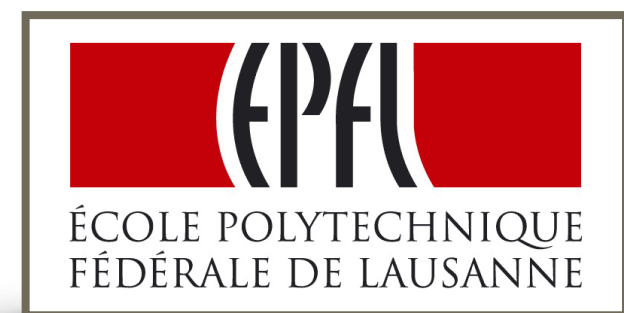

Waveguides



Konstantinos Makris

University of Crete, Greece

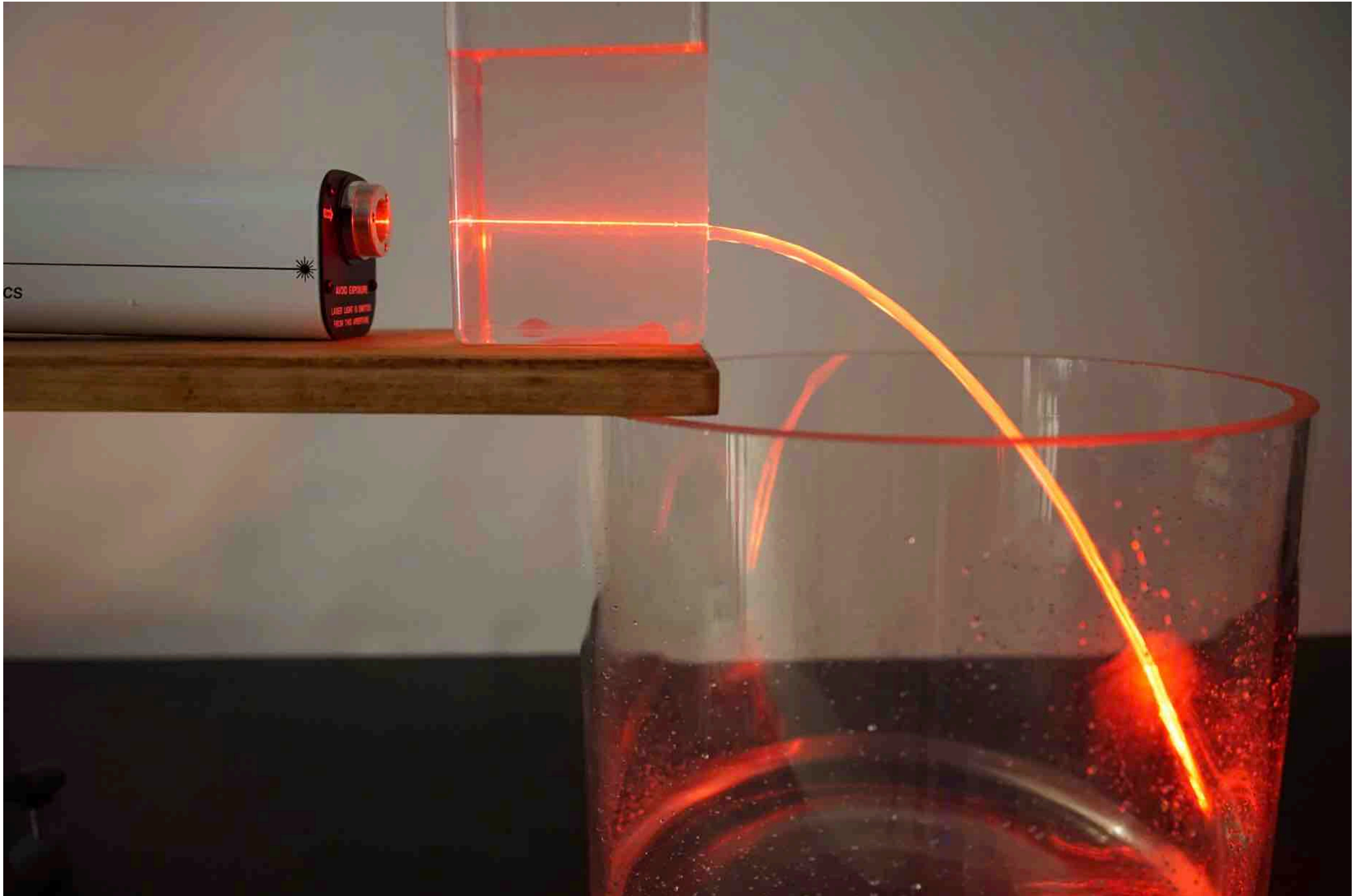


Demetri Psaltis

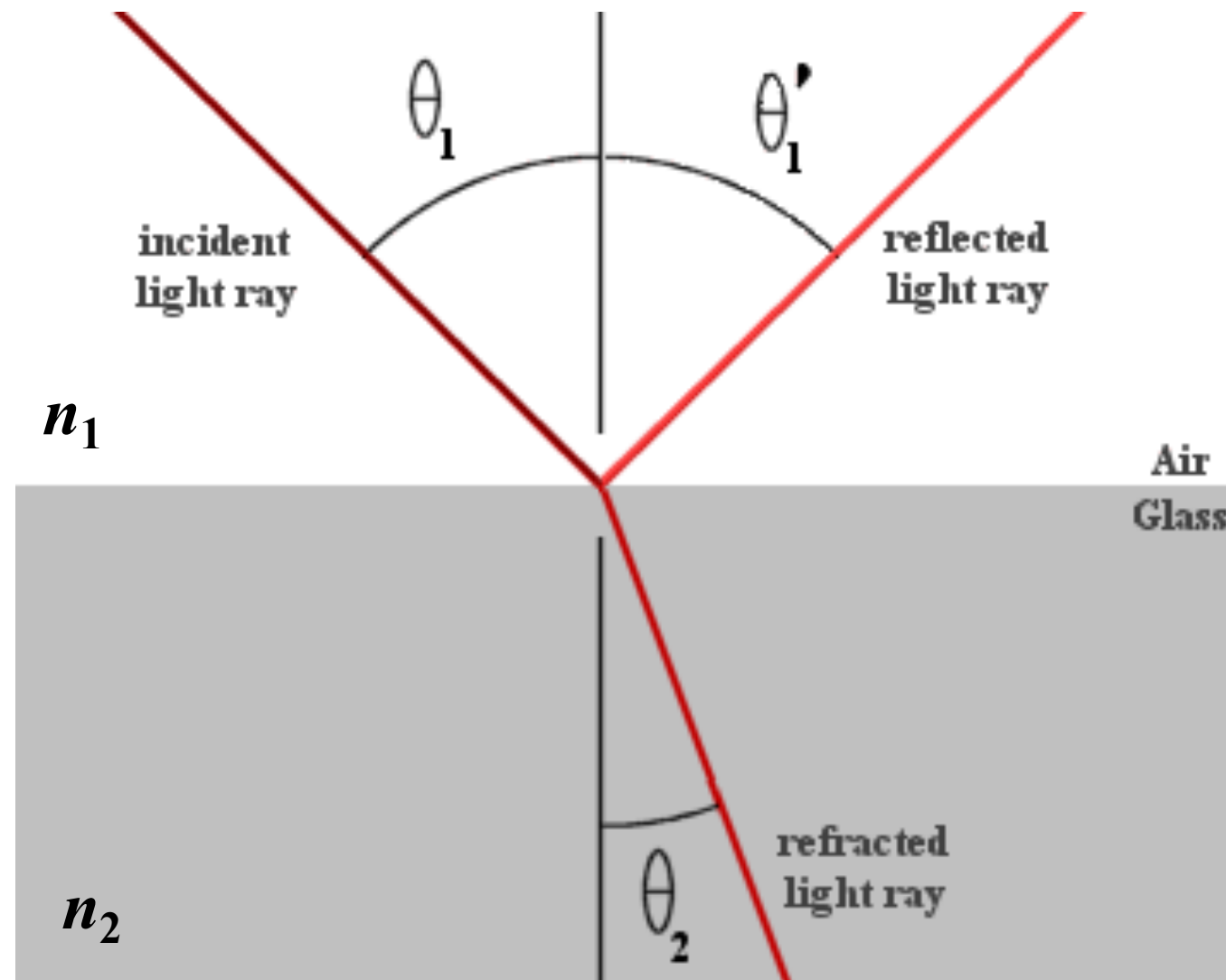
Optics Lab-EPFL, Switzerland

TRAPPING OF LIGHT - WAVEGUIDES

Trapping of Light



Snell's Law



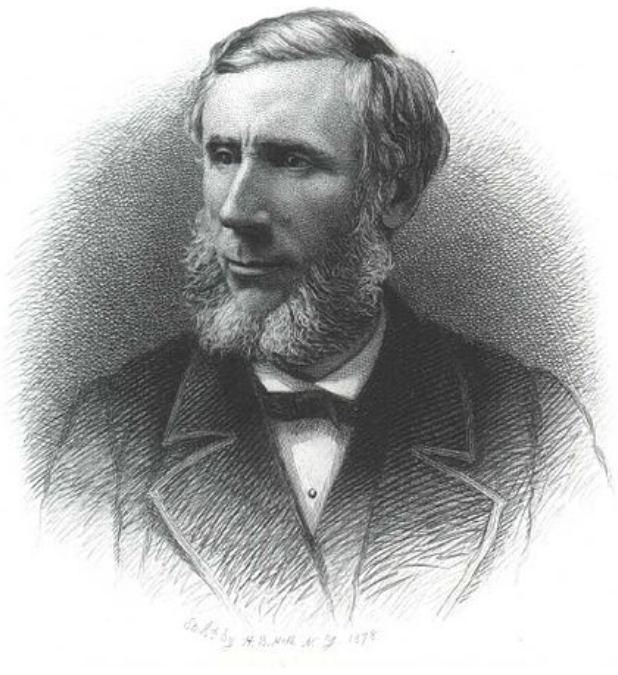
$$\theta_1 = \theta'_1$$

Reflection angles

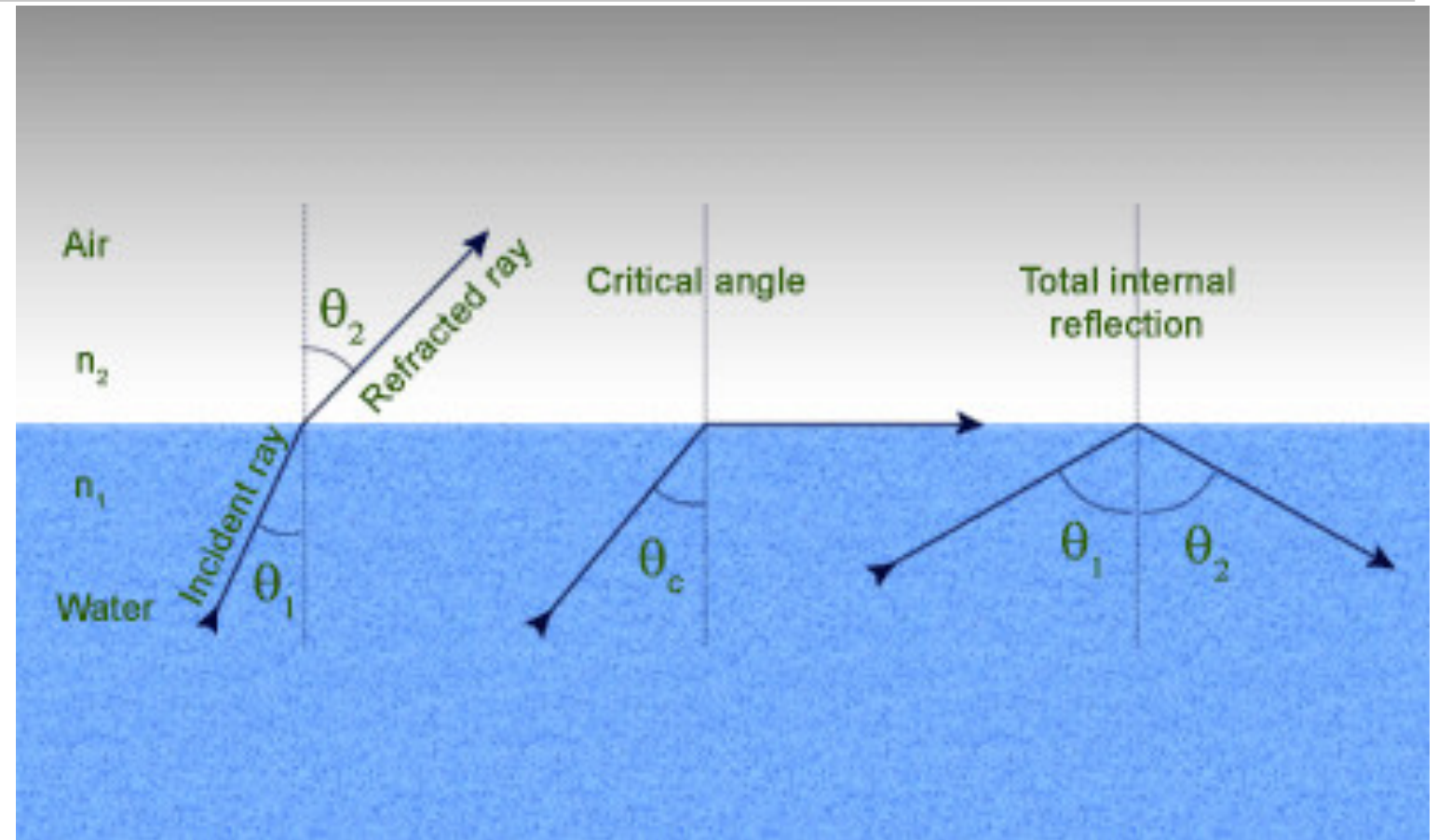
$$n_1 \sin \theta_1 = n_2 \sin \theta_2$$

Snell's Refraction Law

Total Internal Reflection (TIR)

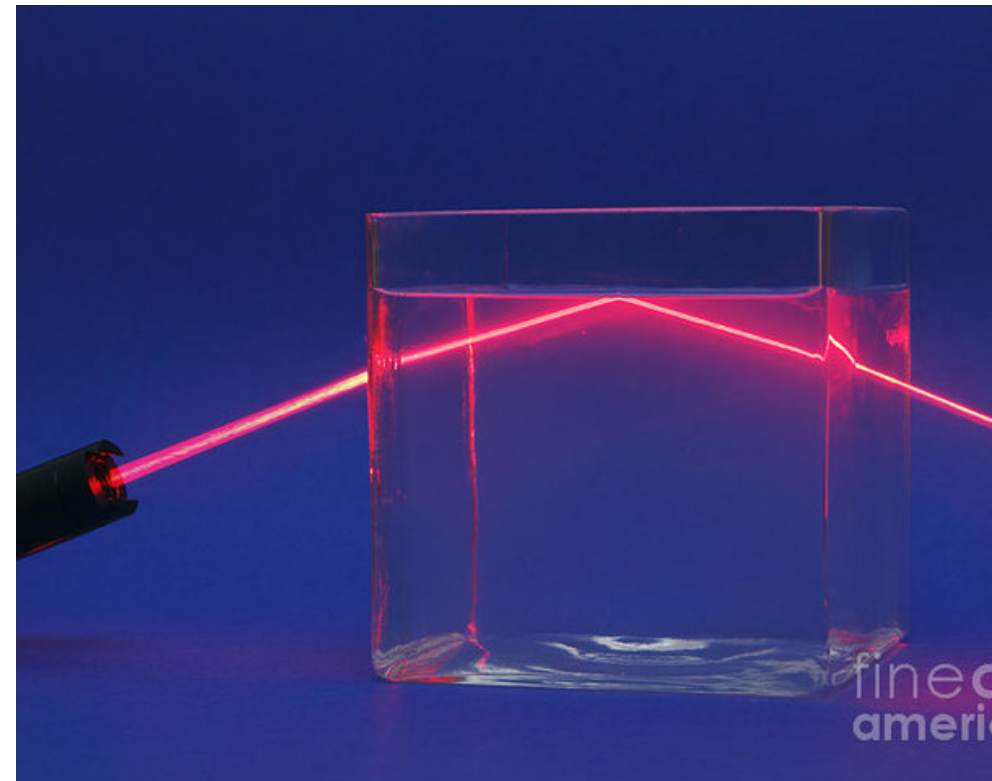


John Tyndall
1820-1893

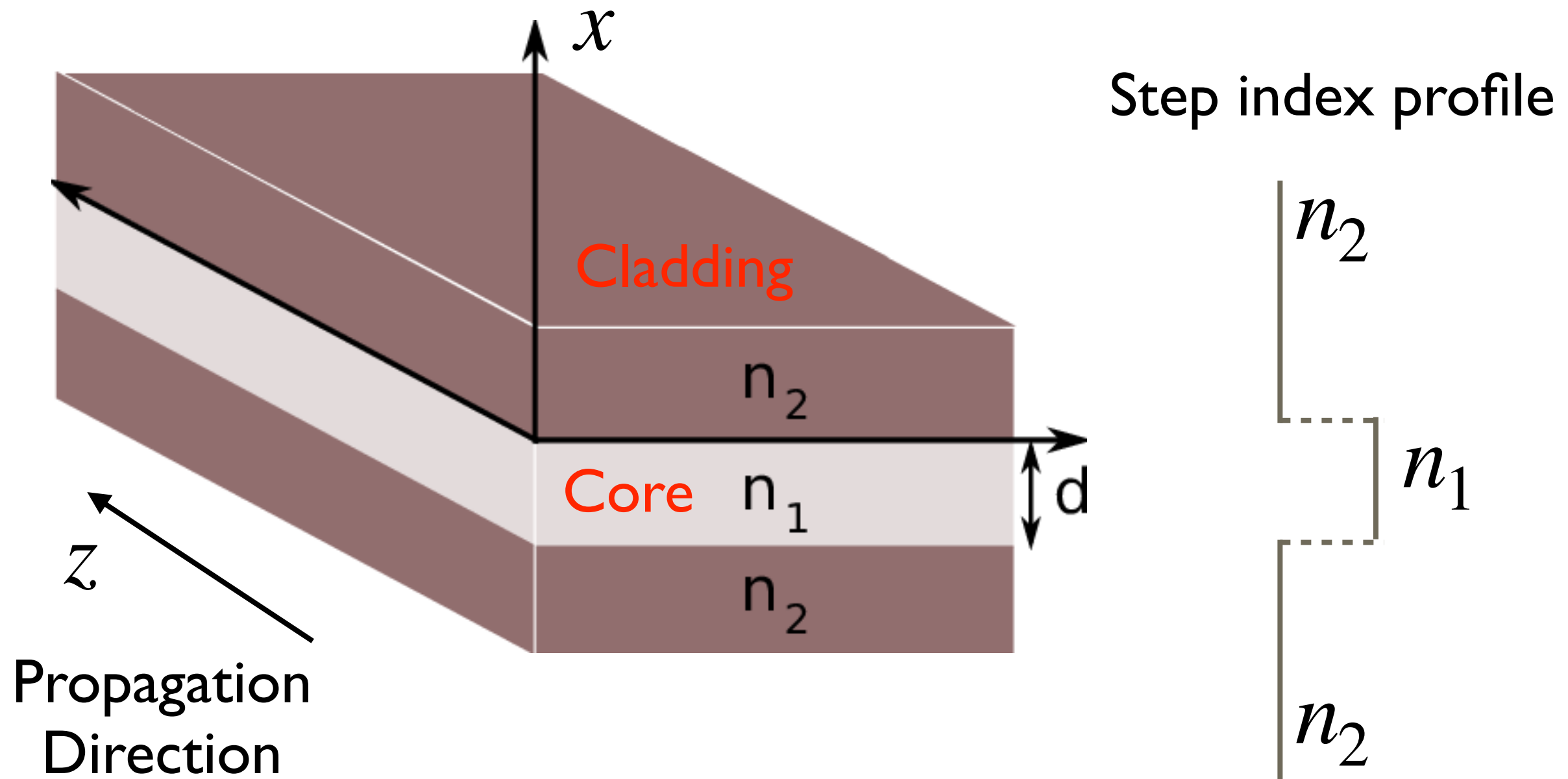


**Critical Angle
for TIR**

$$n_1 \sin \theta_c = n_2 \Rightarrow \theta_c = \arcsin\left(\frac{n_2}{n_1}\right)$$

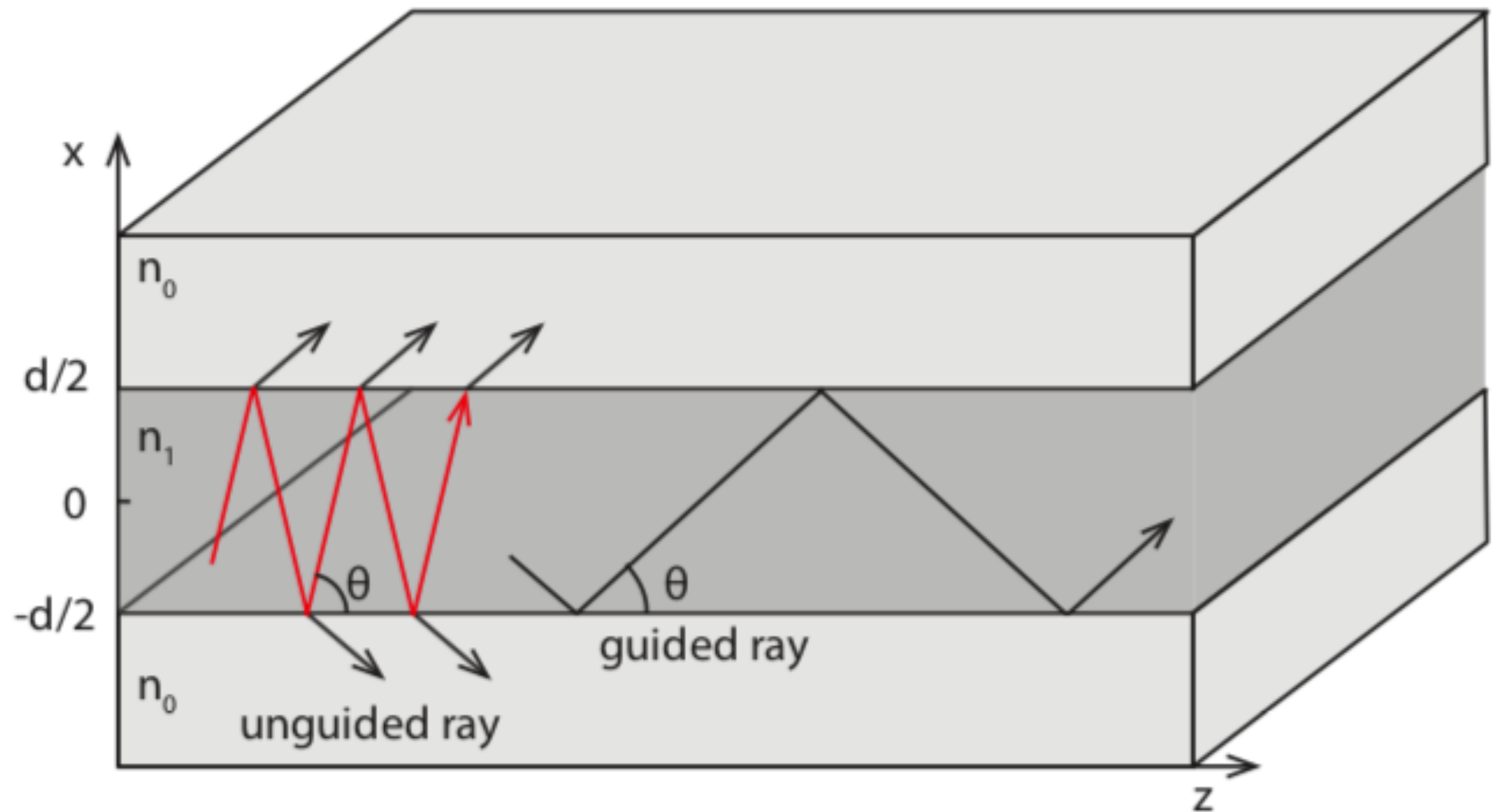


Slab Waveguide

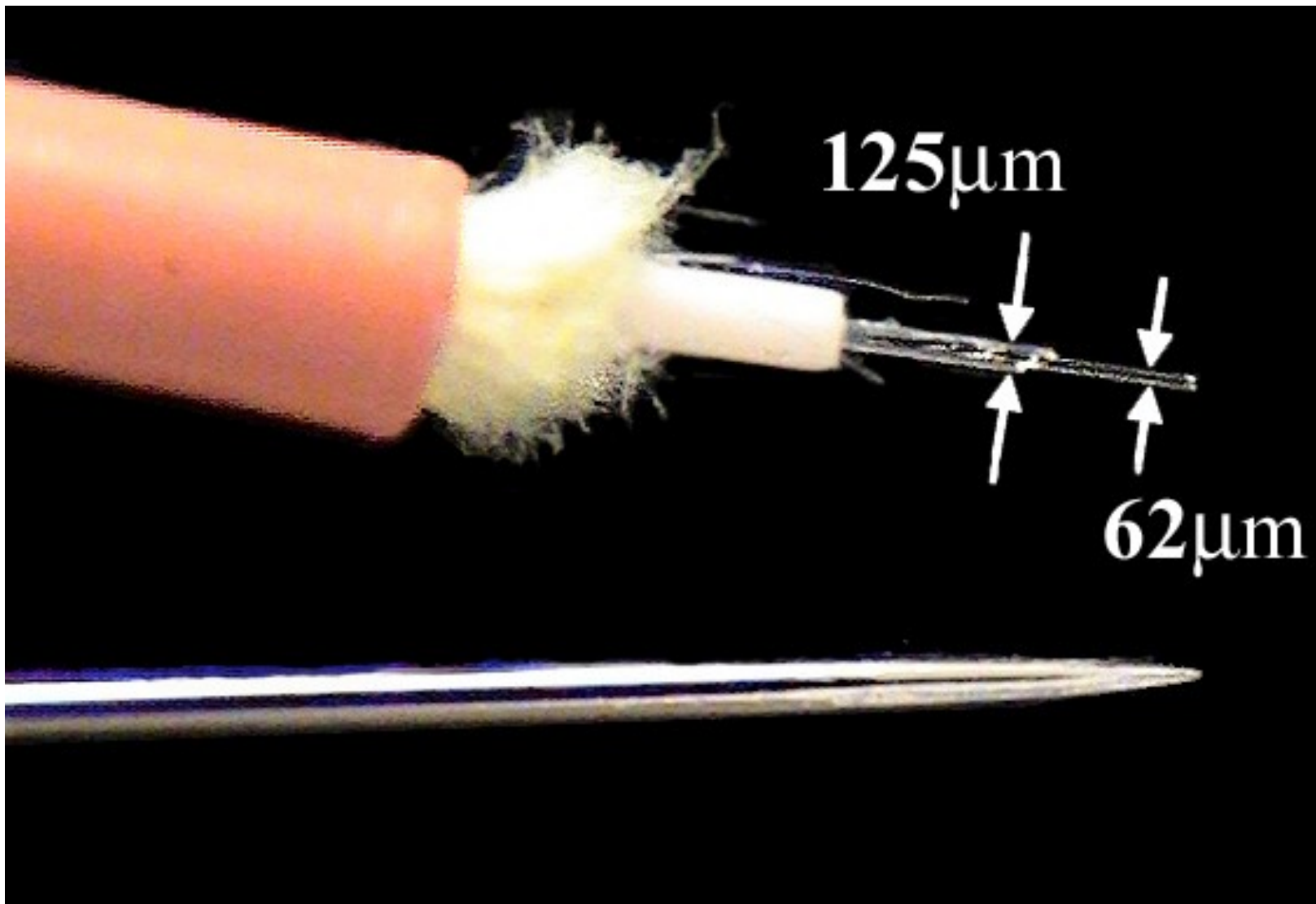


$$n_1 = n_{core} > n_{cladding} = n_2$$

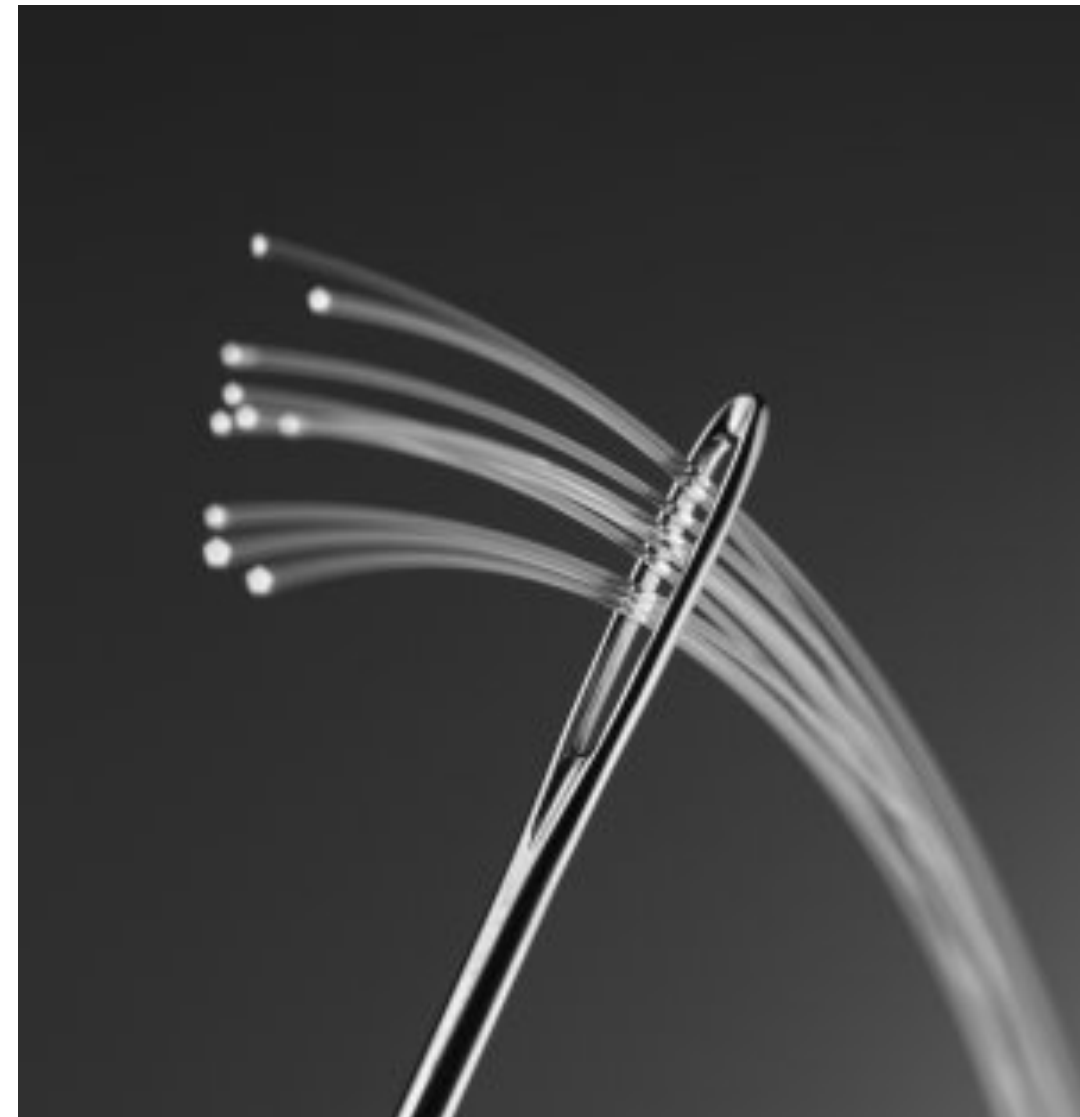
Propagation in a Slab Waveguide



Optical Fiber



Wavelength $\sim 1.55 \mu\text{m}$
Optical fiber length $\sim \text{m-Km}$



**Thickness of human
hair fiber $\sim 50\text{-}70 \mu\text{m}$**

Optical Fibers

The Nobel Prize in Physics 2009



Photo: U. Montan
Charles Kuen Kao
Prize share: 1/2



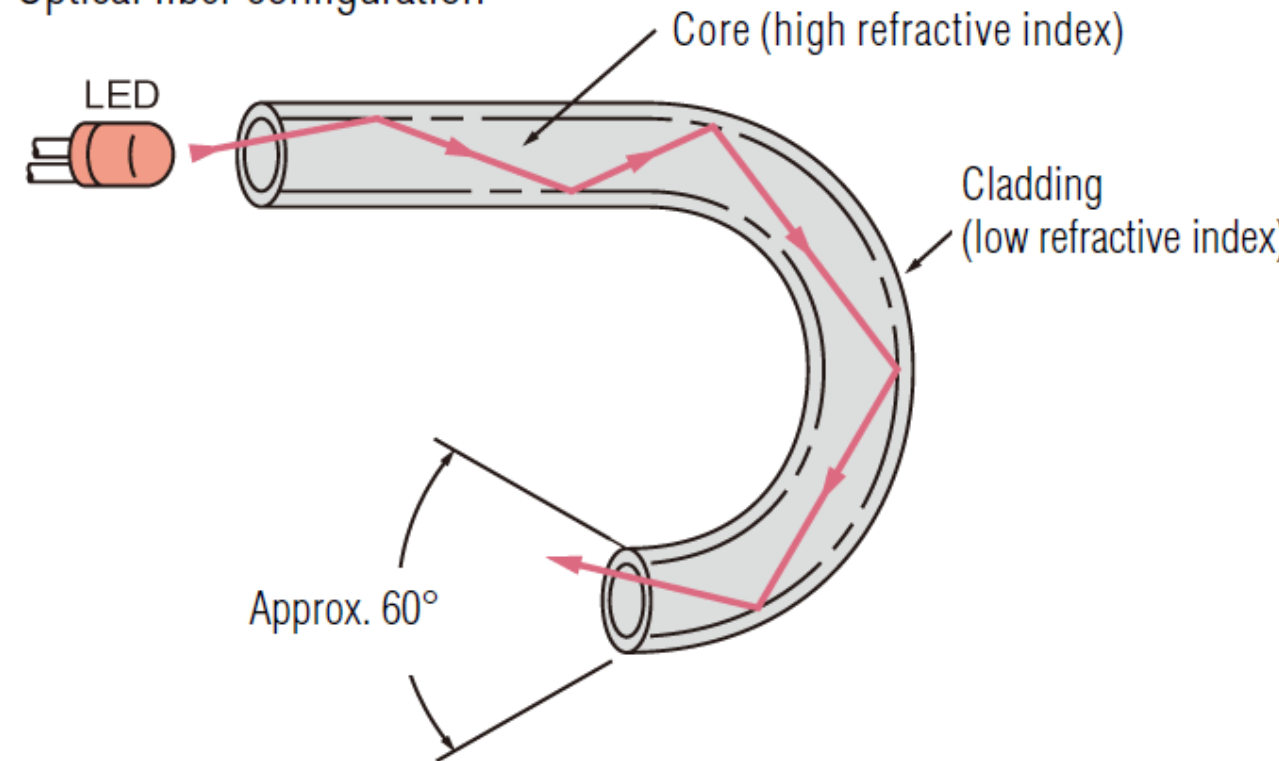
Photo: U. Montan
Willard S. Boyle
Prize share: 1/4



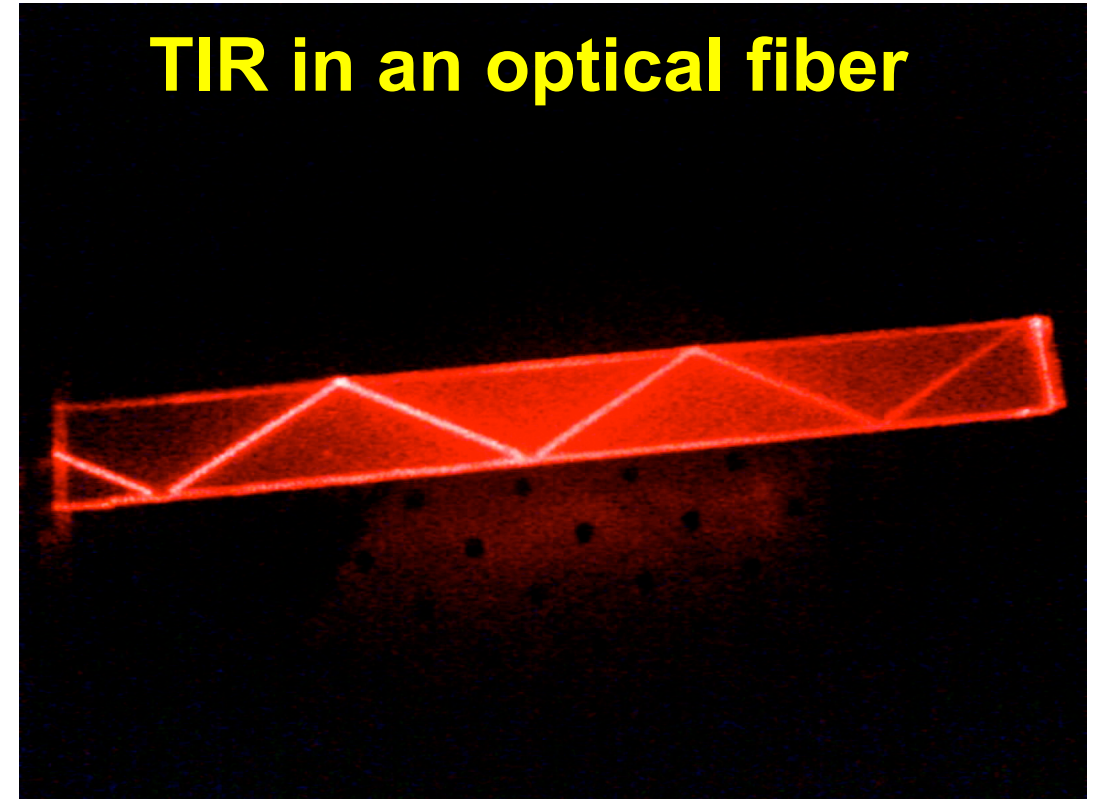
Photo: U. Montan
George E. Smith
Prize share: 1/4

The Nobel Prize in Physics 2009 was divided, one half awarded to Charles Kuen Kao *"for groundbreaking achievements concerning the transmission of light in fibers for optical communication"*, the other half jointly to Willard S. Boyle and George E. Smith *"for the invention of an imaging semiconductor circuit - the CCD sensor"*.

Optical fiber configuration



TIR in an optical fiber

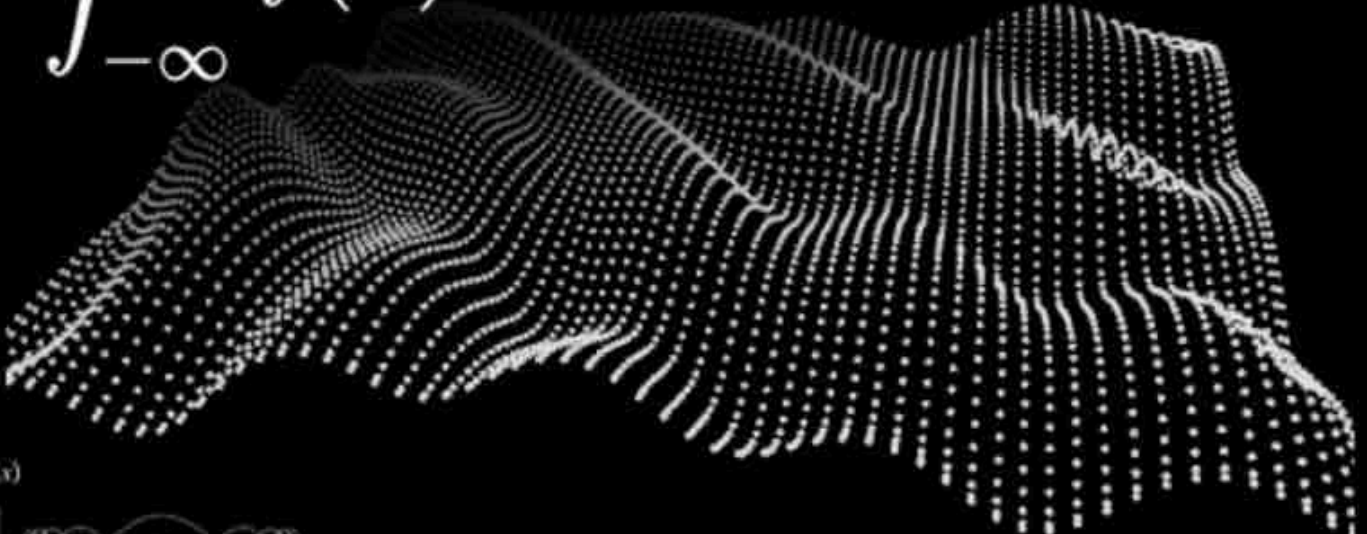


OPTICAL EIGENMODES

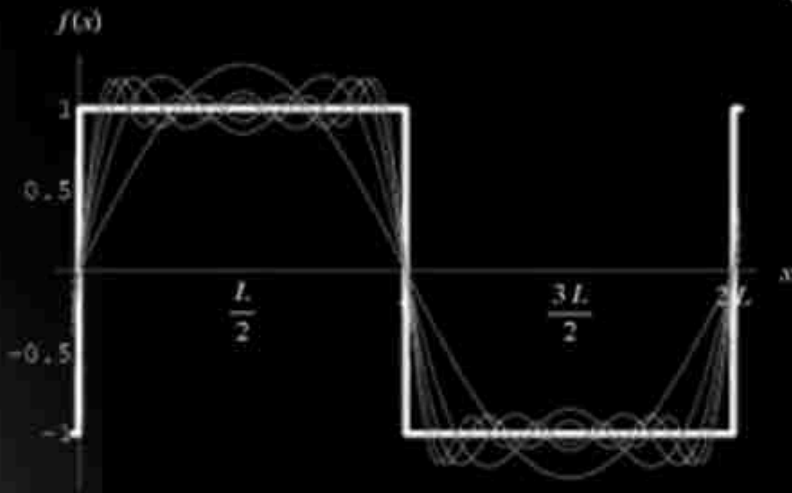
Fourier Optics



A portrait of Joseph Fourier, a French mathematician and physicist, with curly hair and a high-collared coat.

$$\hat{f}(\xi) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i x \xi} dx$$


A 3D surface plot showing a complex, wavy function with many peaks and valleys, representing a spatial distribution.



A 2D plot showing a function $f(x)$ (a square wave) and its Fourier series approximation. The x-axis is labeled x and has markers at $L/2$ and $3L/2$. The y-axis is labeled $f(x)$ and has markers at 1 , 0.5 , -0.5 , and -1 . The plot shows the function and its approximation over the interval $[0, 2L]$.

JOSEPH FOURIER
1768 – 1830

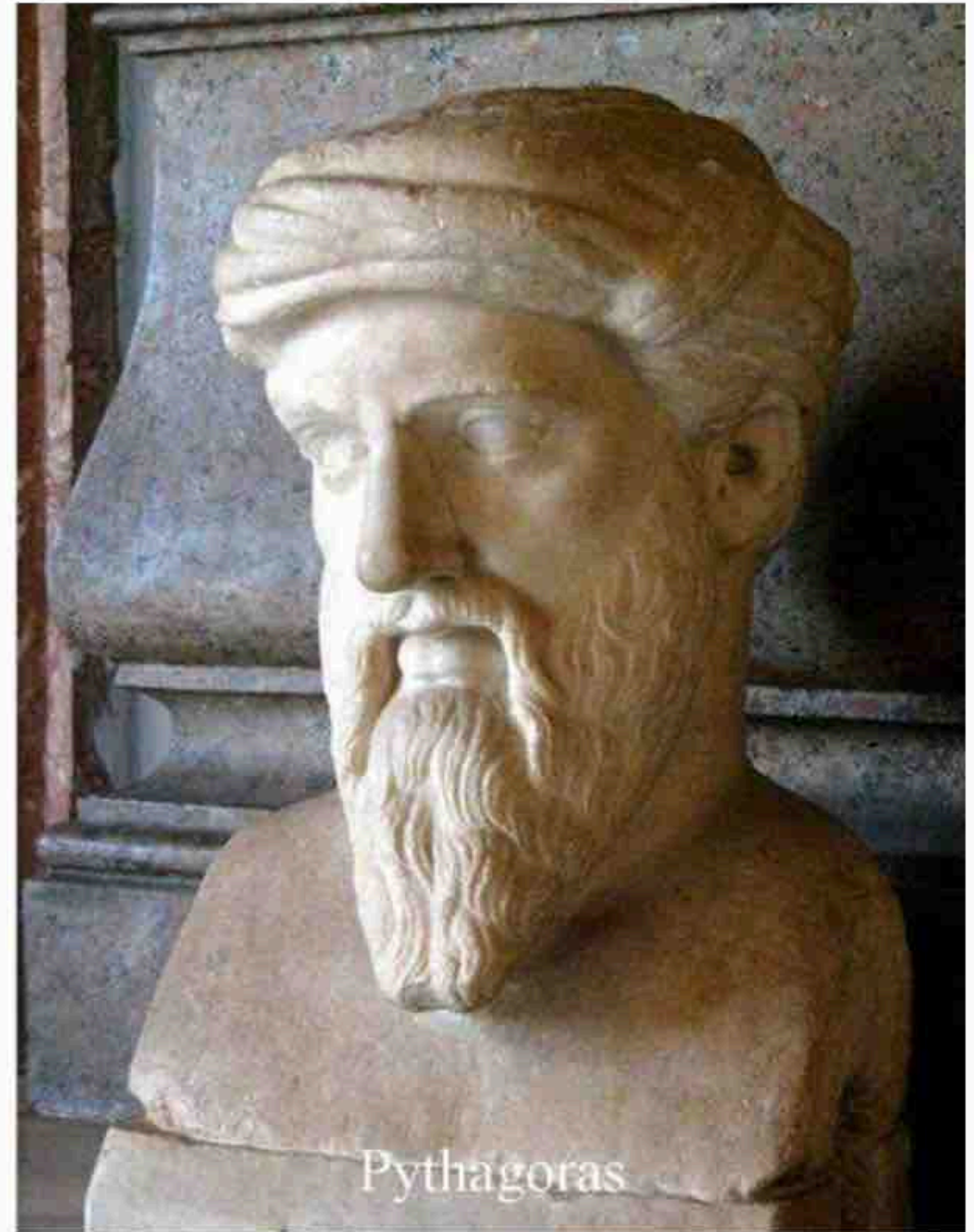


A logo consisting of four colored triangles (red, green, blue, yellow) arranged in a square pattern.

Plane waves as eigenmodes of free space

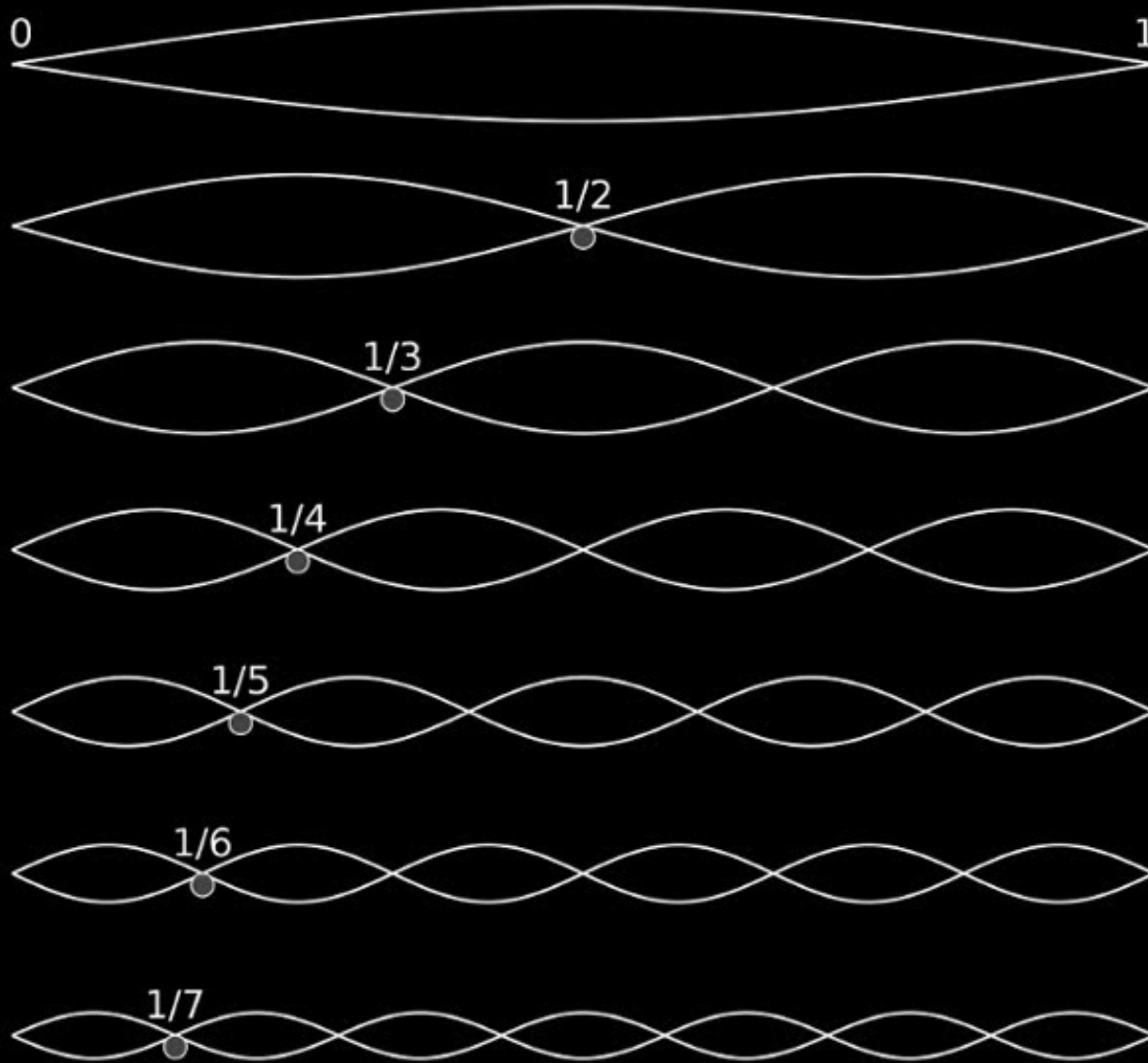
Pythagorean Harmonics

“There is geometry
in the humming of
the strings,
there is music in
the spacing of the
spheres.”



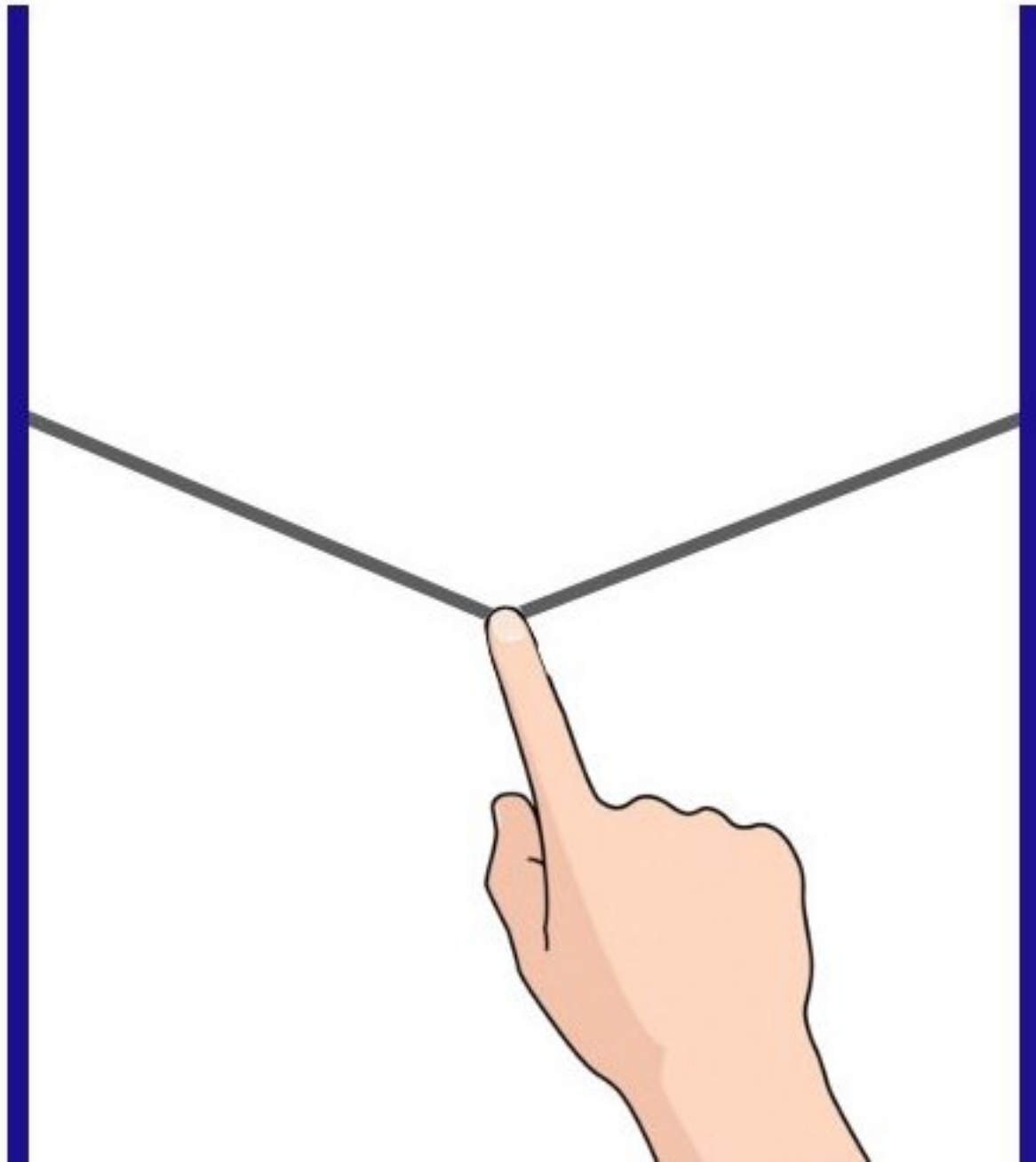
What are Harmonics?

How are they Played on Guitar?

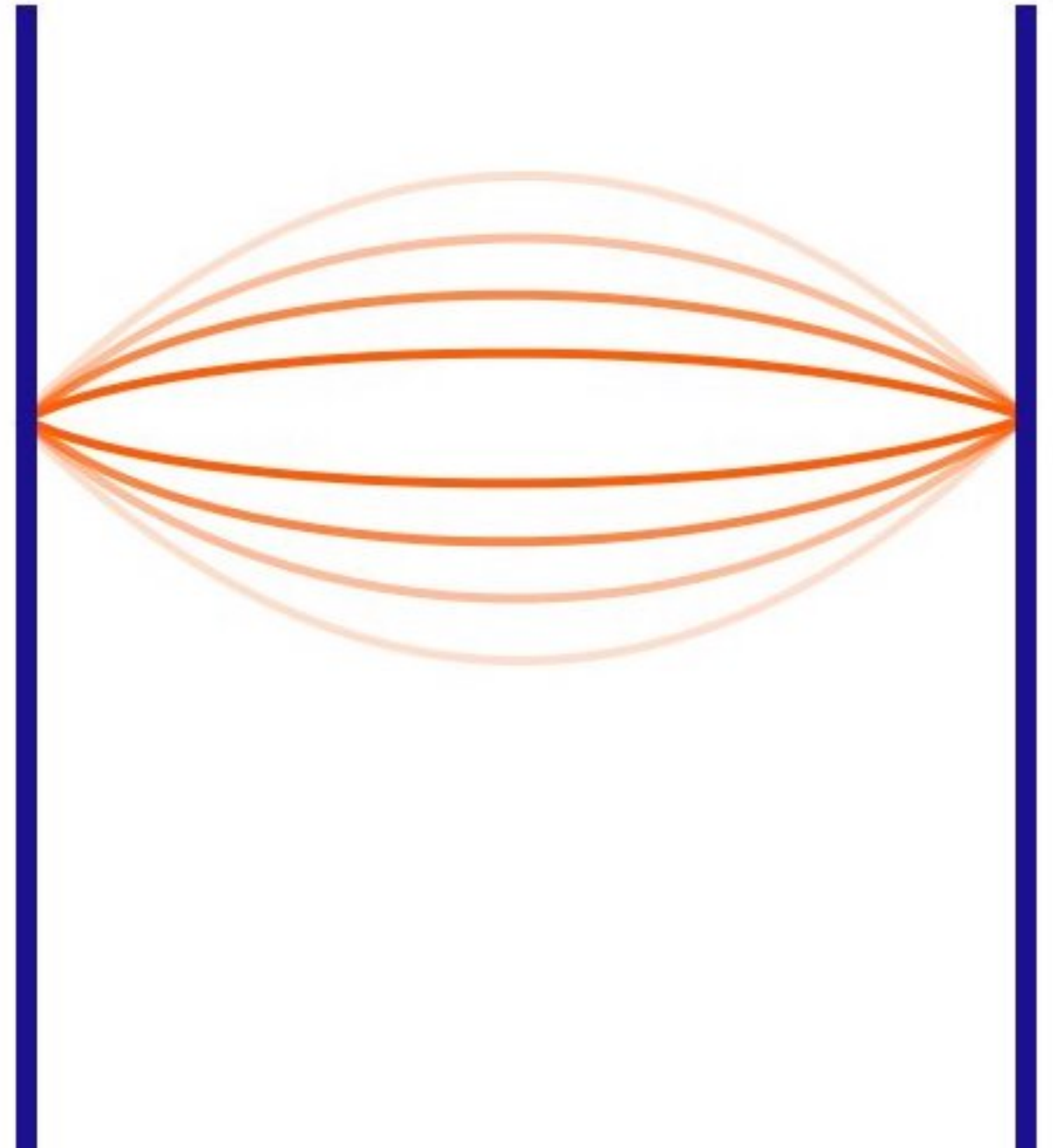


Wave motion of a string

$t = 0$

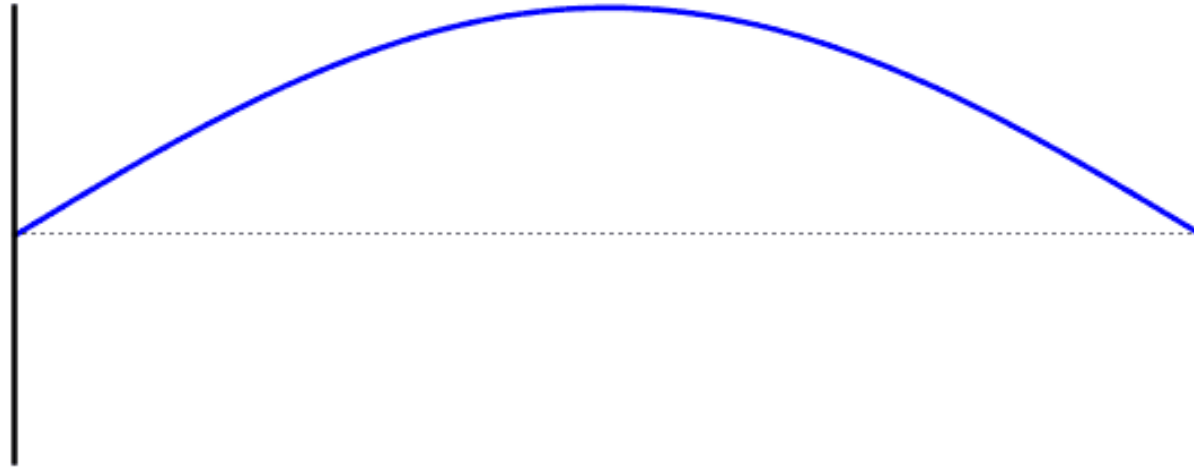


$t > 0$

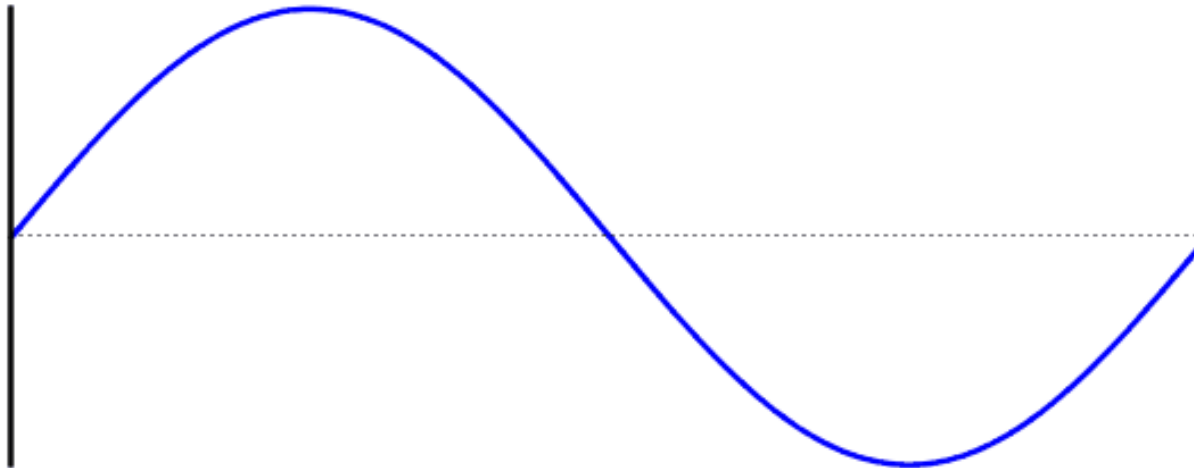


Vibrating string

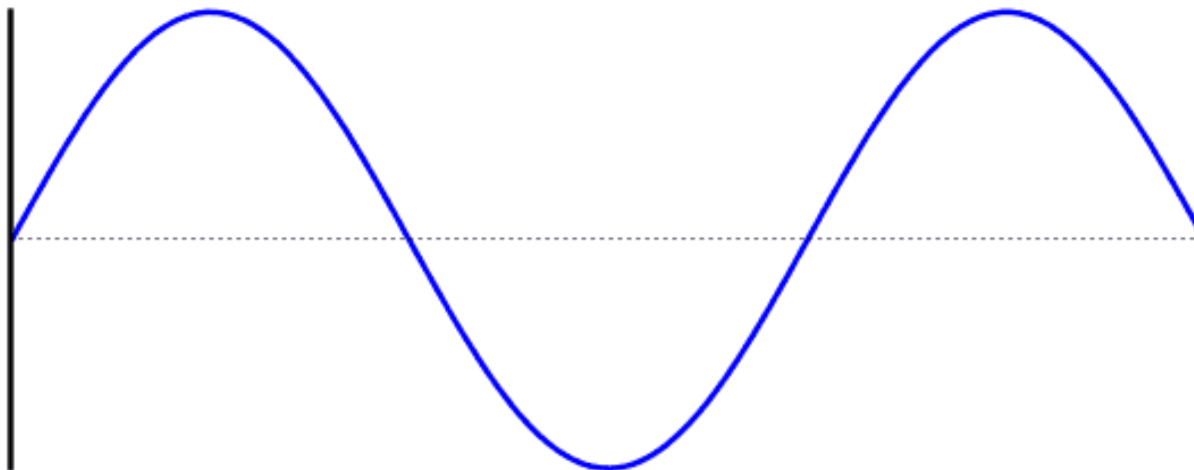
1st harmonic



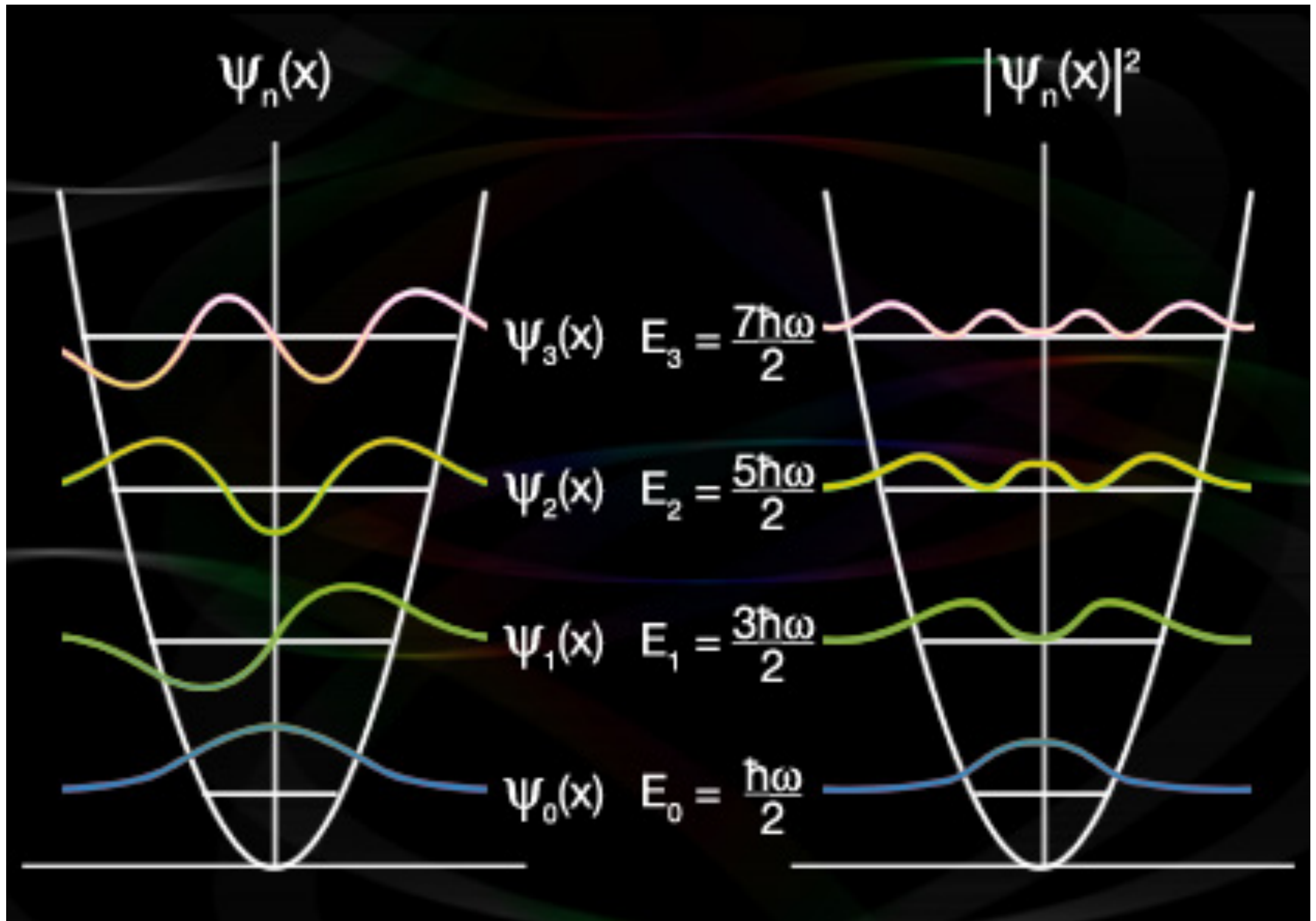
2nd harmonic



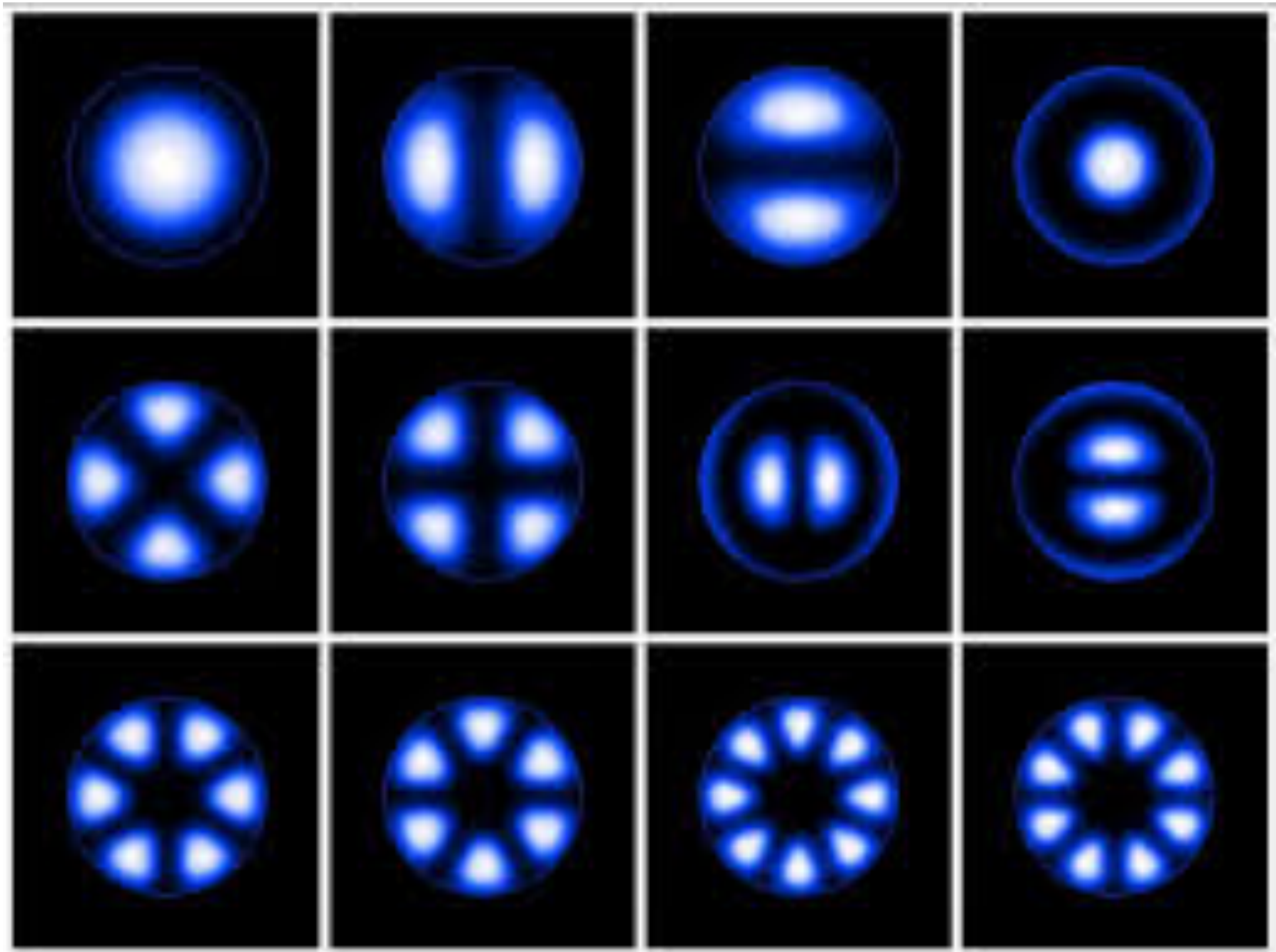
3rd harmonic



Eigenmodes of Harmonic oscillator

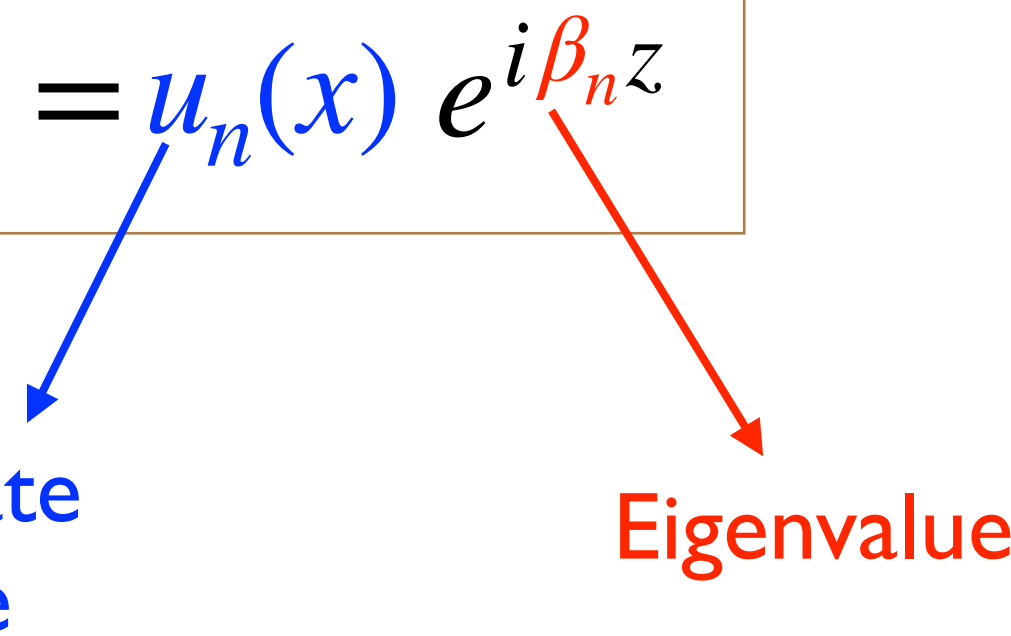


Eigenmodes of an optical fiber



Concept of an Eigenstate

Stationary Ansatz

$$\psi_n(x, z) = u_n(x) e^{i\beta_n z}$$


Eigenvalue
Problem

$$\hat{H}u_n = \beta_n u_n$$

Eigenstate
Profile

Eigenvalue

$$|\psi_n(x, z)|^2 = |u_n(x)|^2$$

The intensity of an eigenmode
is invariant with respect to z

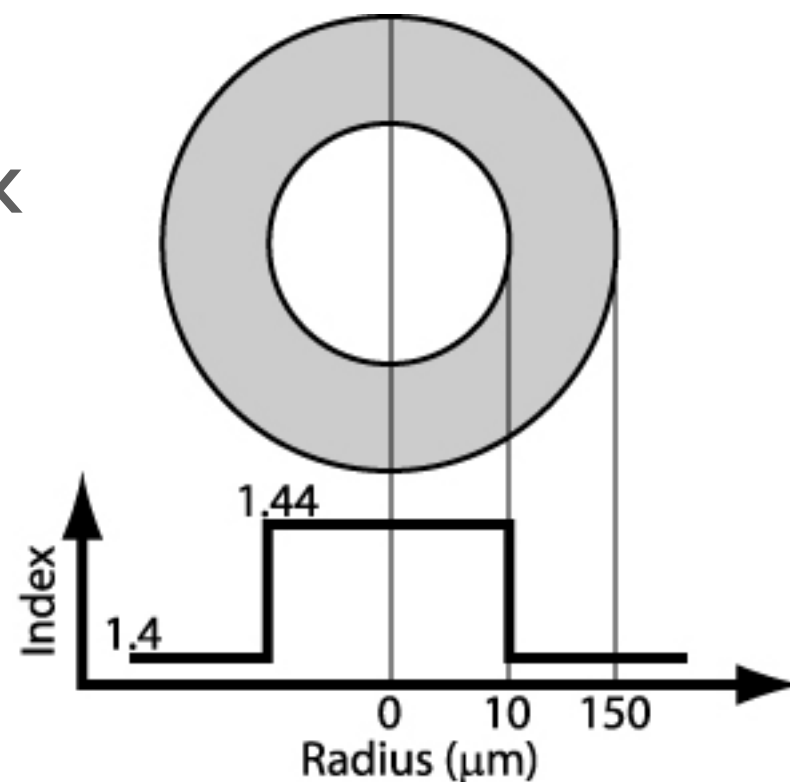
Paraxial Diffraction Equation for Waveguides

$$i\frac{\partial A}{\partial z} + \frac{1}{2k}\left(\frac{\partial^2 A}{\partial x^2} + \frac{\partial^2 A}{\partial y^2}\right) + k_0\delta n(x, y)A = 0$$

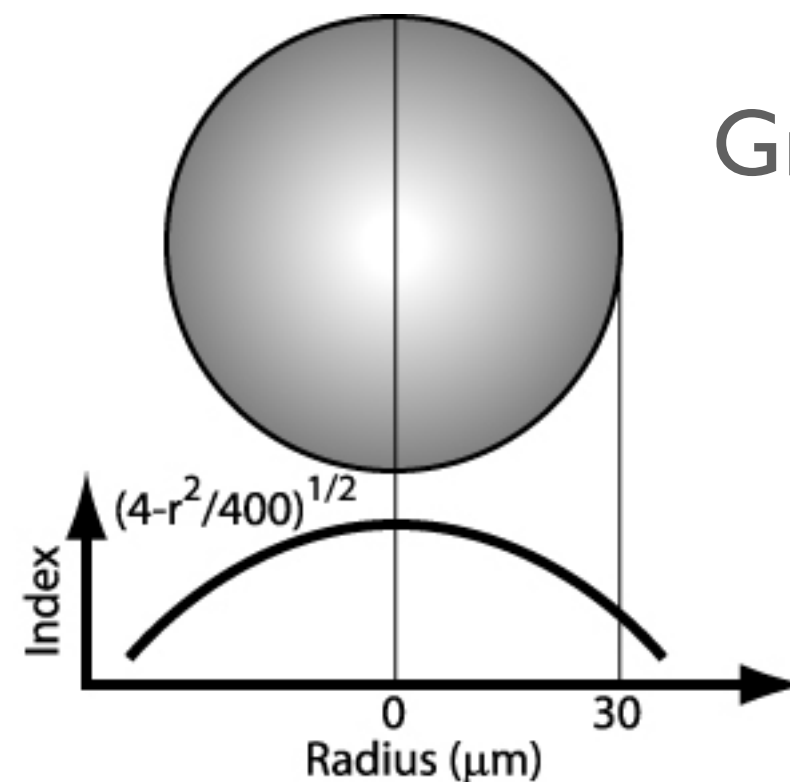
Optical potential term

$$n(x, y) = n_{cl} + \delta n(x, y)$$

Step index
Fiber

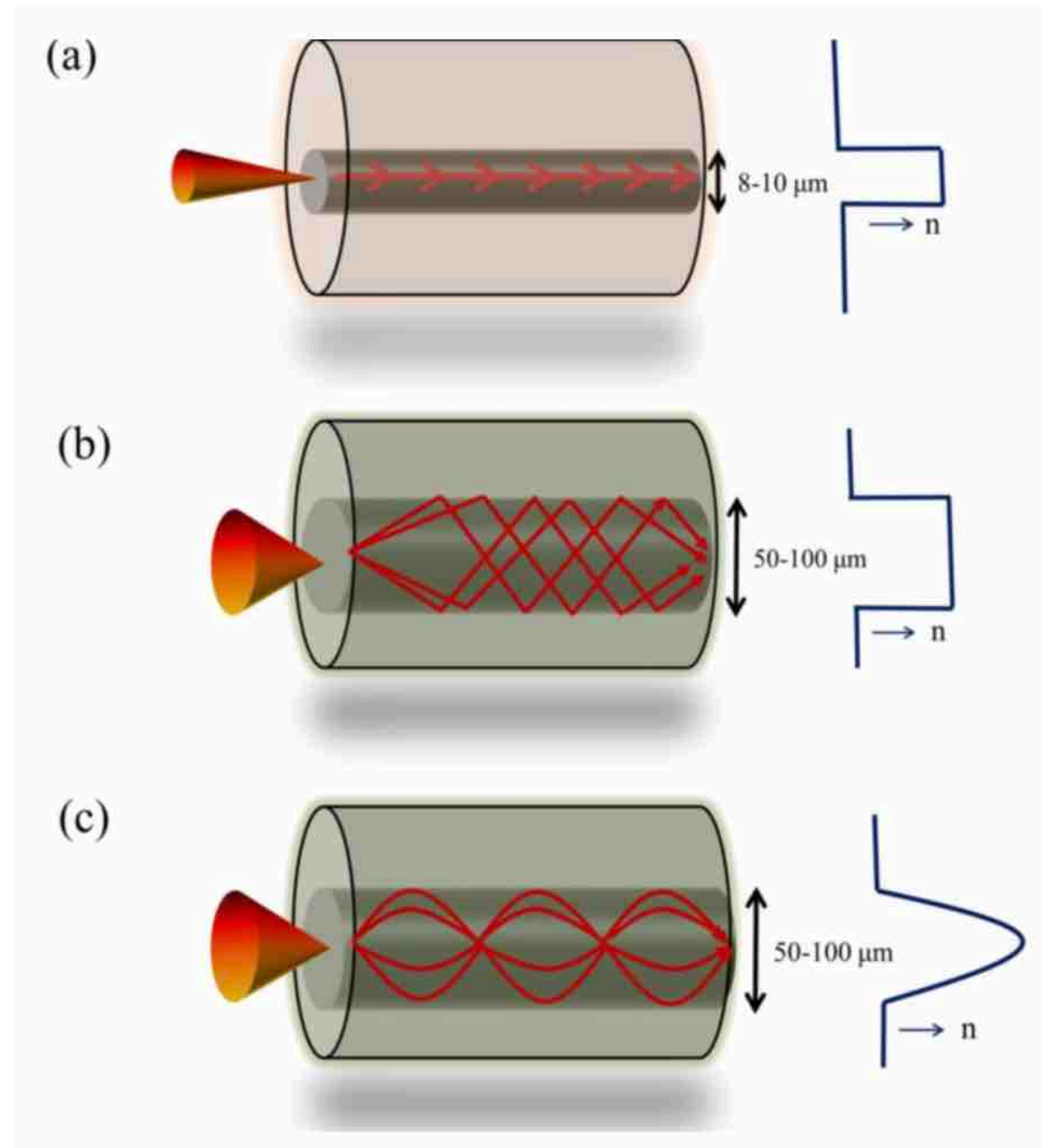


Graded index
Fiber



Weakly guiding Approximation: $\delta n \ll n_{cl}$

GRIN Fibers – Harmonic Oscillator



Eigenmodes of a Waveguide

$$i\frac{\partial A}{\partial z} + \frac{\partial^2 A}{\partial x^2} + \frac{\partial^2 A}{\partial y^2} + V(x, y)A = 0$$

We are looking for eigenstate solutions of the form:

$$A(x, y, z) = u_n(x, y)e^{i\beta_n z}$$

Eigenmode Ansatz

$$\frac{\partial^2 u_n}{\partial x^2} + \frac{\partial^2 u_n}{\partial y^2} + V(x, y)u_n = \beta_n u_n$$

Eigenvalue problem

Finite number of guided modes - Bound eigenstates

$$\int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} u_m^*(x, y)u_n(x, y)dx dy = \delta_{nm}$$

Orthogonality condition

Spectrum of a Waveguide

Superposition Principle

$$A(x, y, z) = \sum_{n=1}^N c_n u_n(x, y) e^{i\beta_n z} + \int_k c(k) u_k(x, y) e^{i\beta(k)z}$$

Guided modes

Radiation modes

We can project any input field to our basis:

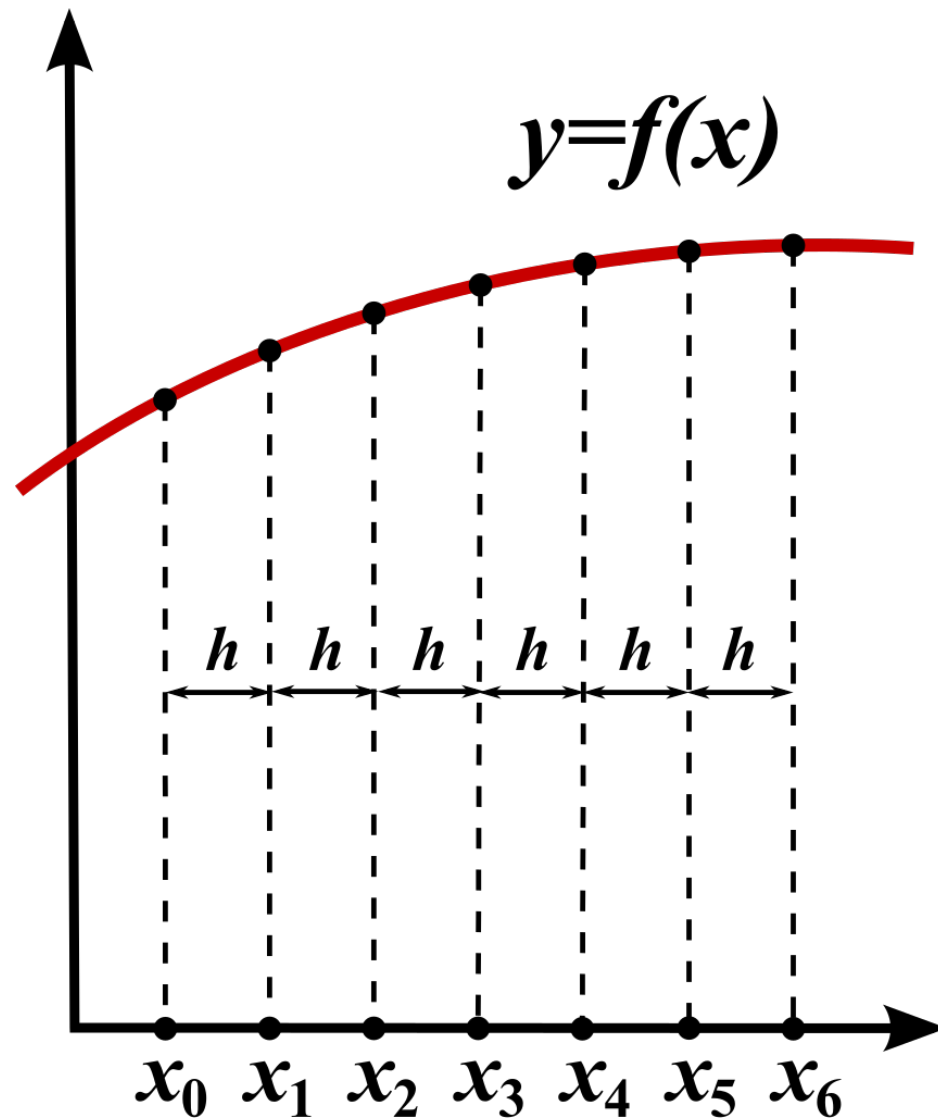
$$c_n = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} u_n^*(x, y) A(x, y, 0) dx dy$$

Projection Coefficients

Initial Condition

FINITE DIFFERENCE METHOD

Finite Differences



$$x \rightarrow [x_1 \quad x_2 \cdots x_n \cdots x_N] \quad \text{x-grid}$$
$$y(x) \rightarrow [y_1 \quad y_2 \cdots y_n \cdots y_N]$$

$$n = 1, 2, \dots, N$$

$$x \in [a, b]$$

$$h \equiv \Delta x$$

$$\frac{d^2 y}{dx^2} \approx \frac{y_{n+1} - 2y_n + y_{n-1}}{(\Delta x)^2}$$

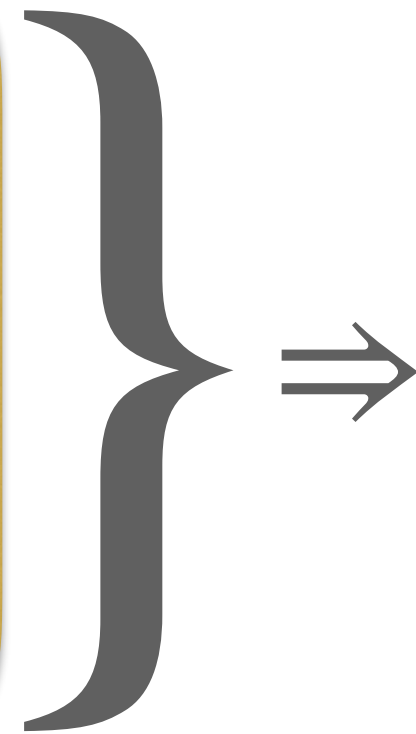
Finite difference
Derivative

Discretization the Eigenvalue problem

Continuous
Eigenvalue problem

$$\frac{d^2 u}{dx^2} + V(x)u = \beta u$$

$$\begin{aligned} x &\rightarrow [x_1 \quad x_2 \dots x_n \dots x_N] \text{ x-grid} \\ u(x) &\rightarrow [u_1 \quad u_2 \dots u_n \dots u_N] \\ V(x) &\rightarrow [V_1 \quad V_2 \dots V_n \dots V_N] \\ \frac{d^2 u}{dx^2} &\approx \frac{u_{n+1} - 2u_n + u_{n-1}}{(\Delta x)^2} \\ n &= 1, 2, \dots, N \quad x \in [a, b] \end{aligned}$$



$$\frac{u_{n+1} - 2u_n + u_{n-1}}{(\Delta x)^2} + V_n u_n = \beta u_n \Rightarrow \frac{u_{n+1} + u_{n-1}}{(\Delta x)^2} + [V_n - \frac{2}{(\Delta x)^2}] u_n = \beta u_n \Rightarrow$$

Discrete
Eigenvalue problem

$$B u_{n+1} + C_n u_n + B u_{n-1} = \beta u_n$$

$$\begin{aligned} C_n &= V_n - 2(\Delta x)^{-2} \\ B &= (\Delta x)^{-2} \end{aligned}$$

Discretization the Eigenvalue problem

$$\frac{d^2 u_n}{dx^2} + V(x)u_n = \beta_n u_n$$

Continuous
Eigenvalue problem

Finite difference
Discretization

$$\vec{M} \cdot \vec{u}_n = \beta_n \vec{u}_n$$

$$\begin{bmatrix} C_1 & B & 0 \dots & 0 \\ B & C_2 & B & \dots & 0 \\ & & & & \\ 0 & 0 \dots & B & C_N \end{bmatrix} \begin{bmatrix} u_{n1} \\ u_{n2} \\ \vdots \\ u_{nN} \end{bmatrix} = \beta_n \begin{bmatrix} u_{n1} \\ u_{n2} \\ \vdots \\ u_{nN} \end{bmatrix}$$

Eigenvalue

$$\vec{u}_n = |u_n\rangle =$$

$$\begin{bmatrix} u_{n1} \\ u_{n2} \\ \vdots \\ u_{nN} \end{bmatrix}$$

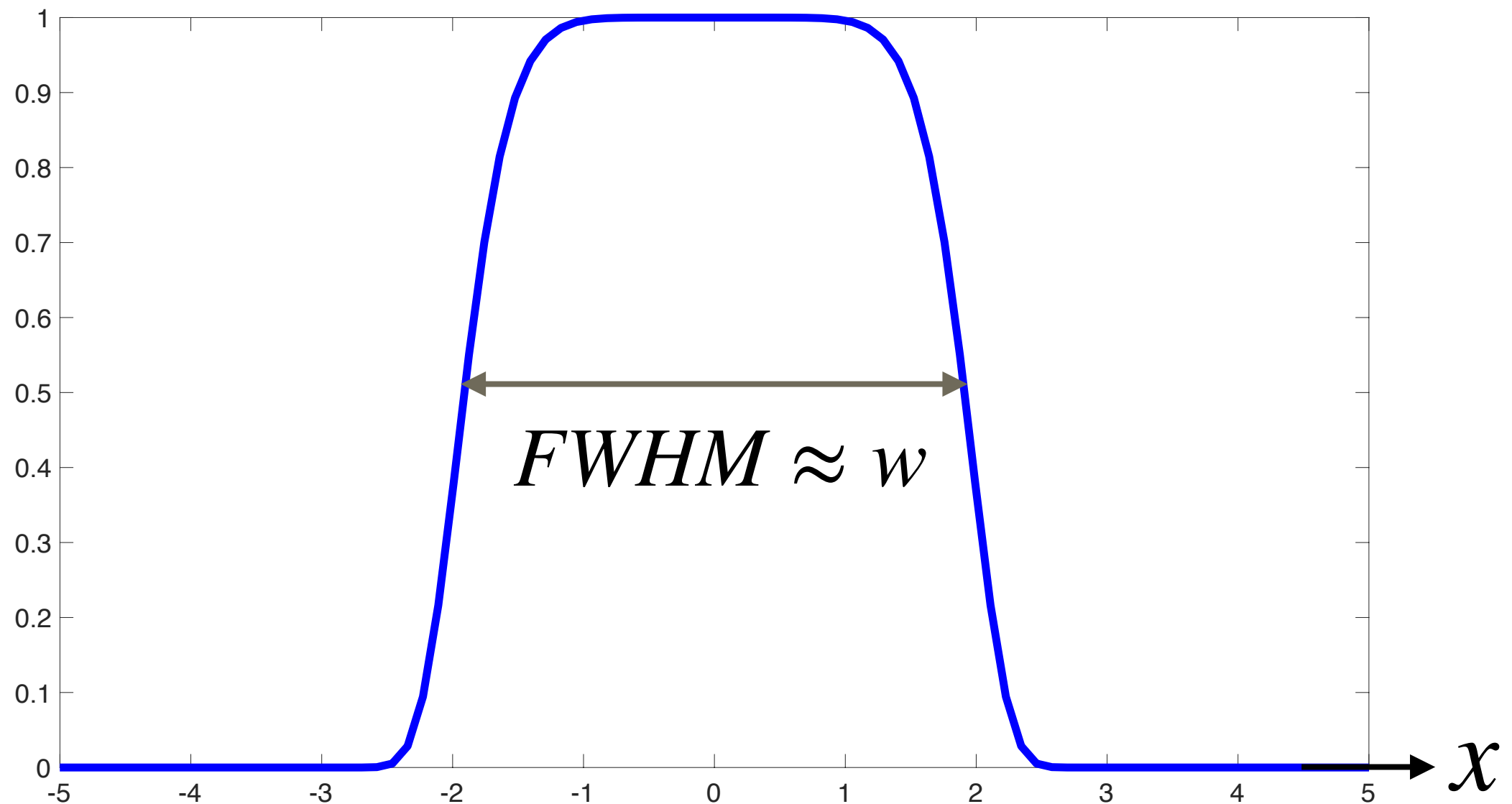
Eigenmode

Slab 1D-waveguide

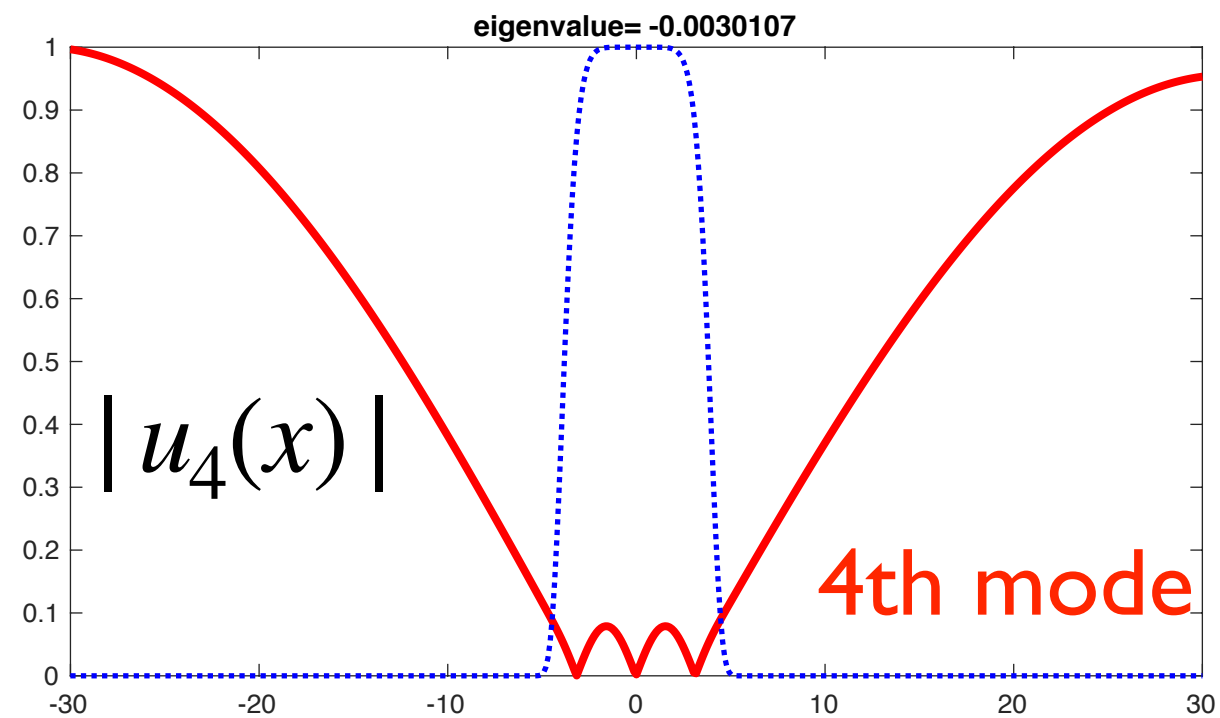
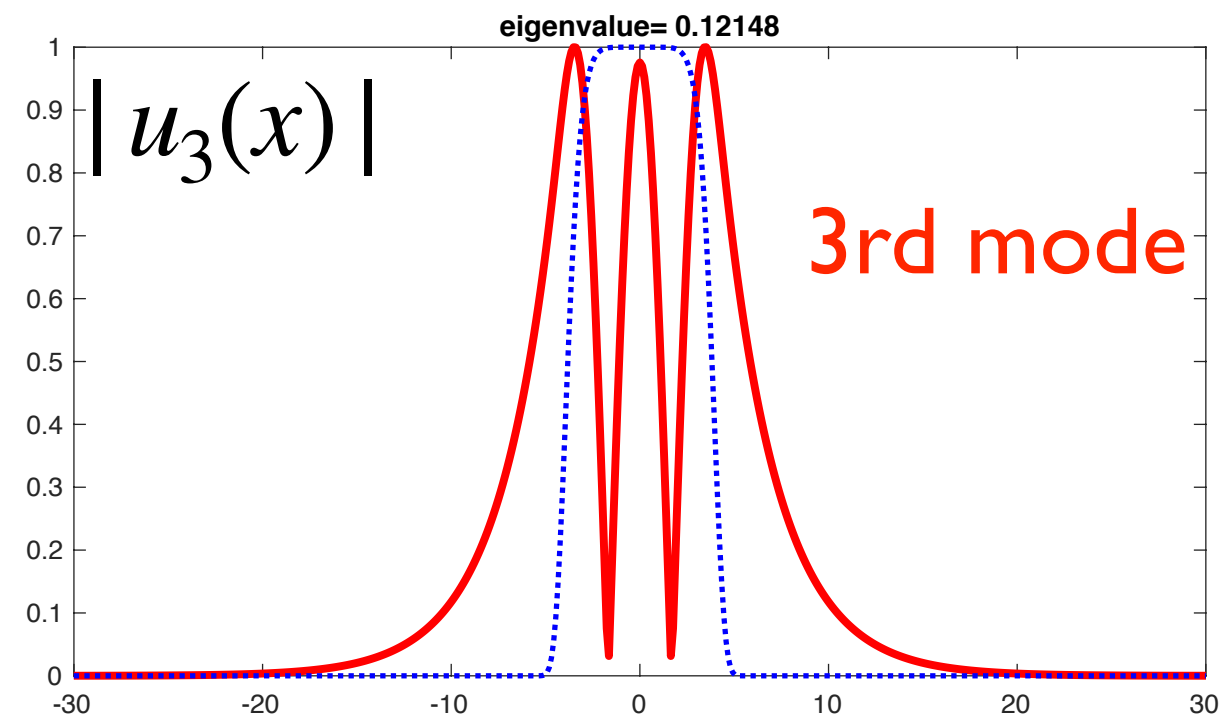
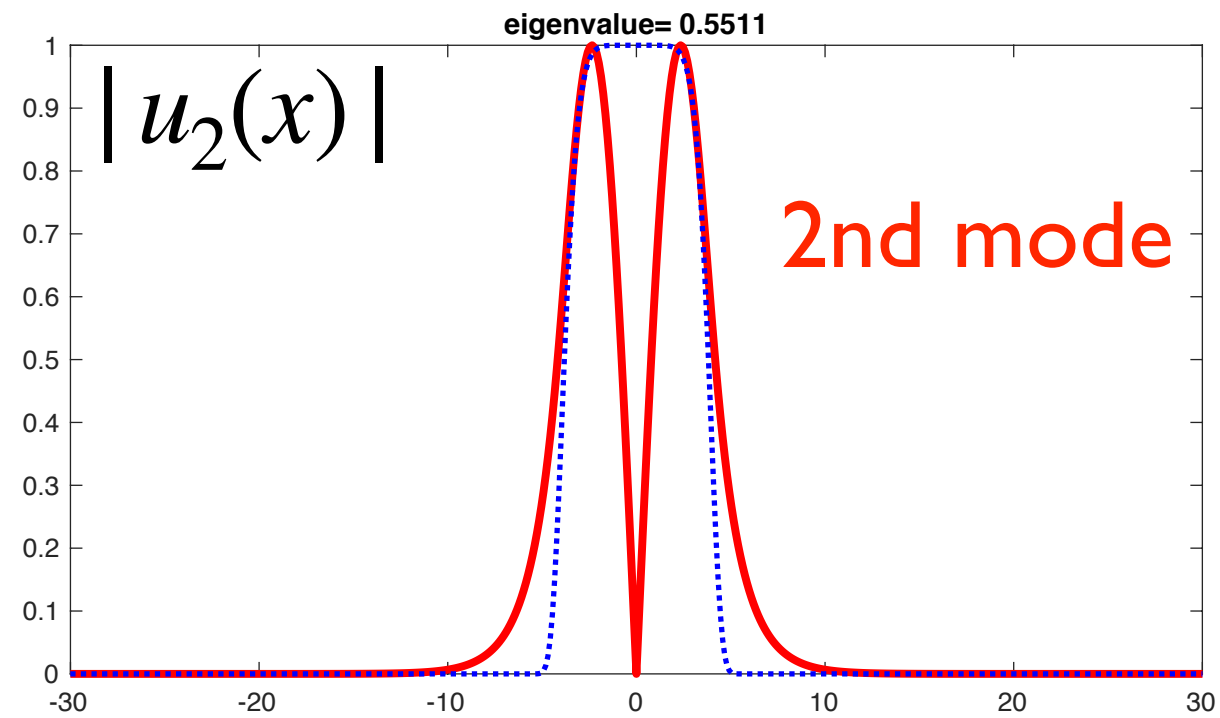
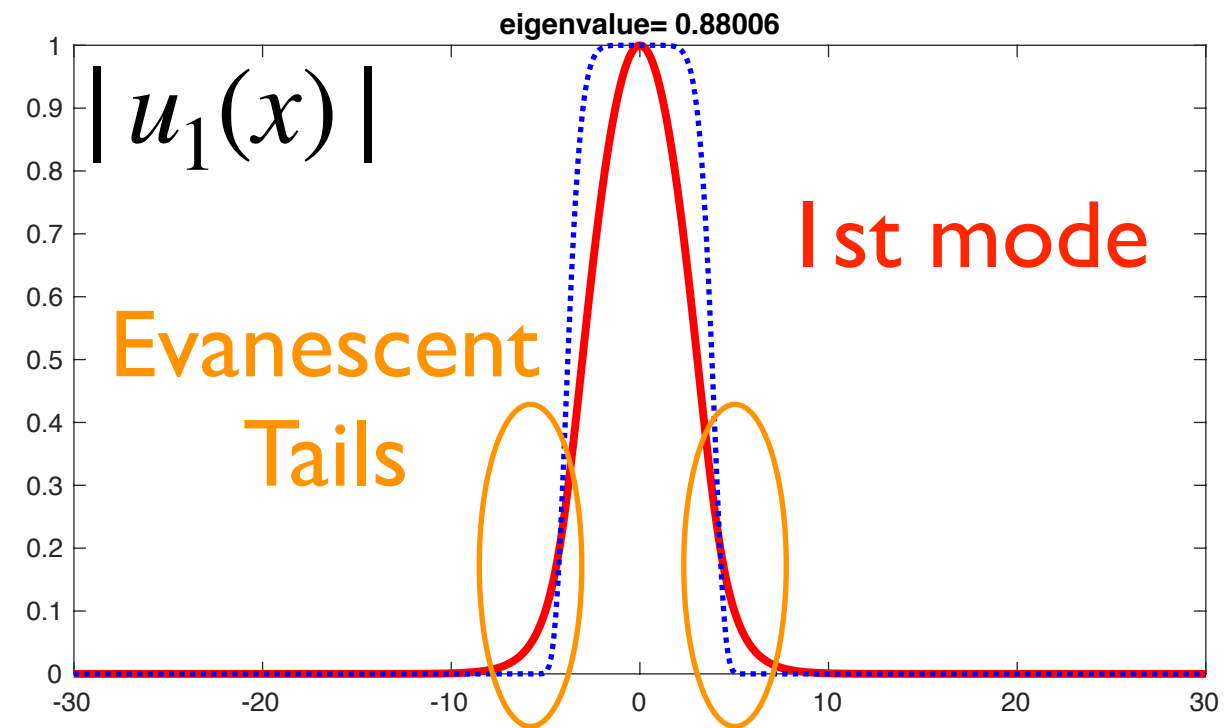
$$V(x) = V_0 e^{-(x/w)^8}$$

Supergaussian profile

Smooth function ideal for fft calculations based on BPM



Eigenmodes of a slab waveguide



The particular waveguide supports only 3 guided modes

SPLIT STEP FOURIER METHOD

Beam Propagation Method

$$x_n = n \cdot \Delta x \rightarrow (L/N)[0, \dots, N-1] \quad (n, m = 0, 1, \dots, N-1)$$

x-grid

$$k_m = 2\pi \cdot p_m = 2\pi \cdot m \cdot \Delta p \rightarrow (2\pi/L)[0, \dots, N/2-1, -N/2, \dots, -1]$$

k-grid

$$A_1\left(n\Delta x, z_0 + \frac{\Delta z}{2}\right) = IFFT \left\{ FFT \left[A\left(n\Delta x, z_0\right) \right] \exp\left(-ik_m^2 \frac{\Delta z}{2}\right) \right\}$$

Half step
diffraction

$$A_2\left(n\Delta x, z_0 + \frac{\Delta z}{2}\right) = \exp\left[i\Delta z \cdot V\left(n\Delta x\right)\right] A_1\left(n\Delta x, z_0 + \frac{\Delta z}{2}\right)$$

Full step
Phase screen

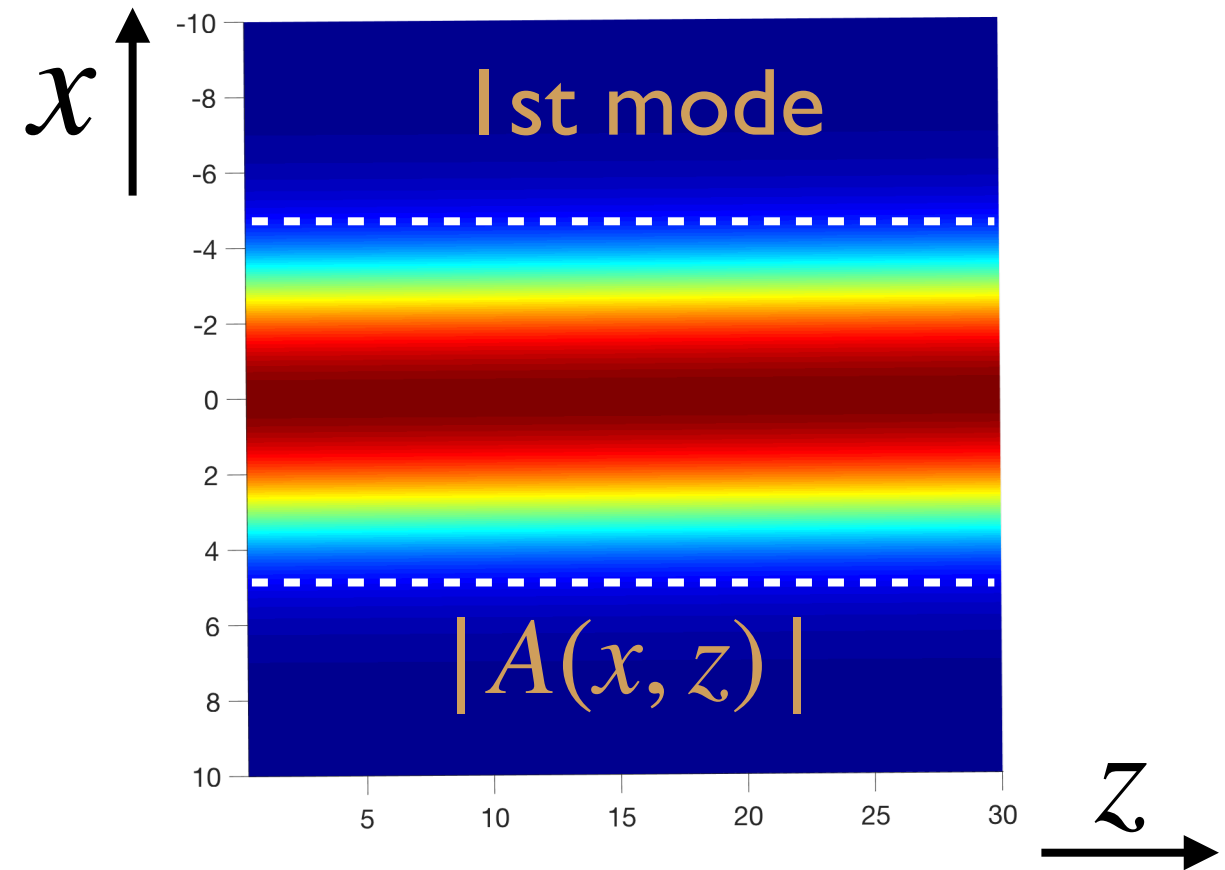
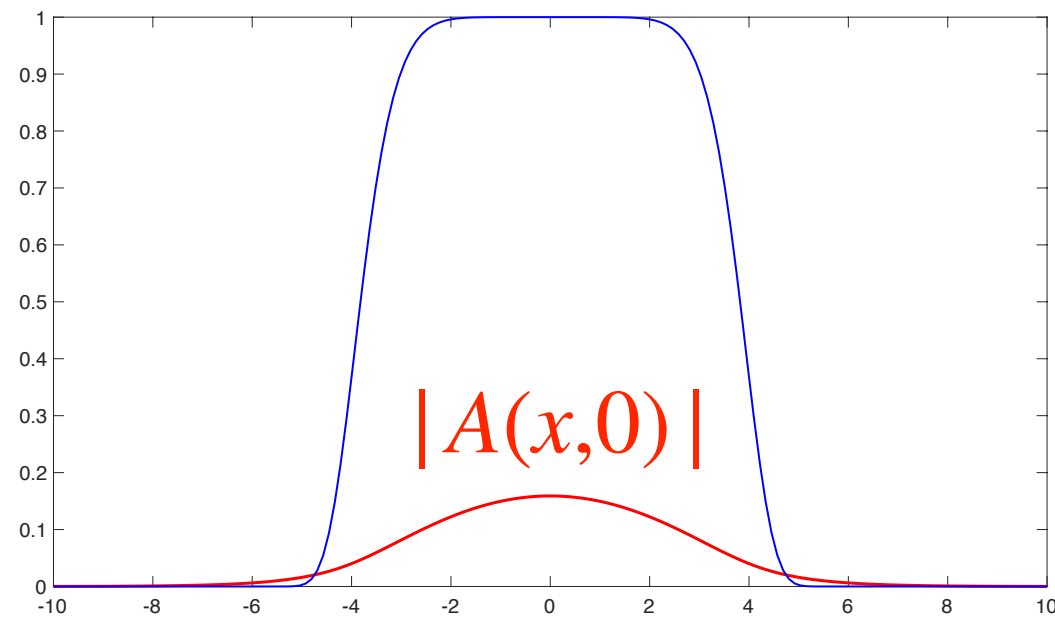
$$A_3\left(n\Delta x, z_0 + \Delta z\right) = IFFT \left\{ FFT \left[A_2\left(n\Delta x, z_0 + \frac{\Delta z}{2}\right) \right] \exp\left(-ik_m^2 \frac{\Delta z}{2}\right) \right\}$$

Half step
diffraction

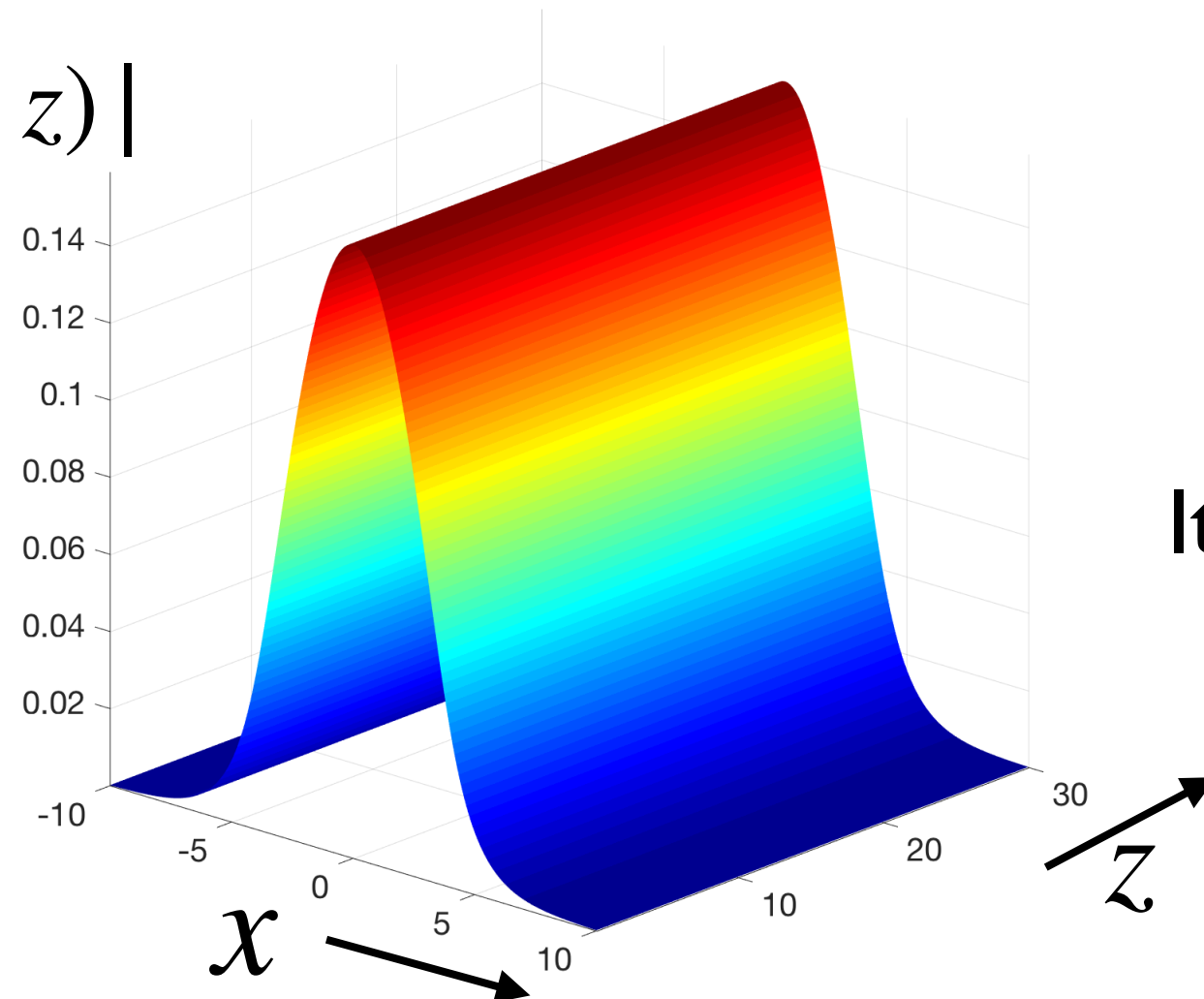
Symmetrized BPM for paraxial waveguides

Fundamental mode – Ground State

Excitation condition

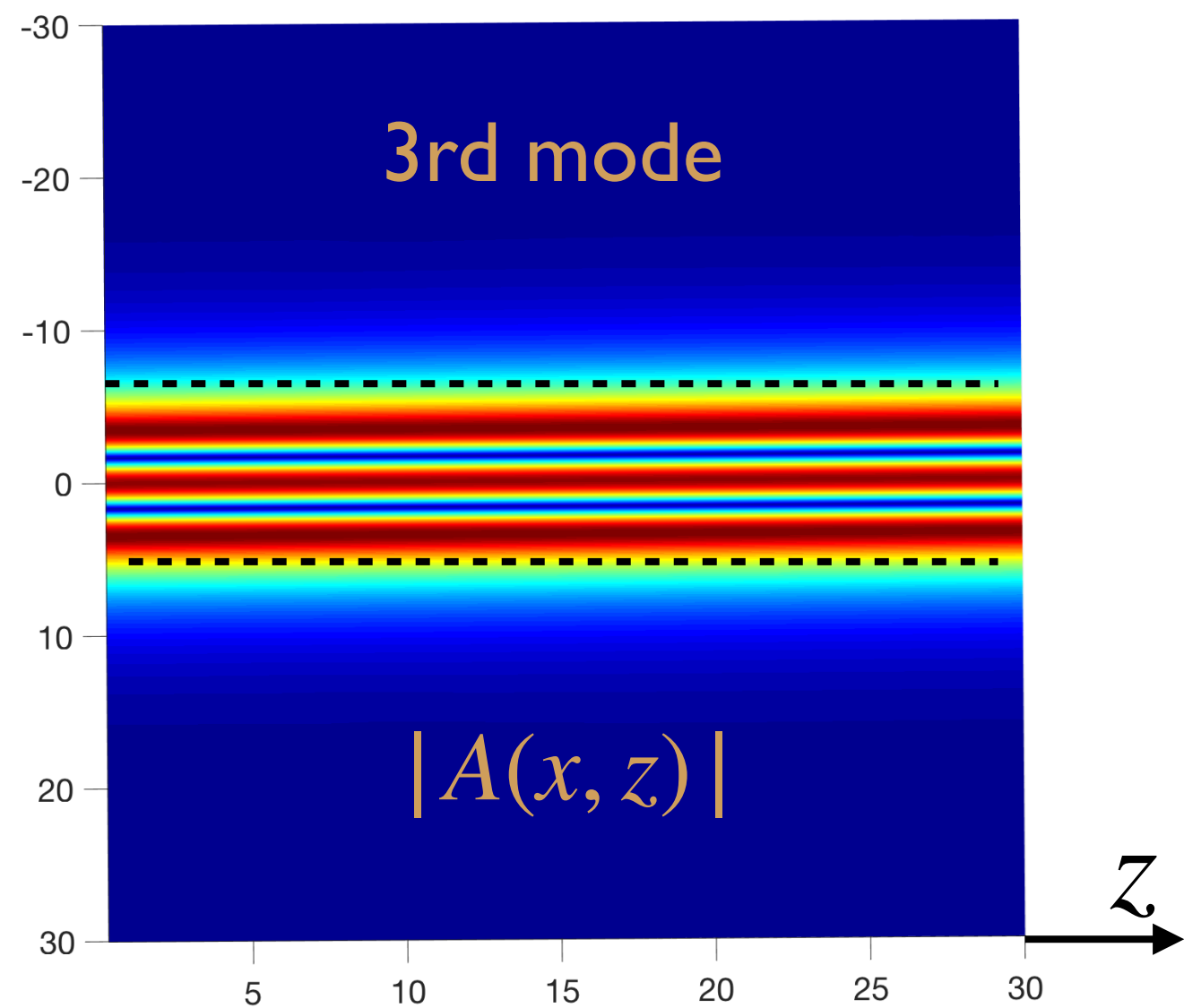
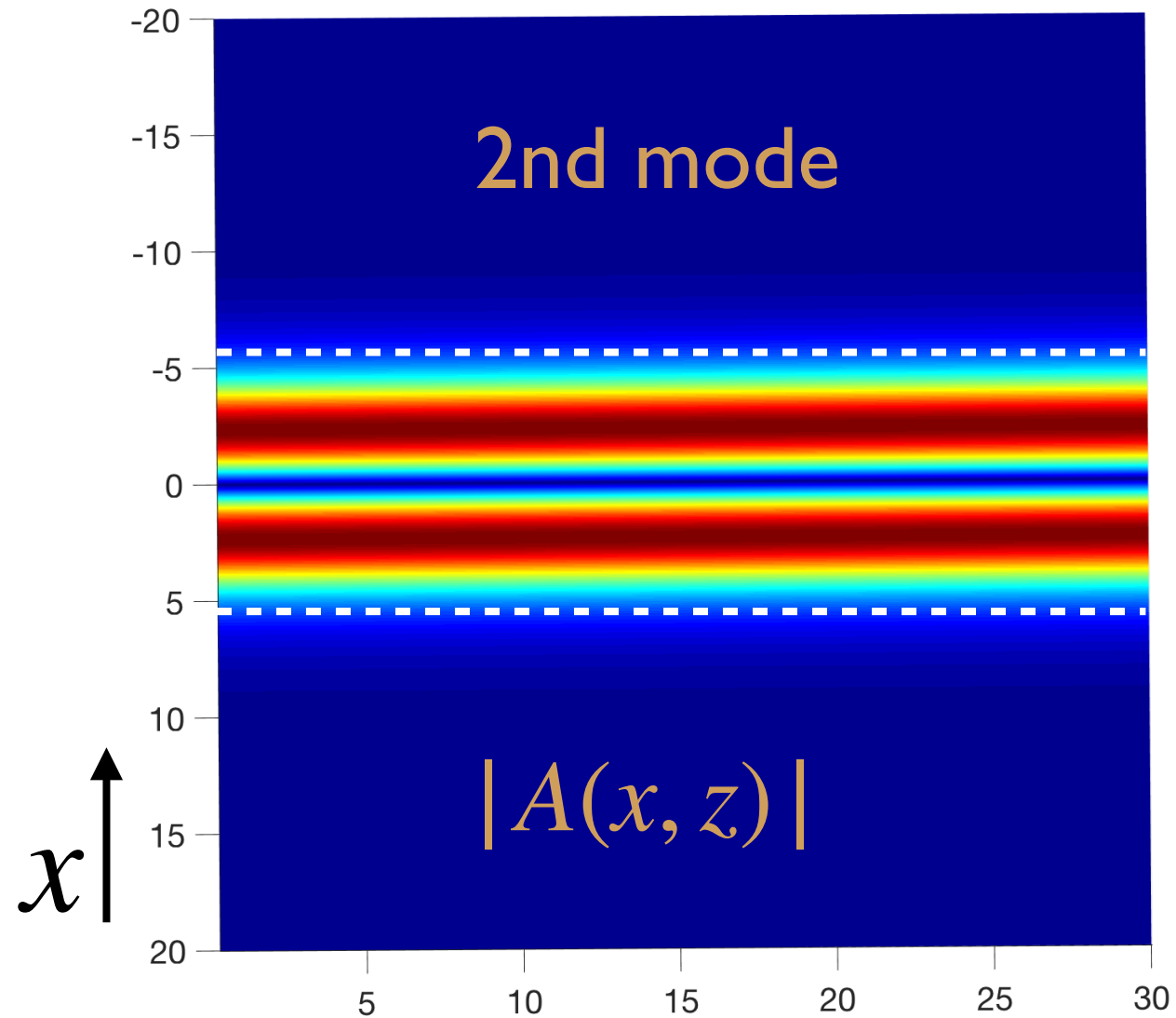
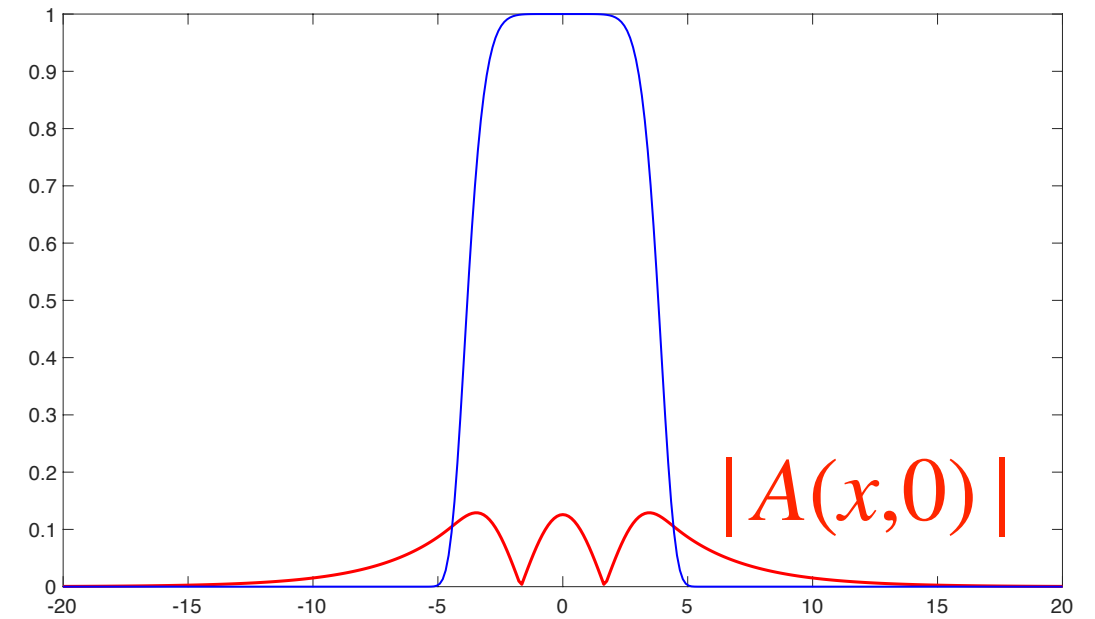
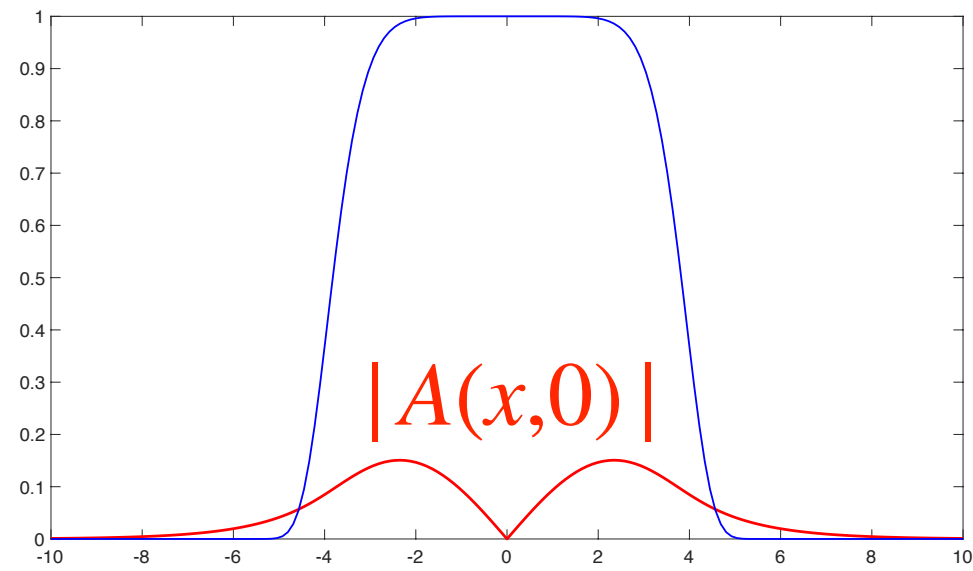


$|A(x,z)|$



Indeed is an eigenmode
Its intensity remains invariant
Upon propagation

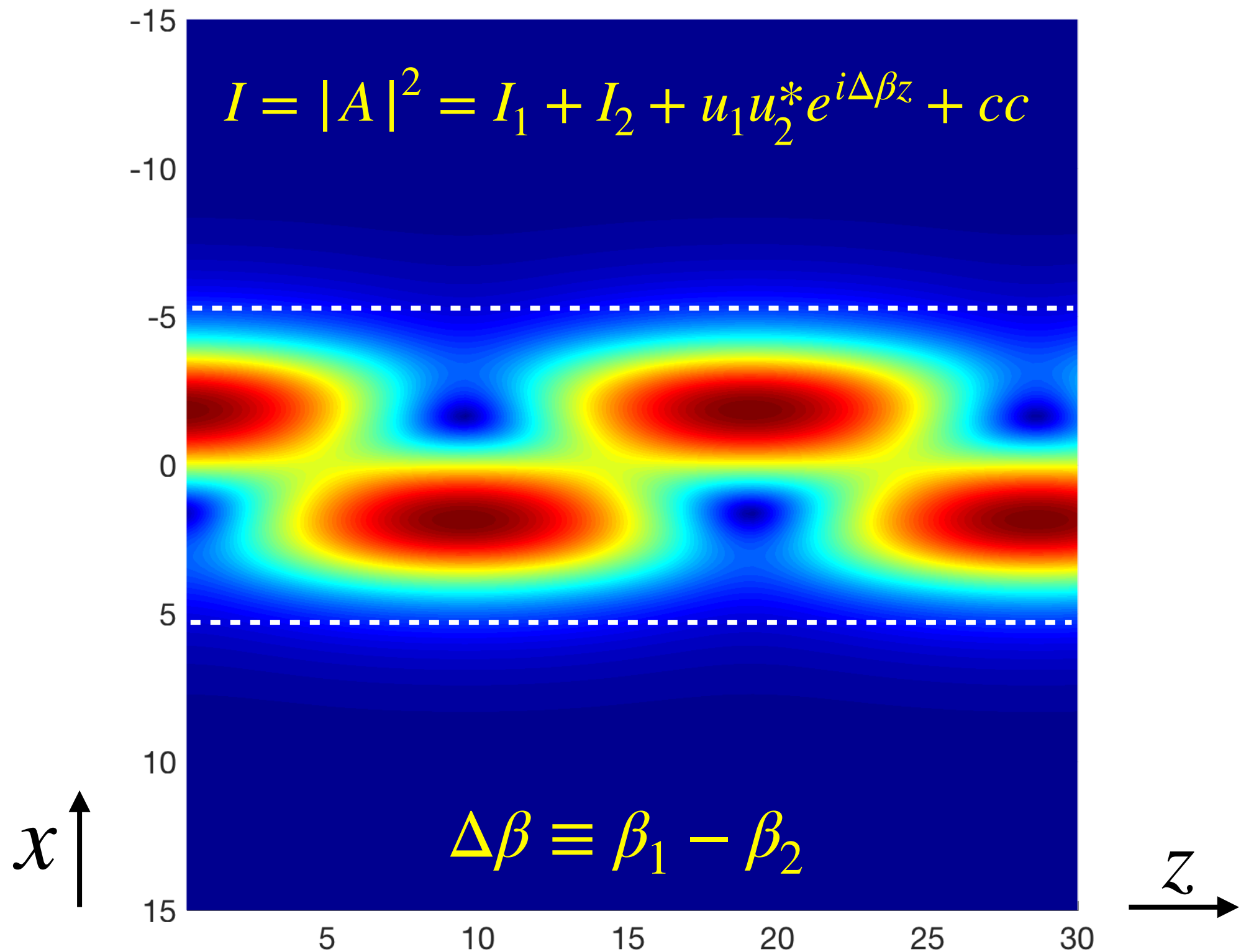
Excitation of higher order modes



Modal Interference

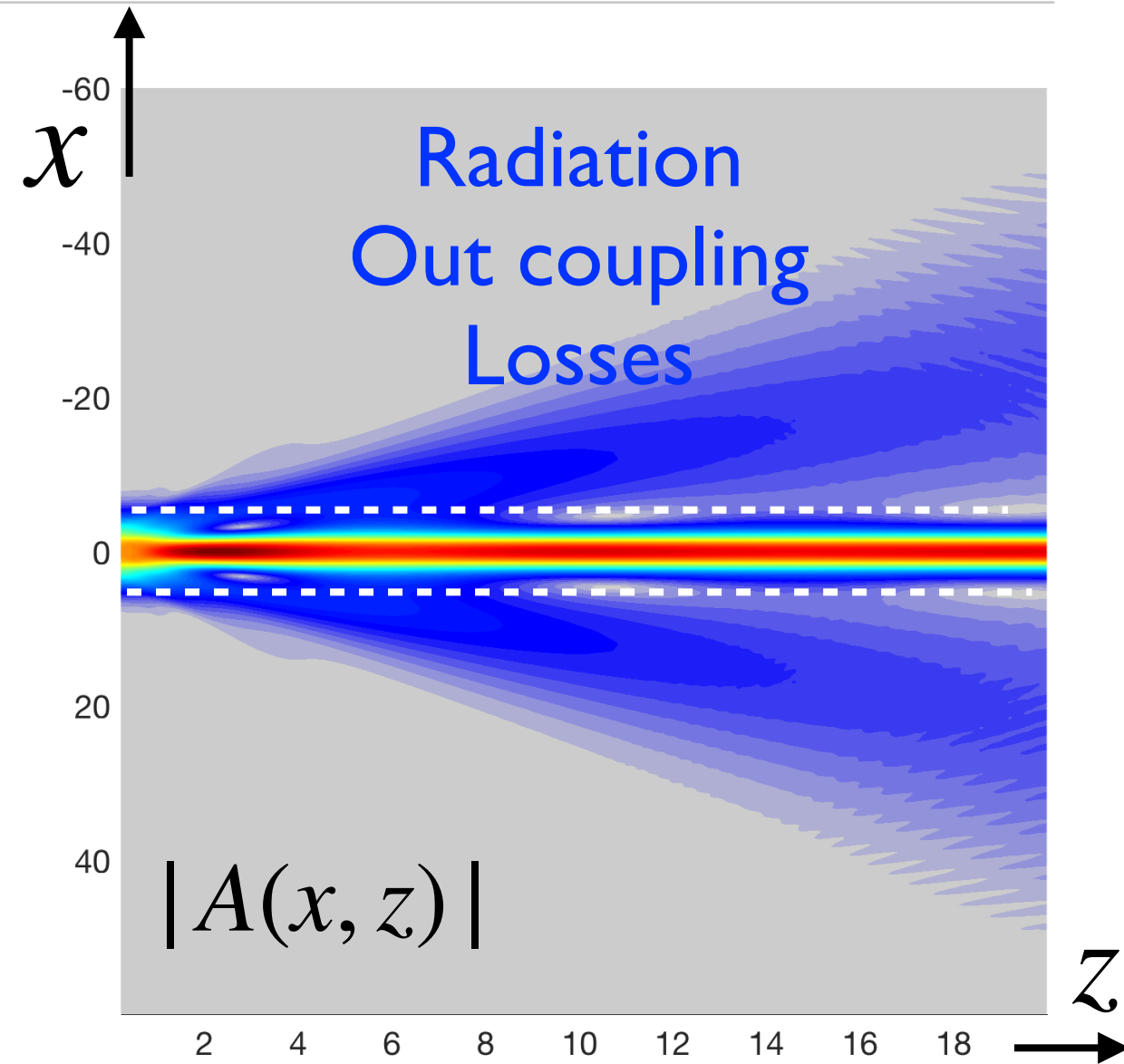
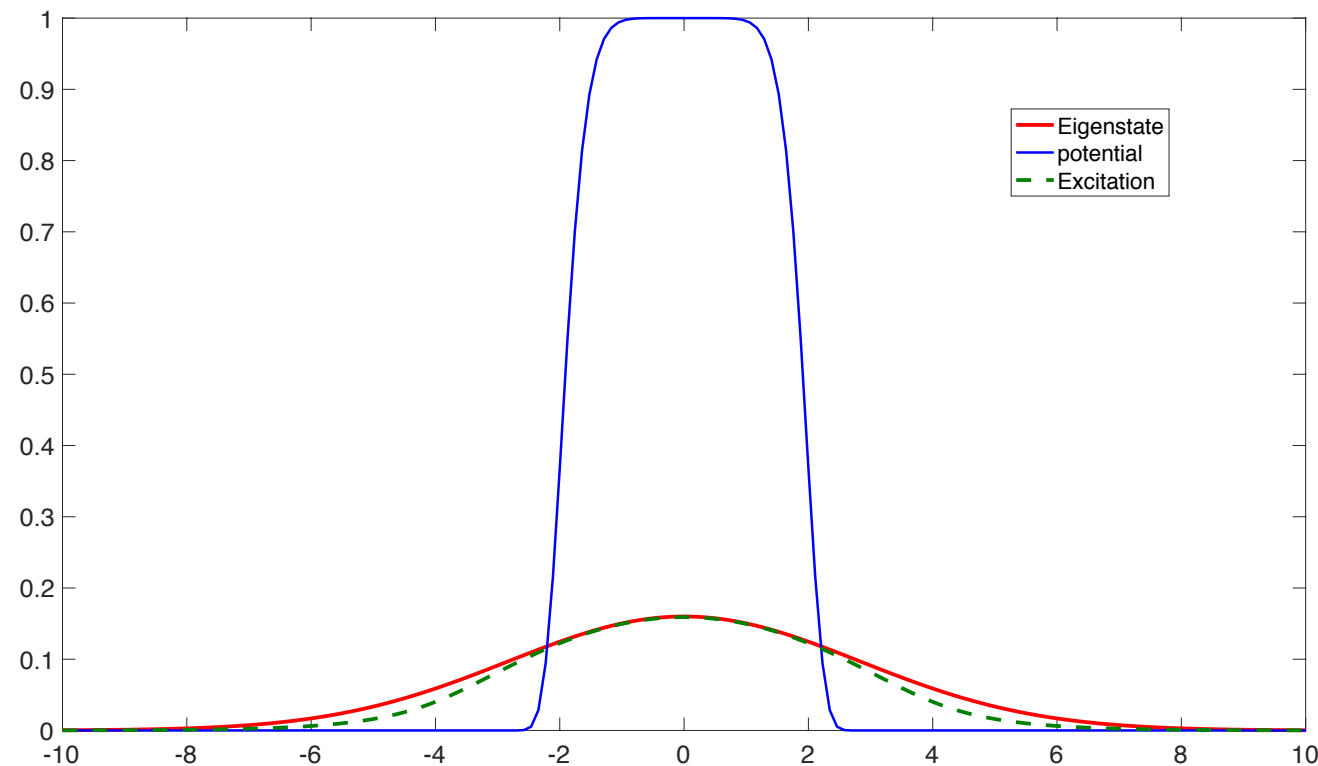
$$A(x, y, z) = u_1(x, y)e^{i\beta_1 z} + u_2(x, y)e^{i\beta_2 z}$$

Superposition
of 2 modes

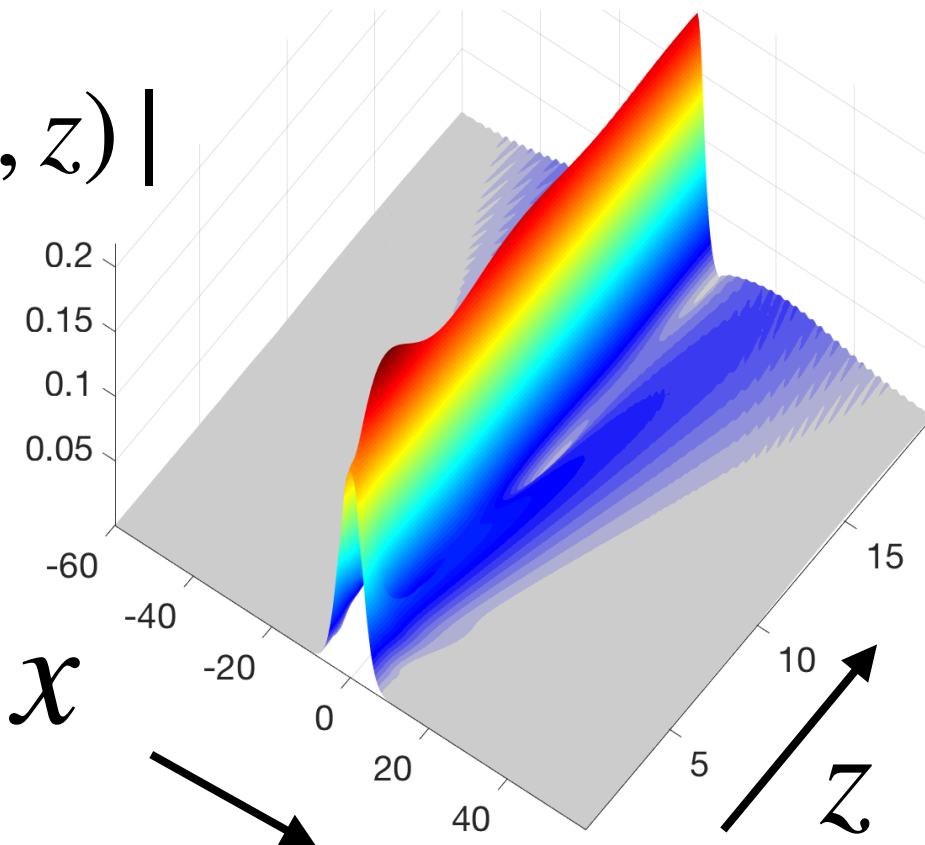


Coupling on a waveguide

Excitation condition



$|A(x, z)|$



We lost some energy on the coupling
but we have mostly excited
the first order mode