

# Air Pollution

## ENV-409

Emissions and Control

# Why learn about emissions

- Which sources are responsible for pollutant emissions?
- Forms the basis of our ability to
  - evaluate pollution interventions
  - forecast future air quality and climate scenarios in response to changes in mitigation strategies, technological innovation, or human activity

# Topics

## Primary pollutants

- $\text{SO}_2$ 
  - burning sulfur or material containing sulfur
- $\text{NO}_x$ 
  - burning fuel in air (which contains molecular nitrogen)
- CO
  - incomplete combustion of carbonaceous fuel
- Particulate matter (aerosols)
  - natural and anthropogenic sources

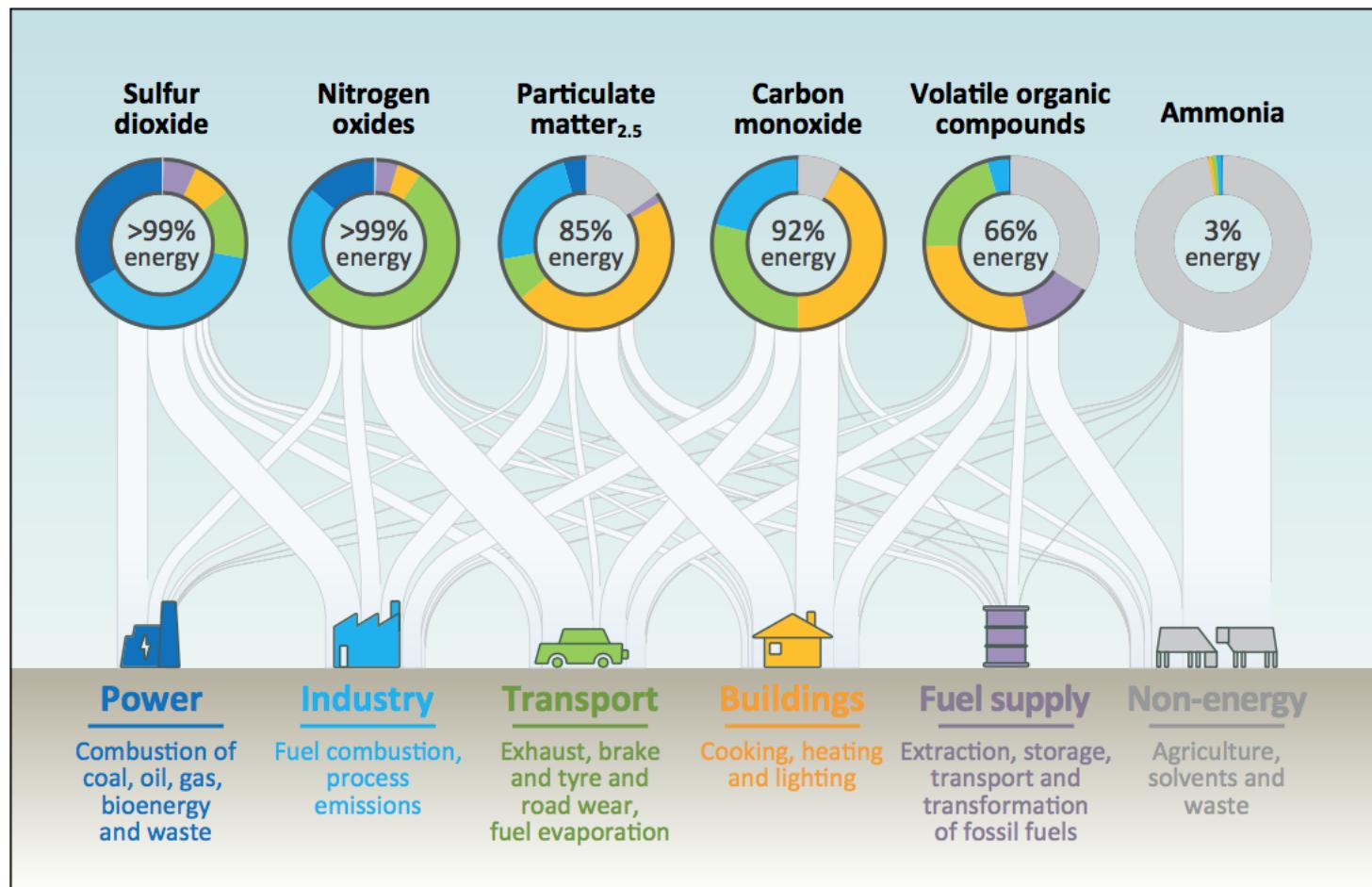
## Precursors for secondary pollutants ( $\text{O}_3$ and PM)

- VOCs (hydrocarbons)
- $\text{NH}_3$

## Greenhouse gases

- $\text{CO}_2$  (not primary pollutant)
  - product of complete combustion
  - many sources (and natural sinks)

**Figure 1.3 ▷ Selected primary air pollutants and their sources, 2015**



# Framework

The emission (rate)  $E$  is often calculated as an emission factor (emission rate per unit)  $e$  multiplied by its activity (number of units)  $A$  for substance  $i$  and source class  $s$  at position  $\mathbf{r}$  and time  $t$ :

$$E_{i,s}(\mathbf{r}, t) = e_{i,s} \times A_s(\mathbf{r}, t)$$

- Sources (source class):
  - Fossil fuel combustion
  - Biogenic emissions
  - Natural: dust, sea spray, volcanic
  - Agricultural
- Emission environment/conditions
- Chemical mechanisms
- Methods for estimating emissions

Examples of possible units for activity ( $A$ ):

- vehicle
- vehicle km traveled
- kg of fuel
- hectare
- powerplant

*(We will consider this equation as a framework, but will discuss emissions qualitatively.)*

# MECHANISMS

# Combustion

High temperature (often in excess of 2000 K) allows

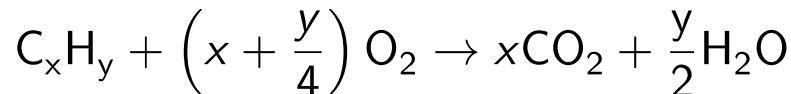
- rapid oxidation of hydrocarbons and carbon monoxide to carbon dioxide and water, but
- also makes it possible to form nitric oxide (NO) from oxidation of molecular nitrogen ( $N_2$ )

Fuel + Oxidant  $\rightarrow$  Combustion products

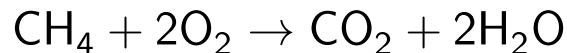
# Carbon monoxide, carbon dioxide, and hydrocarbons from combustion

Produced from complete and incomplete combustion

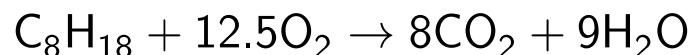
Stoichiometric equation for complete combustion of a hydrocarbon molecule in pure oxygen:



Examples:

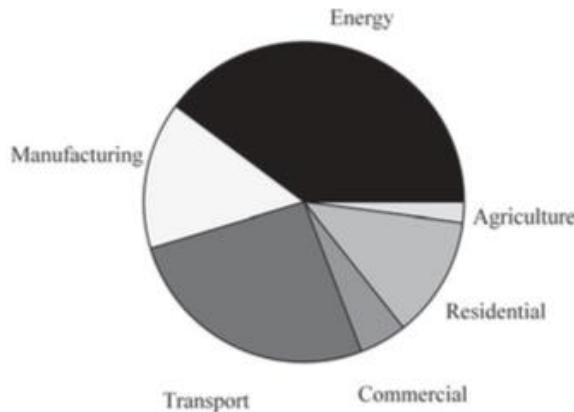


methane



octane

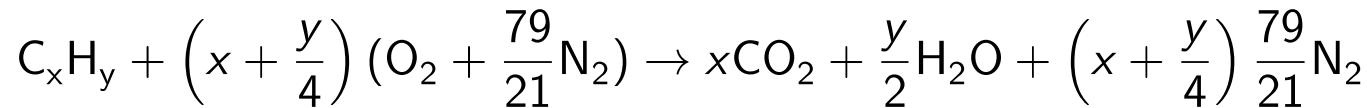
**Fig. 1.1** EU27 CO<sub>2</sub> emissions by sector and mode of transport in 2009 adapted from European Commission (2012a)



Battin-Leclerc et al., 2013

The stoichiometric condition is a logical reference point for comparison of systems operating on different fuels.

Combustion of a hydrocarbon in air:



For every mole of fuel burned,  $(1 + 79/21)(x + y/4)$  of air are required. The molar fuel/air ratio for stoichiometric combustion is  $1/[(1 + 79/21)(x + y/4)]$ .  $(1 + 79/21)(x + y/4) + y/4$  moles of combustion products are generated; the product mole fractions for complete combustion of this hydrocarbon fuel are

$$y_{CO_2} = \frac{x}{(1 + 79/21)(x + y/4) + y/4}$$

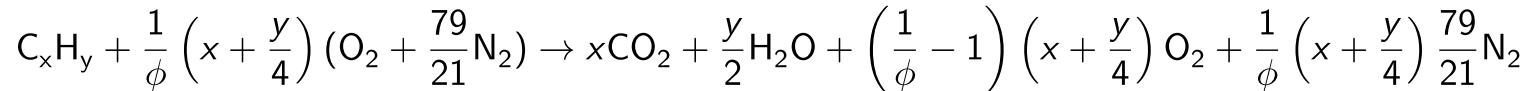
$$y_{H_2O} = \frac{y/2}{(1 + 79/21)(x + y/4) + y/4}$$

$$y_{N_2} = \frac{(79/21)(x + y/4)}{(1 + 79/21)(x + y/4) + y/4}$$

The fuel/air ratio is used to define operating conditions of a combustor; there are several normalized metrics used to characterize this quantity. One common metric is the equivalence ratio, which defines the fuel/air ratio normalized with respect to the stoichiometric fuel/air ratio ( $m$  denotes mass and  $n$  denotes number of moles):

$$\phi = \frac{(m_f/m_a)_{\text{actual}}}{(m_f/m_a)_{\text{stoich}}} = \frac{(n_f/n_a)_{\text{actual}}}{(n_f/n_a)_{\text{stoich}}}$$

Many combustion systems are operated with an excess of air ( $\phi < 1$ ; “fuel-lean” conditions) to ensure complete combustion of the fuel.



For every mole of fuel burned,  $(1/\phi)(1 + 79/21)(x + y/4)$  of air are required. The molar fuel/air ratio for stoichiometric combustion is  $1/[(1/\phi)(1 + 79/21)(x + y/4)]$ .  $(1/\phi)(1 + 79/21)(x + y/4) + y/4$  moles of combustion products are generated; the product mole fractions (including oxygen) for complete combustion of this hydrocarbon fuel are

$$y_{\text{CO}_2} = \frac{x}{(1/\phi)(1 + 79/21)(x + y/4) + y/4}$$

$$y_{\text{H}_2\text{O}} = \frac{y/2}{(1/\phi)(1 + 79/21)(x + y/4) + y/4}$$

$$y_{\text{N}_2} = \frac{(1/\phi)(79/21)(x + y/4)}{(1/\phi)(1 + 79/21)(x + y/4) + y/4}$$

$$y_{\text{O}_2} = \frac{(1/\phi) - 1}{(1/\phi)(1 + 79/21)(x + y/4) + y/4}$$

The composition of products in fuel-lean combustion can be approximated by element balances. Under “fuel-rich” conditions ( $\phi > 1$ ), unburnt hydrocarbons remain in the products, and additional thermodynamic or kinetic constraints determine exhaust composition.

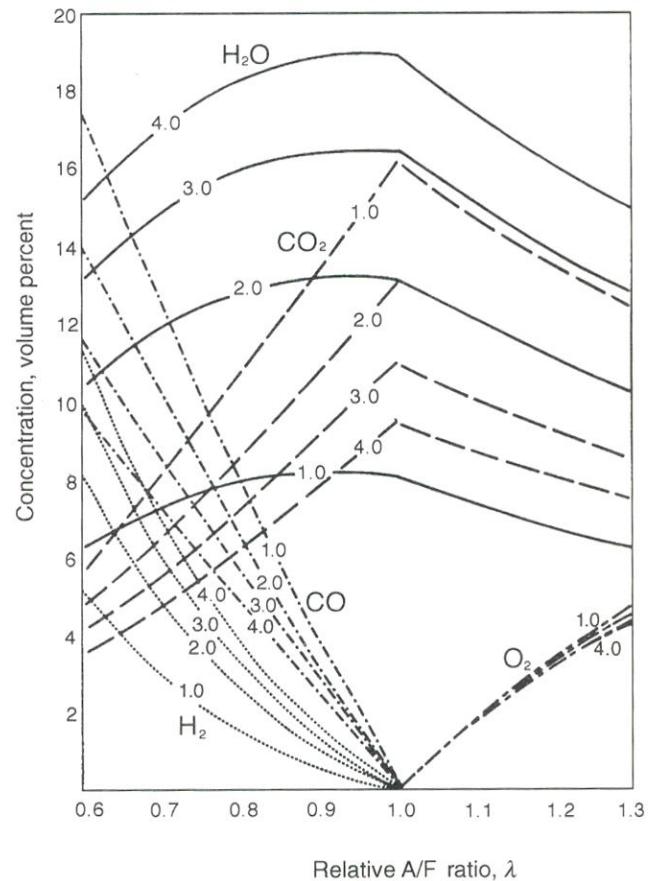
Example calculation of product composition (mole fractions) for  $\text{CH}_4$  and  $\text{C}_8\text{H}_{18}$ ; equivalence ratios of  $\phi = 1$  and  $\phi = 0.85$ :

| Compound                  | $\phi$ | $y_{\text{CO}_2}$ | $y_{\text{H}_2\text{O}}$ | $y_{\text{N}_2}$ | $y_{\text{O}_2}$ |
|---------------------------|--------|-------------------|--------------------------|------------------|------------------|
| $\text{CH}_4$             | 1.00   | 0.10              | 0.19                     | 0.72             | 0.00             |
| $\text{CH}_4$             | 0.85   | 0.08              | 0.16                     | 0.73             | 0.03             |
| $\text{C}_8\text{H}_{18}$ | 1.00   | 0.12              | 0.14                     | 0.73             | 0.00             |
| $\text{C}_8\text{H}_{18}$ | 0.85   | 0.11              | 0.12                     | 0.74             | 0.03             |

Shown on right:

Concentration of  $\text{CO}_2$ ,  $\text{CO}$ ,  $\text{O}_2$ , and  $\text{H}_2\text{O}$  as a function of relative air/fuel ratio (also called the stoichiometric ratio). Note that it is the reciprocal of the equivalence ratio:  $\lambda = 1/\phi$ . Contour lines indicate H/C molar ratio.

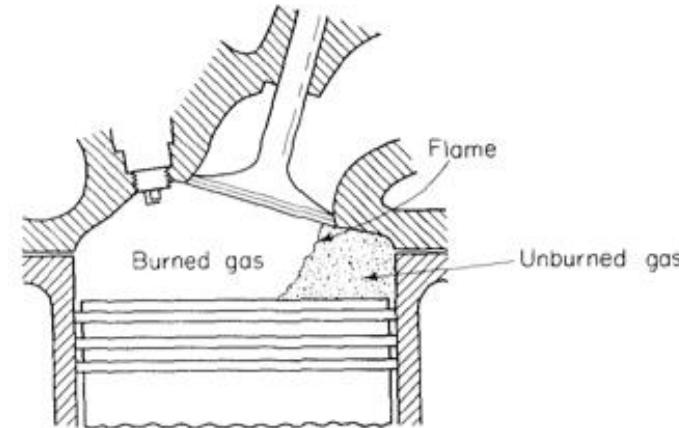
Wark et al., 1998



**FIGURE 6-20** Relationship between concentration of combustion products of hydrocarbon fuels and relative A/F ratio. (Source: *Combustion: The Formation and Emission of Trace Species*, J. B. Edwards, Ann Arbor Science Publishers, Inc., Ann Arbor, MI, 1974.)

# Reasons for incomplete combustion

- Low temperatures
  - quenching by cylinder walls
- Insufficient oxidant
  - incomplete mixing of fuel and air (emergence of fuel-rich regions)
- Operating parameters (engine load)
- Poor equipment maintenance



Flagan and Seinfeld (1988)

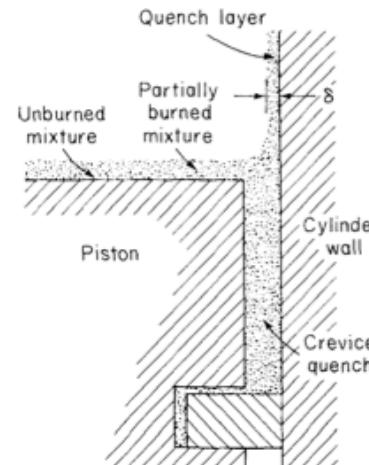
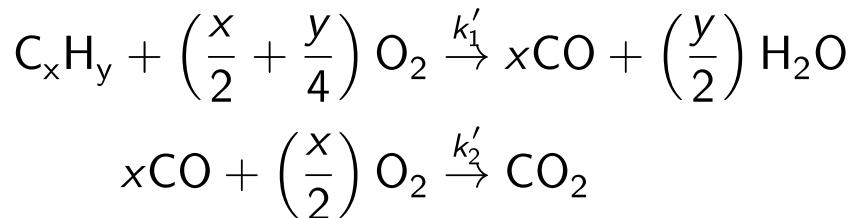


Figure 4.8 Schematic showing the quench layer and crevice volume where heat transfer to the walls may quench the combustion (Tabaczynski et al., 1972; © SAE, Inc.).

# CO, CO<sub>2</sub>

Simplest “global model” (model which ignores detailed steps—i.e., individual elementary reactions—but captures the overall process converting products to reactants) for oxidation of a hydrocarbon:



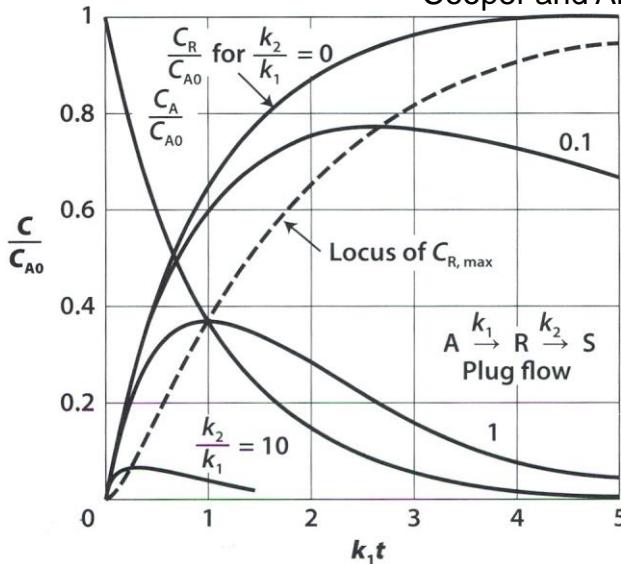
Kinetic expressions:

$$r_{\text{C}_x\text{H}_y} = -k'_1[\text{C}_x\text{H}_y][\text{O}_2]$$

$$r_{\text{CO}} = xk'_1[\text{C}_x\text{H}_y][\text{O}_2] - k'_2[\text{CO}][\text{O}_2]$$

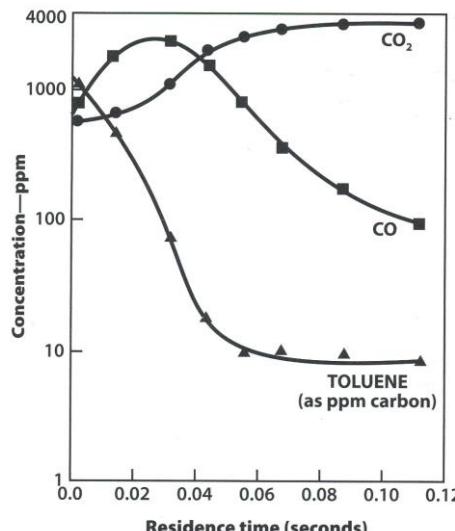
$$r_{\text{CO}_2} = k'_2[\text{CO}][\text{O}_2]$$

Note that in the presence of excess oxygen, we can write  $k_1 = k'_1[\text{O}_2]$  and  $k_2 = k'_2[\text{O}_2]$  and consider this system as a first-order reaction series:



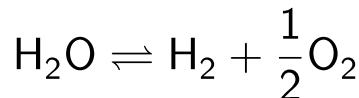
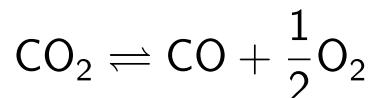
**Figure 11.3**  
Concentration/residence time curves in a plug flow reactor for a 1:1:1 series reaction.

Note: CA, CR = concentration of A or R, respectively, mol/L  
(Adapted from Levenspiel, 1962.)



**Figure 11.4**  
Concentration/residence time curves in a plug flow reactor for toluene, CO, and CO<sub>2</sub> at 1525 °F.  
(Adapted from Hemsath and Susey, 1974.)

- For fuel-lean combustion (excess air present) with product temperatures below 1250 K, complete reaction of fuel with primary products  $\text{CO}_2$ ,  $\text{H}_2\text{O}$ ,  $\text{O}_2$ , and  $\text{N}_2$  is a reasonable assumption.
- At higher temperatures, species that are stable at ambient temperatures can dissociate by reactions such as



so that  $\text{CO}$ ,  $\text{H}_2$ , and other reduced species may be present even though sufficient oxygen is available for complete combustion.

- We will approximate composition at high temperatures using principles of chemical equilibrium, which provide insight into pollutant information.

**TABLE 6-8** Equilibrium Constants for the  $\text{CO}-\text{CO}_2$  Oxidation Process

| $\text{CO} + \frac{1}{2}\text{O}_2 \rightleftharpoons \text{CO}_2$  | $T(^{\circ}\text{K})$ | $T(^{\circ}\text{F})$ | $K_p$                |
|---------------------------------------------------------------------|-----------------------|-----------------------|----------------------|
| $K_p = \frac{p_{\text{CO}_2}}{p_{\text{CO}}(p_{\text{O}_2})^{1/2}}$ | 298                   | 77                    | $1.2 \times 10^{45}$ |
|                                                                     | 500                   | 440                   | $1.1 \times 10^{25}$ |
|                                                                     | 1000                  | 1340                  | $1.7 \times 10^{10}$ |
|                                                                     | 1500                  | 2240                  | $2.1 \times 10^5$    |
|                                                                     | 2000                  | 3140                  | 766                  |
|                                                                     | 2500                  | 4040                  | 28                   |

Source: Computed from the JANAF Thermochemical Tables.

Wark et al., 1998

## Example 6-8 from Wark et al., 1998

Estimate the number of moles of carbon monoxide at equilibrium in an ideal gas mixture at 2000 K if the initial mixture composition is 1.00 mole of CO, 0.55 mole of O<sub>2</sub>, and 2.05 moles of inert N<sub>2</sub> at 1 atm.

$$K_p|_{2000\text{ K}} = 766 = \frac{p_{\text{CO}_2}}{p_{\text{CO}}(p_{\text{O}_2})^{1/2}}$$

Note that partial pressure  $p_i = (n_i/n)p$  where  $n$  and  $p$  are the total moles and pressure, respectively.

$$K_p = \frac{n_{\text{CO}_2}}{n_{\text{CO}}(n_{\text{O}_2})^{1/2}} \left(\frac{p}{n}\right)^{-1/2}$$

Conservation/mole balance equations:

$$n_{\text{CO}} + n_{\text{CO}_2} = n_{\text{CO,init}} = 1.00$$

$$n_{\text{CO}} + 2n_{\text{CO}_2} + 2n_{\text{O}_2} = n_{\text{CO,init}} + n_{\text{O,init}} = 2.10$$

$$n = n_{\text{CO}} + n_{\text{CO}_2} + n_{\text{O}_2} + n_{\text{N}_2}$$

Putting in values into equilibrium expression:

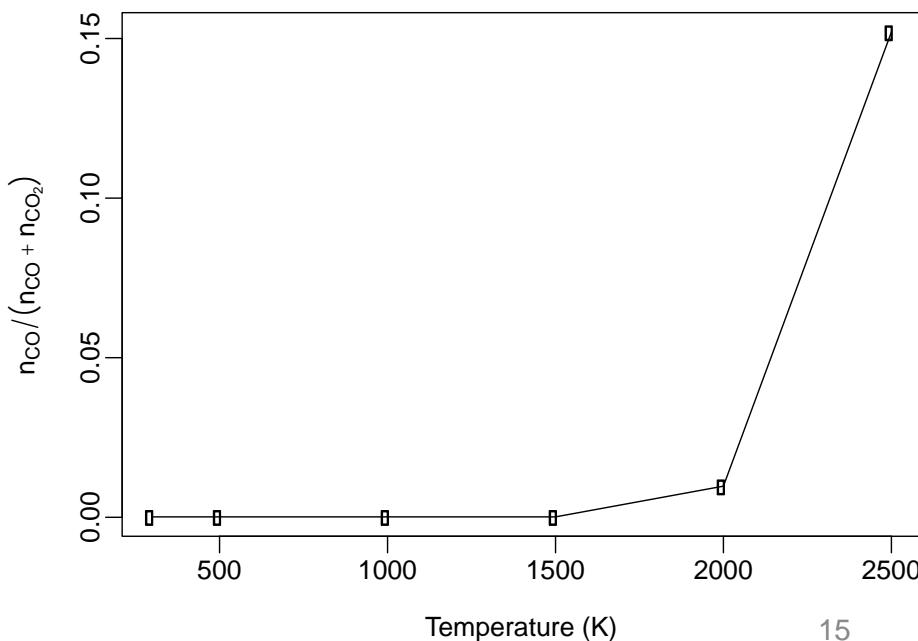
$$766 = \frac{(1 - n_{\text{CO}})(3.10 + 0.5n_{\text{CO}})^{1/2}}{n_{\text{CO}}(0.05 + 0.5n_{\text{CO}})^{1/2}}$$

Solution:

$$n_{\text{CO}} = 0.0097 \text{ mole}$$

$$n_{\text{CO}_2} = 0.9903 \text{ mole}$$

$$n_{\text{O}_2} = 0.0549 \text{ mole}$$

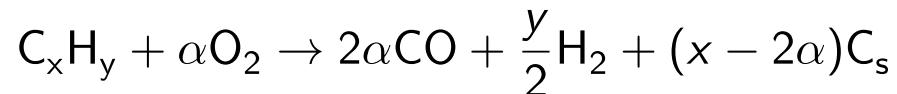


# Soot

- Also goes by the name of black carbon (BC), elemental carbon (EC), light-absorbing carbon (LAC, but this also includes “brown carbon”)
- Absorbs solar radiation
- Processes of formation:
  - molecular growth by chemical reactions (polymerization of PAHs)
  - coagulation of PAH *i*-mers

# Soot formation

In the following stoichiometric equation for incomplete combustion,



$C_s$  is the soot formed and  $(x/2\alpha)$  is the C/O (carbon to oxygen) molar ratio.

- When C/O = 1 ( $x = 2\alpha$ ), all of the carbon will be converted to CO.
- When C/O < 1 ( $x < 2\alpha$ ), there will be excess oxygen to convert CO to CO<sub>2</sub>.
- When C/O > 1 ( $x > 2\alpha$ ), then soot will start forming.

In reality:

- Both CO and CO<sub>2</sub> are formed in the combustion even at low C/O ratios.
- Soot formation observed at low C/O ratios (close to 0.5; Wagner, 1981).
- Increasing temperature inhibits onset of soot formation.

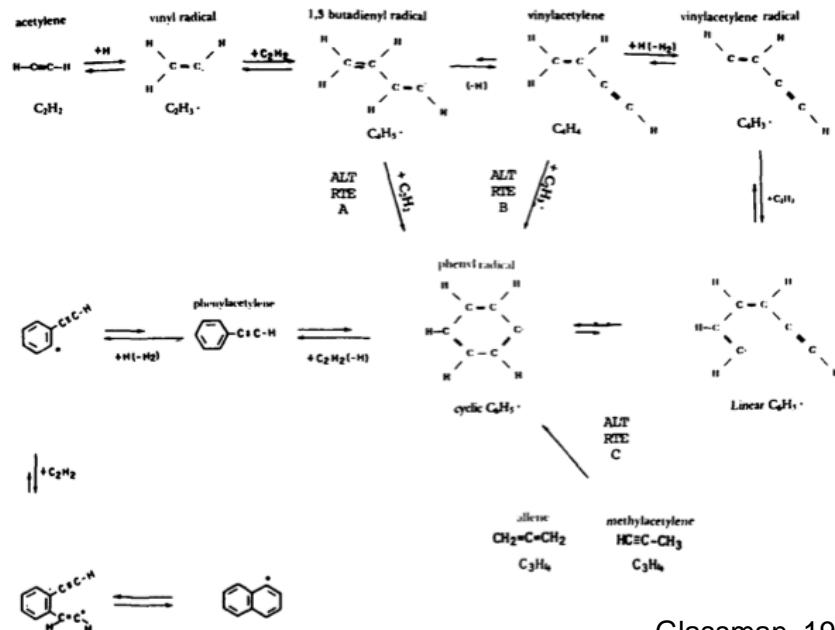
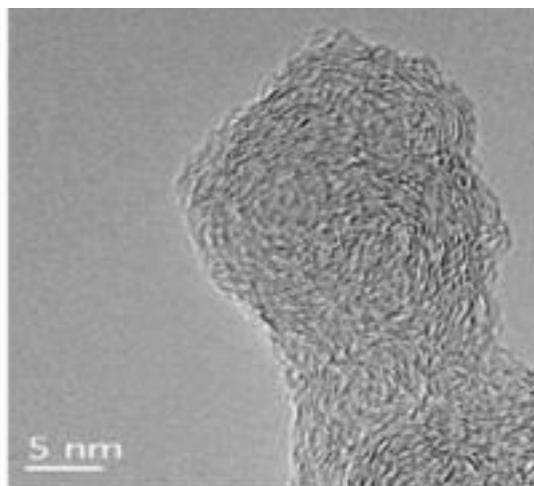
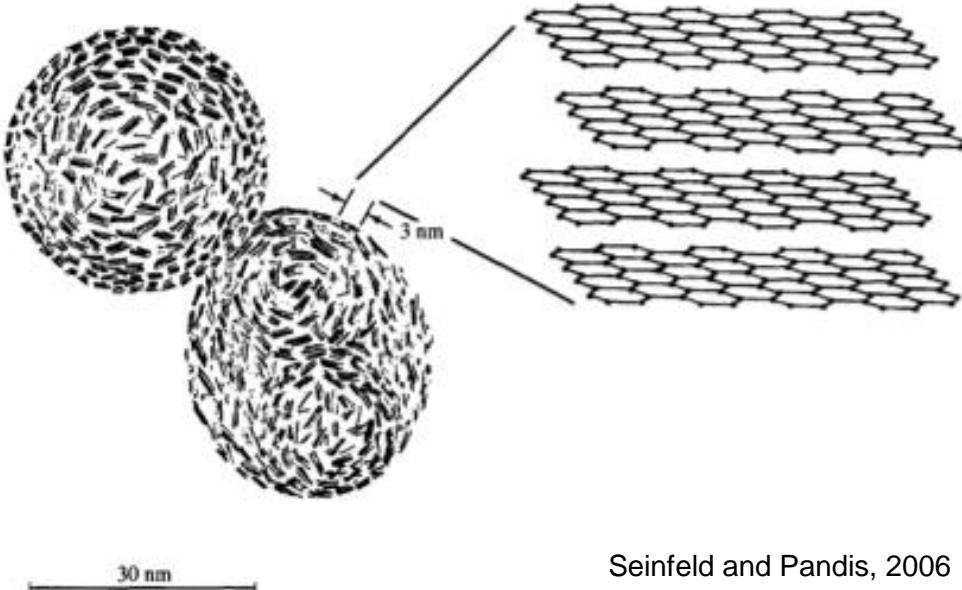


FIG. 13a. General mechanisms for soot formation.

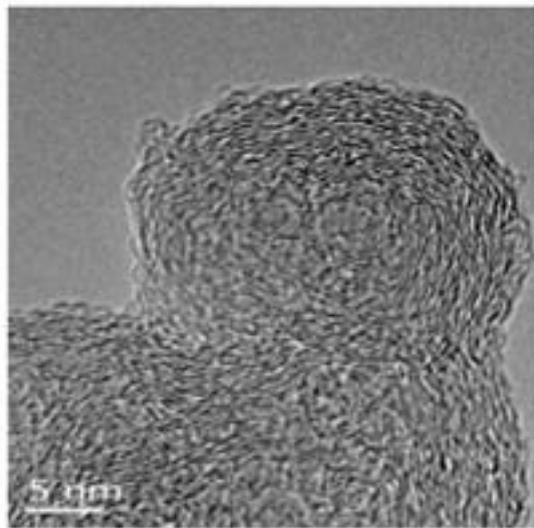
Viewed by High-Resolution  
Transmission Electron Microscopy  
(HR-TEM)



Glassman, 1998

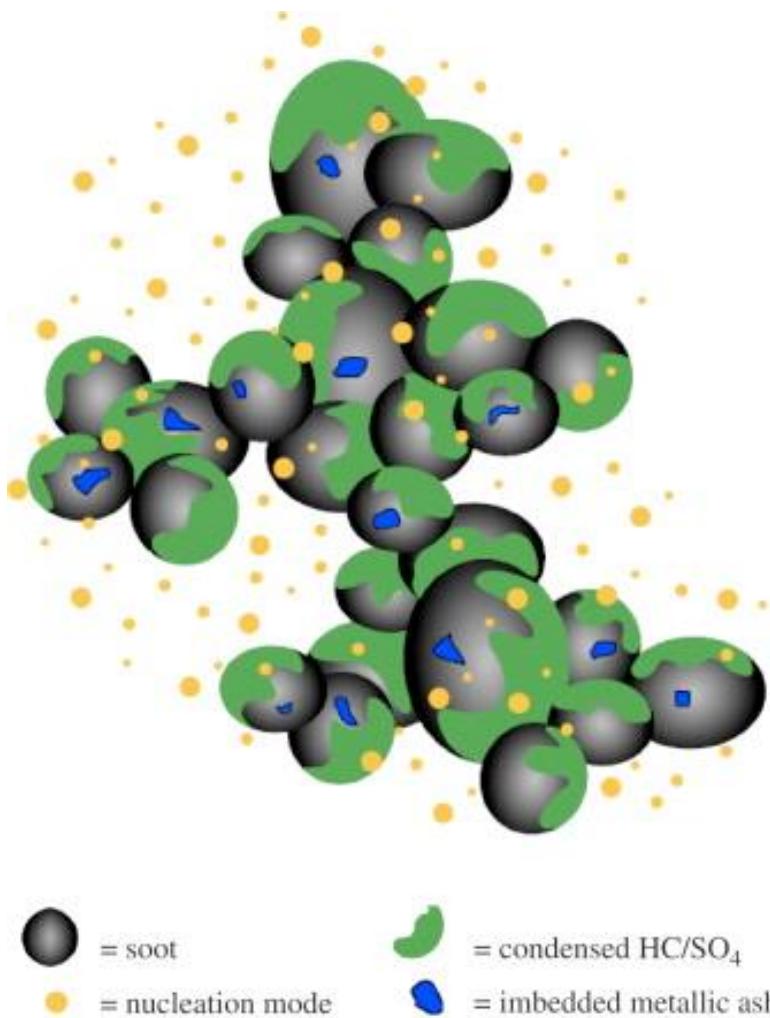


Seinfeld and Pandis, 2006



Vander Wal, *Carbon*, 2007

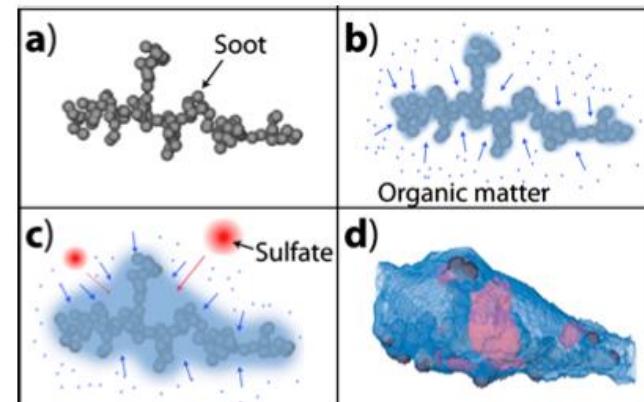
FIGURE 14.1 Schematic of soot microstructure.



Maricq, J. Aerosol Sci., 2007

Black carbon is almost never emitted by itself:

- Coated with lubricants and unburnt fuel
- Provides surfaces for rapid condensation of gases (organic compounds, sulfuric acid)

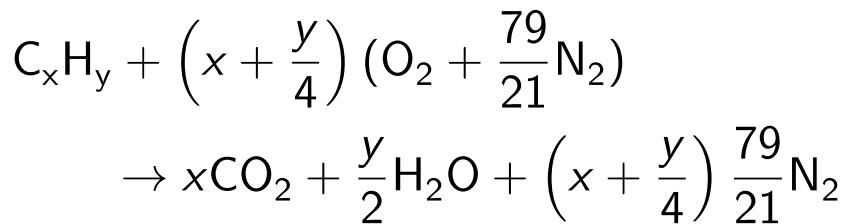


**Figure 5.** Presumed mixing processes (from Figure 5a to 5d) of soot, OM, and sulfate in the atmosphere for the particle shown in Figure 1a. (a) Aggregated soot without coating. (b) Condensation of OM onto the surface of the soot. (c) Coagulation of sulfate aerosol particles onto the particle. OM fills the spaces between the soot branches. (d) Observed particle using ET. Black, blue, and red indicate soot, OM, and sulfate, respectively.

Adachi et al., J. Geophys. Res., 2010

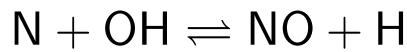
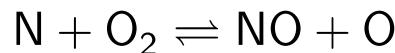
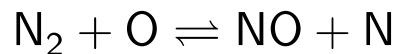
# Oxides of nitrogen (NO<sub>x</sub>)

Recall that nitrogen is introduced during combustion with air:

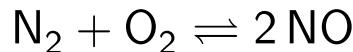


## Thermal NO<sub>x</sub>

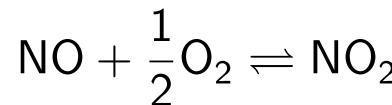
Extended Zeldavich mechanism (1946):



Overall:

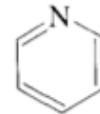
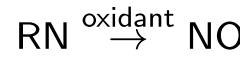


Conversion to NO<sub>2</sub>:

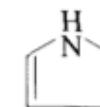


90% of NO<sub>x</sub> emitted as NO (Cooper and Alley, 2006).

## Fuel NO<sub>x</sub>



pyridine



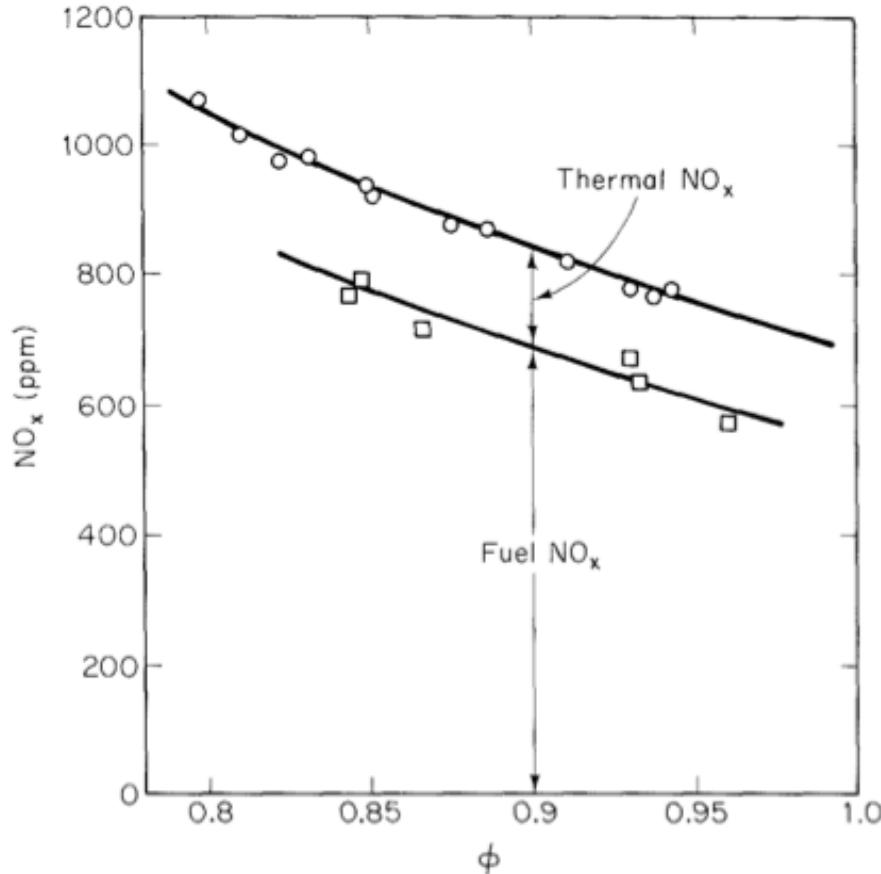
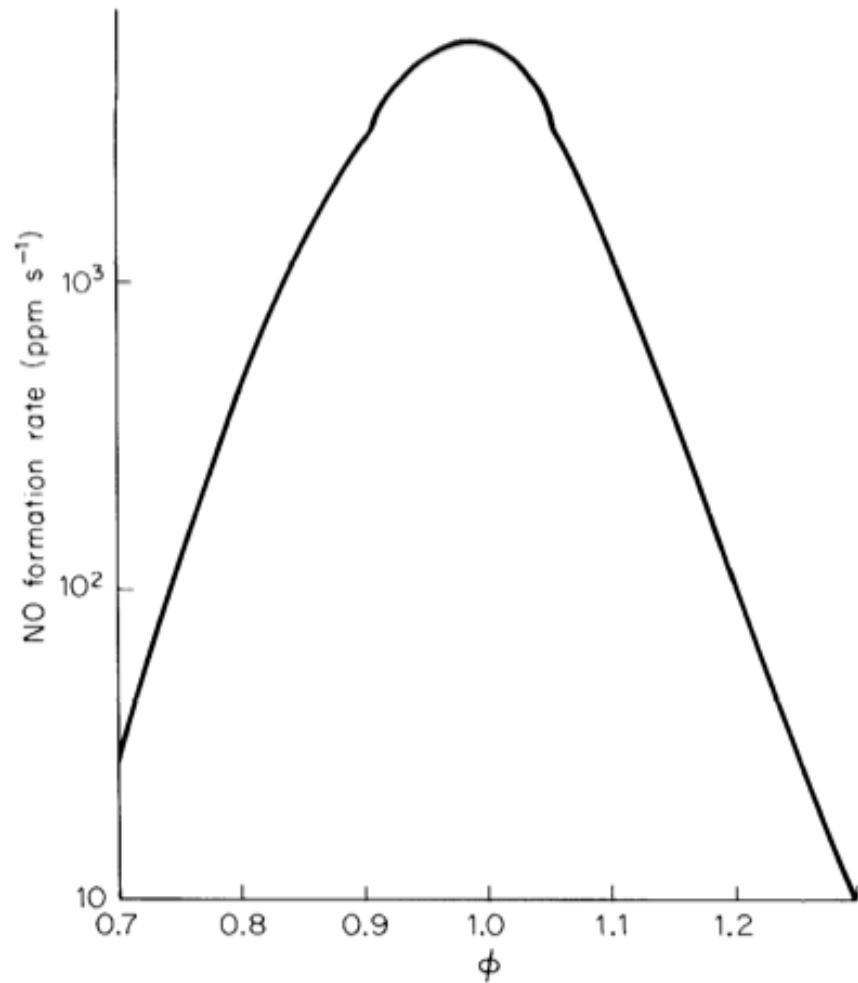
pyrrole

**Table 16.1** Trend in U.S. Emissions of Nitrogen Oxides (in thousands of tons/year)

| Source                     | 1970          | 1980          | 1990          | 2000          | 2008          |
|----------------------------|---------------|---------------|---------------|---------------|---------------|
| Stationary Fuel Combustion |               |               |               |               |               |
| Electric Utilities         | 4,900         | 7,024         | 6,663         | 5,330         | 3,006         |
| Industries                 | 4,325         | 3,555         | 3,035         | 2,723         | 1,838         |
| Other                      | 836           | 741           | 1,196         | 766           | 727           |
| Industrial Processes       |               |               |               |               |               |
| Chemical & Allied Products | 271           | 213           | 168           | 105           | 67            |
| Metals Processing          | 77            | 65            | 97            | 89            | 68            |
| Petroleum & Related        | 240           | 72            | 153           | 122           | 350           |
| Other industries           | 187           | 205           | 378           | 479           | 418           |
| Mobile Sources             |               |               |               |               |               |
| On-road mobile             | 12,624        | 11,493        | 9,592         | 8,394         | 5,206         |
| Non-road mobile            | 2,652         | 3,353         | 3,781         | 4,167         | 4,255         |
| Miscellaneous              | 770           | 359           | 464           | 424           | 404           |
| <b>TOTAL</b>               | <b>26,882</b> | <b>27,080</b> | <b>25,527</b> | <b>22,599</b> | <b>16,339</b> |

Source: National Inventory of Criteria Air Pollutant Emissions (<http://www.epa.gov/ttn/chief/trends/index.html>)

# $\text{NO}_x$ formation as a function of equivalence ratio



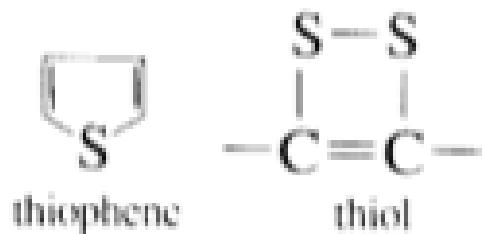
**Figure 3.4** Contributions of thermal- $\text{NO}_x$  and fuel- $\text{NO}_x$  to total  $\text{NO}_x$  emissions in the laboratory pulverized coal combustion experiments of Pershing and Wendt (1977). Reprinted by permission of The Combustion Institute.

Flagan and Seinfeld, 1988

**Figure 3.1** Variation of the initial NO formation rate with equivalence ratio for adiabatic combustion of kerosene with composition  $\text{CH}_{1.8}$ .

# Sulfur dioxide ( $\text{SO}_2$ )

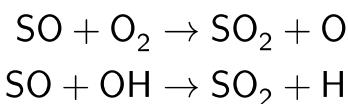
- S occurs in fuels as pyruvate, sulfides
- In coal:
  - inorganic
    - iron pyrite ( $\text{FeS}_2$ )
    - occurs as discrete particles; washable
  - organic
    - chemically bound



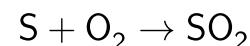
Flagan and Seinfeld, 1988

## SO<sub>2</sub> formation

## SO<sub>2</sub> formation through SO pathway:



Overall reaction:



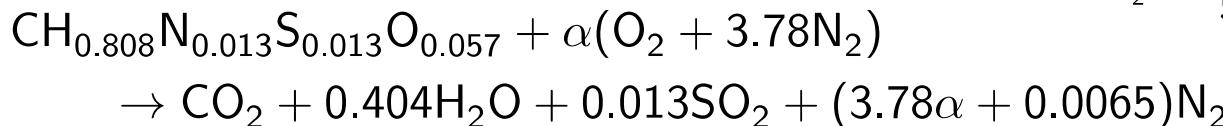
22

## Example 2.2 from Flagan and Seinfeld, 1988

Consider a Pittsburgh seam coal that contains 77.2% C, 5.2% H, 1.2% N, 2.6% S, 5.9% O, and 7.9% ash by weight. The molar composition may be determined by dividing each of the mass percentages by the atomic weight of the constituent. For convenience in stoichiometric calculations, the composition is then normalized with respect to carbon (see Table).

Chemical formula normalized to a unit of carbon is  $\text{CH}_{0.808}\text{N}_{0.013}\text{S}_{0.013}\text{O}_{0.057}$ .

We can write the stoichiometric equation containing minor species such as ash and oxygen in the fuel:



where

$$\alpha = 1 + 0.808/4 + 0.013 - 0.057/2 = 1.19$$

The total number of moles of gaseous combustion products per mole of C is

$$n = 1 + 0.404 + 0.013 + 4.504$$

The composition of combustion products in mole fractions:

$$y_{\text{CO}_2} = \frac{1}{5.921} = 0.169 = 16.9\%$$

$$y_{\text{H}_2\text{O}} = \frac{0.404}{5.921} = 0.068 = 6.82\%$$

$$y_{\text{SO}_2} = \frac{0.013}{5.921} = 0.00220 = 2200 \text{ ppm}$$

$$y_{\text{N}_2} = \frac{4.504}{5.921} = 0.761 = 76.1\%$$

| Element | wt %              | mol/100 g             | mol/mol C |
|---------|-------------------|-----------------------|-----------|
| C       | 77.2 ÷ 12 = 6.43  | ÷ 6.43 = 1.00         |           |
| H       | 5.2 ÷ 1 = 5.20    | ÷ 6.43 = 0.808        |           |
| N       | 1.2 ÷ 14 = 0.0857 | ÷ 6.43 = 0.013        |           |
| S       | 2.6 ÷ 32 = 0.0812 | ÷ 6.43 = 0.013        |           |
| O       | 5.9 ÷ 16 = 0.369  | ÷ 6.43 = 0.057        |           |
| Ash     | 7.9               | ÷ 6.43 = 1.23 g/mol C |           |

# Particulate matter

- Anthropogenic vs. Biogenic
- Primary (emitted as particles) vs secondary (emitted precursor gases form particles in the atmosphere)
  - fly ash
  - metals
  - soot
  - organic carbon
  - other material

# **SOURCES**

# Combustion

- Anthropogenic (fossil fuel and burning of biomass)
- Biogenic (natural burning of biomass)



# Emission parameters

Emission products formed depends on several factors:

- Fuel type
  - solid, liquid, gas
- Fuel composition
  - determines reaction pathways
- Flame type
  - determines local combustion environment
- Operating temperature (heterogeneous spatial distribution)
  - changes equilibrium and kinetic rate constants
- Air/fuel ratio
  - governs stoichiometry

The quantity of emission is dependent on the energy content of the fuel (how much fuel must be consumed to produce a fixed amount of energy).

# Gas

- Simplest class of fuels
- Natural gas: mostly methane ( $\text{CH}_4$ ) and ethane ( $\text{C}_2\text{H}_6$ )
  - found in underground reservoirs of porous rocks, alone or mixed with petroleum
- Other gases:  $\text{C}_1\text{-C}_5$  e.g., propane ( $\text{C}_3\text{H}_8$ ), butane ( $\text{C}_4\text{H}_{10}$ )



modern natural gas-burning plant in Ohio, U.S.  
source: NPR

# Solid fuels

- Can include biomass and coal
- Composition varies more widely than other fuels



Burning wood

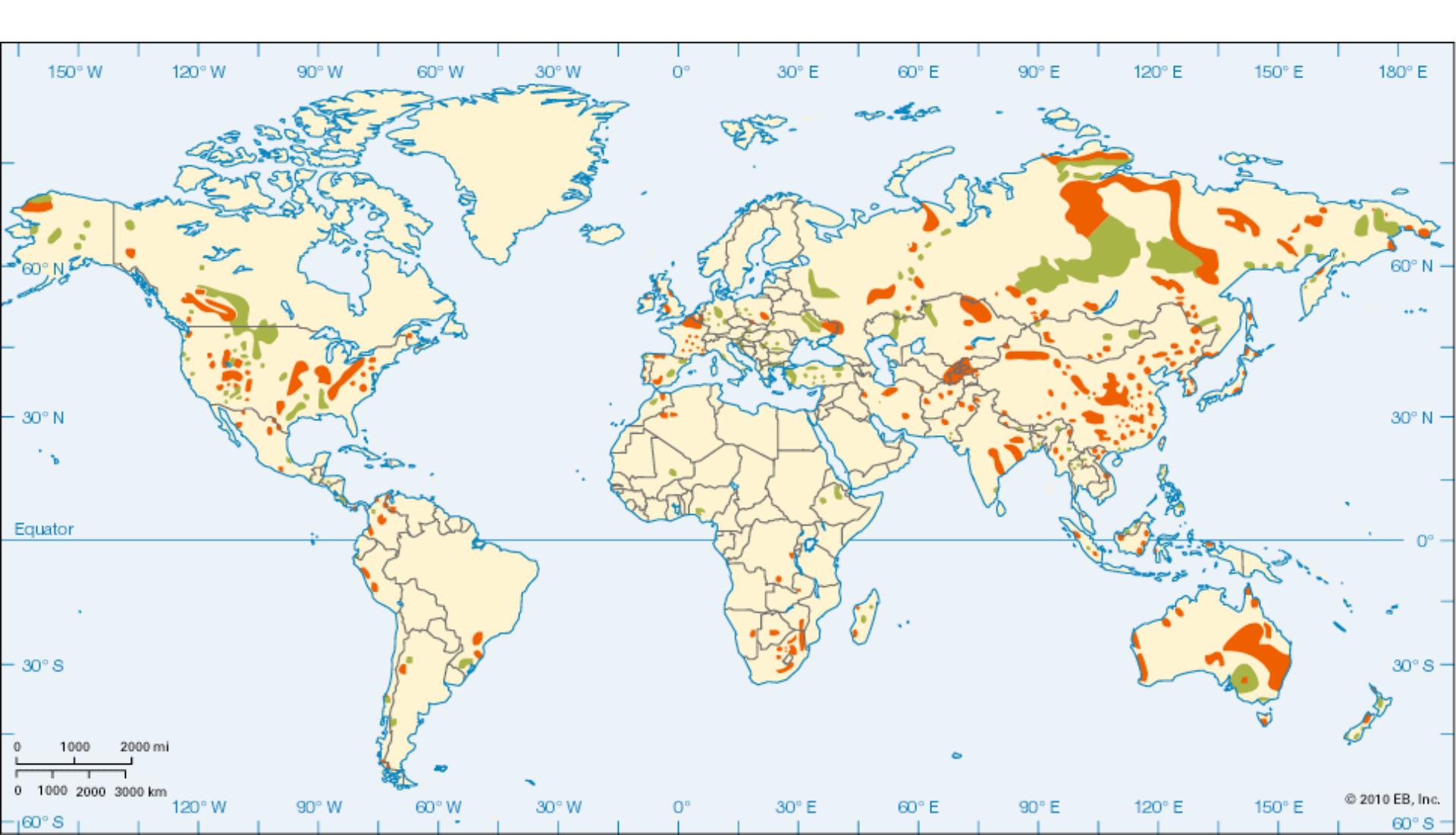


Coal

# Coal



- Compact, stratified mass of decayed vegetation
  - variable composition (“organic rock”)
  - characterized by “rank”, indicating geological age—or the degree to which the organic matter has been transformed from cellulose to graphitic structure
- Often pulverized (formed into powder) and burned somewhat similarly to heavy fuel oils.
- Major emission products:  $\text{SO}_2$ ,  $\text{CO}_2$ ,  $\text{NO}_x$ , BC
- “Clean coal technology”
  - collection of methods to target removal of  $\text{SO}_2$  and  $\text{CO}_2$
  - may include: desulfurization (e.g., by washing) prior to burning; capturing of emissions post-burning
- There are various types of coal which vary in carbon and sulfur content, among other elements.



## Major Coal Deposits of the World



Anthracite and Bituminous Coal



Lignite

source: *Encyclopædia Britannica, Inc.*

# Gasoline and diesel

- both are mixture of hydrocarbons processed from petroleum
- gasoline
  - predominantly C<sub>4</sub>-C<sub>12</sub> with boiling point of 25-220°C
  - spark ignition
  - higher CO and VOC emissions
- diesel
  - typically heavier and less volatile than gasoline
  - predominantly C<sub>9</sub>-C<sub>28</sub> with boiling point of 160-390°C
  - compressed to autoignition temperature
  - lower AFR, higher pressures and temperatures
  - higher NO<sub>x</sub> and soot emissions

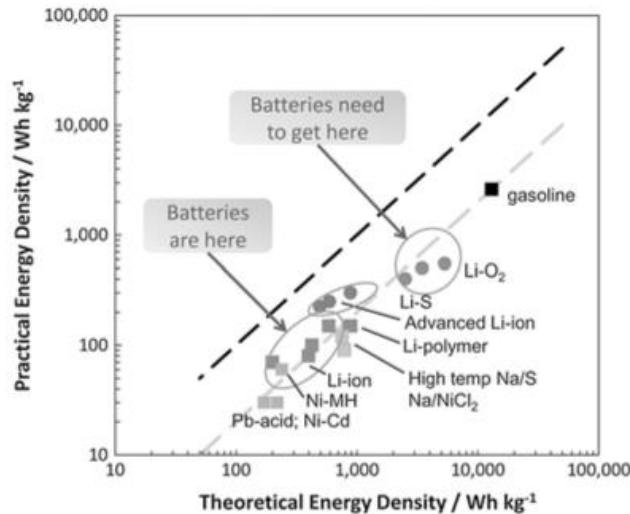


Fig. 1.2 A plot of theoretical specific energy of various rechargeable battery systems versus their practical specific energy compared to that of gasoline. Reprinted from Thackeray et al. (2012), copyright The Royal Society of Chemistry

Battin-Leclerc et al., 2013

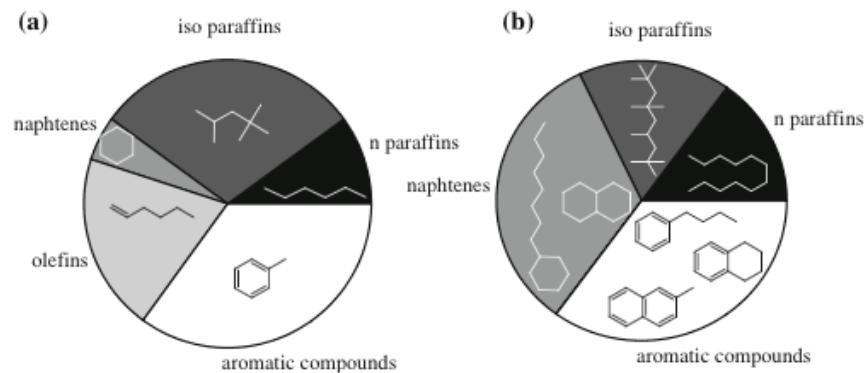


Fig. 1.3 Typical composition of (a) European gasoline (Guibet 1999) and of (b) a US diesel fuel (Pitz and Mueller 2011), and possible model compounds to represent the component families (for diesel fuel, models are not available for all presented model compounds)

Battin-Leclerc et al., 2013

# Automotive emissions



- Evaporative Emissions



epa.gov

- Refueling Losses



- Exhaust Emissions



# Primary organic (and elemental) carbon emissions

TABLE 14.5 Automobile Emissions of Fine Particles ( $D_p < 2 \mu\text{m}$ )

| Vehicle      | Sample Size | Fuel Consumed<br>FTP, mi gal $^{-1}$ | OC Emission,<br>mg (C) km $^{-1}$ | EC Emission,<br>mg (C) km $^{-1}$ | Total Particulate<br>Emission, mg km $^{-1}$ |
|--------------|-------------|--------------------------------------|-----------------------------------|-----------------------------------|----------------------------------------------|
| Noncatalyst  | 6           | 15.7                                 | 38.9                              | 4.8                               | 59.4                                         |
| Catalyst     | 7           | 23.3                                 | 9.0                               | 5.3                               | 18.0                                         |
| Diesel truck | 2           | 7.6                                  | 132.9                             | 163.2                             | 408.0                                        |

Source: Hildemann et al. (1991a).

TABLE 14.6 Fine ( $D_p < 2 \mu\text{m}$ ) Particle Mass Emissions  
during Meat Cooking

| Source                                 | Aerosol Emission,<br>g kg (meat cooked) $^{-1}$ |
|----------------------------------------|-------------------------------------------------|
| Frying extra lean (10% fat) meat       | 1                                               |
| Frying regular (21% fat) meat          | 1                                               |
| Charbroiling extra lean (10% fat) meat | 7                                               |
| Charbroiling regular (21% fat) meat    | 40                                              |

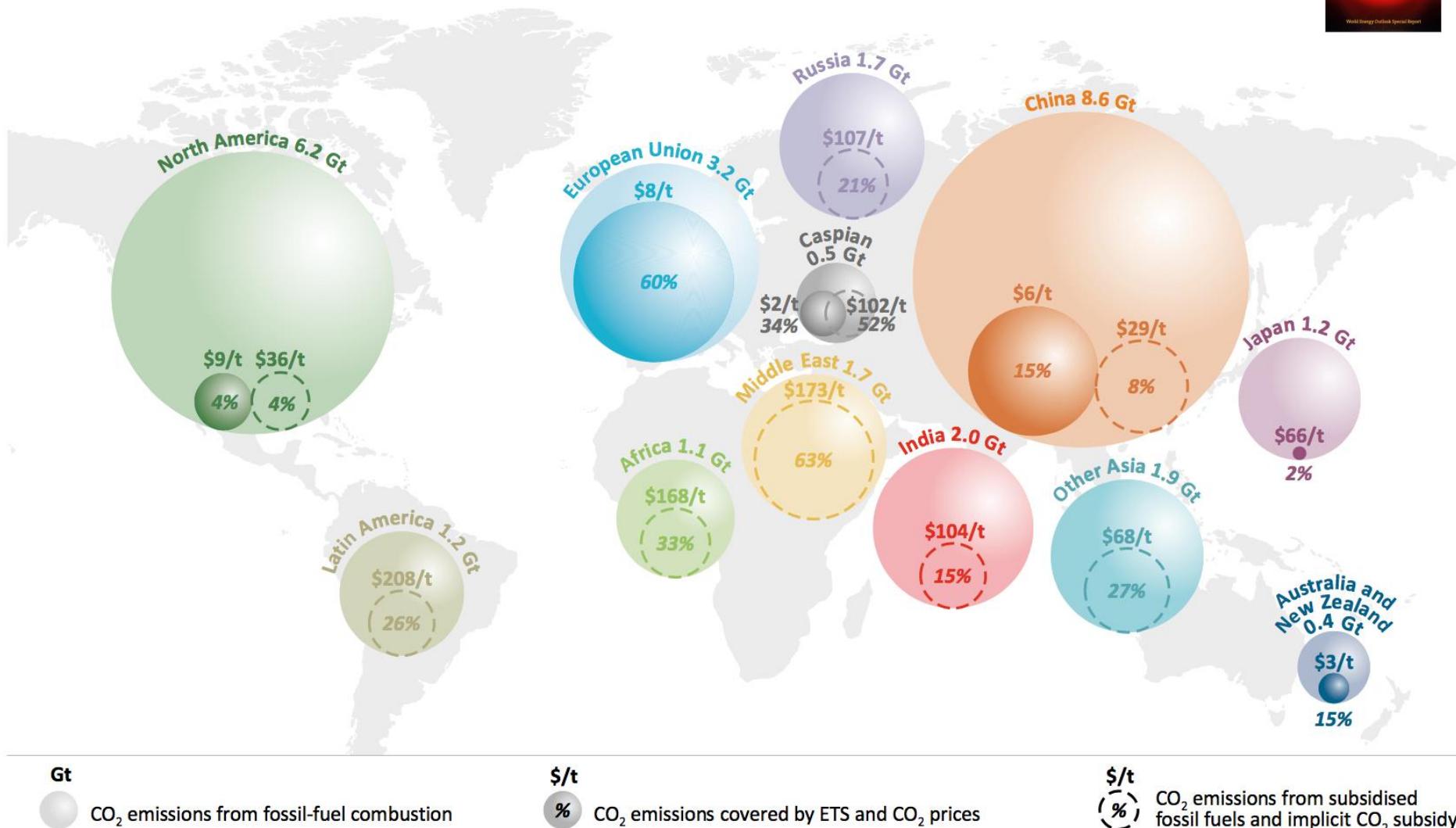
Source: Hildemann et al. (1991a).

TABLE 14.7 Fine ( $D_p < 2 \mu\text{m}$ ) Particle Mass Emissions  
during Woodburning

| Wood Type     | Emission, g kg (wood) $^{-1}$ |
|---------------|-------------------------------|
| Oak           | $6.2 \pm 0.3$                 |
| Pine          | $13 \pm 4.1$                  |
| Synthetic log | 12                            |

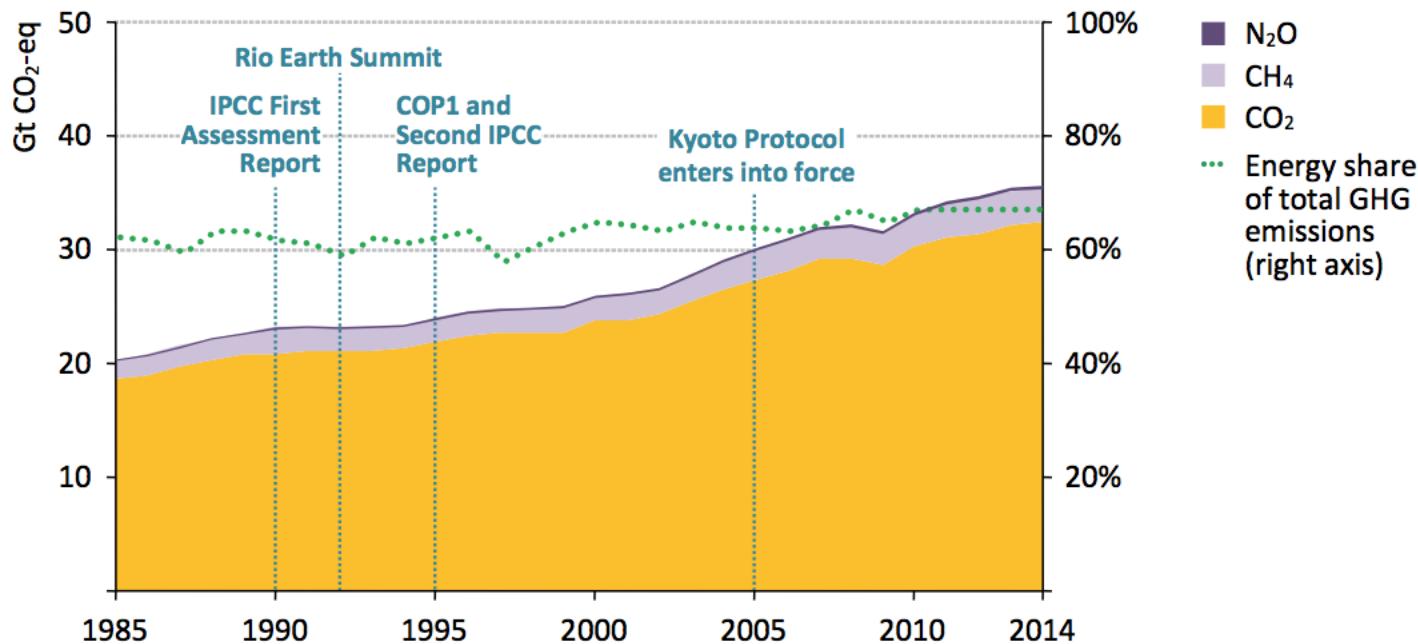
Source: Hildemann et al. (1991a).

**Figure 1.2 ▷ Energy-related CO<sub>2</sub> emissions in selected regions, 2014**



Notes: The implicit CO<sub>2</sub> subsidy is calculated as the ratio of the economic value of those subsidies to the CO<sub>2</sub> emissions released from subsidised energy consumption. ETS = emissions trading scheme.

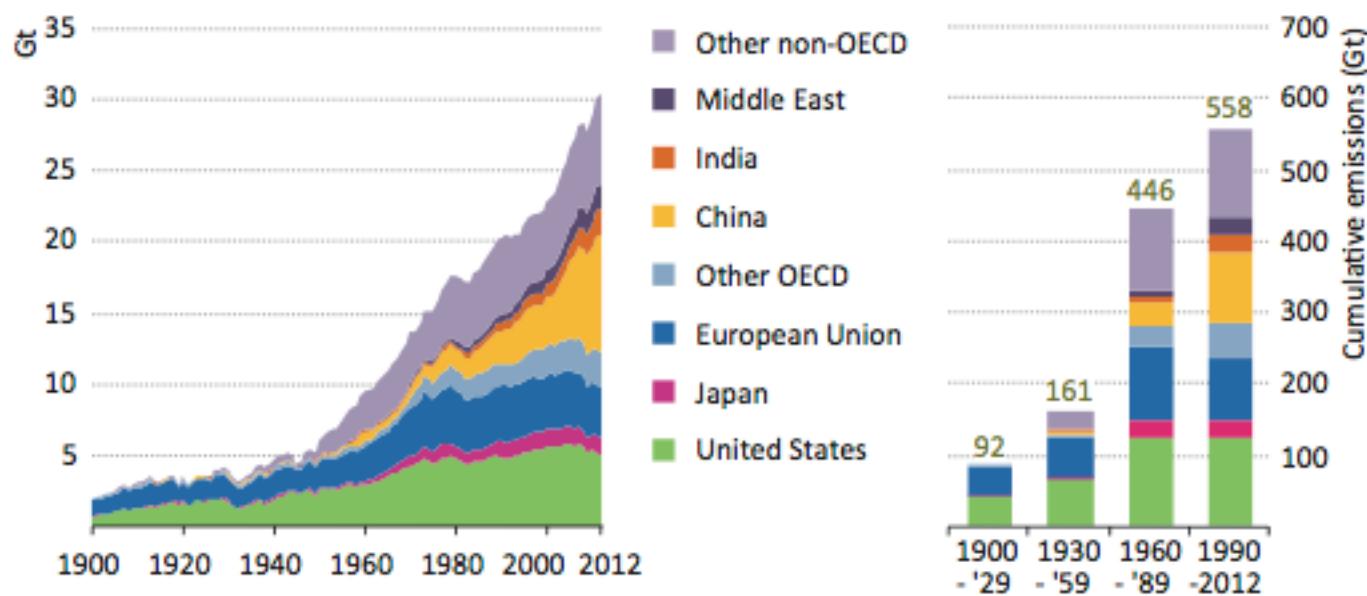
**Figure 1.3 ▷ Global anthropogenic energy-related greenhouse-gas emissions by type**



Notes: CO<sub>2</sub> = carbon dioxide, CH<sub>4</sub> = methane, N<sub>2</sub>O = nitrous oxide. CH<sub>4</sub> has a global warming potential of 28 to 30 times that of CO<sub>2</sub>, while the global warming potential of N<sub>2</sub>O is 265 higher than that of CO<sub>2</sub>.

Sources: IEA and EC/PBL (2014).

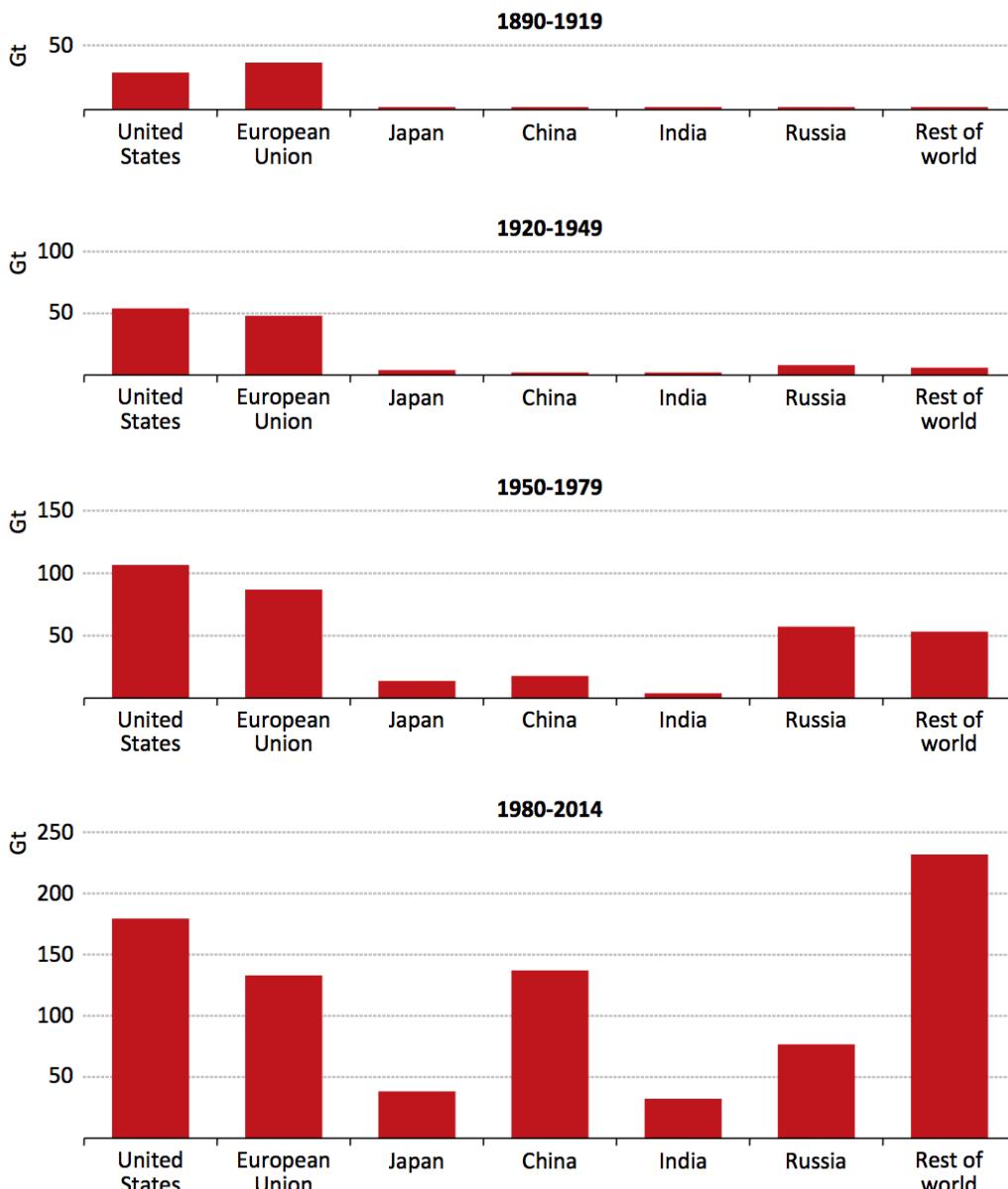
**Figure 1.11 ▷ Energy-related CO<sub>2</sub> emissions by country**



Sources: IEA databases and analysis; Boden *et al.*, (2013).

IEA, *World Outlook 2013*

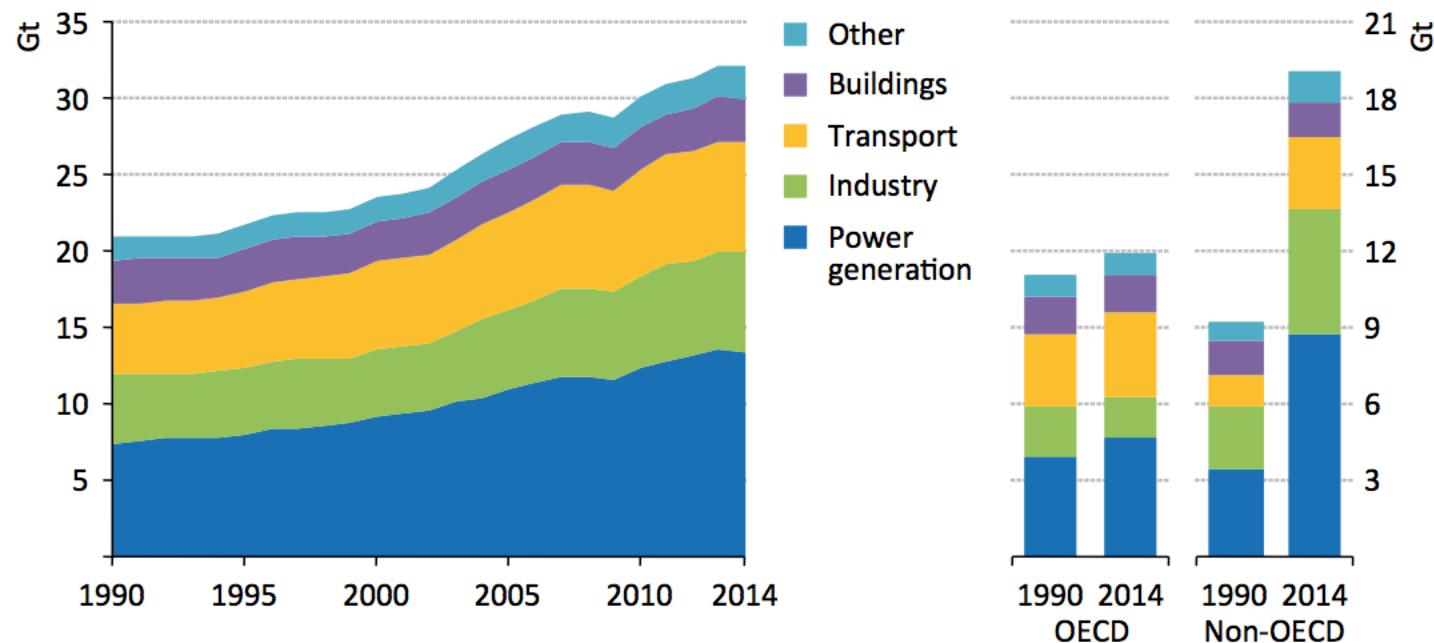
**Figure 1.4 ▷ Cumulative energy-related CO<sub>2</sub> emissions by region**



Notes: Emissions for the European Union prior to 2004 represent the combined emissions of its current member states. Emissions for Russia prior to 1992 represent emissions from the Union of Soviet Socialist Republics. Rest of world includes international bunkers.

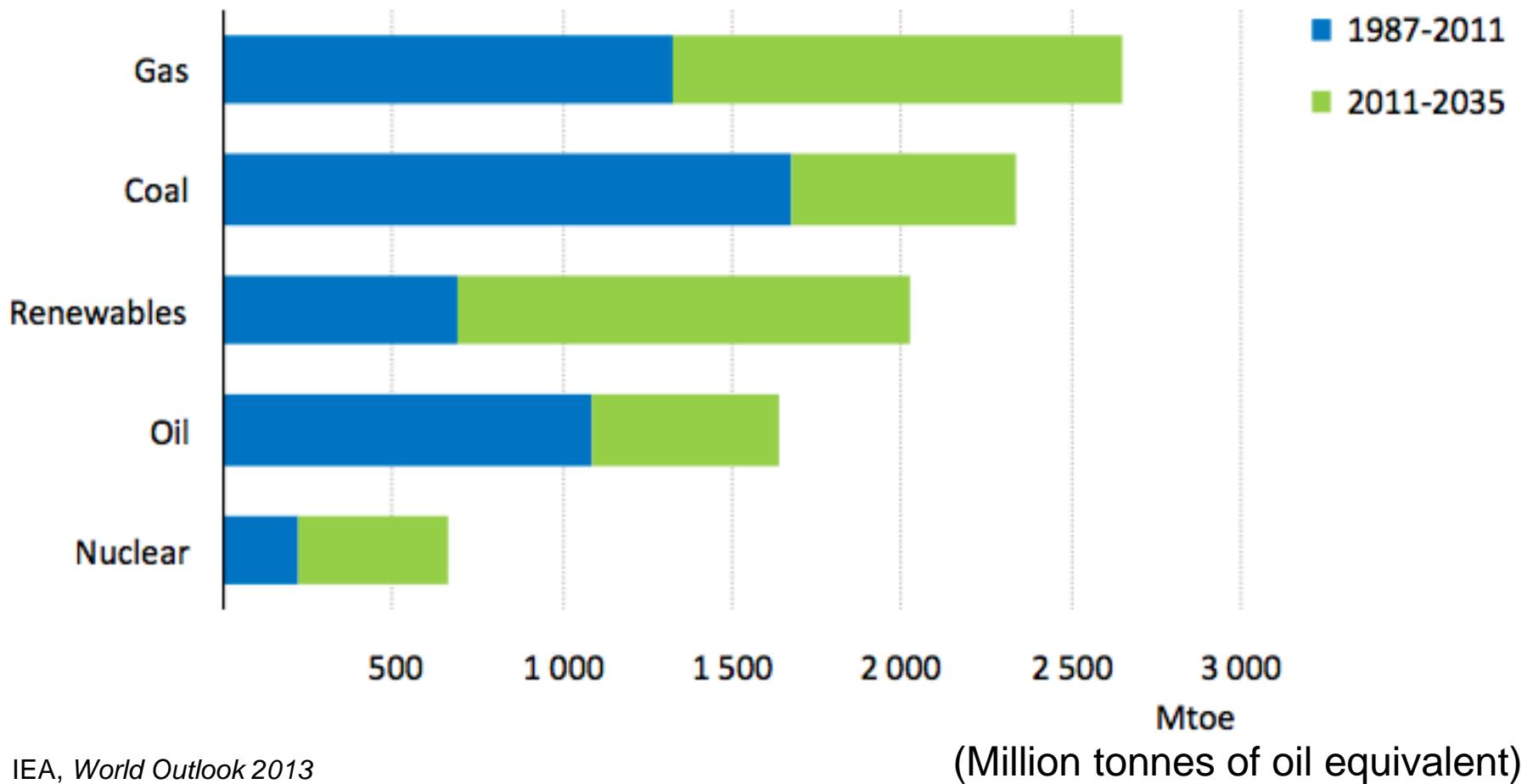
Sources: Marland, Boden and Andres (2008) and IEA (2014a).

**Figure 1.5 ▷ Global energy-related CO<sub>2</sub> emissions by sector and region**



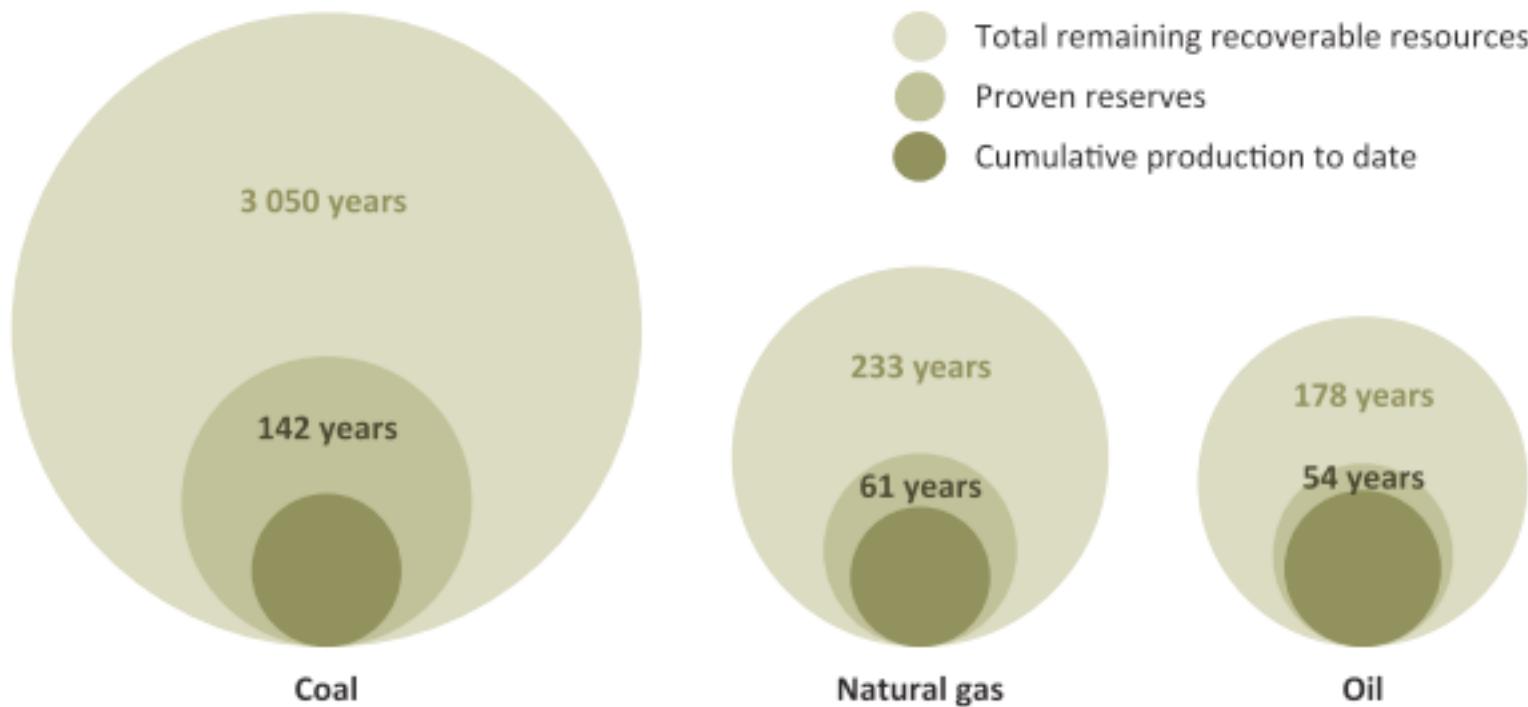
Notes: "Other" includes agriculture, non-energy use (except petrochemical feedstock), oil and gas extraction and energy transformation. International bunkers are included in the transport sector at the global level but excluded from the regional data.

## Growth in total primary energy demand



IEA, *World Outlook 2013*

**Figure 2.10 ▷ Fossil energy resources by type**



Notes: All bubbles are expressed as a number of years of production based on estimated production in 2013. The size of the bubble for total remaining recoverable resources of coal is illustrative and is not proportional to the others. The figure specifies the status of reserves for coal as of end-2011, and gas and oil as of end-2012. Sources: BGR (2012); O&GJ (2012); USGS (2000, 2012a and 2012b); IEA estimates and analysis.

IEA, *World Outlook 2013*

**Table 7.1. Hydrogen-to-Carbon Ratio and Heating (Calorific) Value of Selected Fossil and Process-Derived Fuels**

| Fuel Type                                                    | H/C, Atomic <sup>a</sup> | Gross Heating Value (kcal/g) <sup>b,c</sup> |
|--------------------------------------------------------------|--------------------------|---------------------------------------------|
| <i>Gaseous</i>                                               |                          |                                             |
| <i>High-Btu</i>                                              |                          |                                             |
| Methane                                                      | 4.0                      | 13.3                                        |
| Natural gas                                                  | 3.5–4.0                  | 11.7–11.9                                   |
| <i>Intermediate-Btu</i>                                      |                          |                                             |
| Hydrogen                                                     | ∞                        | 33.9                                        |
| Coke oven gas                                                | 4.9                      | 9.6                                         |
| Water gas (H <sub>2</sub> - CO)                              | 2.0                      | 4.3                                         |
| <i>Low-Btu</i>                                               |                          |                                             |
| Producer gas (N <sub>2</sub> -diluted; from bituminous coal) | 1.2                      | 1.2                                         |
| <i>Liquid</i>                                                |                          |                                             |
| Methanol                                                     | 4.0                      | 5.3                                         |
| Gasoline                                                     | 2.0–2.2                  | 11.2–11.4                                   |
| No. 2 fuel oil                                               | 1.7–1.9                  | 10.7–11.0                                   |
| No. 6 fuel oil                                               | 1.3–1.6                  | 10.0–10.5                                   |
| Crude shale oil                                              | 1.6                      | 10.3–10.4                                   |
| Bitumen (Athabasca tar sands)                                | 1.4–1.5                  | 9.8–10                                      |
| <i>Solid</i>                                                 |                          |                                             |
| Kerogen (Green River oil shale)                              | 1.5                      | 10                                          |
| Lignite                                                      | 0.8                      | 3.9–5.4                                     |
| Subbituminous coal                                           | 0.8                      | 5.5                                         |
| Bituminous coal                                              | 0.5–0.9                  | 6.7–8.8                                     |
| Anthracite                                                   | 0.3                      | 8.4                                         |
| Low-temperature coke                                         | 0.4                      | 8.2                                         |
| High-temperature coke                                        | 0.06                     | 8.0                                         |

Source: Howard (1981) and references cited therein.

<sup>a</sup>Mineral-matter-free C and total organic H (i.e., including H bound with O, S, and N).

<sup>b</sup>Coals—moist, mineral-matter-free; cokes—dry, ash-free; 1 kcal/g = 1,800 Btu/lb.

<sup>c</sup>Gross heating value is equivalent to the higher heating value of the fuel (HHV).

# Thermodynamics of combustion based on chemical composition

Change in enthalpy represents heat exchange and pressure-volume work under constant pressure.

$$\Delta H = Q_p$$

The enthalpy of reaction can be calculated as

$$\Delta_{rxn} H^0 = \sum_{i \in \text{Products}} n_i \Delta_f H_i^0 - \sum_{i \in \text{Reactants}} n_i \Delta_f H_i^0$$

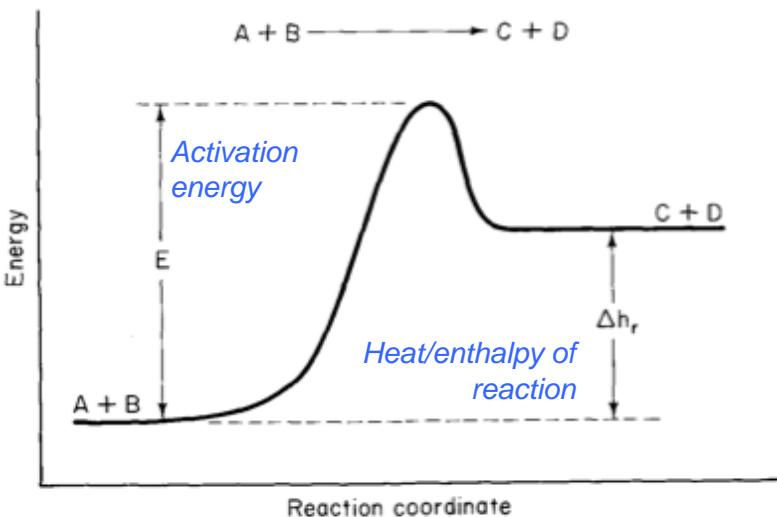


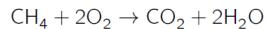
Figure 1.1 Energetics of an exchange reaction  $A + B \rightarrow C + D$ .

Flagan and Seinfeld (1988)

- $\Delta_{rxn} H^0 > 0$ : endothermic
- $\Delta_{rxn} H^0 < 0$ : exothermic

Heat of combustion,  $\Delta_c H^0$ , is the energy (as heat) released when a substance undergoes complete combustion with oxygen under standard conditions (a hypothetical enthalpy of reaction). This is related to the heating value of the substance (heat released per unit mole or mass during combustion).

## Example: methane



$$\begin{aligned}\Delta_c H^0 &= (2\Delta H_{\text{C=O}}^0 + 2 \cdot 2\Delta H_{\text{O-H}}^0) - (4\Delta H_{\text{C-H}}^0 + 2\Delta H_{\text{O=O}}^0) \\ &= [2 \cdot (-192) \text{ kcal/mol} + 4 \cdot (-110.6) \text{ kcal/mol}] - [4 \cdot (-98.7) \text{ kcal/mol} + 2 \cdot (-118.9) \text{ kcal/mol}] - \\ &= -193.8 \text{ kcal/mol}\end{aligned}$$

$$\frac{1 \text{ mol CH}_4 \cdot \frac{1 \text{ mole CO}_2}{1 \text{ mole CH}_4} \cdot \frac{44.01 \text{ g CO}_2}{\text{mol CO}_2} \cdot \frac{1 \text{ kcal}}{4.184 \text{ kJ}}}{193.8 \text{ kcal}} = 0.0543 \text{ g/kJ}$$

**Table 4-3**  
Bond Energies (kcal mole<sup>-1</sup> at 25°C)\*

Diatom Molecules

|                  |       |       |      |      |       |
|------------------|-------|-------|------|------|-------|
| H—H              | 104.2 | F—F   | 37.5 | H—F  | 135.9 |
| O=O              | 118.9 | Cl—Cl | 58.1 | H—Cl | 103.1 |
| N≡N              | 226.8 | Br—Br | 46.4 | H—Br | 87.4  |
| C=O <sup>b</sup> | 257.3 | I—I   | 36.5 | H—I  | 71.4  |

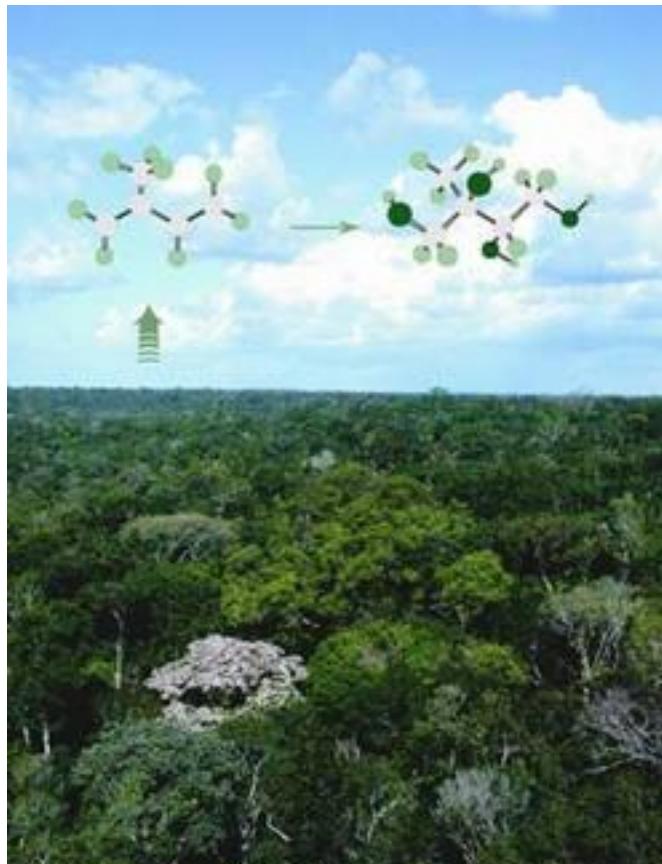
Polyatomic Molecules

|     |       |                  |       |                  |     |
|-----|-------|------------------|-------|------------------|-----|
| C—H | 98.7  | C—C              | 82.6  | C—F              | 116 |
| N—H | 93.4  | C=C              | 145.8 | C—Cl             | 81  |
| O—H | 110.6 | C≡C              | 199.6 | C—Br             | 68  |
| S—H | 83    | C—N              | 72.8  | C—I              | 51  |
| P—H | 76    | C=N              | 147   | C—S              | 65  |
| N—N | 39    | C≡N              | 212.6 | C=S <sup>c</sup> | 128 |
| N≡N | 100   | C—O              | 85.5  | N—F              | 65  |
| O—O | 35    | C=O <sup>d</sup> | 192.0 | N—Cl             | 46  |
| S—S | 54    | C=O <sup>e</sup> | 166   | O—F              | 45  |
| N—O | 53    | C=O <sup>f</sup> | 176   | O—Cl             | 52  |
| N≡O | 145   | C=O <sup>g</sup> | 179   | O—Br             | 48  |

\*The bond energies for diatomic molecules in this table are from the extensive and up-to-date compilation of J. A. Kerr, M. J. Parsonage, and A. F. Trotman-Dickenson in the *Handbook of Chemistry and Physics*, 55th ed., CRC Press, 1975, pp. F-204 to F-208; those for polyatomic molecules are from L. Pauling, *The Nature of the Chemical Bond*, 3rd ed., Cornell University Press, Ithaca, N.Y., 1960.

<sup>b</sup>Carbon monoxide. <sup>c</sup>For carbon disulfide. <sup>d</sup>For carbon dioxide. <sup>e</sup>For formaldehyde. <sup>f</sup>Other aldehydes. <sup>g</sup>Ketones.

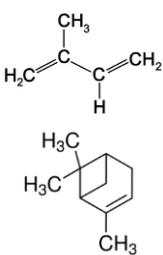
# Fields, Forests, Grasslands, etc.



[www.mpg.de](http://www.mpg.de)

- Volatile organic compounds (VOCs) make up a large fraction of relevant emissions.
- VOCs can be oxidized to form organic particles in the atmosphere.

- Biological conversion of  $\text{CO}_2$  to organic molecules
- Isoprene emission is light-dependent but monoterpenes are not necessarily
  - isoprene: temperature-dependent volatility
  - monoterpene: volatility and concentration (Henry's law)
  - differs in storage mechanism within the plant
- Vegetation type
  - Coniferous (pine): terpenes
  - Deciduous (oak): isoprene



Guenther et al., 1993

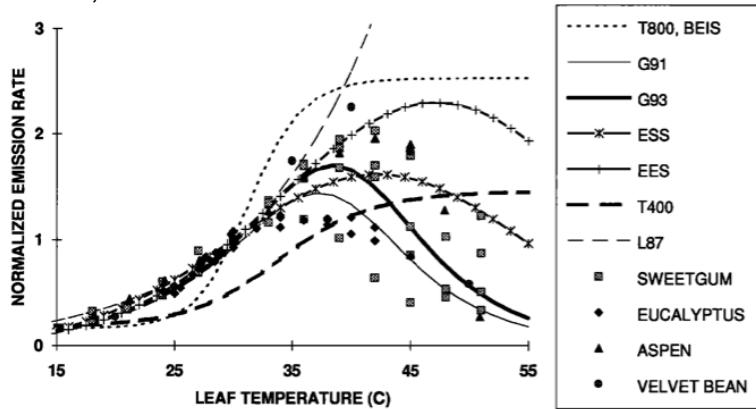


Fig. 3. Relationship between normalized isoprene emission rates and leaf temperatures observed for sweet gum, eucalyptus, aspen, and velvet beans (symbols) and simulated by eight of the isoprene emission models described in Table 1 (lines).

# Biogenic hydrocarbon emissions

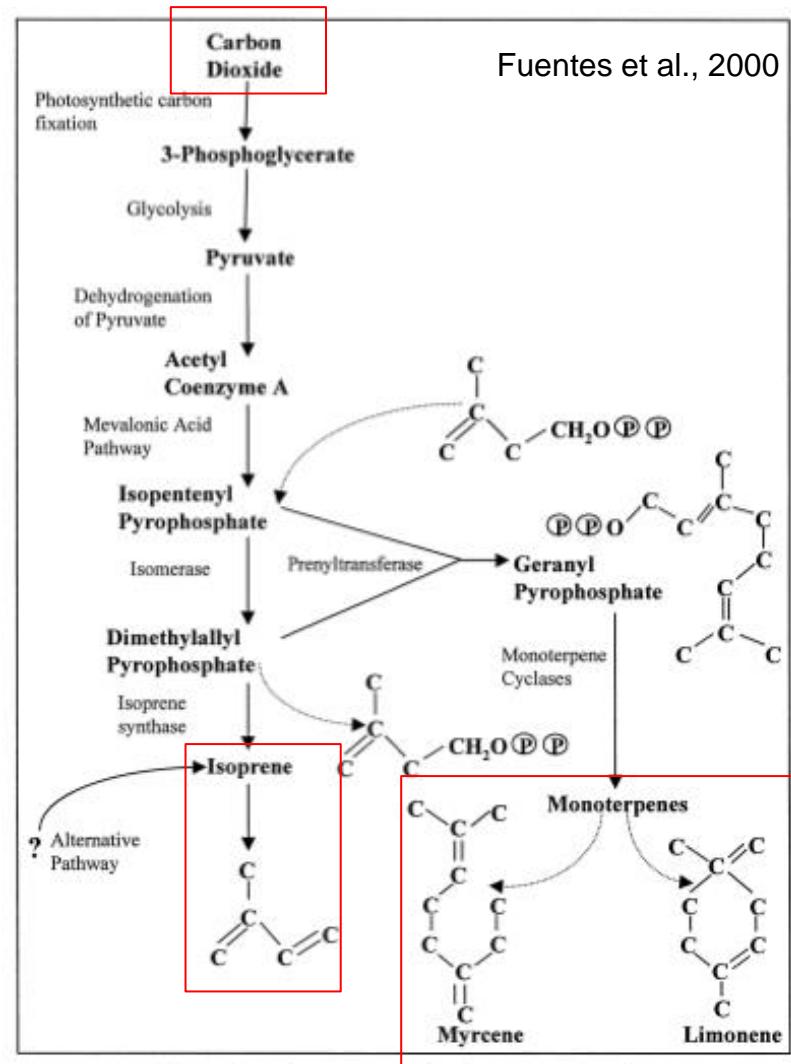
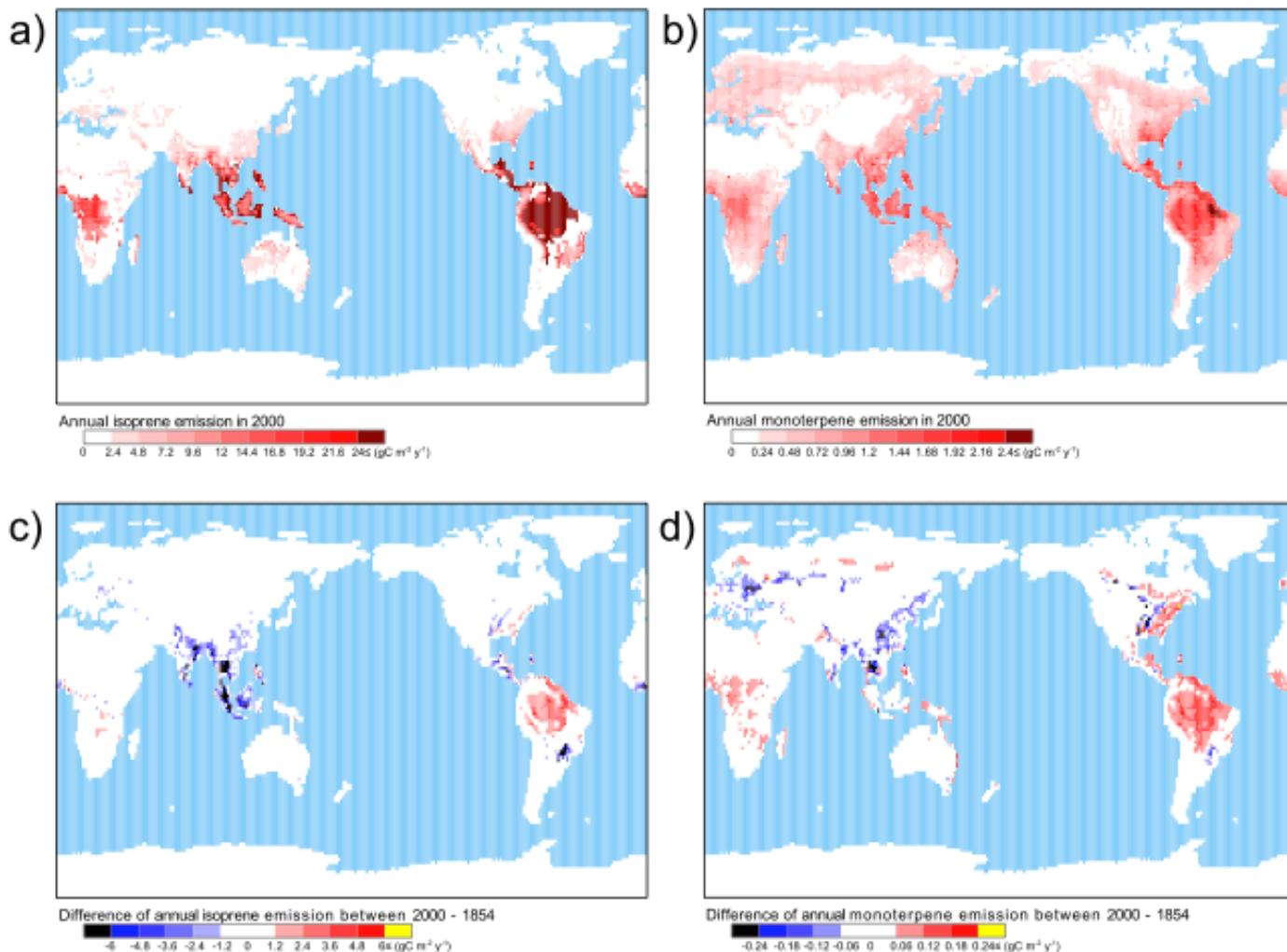


FIG. 1. Biosynthetic pathway for isoprene and monoterpene production in plants.



**Fig. 7.** Distribution of estimated annual global emissions ( $\text{gC m}^{-2} \text{yr}^{-1}$ ) in 2000, and the differences between 2000 and 1854 for isoprene (a and c) and monoterpenes (b and d), respectively.

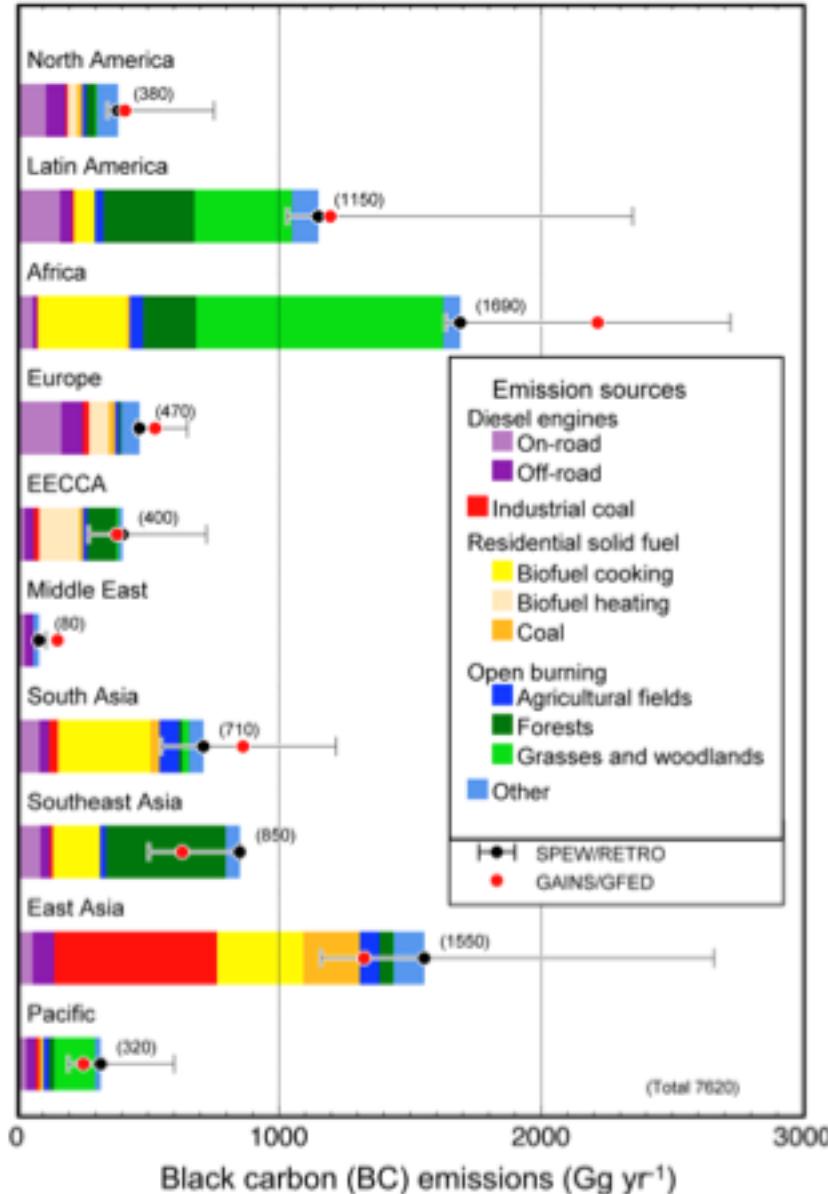
# Burning of biomass



- Mixture of combustion and biogenic products
- Various burning conditions: flaming, smoldering (varies in temperature)
- Many possible fuel types

## Black carbon emissions by region and source in 2000

Bond et al., 2013



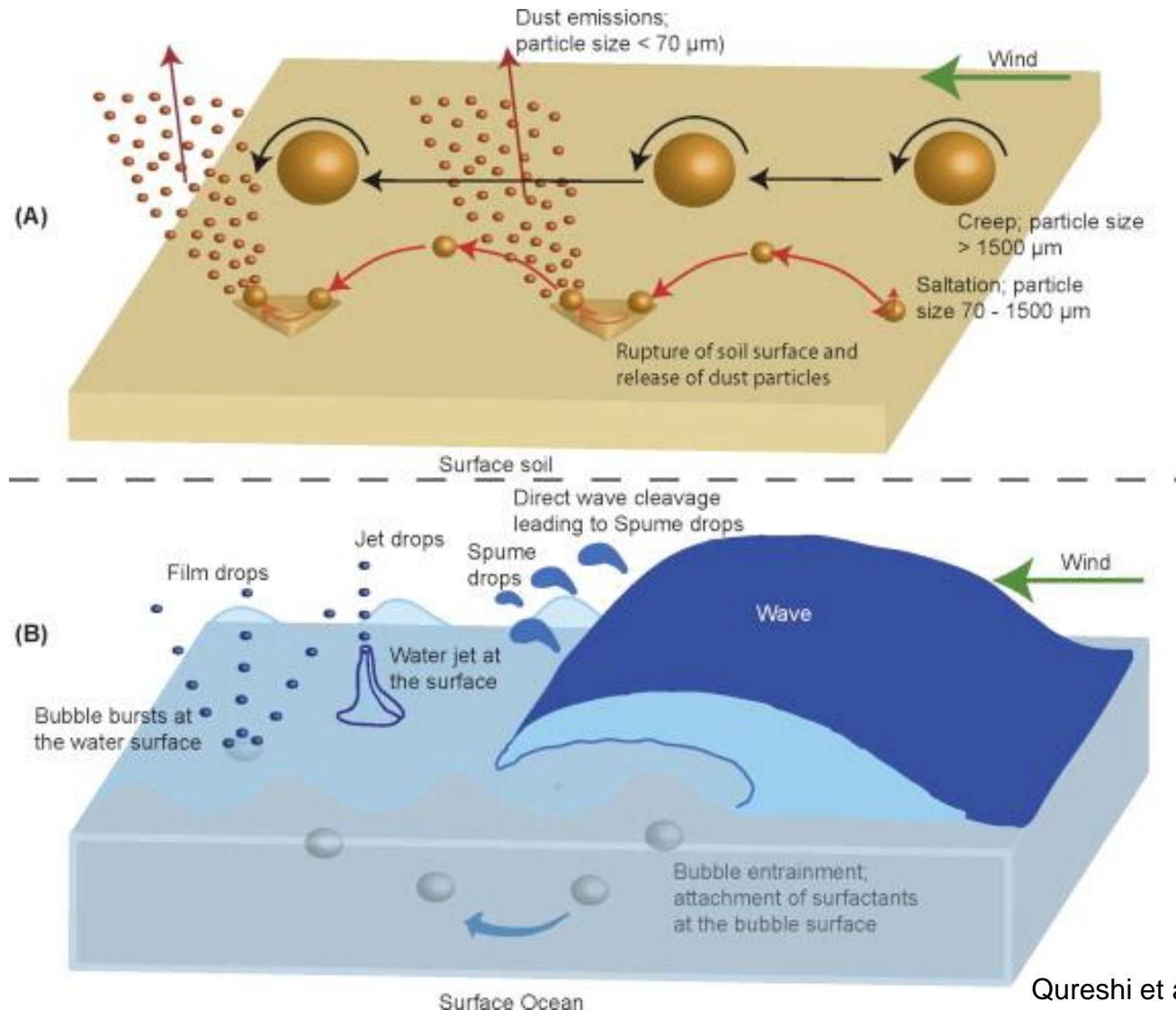
Cookstove in use/*Cheryl Weyant*,  
<http://cee.illinois.edu>



[earthobservatory.nasa.gov](http://earthobservatory.nasa.gov)

**Figure 9.** Emission rates of BC in the year 2000 by region, indicating major source categories in each region. SPEW, GAINS, and RETRO emission data are the same as in Figure 8. Regions are shown in Figure 7.

# Suspension of particles from soil and ocean surfaces



# Dust resuspension

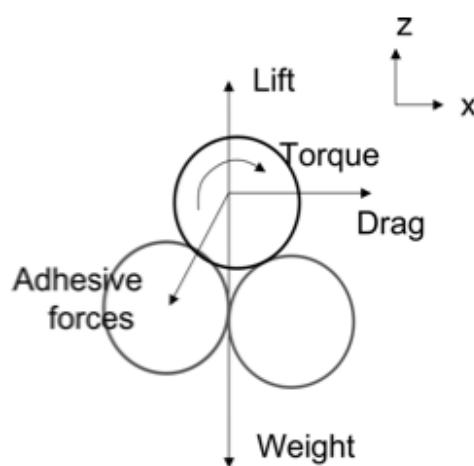
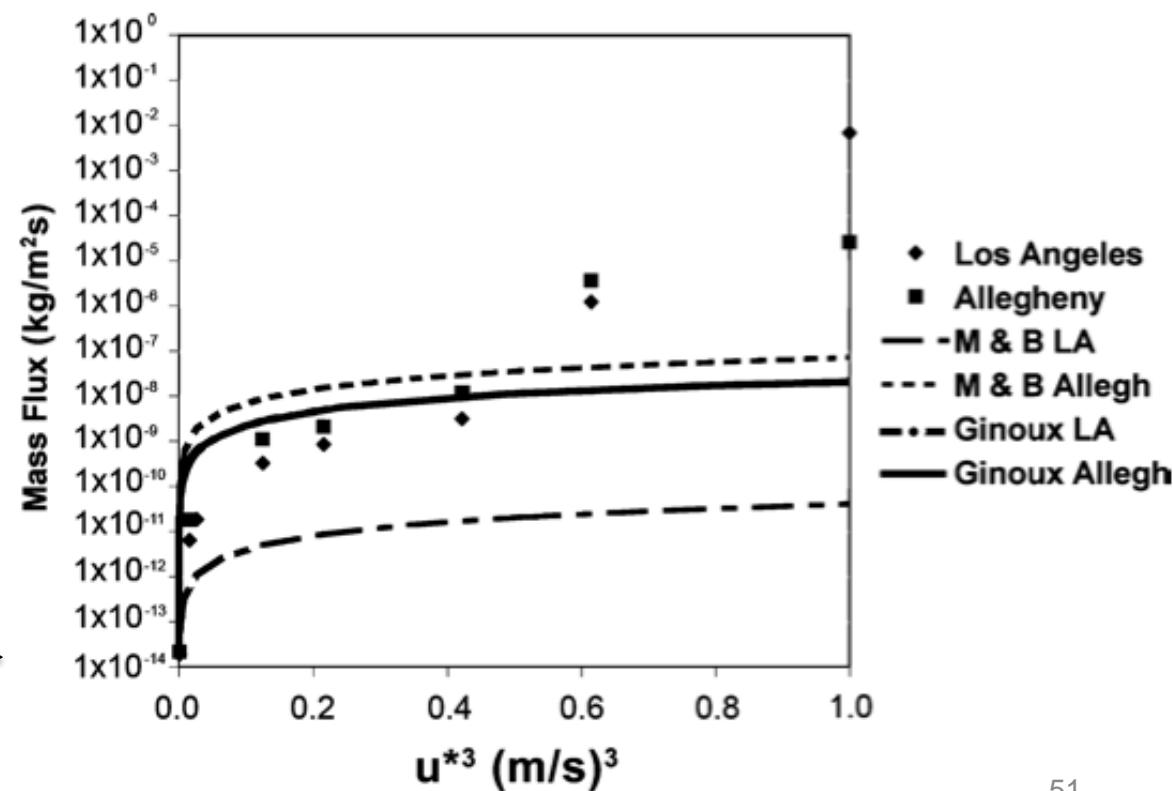


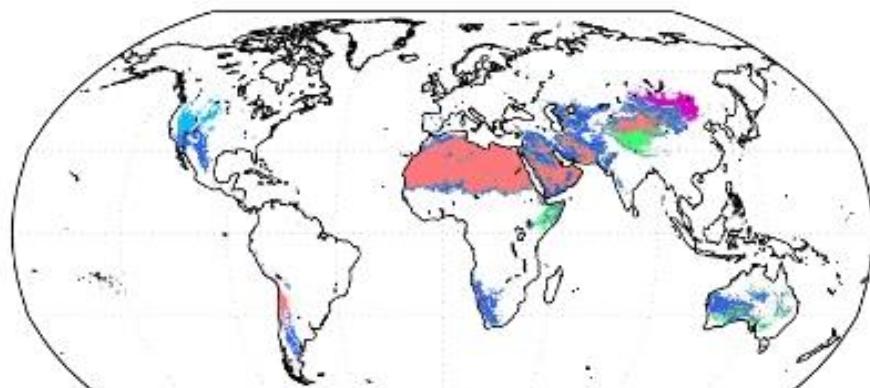
FIG. 1. Force balance acting on a single soil particle subject to aerodynamic and adhesive forces (adapted from Greeley and Iversen, 1985).



↑  
Force balance on soil  
particles ("grains")

Emissions are  
dependent on wind  
speed

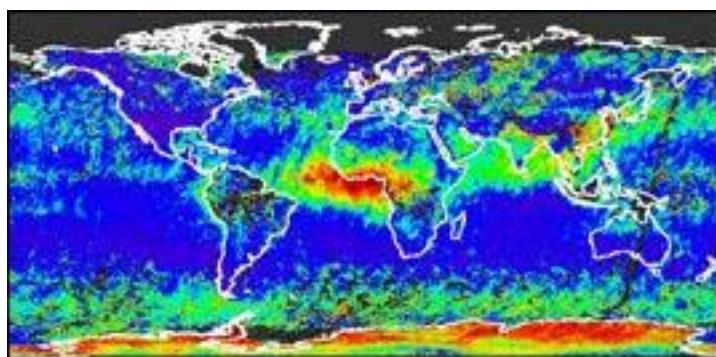
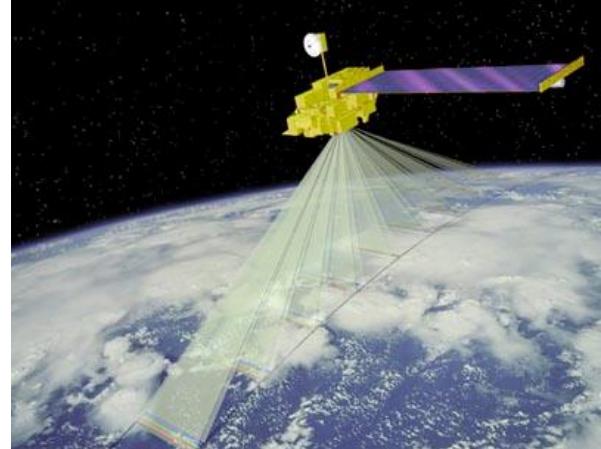




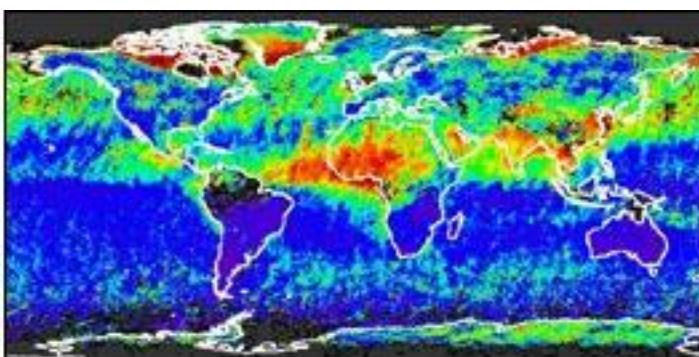
MISR satellite  
source: NASA

Desert locations

source: WMO

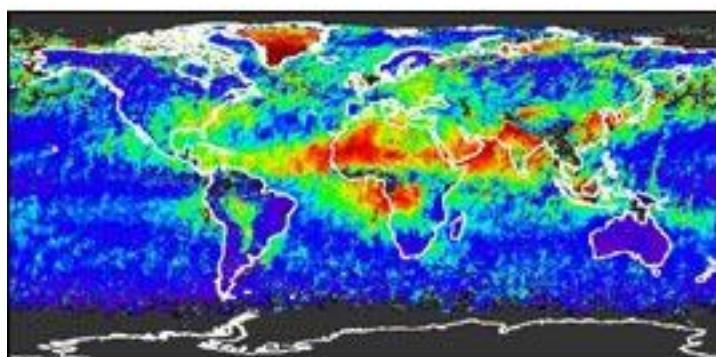


December–February

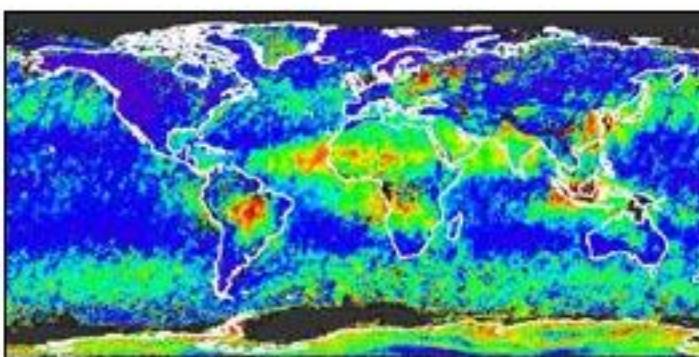


March–May

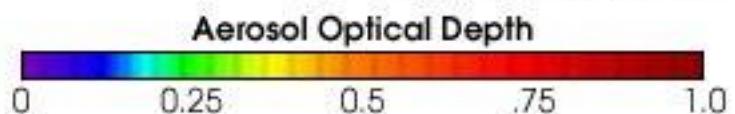
Aerosol Optical Depth ~  
Column aerosol burden



June–August

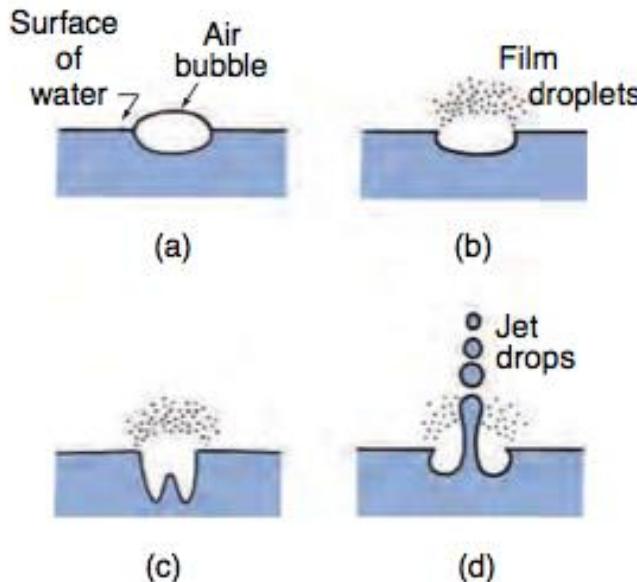


September–November



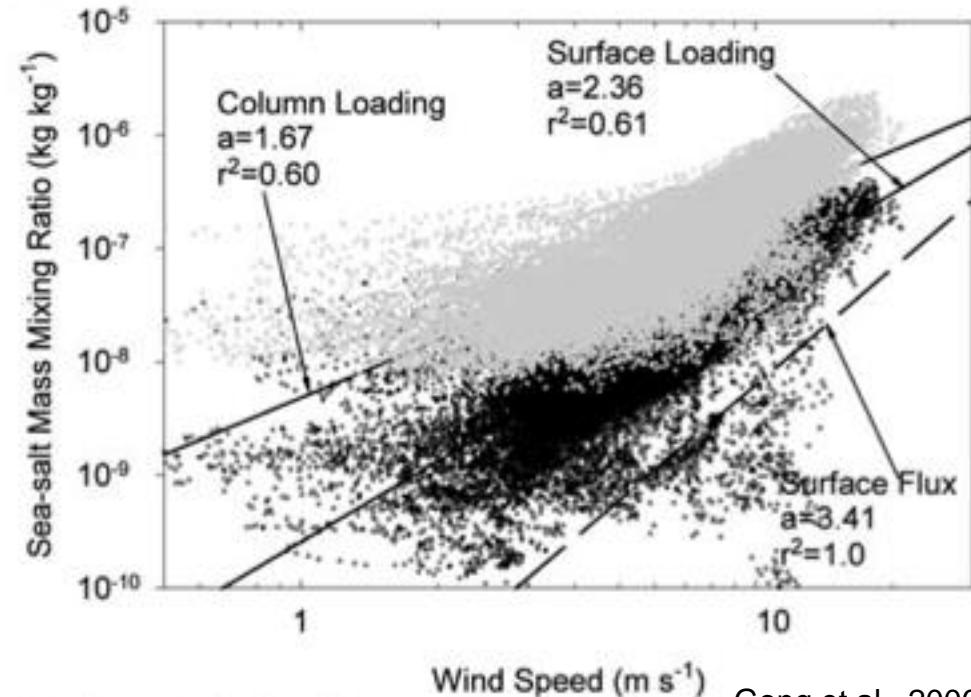
source: NASA

# Sea spray



**Fig. 5.8** Schematics to illustrate the manner in which film droplets and jet drops are produced when an air bubble bursts at the surface of water. Over the oceans some of the droplets and drops evaporate to leave sea-salt particles and other materials in the air. The time between (a) and (d) is  $\sim 2$  ms. The film droplets are  $\sim 5\text{--}30\ \mu\text{m}$  diameter before evaporation. The size of the jet drops are  $\sim 15\%$  of the diameter of the air bubble.

(a) North Atlantic



Gong et al., 2006

- Entrained air bubbles bursting during whitecap formations due to surface winds.
- A continuous supply of excessive energy by the wind to the sea surface results in wave breaking and consequently whitecaps.
- The production of sea-salt aerosols by wind is proportional to the whitecap coverage.

# Agriculture

grazing on pasture



source: Wikipedia

fertilizer spreading



source: Deere.com

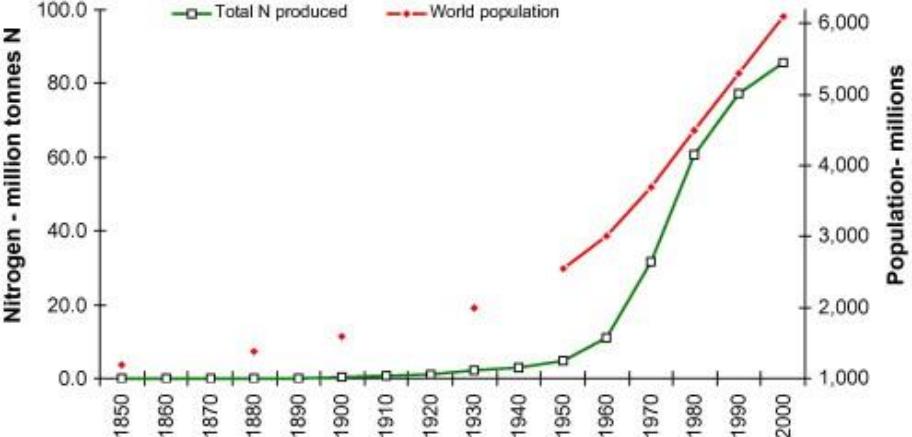
feedlots



source: The Guardian

Major emissions:

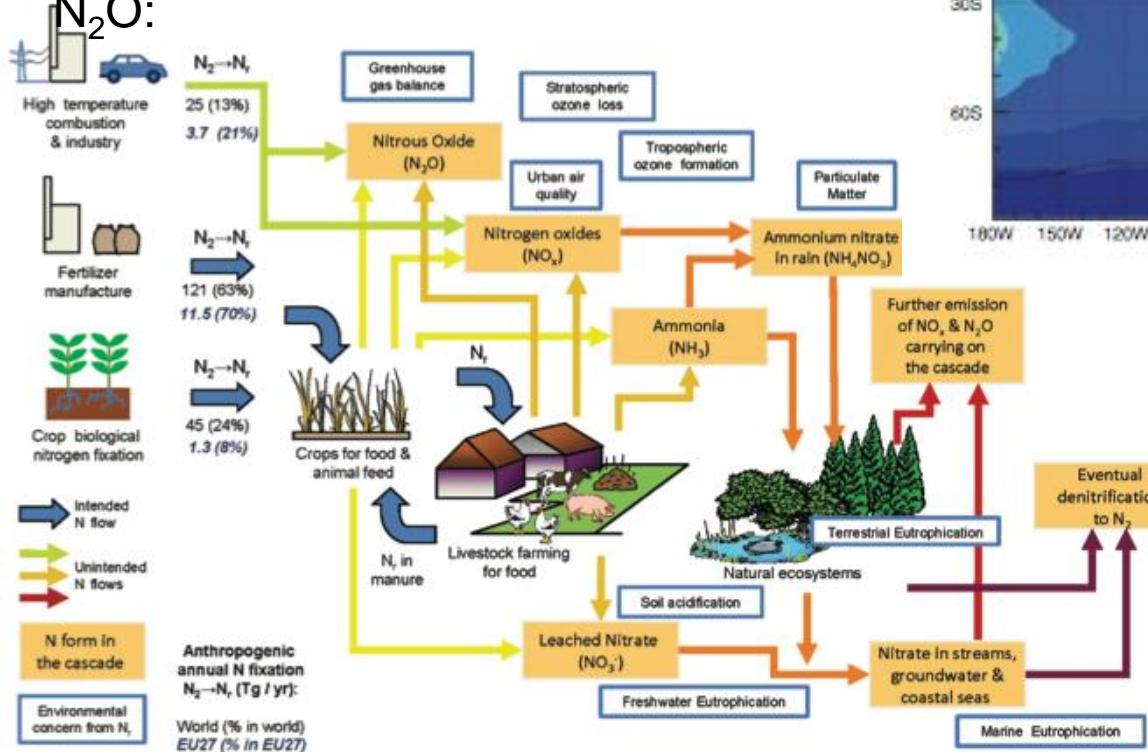
- $\text{NH}_3$  (PM precursor),
- $\text{N}_2\text{O}$  (greenhouse gas)



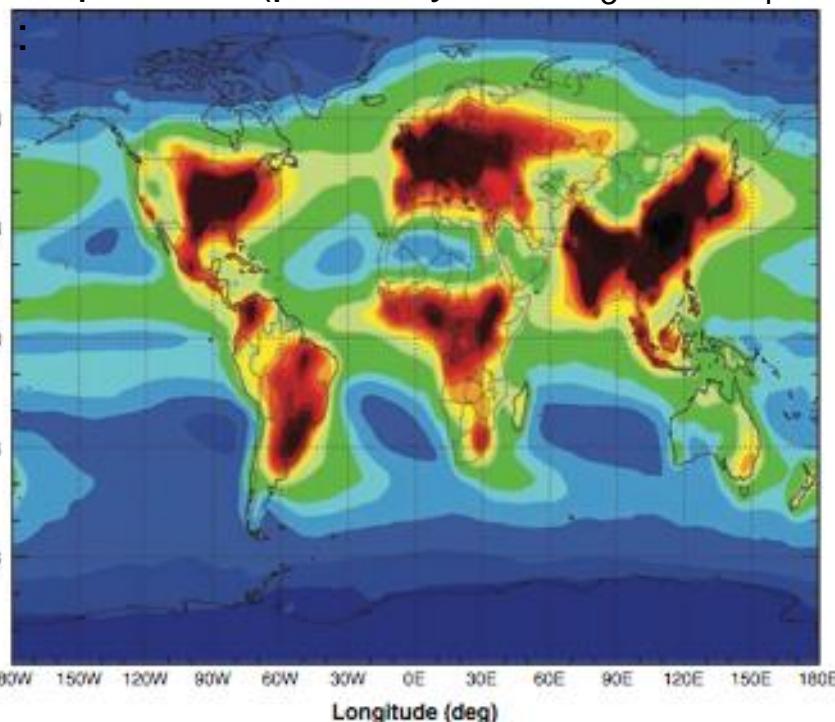
Dawson and Hilton, *Food Policy*, 2011

Nitrogen fixation:

Emission as  $\text{NH}_3$ ,  $\text{N}_2\text{O}$ :

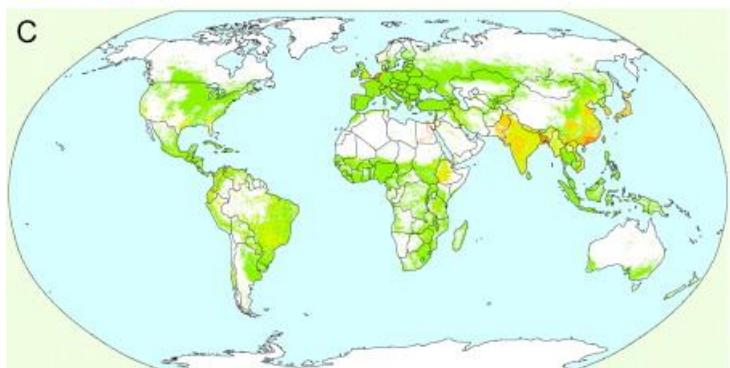
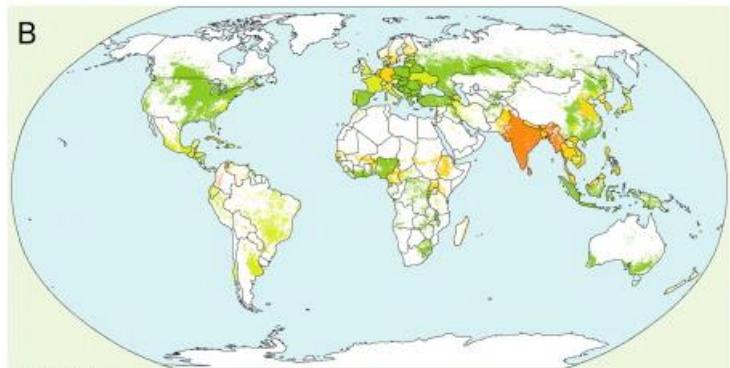
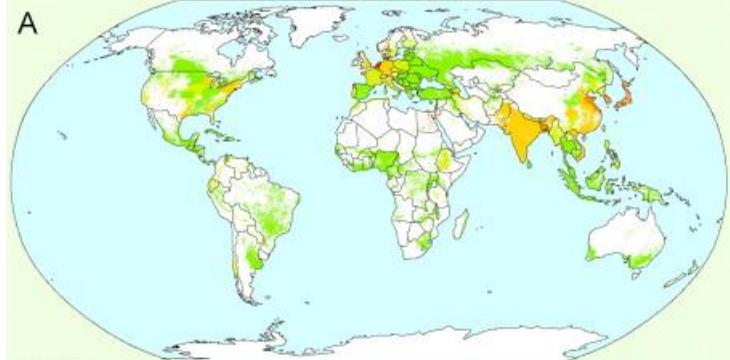
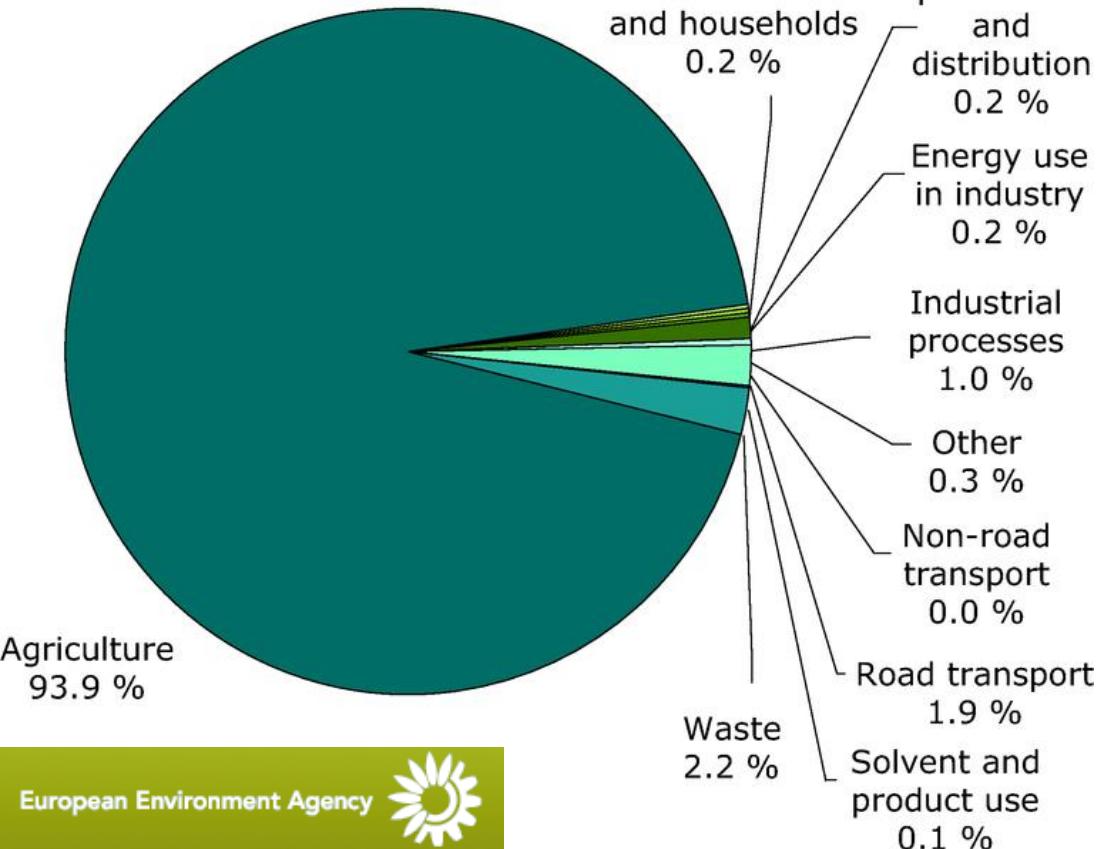


Deposition (primarily as  $\text{NH}_3$  or  $\text{NH}_4\text{NO}_3$ )



Galloway et al., *Science*, 2008

## Sector share of ammonia emissions (EEA member countries)



# **ESTIMATION METHODS**

Given the complexity of the physical/chemical processes leading to many types of emissions, measurements are often used to estimate emission factors in these cases.

Approaches to solve the inverse problem of estimating emissions based on emissions coupled to a physical/chemical model also exist.

Industrial emissions:

- Stack monitoring

Vehicle emissions:

- Dynamometer
- Tunnel studies
- Chamber

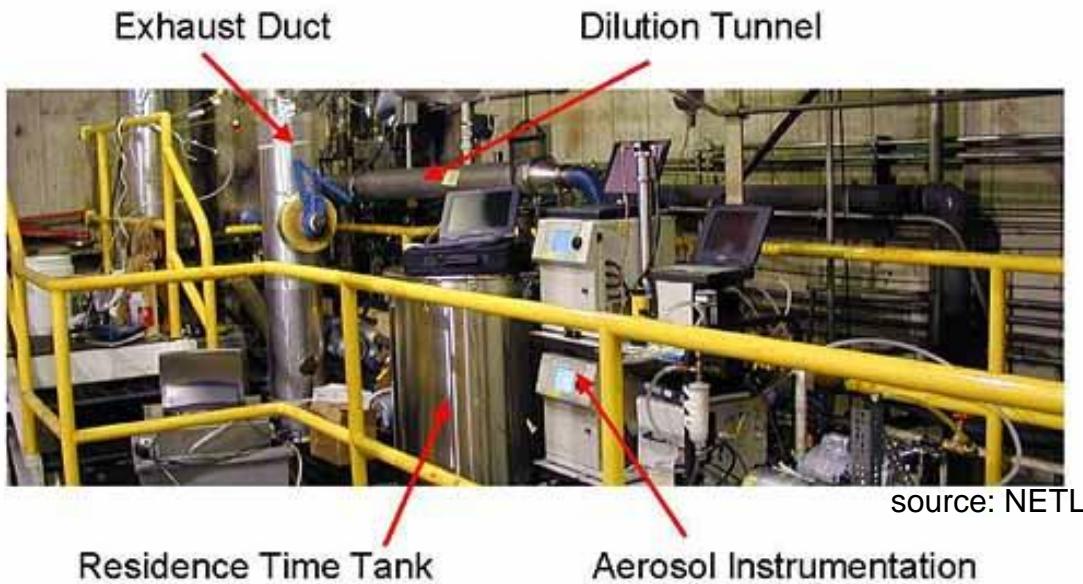
Biogenic emissions:

- Chamber
- Flux tower

# Dynamometer

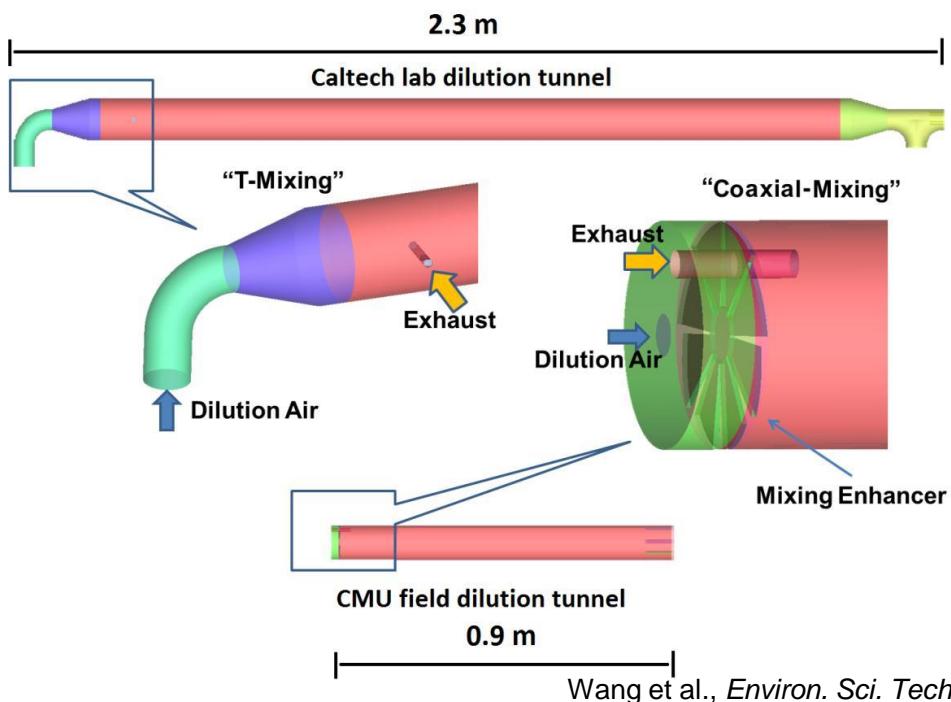


Maricq, 2007

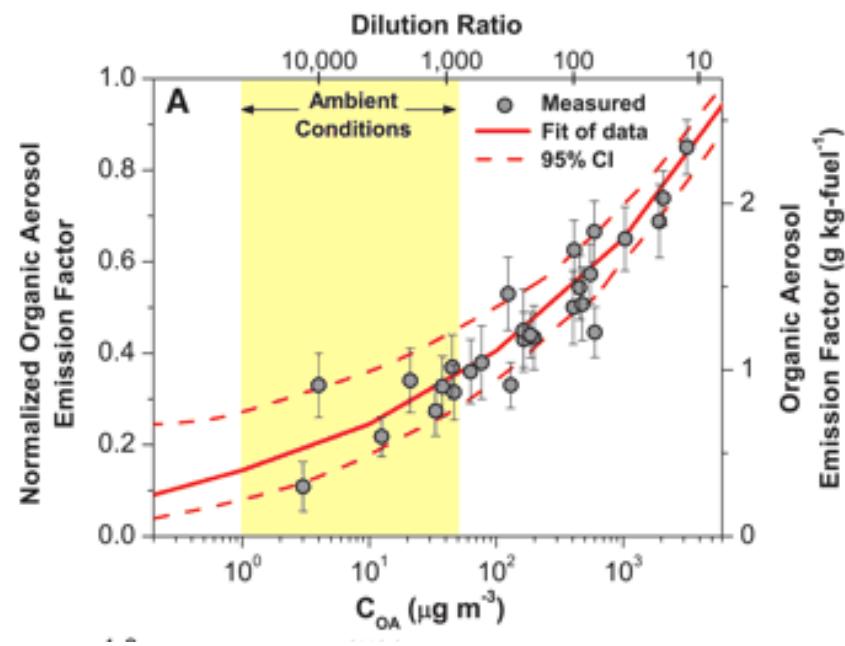


## Residence Time Tank      Aerosol Instrumentation

source: NETL



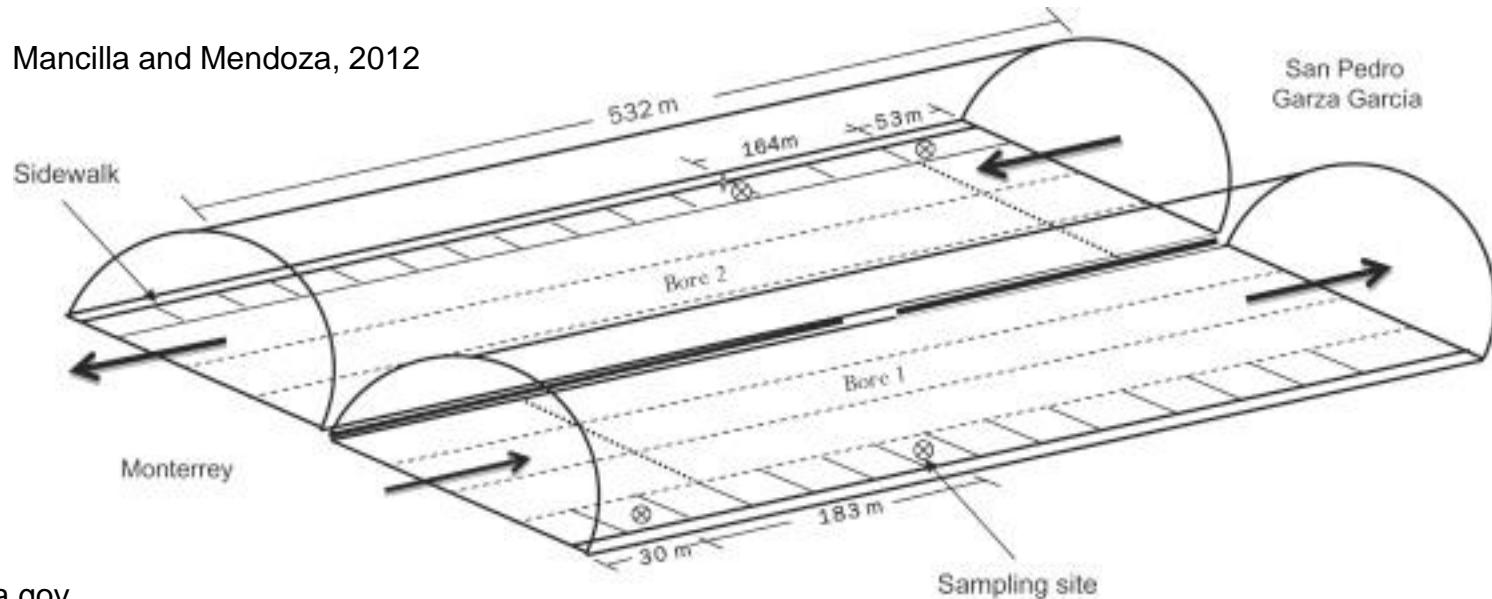
Wang et al., *Environ. Sci. Tech.*, 2013



Robinson et al., *Science*, 2007

# Tunnel study

Mancilla and Mendoza, 2012

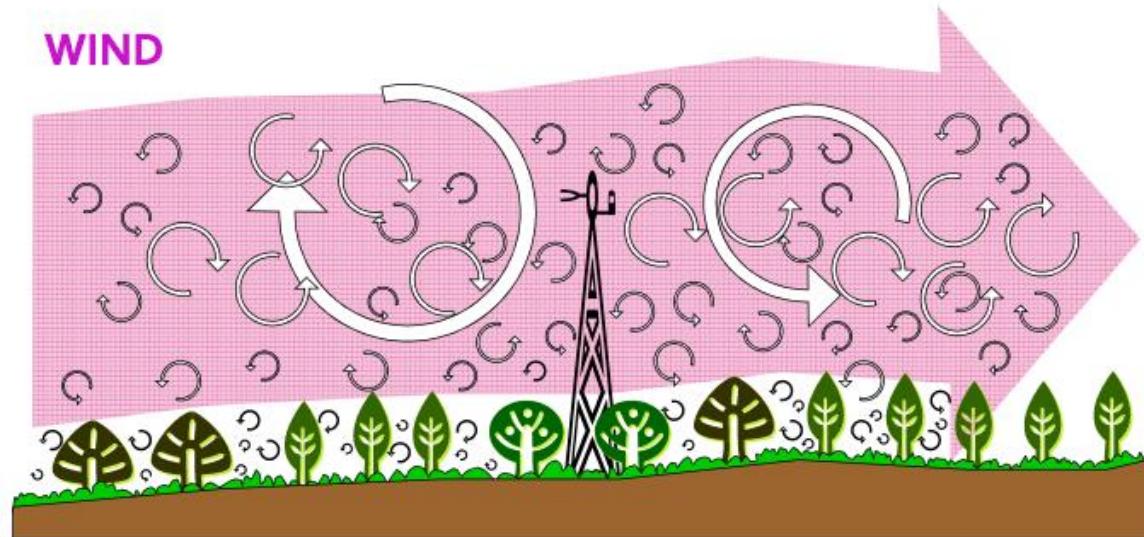


[www.mtc.ca.gov](http://www.mtc.ca.gov)



- Measure emissions in tunnel
- Count number of vehicles entering (classify according to vehicle class: light-duty, heavy-duty, passenger vehicle, truck, etc.)
- Overall emission factor

## WIND



- Air flow can be imagined as a horizontal flow of numerous rotating eddies
- Each eddy has 3-D components, including a vertical wind component
- The diagram looks chaotic but components can be measured from tower

Burba et al., 2011

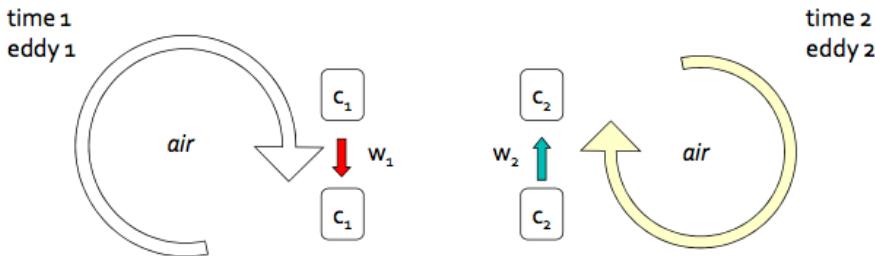


# Measuring area fluxes over different land types by eddy covariance

Fluxes for species  $j$  are calculated from time-averaged product of vertical wind velocities and concentrations deviating from their mean values over a fixed time interval  $k = \{1, 2, \dots, N\}$ :

$$F_j = \overline{w' \chi'_j} = \frac{1}{N} \sum_{k=1}^N w'(t_k) \chi'_j(t_k)$$

- $F_j$  = flux (mass per time)
- $w'$  = instantaneous deviation of vertical wind velocity (length per time)
- $\chi'_j$  = instantaneous deviation of mass concentration (mass per volume)



At a single point on the tower:

Eddy 1 moves parcel of air  $c_1$  down with the speed  $w_1$ , then Eddy 2 moves parcel  $c_2$  up with the speed  $w_2$

Each parcel has concentration, temperature, humidity; if we know these and the speed – we know the flux

# Emission factors and reactivity from chamber studies

- Investigate emissions from plants, patch of land, and other things
- Create your own atmosphere
- Investigate chemical reactions – production of O<sub>3</sub> and PM
  - inject VOCs, oxidants
  - subject to UV or sunlight



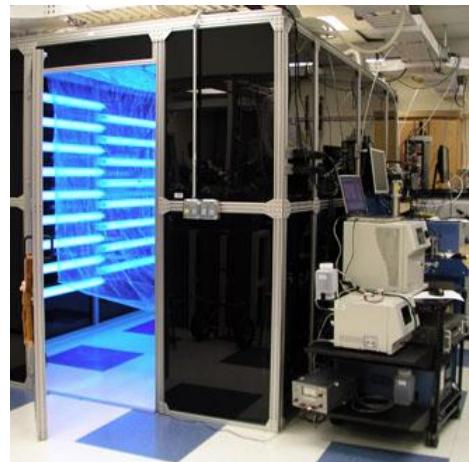
UNC Chapell Hill



Jülich



UNC Chapell Hill



UC Irvine



UC Boulder

# Controlled burning studies



source: <http://lamar.colostate.edu/>

Table 1. Plant Species That Served as Fuels During FLAME

| Common Name         | Scientific Name                         | Sampling Location(s)                                                                            | Carbon Content (%) | Nitrogen Content (%) |
|---------------------|-----------------------------------------|-------------------------------------------------------------------------------------------------|--------------------|----------------------|
| Alaskan duff        |                                         | Tok, Alaska                                                                                     | 31                 | 0.5                  |
| Black spruce        | <i>Picea mariana</i>                    | Fairbanks, Alaska                                                                               | 55                 | 0.6                  |
| Chamise             | <i>Adenostoma fasciculatum</i>          | San Jacinto Mountain, Calif.                                                                    | 49                 | 1.0                  |
| Common reed         | <i>Phragmites australis</i>             | Cameron Prairie NWR, La.                                                                        | 49                 | 0.5                  |
| Douglas fir         | <i>Pseudotsuga menziesii</i>            | Missoula, Mont.                                                                                 | 54                 | 0.5–0.9              |
| Gallberry           | <i>Ilex coriacea</i> <i>Ilex glabra</i> | Sandhill Crane NWR, Miss.<br>St. Marks NWR, Fla.<br>Oscoda National Forest, Fla.                | 56                 | 0.8                  |
| Grass               | various species                         | Missoula, Mont.                                                                                 | 42                 | 3.0                  |
| Gray's rabbitbrush  | <i>Eriogonum flavum</i>                 | Utah                                                                                            | 46                 | 1.1                  |
| Hickory             | <i>Carya ovata</i>                      | Hillsborough, N. C.                                                                             | 48                 | 2.1                  |
| Hoaryleaf ceanothus | <i>Ceanothus crassifolius</i>           | San Jacinto, Calif.                                                                             | 48                 | 1.3                  |
| Kudzu               | <i>Pueraria montana</i>                 | Athens, Ga.                                                                                     | 47                 | 3.6                  |
| Lodgepole pine      | <i>Pinus contorta</i>                   | Missoula, Mont.                                                                                 | 42–50              | 0.3–1.2              |
| Loblolly pine       | <i>Pinus taeda</i>                      | North Carolina, N.C.<br>Sandhill Crane NWR, Miss.<br>St. Marks NWR, Fla.<br>Camp Lejeune, N. C. | 52                 | 1.1                  |
| Manzanita           | <i>Arctostaphylos glandulosa</i>        | San Jacinto, Calif.                                                                             | 48                 | 0.8                  |
| Needlegrass rush    | <i>Juncus roemerianus</i>               | St. Marks NWR, Fla.                                                                             | 49                 | 1.1                  |
| Palmetto            | <i>Serenoa repens</i>                   | St. Marks NWR, Fla.<br>Oahu, N. H., Fla.                                                        | 51                 | 1.0                  |
| Peltophorum         | <i>Peltophorum inerme</i>               | Sandhill Crane NWR, Miss.                                                                       |                    |                      |
| Ponderosa pine      | <i>Pinus ponderosa</i>                  | Peloppones, Greece                                                                              | 48                 | 0.8                  |
| Puerto Rican fern   | <i>Dicranopteris pectinata</i>          | Missoula, Mont.                                                                                 | 46–49              | 0.04–1.3             |
| Rhododendron        | <i>Rhododendron minus</i>               | Puerto Rico                                                                                     | 46                 | 0.4                  |
| Rice straw          | <i>Oryza sativa</i>                     | Chad                                                                                            | 51                 | 0.6                  |
| Sagebrush           | <i>Artemesia tridentata</i>             | Douliou City, Taiwan                                                                            | 39–46              | 0.6–0.9              |
| Smooth cord grass   | <i>Hibiscus tiliaceus</i>               | Salt Lake City, Utah/Missoula, Mont.                                                            | 47–51              | 1.5–2.1              |
| Sugarcane           | <i>Spartina alterniflora</i>            | Puerto Rico                                                                                     |                    |                      |
| Swamp sawgrass      | <i>Schoenoplectus officinarum</i>       | St. Marks NWR, Fla.                                                                             |                    |                      |
| Tek                 | <i>Cladonia</i> spp.                    | Guangdong Province, China                                                                       | 48                 | 1.3                  |
| Titi                | <i>Tectona grandis</i>                  | Big Branch Marsh NWR, La.                                                                       | 48                 | 2.1                  |
| Turkey oak          | <i>Cyrilla racemiflora</i>              | Puerto Rico                                                                                     | 44                 | 0.8                  |
| Utah juniper        | <i>Quercus laevis</i> Walt.             | St. Marks NWR, Fla.                                                                             | 54                 | 0.9                  |
| Wax myrtle          | <i>Juniperus osteosperma</i>            | Hillsborough, N. C./Camp Lejeune, N. C.                                                         | 53                 | 1.3                  |
| White spruce        | <i>Myrica cerifera</i>                  | Utah                                                                                            | 49                 | 0.9                  |
| Wiregrass           | <i>Picea glauca</i>                     | Sandhill Crane NWR, Fla.                                                                        | 48–53              | 1.1–1.4              |
|                     | <i>Aristida beyrichiana</i>             | St. Marks NWR, Fla.                                                                             |                    |                      |
|                     |                                         | Fairbanks, Alaska                                                                               | 52                 | 0.8                  |
|                     |                                         | Sandhill Crane NWR, Miss.                                                                       | 48                 | 0.5                  |
|                     |                                         | St. Marks NWR, Fla.                                                                             |                    |                      |
|                     |                                         | Camp Lejeune, N. C.                                                                             |                    |                      |

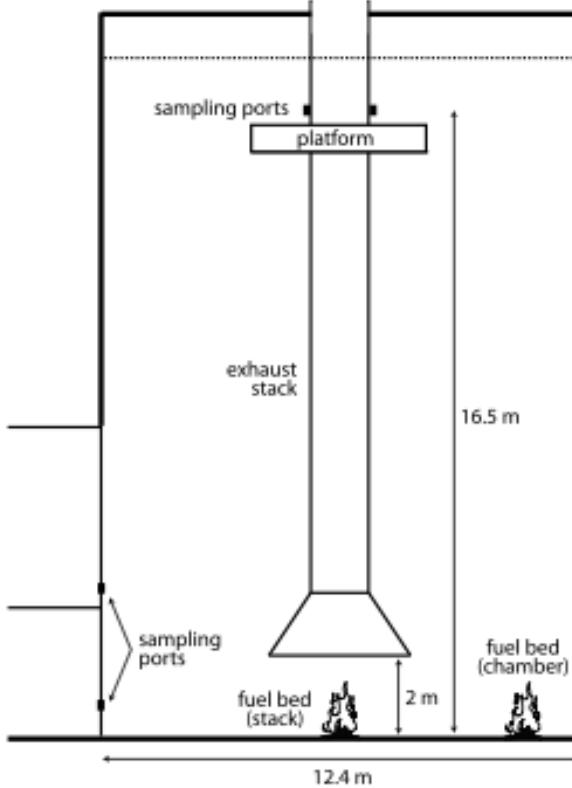
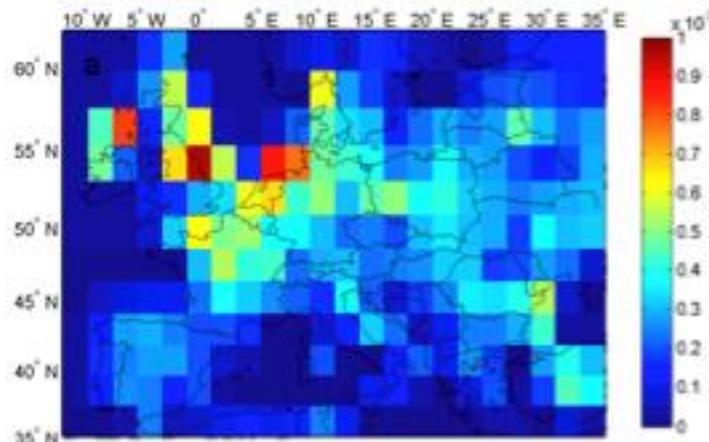


Figure 1. Schematic of the U.S. Forest Service Fire Sciences Laboratory combustion facility, located in Missoula, Montana. Image is to scale. The locations of the fuel bed and of the sampling ports during stack and chamber burns are indicated.

# Inverse modeling

## $\text{N}_2\text{O}$ fluxes over Europe



Thompson et al., *Atmos. Chem. Phys.*, 2011

## $\text{NH}_3$ emissions over U.S.

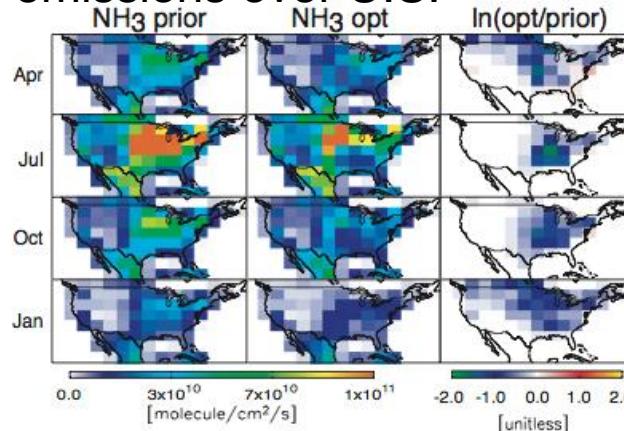


Fig. 8. Anthropogenic  $\text{NH}_3$  emissions. The left column shows the prior inventory, the center the optimized inventory, and the right column the logarithmic scaling factors ( $\sigma$ ).

Given model  $F$  and its parameters  $p$ , observations of variables  $y$  (with initial and boundary conditions) as a function of position  $\mathbf{r}$  and time  $t$ , find emissions  $E$ :

$$y(\mathbf{r}, t) = F(y_0(\mathbf{r}), y_r(t), E, p)$$

Observations may be from in-situ or remote sensing measurements

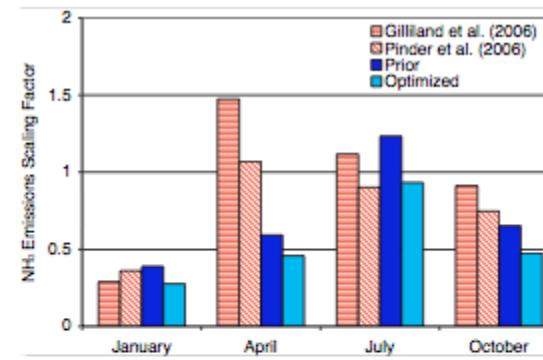
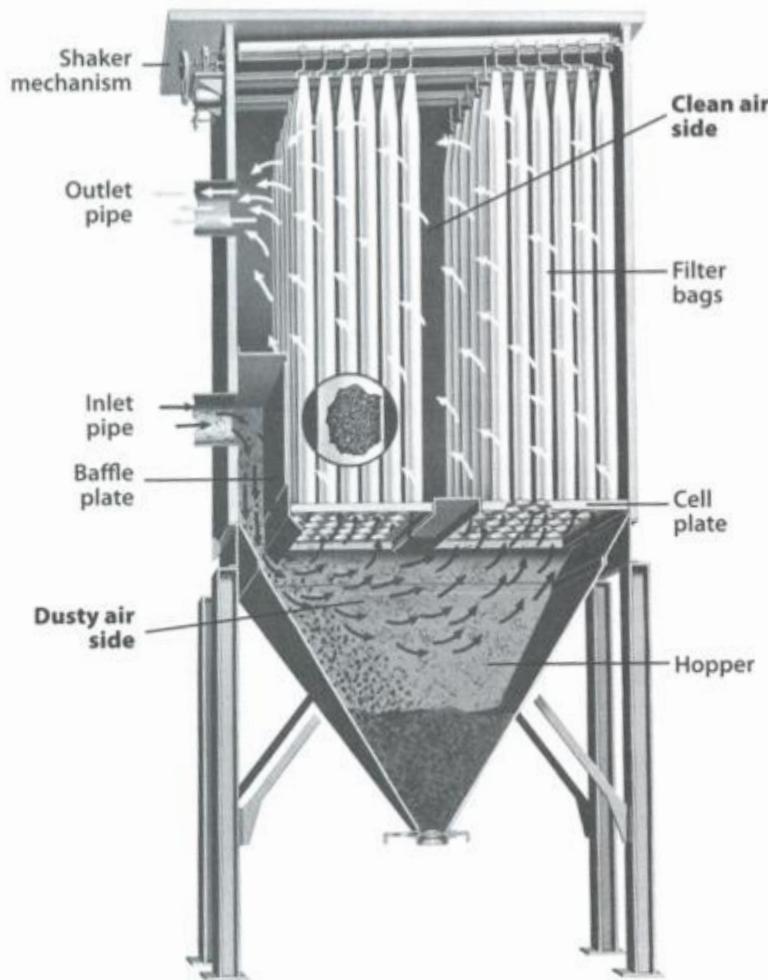


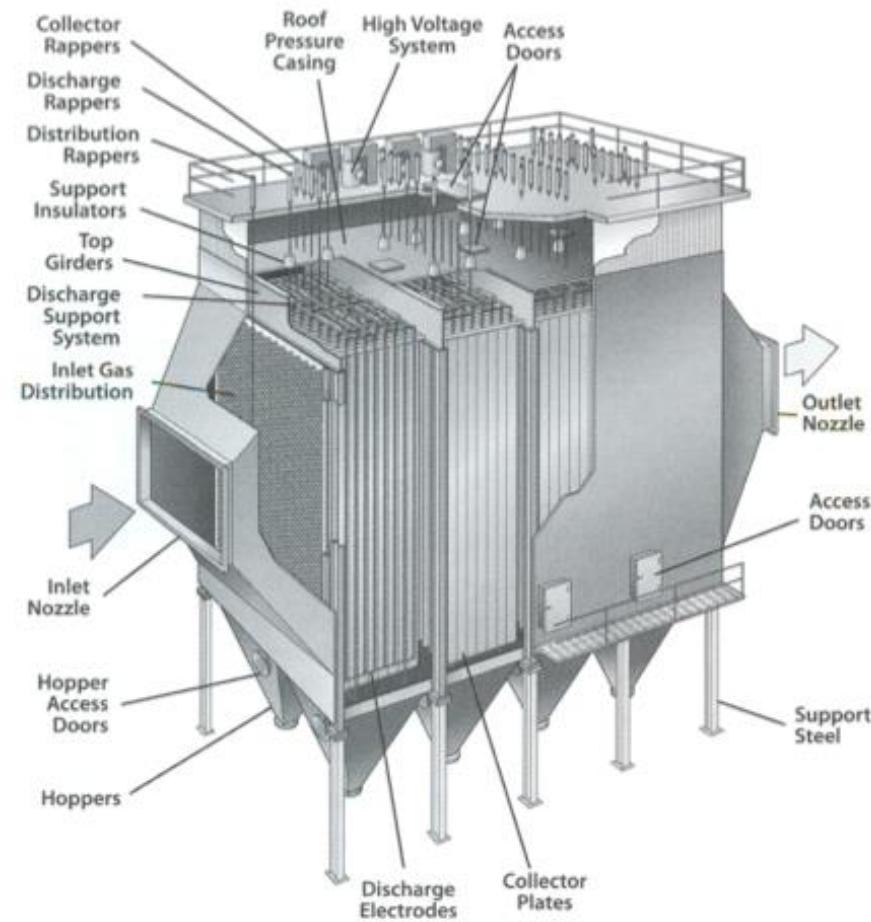
Fig. 10. Monthly emissions scaling factors for US emissions of  $\text{NH}_3$  from all sources. Scaling is with respect to the NEI99 monthly value of 3.6 Tg N/yr. The initial GEOS-Chem simulation is shown in dark blue, with the optimized monthly scaling factors comprised of separate scaling factors in each grid cell are in light blue. The red striped bars show the inverse modeling estimates of Gilliland et al. (2006) (horizontal lines) and the process based estimates of Pinder et al. (2006) (diagonal lines). Note the modeling domain and prior emissions inventories for the latter two works are different than that of the present work.

# **CONTROL OF EMISSIONS**

# Control of primary particulate matter



**Figure 6.3**  
Cutaway view of a shaker baghouse.  
(Courtesy of Siemens Energy, Inc., Orlando, FL.)



**Figure 5.2**  
Cutaway view of an electrostatic precipitator.  
(Courtesy of the Babcock & Wilcox Company, Barberton, OH.)

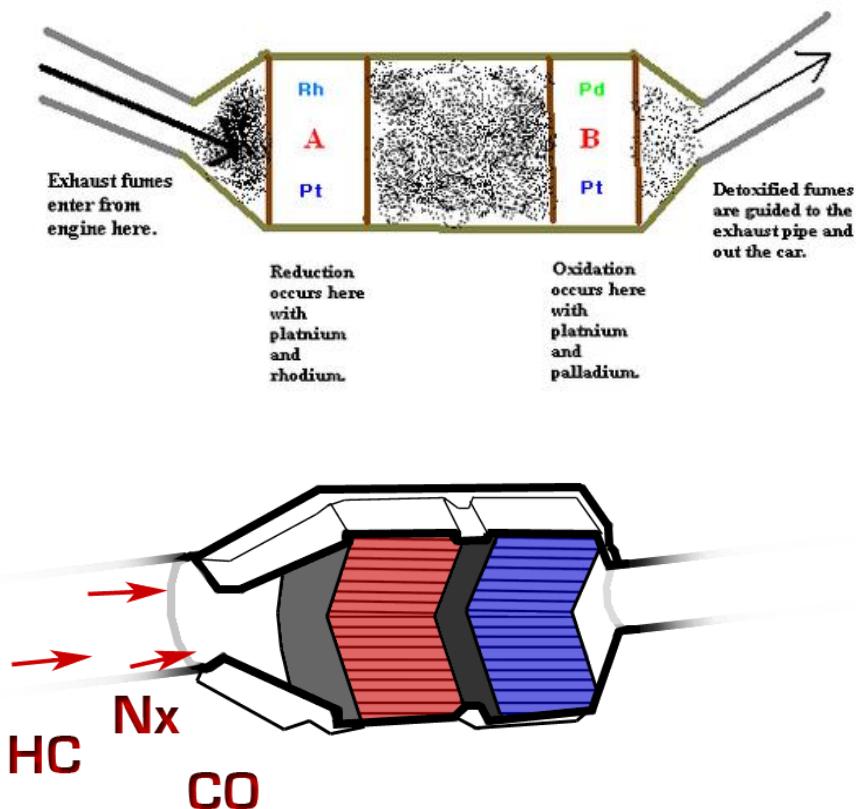
# Control of gases and vapors

- adsorption onto surface of solids
- absorption into liquid solvents
- conversion to another chemical form by oxidation (catalytic or direct flame incineration)
- change original chemical process which produces the pollutant

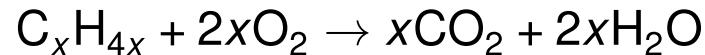


## Catalytic converters:

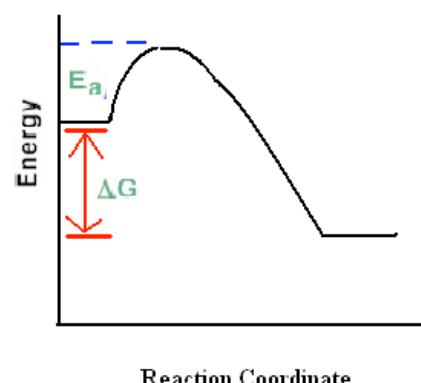
- Reduce  $\text{NO}_x$  (possible formation of  $\text{NH}_3$ )
- Also allows oxidation of CO and hydrocarbons at lower temperatures
- Redox reactions on surfaces of platinum, rhodium, and palladium



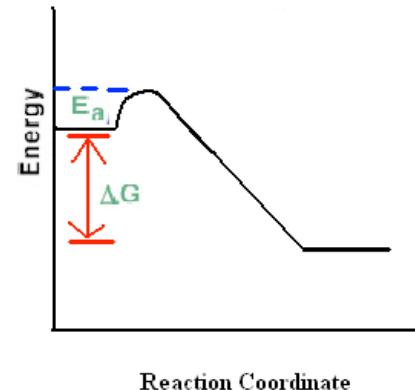
## $\text{NO}_x$ control



A. Without a catalyst. Note the higher activation energy required for the process to start. Reaction would take longer to form and products would gradually form.

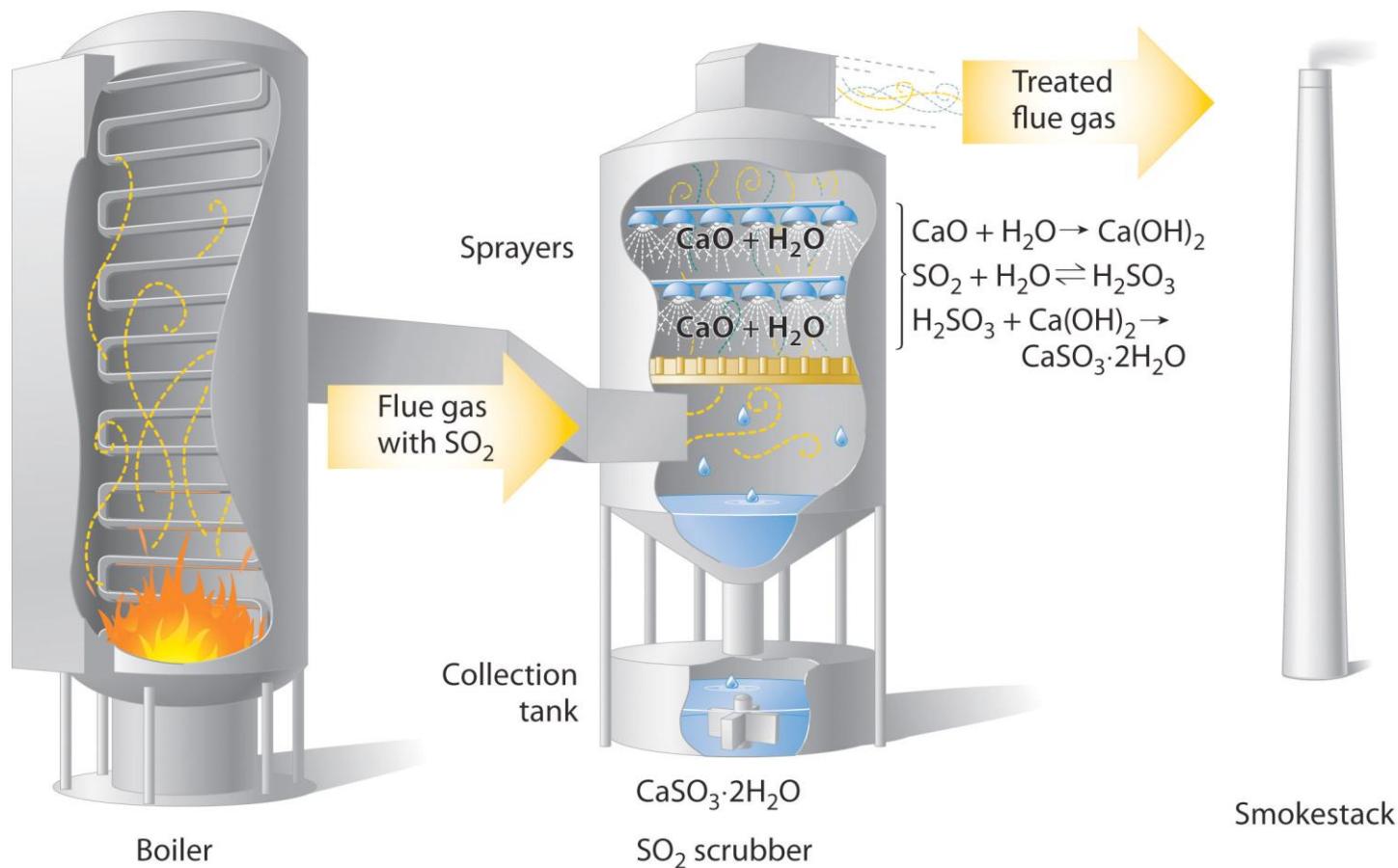


B. With the help of a catalyst, the activation energy required for the reaction goes down significantly. This means the process would go faster and yield more of the products.



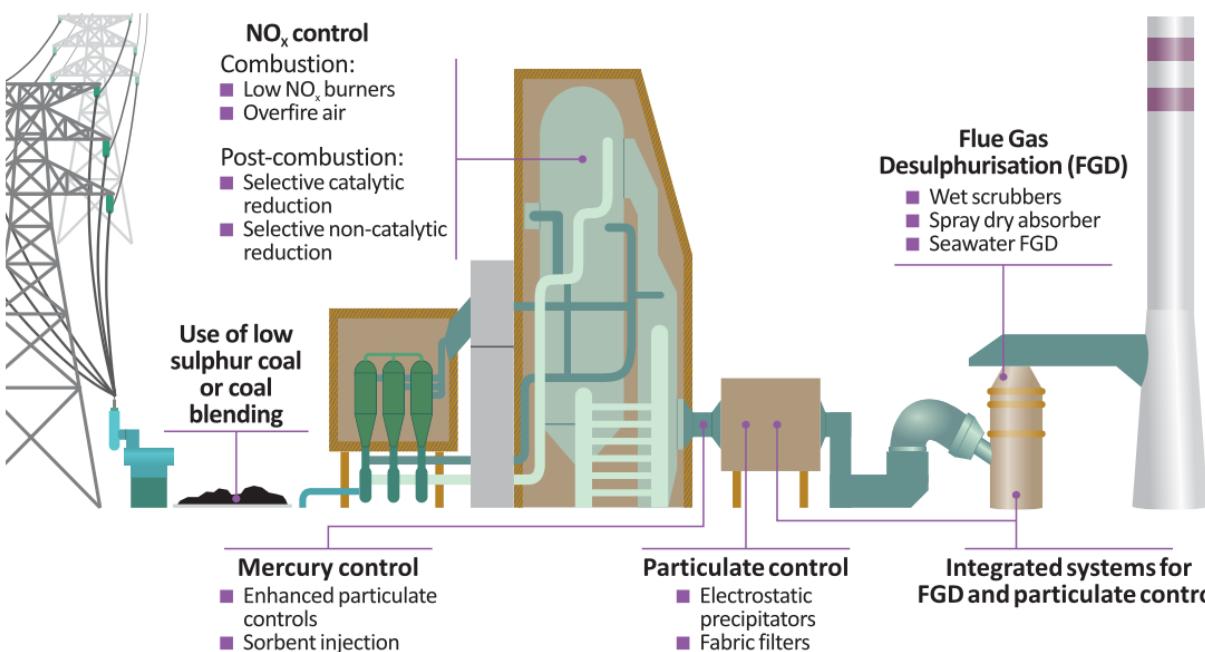
# SO<sub>2</sub> scrubber

trap SO<sub>2</sub> by reaction with lime (CaO)



source: UCDavis Chemwiki

**Figure 1.14 ▷ Typical emissions control systems for power plants**



**Table 1.4 ▷ Selected mitigation technologies for air pollutants in power generation and industry**

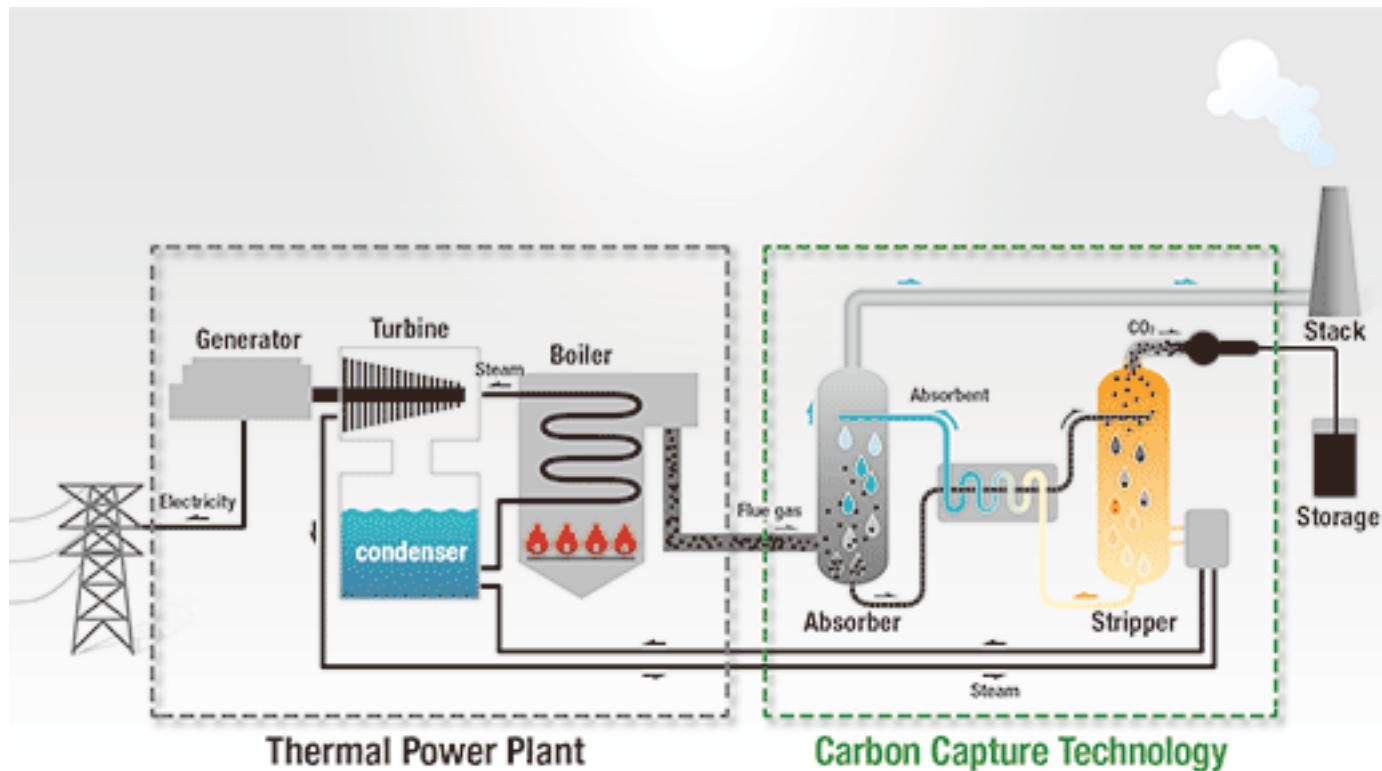
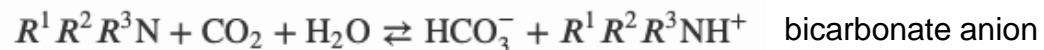
| Pollutant         | Mitigation technology                    | Type of technology             | Abatement efficiency |
|-------------------|------------------------------------------|--------------------------------|----------------------|
| SO <sub>2</sub>   | Wet flue-gas desulfurisation             | End-of-pipe                    | 70-98%*              |
|                   | Spray-drier absorption                   | End-of-pipe                    | 50-70%               |
| NO <sub>x</sub>   | Low and ultralow-NO <sub>x</sub> burners | Integral to combustion process | 20-30%               |
|                   | Selective catalytic reduction            | End-of-pipe                    | 90%                  |
|                   | Selective non-catalytic reduction        | End-of-pipe                    | <50%                 |
| PM <sub>2.5</sub> | Fabric filtration                        | End-of-pipe                    | >99%                 |
|                   | Electrostatic precipitators              | End-of-pipe                    | >99%                 |

\* Abatement efficiency based on coals with 0.3-4.8% sulfur content.

Sources: Nalbandian-Sudgen, H.; IEA Clean Coal Centre (2006) and IEA analysis.

# Carbon capture and storage (CCS)

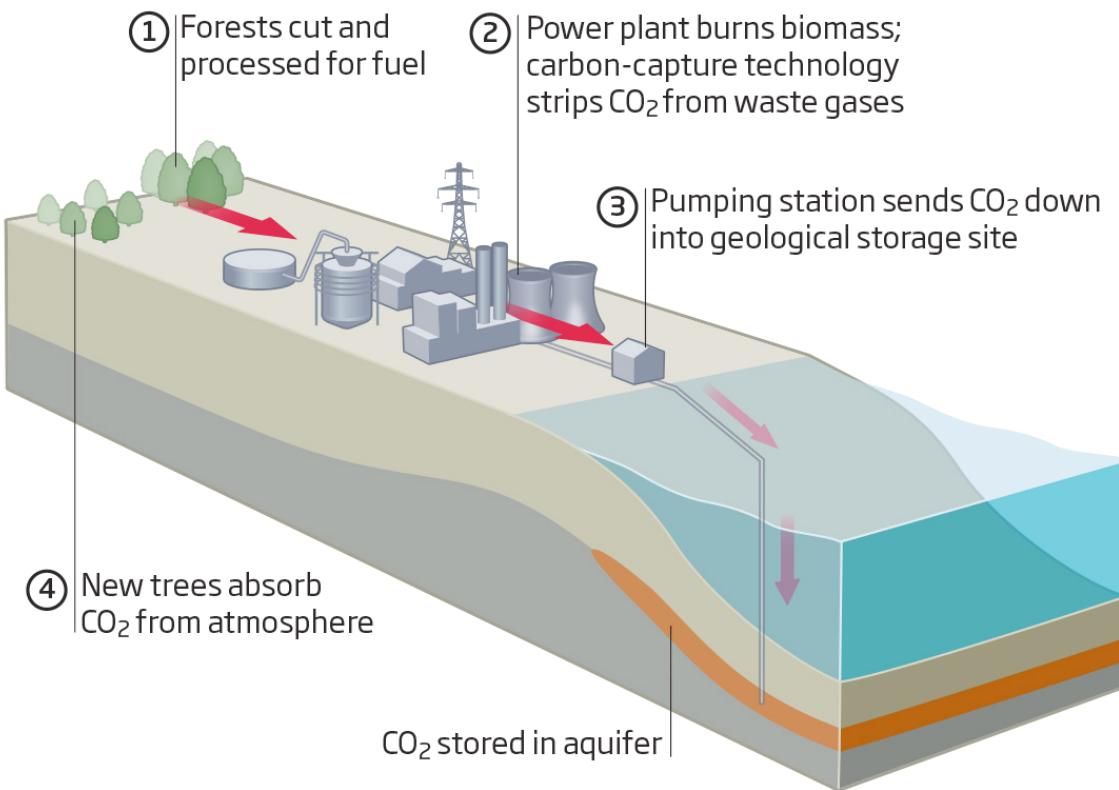
Amines ( $-\text{NH}_2$ ) are weak bases in solution and can neutralize weak acids ( $\text{CO}_{2(\text{aq})}$ ).



## UK to build world's first power plant with negative emissions

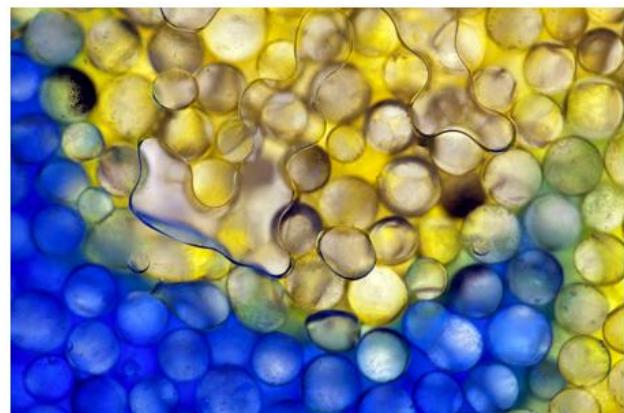
### Power without emissions

A new power station at Drax will burn wood pellets then capture the carbon dioxide released. The CO<sub>2</sub> will then be sent to a salt water aquifer under the North Sea, while new forests will suck more CO<sub>2</sub> out of the atmosphere



### Lifetime of carbon capture and storage as a climate-change mitigation technology

Michael L. Szulczewski<sup>a</sup>, Christopher W. MacMinn<sup>b</sup>, Howard J. Herzog<sup>c</sup>, and Ruben Juanes<sup>a,d,1</sup>



Capillary and solubility trapping are essential mechanisms to securing CO<sub>2</sub> in deep saline aquifers. In this image, blobs of CO<sub>2</sub> gas are immobilized by capillary trapping in glass beads saturated with water. Some of the CO<sub>2</sub> dissolves in the water and is made visible by a pH indicator that changes from blue to yellow. Image / Michael Szulczewski, MIT

source: MIT On Balance, April 2012

# NEGATIVE EMISSIONS TECHNOLOGIES

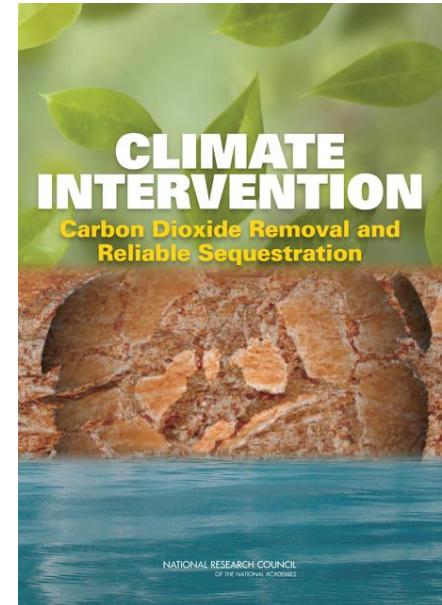
# Geoengineering (SRM vs. NET)

The New York Times

CLIMATE CHANGE

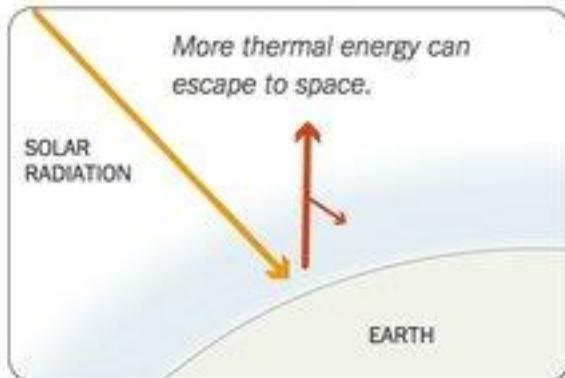
## In Geoengineering Study, Science Academy Sees Merit in CO<sub>2</sub> Removal, Risk in Reflecting Sunlight

By ANDREW C. REVKIN FEBRUARY 10, 2015 11:00 AM 196 Comments



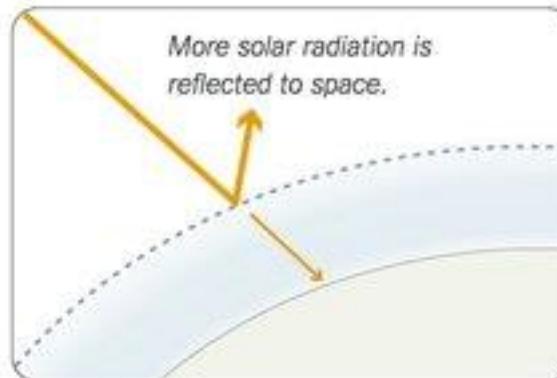
### POSSIBLE WAYS TO REDUCE THE GREENHOUSE EFFECT:

#### REMOVING CO<sub>2</sub>:

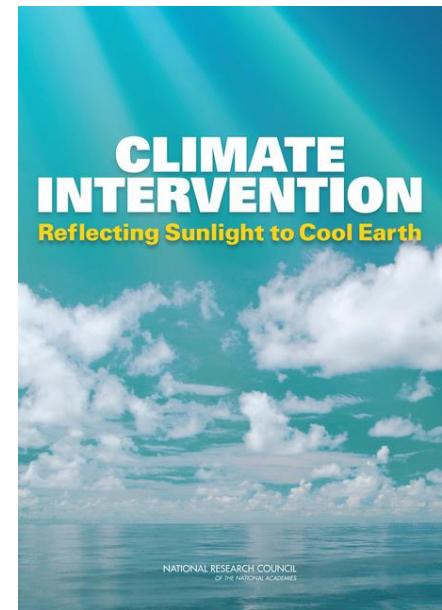


One approach is to remove some carbon dioxide from the atmosphere so it would trap less thermal energy.

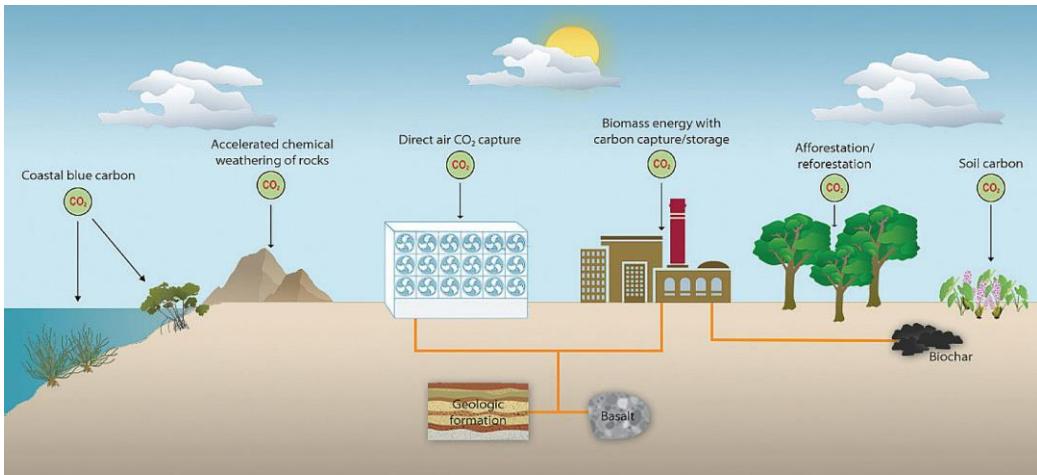
#### MANAGING SUNLIGHT:



Another is to make the atmosphere more reflective, by adding particles or altering clouds, so less heat is trapped.



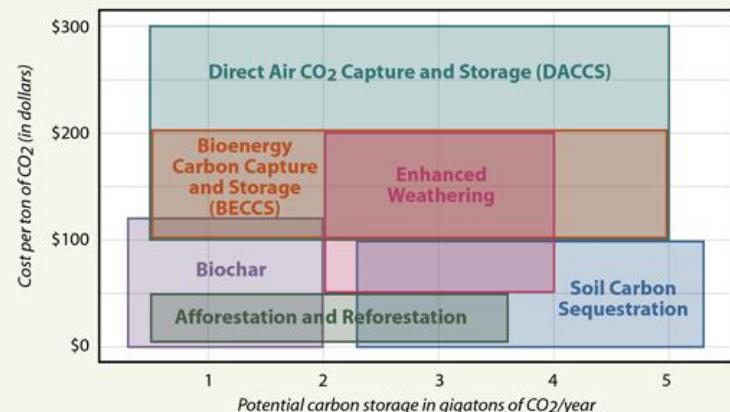
# Technologies



source: National Academies Press, 2019

## How Do Carbon Storage Techniques Stack Up?

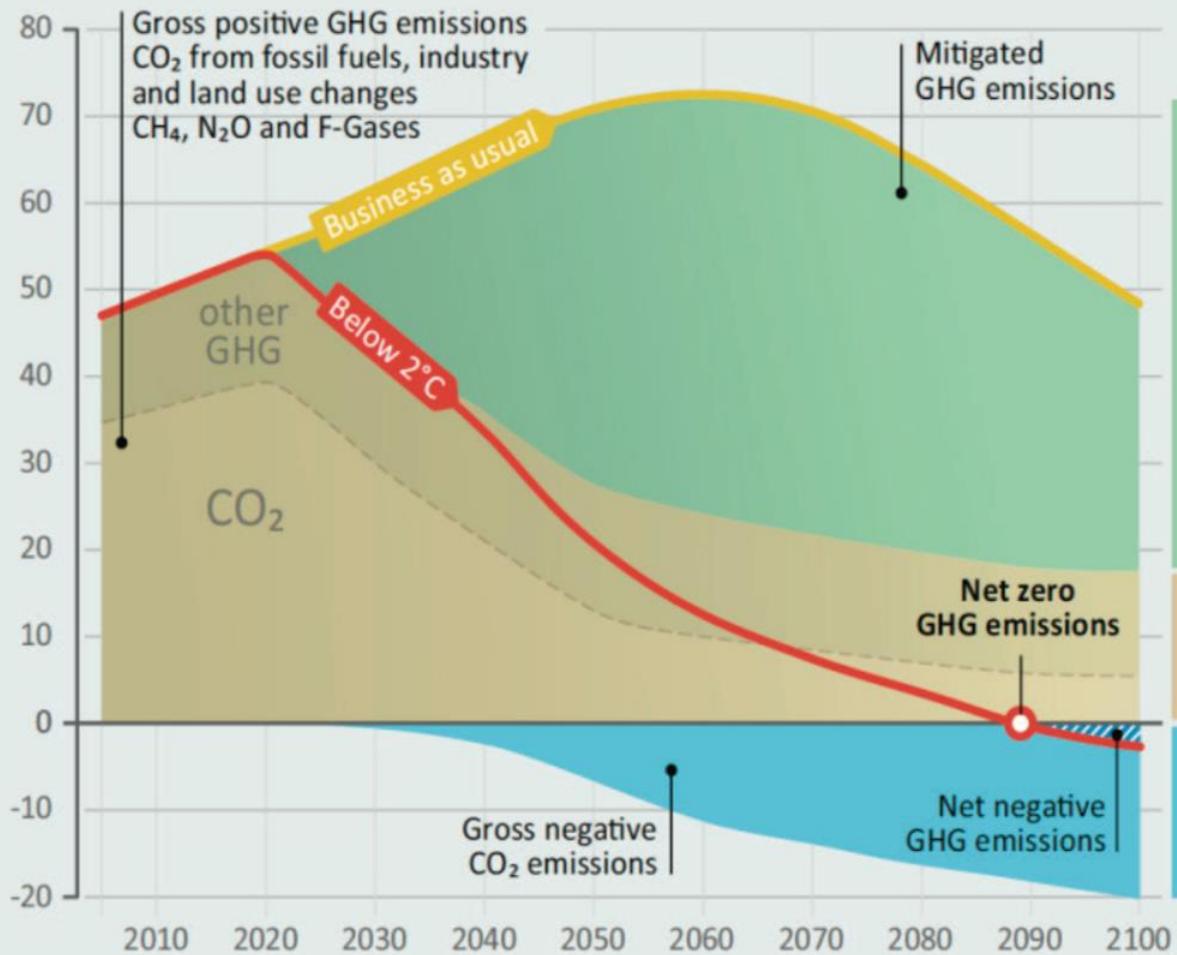
To meet the goals of the Paris climate agreement and keep global warming under 1.5 degrees Celsius, the world will have to increase the amount of carbon dioxide pulled from the atmosphere, the IPCC reports. It compared the costs and storage potential of six key methods of carbon dioxide removal. Soil carbon sequestration is one of the cheapest with the most potential.



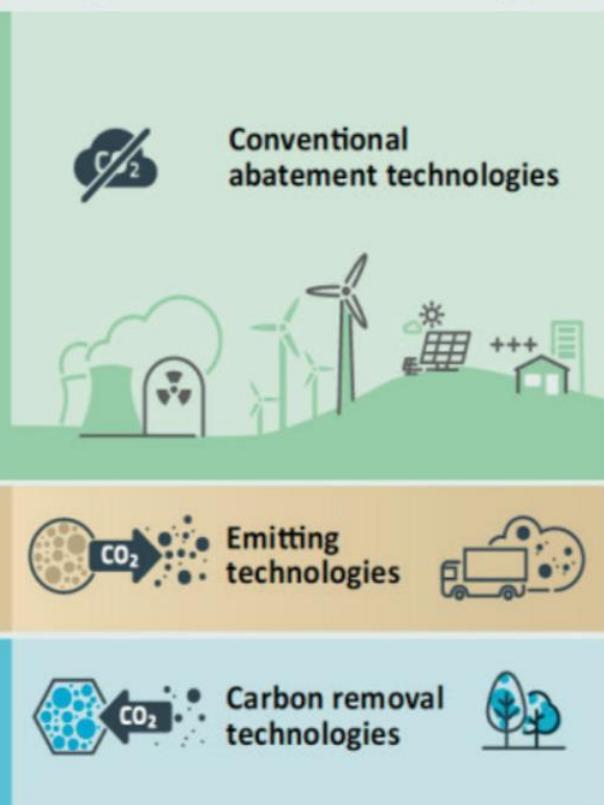
SOURCE: IPCC

InsideClimate News

## GHG emissions (GtCO<sub>2</sub>e/year)



## Examples of associated technologies



source: National Academies Press, 2019

The Keeping 1.5°C Alive Series

# Mind the Gap:

**How Carbon Dioxide Removals Must Complement Deep Decarbonisation to Keep 1.5°C Alive**

March 2022

Version 1.0



Energy  
Transitions  
Commission



Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra

# Negative emissions technologies

In May 2017, a number of scientists working in Switzerland addressed the public in a white paper in which they argued that an open societal conversation on the role of negative emissions technologies (NETs) and solar radiation management (SRM) in achieving internationally agreed climate targets was overdue. Their analysis is based on the science underpinning the Paris Agreement. What is Switzerland's position in response to these new policy imperatives aimed at mitigating climate change?



# UNEA

United Nations Environment Assembly  
of the United Nations Environment Programme

Revised Version: 25.02.2019

Resolution for consideration at the 4<sup>th</sup> United Nations Environment Assembly

## GEOENGINEERING AND ITS GOVERNANCE

Submitted by Switzerland

Supported by

Burkina Faso, Federated States of Micronesia, Georgia,  
Liechtenstein, Mali, Mexico, Montenegro, Niger, Republic of Korea, and Senegal

## PREAMBULAR PART

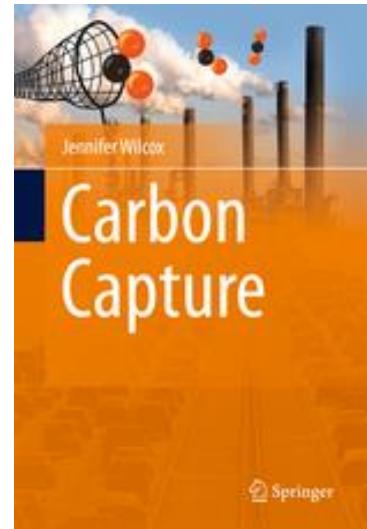
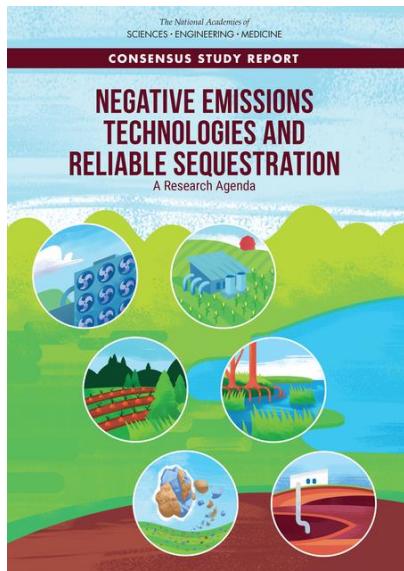
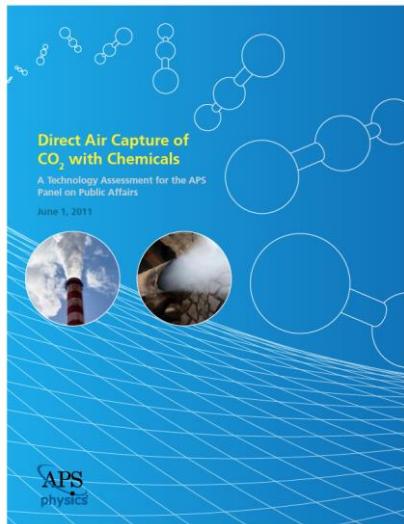
The United Nations Environment Assembly,

**PP1.** Recognizing that climate change is one of the greatest challenges of our time, which undermines the ability of all countries, especially those developing countries that are particularly vulnerable to the adverse effects of climate change, to achieve sustainable development and poverty eradication.

**PP2.** Further recognizing the urgent and prior need for further reduction of global greenhouse gas emissions and noting that geoengineering should not be seen as an alternative to mitigation efforts.

**PP3.** Deeply concerned about the potential global risks and adverse impacts of geoengineering on environment and sustainable development, and noting the lack of multilateral control and oversight.

# Reports/reviews on NET



## CHEMICAL REVIEWS

### Direct Capture of CO<sub>2</sub> from Ambient Air

Eloy S. Sanz-Pérez,<sup>†,‡</sup> Christopher R. Murdoch,<sup>†</sup> Stephanie A. Didas,<sup>†</sup> and Christopher W. Jones<sup>§,¶,†</sup>

<sup>†</sup>School of Chemical & Biomolecular Engineering, Georgia Institute of Technology, 311 First Drive, Atlanta, Georgia 30332-0100, United States

<sup>‡</sup>Department of Chemical and Environmental Technology, ESCET, Rey Juan Carlos University, C/Tulipán s/n, 28933 Móstoles, Madrid, Spain

**ABSTRACT:** The increase in the global atmospheric CO<sub>2</sub> concentration resulting from over a century of combustion of fossil fuels has been associated with significant global climate change. With the global population increase driving continued increases in fossil fuel use, humanity's primary reliance on fossil energy for the next several decades is assured. Traditional modes of carbon capture such as precombustion and postcombustion CO<sub>2</sub> capture from large point sources can help slow the rate of increase of the atmospheric CO<sub>2</sub> concentration, but only the direct removal of CO<sub>2</sub> from the air, or "direct air capture" (DAC), can actually reduce the global atmospheric CO<sub>2</sub> concentration. The past decade has seen a steep rise in the use of chemical sorbents that are cycled through sorption and desorption cycles for CO<sub>2</sub> removal from ultralinear gases such as air. This Review provides a historical overview of the field of DAC, along with an exhaustive description of the use of chemical sorbents targeted at this application. Solvents and solid sorbents that interact strongly with CO<sub>2</sub> are described, including basic organic solvents, amines, and amine-based organic and metal-organic frameworks (MOFs), as the primary classes of chemical sorbents. Hypothetical processes for the deployment of such sorbents are discussed, as well as the limited array of techno-economic analyses published on DAC. Overall, it is concluded that there are many new materials that could play a role in emerging DAC technologies. However, these materials need to be further investigated and developed with a practical sorbent-air contacting process in mind if society is to make rapid progress in deploying DAC as a means of mitigating climate change.

### CDR Primer

# Carbon Dioxide Removal Primer

This is an open access article published under an ACS AuthorChoice license, which permits copying and redistribution of the article or any adaptations for non-commercial purposes.



Review

pubs.acs.org/CR



## Seven chemical separations to change the world

David S. Sholl & Ryan P. Lively

26 April 2016 | Corrected: 11 May 2016

Purifying mixtures without using heat would lower global energy use, emissions and pollution — and open up new routes to resources, say David S. Sholl and Ryan P. Lively.



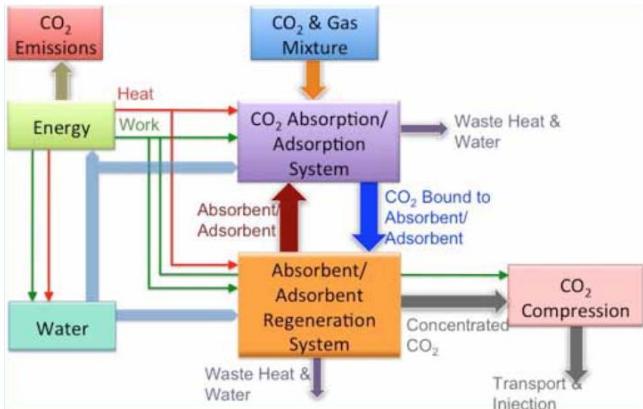
source: swissinfo.ch  
(Climeworks)

**Greenhouse gases from dilute emissions.** Anthropogenic emissions of CO<sub>2</sub> and other hydrocarbons, such as methane released from refineries and wells, are key contributors to global climate change. It is expensive and technically difficult to capture these gases from dilute sources such as power plants, refinery exhausts and air.

Liquids such as monoethanolamine react readily with CO<sub>2</sub>, but because heat must be applied to remove CO<sub>2</sub> from the resulting liquid, the process is not economically viable for power plants. If the approach was applied to every power station in the United States, CO<sub>2</sub> capture could cost 30% of the country's growth in gross domestic product each year<sup>7</sup>. Cheaper methods for capturing CO<sub>2</sub> and hydrocarbon emissions with minimal energy costs need to be developed.

A complicating factor is deciding what to do with the purified product. CO<sub>2</sub> could be used in a crude-oil production method known as enhanced oil recovery, or in vertical farming and as chemical and biorefinery feedstocks. But human activities emit so much of the gas<sup>8</sup> that in practice much of it will need to be stored long term in underground reservoirs, raising other issues.

# DAC (Direct Air Capture)



**Figure 2.1** A typical system for CO<sub>2</sub> capture. One key component is a CO<sub>2</sub> absorption/adsorption system where CO<sub>2</sub> is chemically bound to another molecule, and removed from the gas mixture. The other key component is an adsorbent/absorbent regeneration system where CO<sub>2</sub> is released in a concentrated form, which is then compressed. These processes require energy (heat and work) and water. Work is in the form of electrical or mechanical power.

source: Socolow et al., 2011

**TABLE 5.1** Companies Working to Commercialize Direct Air Capture Systems

| Company            | System Type    | Technology                                                                      | Regeneration              | Purity/ Application                     | Scale         |
|--------------------|----------------|---------------------------------------------------------------------------------|---------------------------|-----------------------------------------|---------------|
| Carbon Engineering | Liquid solvent | Potassium hydroxide solution/calcium carbonation                                | Temperature               | 99%                                     | Pilot         |
|                    |                |                                                                                 |                           |                                         | 1 t/d         |
| Climeworks         | Solid sorbent  | Amine-functionalized filter                                                     | Temperature or vacuum     | 99% w/dilution depending on application | Demonstration |
| Global Thermostat  | Solid sorbent  | Amine-modified monolith                                                         | Temperature and/or vacuum | 99%                                     | 1,000 t/y     |
| Infinitree         | Solid sorbent  | Ion-exchange sorbent                                                            | Humidity                  | 3-5% algae                              | Laboratory    |
| Skytree            | Solid sorbent  | Porous plastic beads functionalized with benzylamines (Alesi and Kitchin, 2012) | Temperature               | Air purification, greenhouses           | Appliance     |

source: National Academies Press, 2019



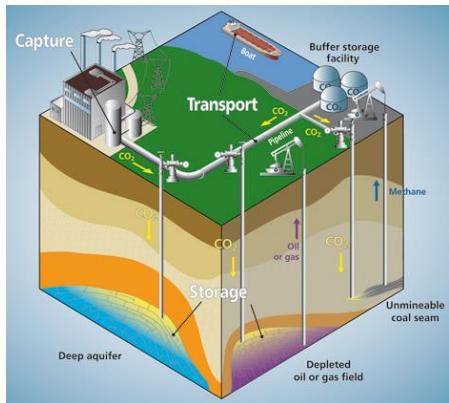
source: Climeworks



source: Carbon Engineering

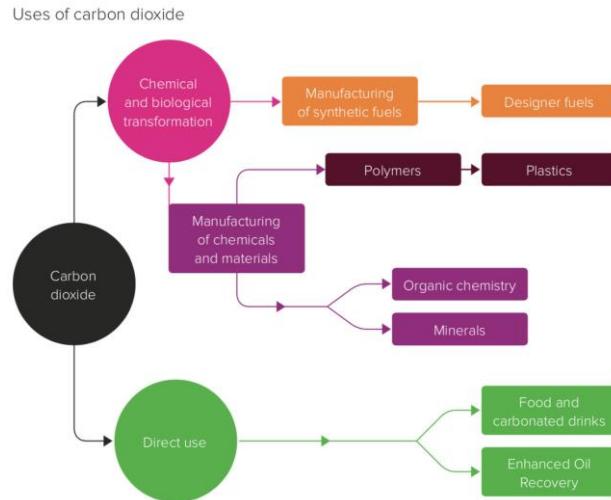
# What to do with CO<sub>2</sub>

## Storage



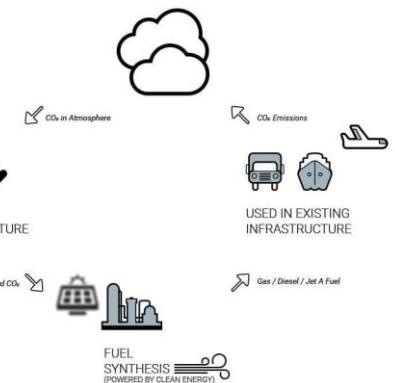
BRGM

## Utilization



Royal Society

## Air to fuels



Carbon Engineering

# Minimum work



Theoretical minimum work  
(reversible/isobaric/isothermal) is  
 $W_{\min} = -\Delta_{\text{mix}} G$ :

$$W_{\min} = -RT [ n_1(y_1^{CO_2} \ln y_1^{CO_2} + y_1^{N_2} \ln y_1^{N_2}) - n_2(y_2^{CO_2} \ln y_2^{CO_2} + y_2^{N_2} \ln y_2^{N_2}) - n_3(y_3^{CO_2} \ln y_3^{CO_2} + y_3^{N_2} \ln y_3^{N_2}) ]$$

Per mole of  $CO_2$  removed:

$$w_{\min} = W_{\min} / (n_3 y_3^{CO_2})$$

Example:

- ▶  $y_1^{CO_2} \sim 0.04\%$  (400 ppm)
- ▶  $y_3^{CO_2} \sim 99\%$
- ▶  $w_{\min} \sim 20\text{ kJ/mol}_{CO_2}$

source:

Keith, *Clim. Change*, 2006  
 Kulkarni and Sholl, *I&EC Res.*, 2012

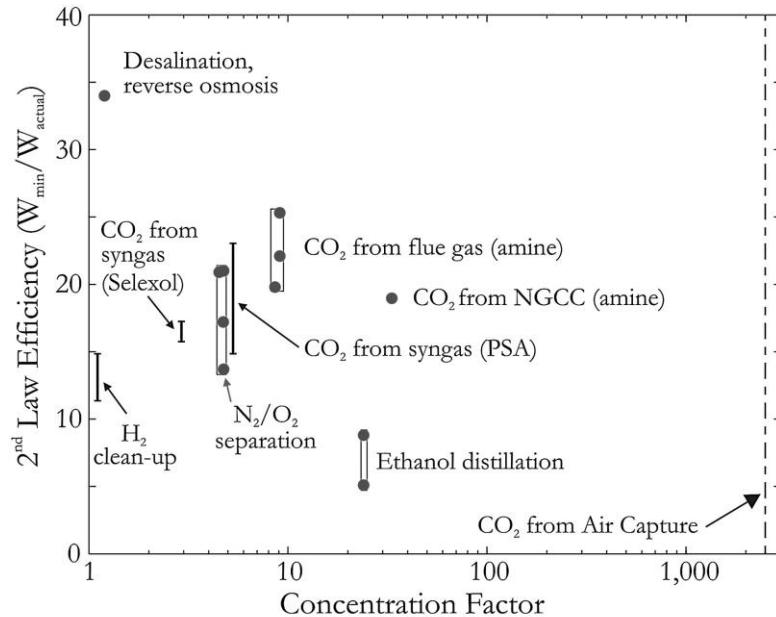
# Efficiency

House et al., PNAS, 2011

Real required work depends on inefficiencies in processes performing the separation.

Second-law efficiency:

$$\eta = w_{\min} / w_{\text{real}}$$



Empirical relationship between the concentration factor of industrial separation processes vs. the achieved second-law efficiency of those processes. Processes include separation of impurities from H<sub>2</sub> after steam reforming and CO<sub>2</sub> removal (H<sub>2</sub> cleanup); separation of water from brine (desalination); separation of CO<sub>2</sub> from syngas in an Integrated Gasification Combined Cycle power plant [CO<sub>2</sub> from syngas (Selexol)]; separation of CO<sub>2</sub> from syngas after steam reforming [CO<sub>2</sub> from syngas (PSA)]; production of oxygen from air (N<sub>2</sub>/O<sub>2</sub> separation); separation of CO<sub>2</sub> from coal power plant exhaust [CO<sub>2</sub> from flue gas (amine)]; separation of CO<sub>2</sub> from natural gas power plant exhaust [CO<sub>2</sub> from NGCC (amine)]; and separation of ethanol and water (ethanol distillation). Dots and boxes outline scatter in published reports, and lines indicate upper and lower bounds on calculations done on the basis of partial information in published reports.

# Example systems

Prog. Energy 3 (2021) 032001  
Progress in Energy

## TOPICAL REVIEW

A review of direct air capture (DAC): scaling up commercial technologies and innovating for the future

Noah McQueen<sup>1</sup> , Katherine Vaz Gomes<sup>1</sup>, Colin McCormick<sup>2</sup> , Katherine Blumanthal<sup>1</sup>, Maxwell Pisciotta<sup>1</sup>  and Jennifer Wilcox<sup>1,\*</sup>

<sup>1</sup> Chemical and Biomolecular Engineering Department, University of Pennsylvania, Philadelphia, PA, United States of America

<sup>2</sup> Science, Technology and International Affairs Program, Edmund A. Walsh School of Foreign Service, Georgetown University, Washington, DC, United States of America

<sup>3</sup> Chemical Engineering Department, Worcester Polytechnic Institute, Worcester, MA, United States of America

\* Author to whom any correspondence should be addressed.

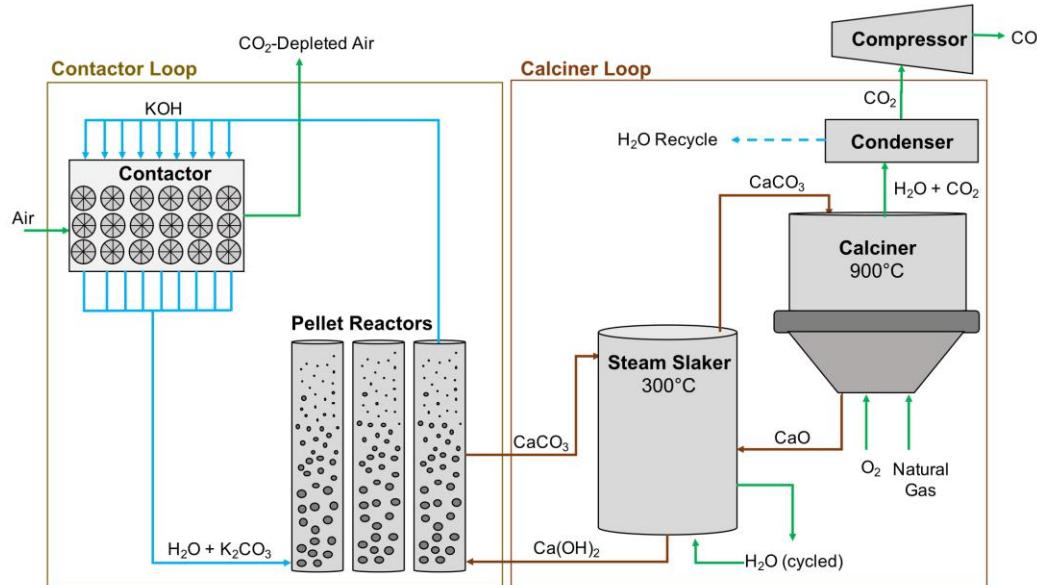


Figure 1. Representative process flow diagram for the solvent process. Here, green lines represent gaseous flows, blue lines liquid flows and brown lines solid flows. The  $H_2O$  streams undergo temperature changes throughout the process that are not represented in this simplified diagram.

## solvent-based DAC

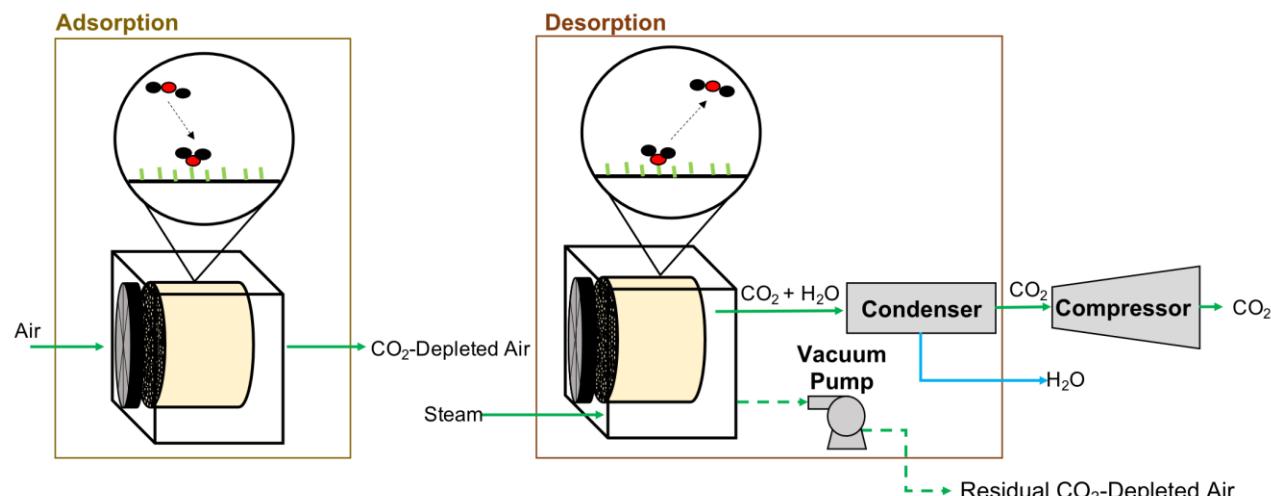


Figure 2. Representative process flow diagram for solid sorbent DAC. The adsorption and desorption processes for the solid sorbent process are performed in batch, with each composed of multiple process steps. Here, green lines represent gaseous flows and blue lines liquid flows. The dashed green line from the contactor to the vacuum pump represents the initial phase of desorption where residual air is removed from the contactor to prevent dilution of the produced  $CO_2$  after evolution from the sorbent.

## sorbent-based DAC

# Net emissions

Net emissions:

$$\begin{aligned}
 & \left[ \frac{\text{mol}_{\text{CO}_2} \text{ emitted} - \text{mol}_{\text{CO}_2} \text{ captured}}{\text{kJ}} \right] \\
 &= \left[ \frac{\text{kJ electricity}}{\text{mol}_{\text{CO}_2} \text{ emitted}} \right]^{-1} - \left[ \frac{\eta}{w_{\min}} \right]
 \end{aligned}$$

Table 2. The financial costs of powering air capture in dollars per tonne of CO<sub>2</sub> avoided as a function of the second-law efficiency as well as the source of work (25)

| Plant type                  | Total levelized cost, \$/MWh (30) | CO <sub>2</sub> intensity, t/MWh | Electricity produced per CO <sub>2</sub> emitted, kJ/mole | Costs of the work required for air capture, \$/(tCO <sub>2</sub> avoided) |               |
|-----------------------------|-----------------------------------|----------------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------|---------------|
|                             |                                   |                                  |                                                           | $\eta = 0.05$                                                             | $\eta = 0.02$ |
| Conventional coal           | 95                                | 1                                | 158                                                       | N/A*                                                                      | N/A*          |
| IGCC                        | 103                               | 0.9                              | 176                                                       | N/A*                                                                      | N/A*          |
| IGCC with CCS               | 123                               | 0.2                              | 792                                                       | 666                                                                       | N/A*          |
| Natural gas-fired           |                                   |                                  |                                                           |                                                                           |               |
| Conventional combined cycle | 84                                | 0.4                              | 396                                                       | N/A*                                                                      | N/A*          |
| Advanced combined cycle     | 80                                | 0.4                              | 396                                                       | N/A*                                                                      | N/A*          |
| Advanced CC with CCS        | 116                               | 0.08                             | 1,980                                                     | 381                                                                       | 1,571         |
| Advanced nuclear            | 110                               | 0                                | CO <sub>2</sub> -free                                     | 286                                                                       | 715           |
| Wind                        | 142                               | 0                                | CO <sub>2</sub> -free                                     | 369                                                                       | 923           |
| Wind—offshore               | 230                               | 0                                | CO <sub>2</sub> -free                                     | 598                                                                       | 1,495         |
| Solar PV                    | 396                               | 0                                | CO <sub>2</sub> -free                                     | 1,030                                                                     | 2,574         |
| Solar thermal               | 264                               | 0                                | CO <sub>2</sub> -free                                     | 686                                                                       | 1,716         |
| Biomass                     | 107                               | 0.2                              | 792                                                       | 580                                                                       | N/A*          |
| Hydro                       | 115                               | 0                                | CO <sub>2</sub> -free                                     | 299                                                                       | 748           |

Note, these costs do not include capital costs. IGCC, Integrated Gasification Combined Cycle; PV, photovoltaic.

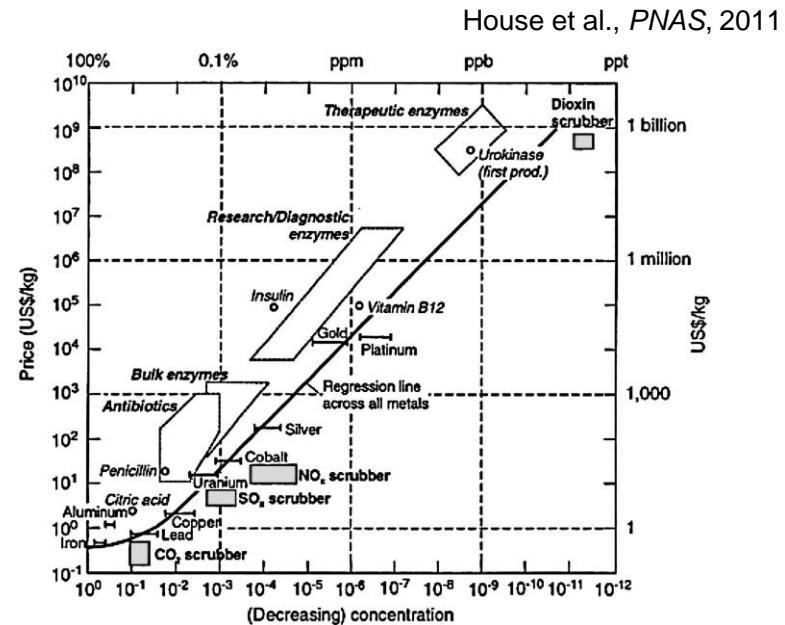
\*N/A, using these energy sources to power air capture will emit more CO<sub>2</sub> than captured, making air capture infeasible.

House et al., PNAS, 2011

House et al., PNAS, 2011

# Cost

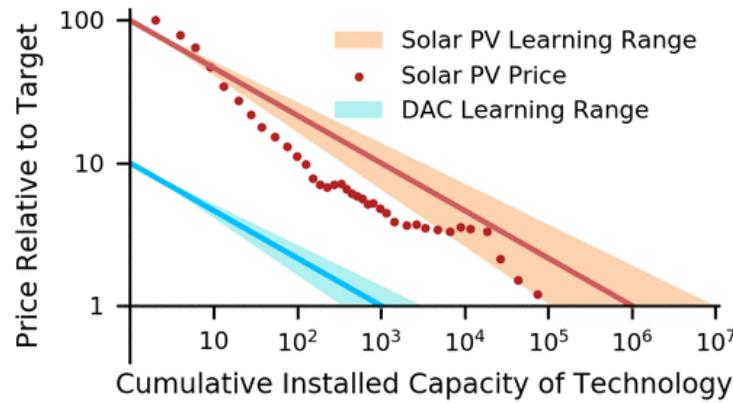
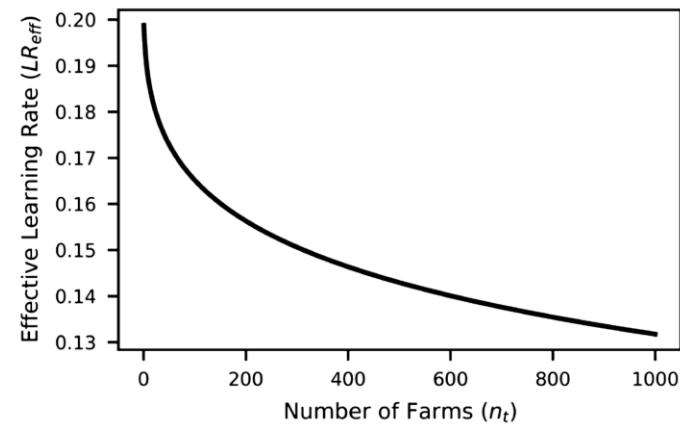
- Sherwood plot relates the market price of a substance to its initial dilution.
- Cost of separation approx. scales inversely with initial concentration (requirements for handling greater quantities of material).
- This assumes “scaling up” of existing technologies and does not consider new technologies.



Initial estimates: \$600-1000/tCO<sub>2</sub>  
Climeworks implementation: \$600/tCO<sub>2</sub>  
Carbon Eng. proposed: \$100-250/tCO<sub>2</sub>

## Buying down the Cost of Direct Air Capture

Klaus S. Lackner\* and Habib Azarabadi



Learning rate:

- (empirical) percentage reduction in cost of manufacturing for every doubling of cumulative output

Improvements in:

- production process
- technological breakthroughs
- supply chain

Target: \$100/ton is target (Price of  $\text{CO}_2$  used for commercial purposes: \$65 to \$110/ton)

# Further reading

- Cooper, C. David, and F. C. Alley. *Air Pollution Control: A Design Approach*. Waveland Press Inc, 2011.
- Flagan, R. C and Seinfeld, J. H. Fundamentals of Air Pollution Engineering, Prentice Hall, Inc., New Jersey, 1988. Available online:  
<http://authors.library.caltech.edu/25069/>
- Wark, Kenneth, Cecil Francis Warner, and Wayne T. Davis. *Air Pollution: Its Origin and Control*. Addison-Wesley, 3rd ed., 1998.