

1. In this exercise we will prove the following Theorem due to Hermite:

**Theorem.** For every integer  $D$  there are at most finitely many number fields  $K/\mathbb{Q}$  satisfying  $\text{disc}(K) = D$ .

- a) Show that for every  $D \in \mathbb{Z}$  there exists a constant  $d_0(D)$  such that

$$\text{disc}(K) = D \implies [K : \mathbb{Q}] \leq d_0(D).$$

Deduce that it suffices to show that for any  $d, r_1 \in \mathbb{N}$  and for any  $D \in \mathbb{Z}$  the set of number fields  $K/\mathbb{Q}$  satisfying  $[K : \mathbb{Q}] = d$ ,  $|\text{Hom}_{\mathbb{Q}}(K, \mathbb{R})| = r_1$ , and  $\text{disc}(K) = D$  is finite.

- b) Let  $d \leq d_0(D)$ ,  $0 \leq r_1 \leq d$  such that  $2|d - r_1|$ , and  $V_{d,r_1} = \mathbb{R}^{r_1} \times \mathbb{C}^{\frac{d-r_1}{2}}$ . Given  $X, Y > 0$ , we denote by  $B_{X,Y}$  the set given, if  $r_1 \geq 1$ , by

$$B_{X,Y} = \{v \in V_{d,r_1} : |v_1| < X, |v_i| < Y^{-1} \text{ for } i = 2, \dots, r_1 + r_2\}$$

and, if  $r_1 = 0$ , by

$$B_{X,Y} = \{v \in V_{d,r_1} : |\text{Re}(v_1)| < 1, |\text{Im}(v_1)| < X, |v_i| < Y^{-1} \text{ for } i = 2, \dots, r_2\}.$$

Show that for all  $r_1, d$  as above there exist  $C(r_1, d) > 0$  such that

$$\forall X, Y > 0 \quad \text{vol}(B_{X,Y}) = \begin{cases} C(r_1, d)XY^{1-d} & \text{if } r_1 \geq 1, \\ C(r_1, d)XY^{2-d} & \text{else.} \end{cases}$$

- c) Let  $D, d, r_1$  as above and let  $K/\mathbb{Q}$  be a number field of degree  $d$  satisfying  $|\text{Hom}_{\mathbb{Q}}(K, \mathbb{R})| = r_1$  and  $\text{disc}(K) = D$ . Note that  $\sigma_{\infty}(K) \subseteq V_{d,r_1}$ . Suppose that  $X, Y > 1$  are chosen such that  $\text{vol}(B_{X,Y}) > 2^{\frac{d+r_1}{2}} \sqrt{|D|}$ . Show that there exists  $z \in \mathcal{O}_K \setminus \{0\}$  such that  $\sigma_{\infty}(z) \in B_{X,Y}$ . Show that  $|\sigma_1(z)| \geq 1$ .

- d) Let  $z$  as above and let  $L = \mathbb{Q}(z) \subseteq K$ . Show that the map  $\text{Hom}_{\mathbb{Q}}(K, \mathbb{C}) \rightarrow \text{Hom}_{\mathbb{Q}}(L, \mathbb{C})$  given by  $\sigma \mapsto \sigma|_L$  is well-defined and  $[K : L]$ -to-1.

*Hint:* Since  $L$  has characteristic 0, we know that  $K = L(y)$  for some  $y \in K$  and  $\text{Hom}_{\mathbb{Q}(z)}(K, \mathbb{C})$  is in 1-to-1 correspondence with the roots of the minimal polynomial of  $y$  over  $L$ .

- e) Let  $z$  as above. Show that  $\mathbb{Q}(z) = K$ .

*Hint:* Suppose first that  $r_1 = d$ , i.e.,  $K$  is totally real, and look at the fiber above  $\sigma_1$  under the restriction map above and provide a proof by contradiction. Then generalize to  $r_1 \geq 1$  and, finally, if  $r_1 = 0$ , you want to show that  $\text{Im}(\sigma_1(z)) \neq 0$ .

- f) Deduce that for every  $D \in \mathbb{Z}$  the set of number fields of discriminant  $D$  is finite.

2. Let  $K/\mathbb{Q}$  be a number field of degree  $d$  and let  $\text{Log}_{\infty} : K^{\times} \rightarrow \mathbb{R}^{r_1+r_2}$  be the group homomorphism given by

$$\text{Log}_{\infty}(z)_i = \begin{cases} \log|\sigma_i z| & \text{if } i \leq r_1, \\ 2 \log|\sigma_i z| & \text{otherwise.} \end{cases} \quad (z \in K^{\times}).$$

Show that  $\text{Log}_{\infty}|_{\mathcal{O}_K^{\times}}$  has finite kernel and discrete image.

*Hint:* Consider a compact set  $C \subseteq \mathbb{R}^{r_1+r_2}$  and note that, given  $z \in K^{\times}$ , the condition  $\text{Log}_{\infty}(z) \in C$  restricts the size of  $\sigma_i(z)$ . Now use that integers are one apart.

3. In what follows, we let  $K$  be a quadratic number field of discriminant  $\Delta$  and we denote  $D = |\Delta|$ . Given  $n \in \mathbb{N}$  we denote by  $\zeta_n$  a choice of a primitive  $n$ -th root of unity.

- a) Given  $p \in \mathbb{N}$  an odd prime, let  $p^* = (-1)^{\frac{p-1}{2}} p$ . Show that  $\mathbb{Q}(\sqrt{p^*})$  is the unique quadratic subfield of  $\mathbb{Q}(\zeta_p)$ .

*Hint:* The multiplicative group in a finite field is cyclic.

- b) Prove that  $K$  is a subfield of  $\mathbb{Q}(\zeta_D)$ .

*Hint:* Suppose first that  $D$  is square-free and use Sh. 10, Ex. 5.

Recall that  $K \subseteq \mathbb{Q}(\zeta_D)$  gives rise to a surjective group homomorphism

$$\text{Gal}(\mathbb{Q}(\zeta_D)/\mathbb{Q}) \rightarrow \text{Gal}(K/\mathbb{Q}).$$

By identifying  $\text{Gal}(\mathbb{Q}(\zeta_D)/\mathbb{Q}) \cong (\mathbb{Z}/D\mathbb{Z})^\times$  and  $\text{Gal}(K/\mathbb{Q}) \cong \{-1, 1\}$ , we obtain from this homomorphism a character

$$\chi_K: (\mathbb{Z}/D\mathbb{Z})^\times \rightarrow \{-1, 1\},$$

which we call the quadratic character associated to  $K$ .

- d) Prove that

$$\chi_K(-1) = \begin{cases} 1 & \text{if } \Delta > 0, \\ -1 & \text{if otherwise.} \end{cases}$$

- e) Let  $p$  be a prime coprime to  $D$ . Show that, under the surjective group homomorphism described above, the Frobenius element  $(p, K/\mathbb{Q}) \in \text{Gal}(K/\mathbb{Q})$  is the image of the Frobenius element  $(p, \mathbb{Q}(\zeta_D)/\mathbb{Q}) \in \text{Gal}(\mathbb{Q}(\zeta_D)/\mathbb{Q})$ .
- f) Show that, for any prime  $p$  with  $(p, D) = 1$ , we have

$$\chi_K(p) = \begin{cases} 1 & \text{if } p \text{ splits in } K, \\ -1 & \text{otherwise.} \end{cases}$$

4. The goal of this exercise is to count fundamental units of real quadratic fields. To this end, given  $d \geq 2$  a square-free integer, we identify  $K = \mathbb{Q}(\sqrt{d})$  with its image in  $\mathbb{R}$  given by choosing the unique root satisfying  $\sqrt{d} > 0$  and we enumerate the  $\mathbb{Q}$ -embeddings of  $K$  as

$$\text{Hom}_{\mathbb{Q}}(K, \mathbb{C}) = \{\sigma_1 = \text{id}_K, \sigma_2: \sqrt{d} \mapsto -\sqrt{d}\}.$$

- a) Let  $d > 1$  a square-free integer. Show that  $\mathbb{Q}(\sqrt{d})$  contains a unique fundamental unit  $\varepsilon_d$  satisfying  $\varepsilon_d > 1$ .

- b) Show that there are  $m, n \in \mathbb{N}$  such that  $\varepsilon_d = \frac{m+n\sqrt{d}}{2}$ .

*Hint:* First show that such an equality holds with  $m, n \in \mathbb{Z}$  and then use the norm to show that  $mn > 0$ .

In what follows, we let  $\mathbb{R}$ :

$$U_{\text{fun}} = \{\varepsilon_d: d > 1 \text{ squarefree}\}, \quad U_{\text{all}} = \{\varepsilon_d^k: d > 1 \text{ squarefree}, k > 1\}.$$

Thus,  $U_{\text{fun}}$  contains all fundamental units of real quadratic fields.

- c) For any  $X > 2$ , prove that  $]1, X] \cap U_{\text{fun}}$  is a finite set. We write  $f(X)$  for its cardinality.

- d) Let  $d > 1$  be a squarefree integer and  $u \in \mathcal{O}_K^\times$ . We write  $u = a + b\sqrt{d}$  for some half-integers  $a, b \in \frac{1}{2}\mathbb{Z}$ ; cf. Sh. 1, Ex. 2. Prove that  $1 < u < X$  if and only if  $1 < a < (X^2 \pm 1)/(2X)$ .

- e) Given  $a \in \frac{1}{2}\mathbb{Z}$  satisfying the above inequalities and a sign  $\sigma \in \{\pm 1\}$ , prove that there is a unique choice of  $b \in \frac{1}{2}\mathbb{Z}$  and squarefree  $d > 1$  such that  $a + b\sqrt{d}$  is a unit of norm  $\sigma$ .

*Hint:*  $a^2 + \sigma = b^2 d$ .

- f) Counting the number of possibilities for  $a$  and  $\sigma$ , deduce that

$$|]1, X] \cap U_{\text{all}}| = 2X + O(1) \quad \text{as } X \rightarrow \infty.$$

We write  $a(X)$  for  $|]1, X] \cap U_{\text{all}}|$ .

- g) Prove that  $a(X) = \sum_{k=1}^{\infty} f(X^{1/k})$  for  $X$  large enough, where the sum is actually finite.
- h) Let  $\mu: \mathbb{N} \rightarrow \{-1, 0, 1\}$  denote the Möbius function given by

$$\mu(n) = \begin{cases} (-1)^{\omega(n)} & \text{if } n \text{ is squarefree,} \\ 0 & \text{otherwise,} \end{cases}$$

where  $\omega(n)$  denotes the number of pairwise distinct prime factors of  $n$ . Recall that

$$\forall n \in \mathbb{N} \quad \sum_{d|n} \mu(d) = \begin{cases} 1 & \text{if } n = 1, \\ 0 & \text{otherwise.} \end{cases}$$

Show that

$$f(X) = \sum_{k=1}^{\infty} \mu(k) a(X^{1/k})$$

for sufficiently large  $X$ .

- i) Conclude that  $f(X) = 2X + o(X)$  as  $X \rightarrow \infty$ . In particular, we have

$$\lim_{X \rightarrow \infty} \frac{|]1, X] \cap U_{\text{fun}}|}{X} = 2.$$