

CLOSE TO COLLAPSE



MSE 423 Fundamentals of Solid-state Materials - Nicola Marzari (EPFL, Fall 2024)

Last week: Postulates

- Operators, eigenvalues, eigenfunctions, expectation values

$$\hat{O}|\psi_i\rangle = \epsilon_i |\psi_i\rangle \quad \epsilon_i = \langle \psi_i | \hat{O} | \psi_i \rangle$$

- Linear operators, Hermitian operators $\Rightarrow \langle \psi | \hat{A} \psi \rangle = \langle \hat{A} \psi | \psi \rangle$
- Products of operators, and commutators $[\hat{A}, \hat{B}] = \hat{A}\hat{B} - \hat{B}\hat{A}$
- Commuting operators and common eigenfunctions $\Rightarrow [\hat{A}, \hat{B}] = 0 \Rightarrow \hat{A}\hat{B} = \hat{B}\hat{A}$
- The five postulates of quantum mechanics
 - I. Wavefunctions $|\psi\rangle$
 - II. Operators
 - III. Measurements
 - IV. Expectation values, probabilities $\langle \psi | \psi \rangle = 1$
 - V. ...

$$|\psi\rangle = \sum_n c_n |\psi_n\rangle$$

$$\hat{A} |\psi_n\rangle = a_n |\psi_n\rangle$$

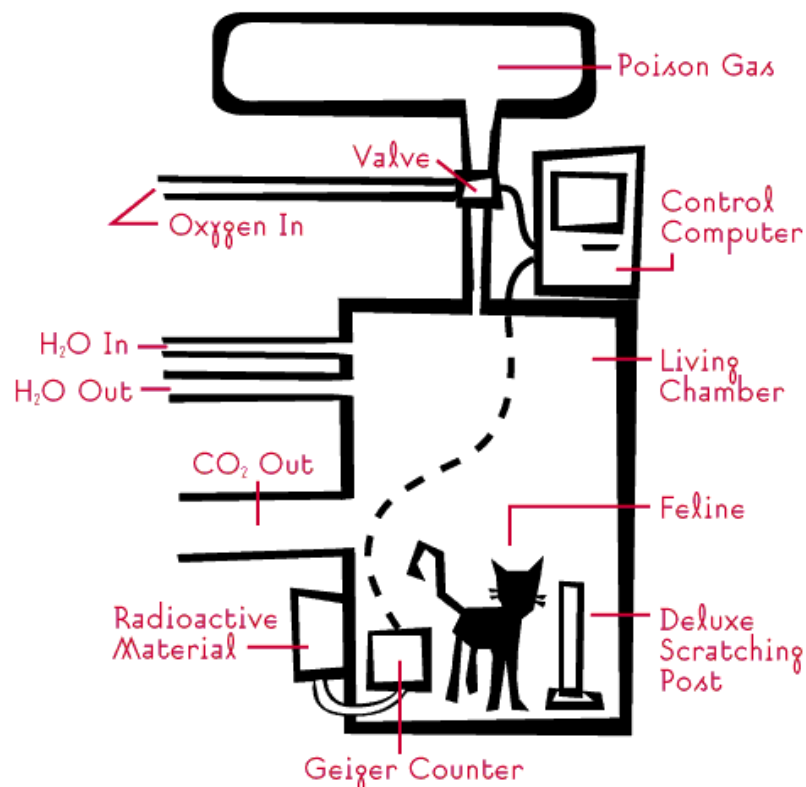
$$\langle \psi_n | \psi \rangle^2$$

Fifth postulate: collapse

- If the measurement of the physical quantity A gives the result a_n , the wavefunction of the system immediately after the measurement is the eigenvector $|\varphi_n\rangle$

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When scientists turn bad...



Cat wavefunction

$$|\Psi_{cat}(t)\rangle = |\Psi_{alive}\rangle \left(\exp\left(-\frac{t}{\tau}\right) \right)^{\frac{1}{2}} + |\Psi_{dead}\rangle \left(1 - \exp\left(-\frac{t}{\tau}\right) \right)^{\frac{1}{2}}$$

- There is not a value of the observable until it's measured (a conceptually different “statistics” from thermodynamics)

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NEWS

• 18 SEPTEMBER 2018

Reimagining of Schrödinger's cat breaks quantum mechanics — and stumps physicists

In a multi-‘cat’ experiment, the textbook interpretation of quantum theory seems to lead to contradictory pictures of reality, physicists claim.

Daide Castelvocchi

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Top Three List

- **Albert Einstein:** *“Gott wurfelt nicht!” [God does not play dice!]*
- **Werner Heisenberg** *“I myself . . . only came to believe in the uncertainty relations after many pangs of conscience. . .”*
- **Erwin Schrödinger:** *“Had I known that we were not going to get rid of this damned quantum jumping, I never would have involved myself in this business!”*

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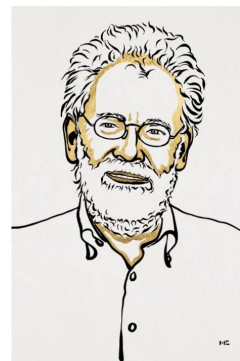
The Nobel Prize in Physics 2022



Ill. Niklas Elmehed © Nobel Prize Outreach
Alain Aspect
Prize share: 1/3



Ill. Niklas Elmehed © Nobel Prize Outreach
John F. Clauser
Prize share: 1/3



Ill. Niklas Elmehed © Nobel Prize Outreach
Anton Zeilinger
Prize share: 1/3

The Nobel Prize in Physics 2022 was awarded jointly to Alain Aspect, John F. Clauser and Anton Zeilinger "for experiments with entangled photons, establishing the violation of Bell inequalities and pioneering quantum information science"

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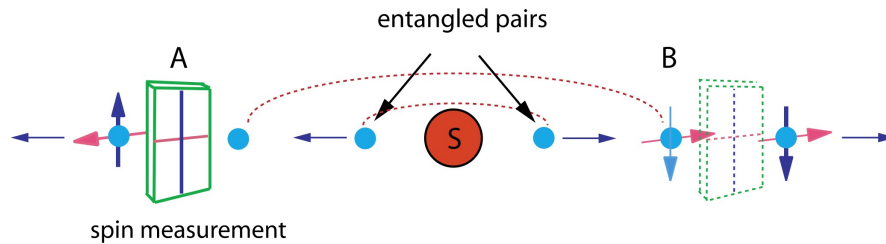
Can Quantum-Mechanical Description of Physical Reality Be Considered Complete?

A. EINSTEIN, B. PODOLSKY AND N. ROSEN, *Institute for Advanced Study, Princeton, New Jersey*

(Received March 25, 1935)

In a complete theory there is an element corresponding to each element of reality. A sufficient condition for the reality of a physical quantity is the possibility of predicting it with certainty, without disturbing the system. In quantum mechanics in the case of two physical quantities described by non-commuting operators, the knowledge of one precludes the knowledge of the other. Then either (1) the description of reality given by the wave function in

quantum mechanics is not complete or (2) these two quantities cannot have simultaneous reality. Consideration of the problem of making predictions concerning a system on the basis of measurements made on another system that had previously interacted with it leads to the result that if (1) is false then (2) is also false. One is thus led to conclude that the description of reality as given by a wave function is not complete.



<https://www.nobelprize.org/uploads/2022/10/advanced-physicsprize2022.pdf>

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Uncertainties, and Heisenberg's Indetermination Principle

$$\begin{aligned}
 \overbrace{(\Delta A)^2}^{\text{variance}} &= \langle (\hat{A} - \langle \hat{A} \rangle)^2 \rangle = \langle \hat{A}^2 \rangle - \langle \hat{A} \rangle^2 \\
 &= \langle \psi | (\hat{A} - \langle \hat{A} \rangle)^2 | \psi \rangle = \langle \psi | \hat{A} \cdot \hat{A} - 2\hat{A} \cdot \langle \hat{A} \rangle + \langle \hat{A} \rangle^2 | \psi \rangle
 \end{aligned}$$

$$\Delta A \Delta B \geq \frac{1}{2} |\langle [A, B] \rangle|$$

$$f = i\hbar f$$

$$\left[x, -i\hbar \frac{d}{dx} \right] = i\hbar$$

Linewidth Broadening

PHYSICAL REVIEW A 62 063404

$$\Delta E \Delta t \geq \frac{\hbar}{2}$$

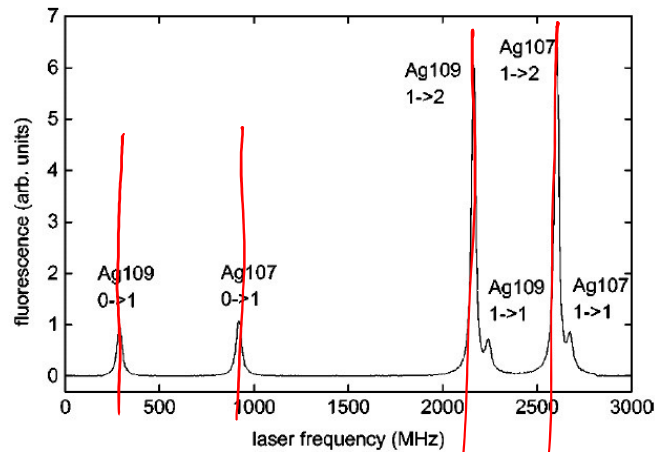


FIG. 2. The $^2S_{1/2}-^2P_{3/2}$ spectrum, obtained with a frequency-doubled diode laser aligned perpendicular to the thermal atomic beam.

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Good Quantum Numbers

$$\hat{H}|\psi\rangle = i\hbar \frac{\partial}{\partial t} |\psi\rangle$$

$$\frac{d\langle \hat{A} \rangle}{dt} = \frac{d\langle \Psi | \hat{A} | \Psi \rangle}{dt} = \frac{1}{i\hbar} \langle [\hat{A}, \hat{H}] \rangle$$

Handwritten notes: A red arrow points from the commutator term to the right. To the right of the equation, there are handwritten notes: '0 Good' and 'Not Good' with a red 'X' and '0 Bad'.

^
If $\hat{A}$ commutes with the Hamiltonian, and it does not depend on time, its expectation value does not change with time (it's a constant of motion – if we are in an eigenstate, that quantum number will remain constant)

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Variational Principle

FUNCTIONAL OF Ψ

$$E[\Psi] = \frac{\langle \Psi | \hat{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle} = \frac{\int \Psi^* \hat{H} \Psi}{\int |\Psi|^2}$$

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Variational Principle

$$E[\Psi] = \frac{\langle \Psi | \hat{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle}$$

$$E[\Psi] \geq E_0$$

If $E[\Psi] = E_0$, then Ψ is the ground state wavefunction, and viceversa...

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$$|\Psi\rangle = \sum_n c_n |\varphi_n\rangle$$

ARBITRARY

COMPLETE SET OF EIGENSTATES

$$\hat{H}|\varphi_n\rangle = \varepsilon_n |\varphi_n\rangle$$

$$\sum_m c_m^* \langle \varphi_m | \varphi_n \rangle$$

δ_{mn}

$c_m^* \delta_{mn}$

$$\frac{\langle \Psi | \hat{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle} = \frac{\langle \Psi | \hat{H} (\sum_n c_n |\varphi_n\rangle)}{\langle \Psi | (\sum_n c_n |\varphi_n\rangle)} =$$

$$= \frac{\sum_n c_n \langle \Psi | \hat{H} | \varphi_n \rangle}{\sum_n c_n \langle \Psi | \varphi_n \rangle} = \frac{\sum_n c_n \varepsilon_n \langle \Psi | \varphi_n \rangle}{\sum_n c_n \langle \Psi | \varphi_n \rangle} =$$

$$= \frac{\sum_n c_n \varepsilon_n (\sum_m c_m^* \delta_{mn})}{\sum_n c_n c_n^*} = \frac{\sum_n c_n c_n^* \varepsilon_n}{\sum_j c_j c_j^*}$$

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$$E[\Psi] = \frac{\sum_l c_l c_l^* \varepsilon_l}{\sum_j c_j c_j^*}$$

ARBIT. NUMB.

ARBIT. NUMB.

$\varepsilon_0, \varepsilon_1, \varepsilon_2, \varepsilon_3$

$\varepsilon_0 c_0 c_0^* + \varepsilon_1 c_1 c_1^* + \varepsilon_2 c_2 c_2^* + \dots$

$\sum_j c_j c_j^*$

$$= \frac{\varepsilon_0 \sum_l c_l c_l^*}{\sum_j c_j c_j^*} = \varepsilon_0$$

$$|\Psi\rangle = \sum_n c_n |\varphi_n\rangle$$

$= c_0 |\varphi_0\rangle$

?

$|\Psi\rangle = a_1 |\varphi_1\rangle + \dots + a_{10} |\varphi_{10}\rangle$

SIMPLIF.

$$E[\Psi] = f(a_1, \dots, a_{10})$$

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Matrix Formulation (I)

vector in
∞-dim
Hilbert

0 No Eq.

$$\hat{H}|\psi\rangle = E|\psi\rangle$$

DIFF. EQ. (ANY)

$$|\psi\rangle \approx \sum_{n=1, \text{to } 10} c_n |\varphi_n\rangle \quad \{|\varphi_n\rangle\} \text{ orthogonal NORMAL}$$

$$\langle \varphi_m | \hat{H} | \psi \rangle = E \langle \varphi_m | \psi \rangle \quad] 10 \text{ EQUATIONS}$$

$$\sum_{n=1, \text{to } 10} c_n \underbrace{\langle \varphi_m | \hat{H} | \varphi_n \rangle}_{H_{mn}} = E c_m$$

$$\begin{aligned} \langle \varphi_m | \sum_{n=1, \text{to } 10} c_n |\varphi_n\rangle &= \\ &= \sum_n \underbrace{\langle \varphi_m | \varphi_n \rangle}_{\delta_{mn}} c_n = c_m \end{aligned}$$

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Matrix Formulation (II)

$\langle \varphi_m | \hat{H} | \varphi_n \rangle$

$$\sum_{n=1, k} H_{mn} c_n = E c_m \quad \forall m \text{ 1 eq.}$$

$$\begin{pmatrix} H_{11} - E & \dots & H_{1k} \\ \vdots & H_{22} - E & \vdots \\ \vdots & H_{33} - E & \vdots \\ \vdots & \ddots & \vdots \\ H_{k1} & \dots & H_{kk} - E \end{pmatrix} \cdot \begin{pmatrix} c_1 \\ \vdots \\ \vdots \\ \vdots \\ c_k \end{pmatrix} = E \begin{pmatrix} c_1 \\ \vdots \\ \vdots \\ \vdots \\ c_k \end{pmatrix} \quad 0$$

Matrix Formulation (III)

$$\det \begin{pmatrix} H_{11} - E & \dots & H_{1k} \\ \vdots & H_{22} - E & \vdots \\ \vdots & \vdots & \vdots \\ H_{k1} & \dots & H_{kk} - E \end{pmatrix} = 0$$

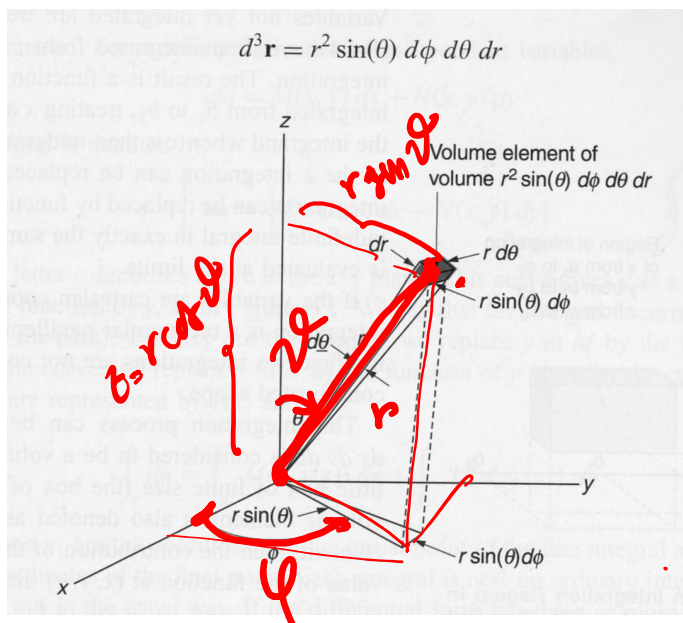
$$\det \begin{pmatrix} H_{11} - E & H_{12} \\ H_{21} & H_{22} - E \end{pmatrix} = (H_{11} - E)(H_{22} - E) - H_{12}H_{21} = 0$$

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$$\int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} f(x, y, z) dx dy dz =$$

Spherical Coordinates

$$= \int_0^{\infty} dr \int_0^{\pi} d\theta \int_0^{2\pi} d\varphi$$



$$x = r \sin \theta \cos \varphi$$

$$y = r \sin \theta \sin \varphi$$

$$z = r \cos \theta$$

$$dx dy dz = r^2 \sin \theta dr d\theta d\varphi$$

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Angular Momentum

Classical

$$\vec{L} = \vec{r} \times \vec{p}$$

$$L_x = y p_z - z p_y$$

$$L_y = z p_x - x p_z$$

$$L_z = x p_y - y p_x$$

Quantum

$$\hat{L} = \vec{r} \times (-i\hbar \vec{\nabla})$$

$$\hat{L}_x = \hat{y} \left(-i\hbar \frac{\partial}{\partial z} \right) - \hat{z} \left(i\hbar \frac{\partial}{\partial y} \right)$$

$$\begin{pmatrix} x & -i\hbar \frac{\partial}{\partial z} & i \\ y & -i\hbar \frac{\partial}{\partial y} & z \\ z & -i\hbar \frac{\partial}{\partial x} & y \end{pmatrix}$$

$$\begin{pmatrix} x & y & z & x & y \\ -i\hbar \frac{\partial}{\partial x} & -i\hbar \frac{\partial}{\partial y} & -i\hbar \frac{\partial}{\partial z} & y & x \\ i & z & y & -i\hbar \frac{\partial}{\partial z} & -i\hbar \frac{\partial}{\partial y} \end{pmatrix}$$

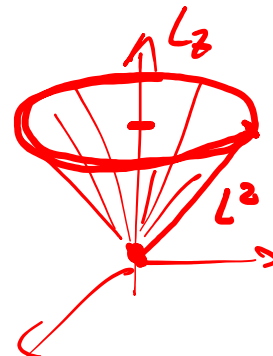
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Commutation Relation

$$\Rightarrow \hat{L}^2 = \hat{L}_x^2 + \hat{L}_y^2 + \hat{L}_z^2$$

$$[\hat{L}^2, \hat{L}_x] = [\hat{L}^2, \hat{L}_y] = [\hat{L}^2, \hat{L}_z] = 0$$

$$[\hat{L}_x, \hat{L}_y] = i\hbar \hat{L}_z \neq 0$$



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Angular Momentum in Spherical Coordinates

$$[\hat{L}_z, \hat{L}^2] = 0$$

$$\hat{L}_z = -i\hbar \frac{\partial}{\partial \varphi}$$

$$\hat{L}^2 = -\hbar^2 \left(\underbrace{\frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial}{\partial \theta} \right)}_{\theta} + \underbrace{\frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \varphi^2}}_{\varphi, \varphi} \right)$$

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Simultaneous eigenfunctions of $\hat{L}^2, \hat{L}_z$

$$\hat{L}_z Y_l^m(\theta, \varphi) = m\hbar Y_l^m(\theta, \varphi)$$

$$-l \leq m \leq l$$

$$l=0 \Rightarrow m=0$$

$$l=1 \Rightarrow \begin{matrix} m=-1 \\ m=0 \\ m=1 \end{matrix}$$

$$\hat{L}^2 Y_l^m(\theta, \varphi) = \hbar^2 l(l+1) Y_l^m(\theta, \varphi)$$

$$l=2 \Rightarrow \begin{matrix} m=-2 \\ -1 \\ 0 \\ 1 \\ 2 \end{matrix}$$

$$l=0, 1, 2, 3, \dots$$

$$\hat{L}^2 \text{ EIGENV} = \begin{matrix} 0 \\ 2\hbar^2 \\ 6\hbar^2 \\ 12\hbar^2 \dots \end{matrix}$$

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Simultaneous eigenfunctions of L^2 , L_z

$$Y_l^m(\theta, \phi) = \begin{cases} (-1)^m \sqrt{\frac{2l+1}{4\pi} \frac{(l-m)!}{(l+m)!}} P_l^m(\cos \theta) e^{im\phi} & m \geq 0 \\ \sqrt{\frac{2l+1}{4\pi} \frac{(l+m)!}{(l-m)!}} P_l^{-m}(\cos \theta) e^{im\phi} & m < 0 \end{cases}$$

$$l = 0, 1, 2, \dots \quad m = -l, -l+1, \dots, -1, 0, 1, \dots, l-1, l$$

$$Y_0^0(\theta, \phi) = \frac{1}{(4\pi)^{1/2}}$$

$$Y_1^0(\theta, \phi) = \left(\frac{3}{4\pi}\right)^{1/2} \cos \theta$$

$$Y_1^{\pm 1}(\theta, \phi) = \left(\frac{3}{8\pi}\right)^{1/2} \sin \theta e^{\pm i\phi}$$

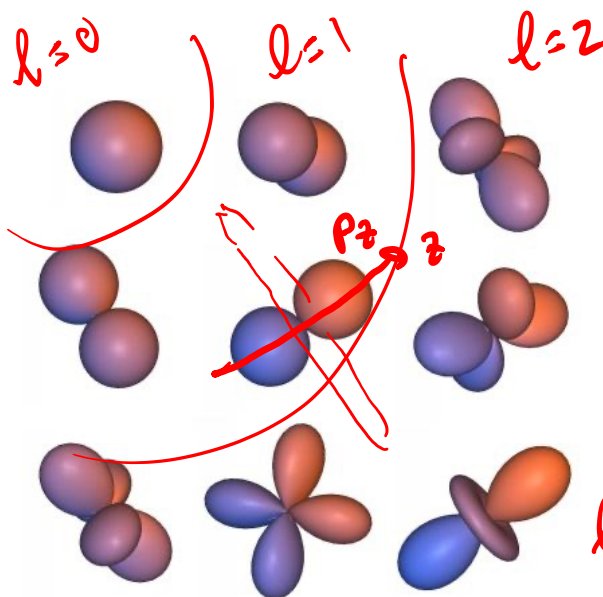
$$Y_2^0(\theta, \phi) = \left(\frac{5}{16\pi}\right)^{1/2} (3 \cos^2 \theta - 1)$$

$$Y_2^{\pm 1}(\theta, \phi) = \left(\frac{15}{8\pi}\right)^{1/2} \sin \theta \cos \theta e^{\pm i\phi}$$

$$Y_2^{\pm 2}(\theta, \phi) = \left(\frac{15}{32\pi}\right)^{1/2} \sin^2 \theta e^{\pm 2i\phi}$$

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Spherical Harmonics in Real Form



$$p_x = \frac{1}{\sqrt{2}} (Y_1^1 + Y_1^{-1}) = \sqrt{\frac{3}{4\pi}} \sin \theta \cos \phi$$

$$p_y = \frac{1}{\sqrt{2} i} (Y_1^1 - Y_1^{-1}) = \sqrt{\frac{3}{4\pi}} \sin \theta \sin \phi$$

$$p_z = Y_1^0 = \sqrt{\frac{3}{4\pi}} \cos \theta$$

$$d_{z^2} = Y_2^0 = \sqrt{\frac{5}{16\pi}} (3 \cos^2 \theta - 1)$$

$$d_{xz} = \frac{1}{\sqrt{2}} (Y_2^1 + Y_2^{-1}) = \sqrt{\frac{15}{4\pi}} \sin \theta \cos \theta \cos \phi$$

$$d_{yz} = \frac{1}{\sqrt{2} i} (Y_2^1 - Y_2^{-1}) = \sqrt{\frac{15}{4\pi}} \sin \theta \cos \theta \sin \phi$$

$$d_{x^2-y^2} = \frac{1}{\sqrt{2}} (Y_2^2 + Y_2^{-2}) = \sqrt{\frac{15}{16\pi}} \sin^2 \theta \cos 2\phi$$

$$d_{xy} = \frac{1}{\sqrt{2} i} (Y_2^2 - Y_2^{-2}) = \sqrt{\frac{15}{16\pi}} \sin^2 \theta \sin 2\phi$$

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