

# ME470: Problem sheet 1 - Continuum mechanics and statistical mechanics - correction

Alessandro Rizzi and Roxane Ollivier

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## 1 Index notation

(a) For  $\cos \theta$

$$\vec{a} \cdot \vec{a} = a_m a_m \quad \text{and} \quad \vec{b} \cdot \vec{b} = b_k b_k \quad (1)$$

$$\vec{a} \cdot \vec{b} = |\vec{a}| |\vec{b}| \cos \theta = a_i b_i \quad (2)$$

Thus,

$$\cos \theta = \frac{a_i b_i}{|\vec{a}| |\vec{b}|} = \frac{a_i b_i}{\sqrt{a_m a_m} \sqrt{b_k b_k}} \quad (3)$$

For  $|\sin \theta|$

$$|\vec{a} \times \vec{b}| = |\vec{a}| |\vec{b}| |\sin \theta| = \sqrt{\epsilon_{ijk} \epsilon_{fgk} a_i b_j a_f b_g} \quad (4)$$

Since,

$$\epsilon_{ijk} \epsilon_{fgk} = \delta_{if} \delta_{jg} - \delta_{ig} \delta_{jf} \quad (5)$$

We obtain,

$$\epsilon_{ijk} \epsilon_{fgk} a_i b_j a_f b_g = a_i a_i b_j b_j - a_i a_j b_i b_j \quad (6)$$

Thus,

$$|\sin \theta| = \frac{\sqrt{a_i a_i b_j b_j - a_i a_j b_i b_j}}{\sqrt{a_m a_m} \sqrt{b_n b_n}} \quad (7)$$

(b)

$$\begin{aligned} 2T_{ij} a_i a_j &= T_{ij} a_i a_j + T_{ij} a_i a_j \\ &= T_{ij} a_i a_j - T_{ji} a_i a_j \quad (T_{ij} = -T_{ji}) \\ &= T_{ij} a_i a_j - T_{ij} a_j a_i \\ &= 0 \end{aligned} \quad (8)$$

So,

$$T_{ij}a_i a_j = 0 \quad (9)$$

(c)

$$\begin{aligned} 2\epsilon_{ijk}a_j a_k &= \epsilon_{ijk}a_j a_k + \epsilon_{ijk}a_j a_k \\ &= \epsilon_{ijk}a_j a_k - \epsilon_{ikj}a_j a_k \quad (\epsilon_{ijk} = -\epsilon_{ikj}) \\ &= \epsilon_{ijk}a_j a_k - \epsilon_{ikj}a_k a_j \\ &= 0 \end{aligned} \quad (10)$$

So,

$$\epsilon_{ijk}a_j a_k = 0 \quad (11)$$

## 2 Homogeneous isotropic linear elastic material

(a) Considering a superposition of three uni-axial stresses we get

$$\epsilon_{xx} = \frac{1}{E}\sigma_{xx} - \frac{\nu}{E}(\sigma_{yy} + \sigma_{zz}) = \frac{1+\nu}{E}\sigma_{xx} - \frac{\nu}{E}(\sigma_{xx} + \sigma_{yy} + \sigma_{zz}),$$

and equivalent expressions for  $\epsilon_{yy}$  and  $\epsilon_{zz}$ . This can be generalized in the index notation to include non-diagonal elements as well

$$\epsilon_{ij} = \frac{1+\nu}{E}\sigma_{ij} - \frac{\nu}{E}\sigma_{ll}\delta_{ij}. \quad (12)$$

(b) Using the fact that  $\delta_{lm}\delta_{ml} = \delta_{ll} = \text{Tr}(\mathbf{I}) = 3$  in a 3-dimensional space,

$$\epsilon_{ll} = \epsilon_{lm}\delta_{ml} = \frac{1+\nu}{E}\sigma_{lm}\delta_{ml} - \frac{\nu}{E}\sigma_{ii}\delta_{lm}\delta_{ml} \quad (13)$$

$$= \frac{1+\nu}{E}\sigma_{ll} - \frac{3\nu}{E}\sigma_{ll} = \frac{1-2\nu}{E}\sigma_{ll} \quad (14)$$

Then, inverting Eq. (12) yields

$$\sigma_{ij} = \frac{E}{1+\nu}\epsilon_{ij} + \frac{E\nu}{(1+\nu)(1-2\nu)}\epsilon_{ll}\delta_{ij} \quad (15)$$

(c) For simple shear,  $\sigma_{xy} = 2\mu\epsilon_{xy}$ . It immediately follows from Eq. (15) that

$$\mu = \frac{E}{2(1+\nu)}$$

Under isotropic stress,  $\sigma_{ik} = -p\delta_{ik}$ . Then  $\sigma_{ll} = -3p$  and  $d\sigma_{ll} = -3dp$ .

By definition,

$$\frac{1}{K} = -\frac{1}{V}\frac{dV}{dp}.$$

Then,

$$\epsilon_{ll} = \frac{dV' - dV}{dV}, \quad d\epsilon_{ll} = \frac{dV}{V},$$

and

$$\frac{1}{K} = 3\frac{d\epsilon_{ll}}{d\sigma_{ll}} = \frac{3(1-2\nu)}{E},$$

where we have differentiated the final expression of Eq. (14). Then

$$K = \frac{E}{3(1-2\nu)}.$$

(d)

$$\frac{E\nu}{(1+\nu)(1-2\nu)} = \frac{E}{3} \left( -\frac{1}{1+\nu} + \frac{1}{1-2\nu} \right) = -\frac{2}{3}\mu + K.$$

Using this and the expression of  $\mu$ , Eq. (15) becomes

$$\sigma_{ij} = 2\mu\epsilon_{ij} + \left( -\frac{2}{3}\mu + K \right) \epsilon_{ll}\delta_{ij} \quad (16)$$

$$= 2\mu \left( \epsilon_{ij} - \frac{1}{3}\epsilon_{ll}\delta_{ij} \right) + K\epsilon_{ll}\delta_{ij}. \quad (17)$$

(e)

$$\sigma_{ll} = 3K\epsilon_{ll}, \quad \epsilon_{ll} = \frac{1}{3K}\sigma_{ll}$$

Inserting this in Eq. (17) gets us

$$\sigma_{ij} = 2\mu \left( \epsilon_{ij} - \frac{1}{9K}\sigma_{ll}\delta_{ij} \right) + \frac{1}{3}\sigma_{ll}\delta_{ij}.$$

Inverting this expression leads to the result

$$\epsilon_{ij} = \frac{1}{2\mu} \left( \sigma_{ij} - \frac{1}{3}\sigma_{ll}\delta_{ij} \right) + \frac{1}{9K}\sigma_{ll}\delta_{ij}.$$

(f)

$$\begin{aligned} u &= \frac{1}{2}\epsilon_{ij}\sigma_{ij} \\ &= \frac{1}{2}\epsilon_{ij} \left( 2\mu \left[ \epsilon_{ij} - \frac{1}{3}\epsilon_{ll}\delta_{ij} \right] + k\epsilon_{ll}\delta_{ij} \right) \\ &= \mu \left[ \epsilon_{ij}\epsilon_{ij} - \frac{1}{3}\epsilon_{ll}\epsilon_{kk} \right] + \frac{K}{2}\epsilon_{ll}\epsilon_{kk}. \end{aligned} \quad (18)$$

The term in square brackets of the last line can be written as

$$\begin{aligned} &\left( \epsilon_{ij} - \frac{1}{3}\epsilon_{ll}\delta_{ij} \right) \left( \epsilon_{ij} - \frac{1}{3}\epsilon_{kk}\delta_{ij} \right) \\ &= \epsilon_{ij}\epsilon_{ij} - \frac{1}{3}\epsilon_{ll}\epsilon_{ii} - \frac{1}{3}\epsilon_{kk}\epsilon_{ii} + \frac{1}{9} \cdot \epsilon_{kk}\epsilon_{ll}\delta_{ii} \\ &= \epsilon_{ij}\epsilon_{ij} - \frac{1}{3}\epsilon_{kk}\epsilon_{ll}, \end{aligned}$$

remembering that  $\delta_{ii} = 3$ . Then, inserting this result into Eq. (18) leads to

$$u = \mu \left( \epsilon_{ij} - \frac{1}{3}\epsilon_{ll}\delta_{ij} \right)^2 + \frac{K}{2}\epsilon_{ll}^2$$

### 3 2D elasticity

(a) First rewrite the energy as

$$\begin{aligned} u_A &= \mu_A \left( \epsilon_{ij} \epsilon_{ij} - \frac{1}{2} \epsilon_{ll} \epsilon_{kk} \right) + \frac{K_A}{2} \epsilon_{ll} \epsilon_{kk} \\ &= \mu_A \epsilon_{ij} \epsilon_{ij} + \frac{1}{2} (K_A - \mu_A) \epsilon_{ll} \epsilon_{kk}, \end{aligned}$$

keeping in mind that now  $\delta_{ii} = 2$ . Then

$$\begin{aligned} \tau_{ij} &= \frac{\partial u_A}{\partial \epsilon_{ij}} \\ &= \frac{\partial}{\partial \epsilon_{ij}} \left( \mu_A \epsilon_{ij} \epsilon_{ij} + \frac{1}{2} (K_A - \mu_A) \epsilon_{ll} \epsilon_{kk} \right) \\ &= 2\mu_A \epsilon_{ij} + (K_A - \mu_A) \epsilon_{ll} \delta_{ij} \end{aligned}$$

(b)

$$\begin{aligned} \tau_{ll} &= 2K_A \epsilon_{ll}, \\ \tau_{ij} &= 2\mu_A \epsilon_{ij} + \frac{K_A - \mu_A}{2K_A} \tau_{ll} \delta_{ij}. \end{aligned}$$

Inverting the last equation leads to the result

$$\epsilon_{ij} = \frac{1}{2\mu_A} \tau_{ij} + \frac{\mu_A - K_A}{4K_A \mu_A} \tau_{ll} \delta_{ij}.$$

(c) Assuming  $\tau_{xx} = \tau$  and  $\tau_{yy} = 0$ , the strain diagonal components are

$$\begin{aligned} \epsilon_{xx} &= \frac{1}{2\mu_A} \tau + \frac{\mu_A - K_A}{4K_A \mu_A} \tau = \frac{K_A + \mu_A}{4K_A \mu_A} \tau, \\ \epsilon_{yy} &= \frac{\mu_A - K_A}{4K_A \mu_A} \tau. \end{aligned}$$

Then,

$$\nu_A = -\frac{\epsilon_{yy}}{\epsilon_{xx}} = \frac{K_A - \mu_A}{K_A + \mu_A}.$$

(d)

$$\begin{aligned} \tau_{xx} &= \frac{4K_A \mu_A}{K_A + \mu_A} \epsilon_{xx} = E_A \epsilon_{xx}. \\ E_A &= \frac{4K_A \mu_A}{K_A + \mu_A}. \end{aligned}$$

## 4 Two dice

(a) The number of microstates is equal to the total number of pairs of outcomes for each of the two dices ( $i; j$ ). So there are  $6 * 6 = 36$  microstates. There are 11 macrostates, as the sum of the two dices numbers ranges from 2 (for the pair (1;1)) to 12 (for the pair (6;6)).

(b) The density of states for each macrostate are:

Macrostates	Density of states	Microstates
S=2	$\Omega(S = 2) = 1$	(1;1)
S=3	$\Omega(S = 3) = 2$	(1;2), (2;1)
S=4	$\Omega(S = 4) = 3$	(1;3), (2;2), (3;1)
S=5	$\Omega(S = 5) = 4$	.
S=6	$\Omega(S = 6) = 5$	.
S=7	$\Omega(S = 7) = 6$	.
S=8	$\Omega(S = 8) = 5$	.
S=9	$\Omega(S = 9) = 4$	.
S=10	$\Omega(S = 10) = 3$	.
S=11	$\Omega(S = 11) = 2$	.
S=12	$\Omega(S = 12) = 1$	.

(c) The probability of each macrostate  $S$  is:

$$P(S) = \frac{\Omega(S)}{36} \quad (19)$$

## 5 Two state system

(a) The partition function is the sum of the Boltzmann factors for all possible states of the system:

$$\begin{aligned} Z &= \sum_{states} \exp\left(-\frac{E}{k_B T}\right) \\ &= \sum_{s=0}^{s=1} \exp\left(-\frac{E(s)}{k_B T}\right) \\ &= \exp\left(-\frac{\epsilon_{closed}}{k_B T}\right) + \exp\left(-\frac{(\epsilon_{open} - \tau\Delta A)}{k_B T}\right) \end{aligned} \quad (20)$$

where  $E(s)$  is the energy of the system in state  $s$ .

For  $s = 0$  (closed state),

$$E(0) = \epsilon_{closed} \quad (21)$$

For  $s = 1$  (open state),

$$E(1) = \epsilon_{open} - \tau\Delta A \quad (22)$$

(b) The probabilities of the channel being in the closed ( $P_0$ ) or the open state ( $P_1$ ) are:

$$P_0 = \frac{1}{Z} \exp\left(-\frac{\epsilon_{closed}}{k_B T}\right) \quad (23)$$

$$P_1 = \frac{1}{Z} \exp \left( -\frac{(\epsilon_{open} - \tau \Delta A)}{k_B T} \right) \quad (24)$$

(c) The average energy of the two-state system is:

$$\begin{aligned} \langle E \rangle &= P_0 E(0) + P_1 E(1) \\ &= \frac{1}{Z} [\epsilon_{closed} \exp \left( -\frac{\epsilon_{closed}}{k_B T} \right) + (\epsilon_{open} - \tau \Delta A) \exp \left( -\frac{(\epsilon_{open} - \tau \Delta A)}{k_B T} \right)] \end{aligned} \quad (25)$$