

ÉCOLE POLYTECHNIQUE FÉDÉRALE DE LAUSANNE



SEMICONDUCTOR PROPERTIES AND RELATED  
NANOSTRUCTURES

MSE-484

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Exercise session 1

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# 1 - Electron dispersion in empty regular lattice

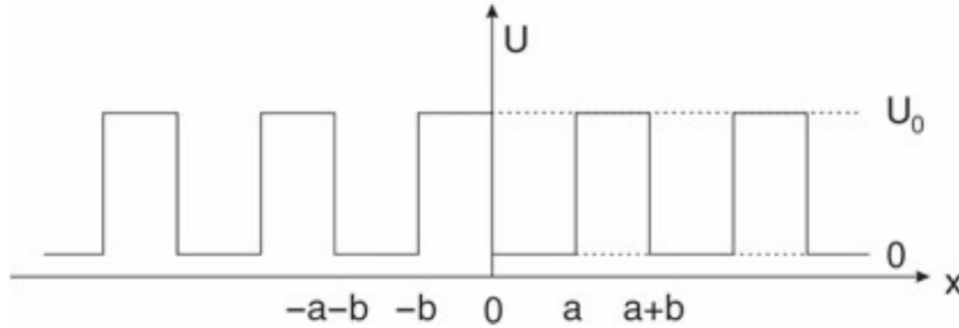
Consider a cubic lattice with a lattice parameter of 5.6 Å.

1. Plot the dispersion energy of free electrons (up to 20 eV) for the First Brillouin zone in the (100) direction.

*Hints:*

- Because of the periodicity of the crystal lattice, a periodicity restriction has to be imposed on the wavevector,  $\vec{k}$ , such that  $\vec{k} + \vec{G} = \vec{k}$ , where  $\vec{G}$  is the reciprocal lattice vector.
  - Remember that  $G'$  can be in many directions:  $G' = \frac{2\pi}{a}\{i, j, k\}$  so you could check many  $G'$ s to determine the full band structure of the system.
  - The first Brillouin zone along the (100) direction means that  $\vec{k} = \frac{2\pi}{a}\{1, 0, 0\}$ .
2. How does the dispersion relationship changes in the case of in a one-dimensional lattice with a periodic delta-function potential (Kronig-Penney Model) with a potential barrier height corresponding to  $\beta = 5$  (see the equations below)?

The Kronig-Penney model describes a crystal as a periodic potential of potential barriers with height  $U_0$ , width  $b$  and spacing  $a$ , as shown in 1.



**Figure 1:** General Kronig-Penney model (source: The Physics of Semiconductors (3rd) - Grundmann, p.866)

The solution to this system yields the equation:

$$\cos(k(a+b)) = \left( \frac{(\kappa^2 + K^2)}{2\kappa K} \right) \sinh \kappa b \sin Ka + \cosh \kappa b \cos Ka \quad (1)$$

From this dispersion relation the allowed electron energies can be calculated. If we approximate the barriers with delta functions, then  $b = 0$ ,  $\sinh(\kappa b) = \kappa b$ ,  $\cosh(\kappa b) = 1$  and then the dispersion relation can be expressed by:

$$\cos(ka) = \beta \frac{\sin(Ka)}{Ka} + \cos Ka = B(K) \quad (2)$$

## 2 - Density of states

1. Consider an electron in a finite potential well. Derive an analytical expression for the number of available states as a function of energy  $E$  for all the possible  $\mathbf{k}$ -values, i.e., in three dimensions.

*Hints:*

- Schrodinger's equation describes the dynamics of the system using a wave function. Start with writing the time independent Schrodinger equation.
  - De Broglie relation relates the wave-particle duality. It simply says that the momentum of a particle is inversely proportional to his wavelength.
  - Then apply the boundary conditions for finite potential.
2. Consider a particle in a 1D system and derive the analytical expression for the number of available states as a function of  $E$ .

## 3 - Effects of confinement on carriers statistic

Consider the case of a quantum wire (1D):

1. Sketch the  $E(k)$  relation in this case.
2. What is the influence of the nanowire's diameter on the energy dispersion relation?
3. Are  $N_c$  and  $N_v$  higher in a nanowire or in a bulk?
4. How does the bandgap value change when decreasing the dimensionality of the system from 3D to 1D?

*Hints:*

- Start by looking at the expression for  $E(k)$  in the 2D case, what happens if another dimension is confined?
- Compare the energy dependence of the DOS relations for the 3D and 1D case to understand what happens to the carrier densities.
- Think of how you would plot the density of states for the different scenarios to understand what happens to the bandgaps.