

## Exercise Sheet n°12

During the lecture we will establish that if **ZF** is consistent, then we can have a model of **ZF** in which the set of reals ( $\mathbb{R}$ ) is a countable union of countable sets. The exercises of this week aim at showing some surprising consequences of having  $\mathbb{R}$  as a countable union of countable sets (Exercise 5).

For any sets  $A, B$  we use the notations:  $A \simeq B$  for “there exists  $f : A \xrightarrow{\text{bij.}} B$ ”;  $A \overset{\text{1-1}}{\rightsquigarrow} B$  if there exists  $f : A \xrightarrow{1-1} B$ ;  $A \overset{\text{onto}}{\rightsquigarrow} B$  if there exists  $f : B \xrightarrow{\text{onto}} A$ .

**Exercise 1:** Give a proof of the following statements:

1.  $\mathbf{ZFC} \vdash_c \forall A \forall B (A \overset{\text{onto}}{\rightsquigarrow} B \longrightarrow A \overset{\text{1-1}}{\rightsquigarrow} B)$ ;
2.  $\mathbf{ZFC} \vdash_c \forall A \forall B ((A \overset{\text{1-1}}{\rightsquigarrow} B \wedge B \overset{\text{onto}}{\rightsquigarrow} A) \longrightarrow A \simeq B)$ ;
3.  $\mathbf{ZF} \vdash_c (\mathbf{AC} \longleftrightarrow \forall A \forall B \text{ “for all } g : B \xrightarrow{\text{onto}} A, \text{ there exists } f : A \xrightarrow{1-1} B \text{ s.t. } g \circ f = \text{id}”})$ ;
4.  $\mathbf{ZF} \vdash_c \forall A \forall B \text{ “if there exists } f : A \xrightarrow{1-1} B, \text{ there exists } g : B \xrightarrow{\text{onto}} A$ ”;
5.  $\mathbf{ZF} \vdash_c \forall A \forall B \text{ “if there exists } f : A \xrightarrow{1-1} B, \text{ then there exists } g : \mathcal{P}(A) \xrightarrow{1-1} \mathcal{P}(B)$ ”;

**Exercise 2:**

Show that Hartog’s Lemma is provable in **ZF** (without **AC**). Namely,

Given any set  $A$ , there exists some ordinal  $\alpha$  such that  $\alpha \not\overset{\text{1-1}}{\rightsquigarrow} A$ .

(Hint: Consider  $\mathcal{W} = \{(B, <_B) \subseteq A \times (A \times A) \mid (B, <_B) \text{ is a well-ordering}\}$ .)

**Exercise 3:**

Briefly show that **ZF** proves that the following sets<sup>1</sup> are all equipotent:

- |                          |                                  |                               |
|--------------------------|----------------------------------|-------------------------------|
| • $\mathbb{R}$           | • ${}^\omega \omega$             | • ${}^\omega 2$               |
| • ${}^\omega \mathbb{R}$ | • ${}^\omega ({}^\omega \omega)$ | • ${}^\omega ({}^\omega 2)$ . |

(Hint: you may use Cantor-Schröder-Bernstein Theorem which asserts that  $A \simeq B$  holds if  $A \overset{\text{1-1}}{\rightsquigarrow} B$  and  $B \overset{\text{1-1}}{\rightsquigarrow} A$  both hold, and was proved without **AC**).

**Exercise 4:** Give a proof of the following statements:

1.  $\mathbf{ZF} \vdash_c \omega_1 \overset{\text{onto}}{\rightsquigarrow} {}^\omega 2$

(Hint: view some of the infinite sequences of 0’s and 1’s that contain infinitely many 1’s as “coding” the well-orderings of the integers).

---

<sup>1</sup>We recall that  ${}^\omega \omega = \{f : \mathbb{N} \rightarrow \mathbb{N}\}$  and  ${}^\omega 2 = \{f : \mathbb{N} \rightarrow \{0,1\}\}$ .

2.  $\mathbf{ZF} \vdash_c \omega 2 \cup \omega_1 \overset{\text{onto}}{\underset{\sim}{\prec}} \omega 2$ .

( $\omega 2 \cup \omega_1 := (\omega 2 \times \{0\}) \cup (\omega_1 \times \{1\})$  is the disjoint union of  $\omega 2$  and  $\omega_1$ .)

**Exercise 5:** Give a proof of the following statements:

1.  $\mathbf{ZF} \vdash_c$  “if  $\mathbb{R}$  is a countable union of countable sets, then  $\omega_1 \overset{\sim}{\not\prec} \omega 2$ ”.

(Hints:

(a) notice that since  $\mathbf{ZF}$  proves  $\mathbb{R} \simeq {}^\omega(\omega 2)$ , the assumption “if  $\mathbb{R}$  is a countable union of countable sets...” is equivalent to “if  ${}^\omega(\omega 2)$  is a countable union of countable sets...”

(b) Assume towards a contradiction that  ${}^\omega(\omega 2) = \bigcup_{n < \omega} G_n$ , where  $(G_n)_{n \in \omega}$  is some family of countable sets, and there also exists some mapping  $f : \omega_1 \xrightarrow{1-1} \omega 2$ .

(c) Show that  $H_n = \{s \in \omega 2 \mid \exists S \in G_n \exists k < \omega S(k) = s\}$  satisfies  $H_n \overset{\sim}{\prec} \omega$ .

(d) Define  $h : \omega \rightarrow \omega 2$  by  $h(n) = f(\alpha_n)$  where

$$\alpha_n = \min\{\alpha \in \omega_1 \mid f(\alpha) \notin H_n\}.$$

(e) Show that  $h \in {}^\omega(\omega 2) = \bigcup_{n < \omega} G_n$  leads to a contradiction.)

2.  $\mathbf{ZF} \vdash_c$  “if  $\mathbb{R}$  is a countable union of countable sets, then there exists some partition  $\mathcal{R}$  of  $\mathbb{R}$  such that  $\mathbb{R} \overset{\sim}{\prec} \mathcal{R}$  but  $\mathcal{R} \overset{\sim}{\not\prec} \mathbb{R}$ ”.

(Hints:

(a) notice that the statement  $\mathcal{R} \overset{\sim}{\not\prec} \mathbb{R}$  and  $\mathbb{R} \overset{\sim}{\prec} \mathcal{R}$  is equivalent to the existence of some partition  $\mathcal{C}$  of  $\omega 2$  such that  $\omega 2 \overset{\sim}{\prec} \mathcal{C}$  and  $\mathcal{C} \overset{\sim}{\not\prec} \omega 2$ .

(b) make use of  $\omega 2 \cup \omega_1 \overset{\text{onto}}{\underset{\sim}{\prec}} \omega 2$  and take any  $f : \omega 2 \xrightarrow{\text{onto}} \omega 2 \cup \omega_1$  to form the partition

$$\mathcal{C} = \left\{ \{s \in \omega 2 \mid f(s) = x\} \mid x \in \omega 2 \cup \omega_1 \right\} = \left\{ f^{-1}[\{x\}] \mid x \in \omega 2 \cup \omega_1 \right\}.$$

i. Show directly that  $\omega 2 \overset{\sim}{\prec} \mathcal{C}$ .

ii. Show that  $\mathcal{C} \overset{\sim}{\prec} \omega 2$  leads to a contradiction.)