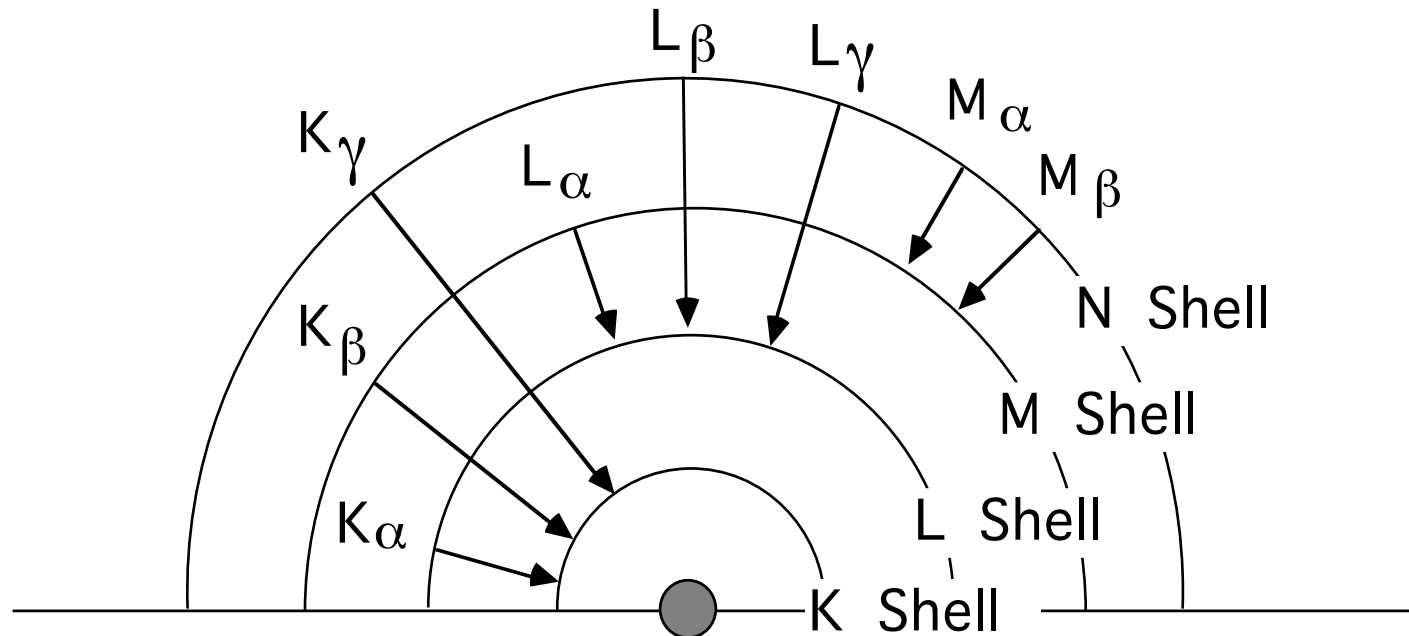
Characteristic X-Ray Production



- The ionisation energy for a removal of an atom from the K-shell requires highest energy as it is more strongly bound to the atom core than an outer shell electron.
- **Characteristic X-rays** are due to electron transitions between different shells
- The energy of each sub shell is **characteristic for the respective atomic species**.
- The characteristic X-rays give rise to comparably narrow peaks in the spectrum on top of the Bremsstrahlung (electromagnetic radiation produced by the acceleration or especially the deceleration of a charged particle after passing through the electric and magnetic fields of a nucleus).

# Characteristic X-ray lines

## Nomenclature for Principle X-ray Emission Lines

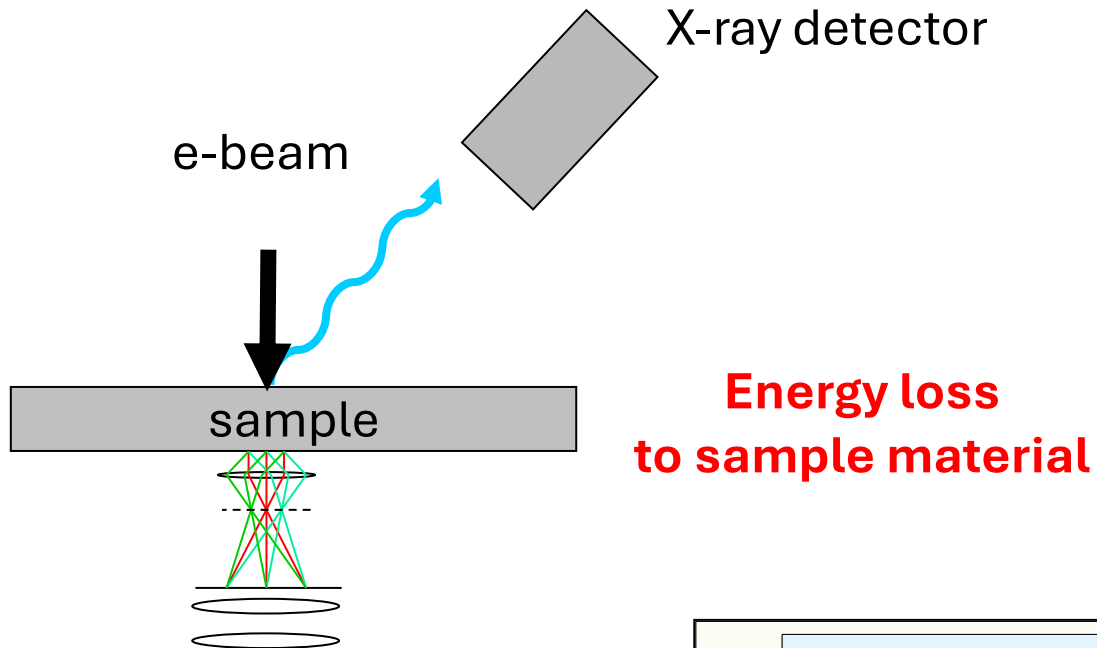


$$\text{Characteristic X-ray Line Energy} = E_{\text{final}} - E_{\text{initial}}$$

*Recall that for each atom every shell has a unique energy level determined by the atomic configuration for that element.*

Slide from N. Zaluzec

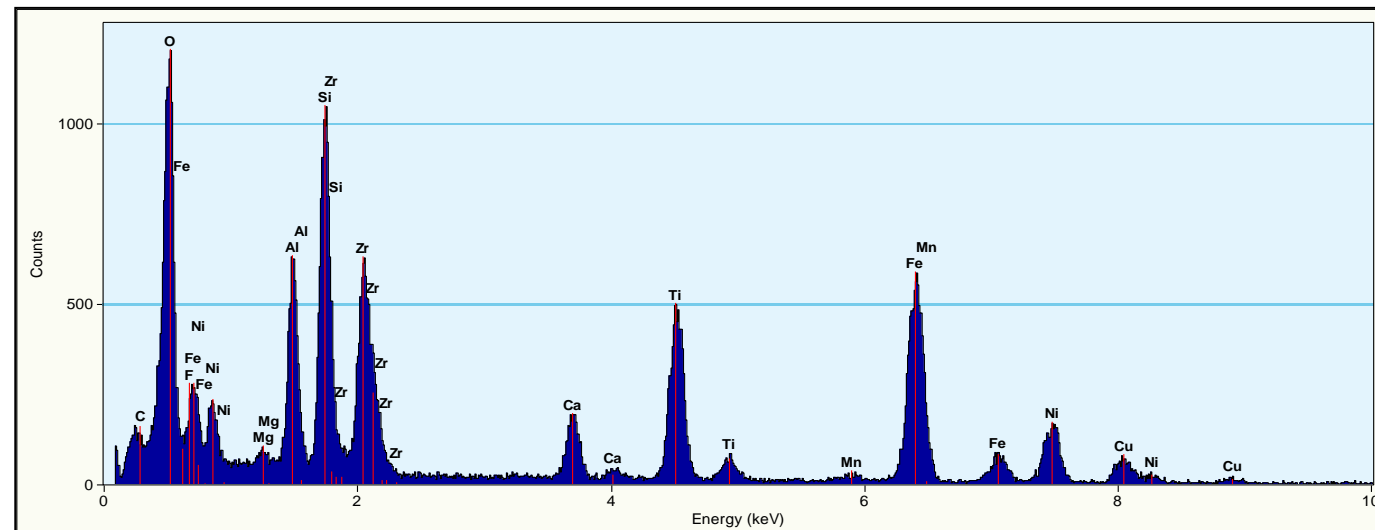
# Energy dispersive X-ray spectroscopy (EDX)



unfiltered  
image

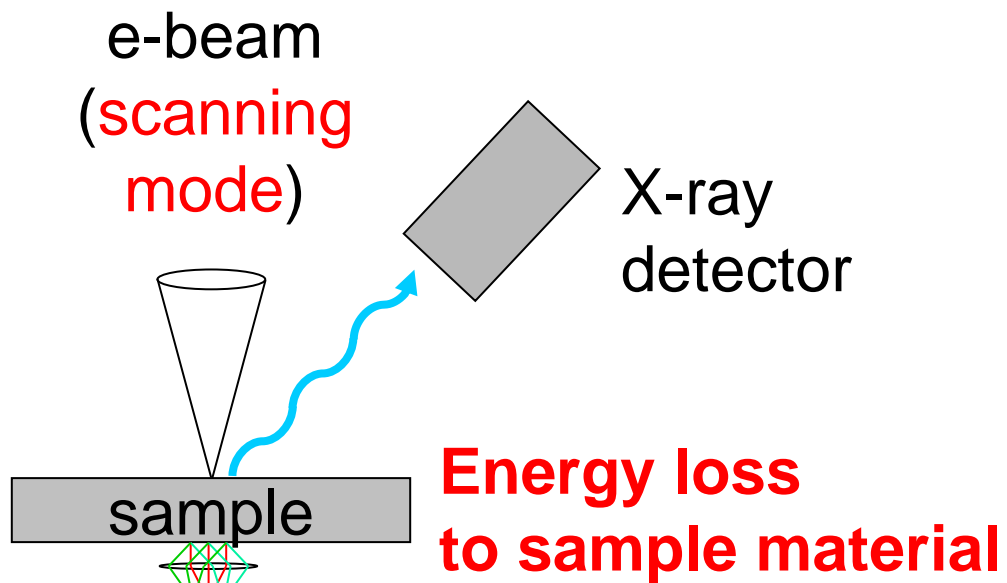
Quantification reliable for a specimen

- Homogeneous
- Thin
- with atomically flat surfaces
- if compared to standard material of defined composition....

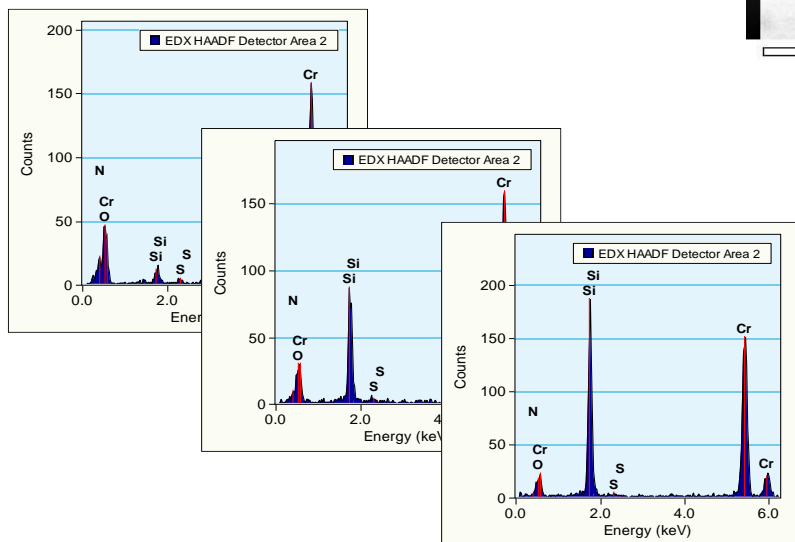




# EDX-mapping

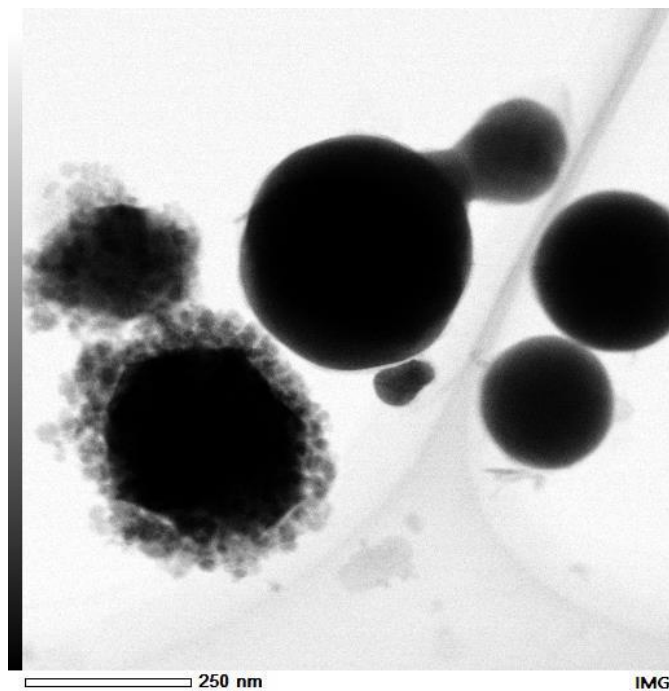


Unfiltered image

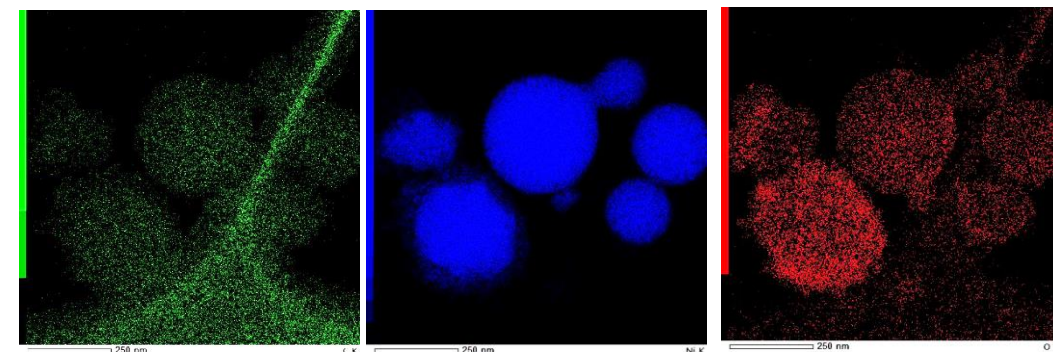
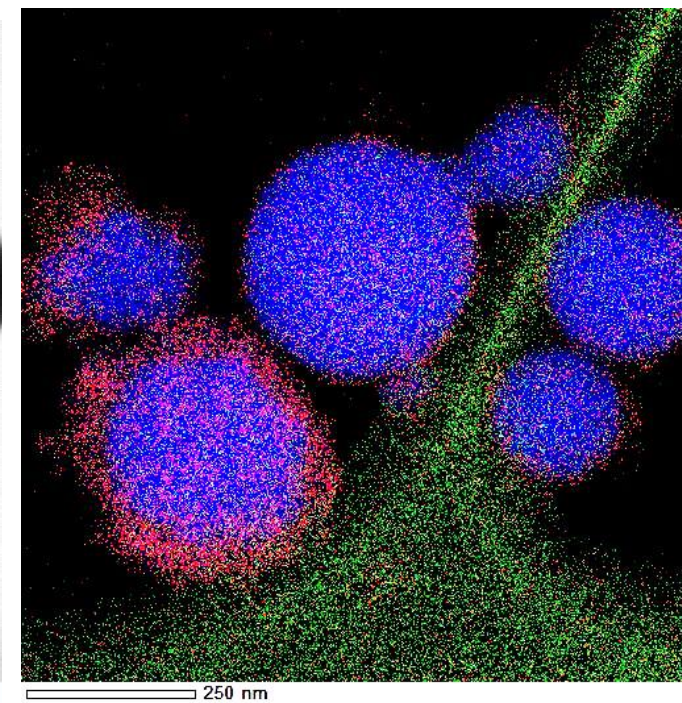


Full spectrum per pixel

BF STEM



overlay

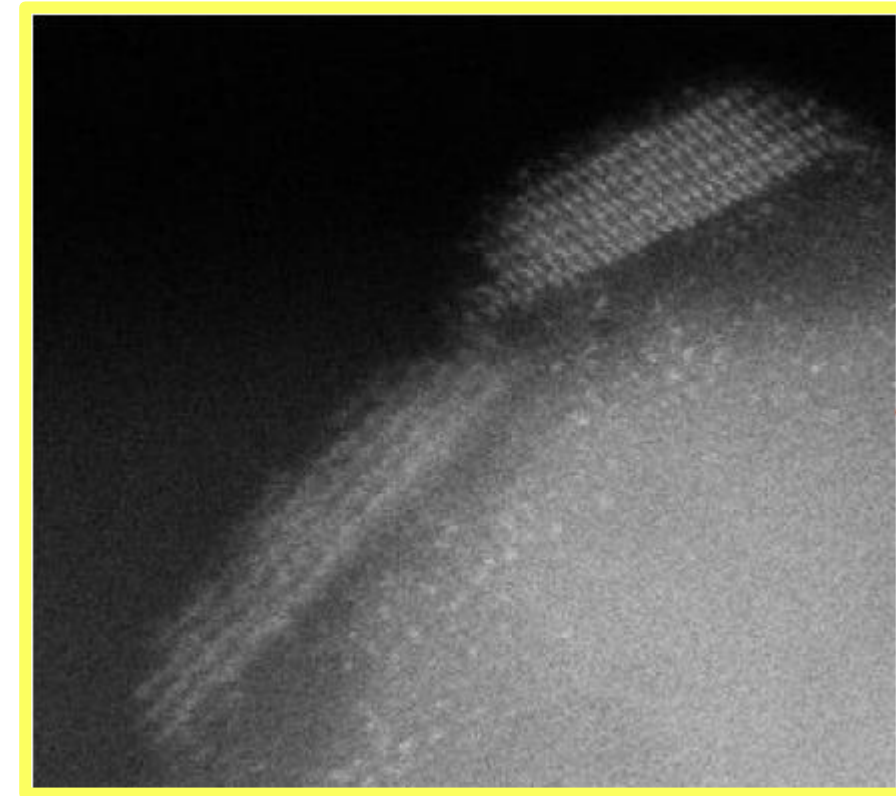
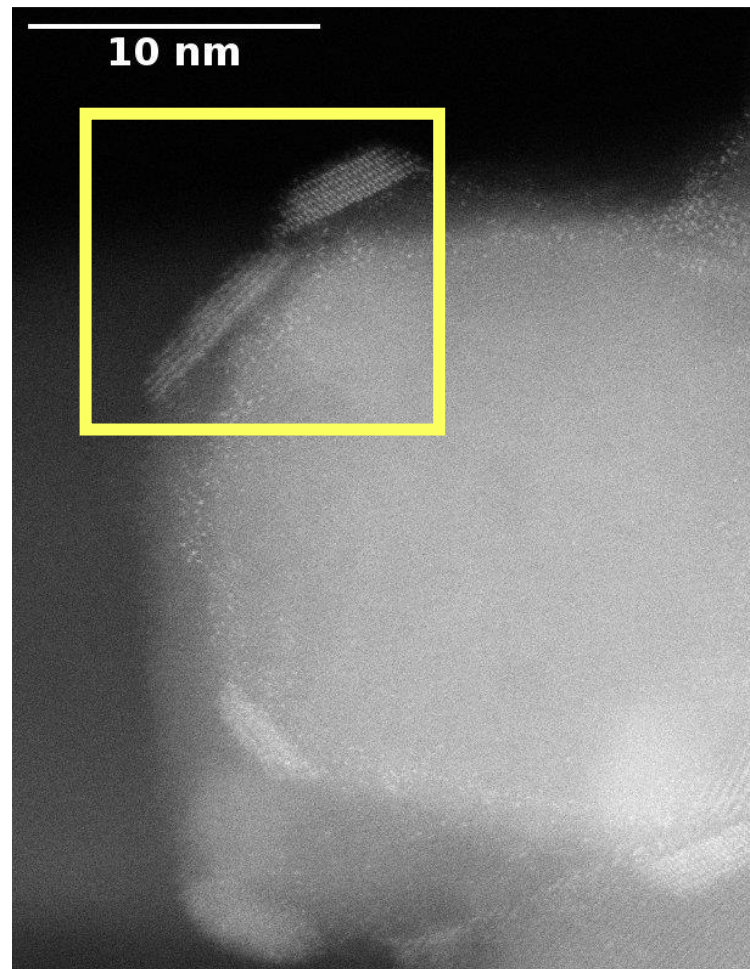
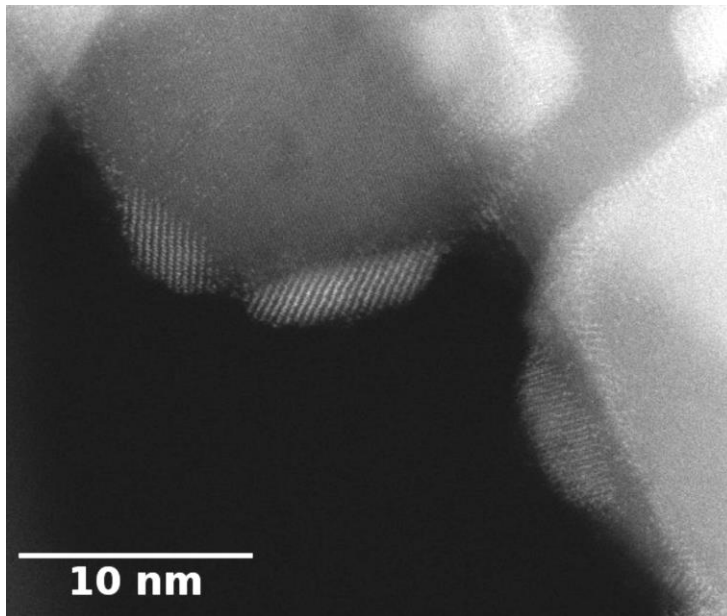


C-K map

Ni-K map

O-K map

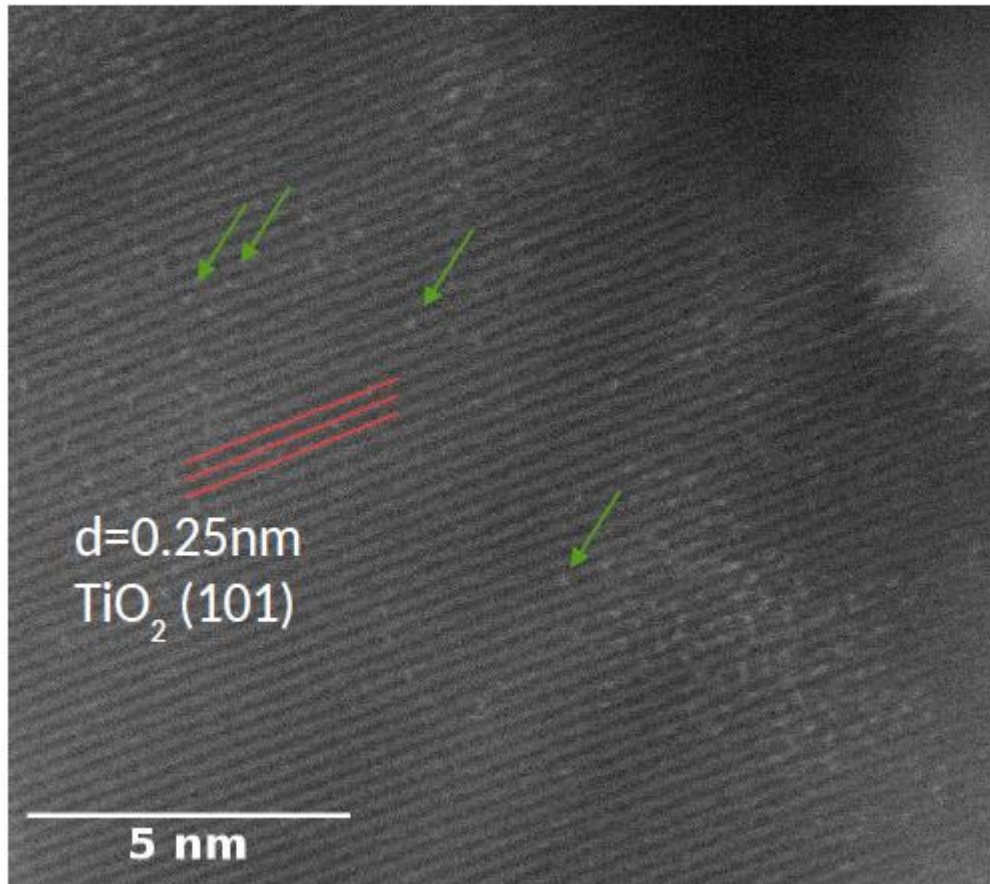
$\text{CeO}_2 / \text{TiO}_2$





$\text{CeO}_2 / \text{TiO}_2$

Ce atoms (ordered)



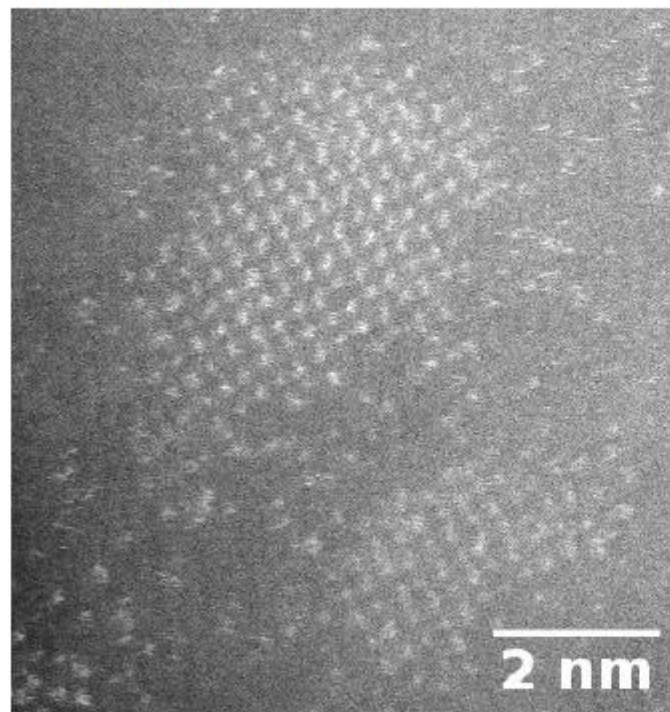
Ce atoms are located  
exclusively on the bright lines

Ce atoms bind to specific  
surface sites

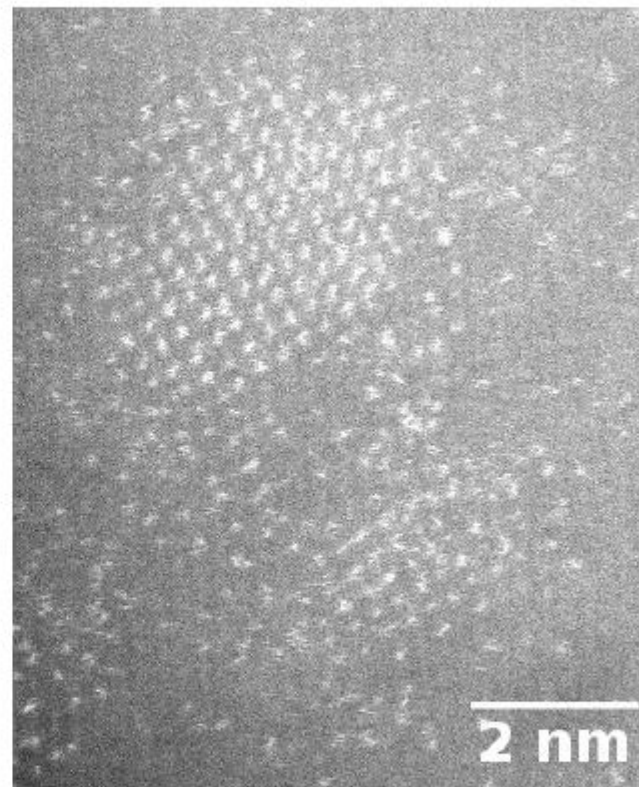
$\text{CeO}_2 / \text{TiO}_2$

Ce atoms (ordered monolayer)

1. scan



2. scan

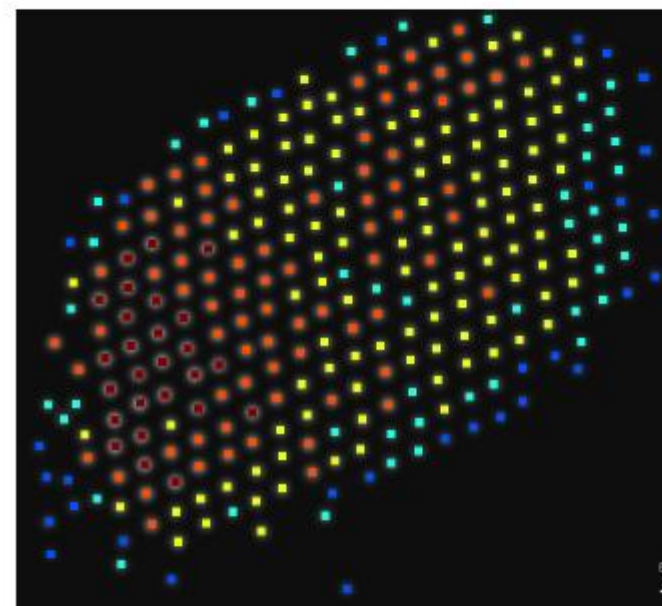
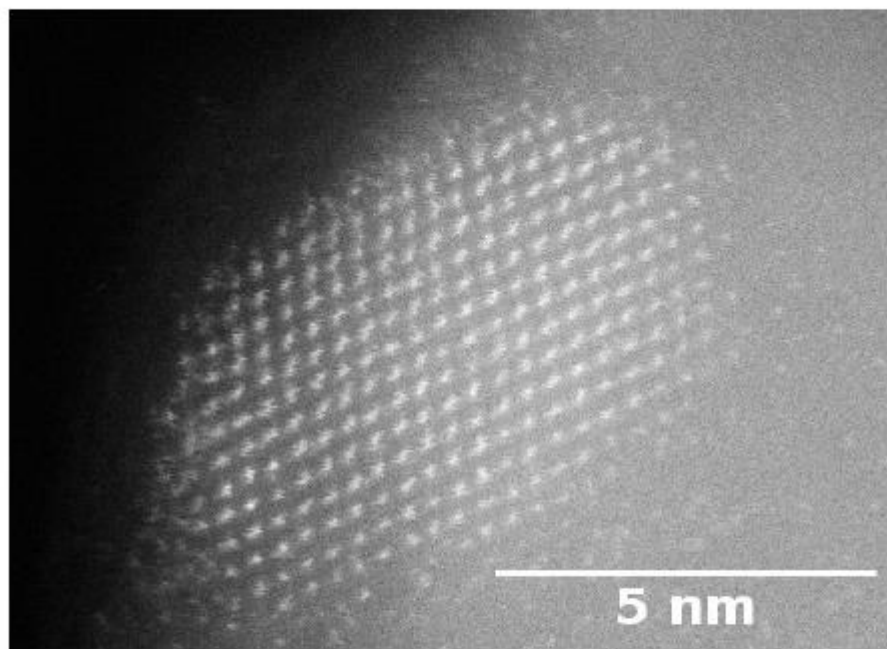


- $\text{CeO}_2$  islands seem to form layer by layer not from lateral grows of particles
- Initial layer is not very stable (Ce atoms are mobile)



$\text{CeO}_2 / \text{TiO}_2$

top view of  $\text{CeO}_2$  island



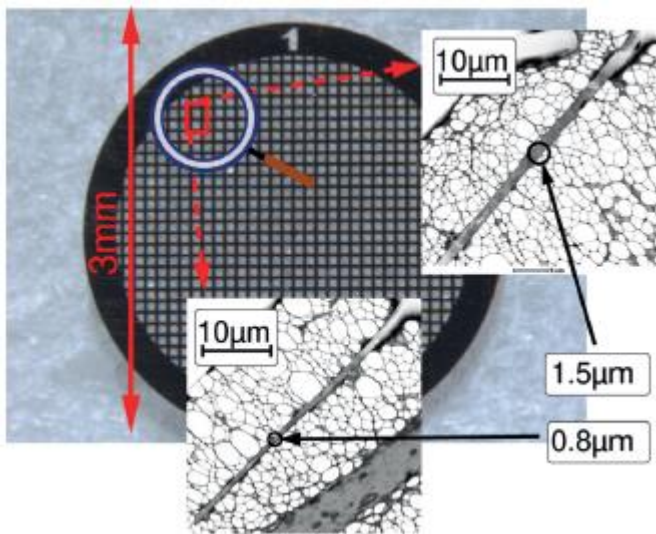
column  
length



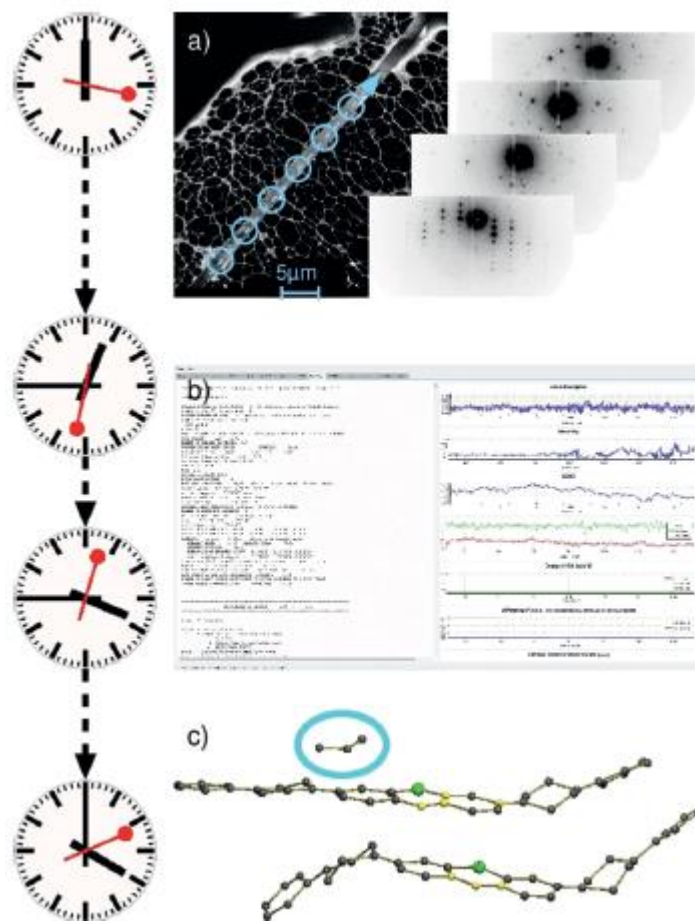
statistical analysis of brightness values (StatSTEM)

- consistent with side view (5 layers)
- indirectly also confirms monolayer

# Structure solution from crystalline needle: el. diff. works!



A thin crystalline needle out of the active pharmaceutical ingredient of the drug Grippostad® could be identified as methylene blue derivative, MBBF<sub>4</sub>



## a) Rotation diffraction = diff. tomography

Data from 15 segments collected in 45 min.

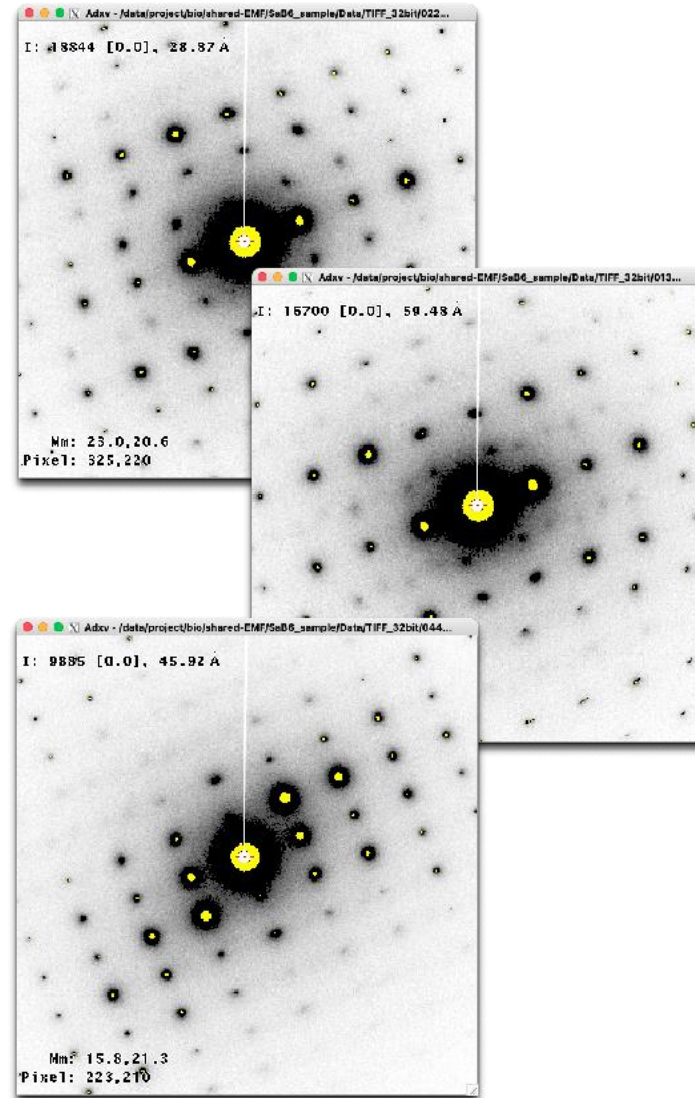
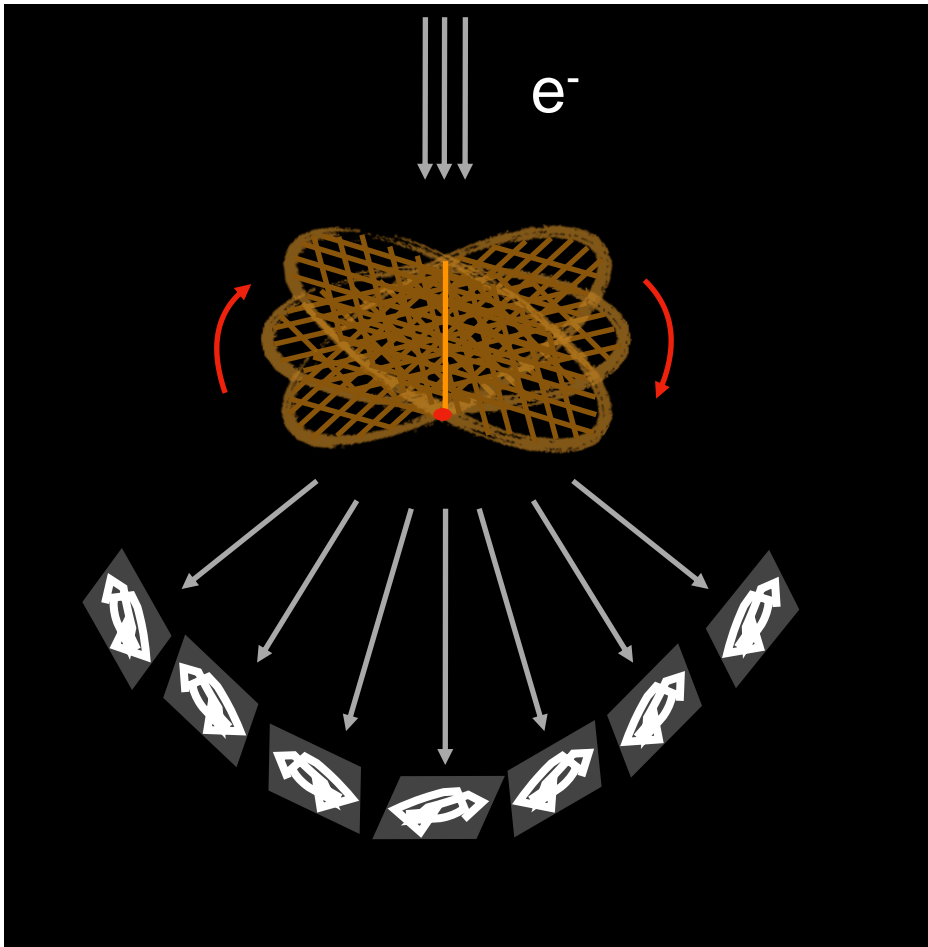
## b) Data processing

data format, alignment of diffraction patterns, determination of rotation axis, determination of space group. Data of the first nine segments were processed in 3 h and were sufficient for

## c) structure solution

using XRD software or software for electron diffraction. Done in 15 min.

# Structure solution from crystalline needle: el. diff. works!



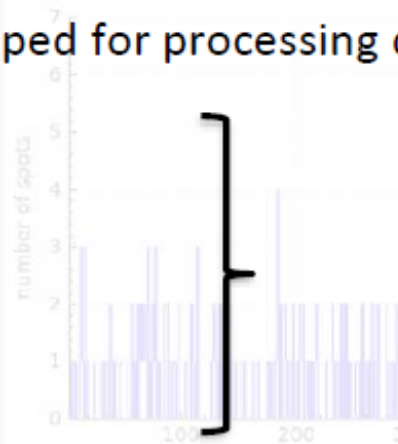


# Structure solution from crystalline needle: el. diff. works!

- Indexing diffraction patterns -> PETS (developed for processing diffraction data), XDS

- Integrating, scaling and merging

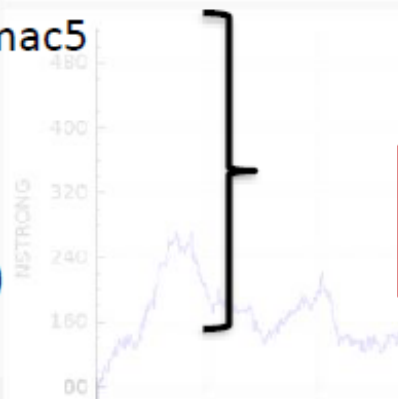
- rotation axis refinement
- peak analysis and clustering
- unit cell determination
- frame processing and peak integration
- creating reflection files



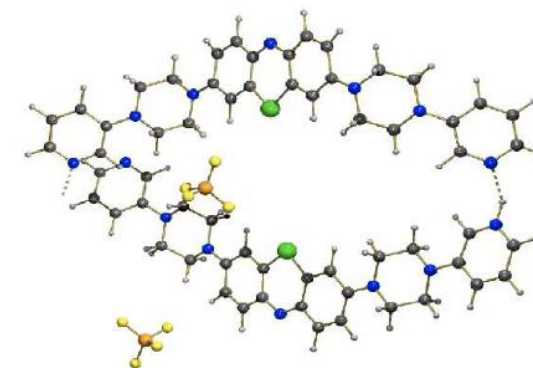
- Merge intensities to reflections
- Determine cell parameters

- Phasing and refinement -> JANA, ShelX, Refmac5

- space group determination
- electron scattering factors (ShelXL)
- structure solution (Shelxt, Superflip)
- kinematic and dynamic refinement (Dyngo)



- Determine unit cell

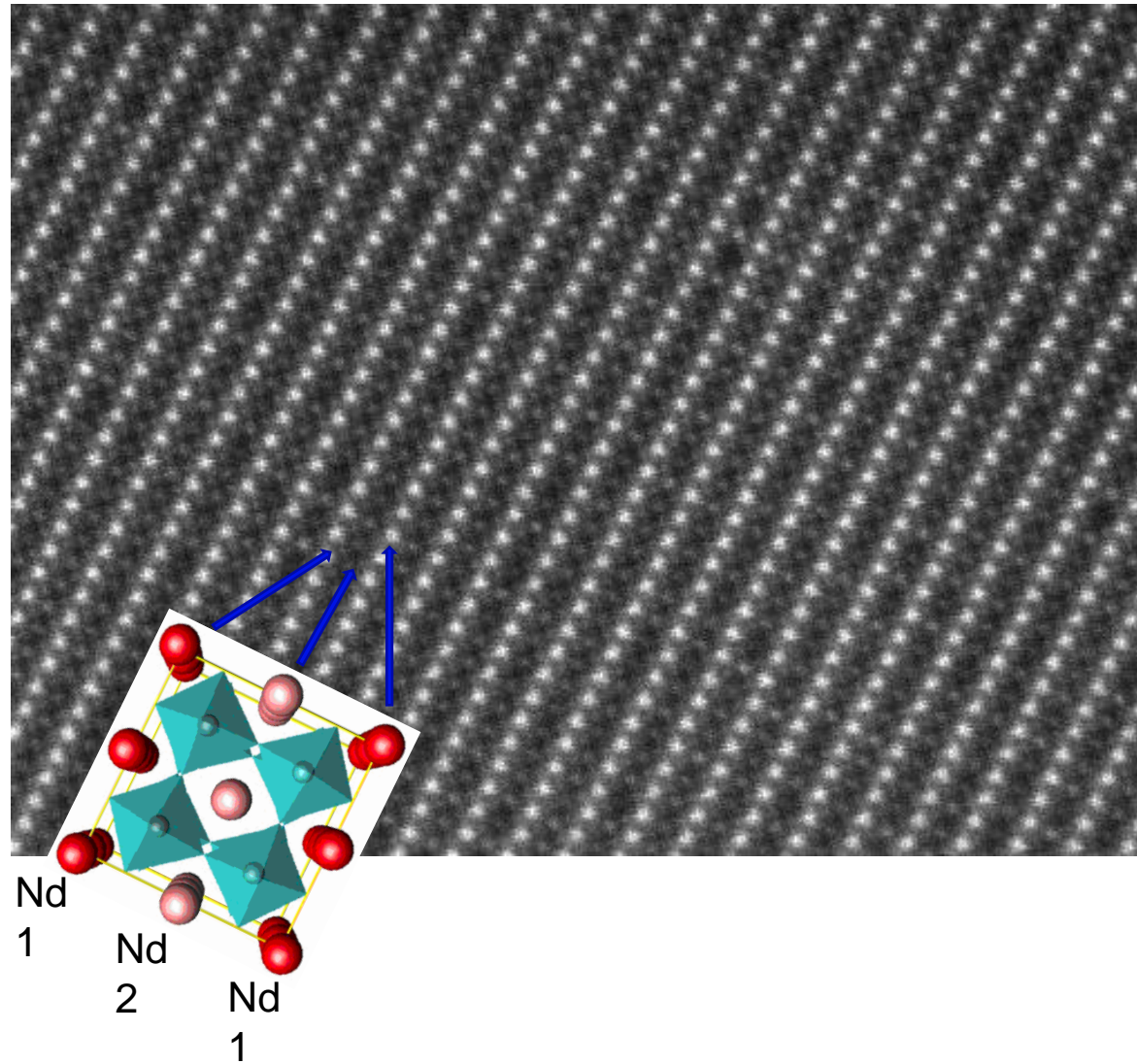


MBBF4 structure

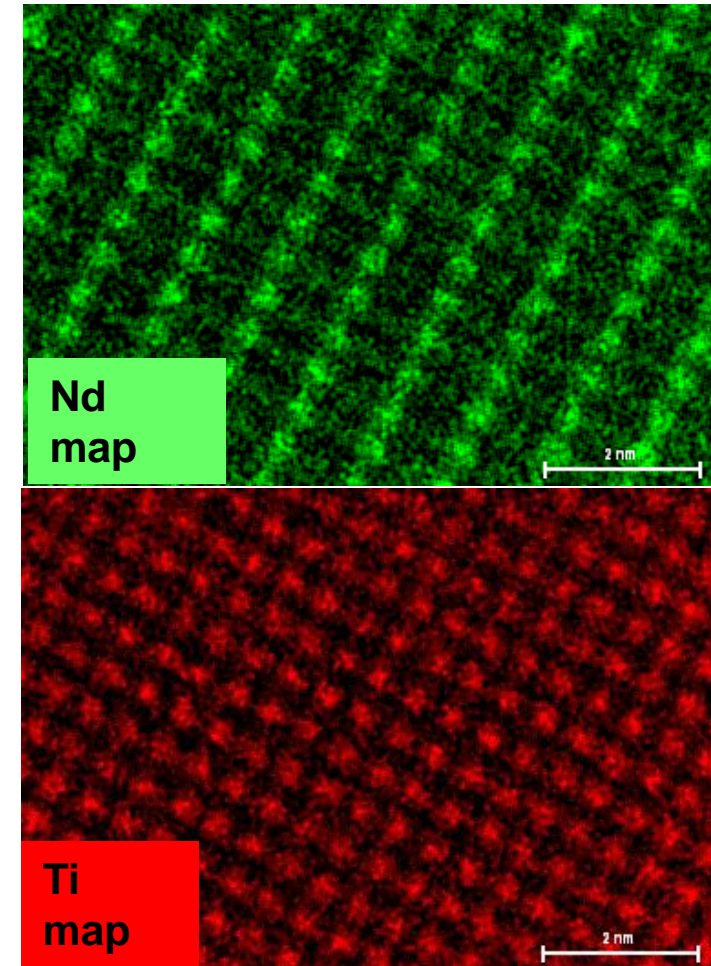
Breakthrough of the year 2018 according to Science magazine!

<https://vis.sciencemag.org/breakthrough2018/finalists/#rapid-structure>

## HAADF-EDS study of $\text{Nd}_{2/3}\text{TiO}_3$ perovskite



Paul Scherrer Institute PSI



*F Azough, R Freer, S.J Haigh*  
*University of Manchester, UK*



# Strained $\text{TbMnO}_3$ Films

