

Heat Pump Systems

Summary W4

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What is a Heat Pump Thermodynamically?

- Bithermal thermodynamic cycle working in anti-clockwise direction
- Work is invested to drive the cycle, which absorbs and supplies heat at different temperatures

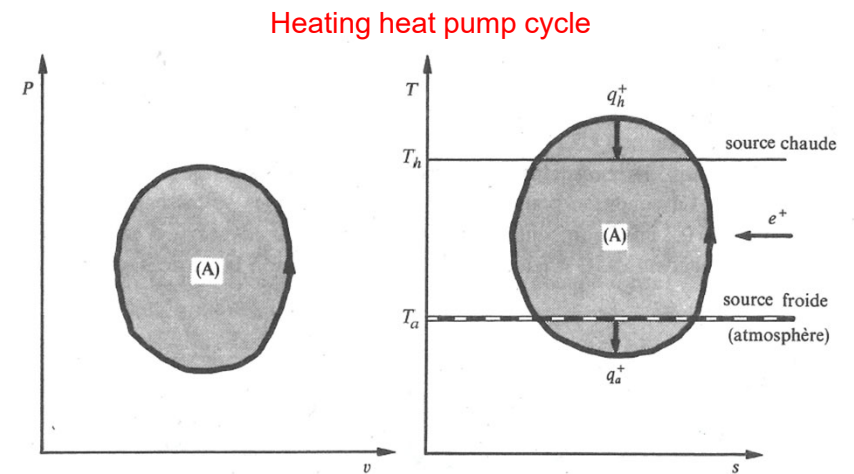
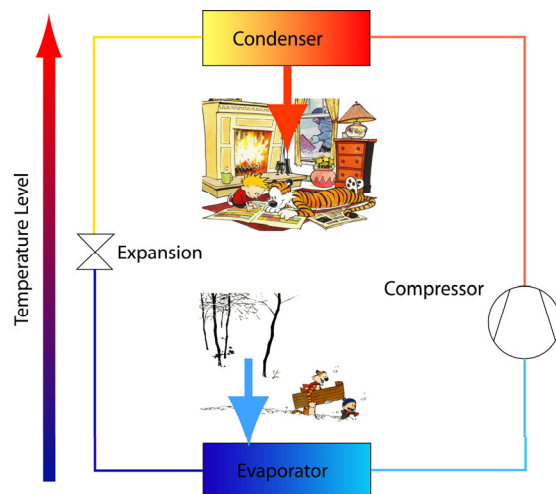


Fig 13.18 Favrat

Heating Heat Pump Effectiveness

- Heating effectiveness definition

$$\epsilon_h = \frac{q_h^-}{e^+} = 1 + \frac{q_a^+}{e^+} = \frac{1}{\Theta_h} \underbrace{\left(1 - \frac{l}{e^+}\right)}_{\eta} = COP_h$$

Specific exergy losses

Exergy efficiency

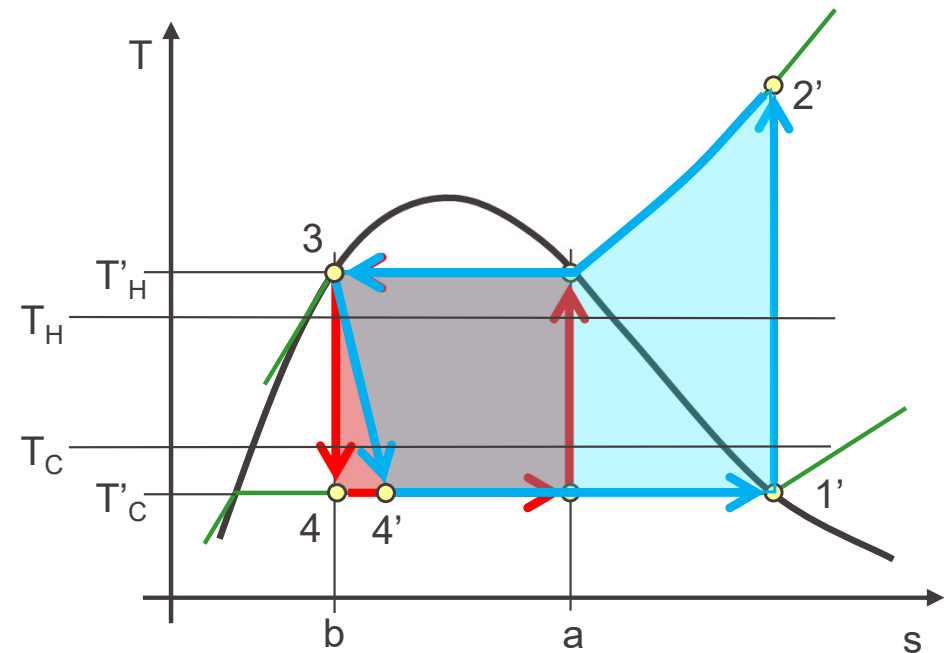
Coefficient of performance

Carnot factor $\Theta_h = 1 - \frac{T_a}{T_h}$

- Link between Carnot factor and exergy efficiency in heating mode

Technical Challenges of Reversed Carnot Cycle

- Dry compression is preferred to protect compression machine from destruction
- Use of two phase expansion valve preferred solution
→ isenthalpic expansion
- Heat transfer in condenser and evaporator requires finite temperature difference





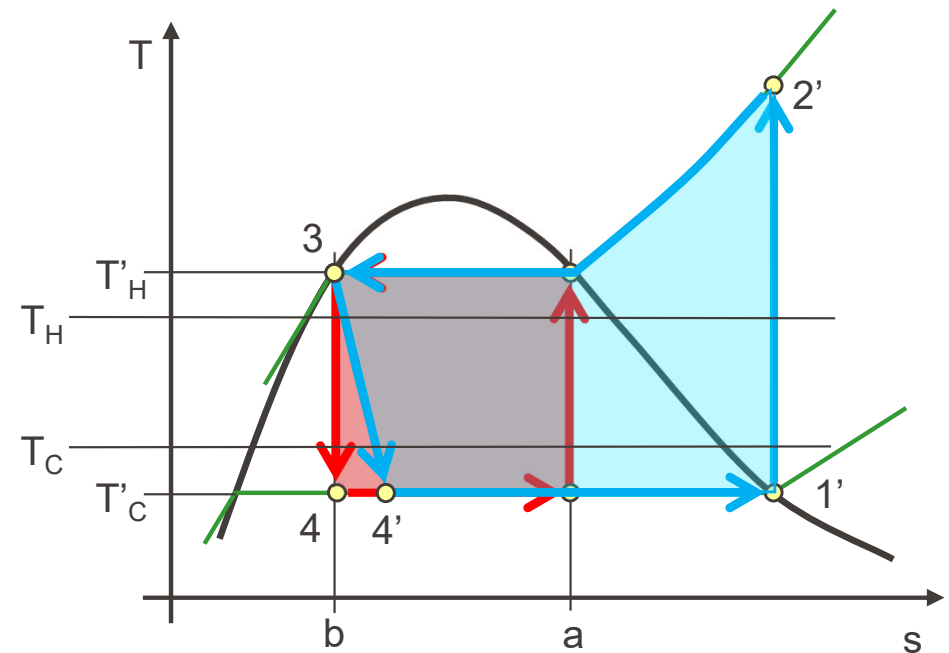
Heat Pump Systems

Analysis of
Performance Metrics

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Technical Challenges

- Implementation of Carnot cycle challenging due to technical limitations
 - Heat transfer to thermal sources requires temperature difference
 - Dry compression preferred
 - Use of two phase expansion valve preferred solution
- Technical solutions imply deviations from Carnot!



Analysis of Heating and Refrigeration HP Cycle

- Consider theoretical cycle with two isothermal, one isentropic and one polytropic process, including devaluation at low and high temperature and dissipation

- Energy balance

$$q_h^- - q_f^+ = e^+ = r - \oint T ds$$

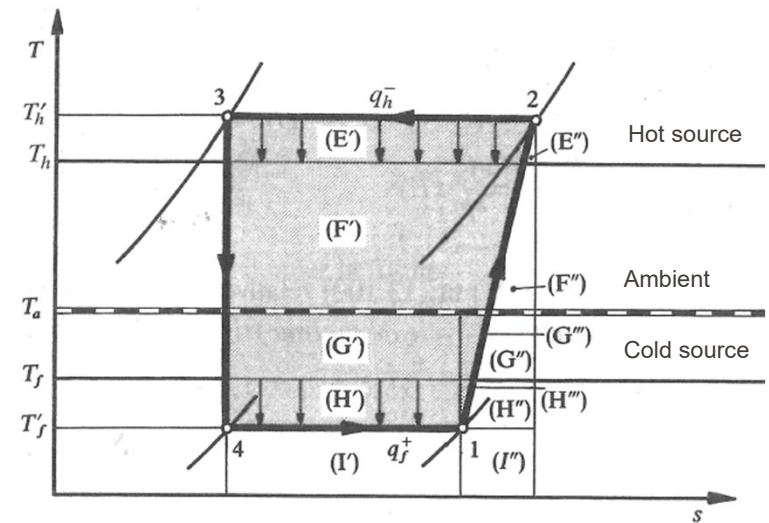


Fig 13.26 Favrat

Analysis of Heating and Refrigeration HP Cycle

Energy Balance

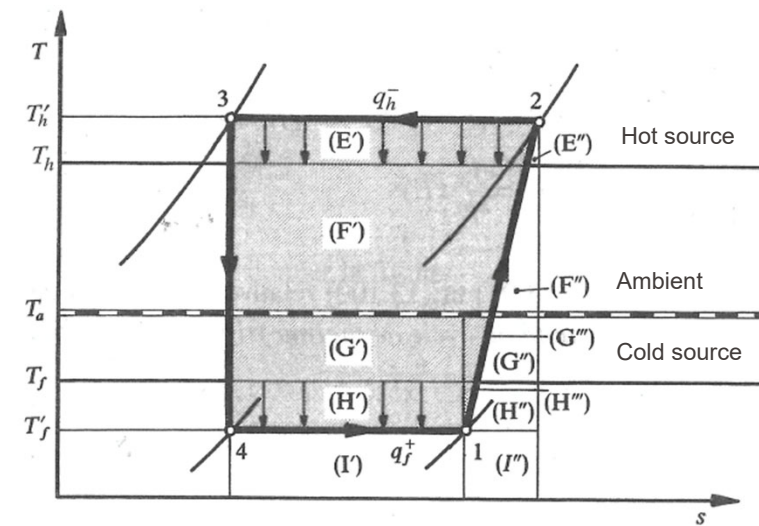


Fig 13.26 Favrat

Analysis of Heating and Refrigeration HP Cycle

Energy Balance

$$q_f^+ = T_f' (s_1 - s_4) = i'$$

$$q_h^- = T_h' (s_2 - s_3) = e + f + g + h + i$$

$$e^+ = (T_h' - T_f') (s_2 - s_3) + T_f' (s_2 - s_1)$$

$$e^+ = e + f + g + h + i''$$

$$-\oint Tds = e' + f' + g' + g''' + h' + h'''$$

$$r = \int_1^2 Tds = e'' + f'' + g'' + h'' + i''$$

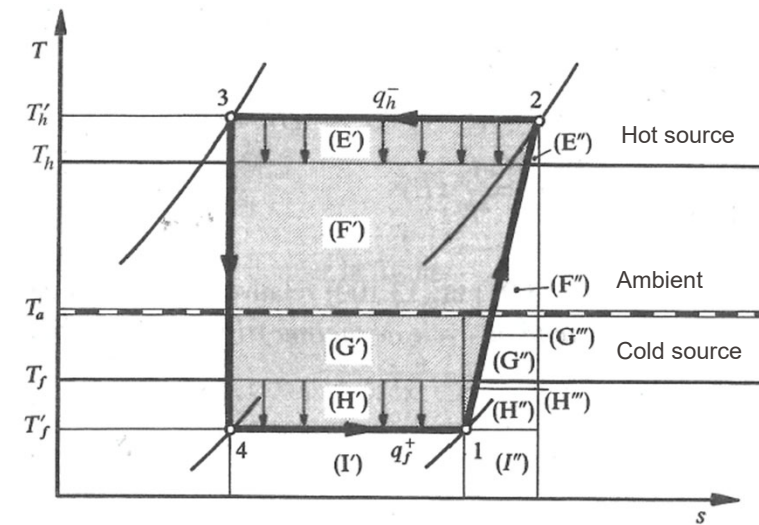


Fig 13.26 Favrat

Analysis of Heating and Refrigeration HP Cycle

Energy Balance

- Effectiveness of heating and refrigeration cycle

$$\epsilon_{hf} = \frac{q_h^- + q_f^+}{e^+}$$

- Inadequate adding received and delivered heat without care
- Definition disconcerting and meaningless without temperature levels
- Effectiveness cannot express real thermodynamic efficiency

Analysis of Heating and Refrigeration HP Cycle

- Consider theoretical cycle with two isothermal, one isentropic and one polytropic process, including devaluation at low and high temperature and dissipation

- Exergy balance

$$e_{qh}^- + e_{qf}^- = e^+ - (l_{qh} + l_{qf} + l_c + l_e)$$

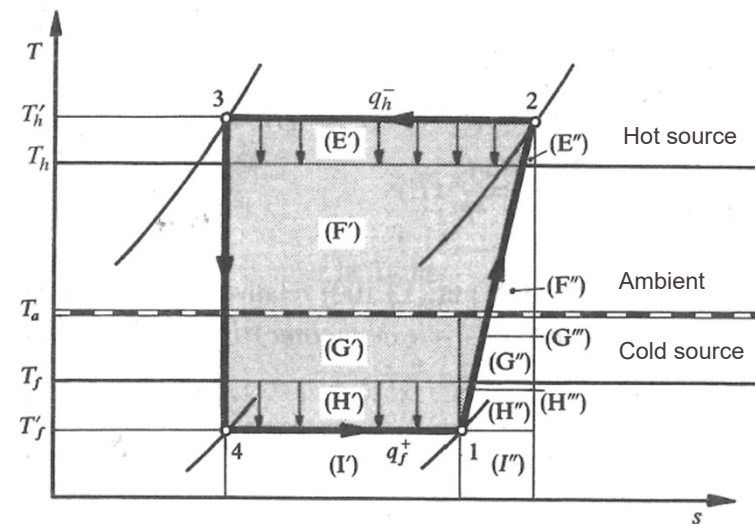


Fig 13.26 Favrat

Analysis of Heating and Refrigeration HP Cycle

- Exergy balance: heat exchange at condenser

$$e_{qh'}^+ - e_{qh}^- = l_{qh}$$

Exergy balance

$$e_{qh'}^+ = \int \left(1 - \frac{T_a}{T_h'}\right) \delta q_h = \left(1 - \frac{T_a}{T_h'}\right) q_h^-$$

Received heat exergy

$$e_{qh}^- = \int \left(1 - \frac{T_a}{T_h}\right) \delta q_h = \left(1 - \frac{T_a}{T_h}\right) q_h^-$$

Delivered heat exergy

$$l_{qh} = q_h^- \left(\frac{T_h' - T_h}{T_h' T_h} \right) T_a = \frac{T_a}{T_h} (T_h' - T_h) (s_2 - s_3)$$

Exergy losses

Analysis of Heating and Refrigeration HP Cycle

- Exergy balance: heat exchange at evaporator

$$e_{qf}^+ - e_{qf'}^- = l_{qf}$$

Exergy balance

$$e_{qf}^+ = \int \left(1 - \frac{T_a}{T_f} \right) \delta q_h = \left(1 - \frac{T_a}{T_f} \right) q_f^+$$

Received heat exergy

$$e_{qf'}^- = \int \left(1 - \frac{T_a}{T_f'} \right) \delta q_f = \left(1 - \frac{T_a}{T_f'} \right) q_f^+$$

Delivered heat exergy

$$l_{qf} = q_f^+ \left(\frac{T_f - T_f'}{T_f' T_f} \right) T_a = \frac{T_a}{T_f} (T_f - T_f') (s_1 - s_4) \quad \text{Exergy losses}$$

- Exergy balance: adiabatic compression

$$e_y^+ + e^+ - e_y^- = l_c$$

Exergy balance

$$e_y^+ = k_1 = h_1 - T_a s_1$$

Received transformation exergy

$$e_y^- = k_2 = h_2 - T_a s_2$$

Delivered transformation exergy

$$\underbrace{h_1 - h_2}_{-e^+} + e^+ + T_a (s_2 - s_1) = l_c$$

$$T_a (s_2 - s_1) = l_c$$

Exergy losses

Analysis of Heating and Refrigeration HP Cycle

- Exergy balance: expansion

$$e_y^+ - e^- - e_y^+ = l_e$$

Exergy balance

$$e_y^+ = k_3 = h_3 - T_a s_3$$

Received transformation exergy

$$e_y^- = k_4 = h_4 - T_a s_4$$

Delivered transformation exergy

$$\underbrace{h_3 - h_4}_{+e^-} - e^- + T_a (s_4 - s_3) = l_e$$

$$T_a (s_4 - s_3) = l_e$$

Exergy losses

Analysis of Heating and Refrigeration HP Cycle

- Graphical representation of exergy losses

$$l_{qh} = \frac{T_a}{T_h} (T'_h - T_h) (s_2 - s_3)$$

$$T_a (s_4 - s_3) = l_e$$

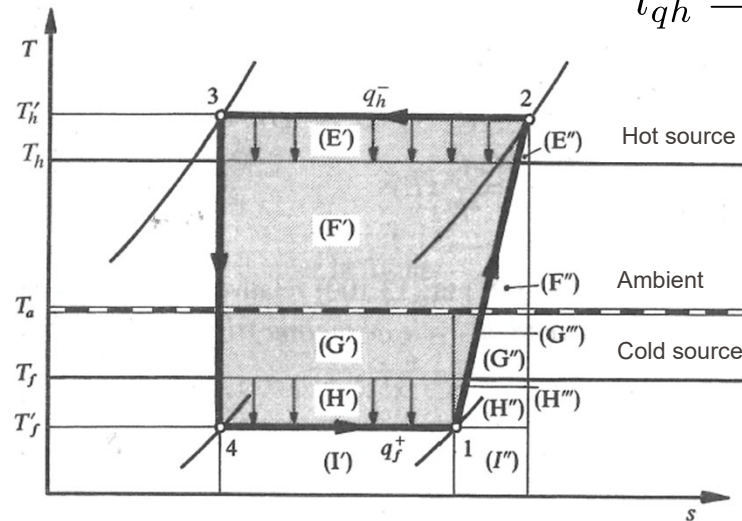


Fig 13.26 Favrat

$$l_{qf} = \frac{T_a}{T_f} (T_f - T'_f) (s_1 - s_4)$$

$$T_a (s_2 - s_1) = l_c$$

Analysis of Heating and Refrigeration HP Cycle

- Exergy efficiency of heating and refrigeration cycle

$$\eta = \frac{e_{qh}^- + e_{qf}^-}{e^+} = 1 - \frac{T_a}{T_h} \left[1 - \frac{\frac{T_h}{T_f} - 1}{\frac{T_h + \Delta T_h}{T_f - \Delta T_f} \left(1 + \frac{\Delta s}{s_1 - s_4} \right) - 1} \right]$$

with

$$\Delta T_f = T_h' - T_h$$

$$\Delta T_f = T_f - T_f'$$

$$\Delta s = s_2 - s_1$$

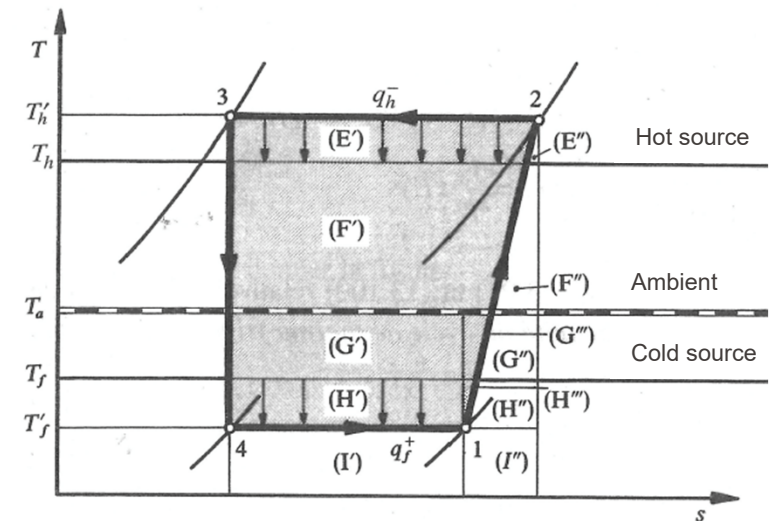


Fig 13.26 Favrat

Analysis of Heating and Refrigeration HP Cycle

- Assume example case without dissipation ($\Delta s = 0$) but with thermal devaluation and with $T_f = T_a \rightarrow$ heat pump mode

- Exergy efficiency

$$\eta = \frac{(T_h + \Delta T_h)(T_h - T_a)}{T_h(T_h - T_a + \Delta T_h + \Delta T_f)}$$

- Effectiveness

$$\epsilon = \frac{T_h + \Delta T_h}{T_h - T_a + \Delta T_h + \Delta T_f}$$

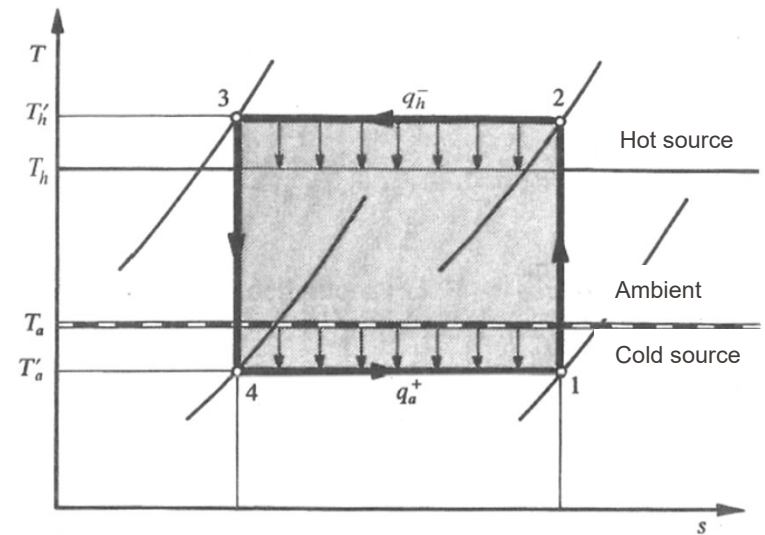
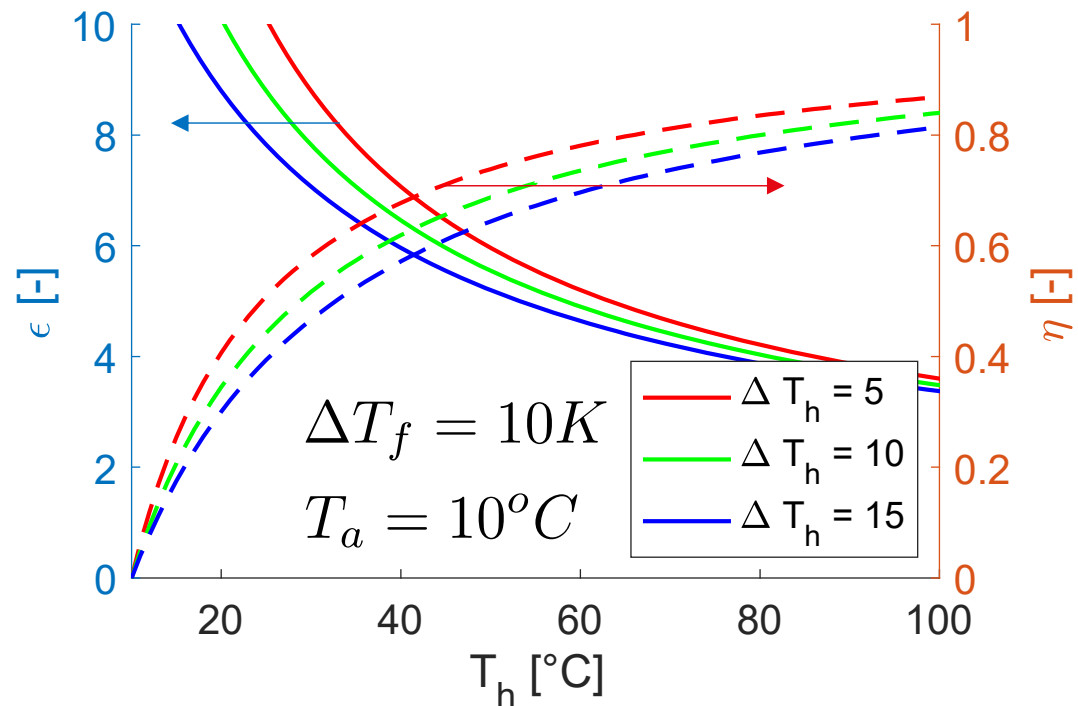


Fig. 13.27 Favrat

Analysis of Heating and Refrigeration HP Cycle

- Plot clearly demonstrates advantage of exergy analysis
- Devaluation yields strong impact at low temperature lifts



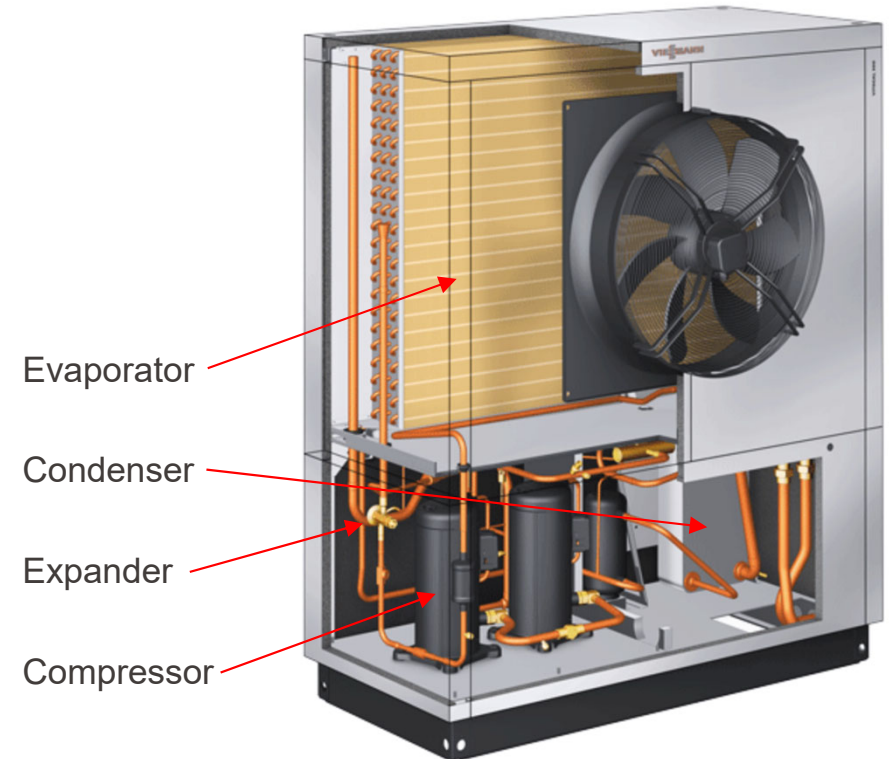
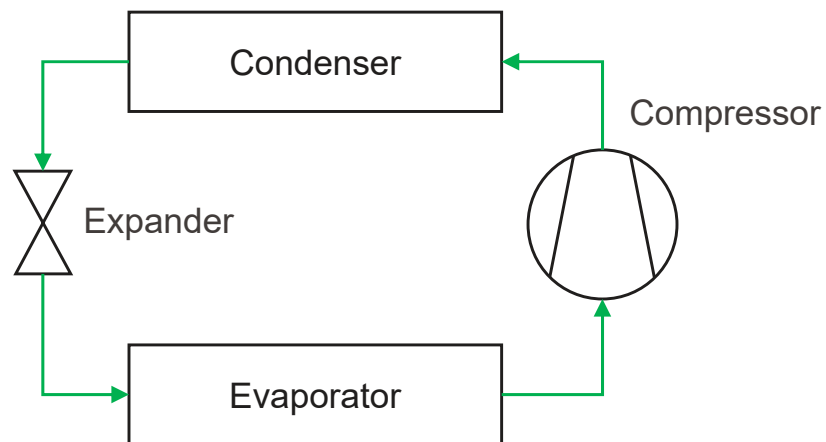
Heat Pumps Systems

Analysis of real heat
pumps

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Real HP Cycle

- Typical layout of single stage heat pump cycle

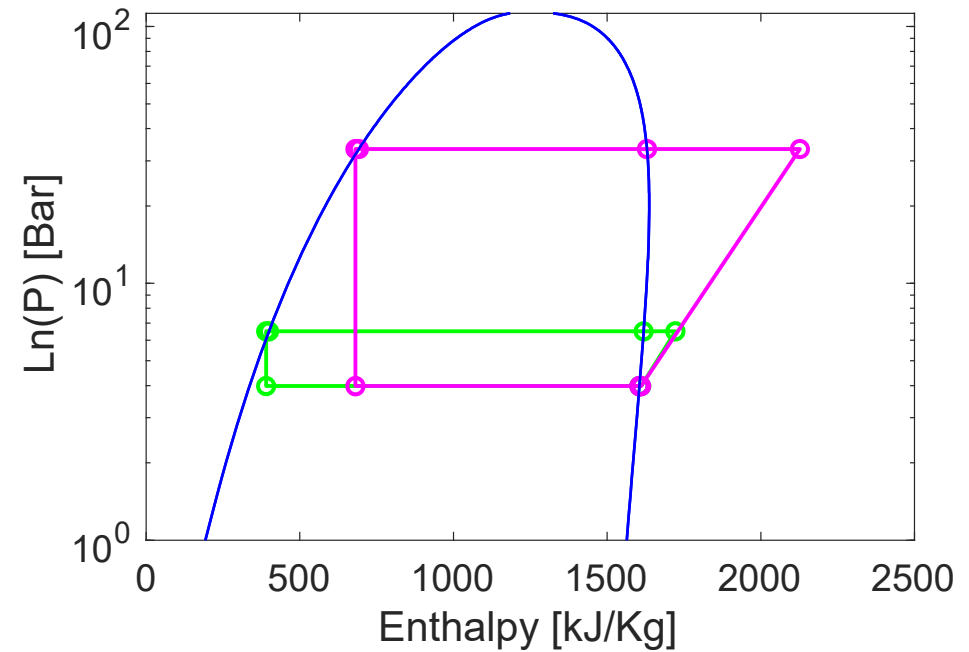
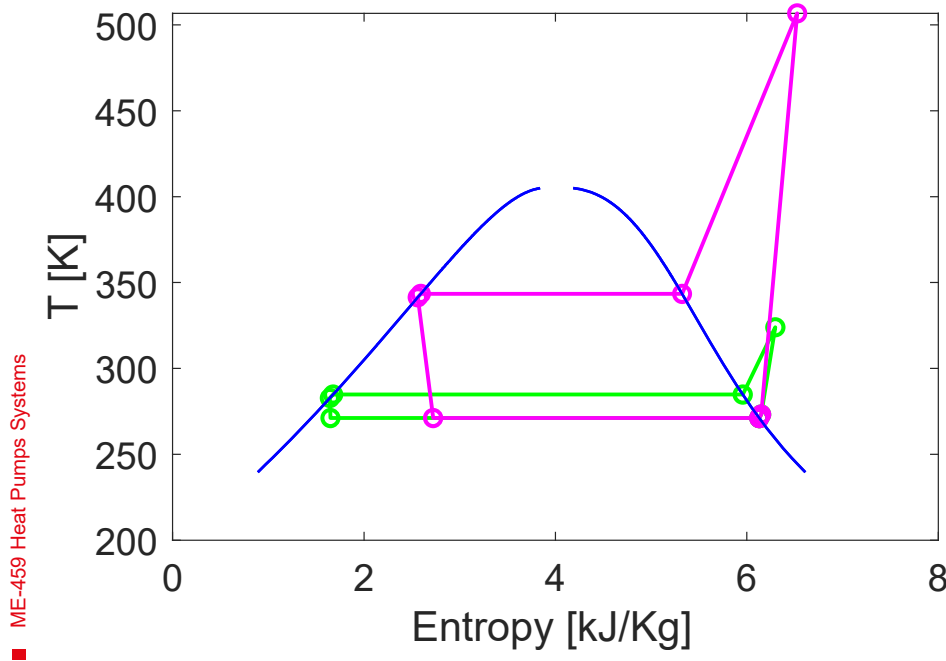


Conditions on Real HP Cycle

- Single stage vapor compression heat pump
 - Adiabatic compression with isentropic efficiency function of pressure ratio
 - Condensation with 2K subcooling
 - Isenthalpic expansion in valve
 - Evaporation with 2K superheat
 - Cold source is air at 5°C
 - Hot source is water provided at 15 – 90°C (10kW)
 - Temperature difference on water and air 5K
 - Pinch in condenser and evaporator 2K
 - Working fluid ammonia
- Assumptions
 - Perfect thermal insulation, negligible dissipation in condenser, evaporator and ducts, steady-state operation

Real HP Cycle Representation

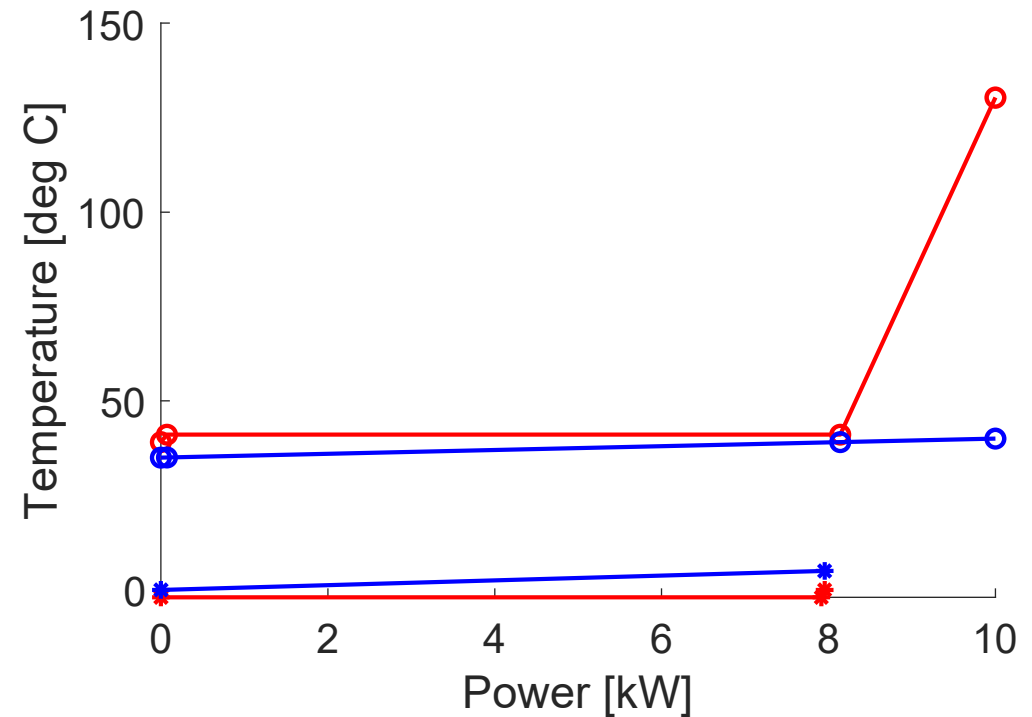
- Vapor compression heat pump, T-s and P-h diagrams



Real HP Cycle Composites

■ Condenser and evaporator composites

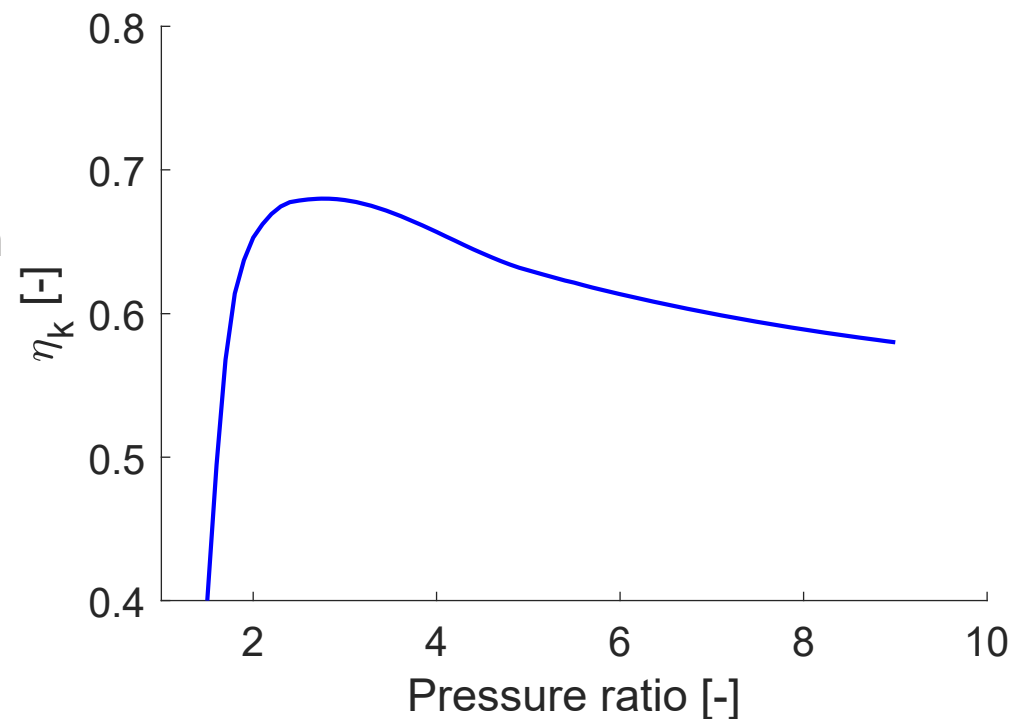
- 2K superheat & subcooling
- Cold source is air at 5°C
- Temperature difference on water and air 5K
- Pinch in condenser and evaporator 2K



Real HP Cycle Compressor (Scroll)

- Isentropic efficiency of typical heat pump scroll compressor

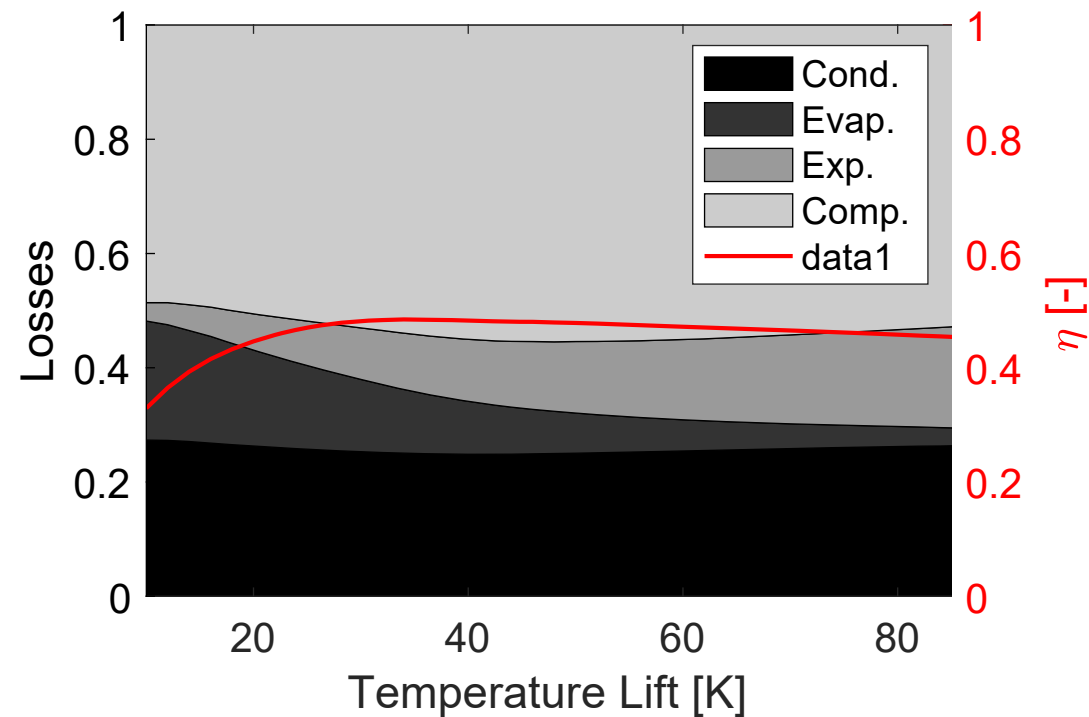
- Max efficiency governed by internal geometry
- Efficiency rises rapidly due to reduction of over-compression
- At high pressure ratios efficiency drops due to leakage and to under-compression



Exergy Analysis of Real HP Cycle

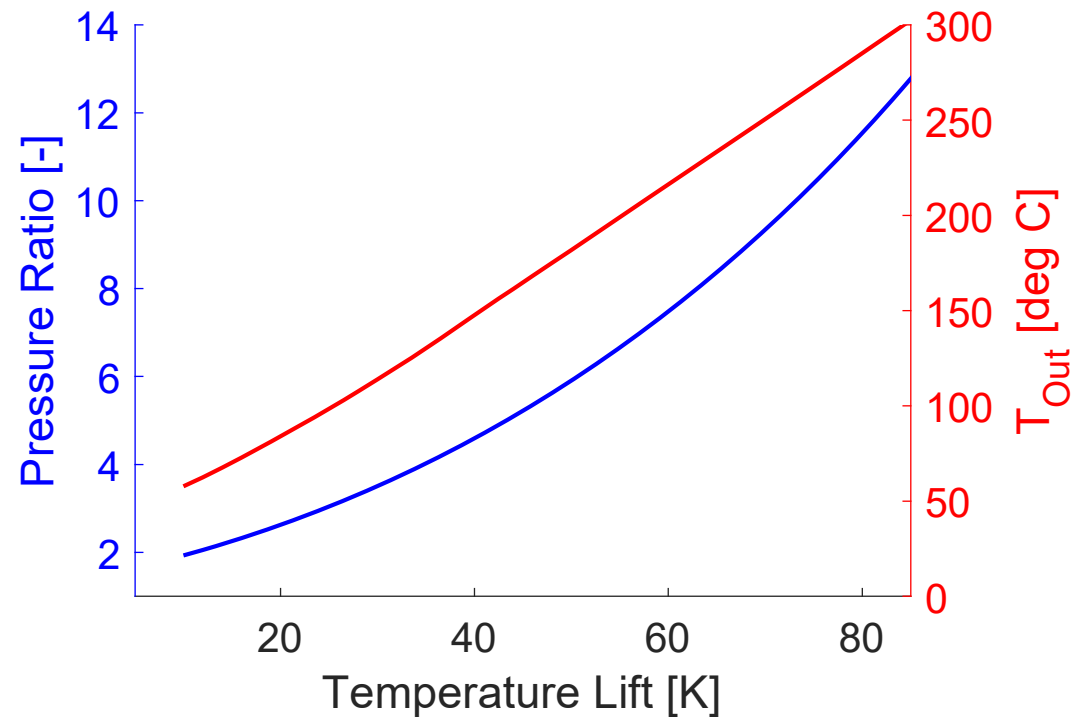
■ Exergy losses evolution

- Heat exchanger loss dominate at low temperature lifts
- Compressor accounts for 50% of the losses
- Compressor and expansion losses dominate at high temperature lifts



Compressor in Real HP Cycle

- Pressure ratio and exhaust temperature increase with lift
- High temperatures may damage oil and deteriorate working fluid molecules
- High superheat increases exergy losses in condenser
- Temperature lift with single stage heat pump cycle limited



Limitations of Real HP Cycle

- Single stage cycle (compression & expansion) well suited for low temperature lifts
- Heat exchangers are key for decreasing losses at low lifts
- At high temperature lifts compressor and expansion dominate losses
- High compressor outlet temperatures limit feasible temperature lifts
- Exploitation of latent heat in evaporator decreases with temperature lift

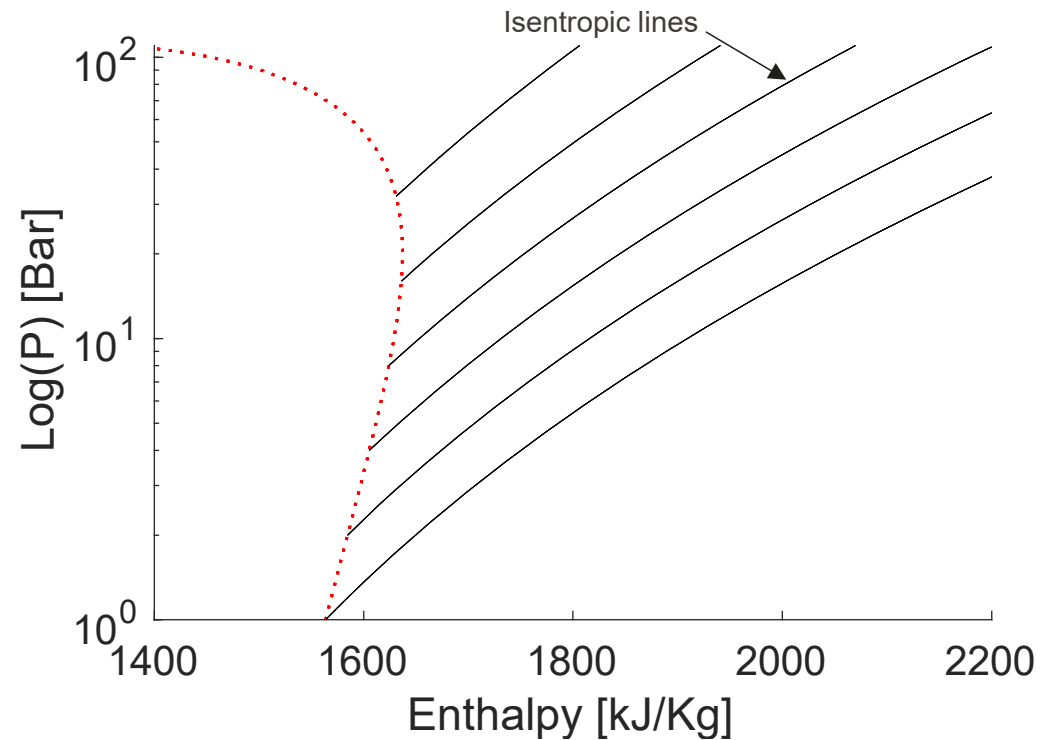
Heat Pump Systems

Heat pump cycle
improvements I

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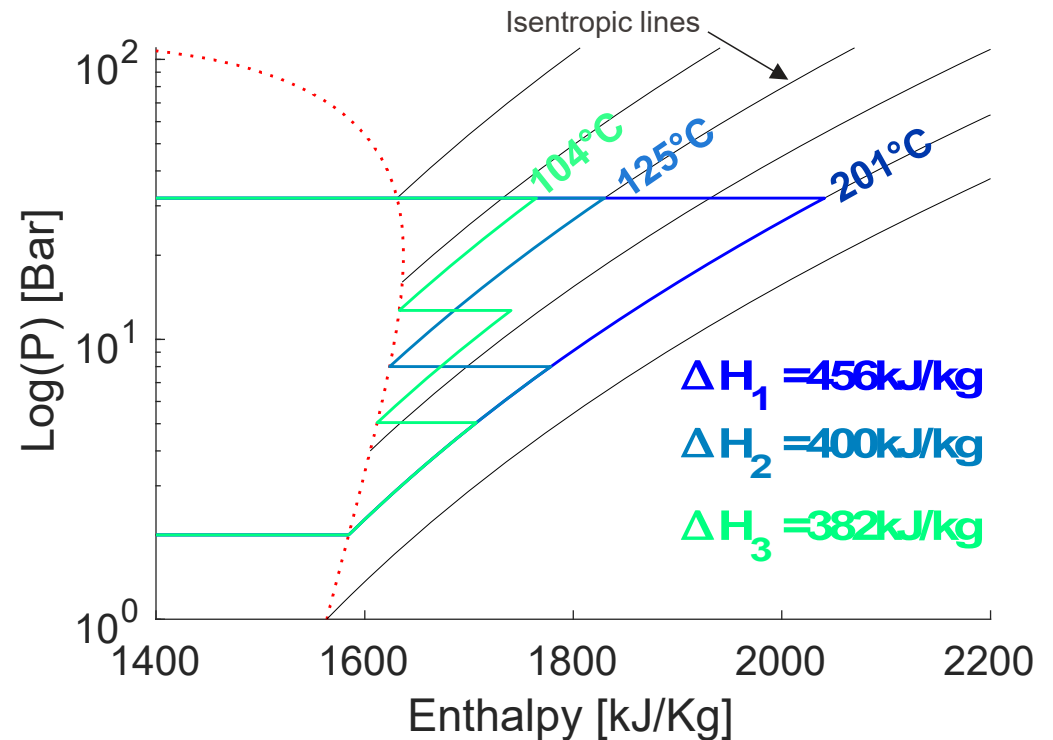
Compression Process

- Isentropic lines in Ph-diagram decrease in slope with increasing distance from saturation line
- Compression process close to saturation line promising means to decrease compression power



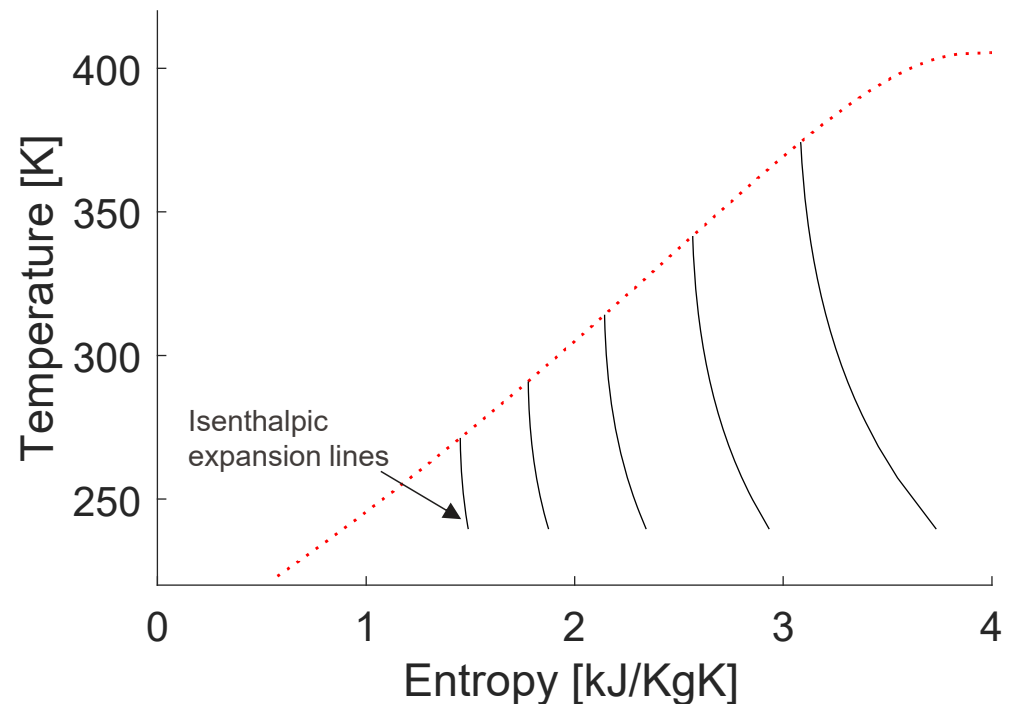
Compression Process

- Assume ideal compression with intercooling
- Splitting compression process with intercooling reduces power to achieve same pressure ratio
- Splitting and intercooling reduces exhaust temperature



Expansion Process

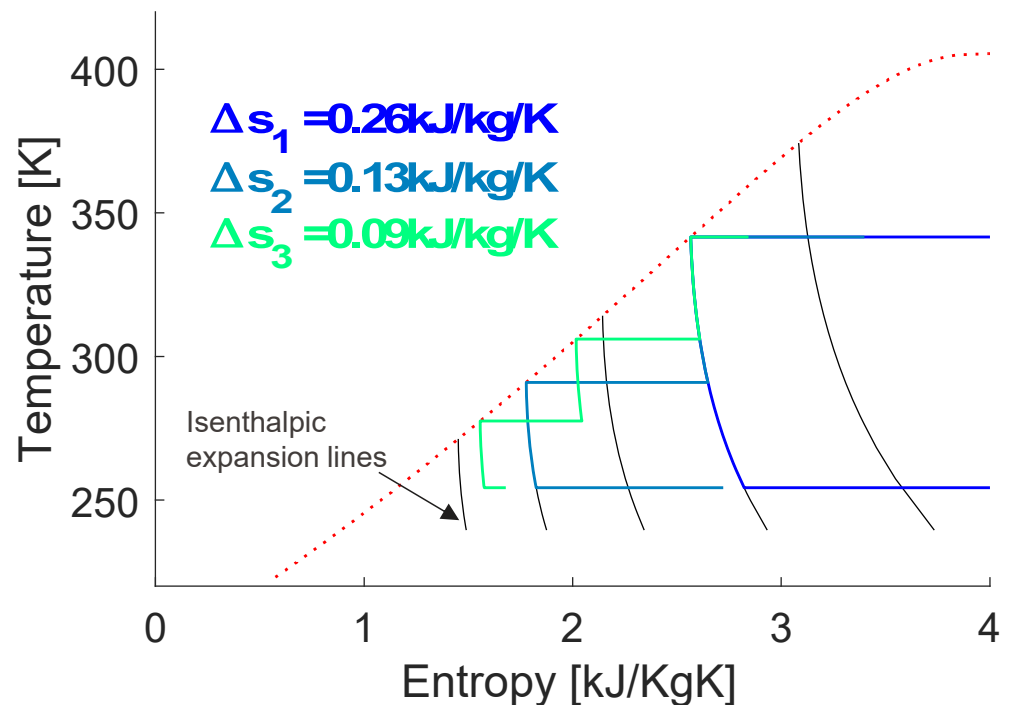
- Isenthalpic lines in Ts-diagram decrease in slope with increasing expansion from saturation
- Limit expansion process and combining with intercooling is promising means to reduce expansion losses
- Valorize subcooling region from saturation to room temperature



Expansion Process

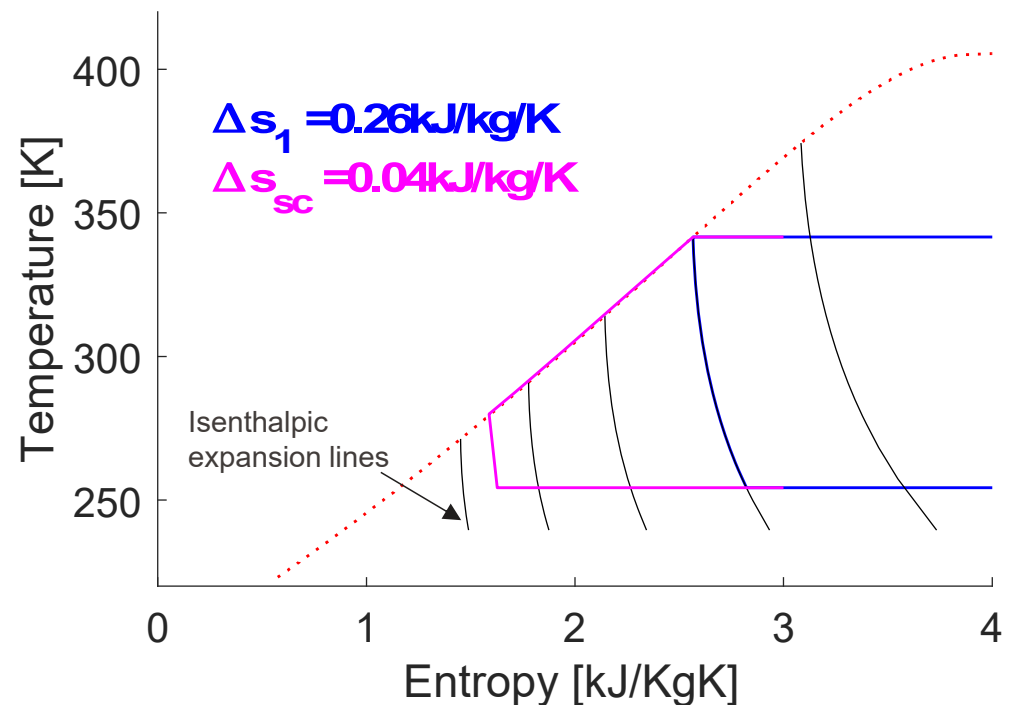
- Assume isenthalpic expansion with intercooling starting from saturation
- Splitting expansion process reduces losses and increases latent heat pickup in evaporator
- Considering exergy losses savings can be significant in particular in high temperature cycles

$$T_a (s_4 - s_3) = l_e$$



Expansion Process

- Assume isenthalpic expansion with initial subcooling
- Valorization of subcooling region decreases expansion losses
- Subcooling heat difficult to distribute to ambient
- Subcooling used in cycle for compression superheat



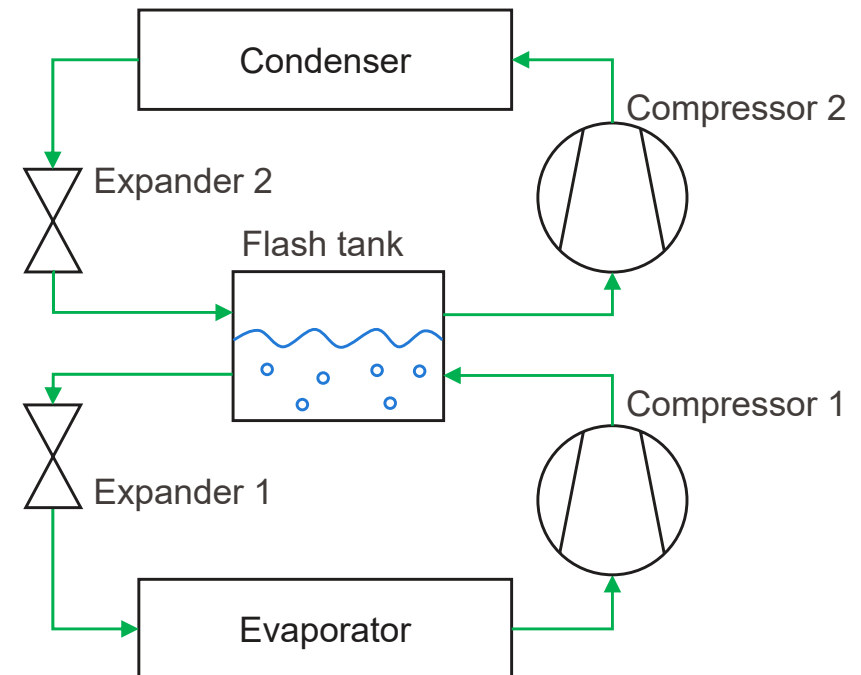
How to Improve HP Cycle?

- Splitting compression process coupled to intercooling
- Use subcooling heat for heating purpose (external) or directly in cycle
- Splitting expansion with intercooling
- Careful heat exchanger design to decrease pinch
- Appropriate selection of working fluid
 - Effect on compressor exhaust temperature
 - Temperature glide may decrease losses in heat exchangers

Implementing Improvements in HP Cycle

■ Two stage compression heat pump cycle

- Two compression stages in series
- Economizer acts as phase separator (economizer-flash-tank)
- Requires two expanders
- Adds 3 components compared to single stage cycle
→ compressor is expensive!

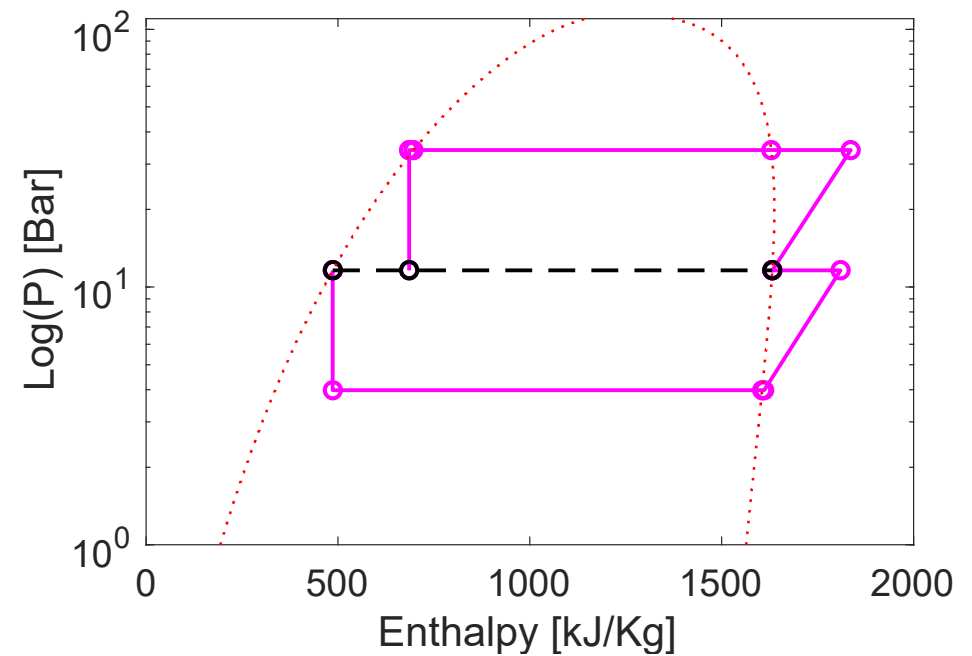
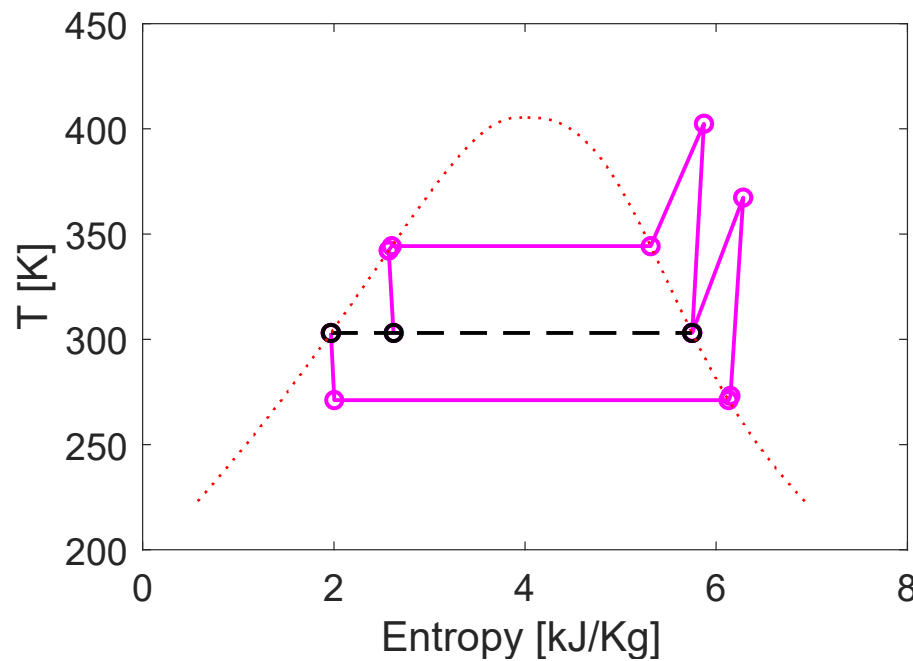


Conditions on Two Stage HP Cycle

- Two stage vapor compression heat pump
 - Adiabatic compression with isentropic efficiency function of pressure ratio
 - Condensation with 2K subcooling
 - Isenthalpic expansion in valve
 - Evaporation with 2K superheat
 - Cold source is air at 5°C
 - Hot source is water provided at 15 – 90°C, heat rate 10kW
 - Temperature difference on water and air 5K
 - Pinch in condenser and evaporator 2K
 - Open flash tank economizer at intermediate pressure ($PR_1 = PR_2$)
 - Working fluid ammonia
- Assumptions
 - Perfect thermal insulation, negligible dissipation in condenser, evaporator and ducts, steady-state operation

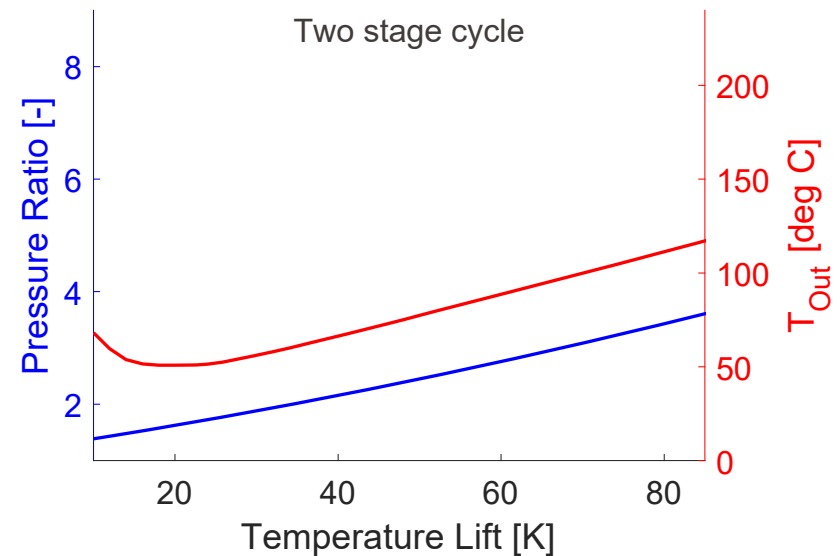
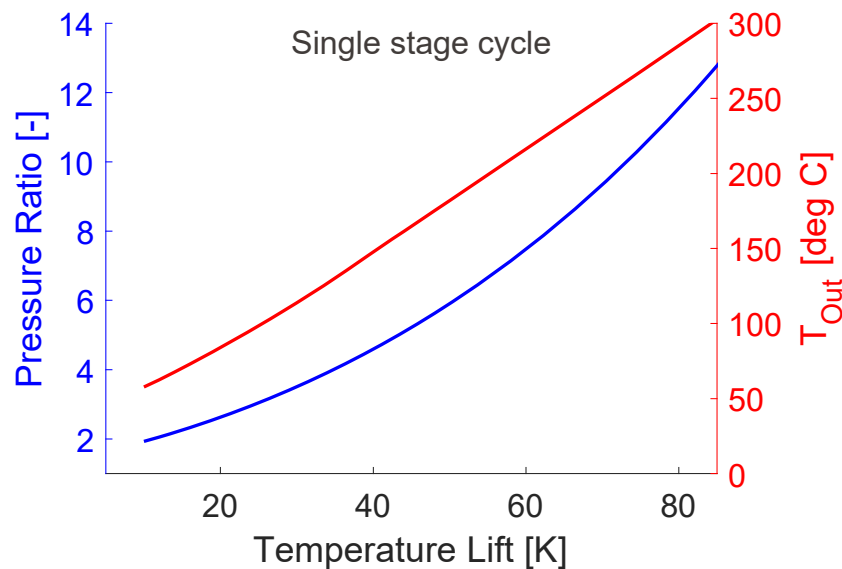
Two Stage Compression HP Cycle

- Two stage vapor compression heat pump, T-s and P-h diagrams



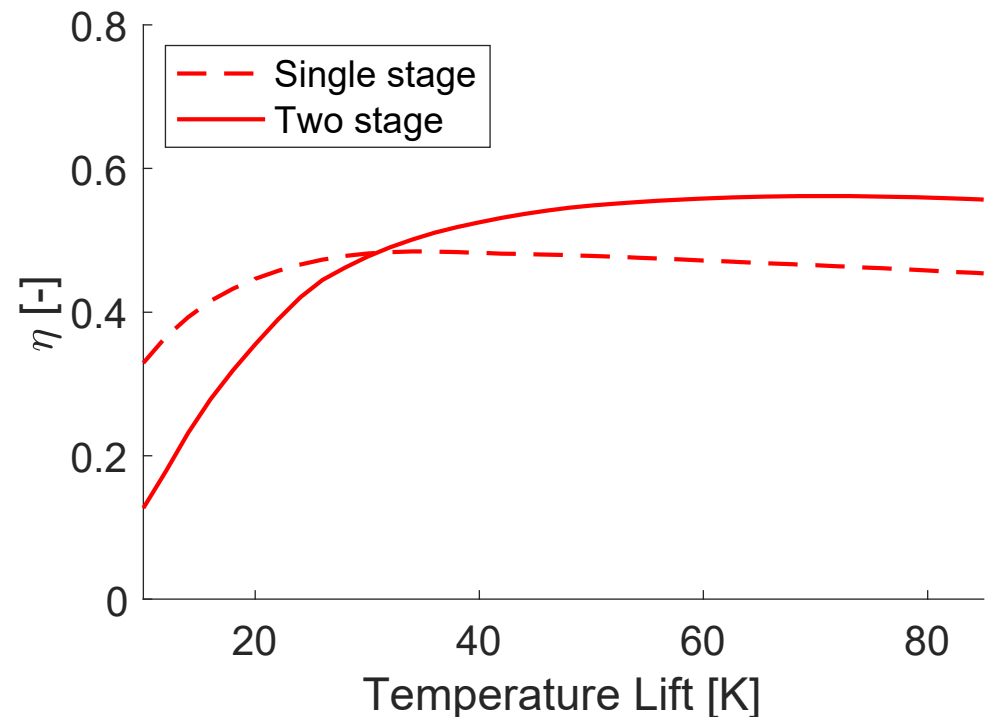
Benefits of Two Stage Compression HP Cycle

- Lower exhaust temperature protects compressor, working fluid and lubricant
- Splitting into two stage reduces pressure ratio across compressors
→ works at higher efficiency



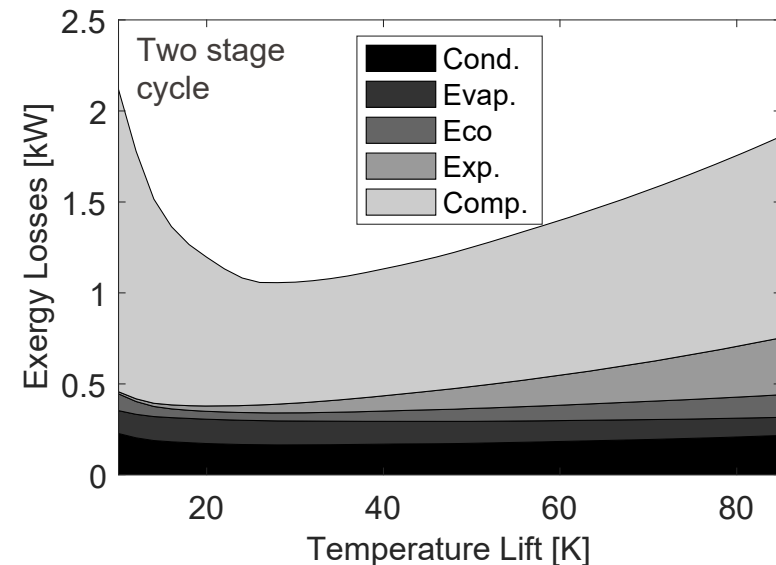
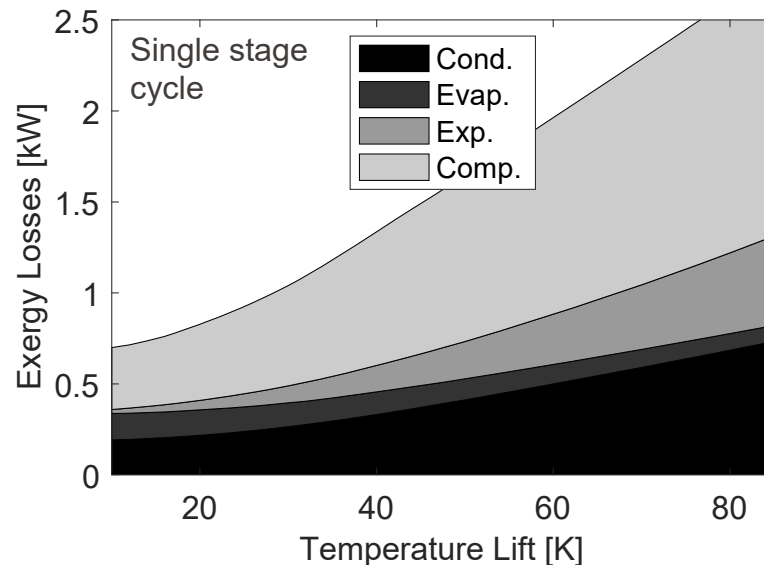
Benefits of Two Stage Compression HP Cycle

- Efficiency improves by ~20% compared to single stage HP cycle at high temperature lifts
- Two stage cycle makes no sense at low lifts due to low compressor efficiency (over-compression)



Benefits of Two Stage Compression HP Cycle

- Condenser losses decreased with twin stage cycle due to lower temperatures at compressor exhaust
- Expansion losses reduced with two stage cycle
- Compression losses decrease only at high lifts



Limitations of Two Stage HP Cycle

- Suited for high temperature lifts
- Low pressure ratio at low temperature lifts penalize cycle due to low compressor efficiency
- Ratio between lower and upper stage pressure ratio is additional variable to optimize cycle → depends on working fluid
- Higher investment cost due to additional compressor, expander and economizer
- Makes better use of latent heat in evaporator
- Yields lower compressor exhaust temperatures

Outlook for W6

- Practical cycle improvements

Exercises W5

- Theory questions
- A compressor driven heat pump installation