

1. Let  $K/\mathbb{Q}$  a number field. Recall that  $K^\times$  acts on  $K_\infty = \mathbb{R}^{r_1} \oplus \mathbb{C}^{r_2}$  by

$$\begin{aligned}\lambda: K^\times \times K_\infty &\rightarrow K_\infty, \\ (x, (v_i)_{i=1}^r) &\mapsto (\sigma_i(x)v_i)_{i=1}^r.\end{aligned}$$

We let  $\lambda^\times$  denote the restriction of  $\lambda$  to  $K^\times \times K_\infty^\times$ .

- a) Prove that  $\lambda^\times(K^\times \times K_\infty^\times) \subseteq K_\infty^\times$ , i.e.,  $\lambda$  induces a well-defined action of  $K^\times$  on  $K_\infty^\times$ .  
 b) Prove that  $K^\times$  acts freely on  $K_\infty^\times$ , i.e.,

$$\forall x \in K^\times \forall v \in K_\infty^\times \quad \lambda^\times(x, v) = v \implies x = 1.$$

- c) Let  $H, M < K^\times$  are subgroups such that  $H \cap M = \{1\}$ . Prove that  $HM < K^\times$  is a subgroup and  $HM \cong H \oplus M$ . Deduce that there is a subgroup  $U < \mathcal{O}_K^\times$  such that  $U \cong \mathbb{Z}^{r-1}$  and

$$\mathcal{O}_K^\times \cong \mu_K \oplus U,$$

where  $\mu_K < K^\times$  is the group of roots of unity.

*Remark:* In the proof of Dirichlet's unit theorem we have proven that there is a homomorphism  $F: \mathcal{O}_K^\times \rightarrow \mathbb{Z}^{r-1}$  such that

$$1 \longrightarrow \mu_K \longrightarrow \mathcal{O}_K^\times \xrightarrow{F} \mathbb{Z}^{r-1} \longrightarrow 0$$

is exact. This exercise shows that the sequence splits. In class, this was stated as a consequence of the classification of finitely generated  $\mathbb{Z}$ -modules.

- d) Let  $H, M < K^\times$  as above and suppose that  $H$  is finite. Assume that  $E \subseteq K_\infty^\times$  is  $HM$ -invariant, i.e.,

$$\forall x \in HM \quad \lambda^\times(x, E) \subseteq E.$$

Prove that there is an  $|H|$ -to-one correspondence between  $M$ -orbits in  $E$  and  $HM$ -orbits in  $E$ .

- e) Prove that there exists  $C > 1$  such that for all  $x \in K^\times$  there is  $u \in \mathcal{O}_K^\times$  satisfying

$$\forall 1 \leq i \leq d \quad \frac{|\sigma_i(xu)|}{C} \leq \text{Nr}_{K/\mathbb{Q}}(x)^{\frac{1}{d}} \leq C|\sigma_i(xu)|.$$

*Hint:* Let  $\Lambda \subseteq \mathbb{R}^{r-1}$  a lattice. Then there is  $A > 0$  depending only on  $\Lambda$  such that

$$\forall v \in \mathbb{R}^{r-1} \exists \ell \in \Lambda \quad \|v - \ell\| \leq A.$$

2. Let  $K/\mathbb{Q}$  a number field of degree  $d$  and assume that  $r = r_1 + r_2 \geq 2$ . Let  $(\varepsilon_1, \dots, \varepsilon_{r-1})$  be a set of fundamental units of  $\mathcal{O}_K$  and let

$$\mathcal{P} = \left\{ \sum_{i=1}^{r-1} t_i \text{Log}_\infty(\varepsilon_i) : \forall i \in \{1, \dots, r-1\} t_i \in [0, 1] \right\}.$$

Let  $\text{Log}: K_\infty^\times \rightarrow \mathbb{R}^r$  be given by

$$\text{Log}(x_1, \dots, x_r) = (d_1 \log|x_1|, \dots, d_r \log|x_r|) \quad (x \in K_\infty^\times),$$

where  $d_i = 1$  for  $1 \leq i \leq r_1$  and  $d_i = 2$  otherwise.

We recall that  $\text{Nr}: K_\infty^\times \rightarrow (0, \infty)$  denotes the norm-map given by

$$\forall v = (v_1, \dots, v_r) \in K_\infty^\times \quad \text{Nr}(v) = \prod_{i=1}^r |v_i|^{d_i}.$$

Let

$$F_{\leq 1} = \{tv : v \in \text{Log}^{-1}(\mathcal{P}), t \in ]0, 1]\}.$$

Prove that

$$\text{vol}(F_{\leq 1}) = 2^{r_1} \pi^{r_2} \text{reg}(\mathcal{O}_K).$$

*Hint:* Recall the outline of the argument presented in class:

- a) Prove that  $v \in F_{\leq 1}$  if and only if  $\text{Nr}(v) \leq 1$  and  $\text{Log}(\text{Nr}(v)^{-\frac{1}{d}}v) \in \mathcal{P}$ .
- b) Let  $\text{Log}_{\text{abs}}: (0, \infty)^r \rightarrow \mathbb{R}^r$  be the map given by

$$\forall T = (T_1, \dots, T_r) \in (0, \infty)^r \quad \text{Log}_{\text{abs}}(T) = (d_i \log T_i)_{i=1}^r.$$

Let  $L: \mathbb{R}^r \rightarrow \mathbb{R}^r$  be given by

$$\forall v = (v_1, \dots, v_r) \in \mathbb{R}^r \quad L(v) = \sum_{i=1}^r v_i.$$

Show that

$$\text{vol}(F_{\leq 1}) = 2^{r_1} (2\pi)^{r_2} \int_{\text{Log}_{\text{abs}}([0, 1] \text{Log}_{\text{abs}}^{-1}(\mathcal{P}))} \exp(Lv) dv.$$

- c) Let  $\mathbf{1} \in \mathbb{R}^r$  be the vector with coordinates all equal to 1. Show that the following are equivalent.
  - (i)  $v \in \text{Log}_{\text{abs}}([0, 1] \text{Log}_{\text{abs}}^{-1}(\mathcal{P}))$ .
  - (ii)  $Lv \leq 0$  and  $v - \frac{L(v)}{d} \mathbf{1} \in \mathcal{P}$ .
- d) Denoting by  $\mathbb{I}_A$  the indicator function on a set  $A$ , deduce that

$$\text{vol}(F_{\leq 1}) = \int_{\mathbb{R}} \mathbb{I}_{[0, \infty]}(Lv) \mathbb{I}_{\mathcal{P}}(v - L(v) \mathbf{1}) e^{Lv} dv$$

and conclude that  $\text{vol}(F_{\leq 1}) = 2^{r_1} \pi^{r_2} \text{reg}(\mathcal{O}_K)$ .

- 3. Let  $K$  be a quadratic number field, let  $D = |\text{disc}(K)|$ , and let  $\chi_K$  be the quadratic character associated with  $K$ , i.e.,  $\chi_K$  is the multiplicative extension of the map

$$\chi_K(p) = \begin{cases} 1 & \text{if } p \text{ splits in } K, \\ -1 & \text{if } p \text{ is inert in } K, \\ 0 & \text{otherwise.} \end{cases}$$

Define

$$L(s, \chi_K) = \sum_{n \in \mathbb{N}} \frac{\chi_K(n)}{n^s} \quad (\text{Re}(s) > 1).$$

- a) Show that for  $\text{Re}(s) > 1$ ,  $\zeta_K$  admits an Euler product, i.e.,

$$\zeta_K(s) = \prod_{\mathfrak{p} \in \text{Spec}(\mathcal{O}_K) \setminus \{0\}} \left(1 - \frac{1}{\text{Nr}(\mathfrak{p})^s}\right)^{-1}.$$

- b) Prove that

$$\zeta_K(s) = \zeta(s) L(s, \chi_K).$$

4. We recall the definition of a Dirichlet character. Given  $q \in \mathbb{N}$ , a Dirichlet character mod  $q$  is a map  $\psi: \mathbb{N} \rightarrow \mathbb{C}$  for which there exists a character  $\chi: (\mathbb{Z}/q\mathbb{Z})^\times \rightarrow \mathbb{C}$  such that

$$\forall n \in \mathbb{N} \quad \psi(n) = \begin{cases} \chi(n \bmod q) & \text{if } (n, q) = 1, \\ 0 & \text{otherwise.} \end{cases}$$

Given a Dirichlet character  $\psi$ , we define the Dirichlet  $L$ -function

$$L(\psi, s) = \sum_{n \geq 1} \frac{\psi(n)}{n^s} \quad (\operatorname{Re} s > 1).$$

The trivial Dirichlet character  $\psi_0 \bmod q$  is the Dirichlet character induced by the unit character, i.e.,  $\psi_0(n) = 1$  for all  $(n, q) = 1$ .

- a) Convince yourself (to any degree of detail you wish) that for any Dirichlet character  $\psi \bmod q$ , we have

$$L(\psi, s) = \prod_p \left( 1 - \frac{\psi(p)}{p^s} \right)^{-1} \quad (\operatorname{Re} s > 1).$$

- b) Prove that

$$L(\psi_0, s) = \zeta(s) \prod_{p|q} \left( 1 - \frac{1}{p^s} \right) \quad (\operatorname{Re} s > 1).$$

- c) Convince yourself (to any degree of detail you wish) that for any non-trivial Dirichlet character  $\psi \bmod q$ , the Dirichlet  $L$ -function admits a holomorphic extension to  $\{s: \operatorname{Re}(s) > 0\}$ .

*Hint:* What is  $\sum_{n=1}^q \psi(n)$ ?

- d) Let  $K = \mathbb{Q}(\zeta_q)$  and let

$$G_K(s) = \prod_{\mathfrak{p}|q} \left( 1 - \frac{1}{\operatorname{Nr}(\mathfrak{p})^s} \right)^{-1}.$$

Show that

$$\zeta_K(s) = G_K(s) \prod_{\psi} L(\psi, s),$$

where  $\psi$  runs over all Dirichlet characters mod  $q$ .

- e) Prove that for  $\psi \neq \psi_0$ , we have  $L(\psi, 1) \neq 0$ .  
 f) Let  $\psi$  be a Dirichlet character mod  $q$ . Show that on  $\{s: \operatorname{Re} s > 1\}$ , the Dirichlet  $L$ -function  $L(\psi, s)$  admits an analytic logarithm such that

$$\log L(\psi, s) = \sum_p \frac{\chi(p)}{p^s} + g_\psi(s),$$

where  $g_\psi$  is holomorphic on  $\{s: \operatorname{Re} s > 3/4\}$ .

- g) *Dirichlet's theorem on primes in arithmetic progressions.* Let  $q > 1$  and suppose that  $(a, q) = 1$ . Prove that there are infinitely many primes  $p \in \mathbb{N}$  satisfying  $p \equiv a \bmod (q)$ .

*Hint:* Let  $a^{-1} \in \mathbb{N}$  such that  $a \cdot a^{-1} \equiv 1 \bmod (q)$ . Prove that

$$\sum_{\psi} \psi(a^{-1}) \log L(\psi, s) = \sum_{p \equiv a \bmod (q)} \frac{\varphi(q)}{p^s} + g(s) \quad (\operatorname{Re} s > 1),$$

where  $g$  is holomorphic on  $\{s: \operatorname{Re} s > 3/4\}$ .