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Industrial Process  
and Energy Systems  
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# ENV-421






## Energy Technologies

**Jonas SCHNDIRIG**

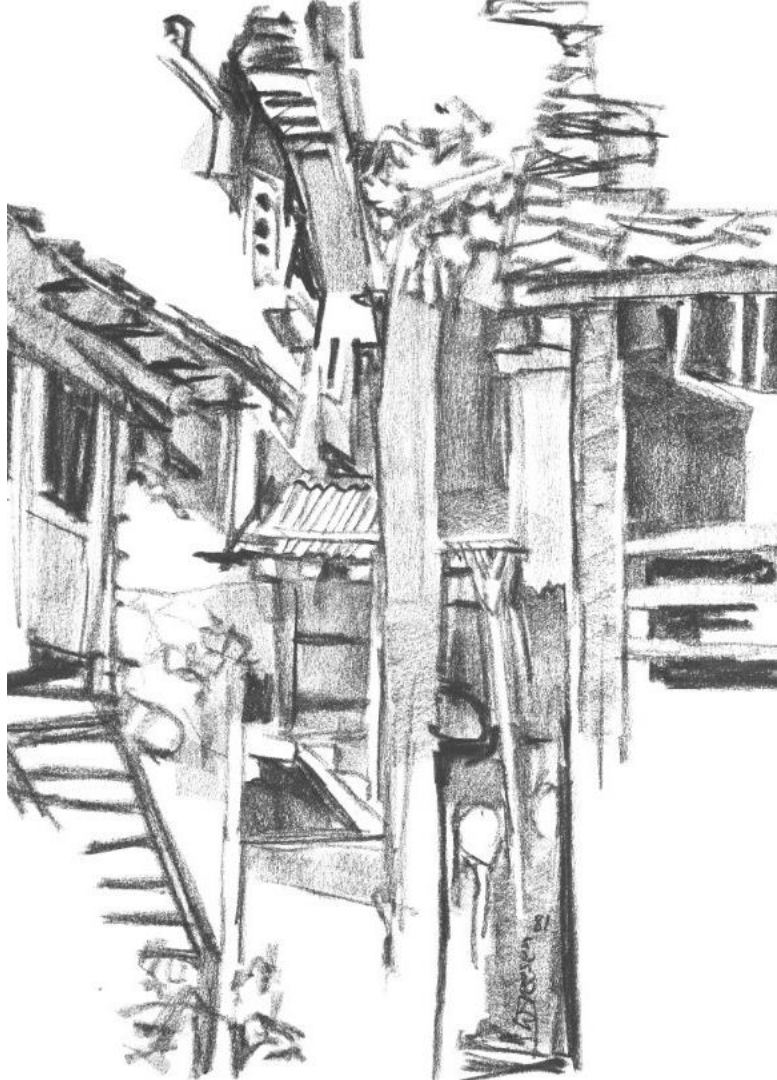
**Week 4**

# This week

## Agenda

	Week 2 	Week 4 	Week 7 	Week 9 
Lectures	Energy System Fundamentals	Energy Conversion Technologies	Technologies' Impacts	Climate Impact on Energies
Applications & Exercises	The Swiss Energy System Evolution & Perspectives	Efficiencies & Classification	Conference <i>Is it all about renewable energies?</i>  Closing the Balance & Defining Compromises	Powerplay Game
Project: Addressing Contemporary Challenges to the Swiss system Energy-independent and carbon-neutral Switzerland 2050 				





## A G E N D A

# Overview of Energy Technologies

Heat Cycles

Emerging Technologies

Infrastructure



# Overview of Technologies

## What is an Energy Conversion Technology?

**Energy conversion technology** refers to any system that **converts energy** from **one form to another**. Energy comes in different forms, including heat, work and motion. Moreover, energy can be in the form of nuclear, chemical, elastic, gravitational, or radiant energy. All of these can be converted into useful energy (...).

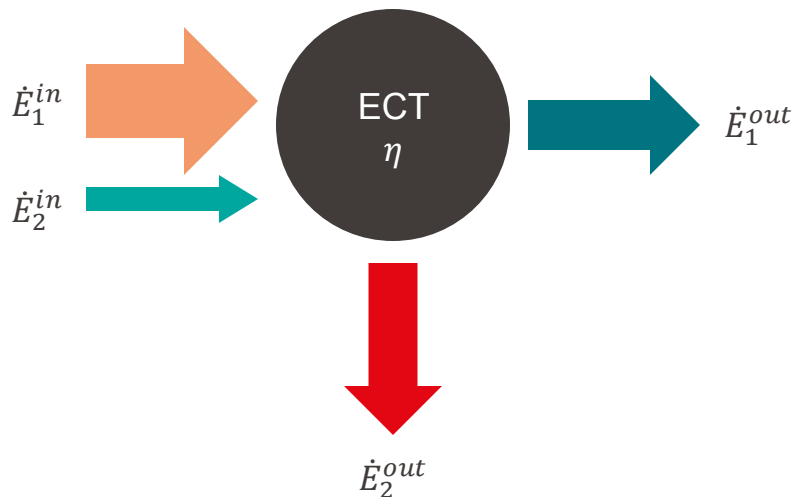
*R. Wolfson, "Electricity" in Energy, Environment, and Climate, 2nd ed., New York, NY: W.W. Norton & Company, 2012, ch. 11, sec. 1, pp. 292*



# Overview of Technologies

## What is an Energy Conversion Technology? – 1<sup>st</sup> law

**Energy conversion technology** refers to any system that **converts energy** from one form to another. Energy comes in different forms, including heat, work and motion.



- Energy Balance

$$\sum_{in} \dot{E}_{in} = \sum_{out} \dot{E}_{out}$$

$$\sum_{in} \dot{Q}_{in} + \dot{W}_{in} + \dot{m}_{in} h_{in} = \sum_{out} \dot{Q}_{out} + \dot{W}_{out} + \dot{m}_{out} h_{out}$$

- Mass Balance

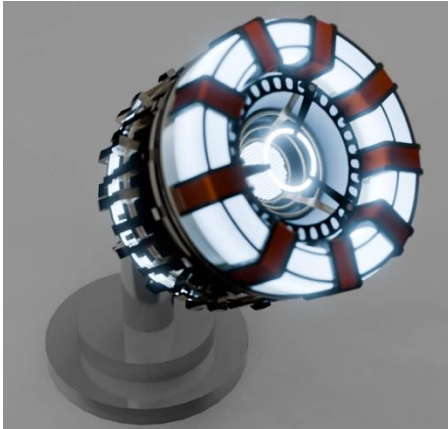
$$\sum_{in} \dot{m}_{in} = \sum_{out} \dot{m}_{out}$$

- First law efficiency

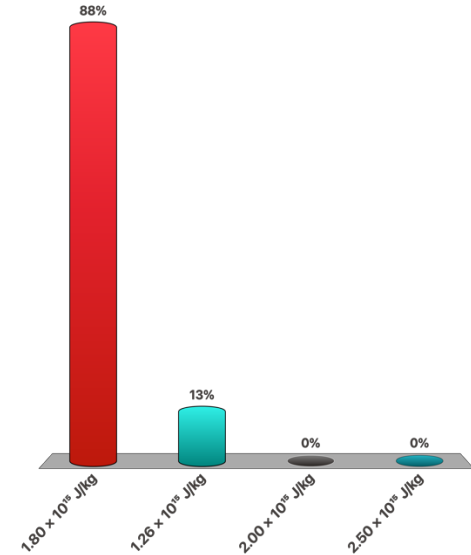
$$\eta = \frac{\text{useful Energy}}{\text{required Energy}} = \frac{\dot{E}_1^{out}}{\sum_{in} \dot{E}_{in}}$$



Tony Stark's Iron Man suit is designed to fly continuously for 100 years using its chest arc reactor. The reactor holds only 5 kg of an ultra-dense fuel. In flight mode, the suit draws a constant power of 2 MW, but due to conversion losses, only 70% of the fuel's energy is converted into useful work. Using the first law of thermodynamics and considering mass conservation, what minimum energy density must the fuel have (in J/kg) to support this operation?



- A.  $1.80 \times 10^{15} \text{ J/kg}$
- B.  $1.26 \times 10^{15} \text{ J/kg}$
- C.  $2.00 \times 10^{15} \text{ J/kg}$
- D.  $2.50 \times 10^{15} \text{ J/kg}$



Tony Stark's Iron Man suit is designed to fly continuously for 100 years using its chest arc reactor. The reactor holds only 5 kg of an ultra-dense fuel. In flight mode, the suit draws a constant power of 2 MW, but due to conversion losses, only 70% of the fuel's energy is converted into useful work. Using the first law of thermodynamics and considering mass conservation, what minimum energy density must the fuel have (in J/kg) to support this operation?

### 1. Total Energy Requirement (Useful Energy):

- Operation time for 100 years:
- $t = 100 \times 3.156 \times 10^7 \text{ s} \approx 3.156 \times 10^9 \text{ s}$
- Useful energy needed (given 2 MW power draw):
- $E_{\text{useful}} = P \cdot t = 2 \times 10^6 \times 3.156 \times 10^9 = 6.312 \times 10^{15}$

### 2. Energy Balance & Efficiency:

- The fuel must supply extra energy to account for the 30% losses. Thus, the total fuel energy  $E_{\text{fuel}}$  required is:
- $E_{\text{fuel}} = \frac{E_{\text{useful}}}{\eta} = \frac{6.312 \cdot 10^{15}}{0.70} \approx 9.017 \times 10^{15} \text{ J}$

### 3. Energy Density Calculation:

- With 5 kg of fuel available, the energy density ( $\varepsilon$ ) must be:

$$E = mc^2$$

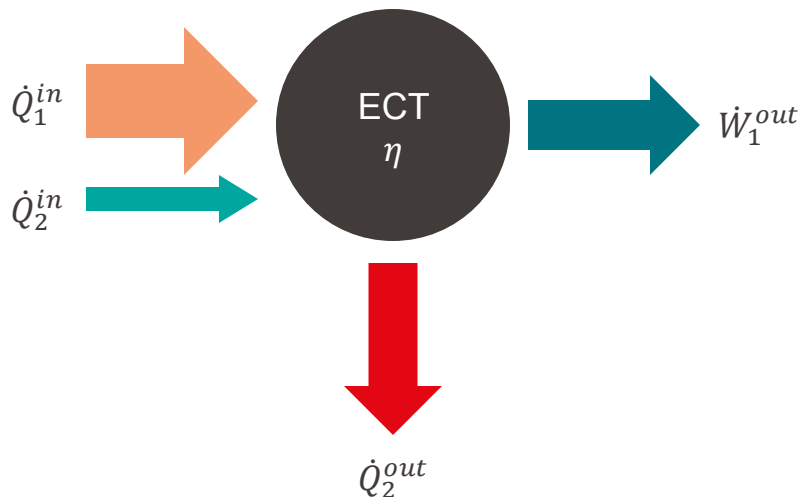
- $\varepsilon = \frac{E_{\text{fuel}}}{m} = \frac{9.017 \times 10^{15} \text{ J}}{5 \text{ kg}} \approx 1.8034 \times 10^{15} \frac{\text{J}}{\text{kg}}$

$$e = \frac{E}{m} = c^2 = 9 \cdot 10^{16} \frac{\text{J}}{\text{kg}}$$

# Overview of Technologies

## What is an Energy Conversion Technology? – 2<sup>nd</sup> law

**Energy conversion technology** refers to any system that **converts energy** from one form to another. Energy comes in different forms, including heat (Q), work (W) and others.



- (Ir)reversibility

$$\delta S^i \geq 0$$

*The variation of entropy ( $\delta S^i$ ) of any thermodynamic system (i), caused by internal processes, can only be positive (irreversible process) or null (reversible process)*

- Clausius

$$\oint \frac{\delta Q}{T} \leq 0$$

*The First Law of Thermodynamics states that heat can be transformed into work, and work into heat through a cyclical process. However, as heat can flow naturally only from a hot to a cold reservoir, heat is naturally **lost** to the environment in a cycle*

- Kelvin-Planck

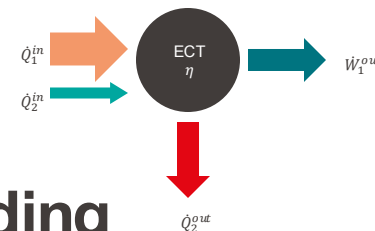
*It is impossible to build a machine operating with a cycle whose only effect is to convert a given quantity of thermal energy into an equal quantity of mechanical work*



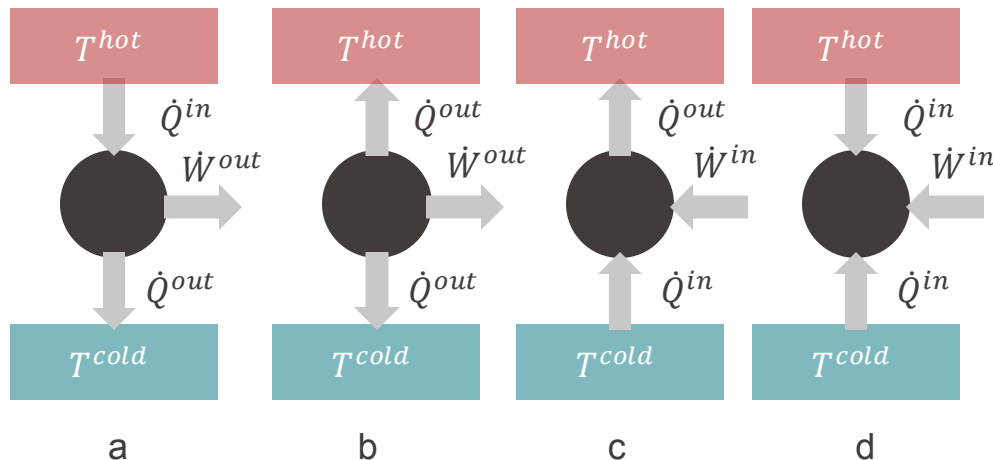


# Overview of Technologies

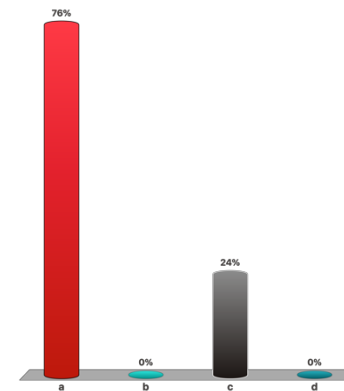
## What is an Energy Conversion Technology? – 2<sup>nd</sup> law



Which of these conversions is feasible according to the 1<sup>st</sup> and 2<sup>nd</sup> law?



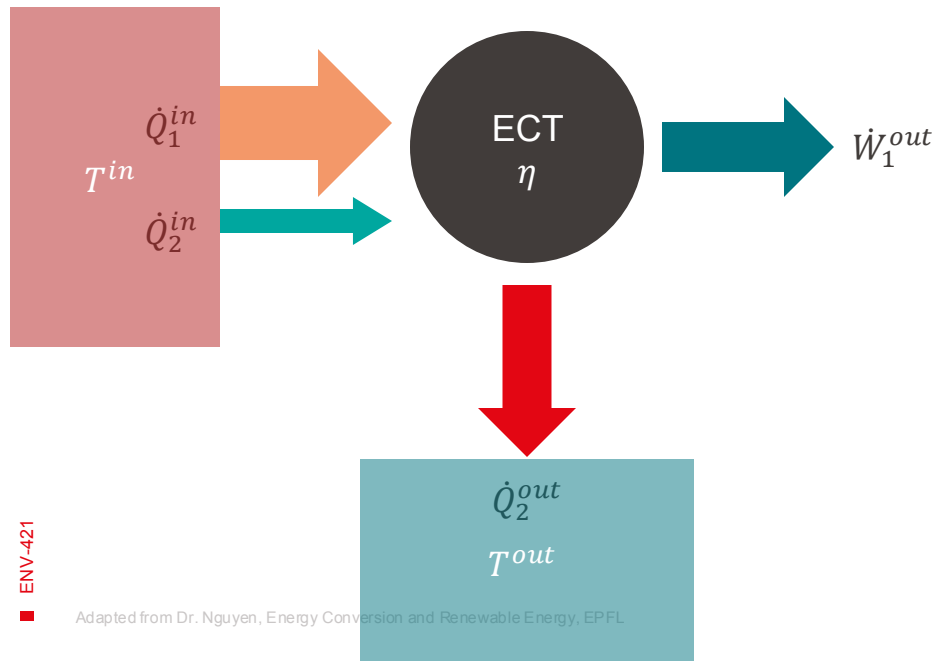
- A. a  
B. b  
C. c  
D. d



# Overview of Technologies

## What is an Energy Conversion Technology? – 2<sup>nd</sup> law

**Energy conversion technology** refers to any system that **converts energy** from one form to another. Energy comes in different forms, including heat (Q), work (W) and others.



- Carnot

*It is not possible to build a machine operating between two given heat sources (at different temperatures) with an efficiency higher than the efficiency of a reversible cycle operating between the same two heat sources*

- Carnot efficiency (Carnot factor)  $\theta_c$

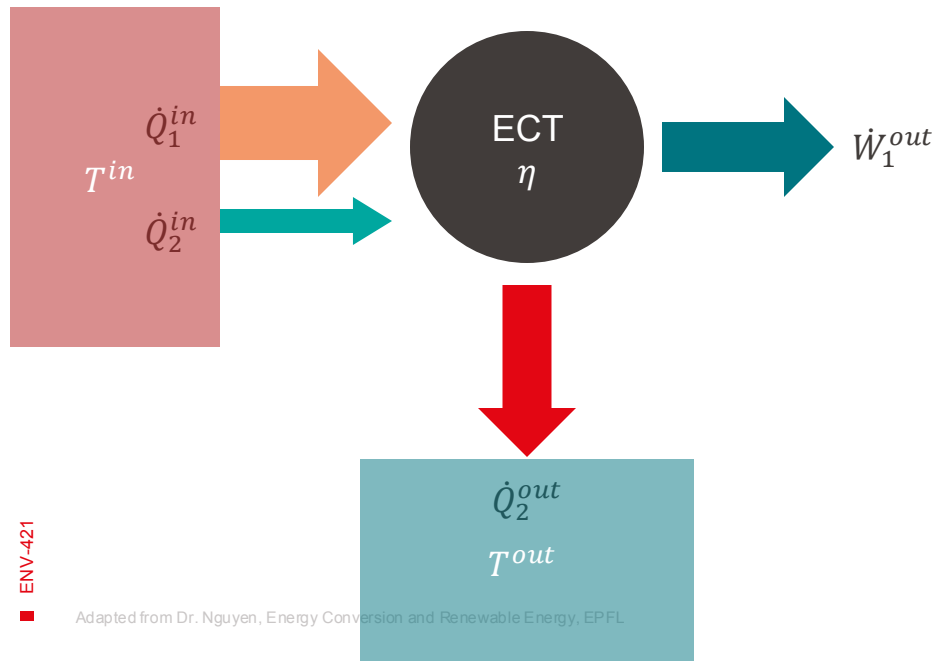
$$\begin{aligned} \eta_{ideal} &= \frac{\text{what you can get at most}}{\text{what you have to pay}} \\ &= \frac{\dot{W}_1^{out, max}}{\dot{Q}_1^{in} + \dot{Q}_2^{in}} \\ &= 1 - \frac{\dot{Q}_2^{out}}{\dot{Q}_1^{in} + \dot{Q}_2^{in}} = 1 - \frac{T^{out}}{T^{in}} = \theta_c \end{aligned}$$



# Overview of Technologies

## What is an Energy Conversion Technology? – 2<sup>nd</sup> law

**Energy conversion technology** refers to any system that **converts energy** from one form to another. Energy comes in different forms, including heat (Q), work (W) and others.

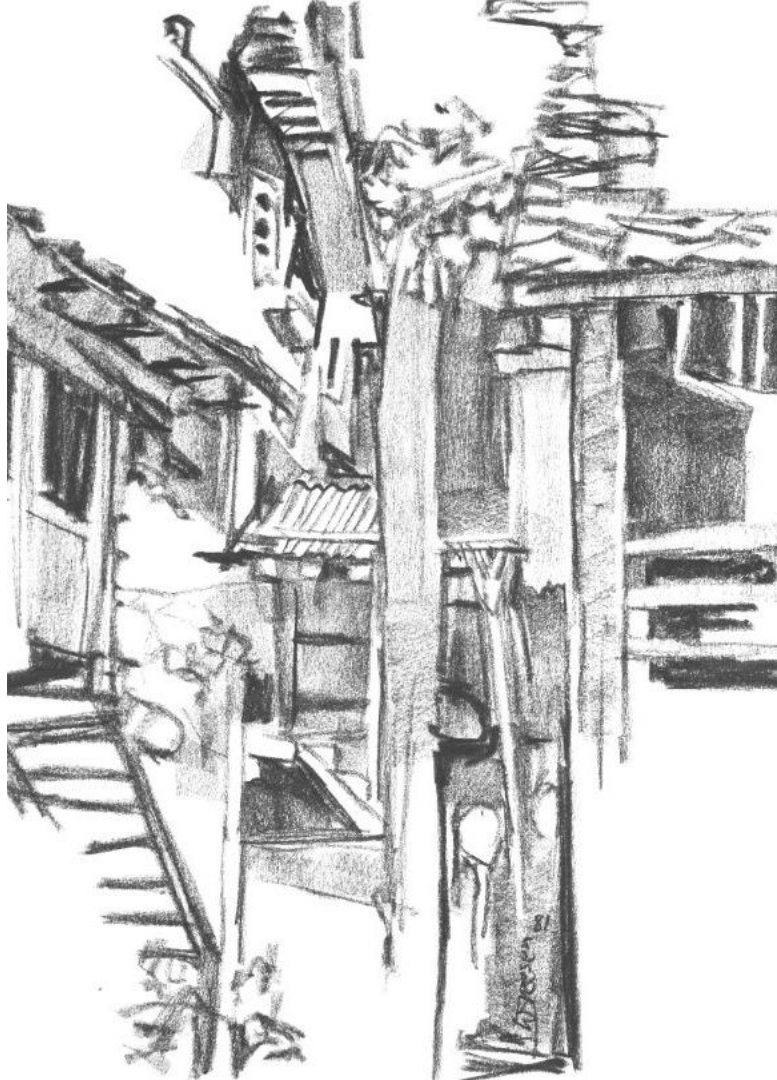


### 2<sup>nd</sup> law efficiency $\epsilon$

The second-law efficiency ( $\epsilon$ ) compares the actual performance of the process ( $\eta$ ) compared to the maximum possible efficiency ( $\theta_c$ ) it could achieve, if reversible.

$$\begin{aligned}\epsilon &= \frac{\text{what you really get}}{\text{what you can get at most}} \\ &= \frac{\dot{W}_1^{\text{out}}}{\dot{W}_1^{\text{out},\text{max}}} = \frac{\dot{W}_1^{\text{out}}}{(\dot{Q}_1^{\text{in}} + \dot{Q}_2^{\text{in}}) \cdot \theta_c} \\ &= \frac{\eta}{\theta_c}\end{aligned}$$





## A G E N D A

Overview of Energy Technologies

# Conventional Technologies

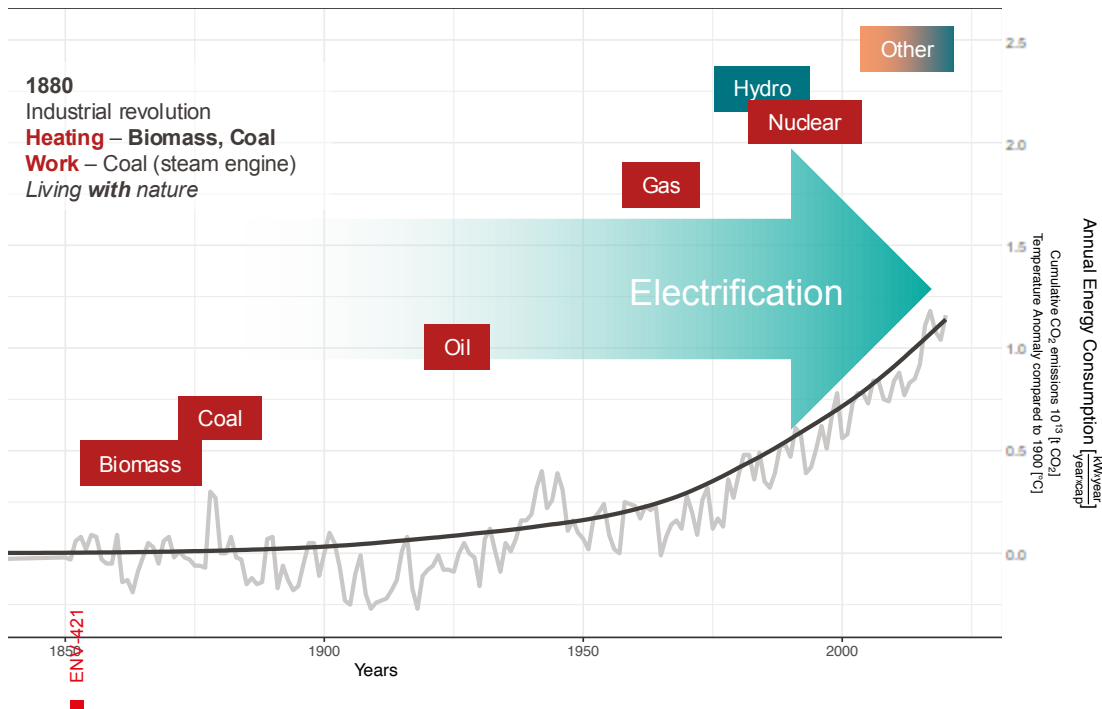
Emerging Technologies

Infrastructure

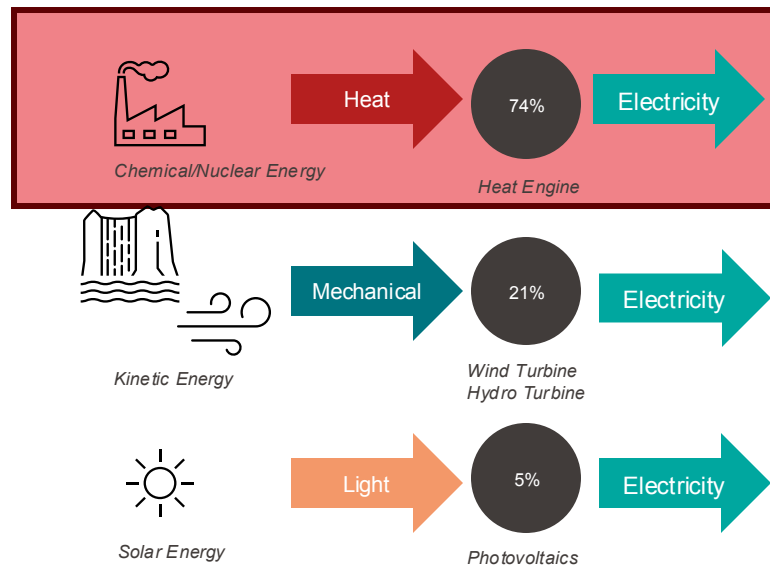


# Conventional Technologies

## Rankine Cycles - Heat to Electricity

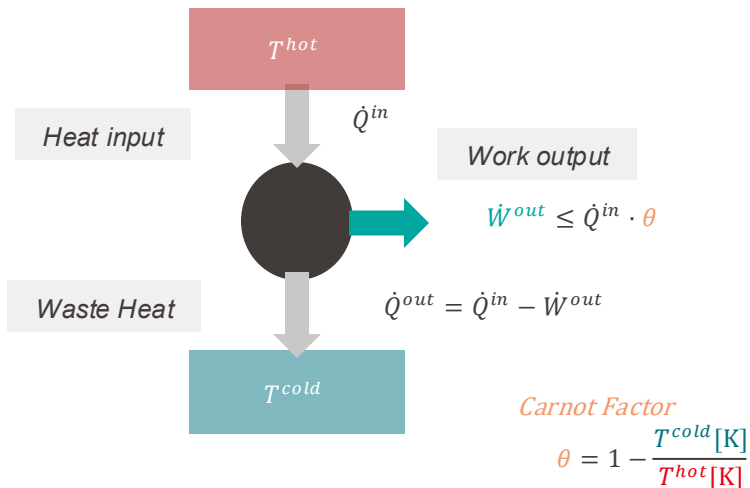


## Electricity production 2020



# Conventional Technologies

## Heat to Work



- **Definition:**  
Heat-to-power engines are systems that convert thermal energy from a heat source into mechanical work or electricity through thermal cycles.
- **Principle:**  
Transferring energy from a **high-temperature** fuel source to a working fluid that expands and produces **work**, then **rejecting waste heat at a lower temperature**. The efficiency is fundamentally **limited by the Carnot factor**, which depends on the temperature difference between the heat source and the waste heat sink.
- **Key Performance Metrics:**

Electrical Efficiency  $\eta_{el} = \frac{W}{Q_{in}} \leq \theta$  (35-60%).

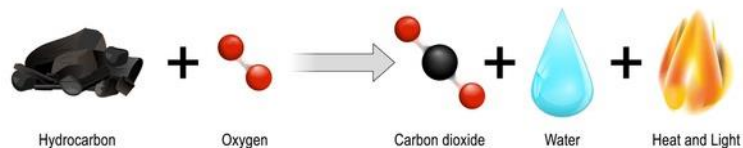
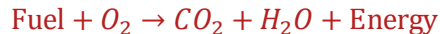
Carnot Factor  $\theta = 1 - \frac{T^{cold}[K]}{T^{hot}[K]}$



# Conventional Technologies

## Heating – Combustion

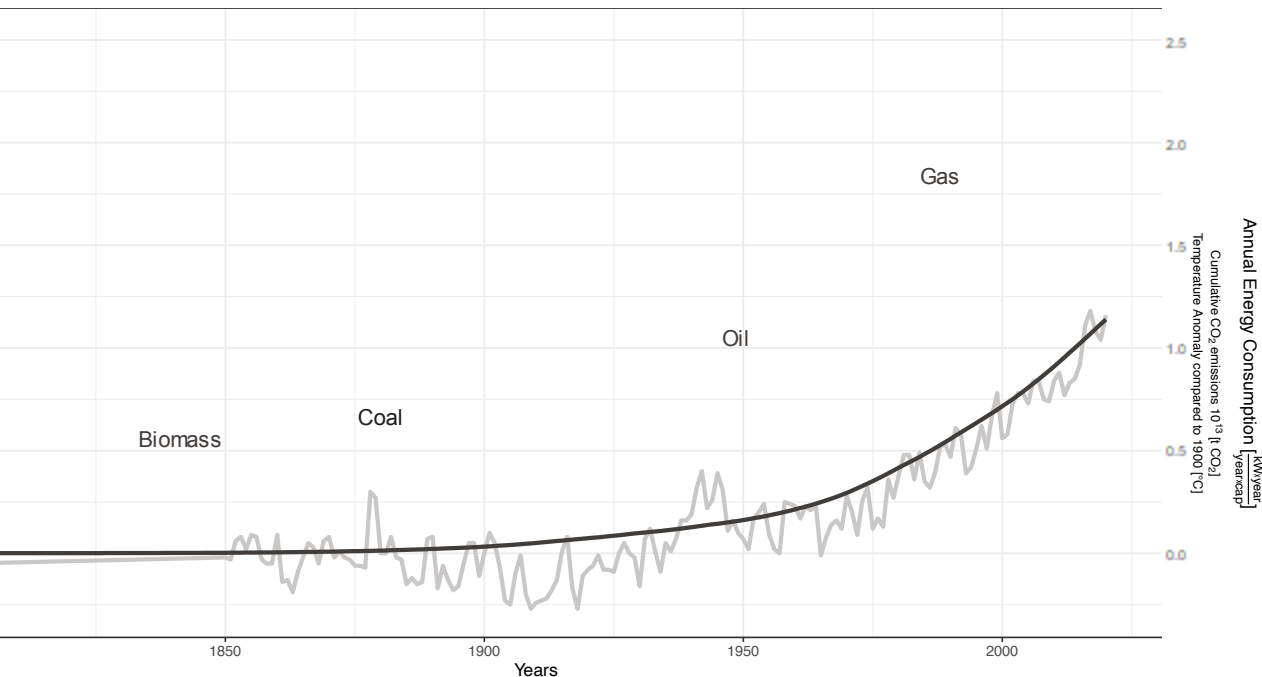
*A chemical reaction where a fuel reacts with an oxidizer (typically oxygen) to produce heat and light.*



- Key Components
  - **Fuel:** Hydrocarbons (e.g., biomass, coal, oil, natural gas)
  - **Oxidizer:** Typically, atmospheric oxygen ( $\text{O}_2$ )
  - **Heat:** Initiates and sustains the reaction
- Products of Combustion
  - **Primary:** Carbon dioxide ( $\text{CO}_2$ ), Water vapor ( $\text{H}_2\text{O}$ )
  - **Byproducts:**
    - **Complete Combustion:**  
Only  $\text{CO}_2$  and  $\text{H}_2\text{O}$
    - **Incomplete Combustion:**  
Carbon monoxide ( $\text{CO}$ ), Soot ( $\text{C}$ ), other hydrocarbons ( $\text{H}_x\text{C}_y$ )
- Combustion Types
  - Complete Combustion:  
Sufficient oxygen leads to maximum energy release
  - Incomplete Combustion:  
Limited oxygen results in lower energy efficiency and pollutant formation
- Importance in Heat Production
  - Energy Conversion: Core mechanism in heating systems, power plants, and engines
  - Versatility: Applicable across various fuel types and technologies

# Conventional Technologies

## Heating – Fuel usage



### ■ Biomass

- Era: Prehistoric to Early Industrial
- Sources: Wood, agricultural residues
- Uses: Heating homes, cooking, early industry

### ■ Coal (solid)

- Era: Industrial Revolution (18th-19th Century)
- Origins: Geologically transformed plant matter
- Uses: Powering steam engines, electricity generation, industrial processes

### ■ Liquid Fuels (Oil)

- Era: Late 19th Century to Present
- Origins: Fossilized marine organisms
- Uses: Transportation (cars, ships), heating, petrochemicals

### ■ Natural Gas (gaseous)

- Era: 20th Century to Present
- Origins: Associated with oil deposits, microbial activity
- Uses: Residential/commercial heating, electricity generation, industrial applications

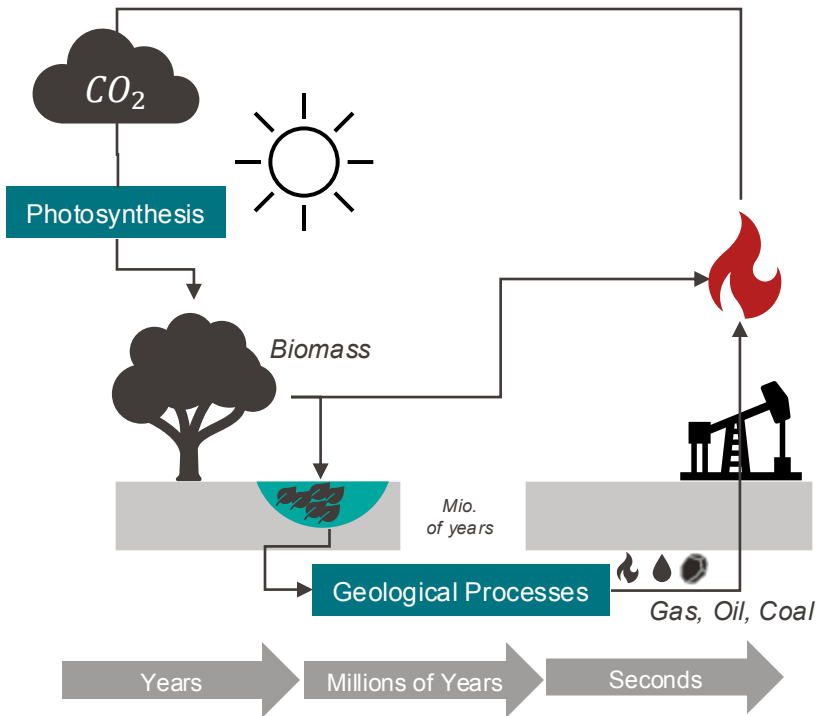




# Conventional Technologies

## Heating – Origin of Fuels

Resource	State	Composition C-H-O [%]			Energy	Formation
Biomass	Solid	40-50	5-6	40-45	15-20 MJ/kg	Annually
Natural Gas	Gaseous	75	25	-	55 MJ/kg	Millions of years
Oil	Liquid	85-87	13-15	<1	43 MJ/kg	Millions of years
Coal	Solid	60-90	3-5	5-15	15-30 MJ	Millions of years



- **Atmospheric CO<sub>2</sub>**
  - Source: Carbon dioxide present in the Earth's atmosphere
  - Role: Fundamental carbon source for all photosynthetic life
- **Photosynthesis**
  - Process:
    - Plants absorb CO<sub>2</sub> and sunlight to produce glucose and oxygen
    - $6CO_2 + 6H_2O + \text{Light Energy} \rightarrow C_6H_{12}O_6 + 6O_2$
  - Outcome: Accumulation of biomass (plants, trees)
- **Biomass Accumulation**
  - Formation: Dead plant material accumulates in environments like forests, swamps, and wetlands
  - Characteristics: High in carbon content, rich organic material
- **Geological Processes**
  - Transformation:
    - Heat & Pressure: Over millions of years, buried biomass undergoes chemical and physical changes
    - Timeframe: Tens to hundreds of millions of years
  - Result: Formation of fossil fuels
- **Formation of Fossil Fuels**
  - Coal:
    - Origin: Terrestrial plant material
    - Types: Lignite → Bituminous → Anthracite (increasing carbon content)
  - Oil & Natural Gas:
    - Origin: Marine microorganisms (plankton, algae)
    - Process: Oil forms from liquid hydrocarbons; natural gas from gaseous hydrocarbons
- **Characteristics:**
  - Energy Density: Higher than original biomass
  - State: Solid (coal), Liquid (oil), Gas (natural gas)

# Conventional Technologies

## Power Cycles – Carnot (maximum)



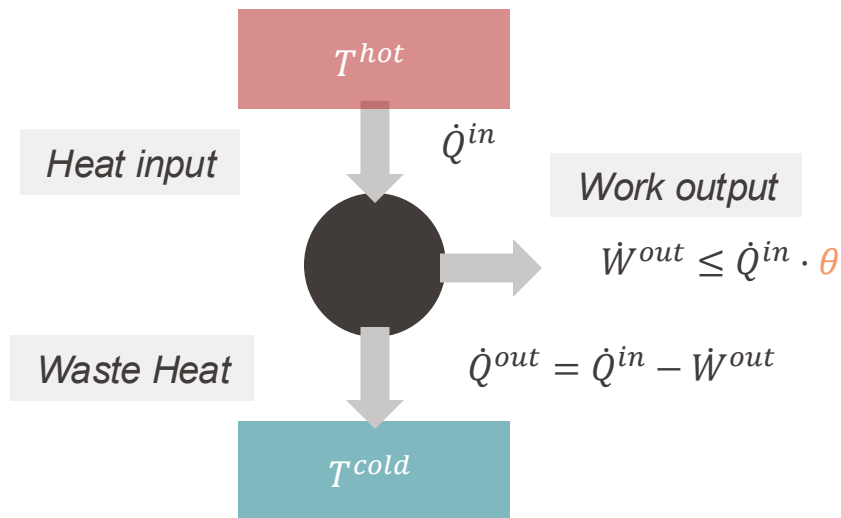
Chemical/Nuclear Energy

Heat



Heat Engine

Electricity



$$\theta = 1 - \frac{T^{cold}[K]}{T^{hot}[K]}$$



# Conventional Technologies

## Power Cycles – Rankine cycle

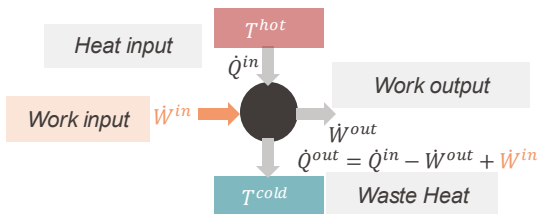


Chemical/Nuclear Energy

Heat

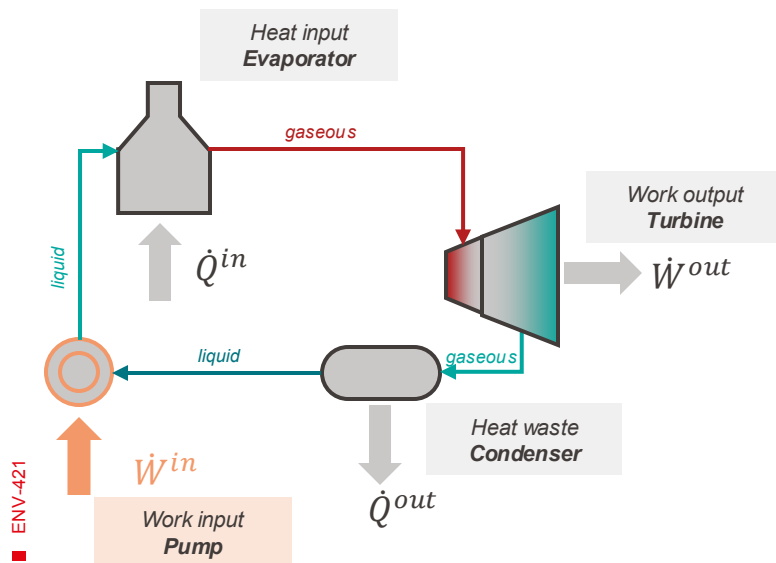
Heat Engine

Electricity



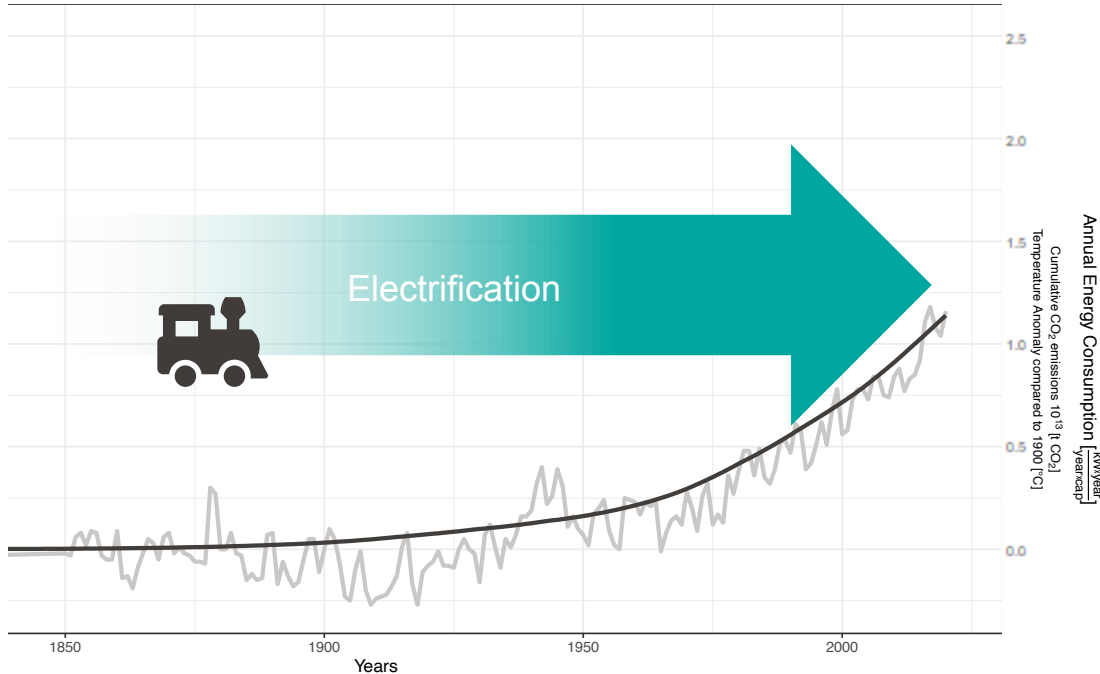
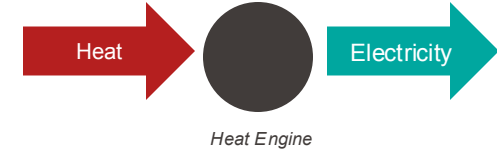
- Most used Power Cycle
- Working fluid passes through phase change (gaseous – liquid)
- 4 Steps

1. Evaporation
  - Heat input
  - Furnace
2. Expansion
  - Work output
  - Turbine
3. Condenser
  - Heat waste
  - Heat exchanger
4. Pumping
  - Work input
  - Pump



# Conventional Technologies

## Power Cycles – Rankine Cycles - **Coal**

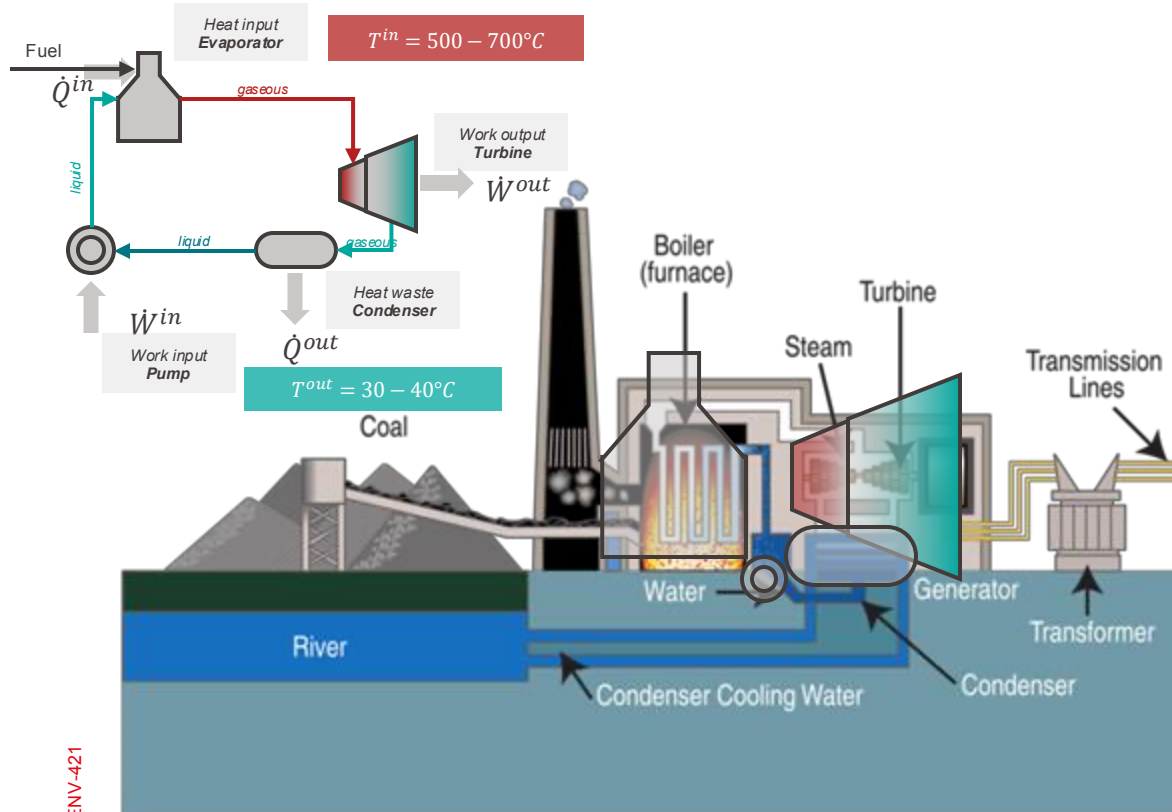
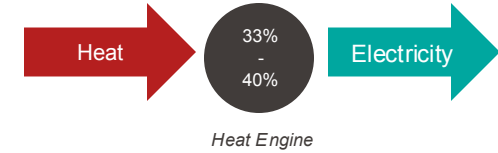


- Coal as fuel for heat
- ~40% of electricity production
  - South-Africa 94%
  - China & India 70-75%
- 9 kt/day coal for 1GW
- Operation
  - Extraction/Mining
  - Unloading
  - Pulverization
  - Combustion



# Conventional Technologies

## Power Cycles – Rankine Cycles - Coal

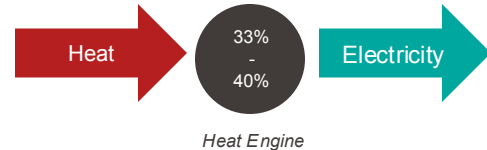


- Rankine Cycle
  - Evaporation
  - Expansion
  - Condensation
  - Pumping



# Conventional Technologies

## Power Cycles – Rankine Cycles - Coal



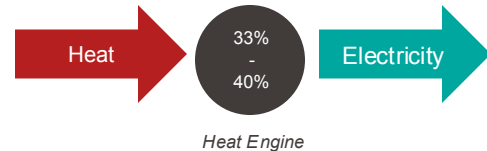
Discuss in groups what advantages/challenges are being faced with coal power-plants?

PRO: CO-MINING FOR OTHER SRUFF (BÉTON), CONTRO: ECOSYSTEM DISTRUPTION  
 POLLUTION  
 LOW COST BUT COULD CAUSE SEVERE POLLUTION  
 EASILY SCALED UP  
 NO INTERMITTENCY  
 COST  
 IMPURITIES  
 RIVER HEATING  
 ECONOMICALLY ADVANTAGEOUS / ECOSYSTEMS POLLUTION  
 EFFICIENCY ISSUES  
 LOW COST, GHG EMISSIONS  
 BIG INFRASTRUCTURES



# Conventional Technologies

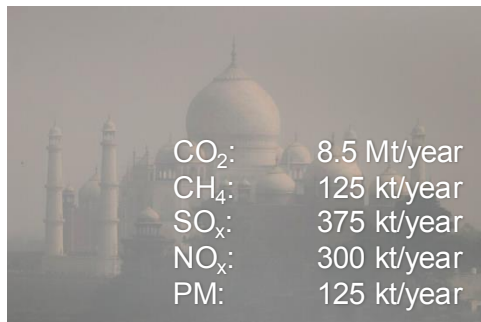
## Power Cycles – Rankine Cycles - Coal



- *The impact of 1GW coal power plant*



Garzweiler coal mine on April 22  
© Wikimedia Commons: Sean Allup 2012



Insumen to od T aj Maha  
© Wikimedia Commons: Buzbuone 2019



Australian National Railways 180-car train assembling on the 1.6 km long freight railway line from Leigh Creek coalfield and Port Augusta, South Australia  
© Wikimedia Commons: Australian National Railways Commission 1987



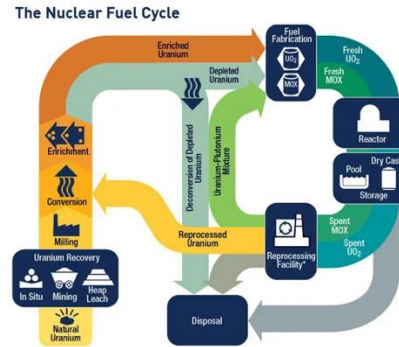
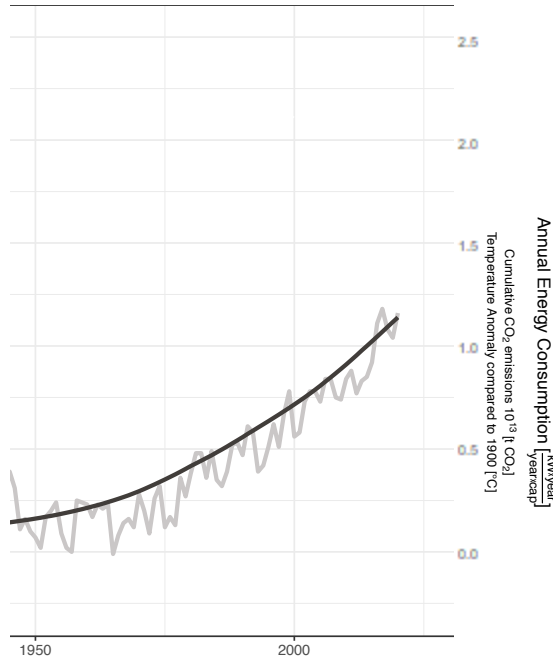
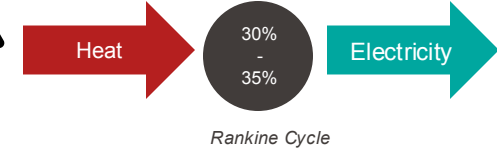
Coal mines, such as this one near Bowen, use water for everything, from equipment cooling to dust management. A key challenge for the industry is to maintain mine water storage at an optimal level according to the global average in 2020.  
© Wikimedia Commons: CSIRO 2009

### Sources

1. Global Coal Plant Tracker – Global Energy Monitor
2. International Energy Agency (IEA) Reports – IEA.org
3. World Health Organization (WHO) Air Pollution Data – WHO.int
4. United Nations Environment Programme (UNEP) Water Reports – UNEP.org
5. Global Carbon Project – GlobalCarbonProject.org
6. Energy Information Administration (EIA) Statistics – EIA.gov

# Conventional Technologies

## Power Cycles – Rankine Cycles – Nuclear Fission



\* Reprocessing of spent nuclear fuel, including mixed-oxide (MOX) fuel, is not practiced in the United States.  
Note: The NRC has no regulatory role in mining uranium.  
As of January 2019



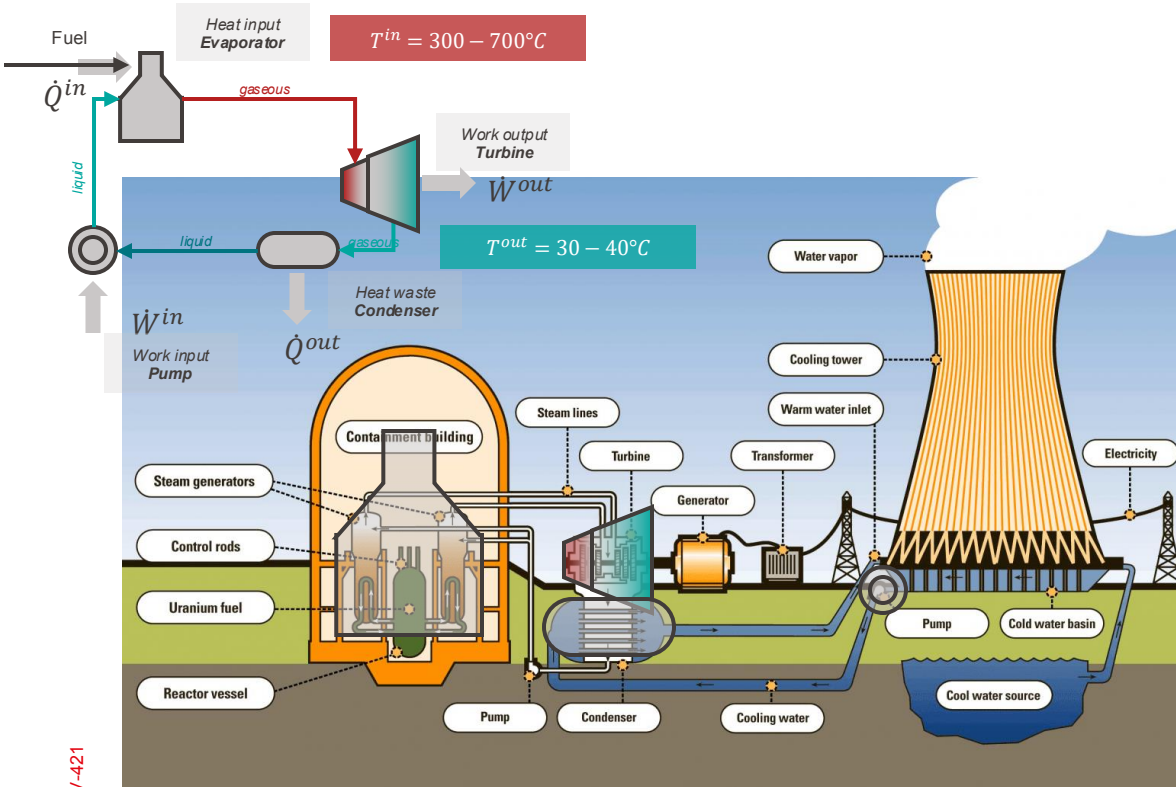
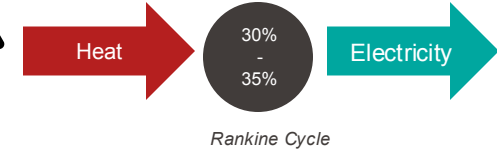
- Nuclear as Fuel for Heat
- ~10% of electricity production globally
  - France: 70%
  - USA: 20%
  - China: 10%
- 200 kg of enriched uranium per year for 1 GW
- Operation
  - Recovery/Extraction/Mining
  - Enrichment
  - Reaction
  - Reprocessing
  - Disposal





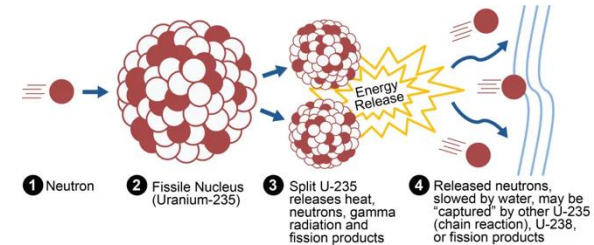
# Conventional Technologies

## Power Cycles – Rankine Cycles – Nuclear Fission



### Rankine Cycle

- Evaporation
- Expansion
- Condensation
- Pumping



Fission of Uranium-235 in a Nuclear Reactor  
©UMICH 2020

# Conventional Technologies

## Power Cycles – Rankine Cycles – **Nuclear Fission**

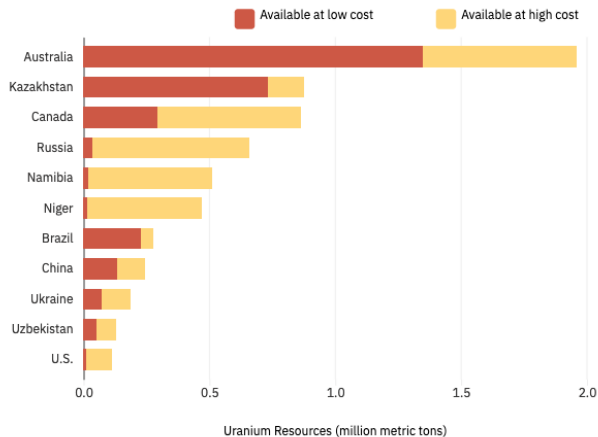


Discuss in groups what advantages/challenges are being faced with nuclear power-plants?



# Conventional Technologies

## Power Cycles – Rankine Cycles – Nuclear Fission



### Largest Uranium Resources

Uranium 2022: Resources, Production, and Demand.

© [OECD](https://www.oecd.org/energy/uranium/)

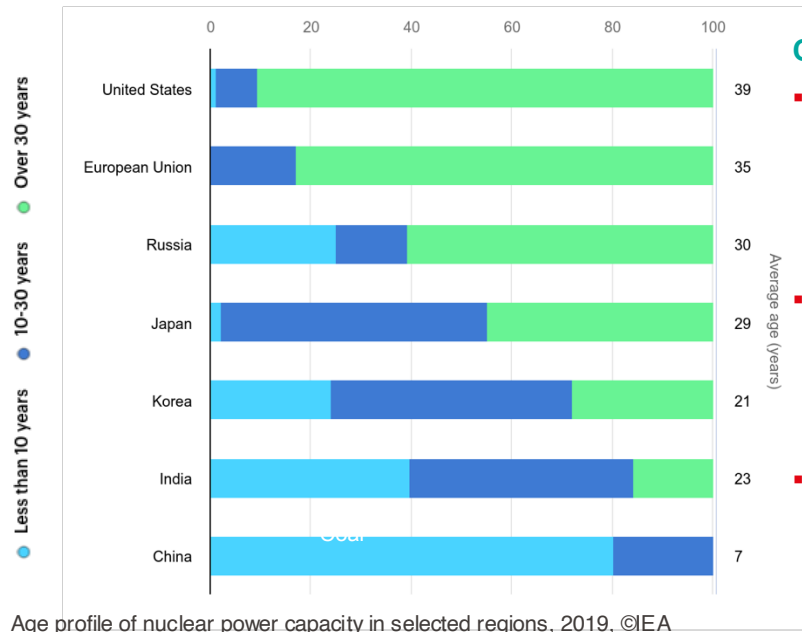
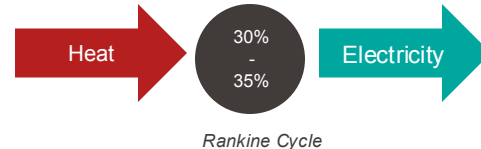
### Advantages

- **Low Greenhouse Gas Emissions**
  - Operational Emissions: Emits no greenhouse gases during electricity generation.
  - CO<sub>2</sub> Avoidance: Has prevented approximately 55 gigatonnes of CO<sub>2</sub> emissions over the past 50 years.
- **High Reliability and Capacity Factor**
  - Capacity Factor: Achieves a 93% capacity factor, the highest among all energy sources.
  - Stable Baseload Power: Provides consistent and reliable electricity supply, essential for grid stability.
- **Efficient Land and Fuel Use**
  - Land Efficiency: Requires significantly less land compared to renewable sources like solar and wind.
  - Fuel Efficiency: A single uranium fuel pellet contains the energy equivalent of one ton of coal or 149 gallons of oil.<sup>2</sup>
- **Contribution to Energy Security**
  - Reduced Import Dependence: Lowers reliance on imported fossil fuels, enhancing national energy security.
  - Complementary to Renewables: Balances the variability of renewable energy sources, supporting a stable energy grid.



# Conventional Technologies

## Power Cycles – Rankine Cycles – Nuclear Fission



### Challenges

- **High Costs and Cost Overruns**
  - Levelized Cost of Energy (LCOE): Approximately twice that of combined cycle natural gas and three times that of utility solar or onshore wind (2024).
  - Construction Overruns: Projects like the Vogtle reactors in Georgia escalated to \$35 billion for 2 GW capacity, 2.5× the projected cost, and were completed 7 years behind schedule.
- **Aging Fleet and Capacity Decline**
  - Fleet Age: Average age of reactors in advanced economies is 35 years.
  - Projected Decline: Without intervention, nuclear capacity could decrease by two-thirds from 280 GW in 2018 to just over 90 GW by 2040.
- **Nuclear Waste Management**
  - Spent Fuel Storage: As of 2021, the U.S. stored 89,178 metric tons of commercial spent fuel across 39 states with no permanent repository.<sup>6</sup>
  - Long-Term Hazards: Spent fuel emits 10,000 rem/hr of radiation ten years after use, necessitating management plans spanning one million years.
- **Limited New Projects and Dependency on Subsidies**
  - Project Initiation: Only two new U.S. nuclear power projects have begun since 1990, both reliant on substantial federal subsidies.
  - Investment Barriers: High upfront costs, long lead times, and risks of delays deter private investment, necessitating government intervention and support.