

**Exercise 1. Point-set topology appetizer.**

Recall that a space  $X$  is *normal* if any pair of disjoint closed subsets have disjoint neighbourhoods.

1. Show that a compact Hausdorff space is normal.
2. If  $X$  is a compact Hausdorff space covered by open subsets  $U_i$ , show that there are compact subspaces  $K_i \subseteq U_i$  covering  $X$ .

*Proof.* 1. First prove that if  $F \subseteq X$  is a closed subset and  $x \notin F$ , we can find disjoint open subsets  $U, V \subseteq X$  such that  $x \in U$  and  $F \subseteq V$ : for each  $y \in F$ , can find disjoint opens  $U_y, V_y$  with  $x \in U_y$  and  $y \in V_y$ . Then  $F \subseteq \bigcup_{y \in F} V_y$ , and because  $F$  is compact, we can find a finite subcover  $V = \bigcup_{i=1}^n V_{y_i} \supseteq F$ . Now letting  $U = \bigcap_{i=1}^n U_{y_i}$ , we have that  $U$  is an open containing  $x$ . So  $U, V$  are disjoint opens with the desired properties.

Then apply the lemma to each  $x \in F'$  and use the same strategy: for each  $x \in F'$ , can find disjoint opens  $U_x, V_x$  such that  $x \in U_x$  and  $F \subseteq V_x$ . Again  $F'$  is compact and  $F' \subseteq \bigcup_{x \in F'} U_x$ , so we can find a finite subcover  $U = \bigcup_{i=1}^m U_{x_i} \supseteq F'$ . Now  $V = \bigcap_{i=1}^m V_{x_i}$  is an open disjoint from  $U$  and containing  $F$ .

2. We use the following fact : if  $X$  is normal and  $F \subseteq X$  is closed, with open neighbourhood  $F \subseteq U$ , then there exists an open set  $V \subseteq X$  such that  $F \subseteq V \subseteq \overline{V} \subseteq U$ . Indeed,  $U^c$  is closed and disjoint from  $F$ , so there are disjoint open neighbourhoods  $F \subseteq V$  and  $U^c \subseteq W$ . Therefore  $V \subseteq W^c$  and since  $W^c$  is closed, we get  $\overline{V} \subseteq W^c$ , hence the conclusion. Now to prove the claim, since  $X$  is compact we can assume the cover to be finite. We use induction on the number of opens. If  $X = U_1 \cup U_2$ , then  $U_i^c \subseteq U_j$ , ( $i \neq j$ ) so by the lemma above, there are opens  $V_i$  such that  $U_j^c \subseteq V_i \subseteq \overline{V_i} \subseteq U_i$ . Since  $V_1^c \cap V_2^c$  is closed and contained in the open  $U_1 \cap U_2$ , we can find an open  $W$  such that  $V_1^c \cap V_2^c \subseteq W \subseteq \overline{W} \subseteq U_1 \cap U_2$ . Now take  $K_1 = \overline{V_1}$  and  $K_2 = \overline{V_2} \cup \overline{W}$ . They are both closed hence compact, and  $K_i \subseteq U_i$ . Moreover  $K_1 \cup K_2 = X$ . This proves the base case  $n = 2$ .

Now suppose the result has been proven for a cover of  $X$  by  $n$  open sets. Suppose  $U_1, \dots, U_{n+1}$  is an open cover of  $X$ . Then  $U = \bigcup_{i=1}^n U_i$  and  $U_{n+1}$  cover  $X$ , so by the preceding question, we can find compact sets  $K \subseteq U$  and  $K_{n+1} \subseteq U_{n+1}$  that cover  $X$ . Now  $K$  is covered by the  $U_i \cap K$ ,  $i \leq n$ . Applying the induction hypothesis to this open cover of  $K$ , we find compact sets  $K_i \subseteq U_i \cap K$  for  $i \leq n$ . Then  $K_1, \dots, K_{n+1}$  are compact sets that cover  $X$  with  $K_i \subseteq U_i$ .  $\square$

**Exercise 2.  $Map(X, Y)$  inherits some topological properties of  $Y$ .**

1. If  $Y$  is Hausdorff and  $X$  is any space, show that  $Map(X, Y)$  is Hausdorff.
2. If  $X$  is compact and  $Y$  is metrizable, show that  $Map(X, Y)$  is metrizable.

- Proof.* 1. Take continuous maps  $f, g : X \rightarrow Y$  with  $f \neq g$ . Then  $f(x) \neq g(x)$  for some  $x \in X$ . Because  $Y$  is Hausdorff, we can choose disjoint opens  $U, V \subseteq Y$  with  $f(x) \in U$  and  $g(x) \in V$ . Writing  $B(K, U) = \{h : X \rightarrow Y \mid h(K) \subseteq U\}$  for  $K \subset X$  and  $O \subset Y$ , the subsets  $B(\{x\}, U)$  and  $B(\{x\}, V)$  are disjoint opens of  $\text{Map}(X, Y)$  that contain  $f$  and  $g$  respectively.
2. Define a metric on  $\text{Map}(X, Y)$  by  $d(f, g) = \sup_{x \in X} d(f(x), g(x))$ . This is well defined because  $X$  is compact. Indeed the continuous function  $d(f, g) : X \rightarrow \mathbb{R}$ ,  $x \mapsto d(f(x), g(x))$  has compact image, hence the supremum  $\sup_{x \in X} d(f(x), g(x))$  is reached by some  $x \in X$  (it is a maximum). Then show that the metric topology and compact open topology on  $\text{Map}(X, Y)$  are both finer than each other. Proof in Hatcher Prop. A.13. □

◇ **Exercise 3.**  $\text{Map}(-, -)$  is a bifunctor on locally compact Hausdorff spaces.

Let  $X, Y, Z$  be locally compact Hausdorff spaces.

1. Show that the composition operation

$$- \circ - : \text{Map}(X, Y) \times \text{Map}(Y, Z) \rightarrow \text{Map}(X, Z)$$

is continuous.

2. Given  $f : X \rightarrow Y$ , show that the map  $- \circ f : \text{Map}(Y, Z) \rightarrow \text{Map}(X, Z)$  is continuous.
3. Given  $g : Y \rightarrow Z$ , show that the map  $g \circ - : \text{Map}(X, Y) \rightarrow \text{Map}(X, Z)$  is continuous.

*Proof.* 1. Let  $f \in \text{Map}(X, Y)$ ,  $g \in \text{Map}(Y, Z)$ ,  $K \subseteq X$  be a compact set of  $X$  and  $U \subseteq Z$  be an open in  $Z$  such that

$$(g \circ f)(K) \subseteq U.$$

In other words  $g \circ f \in B(K, U)$ .

In order to prove that  $- \circ -$  is continuous at  $g \circ f$ , we will find an open  $W \subseteq Y$  such that:

- (a) its closure  $\overline{W}$  is compact,
- (b)  $f \in B(K, W)$  and  $g \in B(\overline{W}, U)$ ,
- (c)  $B(\overline{W}, U) \circ B(K, W) \subseteq B(K, U)$ .

We are going to construct the open  $W$ , using the fact that  $Y$  is locally compact<sup>1</sup>. To do this, we need the following observation:

*For each  $y$  in  $f(K)$  there is a neighborhood  $y \in V_y$  such that  $\overline{V_y}$  is compact and  $\overline{V_y} \subseteq g^{-1}(U)$ .*

We observe that  $g^{-1}(U)$  is a neighborhood of  $y$ . Since  $Y$  is locally compact, there is some compact neighborhood  $y \in K_y \subseteq g^{-1}(U)$ . Being a neighborhood, it contains some open set  $V_y$  such that

$$y \in V_y \subseteq K_y \subseteq g^{-1}(U)$$

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<sup>1</sup>We use the following definition of locally compact: For every point  $y \in Y$  and open neighborhood  $y \in U \subseteq Y$ , there is some compact neighborhood  $x \in K \subseteq U \subseteq Y$ .

Since  $Y$  is Hausdorff and  $K_y$  compact, the latter must be closed. This yields

$$y \in V_y \subseteq \overline{V_y} \subseteq K_y \subseteq g^{-1}(U)$$

As closed subsets of compact spaces are compact, we get that  $\overline{V_y}$  is compact, which concludes the proof of the observation.

We now are able to construct the desired open subset  $W$ .

Since  $f(K)$  is compact, and  $\{V_x\}_{x \in f(K)}$  is an open cover to  $f(K)$ , there is  $x_1, \dots, x_n \in f(K)$  such that

$$f(K) \subseteq \bigcup_{i=1}^n V_{x_i}.$$

We then define  $W := \bigcup_{i=1}^n V_{x_i}$ , which yields  $f \in B(K, W)$ . Clearly  $\overline{W}$  is compact and

$$\overline{W} = \bigcup_{i=1}^n \overline{V_{x_i}} \subseteq g^{-1}(U).$$

The other properties (b) and (c) follow by construction.

2. The map  $- \circ f$  is the restriction of  $(- \circ -)$  to  $\{f\} \times \text{Map}(Y, Z)$ , hence is continuous.
3. Similarly  $g \circ -$  is the restriction of  $(- \circ -)$  to  $\text{Map}(X, Y) \times \{g\}$ .

□

#### Exercise 4. Compact-open topology vs. product topology.

Recall that for sets  $X, Y$  there is a bijection of sets  $\text{Hom}_{\text{Set}}(X, Y) \cong \prod_{x \in X} Y = Y^X$ .

1. Show that here is a homeomorphism  $\text{Map}(*, X) \cong X$  for any space  $X$ . Here  $*$  denotes the one point space.
2. If  $X$  is discrete and  $Y$  is any space, show that there is a homeomorphism  $\text{Map}(X, Y) \cong \prod_{x \in X} Y$  (the compact-open topology coincides with the product topology).

*Proof.* 1. There is a bijection  $\varphi : X \rightarrow \text{Map}(*, X)$  defined by  $\varphi(x) = c_x$  where  $c_x : * \rightarrow X$  is the constant map with  $c_x(*) = x$ . It suffices to show that  $\varphi$  and its inverse are continuous. A basic open of  $\text{Map}(*, X)$  is of the form  $B(*, U)$  where  $U \subseteq X$  is open. But  $\varphi^{-1}(B(*, U)) = U$  which is indeed open in  $X$ , so  $\varphi$  is continuous. For the inverse  $\psi = \varphi^{-1}$ , have  $\psi^{-1}(U) = B(*, U)$  which is open in  $\text{Map}(*, X)$  for any open  $U \subseteq X$ , so  $\psi$  is also continuous.

2. If  $X$  is discrete, there is a homeomorphism  $X \cong \prod_{x \in X} *$ , so

$$\text{Map}(X, Y) \cong \text{Map}\left(\prod_{x \in X} *, Y\right) \cong \prod_{x \in X} \text{Map}(*, Y) \cong \prod_{x \in X} Y.$$

□

#### ◇ Exercise 5. Mapping space into a product.

Let  $X$  be a Hausdorff space.

1. Show that there is a homeomorphism  $Map(X, Y \times Z) \cong Map(X, Y) \times Map(X, Z)$  for any spaces  $Y, Z$ .
2. Generalize the previous result to describe the mapping space  $Map(X, \prod_i Y_i)$  for any collection of spaces  $\{Y_i\}_{i \in I}$ .

*Proof.* 1. There is already a bijection of sets given by  $\varphi : f \mapsto (f_1, f_2) = (\pi_1 \circ f, \pi_2 \circ f)$ . One only needs to check that it is continuous and open. Because  $X$  is Hausdorff, by a lemma seen in class subsets of the form  $B(K, U \times V)$  form a sub-basis for the topology on  $Map(X, Y \times Z)$  where  $U \subseteq Y$  and  $V \subseteq Z$  are open. One concludes by noticing that

$$\begin{aligned}\varphi^{-1}(B(K, U) \times B(L, V)) &= B(K, U \times Z) \cap B(L, Y \times V); \\ \varphi(K, U \times V) &= B(K, U) \times B(K, V);\end{aligned}$$

for all compact  $K, K' \subseteq X$  compact and open  $U \subseteq Y, V \subseteq Z$ .

2. Same strategy, but keeping in mind that an open in an infinite product  $\prod_i X_i$  is of the form  $\prod_i U_i$  where  $U_i \subseteq X_i$  is open and all but finitely many  $U_i$  satisfy  $U_i = X_i$ .

□

#### ◇ Exercise 6. Space of homeomorphisms.

Let  $X$  be a compact Hausdorff space and consider the subspace  $\text{Homeo}(X)$  of  $Map(X, X)$  consisting of all homeomorphisms.

1. Show that composition turns  $\text{Homeo}(X)$  into a group.
2. Show that the inverse is a continuous map  $\iota : \text{Homeo}(X) \rightarrow \text{Homeo}(X)$ .
3. Show that the multiplication  $m : \text{Homeo}(X) \times \text{Homeo}(X) \xrightarrow{- \circ -} \text{Homeo}(X)$  is continuous.

*Proof.* 1. In any category  $\mathcal{C}$ , you can check that the set of automorphisms  $\text{Aut}_{\mathcal{C}}(c) \subseteq \mathcal{C}(c, c)$  at any object  $c \in \mathcal{C}$  is a group.

2. Let  $f \in \text{Homeo}(X)$  and  $B(K, U)$  an open neighborhood of  $\iota(f) = f^{-1}$  in  $\text{Homeo}(X)$ . This means that

$$f^{-1}(K) \subseteq U \iff K \subseteq f(U) \iff f(U)^c = F(U^c) \subseteq K^c.$$

Since  $X$  is compact, the closed set  $U^c$  is compact as well, and therefore  $f \in \iota^{-1}(B(K, U)) = B(U^c, K^c)$  which is open.

3. We showed in exercise 3 that  $Map(X, X) \times Map(X, X) \rightarrow Map(X, X)$  is continuous. Hence the restriction to  $\text{Homeo}(X) \times \text{Homeo}(X)$  is continuous as well.

□

#### Exercise 7. Free loop spaces.

Given a space  $X$ , write  $\Lambda X = Map(S^1, X)$  for the free loop space of  $X$ .

1. Show that the map  $X \rightarrow \Lambda X$  defined by  $x \mapsto (\theta \mapsto x)$  is continuous.

2. Show that the map  $S^1 \times \Lambda X \rightarrow \Lambda X$  defined by  $(\theta, f) \mapsto (\theta' \mapsto f(\theta + \theta'))$  is continuous.

*Proof.* 1. If  $B(K, U)$  is a basic open of  $\Lambda X$  and we denote  $c : X \rightarrow \Lambda X$  the map, then  $c^{-1}(B(K, U)) = U$  which is open in  $X$  by assumption, so  $c$  is continuous.

2. We give two proofs, one is categorical, while the other is a direct, hands on, use of the definitions.

- Consider the sum  $+$  :  $S^1 \times S^1 \rightarrow S^1$ ,  $(\theta, \theta') \mapsto \theta + \theta'$ , which is clearly continuous. Applying the functor  $Map(-, X) : Top \rightarrow Top$  to this  $+$  map, and using the enriched adjunction homeomorphism we obtain a continuous map

$$Map(S^1, X) \xrightarrow{Map(+, X)} Map(S^1 \times S^1, X) \cong Map(S^1, Map(S^1, X)),$$

given by  $f \mapsto (\theta \mapsto (\theta' \mapsto f(\theta + \theta')))$ . But this map is adjoint to the continuous map

$$S^1 \times Map(S^1, X) \rightarrow Map(S^1, X)$$

given by  $(\theta, f) \mapsto (\theta' \mapsto f(\theta + \theta'))$ , as desired.

- Let  $\psi : S^1 \times \Lambda X \rightarrow \Lambda X$  the map and let  $B(K, U)$  be a basic open of  $\Lambda X$ , together with  $(\theta, f)$  such that  $\psi(\theta, f) \in B(K, U)$ . Then  $f(\theta + K) \subseteq U$ , so  $f \in B(\theta + K, U)$ . We can suppose  $K$  to be an interval, say  $K = [s, t]$ . Because  $K$  is closed and contained in the open  $f^{-1}(U)$ , we can find  $\varepsilon > 0$  such that  $[s - \varepsilon, t + \varepsilon] + \theta \subseteq f^{-1}(U)$ . Now let

$$O = ]\theta - \frac{\varepsilon}{2}, \theta + \frac{\varepsilon}{2}[ \times B([s - \frac{\varepsilon}{2}, t + \frac{\varepsilon}{2}] + \theta, U).$$

Then  $O$  is open in  $S^1 \times \Lambda X$  and contains  $(\theta, f)$  by the choice of  $\varepsilon$ . Moreover if  $(\theta', g) \in O$ , then

$$\psi(\theta', g)(K) = g(\theta' + [s, t]) \subseteq g\left([s - \frac{\varepsilon}{2}, t + \frac{\varepsilon}{2}] + \theta\right) \subseteq U$$

which precisely mean that  $(\theta', g) \in \psi^{-1}(B(K, U))$ . This shows that  $f \in O \subseteq \psi^{-1}(B(K, U))$ , i.e.  $\psi$  is continuous at  $(\theta, f)$ .

□

◇ indicates the weekly assignments.