

# Heat Pump Systems

Summary W4

Prof. J. Schiffmann

# What is a Heat Pump Thermodynamically?

- Bithermal thermodynamic cycle working in anti-clockwise direction
- Work is invested to drive the cycle, which absorbs and supplies heat at different temperatures

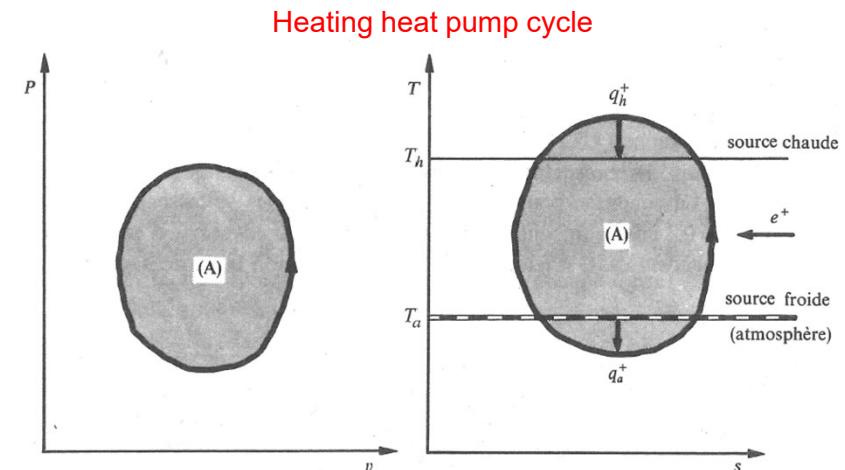
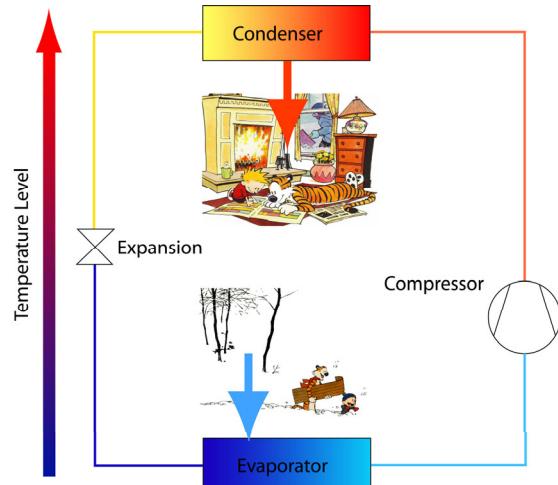


Fig 13.18 Favrat

# Heating Heat Pump Effectiveness

- Heating effectiveness definition

$$\epsilon_h = \frac{q_h^-}{e^+} = 1 + \frac{q_a^+}{e^+} = \frac{1}{\Theta_h} \underbrace{\left(1 - \frac{l}{e^+}\right)}_{\eta} = COP_h$$

Specific exergy losses

Coefficient of performance

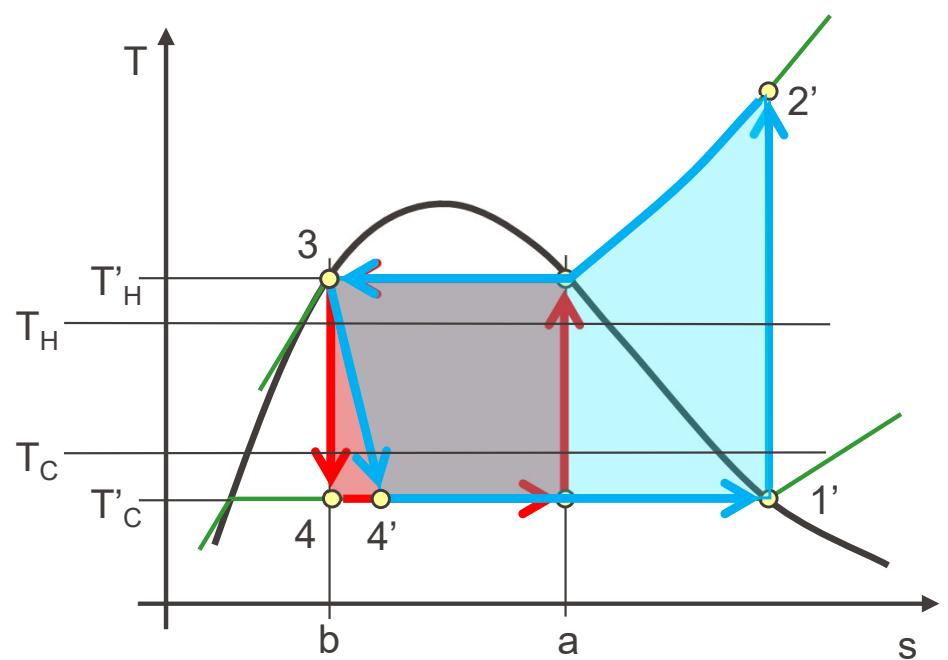
Exergy efficiency

Carnot factor  $\Theta_h = 1 - \frac{T_a}{T_h}$

- Link between Carnot factor and exergy efficiency in heating mode

# Technical Challenges of Reversed Carnot Cycle

- Dry compression is preferred to protect compression machine from destruction
- Use of two phase expansion valve preferred solution  
→ isenthalpic expansion
- Heat transfer in condenser and evaporator requires finite temperature difference



?

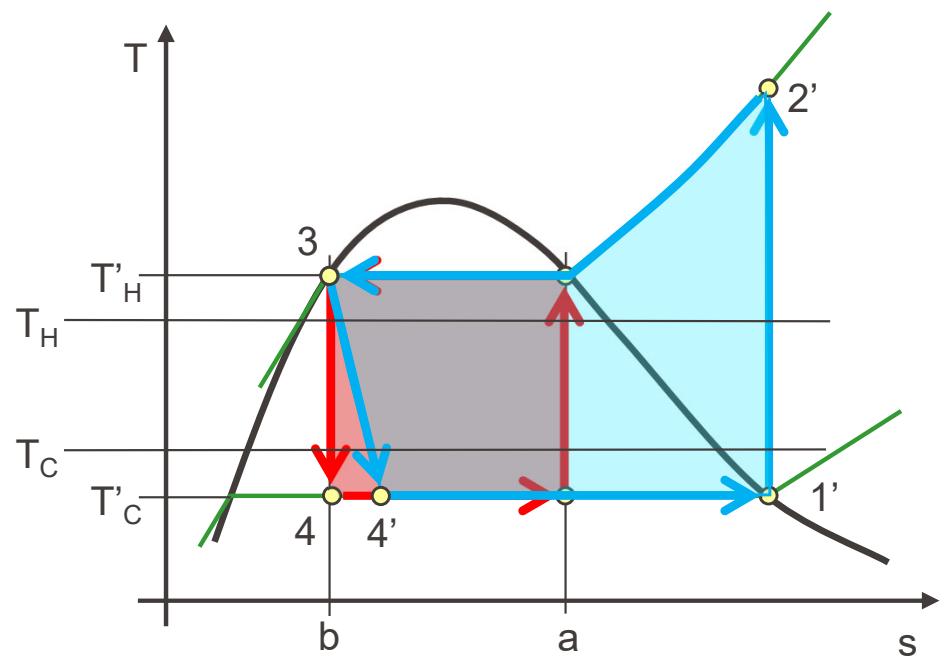
# Heat Pump Systems

Analysis of  
Performance Metrics

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# Technical Challenges

- Implementation of Carnot cycle challenging due to technical limitations
  - Heat transfer to thermal sources requires temperature difference
  - Dry compression preferred
  - Use of two phase expansion valve preferred solution
- Technical solutions imply deviations from Carnot!



# Analysis of Heating and Refrigeration HP Cycle

- Consider theoretical cycle with two isothermal, one isentropic and one polytropic process, including devaluation at low and high temperature and dissipation
- Energy balance

$$q_h^- - q_f^+ = e^+ = r - \oint Tds$$

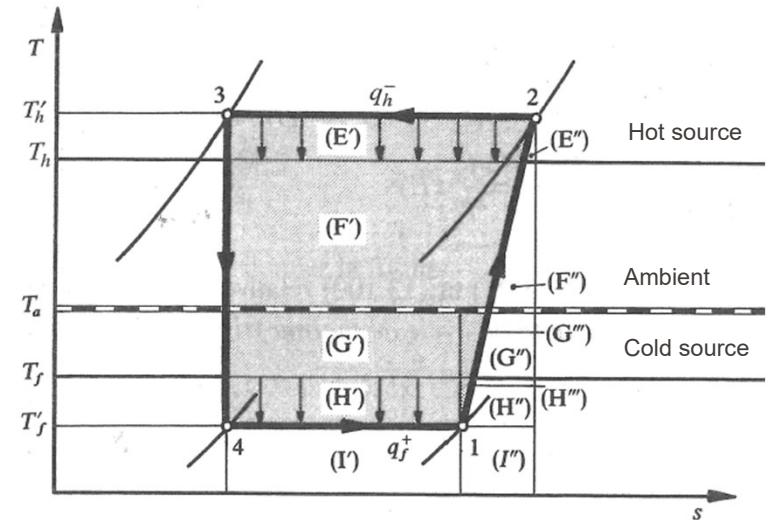


Fig 13.26 Favrat

# Analysis of Heating and Refrigeration HP Cycle

## *Energy Balance*

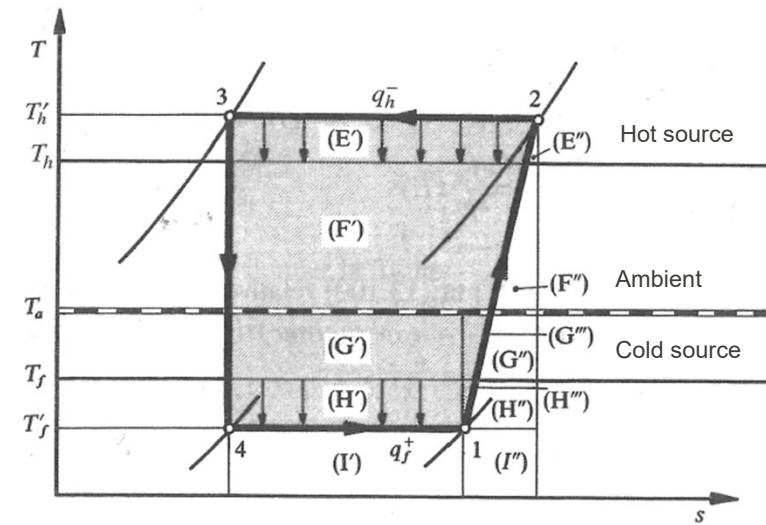


Fig 13.26 Favrat

# Analysis of Heating and Refrigeration HP Cycle

## Energy Balance

$$q_f^+ = T_f' (s_1 - s_4) = i'$$

$$q_h^- = T_h' (s_2 - s_3) = e + f + g + h + i$$

$$e^+ = (T_h' - T_f') (s_2 - s_3) + T_f' (s_2 - s_1)$$

$$e^+ = e + f + g + h + i''$$

$$-\oint T ds = e' + f' + g' + g''' + h' + h'''$$

$$r = \int_1^2 T ds = e'' + f'' + g'' + h'' + i''$$

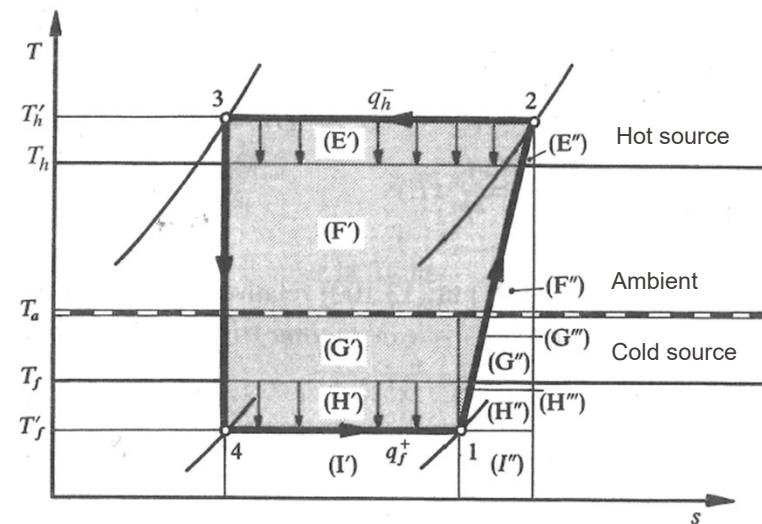


Fig 13.26 Favrat

# Analysis of Heating and Refrigeration HP Cycle

## *Energy Balance*

- Effectiveness of heating and refrigeration cycle

$$\epsilon_{hf} = \frac{q_h^- + q_f^+}{e^+}$$

- Inadequate adding received and delivered heat without care
- Definition disconcerting and meaningless without temperature levels
- Effectiveness cannot express real thermodynamic efficiency

# Analysis of Heating and Refrigeration HP Cycle

- Consider theoretical cycle with two isothermal, one isentropic and one polytropic process, including devaluation at low and high temperature and dissipation
- Exergy balance

$$e_{qh}^- + e_{qf}^- = e^+ - (l_{qh} + l_{qf} + l_c + l_e)$$

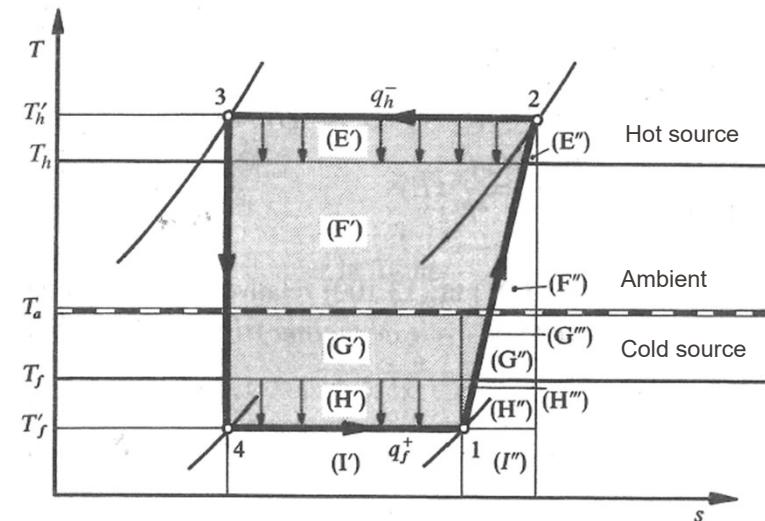


Fig 13.26 Favrat

# Analysis of Heating and Refrigeration HP Cycle

- Exergy balance: heat exchange at condenser

$$e_{qh'}^+ - e_{qh}^- = l_{qh} \quad \text{Exergy balance}$$

$$e_{qh'}^+ = \int \left(1 - \frac{T_a}{T'_h}\right) \delta q_h = \left(1 - \frac{T_a}{T'_h}\right) q_h^- \quad \text{Received heat exergy}$$

$$e_{qh}^- = \int \left(1 - \frac{T_a}{T_h}\right) \delta q_h = \left(1 - \frac{T_a}{T_h}\right) q_h^- \quad \text{Delivered heat exergy}$$

$$l_{qh} = q_h^- \left( \frac{T'_h - T_h}{T'_h T_h} \right) T_a = \frac{T_a}{T_h} \left( T'_h - T_h \right) (s_2 - s_3) \quad \text{Exergy losses}$$

# Analysis of Heating and Refrigeration HP Cycle

- Exergy balance: heat exchange at evaporator

$$e_{qf}^+ - e_{qf'}^- = l_{qf} \quad \text{Exergy balance}$$

$$e_{qf}^+ = \int \left(1 - \frac{T_a}{T_f}\right) \delta q_h = \left(1 - \frac{T_a}{T_f}\right) q_f^+ \quad \text{Received heat exergy}$$

$$e_{qf'}^- = \int \left(1 - \frac{T_a}{T'_f}\right) \delta q_f = \left(1 - \frac{T_a}{T'_f}\right) q_f^+ \quad \text{Delivered heat exergy}$$

$$l_{qf} = q_f^+ \left( \frac{T_f - T'_f}{T'_f T_f} \right) T_a = \frac{T_a}{T_f} \left( T_f - T'_f \right) (s_1 - s_4) \quad \text{Exergy losses}$$

- Exergy balance: adiabatic compression

$$e_y^+ + e^+ - e_y^- = l_c \quad \text{Exergy balance}$$

$$e_y^+ = k_1 = h_1 - T_a s_1 \quad \text{Received transformation exergy}$$

$$e_y^- = k_2 = h_2 - T_a s_2 \quad \text{Delivered transformation exergy}$$

$$\underbrace{h_1 - h_2}_{-e^+} + e^+ + T_a (s_2 - s_1) = l_c$$

$$T_a (s_2 - s_1) = l_c \quad \text{Exergy losses}$$

- Exergy balance: expansion

$$e_y^+ - e^- - e_y^+ = l_e \quad \text{Exergy balance}$$

$$e_y^+ = k_3 = h_3 - T_a s_3 \quad \text{Received transformation exergy}$$

$$e_y^- = k_4 = h_4 - T_a s_4 \quad \text{Delivered transformation exergy}$$

$$\underbrace{h_3 - h_4}_{+e^-} - e^- + T_a (s_4 - s_3) = l_e$$

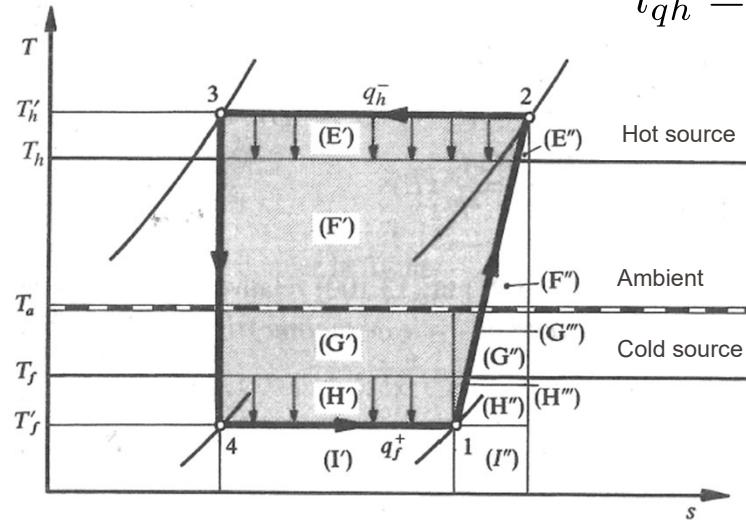
$$T_a (s_4 - s_3) = l_e \quad \text{Exergy losses}$$

# Analysis of Heating and Refrigeration HP Cycle

- Graphical representation of exergy losses

$$l_{qh} = \frac{T_a}{T_h} \left( T_h' - T_h \right) (s_2 - s_3)$$

$$T_a (s_4 - s_3) = l_e$$



$$l_{qf} = \frac{T_a}{T_f} \left( T_f' - T_f \right) (s_1 - s_4)$$

$$T_a (s_2 - s_1) = l_c$$

# Analysis of Heating and Refrigeration HP Cycle

- Exergy efficiency of heating and refrigeration cycle

$$\eta = \frac{e_{qh}^- + e_{qf}^-}{e^+} = 1 - \frac{T_a}{T_h} \left[ 1 - \frac{\frac{T_h}{T_f} - 1}{\frac{T_h + \Delta T_h}{T_f - \Delta T_f} \left( 1 + \frac{\Delta s}{s_1 - s_4} \right) - 1} \right]$$

with

$$\Delta T_f = T_h' - T_h$$

$$\Delta T_f = T_f - T_f'$$

$$\Delta s = s_2 - s_1$$

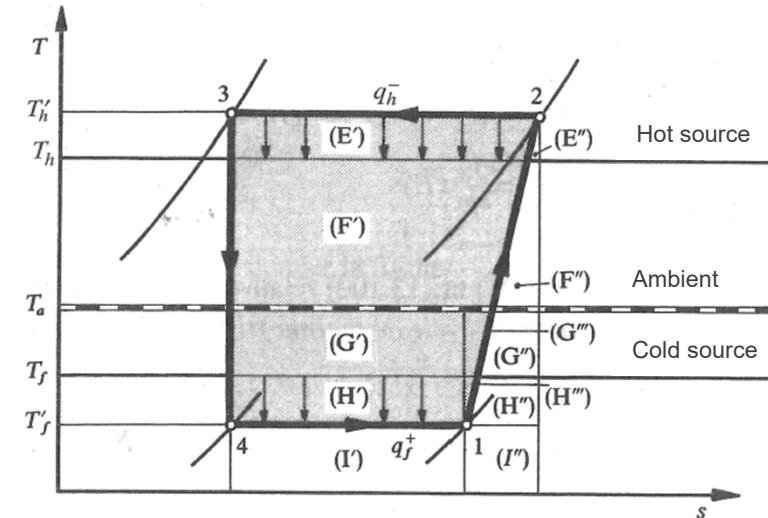


Fig 13.26 Favrat

# Analysis of Heating and Refrigeration HP Cycle

- Assume example case without dissipation ( $\Delta s = 0$ ) but with thermal devaluation and with  $T_f = T_a \rightarrow$  heat pump mode
- Exergy efficiency

$$\eta = \frac{(T_h + \Delta T_h)(T_h - T_a)}{T_h(T_h - T_a + \Delta T_h + \Delta T_f)}$$

- Effectiveness

$$\epsilon = \frac{T_h + \Delta T_h}{T_h - T_a + \Delta T_h + \Delta T_f}$$

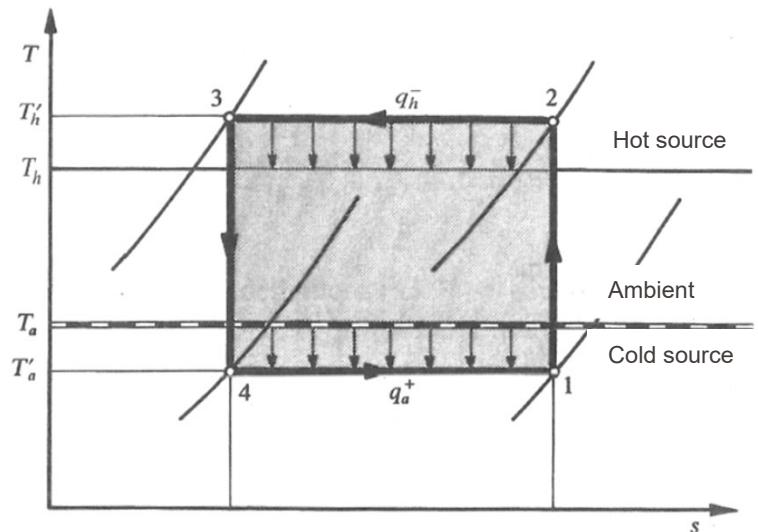
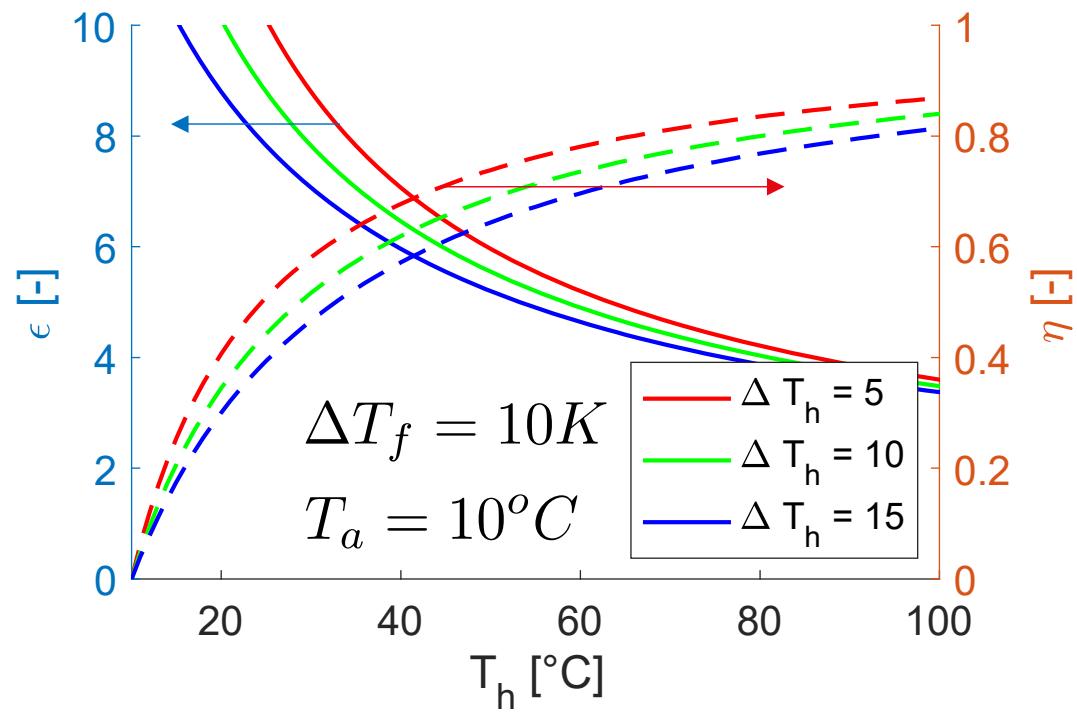


Fig. 13.27 Favrat

# Analysis of Heating and Refrigeration HP Cycle

- Plot clearly demonstrates advantage of exergy analysis
- Devaluation yields strong impact at low temperature lifts



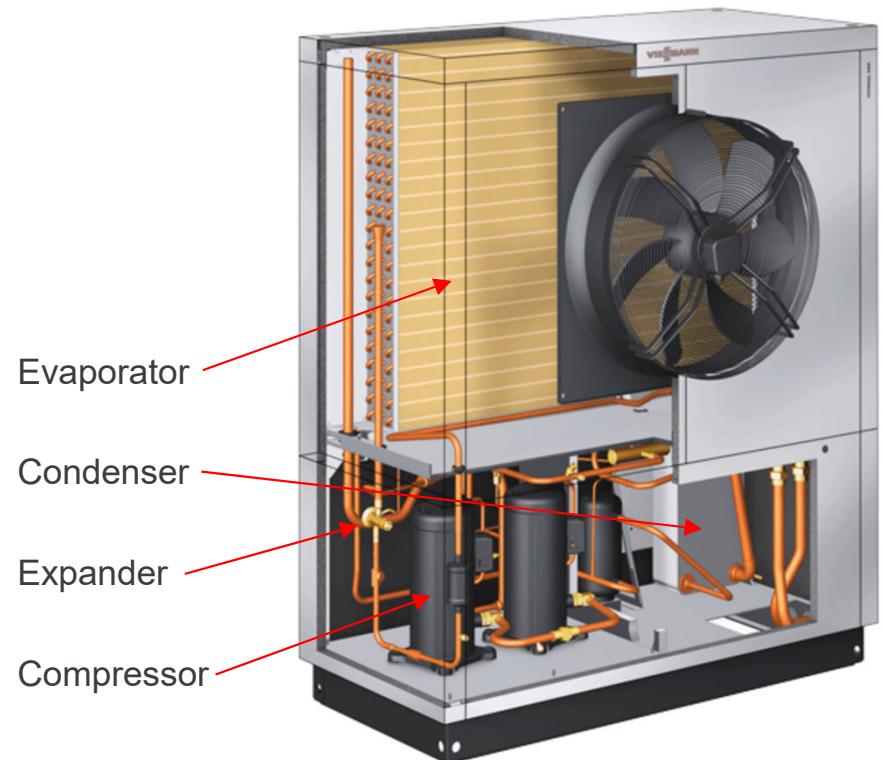
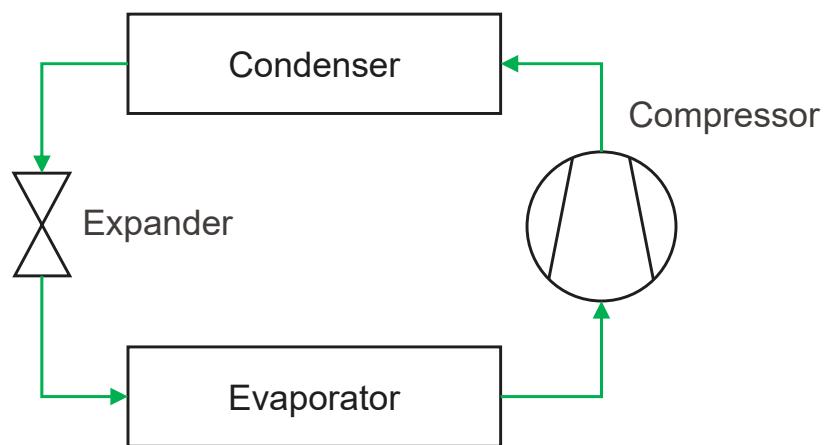
# Heat Pumps Systems

Analysis of real heat  
pumps

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# Real HP Cycle

- Typical layout of single stage heat pump cycle

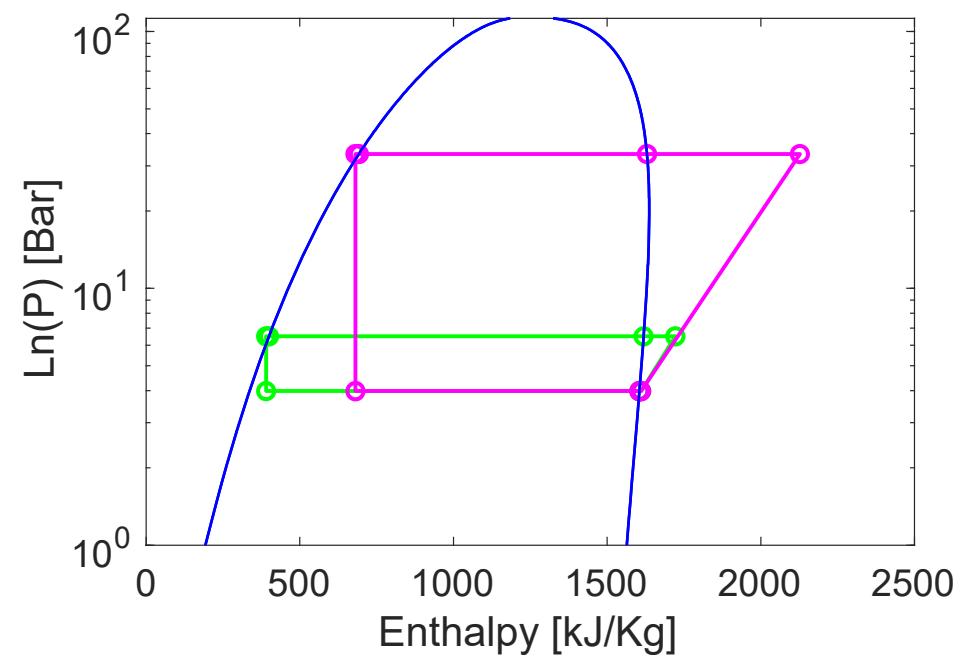
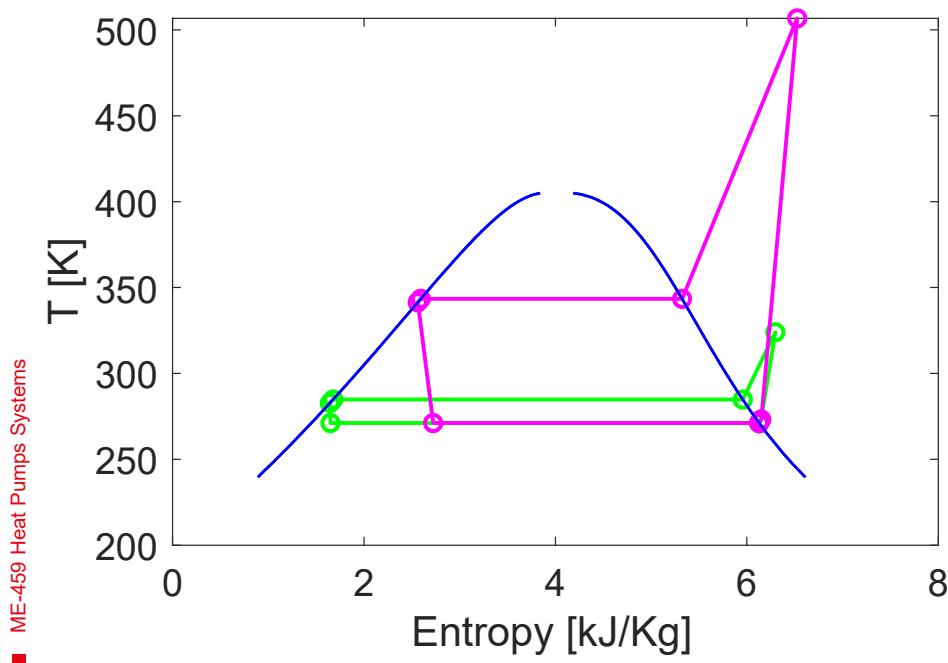


# Conditions on Real HP Cycle

- Single stage vapor compression heat pump
  - Adiabatic compression with isentropic efficiency function of pressure ratio
  - Condensation with 2K subcooling
  - Isenthalpic expansion in valve
  - Evaporation with 2K superheat
  - Cold source is air at 5°C
  - Hot source is water provided at 15 – 90°C (10kW)
  - Temperature difference on water and air 5K
  - Pinch in condenser and evaporator 2K
  - Working fluid ammonia
- Assumptions
  - Perfect thermal insulation, negligible dissipation in condenser, evaporator and ducts, steady-state operation

# Real HP Cycle Representation

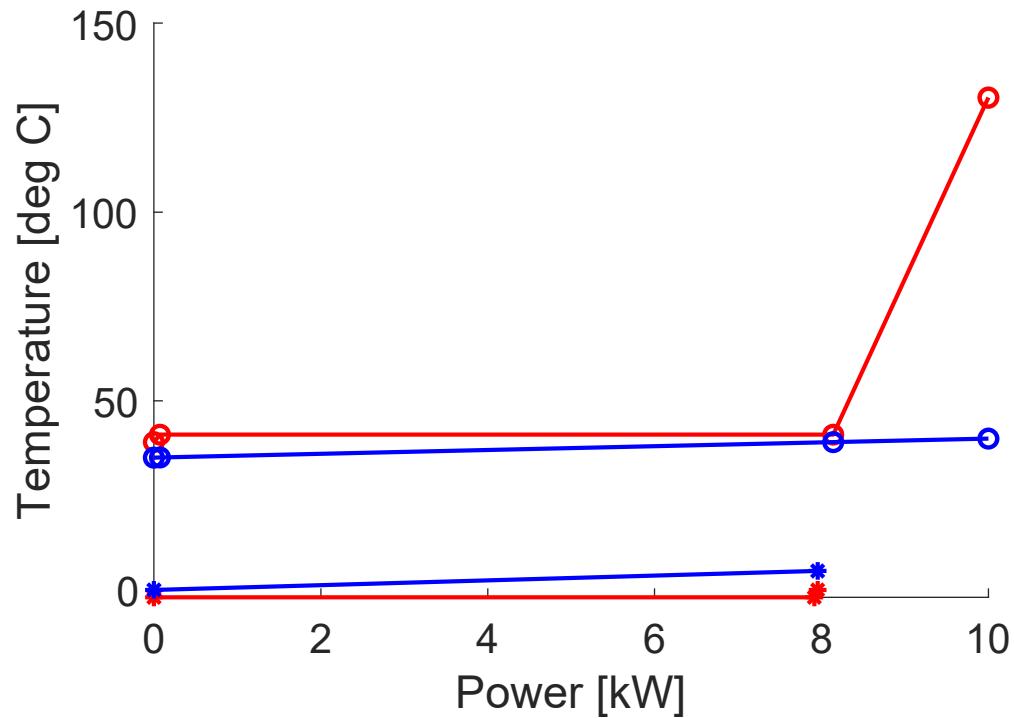
- Vapor compression heat pump, T-s and P-h diagrams



# Real HP Cycle Composites

- Condenser and evaporator composites

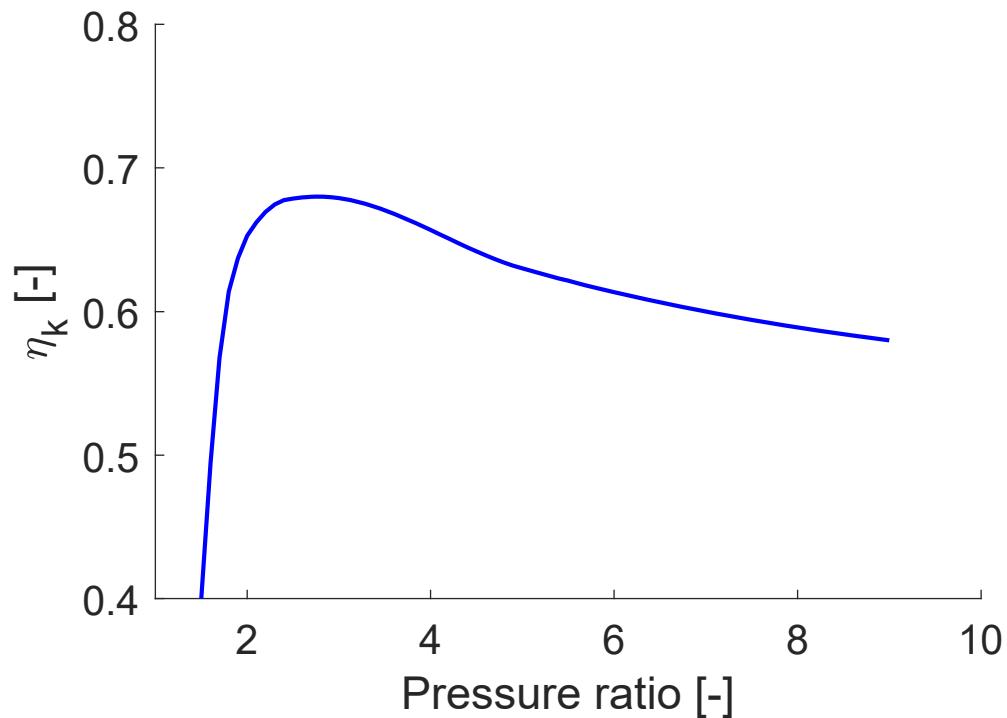
- 2K superheat & subcooling
- Cold source is air at 5°C
- Temperature difference on water and air 5K
- Pinch in condenser and evaporator 2K



# Real HP Cycle Compressor (Scroll)

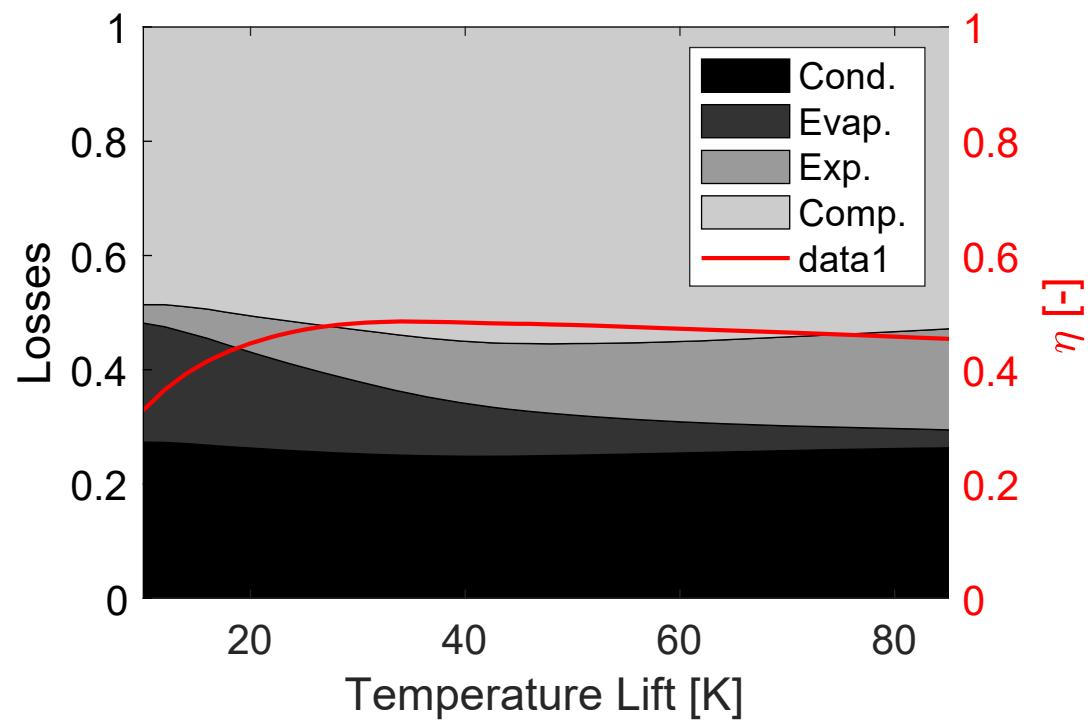
- Isentropic efficiency of typical heat pump scroll compressor

- Max efficiency governed by internal geometry
- Efficiency rises rapidly due to reduction of over-compression
- At high pressure ratios efficiency drops due to leakage and to under-compression



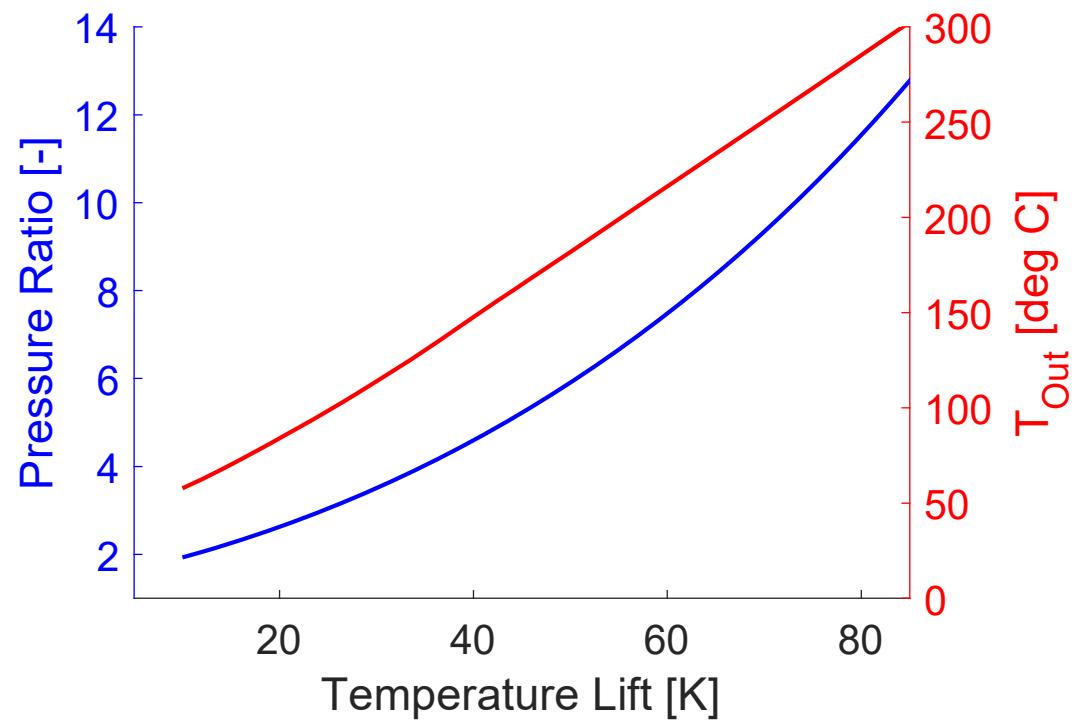
# Exergy Analysis of Real HP Cycle

- Exergy losses evolution
  - Heat exchanger loss dominate at low temperature lifts
  - Compressor accounts for 50% of the losses
  - Compressor and expansion losses dominate at high temperature lifts



# Compressor in Real HP Cycle

- Pressure ratio and exhaust temperature increase with lift
- High temperatures may damage oil and deteriorate working fluid molecules
- High superheat increases exergy losses in condenser
- Temperature lift with single stage heat pump cycle limited



# Limitations of Real HP Cycle

- Single stage cycle (compression & expansion) well suited for low temperature lifts
- Heat exchangers are key for decreasing losses at low lifts
- At high temperature lifts compressor and expansion dominate losses
- High compressor outlet temperatures limit feasible temperature lifts
- Exploitation of latent heat in evaporator decreases with temperature lift

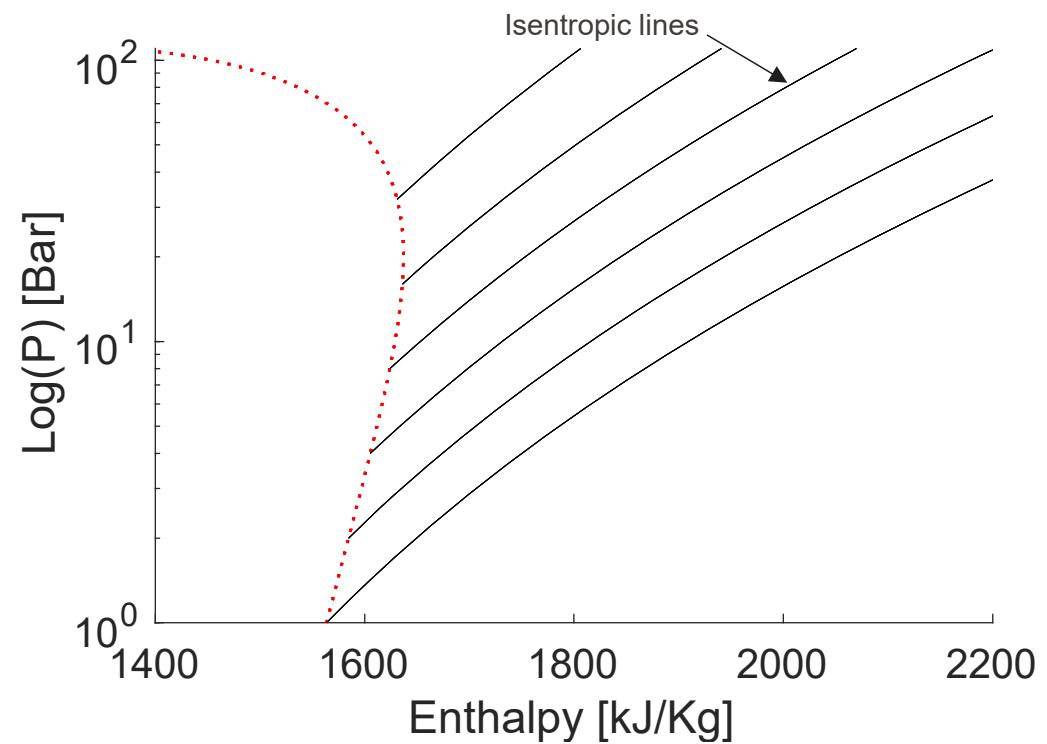
# Heat Pump Systems

Heat pump cycle  
improvements I

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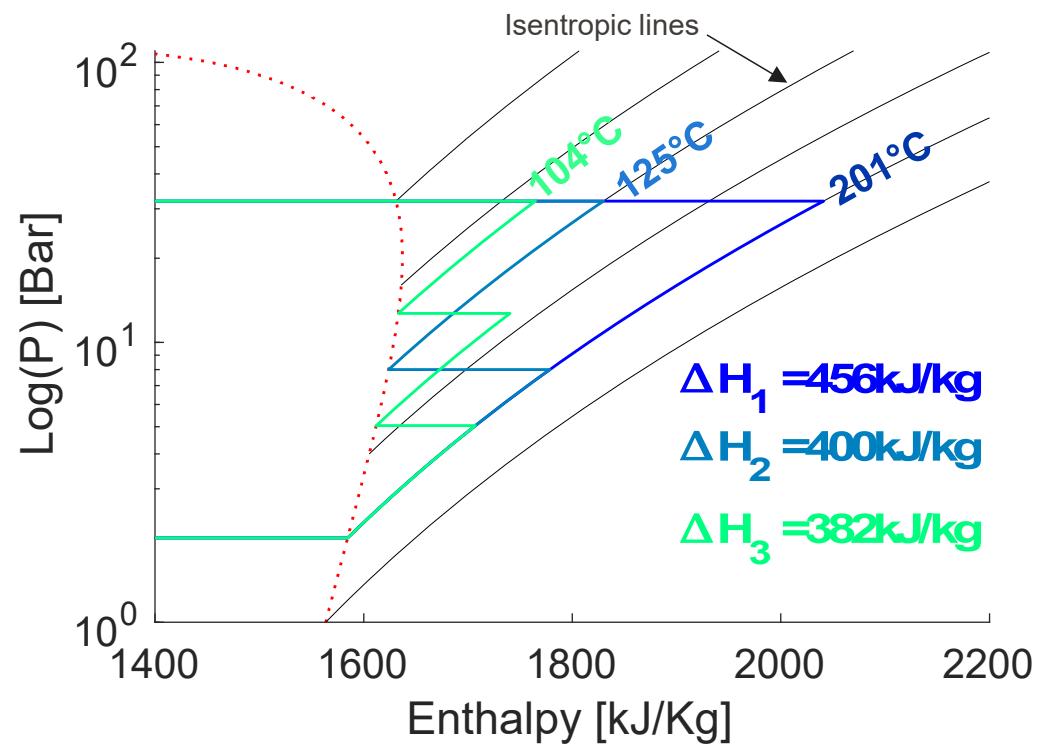
# Compression Process

- Isentropic lines in Ph-diagram decrease in slope with increasing distance from saturation line
- Compression process close to saturation line promising means to decrease compression power



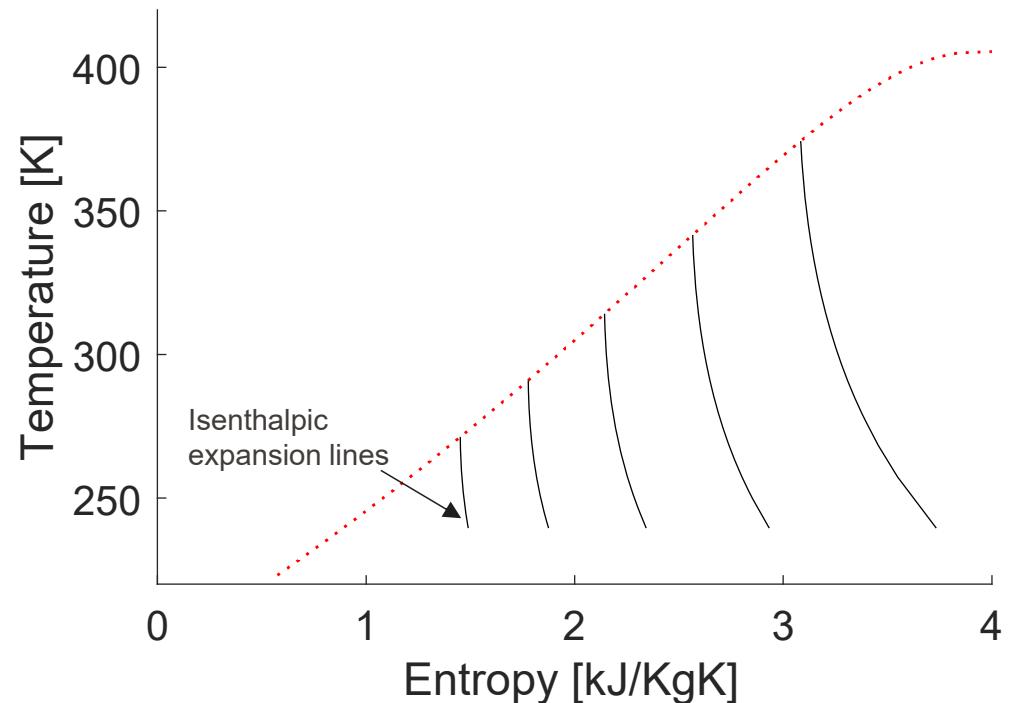
# Compression Process

- Assume ideal compression with intercooling
- Splitting compression process with intercooling reduces power to achieve same pressure ratio
- Splitting and intercooling reduces exhaust temperature



# Expansion Process

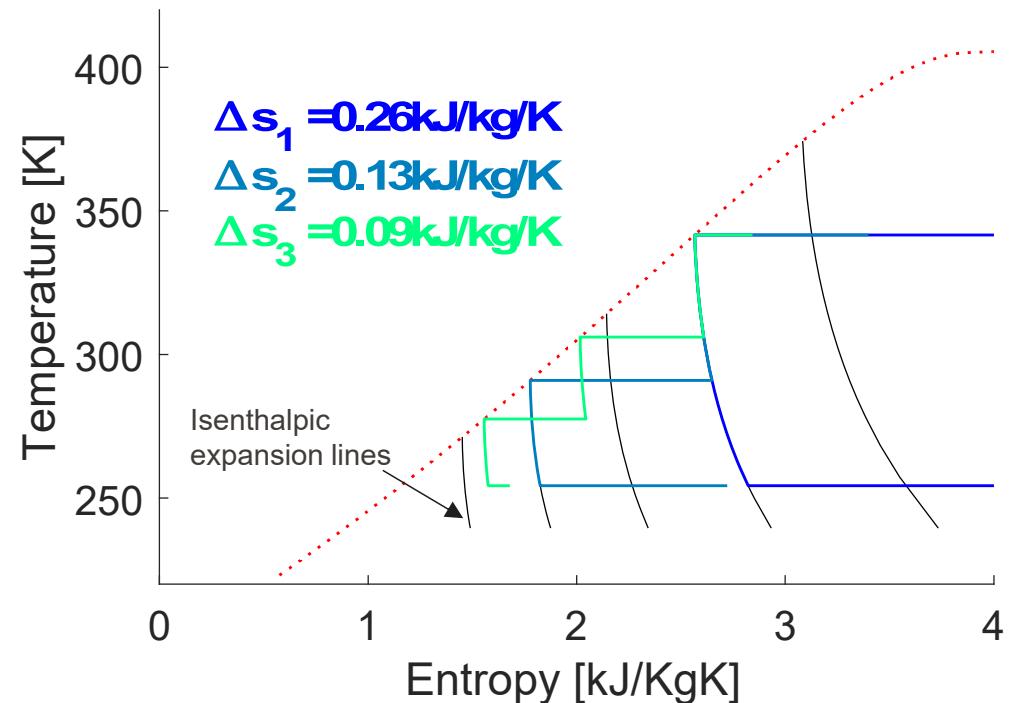
- Isenthalpic lines in Ts-diagram decrease in slope with increasing expansion from saturation
- Limit expansion process and combining with intercooling is promising means to reduce expansion losses
- Valorize subcooling region from saturation to room temperature



# Expansion Process

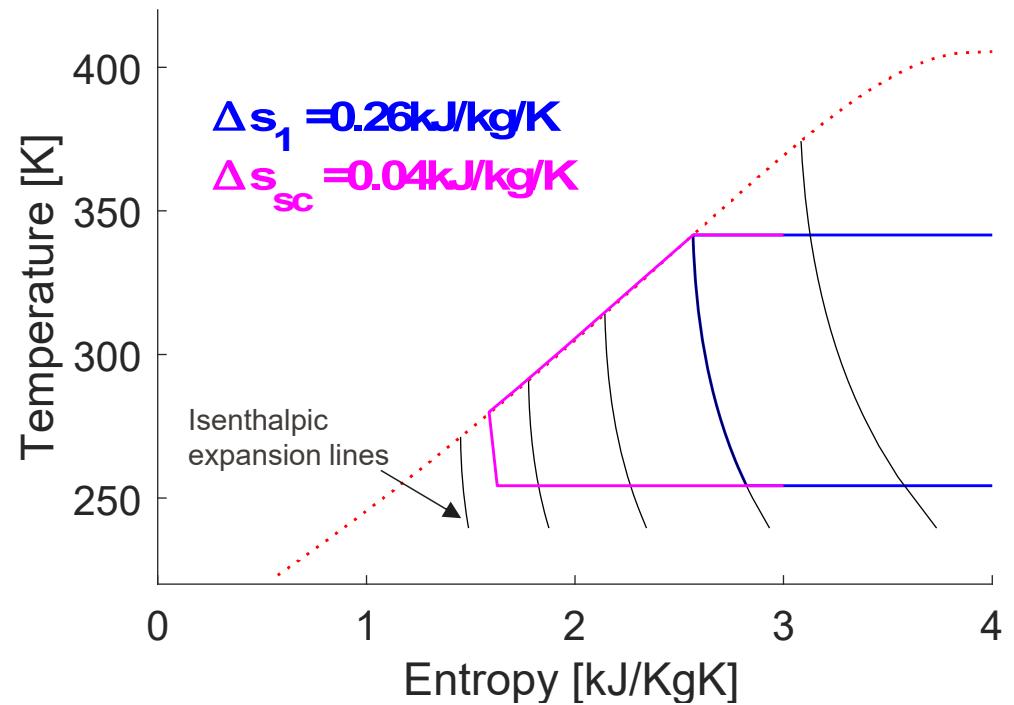
- Assume isenthalpic expansion with intercooling starting from saturation
- Splitting expansion process reduces losses and increases latent heat pickup in evaporator
- Considering exergy losses savings can be significant in particular in high temperature cycles

$$T_a (s_4 - s_3) = l_e$$



# Expansion Process

- Assume isenthalpic expansion with initial subcooling
- Valorization of subcooling region decreases expansion losses
- Subcooling heat difficult to distribute to ambient
- Subcooling used in cycle for compression superheat



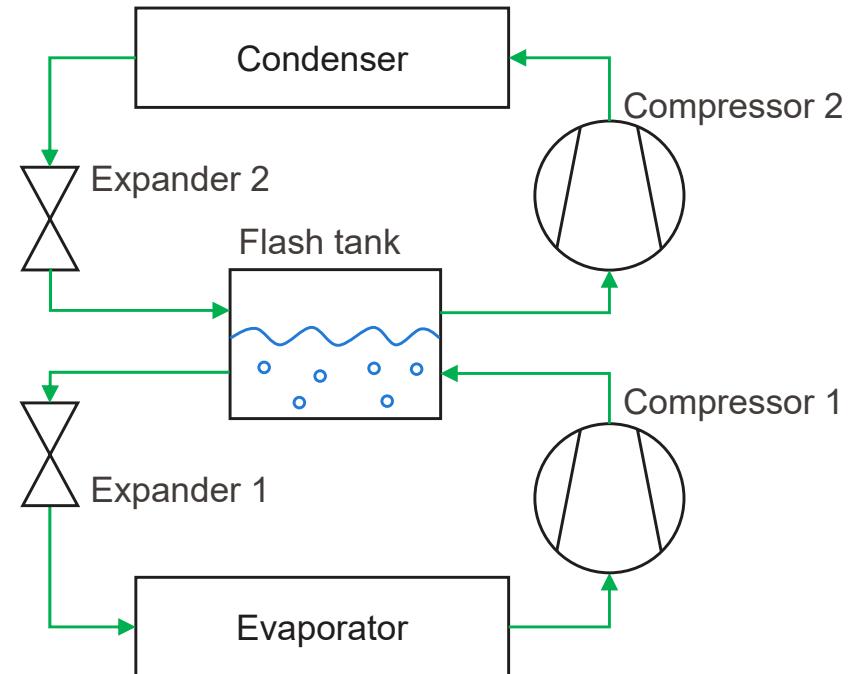
# How to Improve HP Cycle?

- Splitting compression process coupled to intercooling
- Use subcooling heat for heating purpose (external) or directly in cycle
- Splitting expansion with intercooling
- Careful heat exchanger design to decrease pinch
- Appropriate selection of working fluid
  - Effect on compressor exhaust temperature
  - Temperature glide may decrease losses in heat exchangers

# Implementing Improvements in HP Cycle

- Two stage compression heat pump cycle

- Two compression stages in series
- Economizer acts as phase separator (economizer-flash-tank)
- Requires two expanders
- Adds 3 components compared to single stage cycle  
→ compressor is expensive!

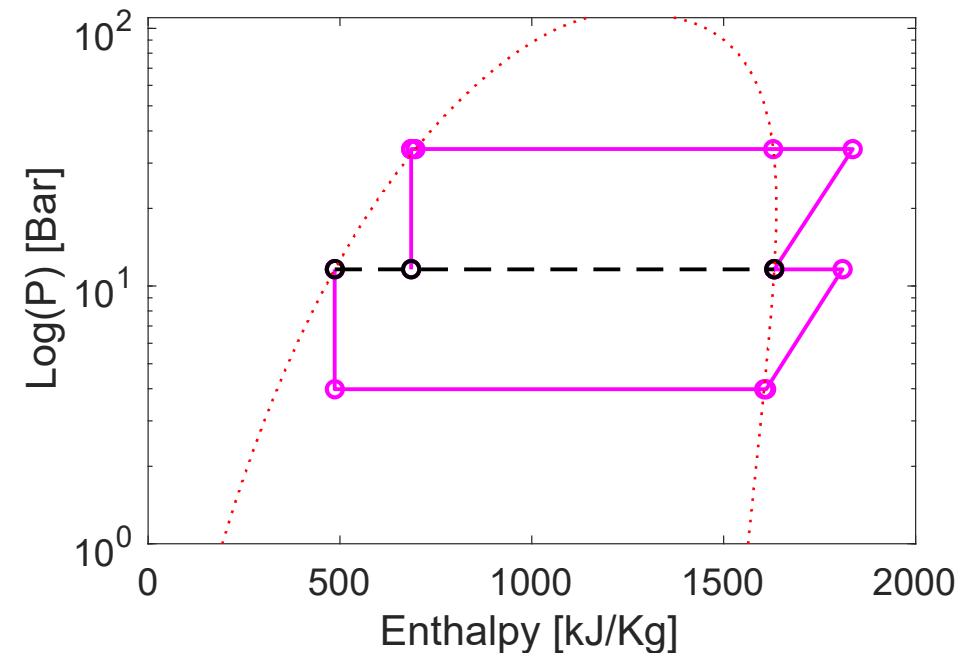
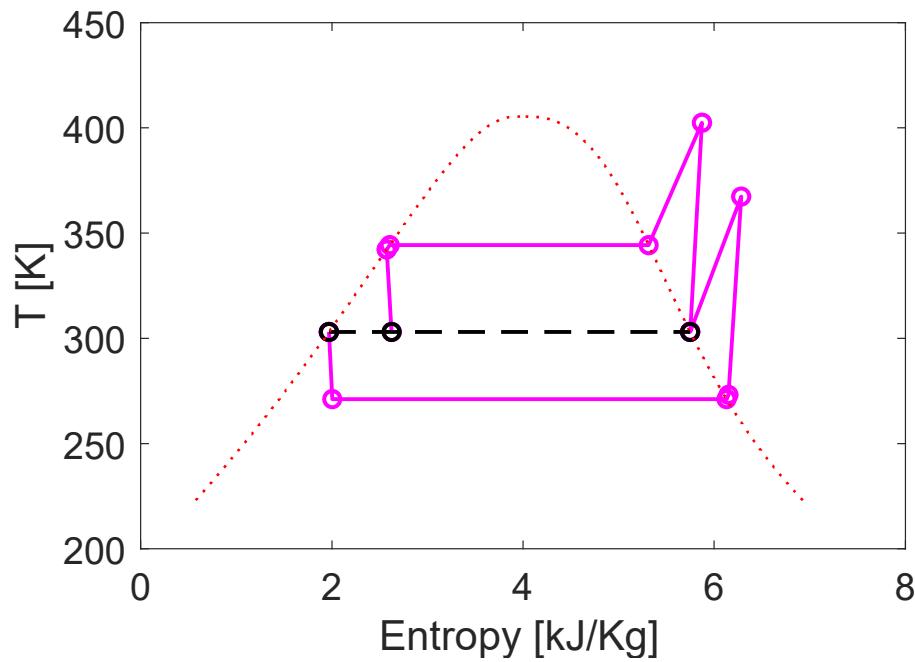


# Conditions on Two Stage HP Cycle

- Two stage vapor compression heat pump
  - Adiabatic compression with isentropic efficiency function of pressure ratio
  - Condensation with 2K subcooling
  - Isenthalpic expansion in valve
  - Evaporation with 2K superheat
  - Cold source is air at 5°C
  - Hot source is water provided at 15 – 90°C, heat rate 10kW
  - Temperature difference on water and air 5K
  - Pinch in condenser and evaporator 2K
  - Open flash tank economizer at intermediate pressure ( $PR_1 = PR_2$ )
  - Working fluid ammonia
- Assumptions
  - Perfect thermal insulation, negligible dissipation in condenser, evaporator and ducts, steady-state operation

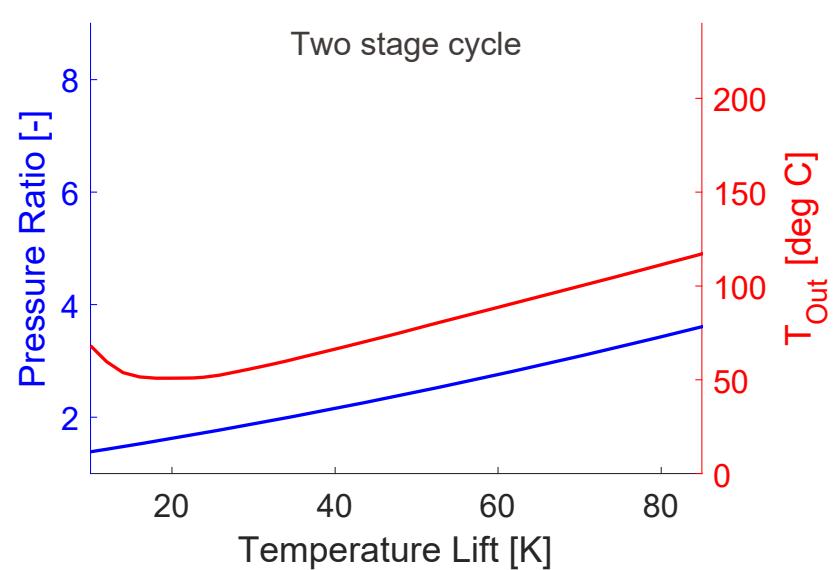
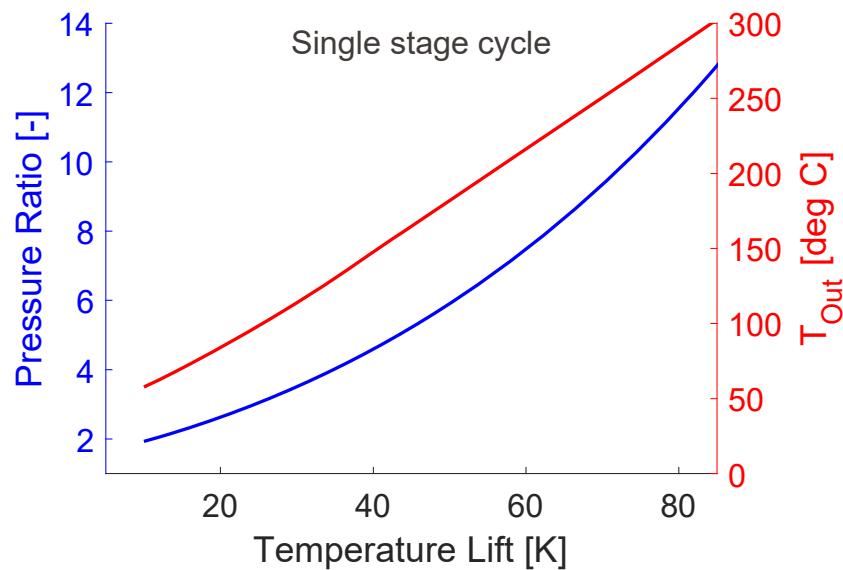
# Two Stage Compression HP Cycle

- Two stage vapor compression heat pump, T-s and P-h diagrams



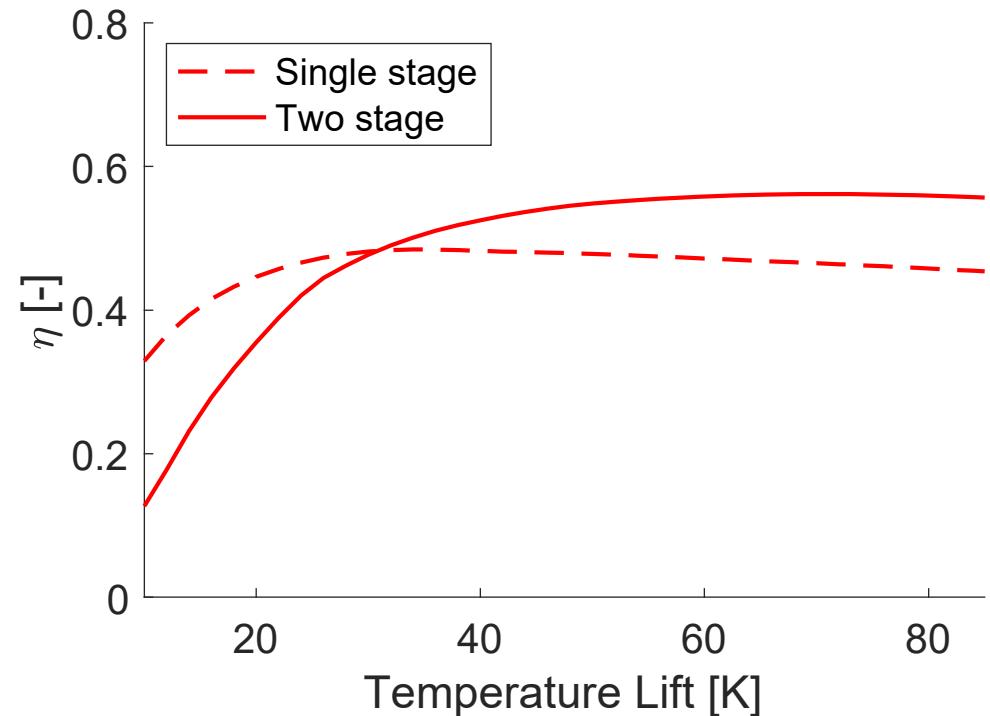
# Benefits of Two Stage Compression HP Cycle

- Lower exhaust temperature protects compressor, working fluid and lubricant
- Splitting into two stage reduces pressure ratio across compressors  
→ works at higher efficiency



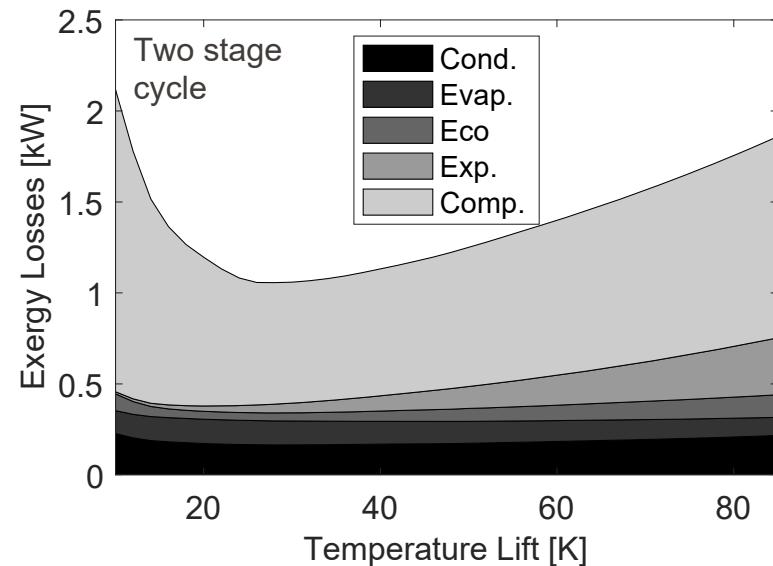
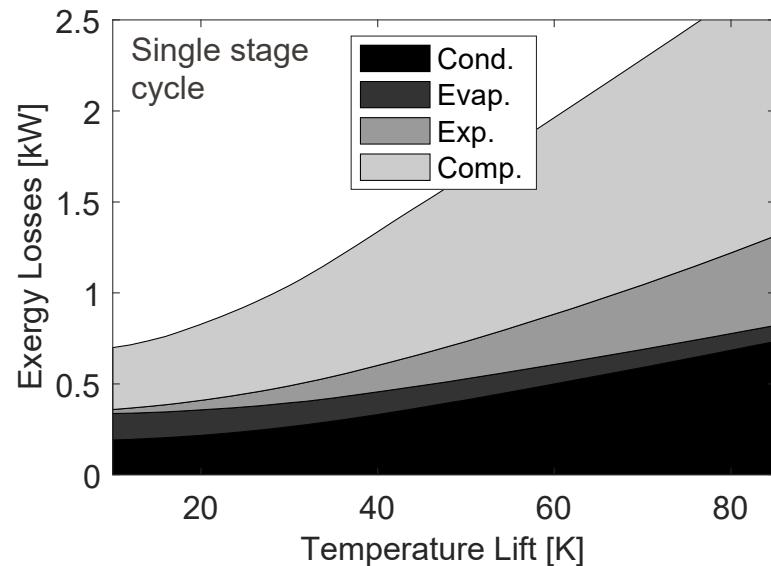
# Benefits of Two Stage Compression HP Cycle

- Efficiency improves by ~20% compared to single stage HP cycle at high temperature lifts
- Two stage cycle makes no sense at low lifts due to low compressor efficiency (over-compression)



# Benefits of Two Stage Compression HP Cycle

- Condenser losses decreased with twin stage cycle due to lower temperatures at compressor exhaust
- Expansion losses reduced with two stage cycle
- Compression losses decrease only at high lifts



# Limitations of Two Stage HP Cycle

- Suited for high temperature lifts
- Low pressure ratio at low temperature lifts penalize cycle due to low compressor efficiency
- Ratio between lower and upper stage pressure ratio is additional variable to optimize cycle → depends on working fluid
- Higher investment cost due to additional compressor, expander and economizer
- Makes better use of latent heat in evaporator
- Yields lower compressor exhaust temperatures

# Outlook for W6

- Practical cycle improvements

- Theory questions
- A compressor driven heat pump installation