

ENV-410

Energy supply, economics and transition: Proximity in the diffusion of innovations

Glòria Serra Coch

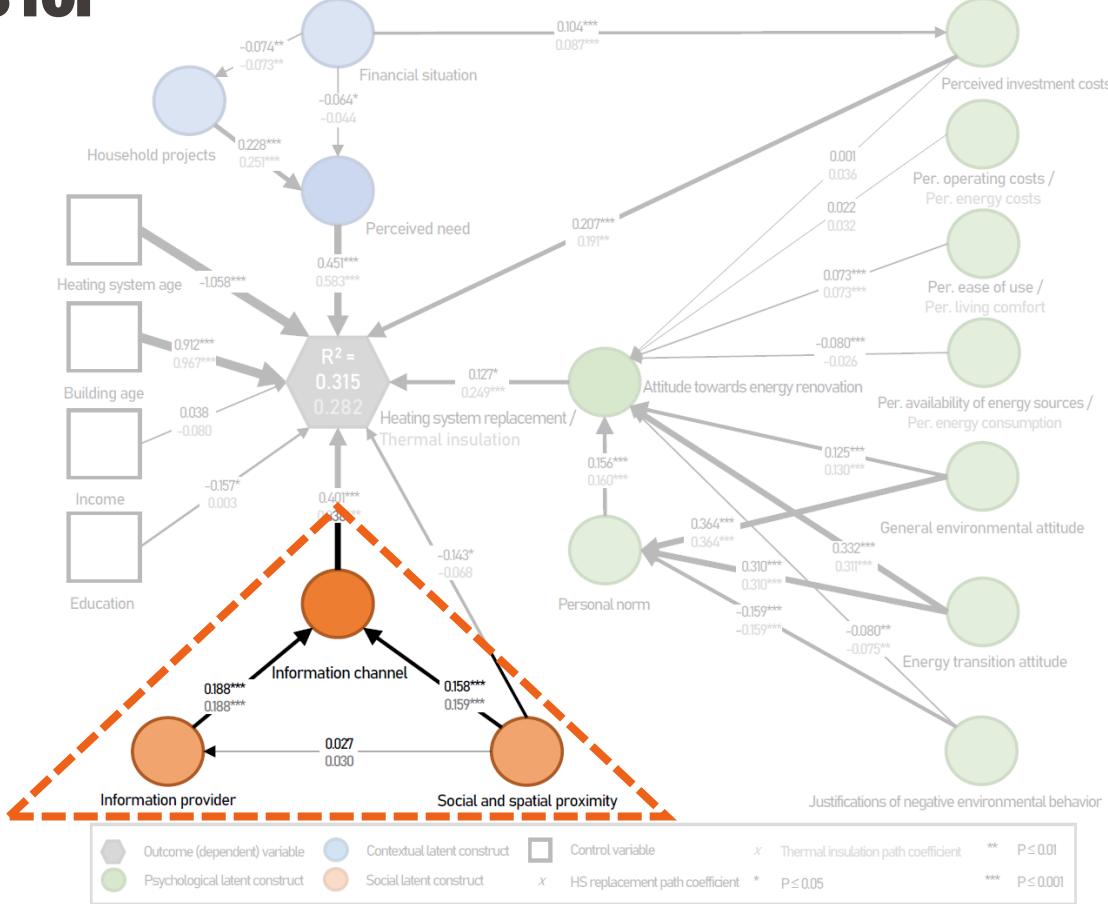
Laboratory for Human-
Environment Relations
in Urban Systems

**May 7, 2025**

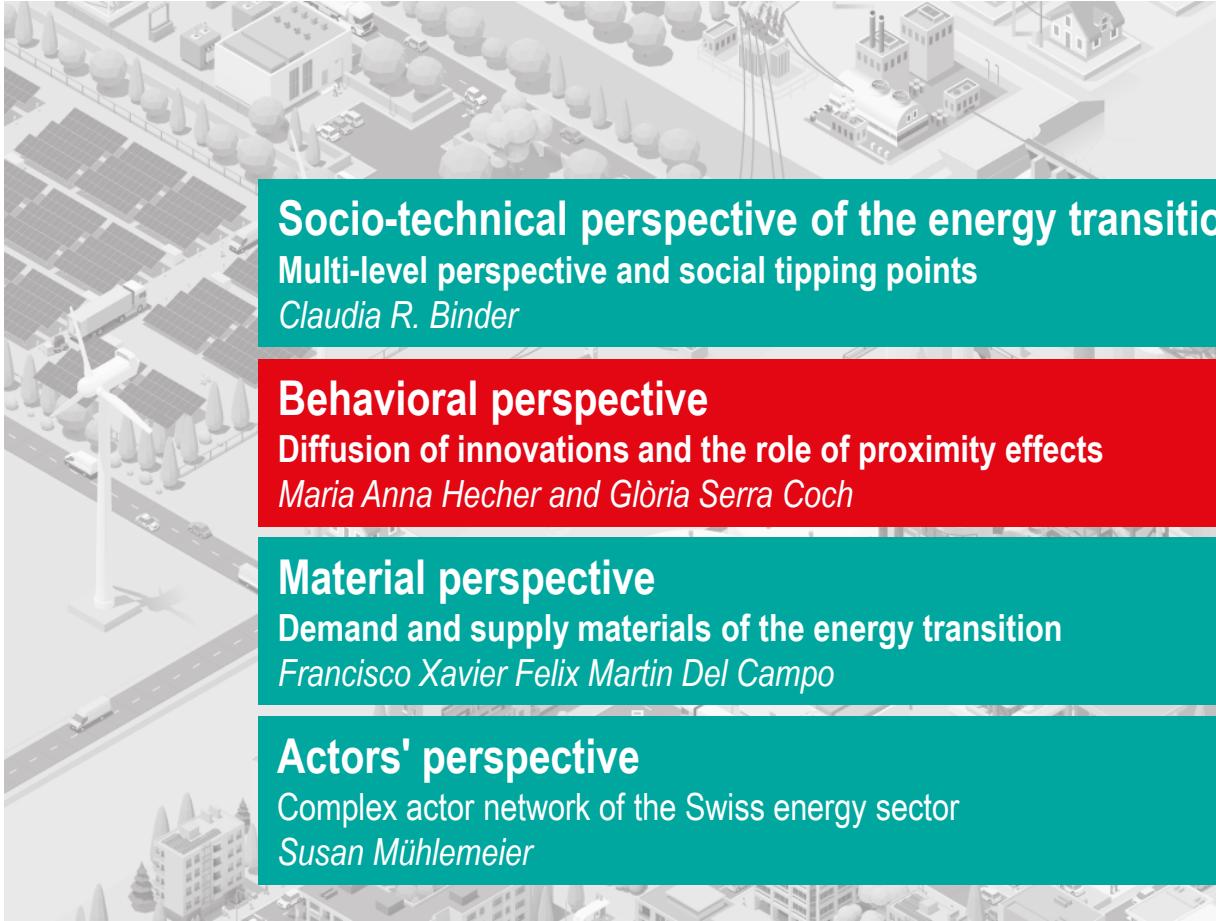
Recap: Behavioral perspective on the diffusion of innovations

- Technically and economically viable solutions can still fail without social acceptance.
- Policies to support innovation diffusion must account for the diverse characteristics of both adopters and non-adopters.
- Windows of opportunity should be actively used to promote technology (co-)adoption and accelerate the diffusion of emerging technologies.
- Social influence plays a crucial role and can be strengthened through trust and spatial proximity.
- Consumer preferences must be integrated into current energy models to achieve more realistic and robust outcomes.

Recap: Drivers for building renovations



Energy transition perspectives



Socio-technical perspective of the energy transition

Multi-level perspective and social tipping points

Claudia R. Binder



Behavioral perspective

Diffusion of innovations and the role of proximity effects

Maria Anna Hecher and Glòria Serra Coch



Material perspective

Demand and supply materials of the energy transition

Francisco Xavier Felix Martin Del Campo

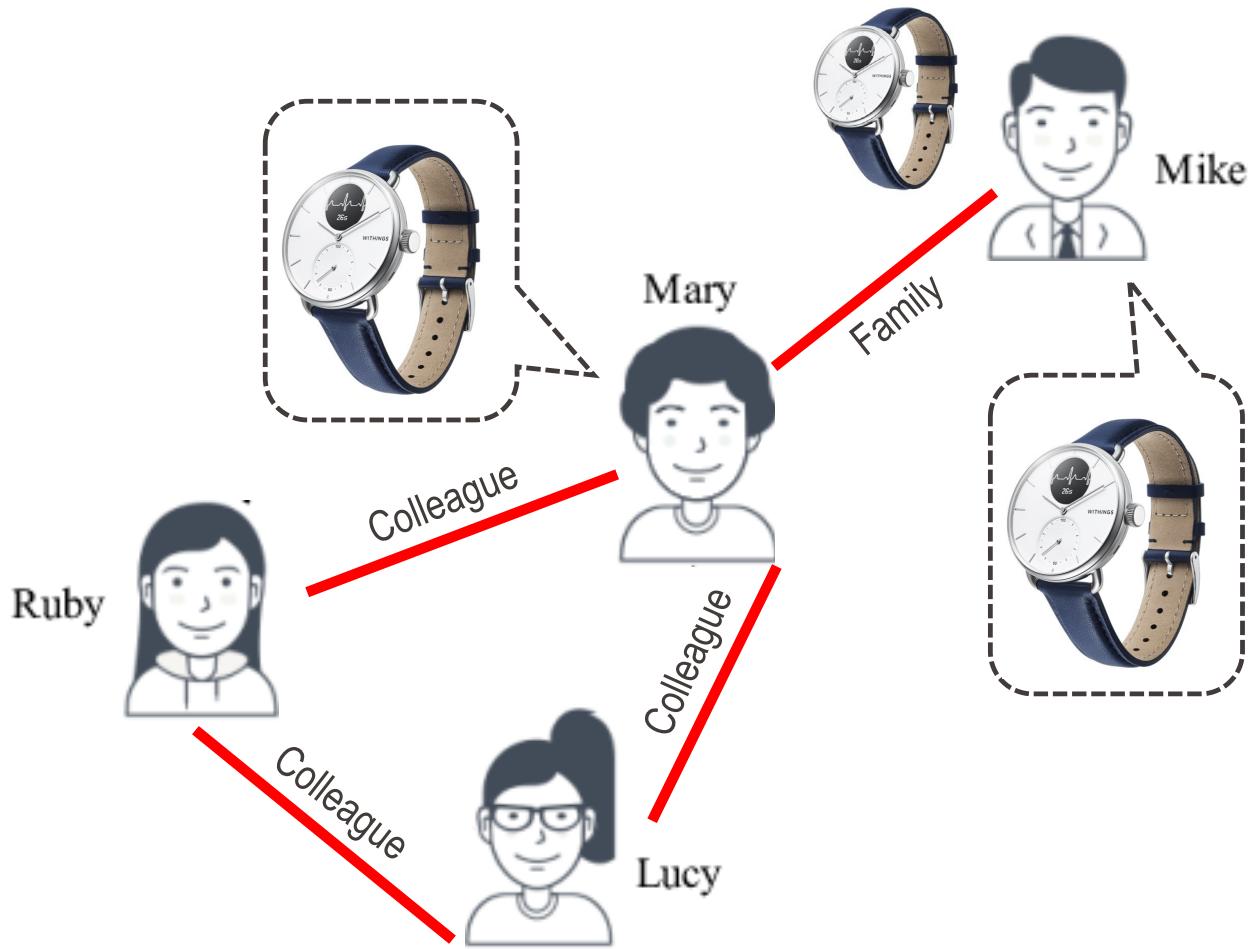


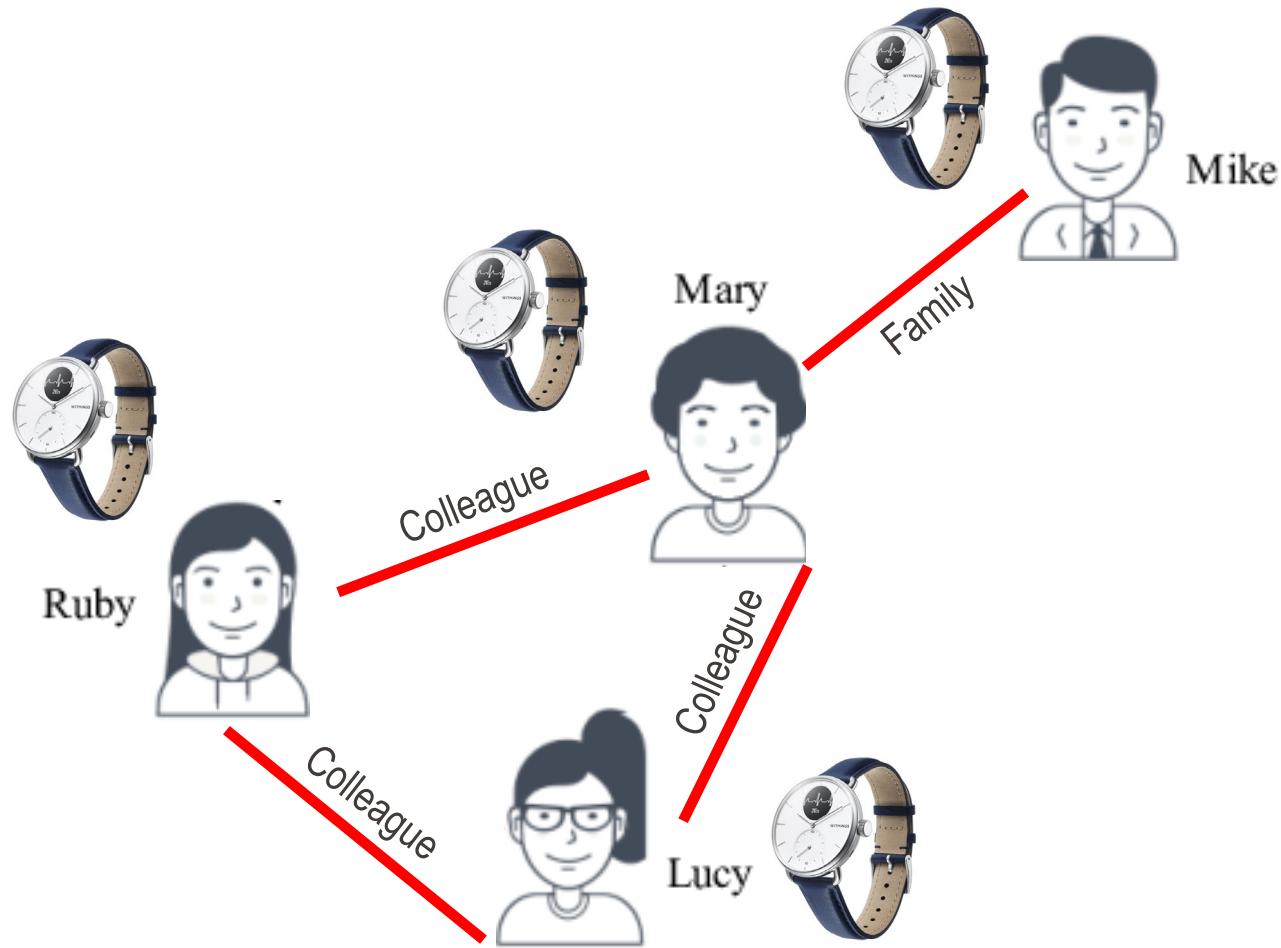
Actors' perspective

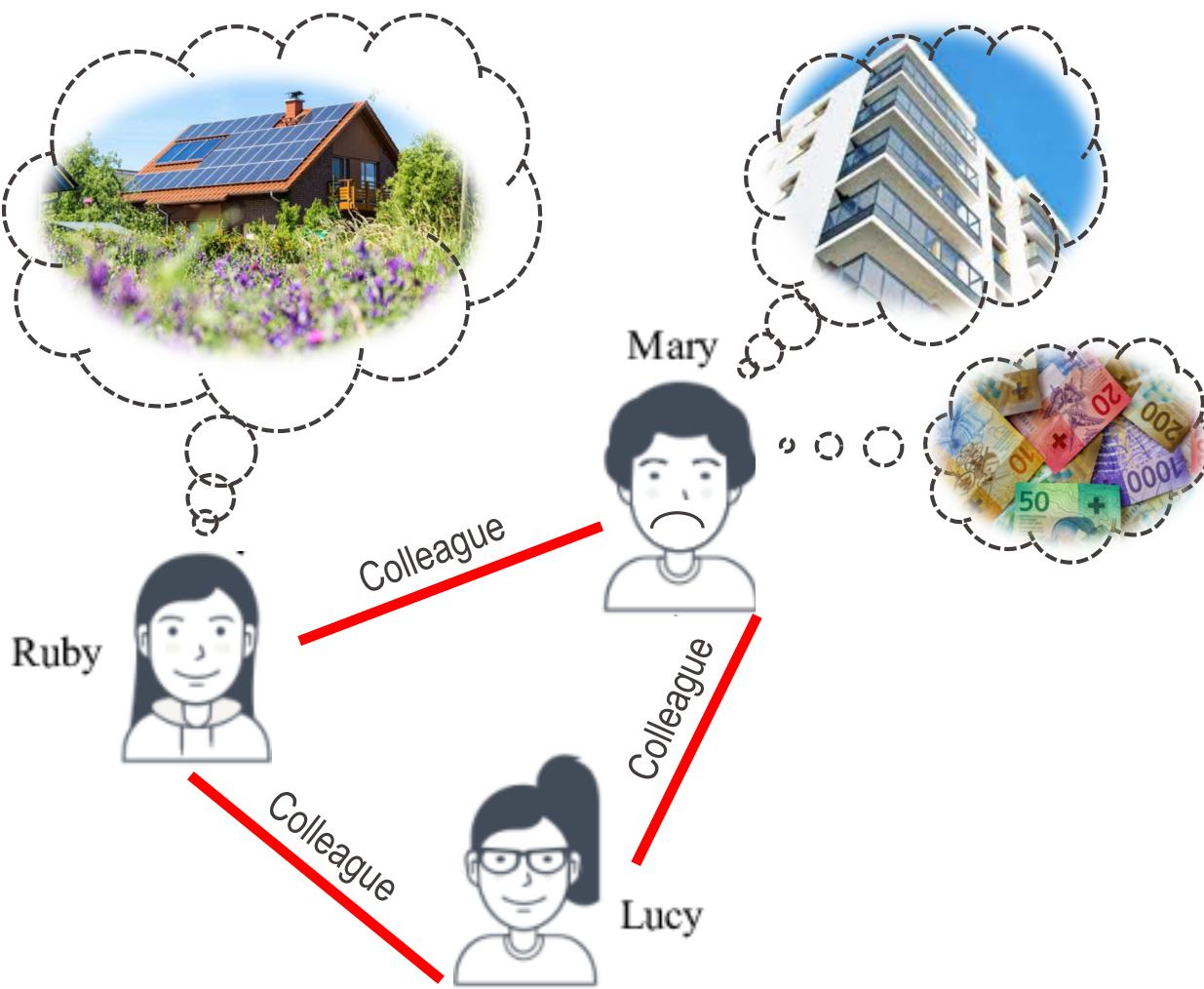
Complex actor network of the Swiss energy sector

Susan Mühlmeier







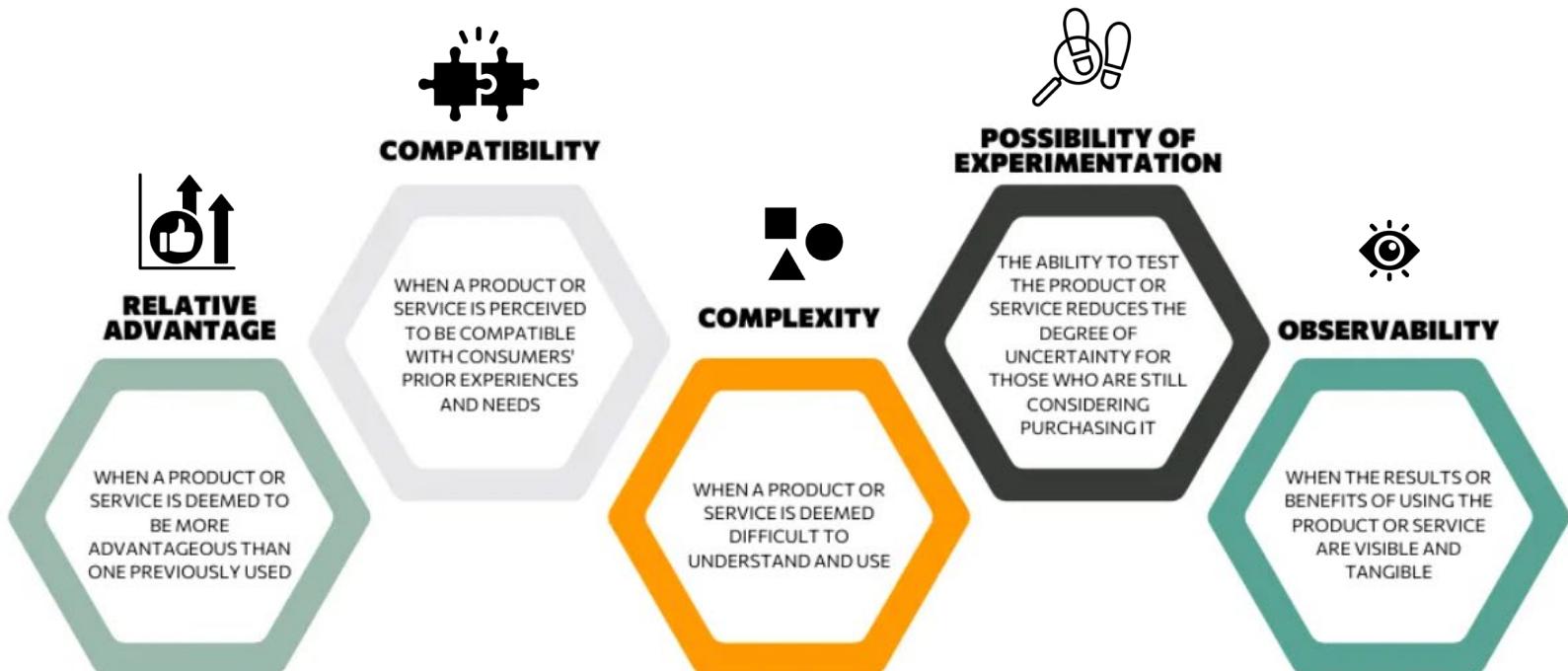




VS.



Rogers: Perceived attributes of an innovation



Rogers: Perceived attributes of an innovation

Perceived
Characteristics of
innovations Rogers,
1967



Relative advantage



Simplicity



Compatibility



Trialability



Observability



vs.

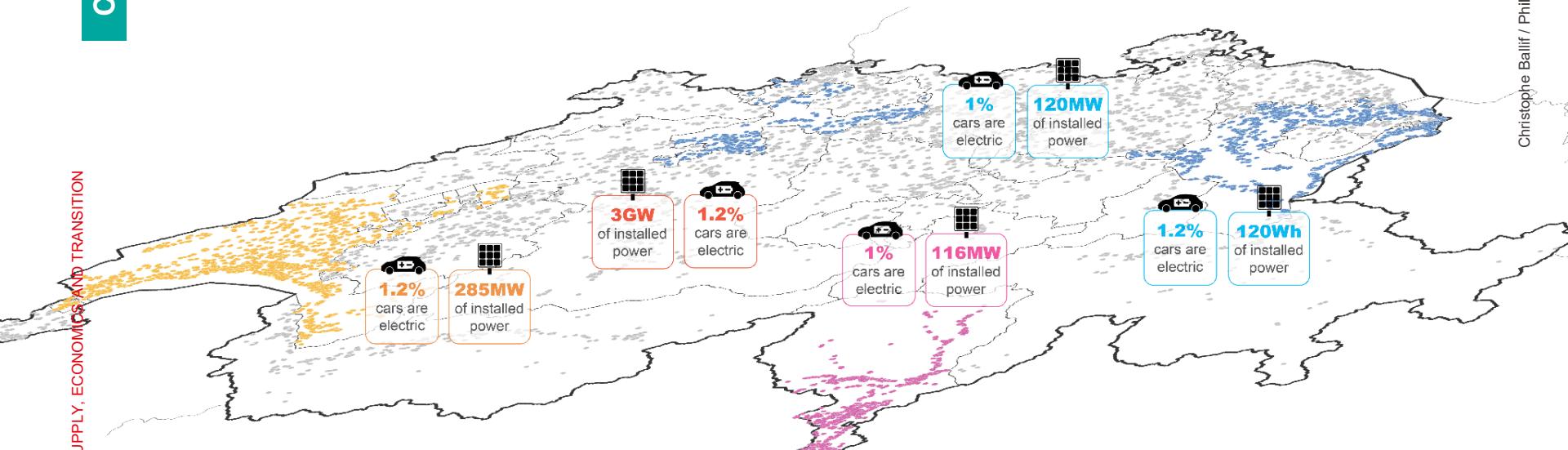


- Understand the role of **proximity** in the diffusion of energy innovations
- Extend your knowledge about the diffusion of innovations theory and how it can be applied to energy technologies
- Learn about **social network theory** and the role of social influence
- Learn about the **geography of innovations** theory and the proximity dimensions
- Understand how **information networks and exchanges** play a role in the diffusion of energy innovations

Diffusion of innovative energy technologies

CASE STUDY

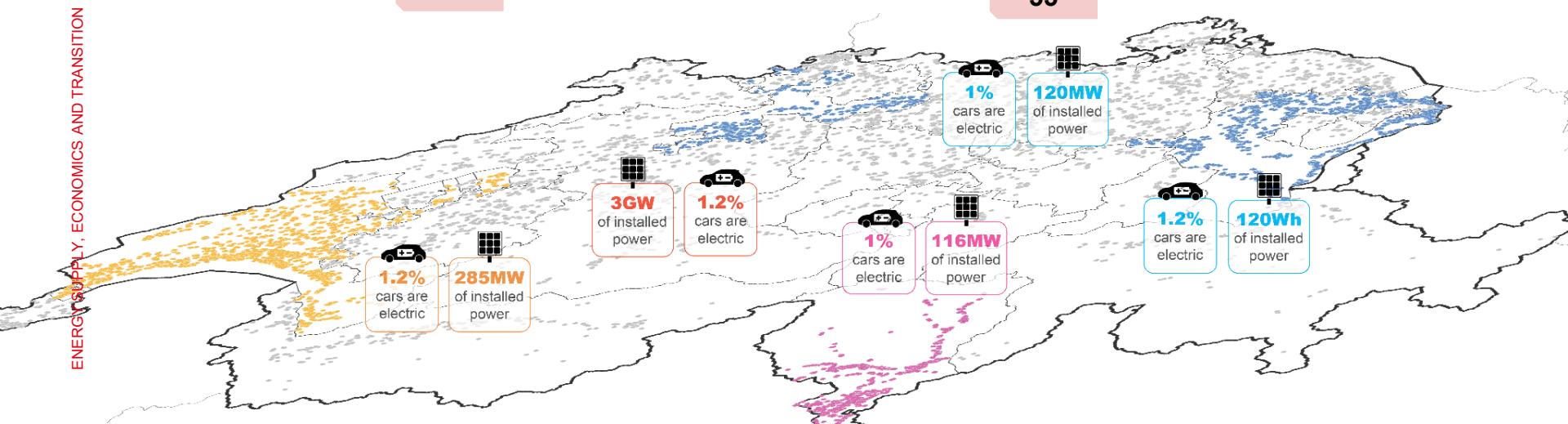
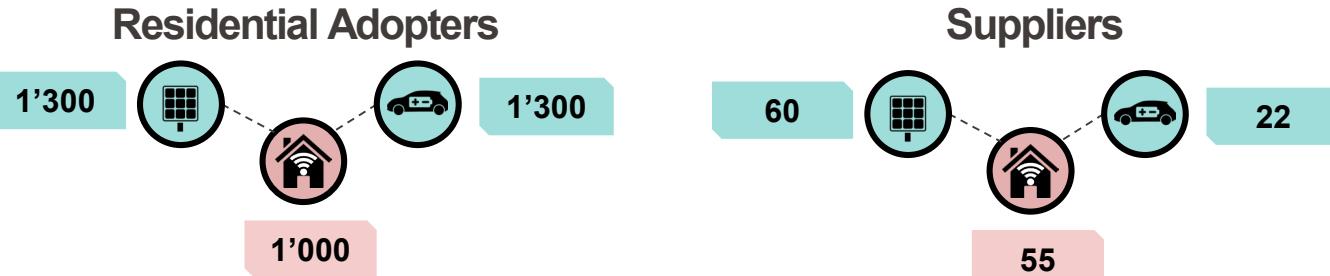
Energy Management Systems
Photovoltaic Panels
Electric Vehicles



Diffusion of innovative energy technologies

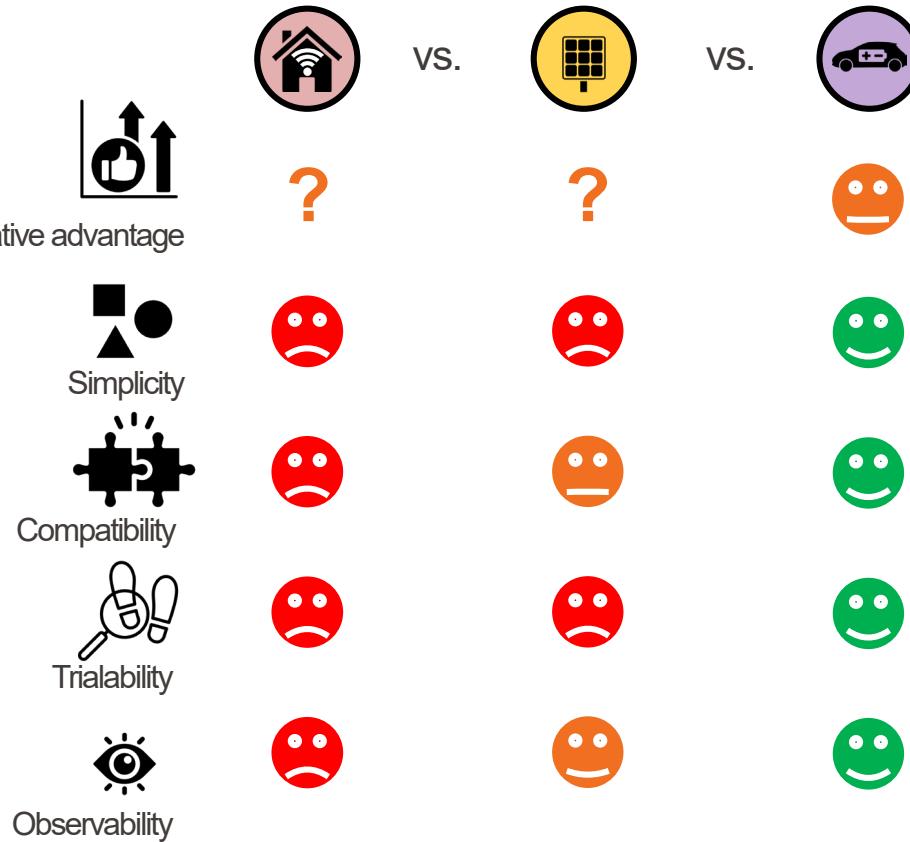
Energy Management Systems
Photovoltaic Panels
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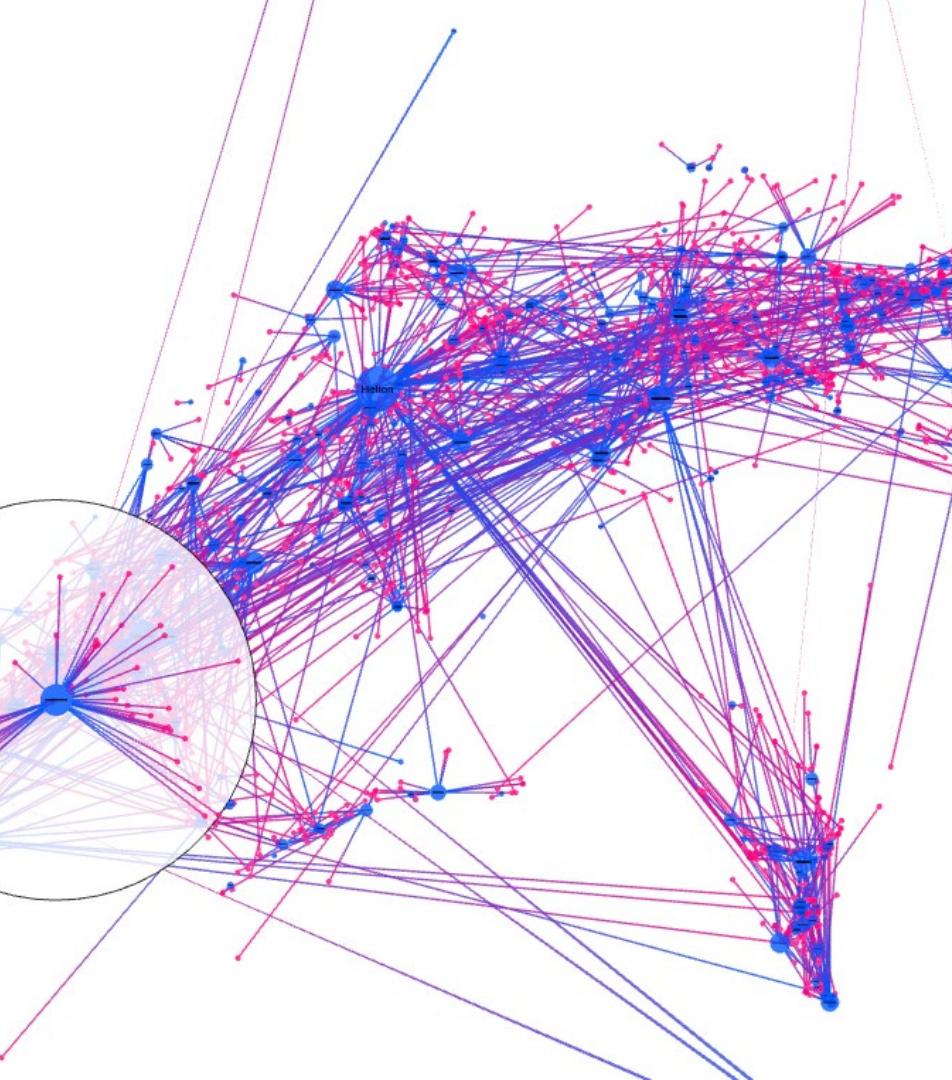
...in Switzerland



Rogers: Perceived attributes of an innovation

*Perceived
Characteristics of
innovations Rogers,
1967*

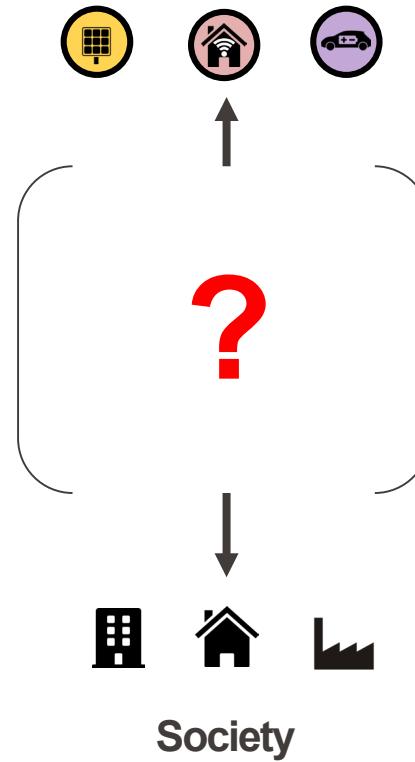




Information exchange for the diffusion of energy innovations

Diffusion of Innovations

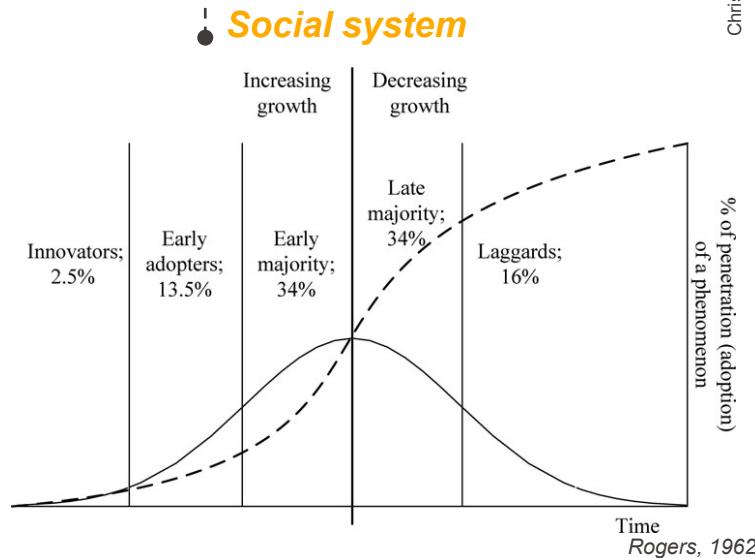
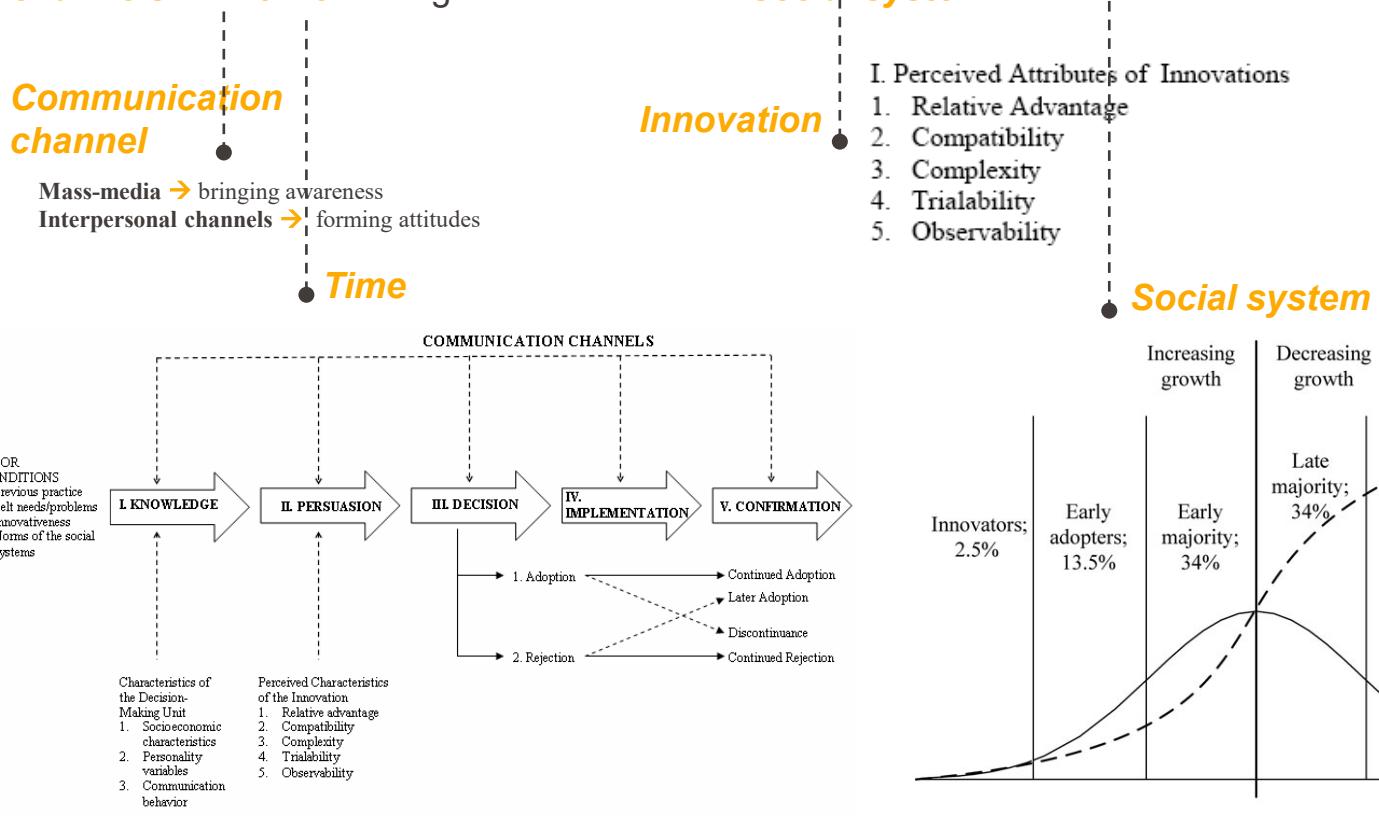
Innovation-diffusion is the process in which an *innovation* is **communicated** through certain *channels* over *time* among the members of a **social system**.



Rogers, 1962; Cohen et al. 2019; Tagliapietra et al; 2019

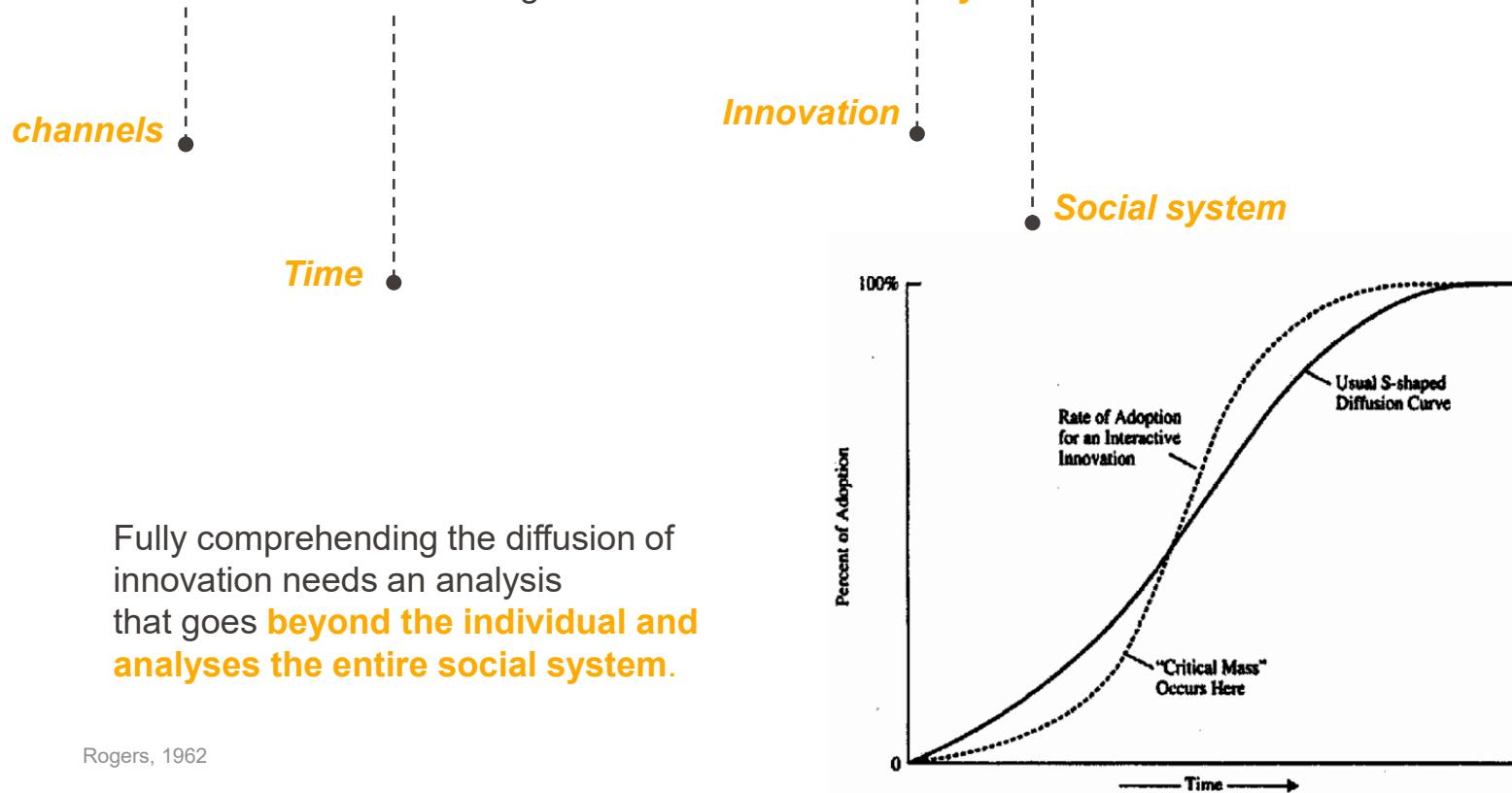
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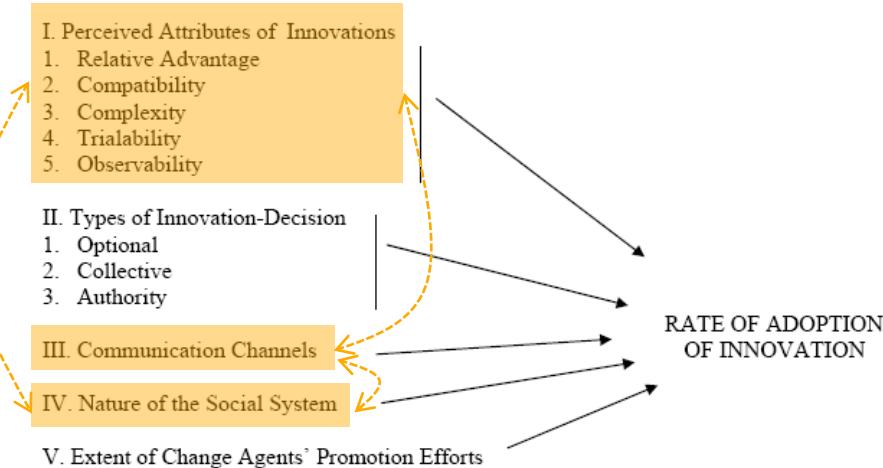
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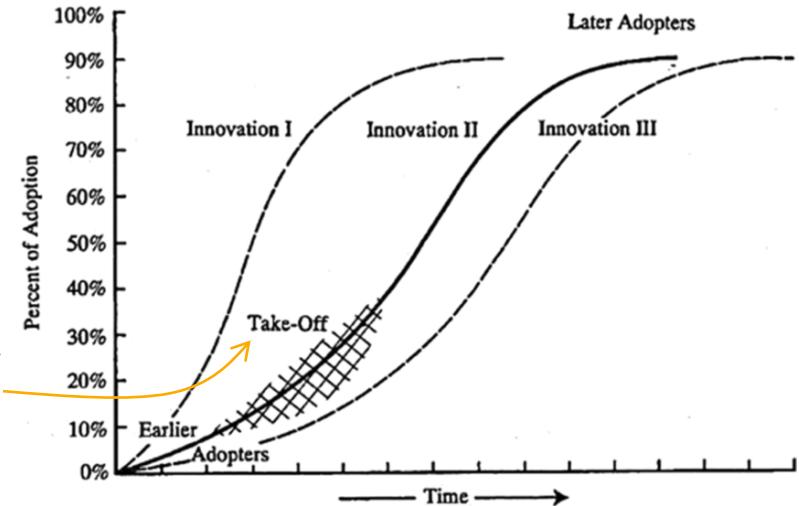


Diffusion of Innovations

Variables Determining the Rate of Adoption

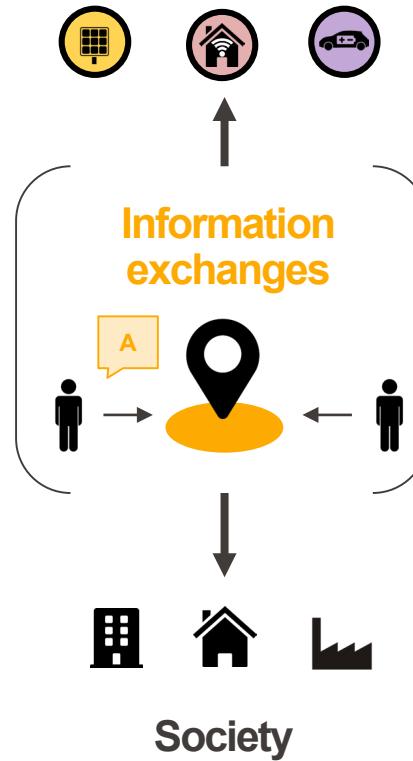


Dependent Variable That is Explained



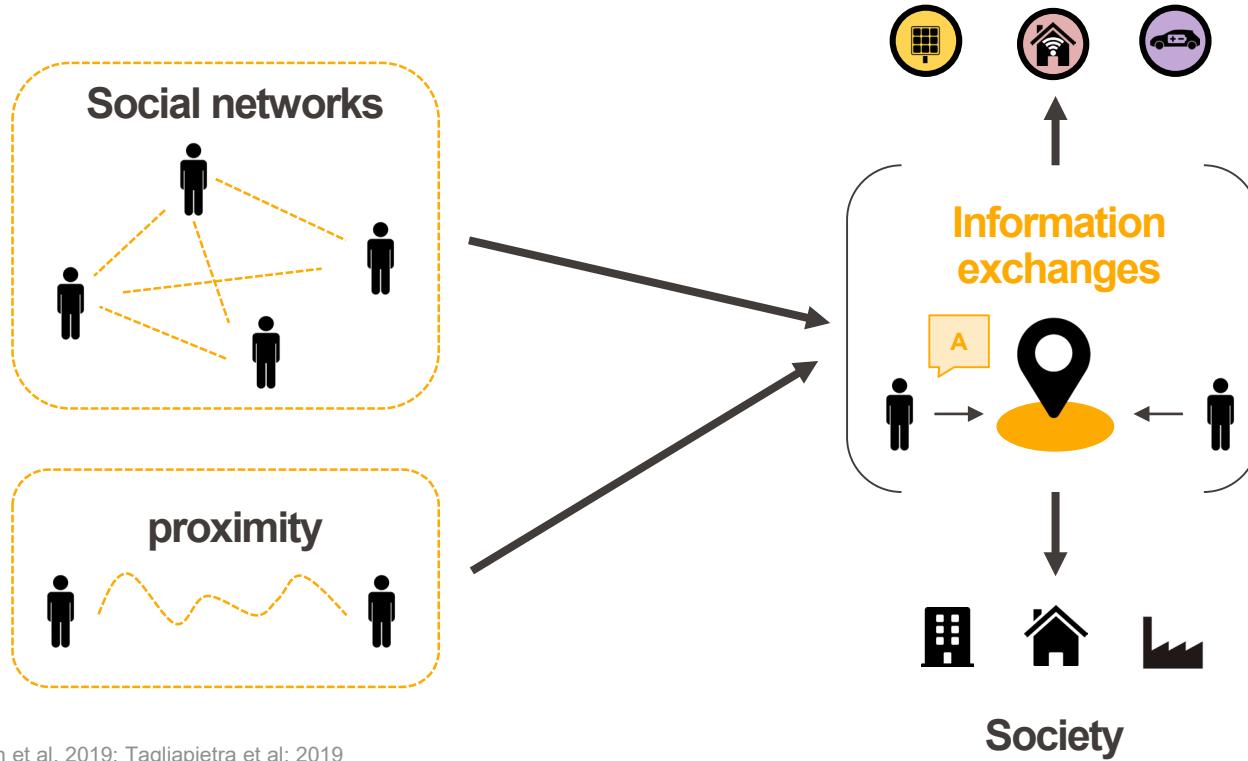
Diffusion of Innovations: information exchanges

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Diffusion of Innovations: information exchanges

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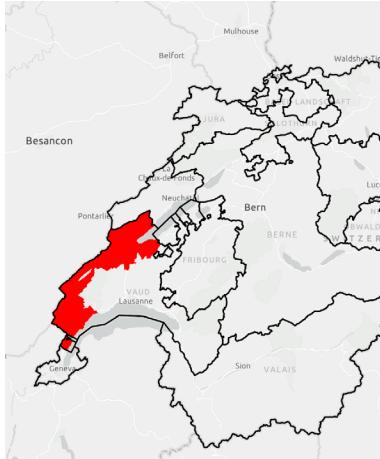
Information exchanges

Does social influence matter for PV diffusion?

Survey

1,125 respondents
representative sample of
population in **Nyon** and
Jura-Nord Vaudois

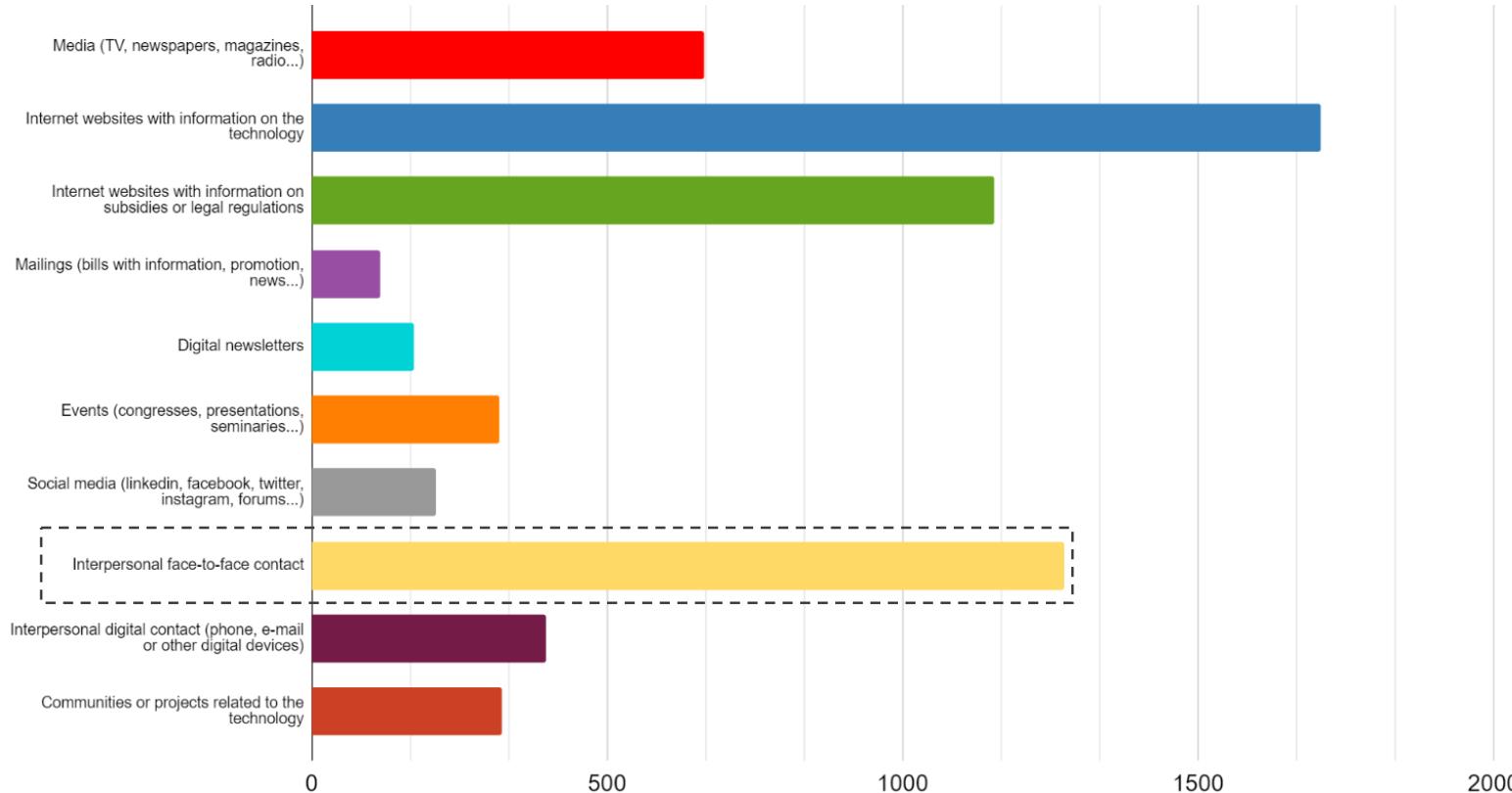
198 PV adopters



- Age
- Sex
- Household Composition
- Home ownership**
- Type of dwelling
- Ownership duration
- Relationship with neighbors
- Identification with the neighborhood
- Responsibility of energy expenditure
- Interest in the environment
- Exchange about environment questions
- Panel installation in the social group**
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- Advice given in the past
- Consideration of own impact in the environment
- Impact of habits in the environment
- Preoccupation with climate impact of energy use
- Support of environmental protection
- Priority given to environmental issues
- Professional status**
- Civil status
- Level of education

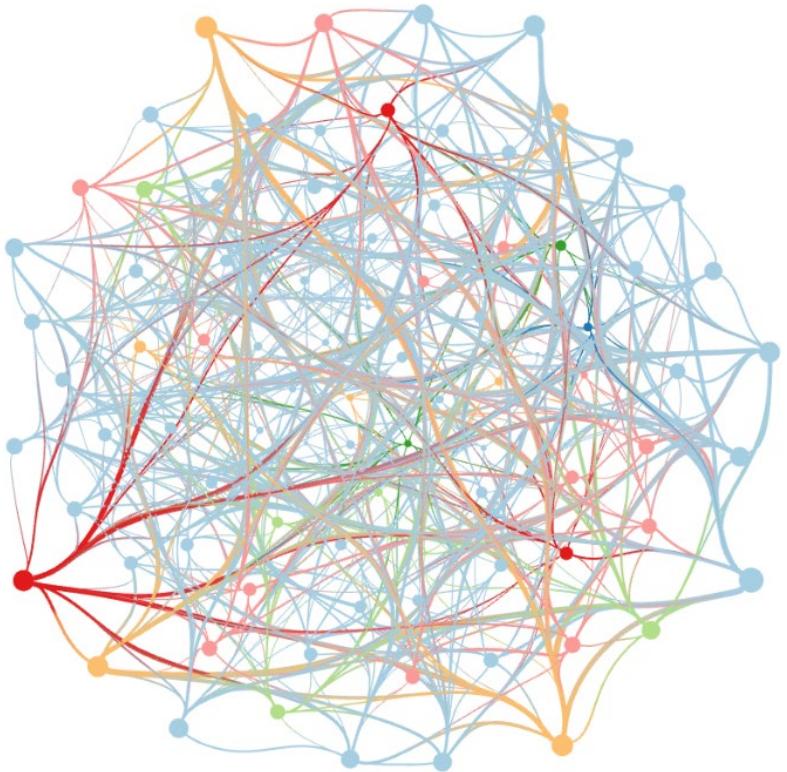
Information exchanges

Which type of channels do people use to exchange information?



Empirical take-aways

- **Social influence** matters for the diffusion
- **Face-to-face interactions** are very important



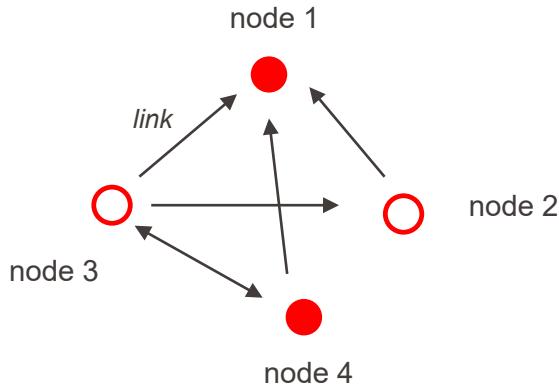
Social networks

Social network analysis

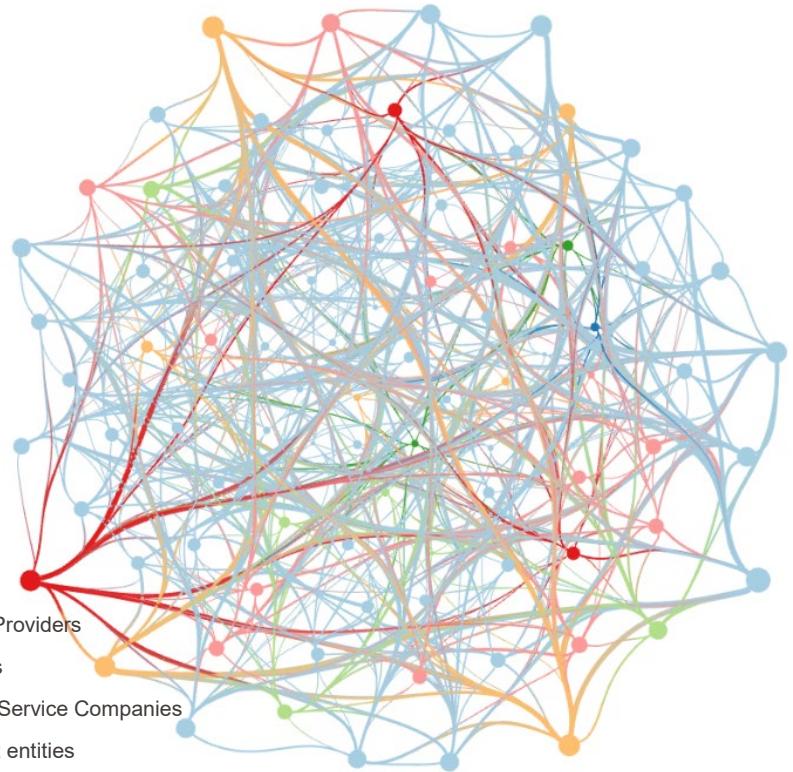
Social network analysis (SNA) is the field that studies systems of social relationships through networks (Borgatti and Halgin, 2014).

“social life is created primarily and most importantly by relations and the patterns formed by these relations” (Marin and Wellman, 2014, p. 2)

social network analysts argue that *“causation is not located in the individual, but in the social structure”* (Marin and Wellman, 2014, p. 4)



- Academia
- Associations
- Consulting
- Energy Technology Providers
- Energy Utility Entities
- Energy or e-mobility Service Companies
- Public and Non-profit entities



Social network analysis

Interactions between professionals and energy technology adopters



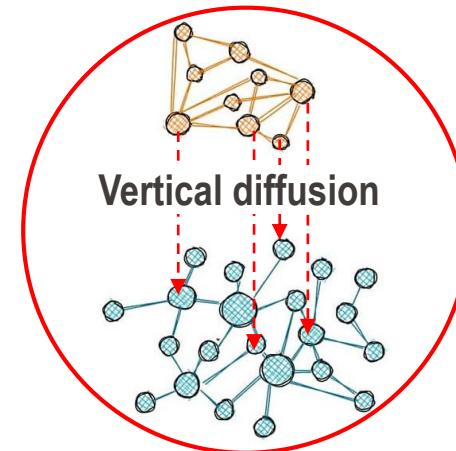
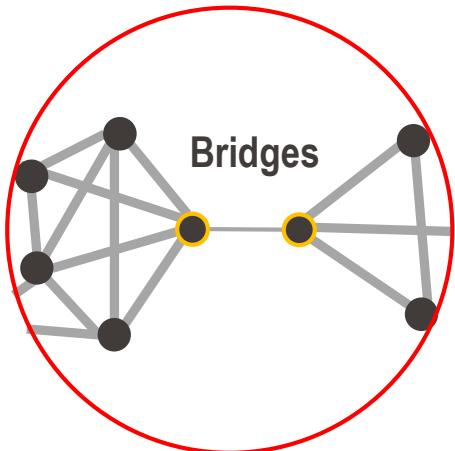
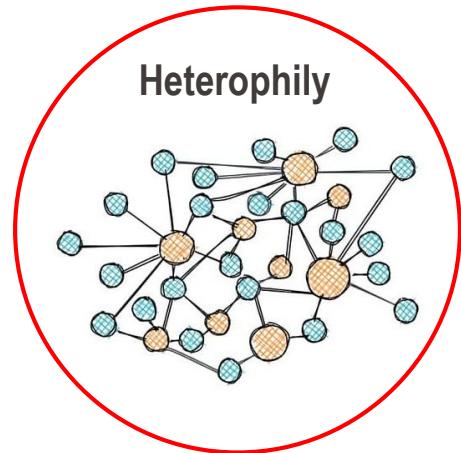
1. *Interactions of professionals with other organisations*

2. *Interactions of adopters with personal contacts*

3. *Interactions of adopters with professionals*

Social networks to support innovation

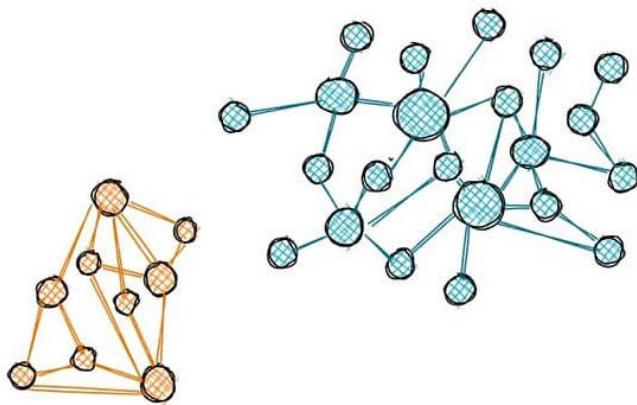
How can we leverage social networks to diffuse energy innovations?



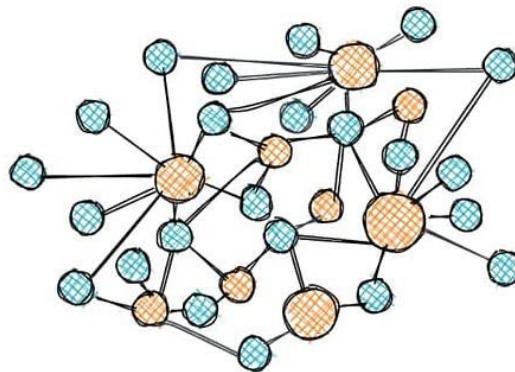
Heterophily

Without «new» people there are no «new» ideas

Homophily

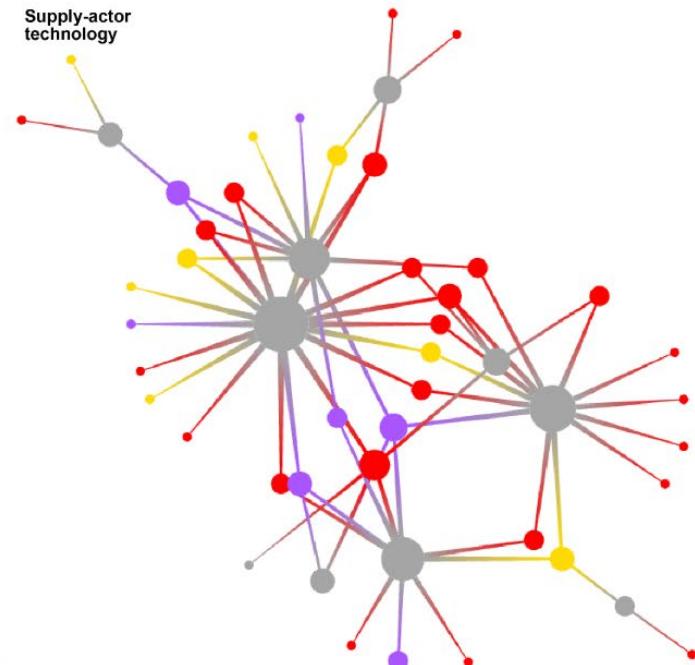
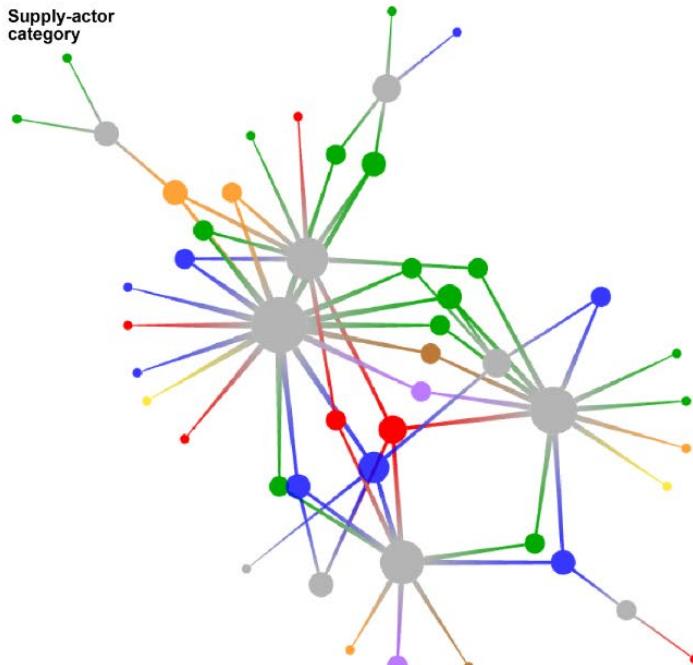


Heterophily



Heterophily

Different types of suppliers are connected by the events they attend



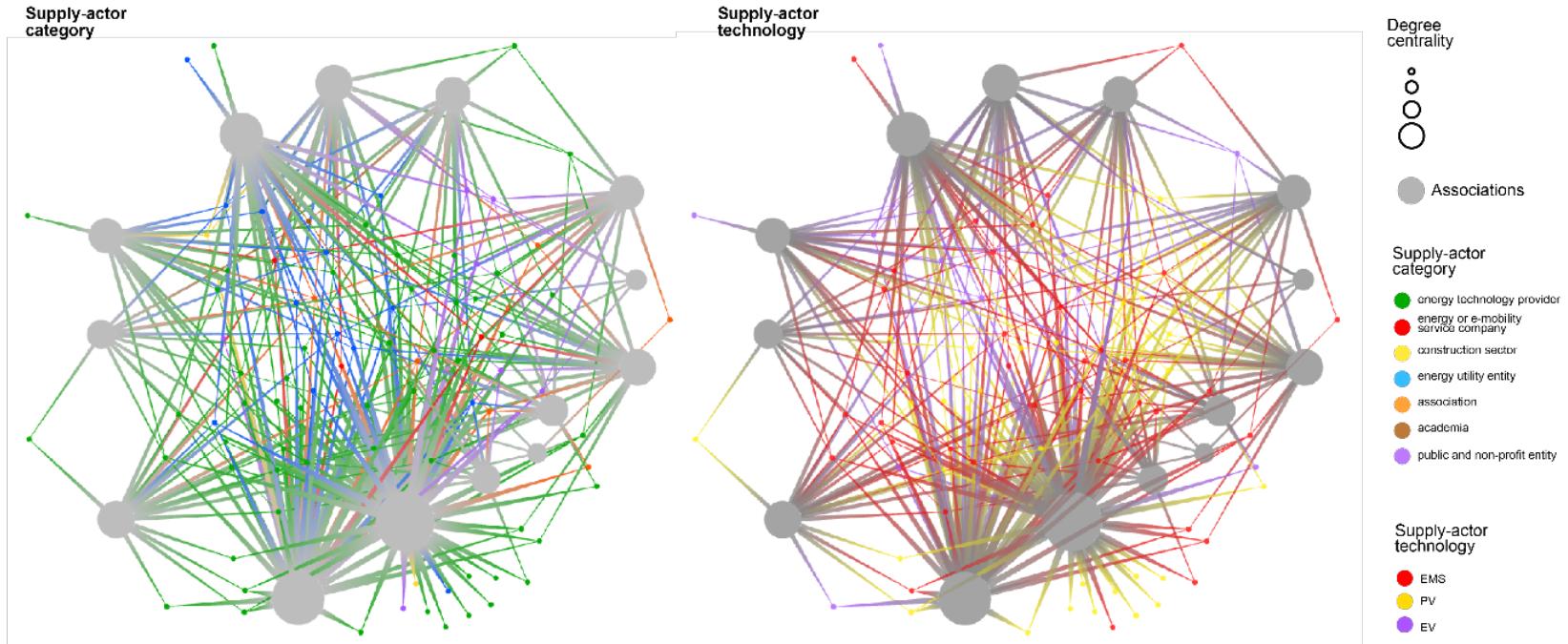
Network of events mentioned by supply-side respondents for information exchange. Generated with the software Tulip. The layout is the force-directed FM³ (OGDF) algorithm. Source: Serra-Coch, 2023

Heterophily

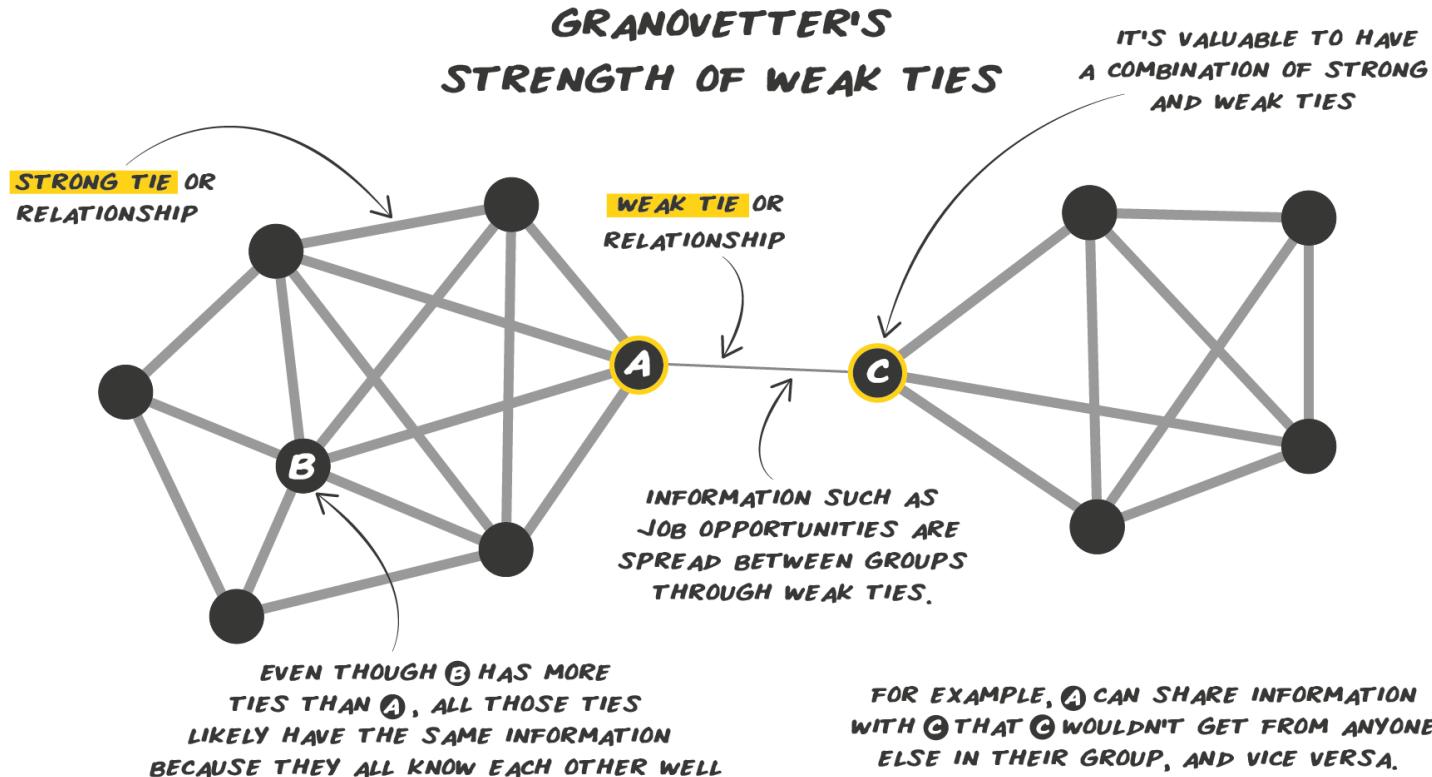
Different types of suppliers are connected by the events they attend

CASE STUDY

ENERGY SUPPLY, ECONOMICS AND TRANSITION



Network of associations mentioned by supply-side respondents for information exchange. Generated with the software Tulip. The layout is the fast multipole embedder (OGDF) algorithm. Source: Serra-Coch, 2023

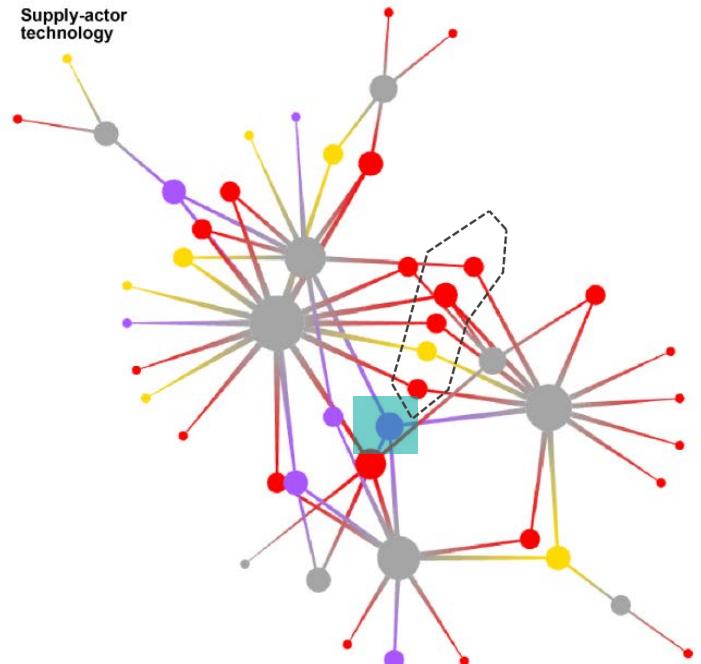
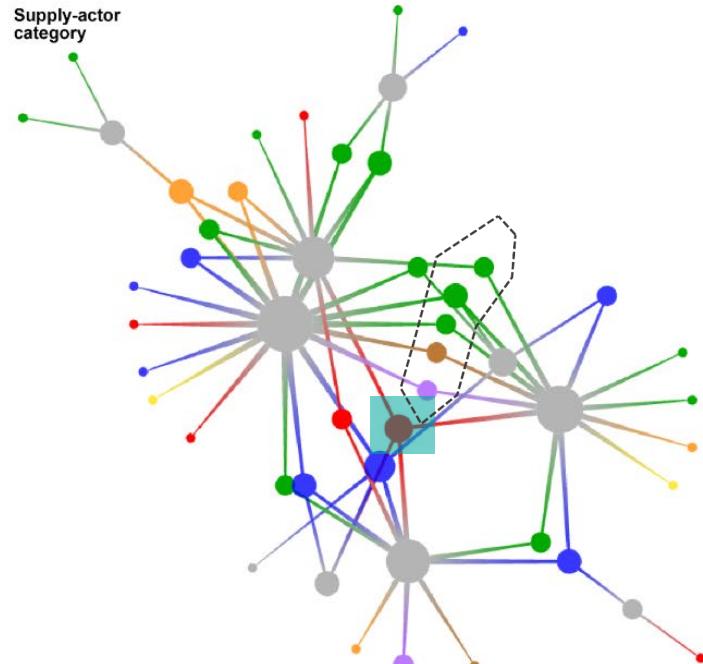


Bridges

Some suppliers are bridges (intermediaries) between different groups

CASE STUDY

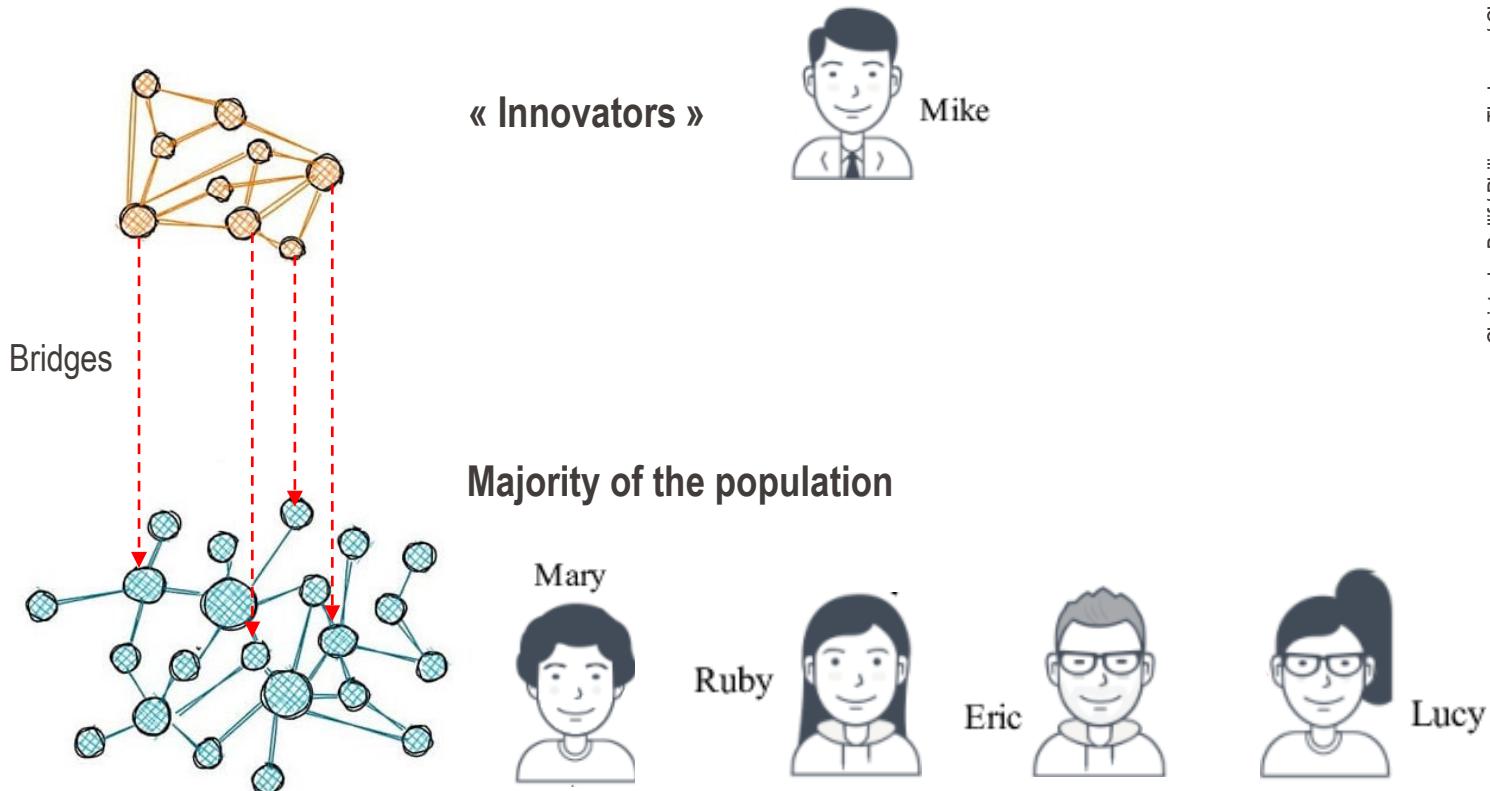
ENERGY SUPPLY, ECONOMICS AND TRANSITION



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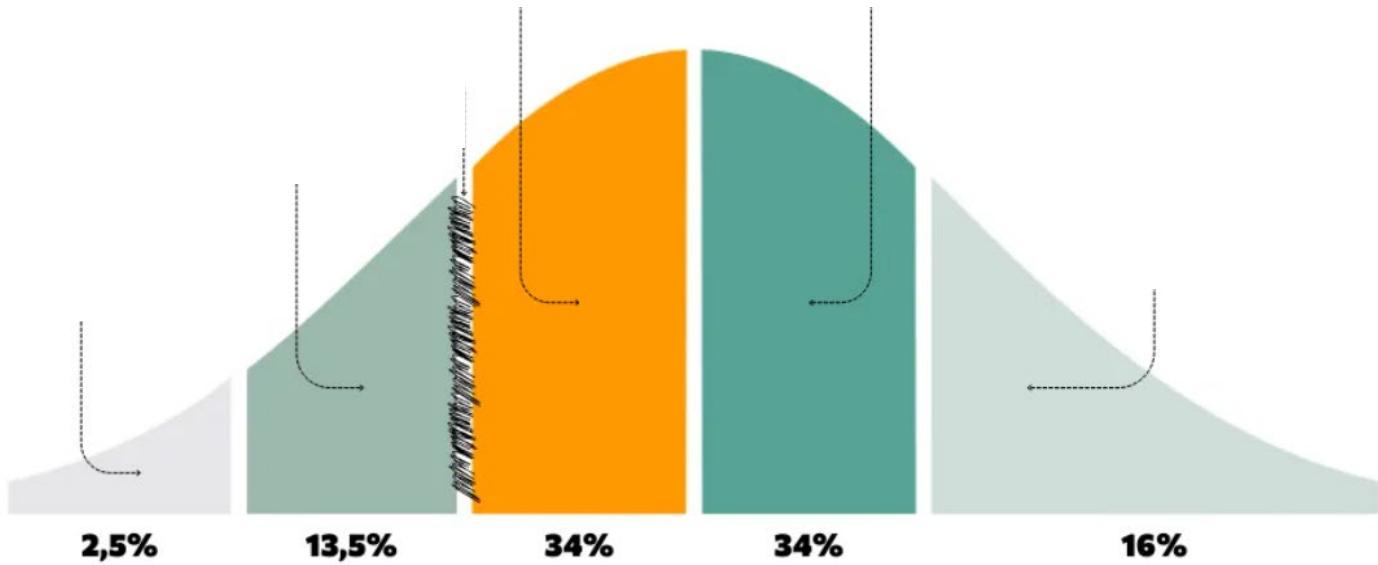
Vertical diffusion

Connecting people from different socio-economic backgrounds



Vertical diffusion

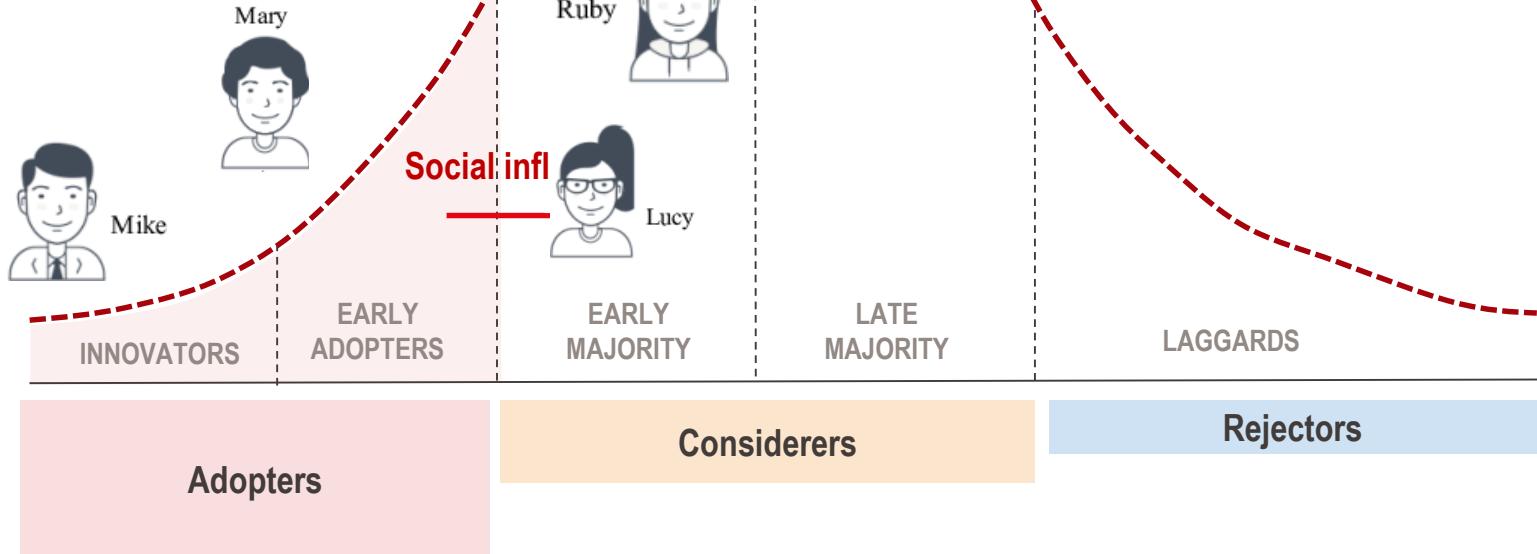
Adopter categories based on innovativeness (Rogers)



Vertical diffusion



What motivates them?



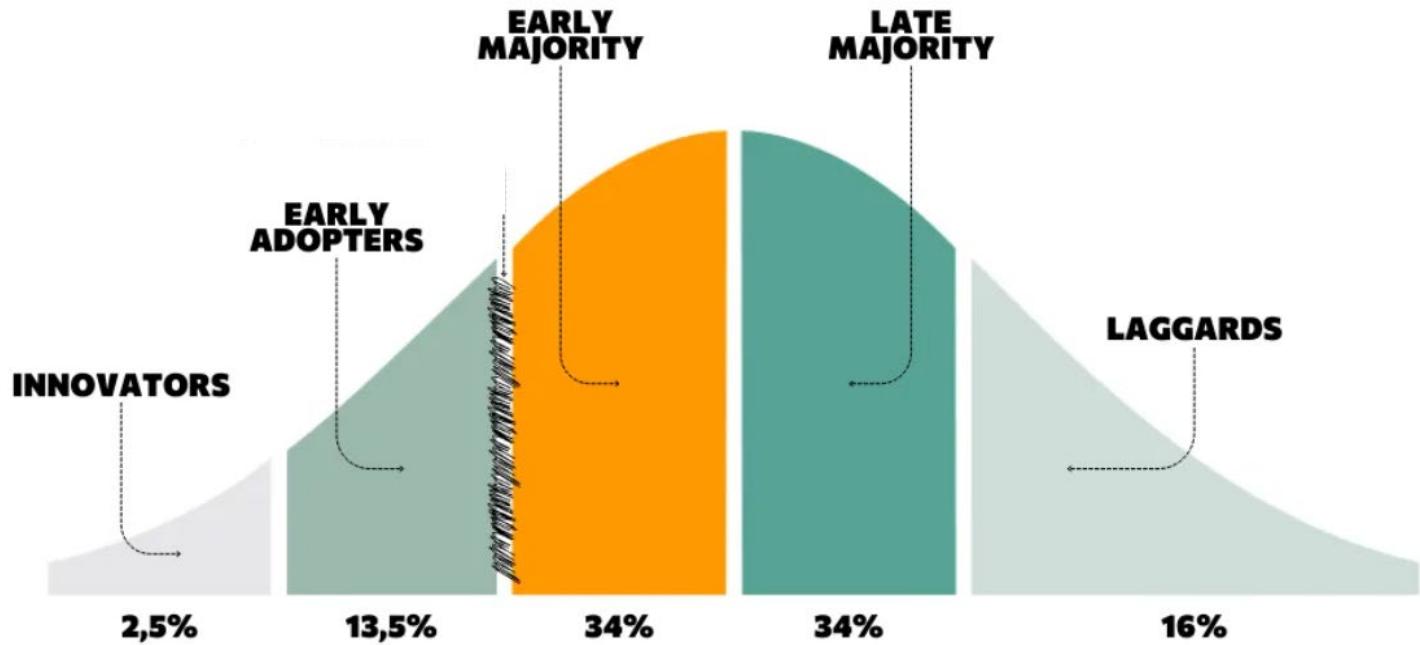
- ✓ Want to be more sustainable
- ✓ Have a privileged context

- ✓ Recommendations from others
- ✓ Seeing others who have it

- ✓ Financially not attractive
- ✓ Want financial incentives

Vertical diffusion

Adopter categories based on innovativeness (Rogers)



Typologies of PV adopters

Swiss household survey

5.000 responses

Focus on 3 technologies (EMS, PV, EV)

Focus on 4 cantons with 3 languages (SO, SG, VD, TI)



Socio-demographics

Technology

Adoption process

Information exchange

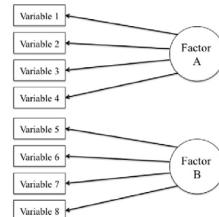
Household characteristics

Personal context

Environmental attitudes

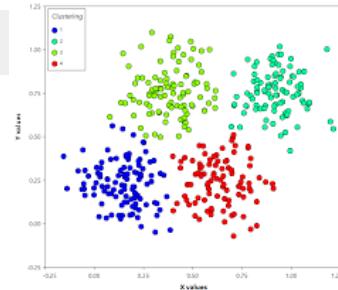
Factor analysis of motivation variables

- Factorability of the sample
- Eigenvalues
- Factor analyzer (Varimax rotation)
- Factor's scores



Clustering analysis of motivation factors

- Delete outliers
- Clustering Ward's method
- Clustering validation

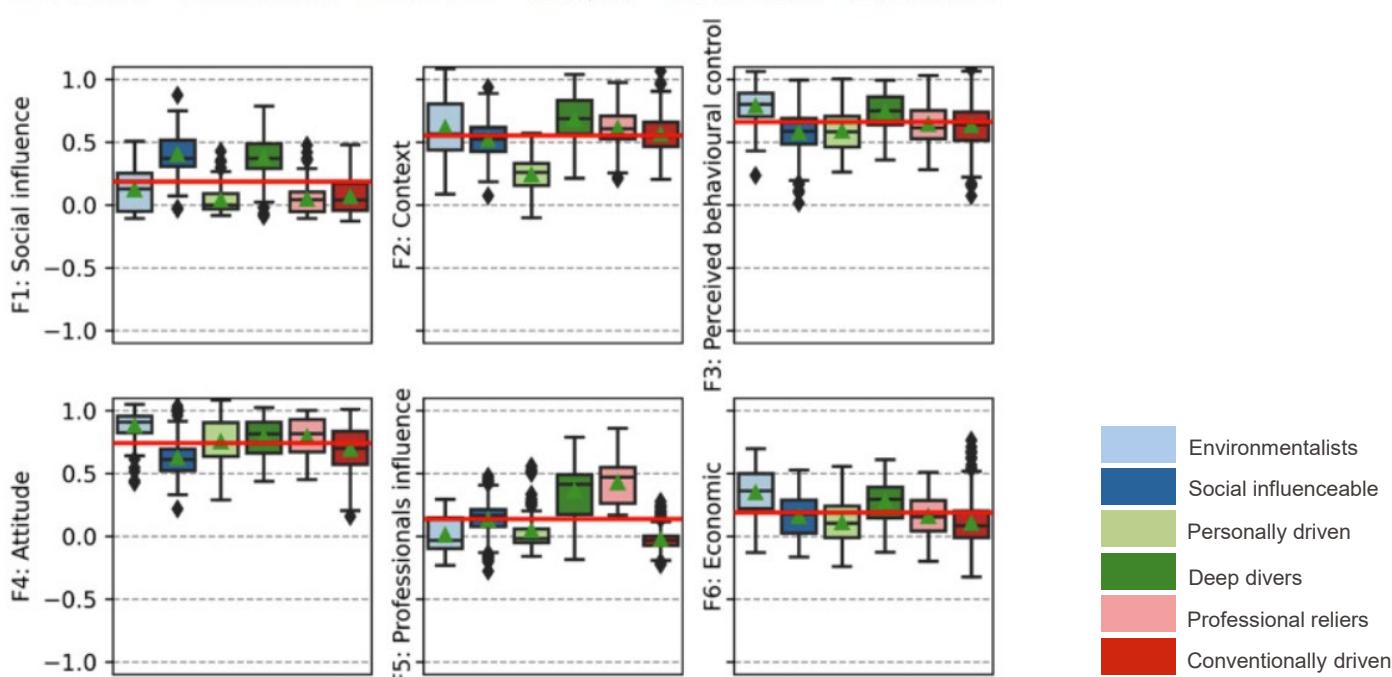


Clusters



Implications of multi-dimensions relations for PV adoption

Typologies of PV adopters



Typologies of PV adopters



Environmentalists



No Social and Professionals' influence



Highest income
More ZEV Communities



Newest residential areas
Biggest share of people living in cities

Social influenceable



High social influence



Highest number of people with university degrees



Highest population density area
Most PV installations around

Personally driven



Low context, no social or professionals' influence



Lowest share of university degrees
Lowest income



Older building areas
People living in suburbs



Deep divers



High social and professionals influence'



Biggest group of workers



Mean sample values
Most PV installations around

Professionals' reliefs



High professionals influence



Mostly retired people
Adoption during renovation



Least dense areas of our sample
The oldest building areas
The biggest amount of renovations around

Convenience driven



Low values in all terms



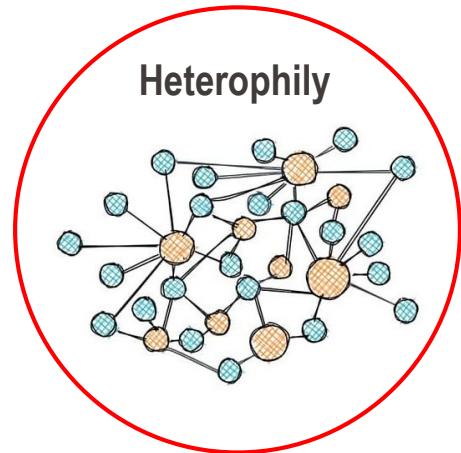
The youngest group



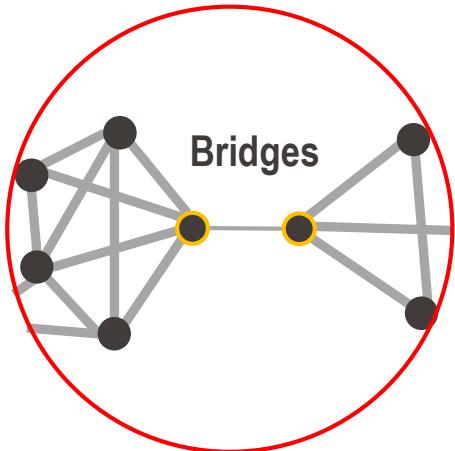
Mean sample values
Biggest amount of people living in building younger than 25 years old

Social networks to support innovation

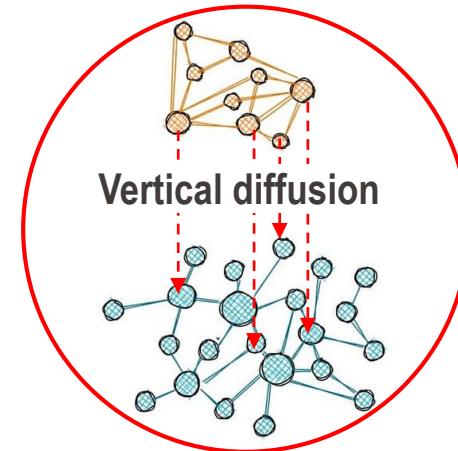
How can we leverage social networks to diffuse energy innovations?



Certain degree of **heterophily** to bring new ideas in



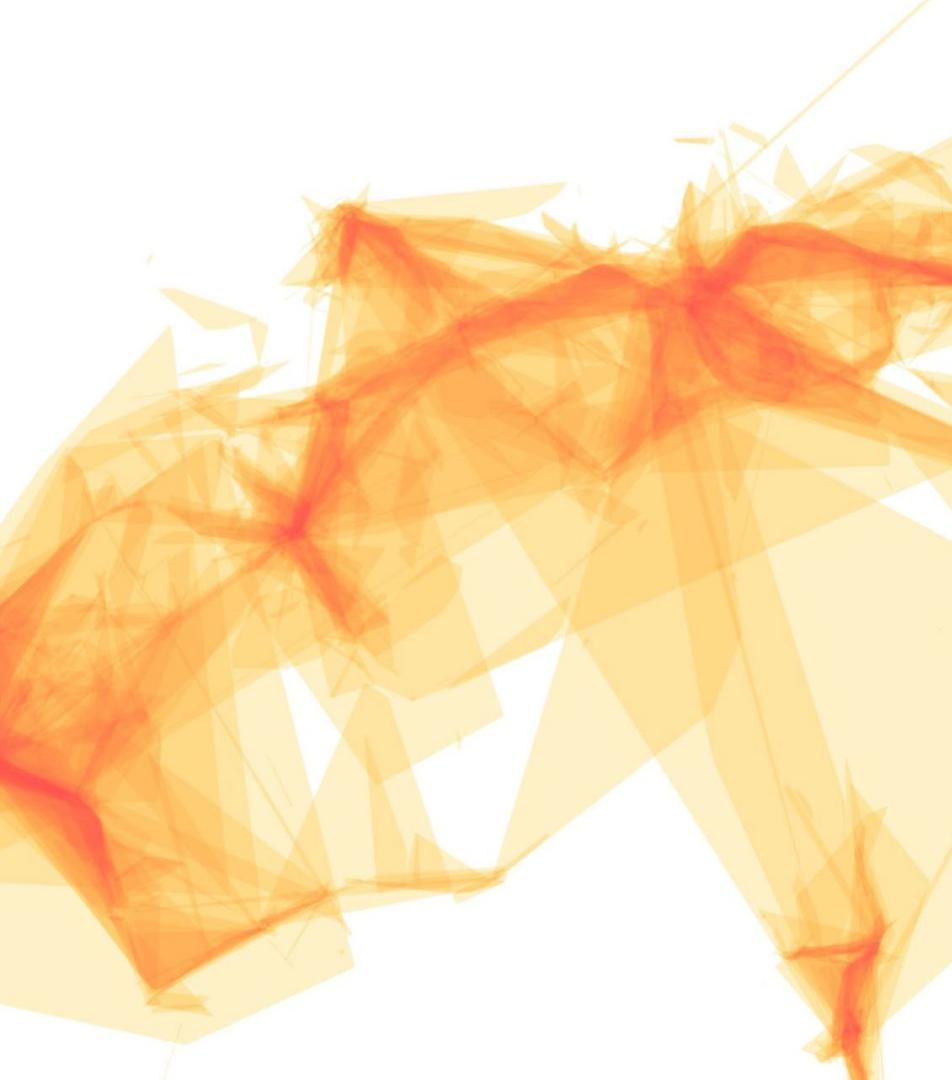
People who act as **bridges** between groups



Vertical diffusion with groups of different socio-economic backgrounds

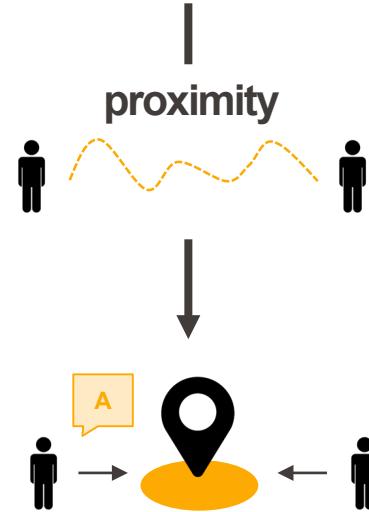
Empirical take-aways

- Heterophilic networks with intermediaries **bridging** groups and **vertical diffusion** between different people support the diffusion of innovations



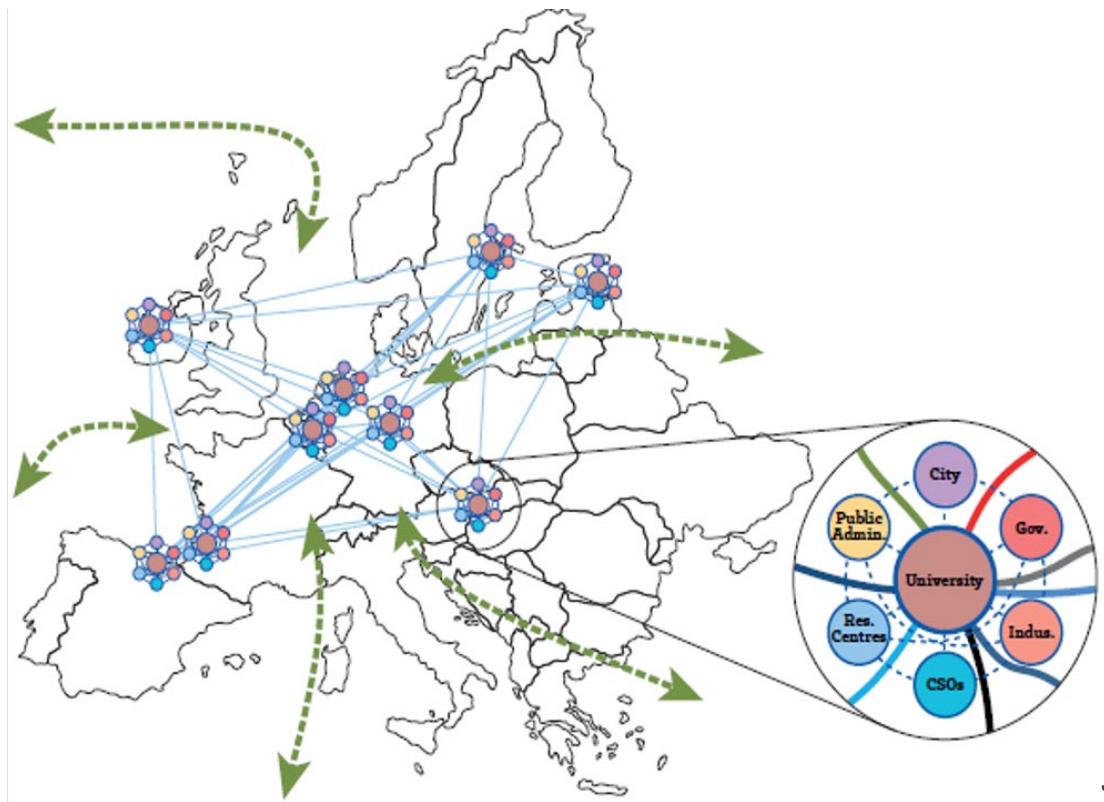
Proximity

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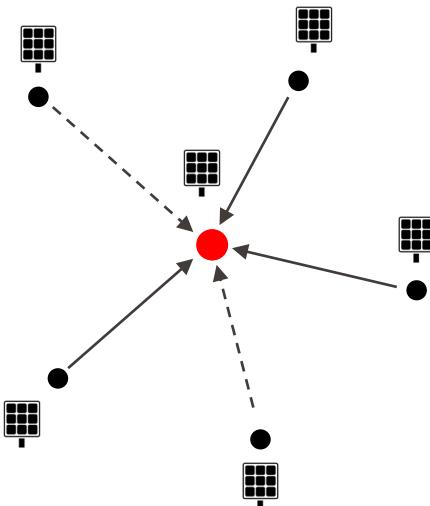


(tacit) knowledge exchanges

Why do we need proximity?



Proximity effects

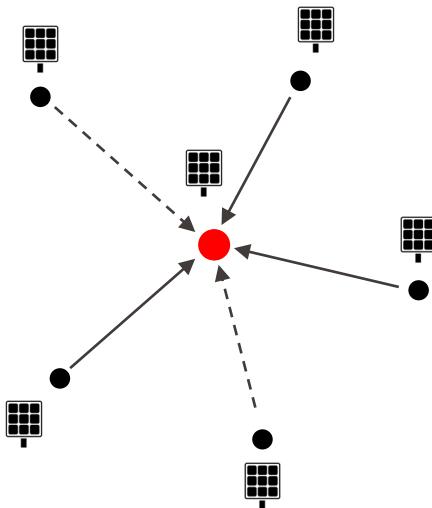


SourcePalm, 2017; Wolske et al., 2020; Baranzini et al., 2017; Carattini et al., 2018; Irwin, 2021; Graziano & Gillingham, 2015; Barrot et al., 2008; Rogers, 2003; Al-Taie & Kady, 2017

Proximity effects & information

Proximity effects

take place when attitudes, values or behaviours of an individual are affected by behaviours of close individuals.



can work through:

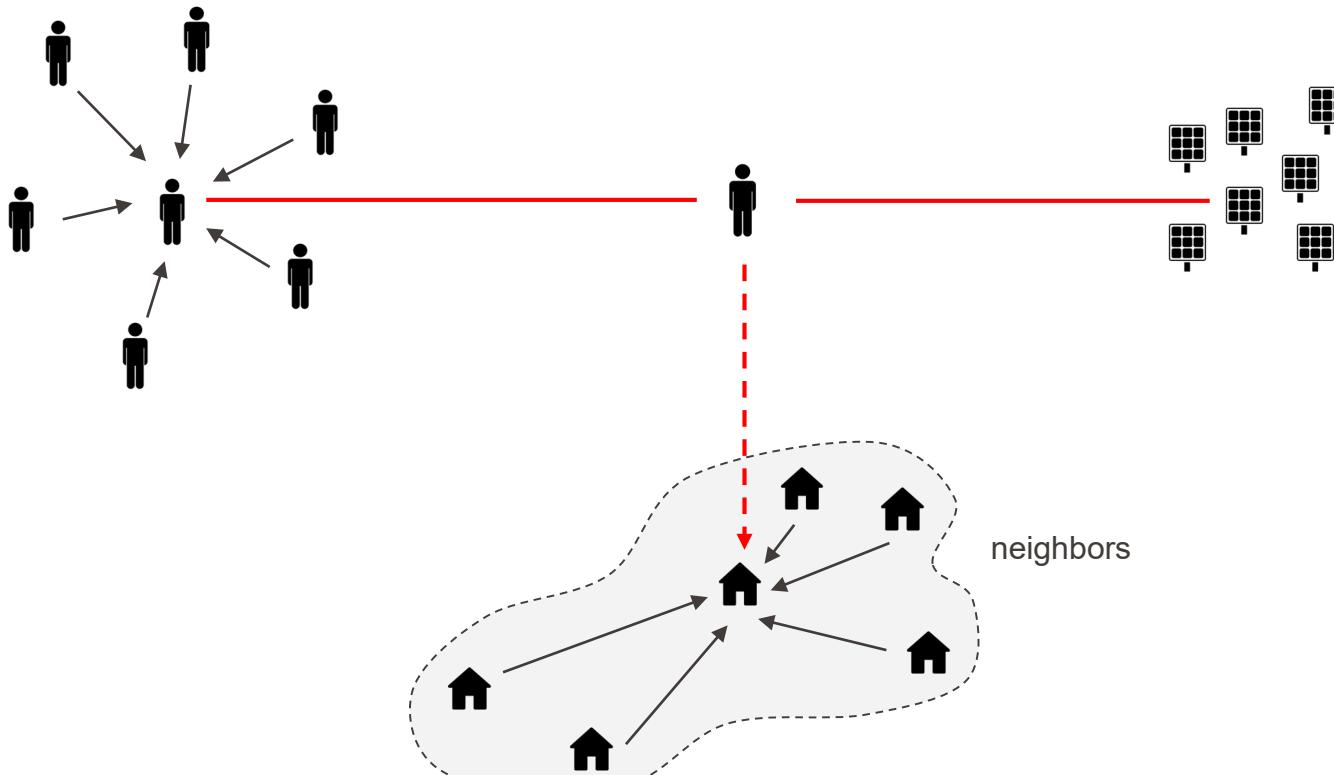
- Interpersonal communication and persuasion
- normative social influence

can be:

- active: conscious verbal exchange (word of mouth)
- passive: visual cues (visibility)

Source: Palm, 2017; Wolske et al., 2020; Baranzini et al., 2017; Carattini et al., 2018; Irwin, 2021; Graziano & Gillingham, 2015; Barrot et al., 2008; Rogers, 2003; Al-Taie & Kady, 2017

Proximity effects & information



Source: Palm, 2017; Wolske et al., 2020; Baranzini et al., 2017; Carattini et al., 2018; Irwin, 2021; Graziano & Gillingham, 2015; Barrot et al., 2008; Rogers, 2003; Al-Taie & Kady, 2017

Proximity effects

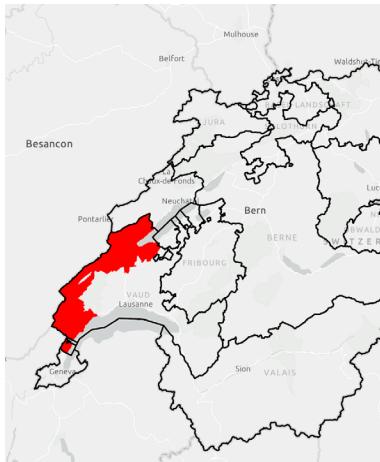
Does proximity matter for social influence?

Survey

1,125 respondents
representative sample of
population in **Nyon** and
Jura-Nord Vaudois



198 PV adopters



Age
Sex
Household Composition
Home ownership
Type of dwelling
Ownership duration
Relationship with neighbors
Identification with the neighborhood
Responsibility of energy expenditure
Interest in the environment
Exchange about environment questions
Panel installation in the social group
Installation of PV panels by neighbors
Exchange with neighbors
Advice given in the past
Consideration of own impact in the environment
Impact of habits in the environment
Preoccupation with climate impact of energy use
Support of environmental protection
Priority given to environmental issues
Professional status
Civil status
Level of education

With whom did they
exchange about the
topic?

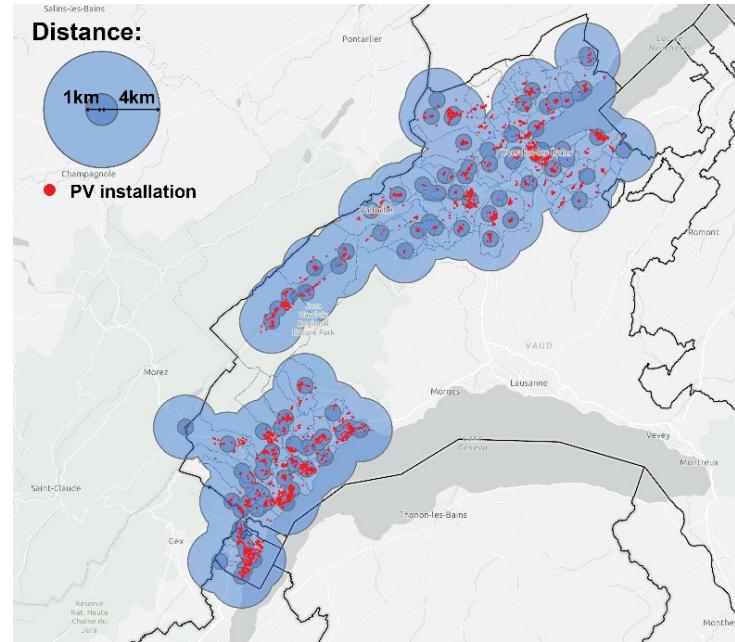
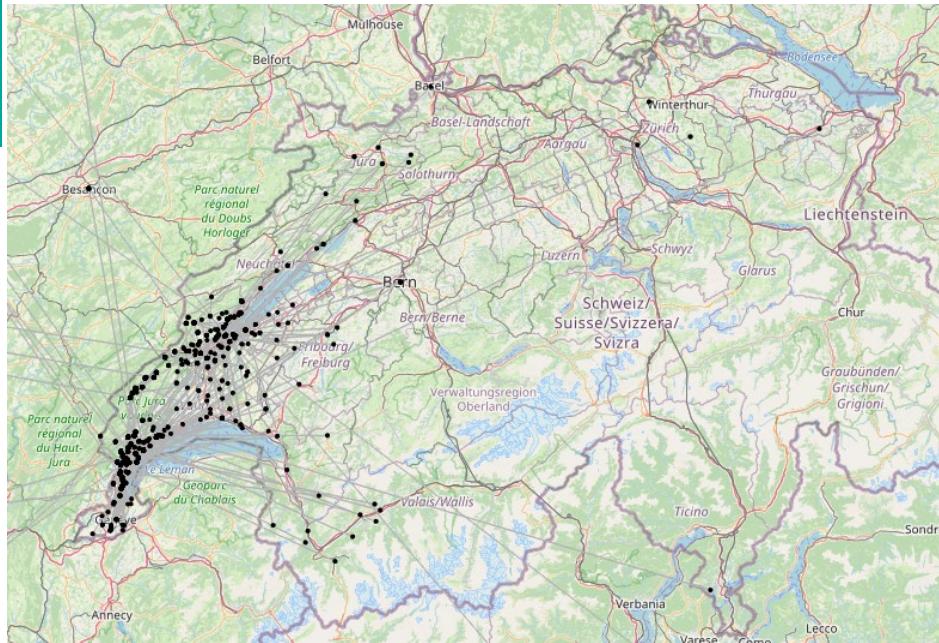
Where are they
located?

Are there PV panels
around them?

Proximity effects

CASE STUDY

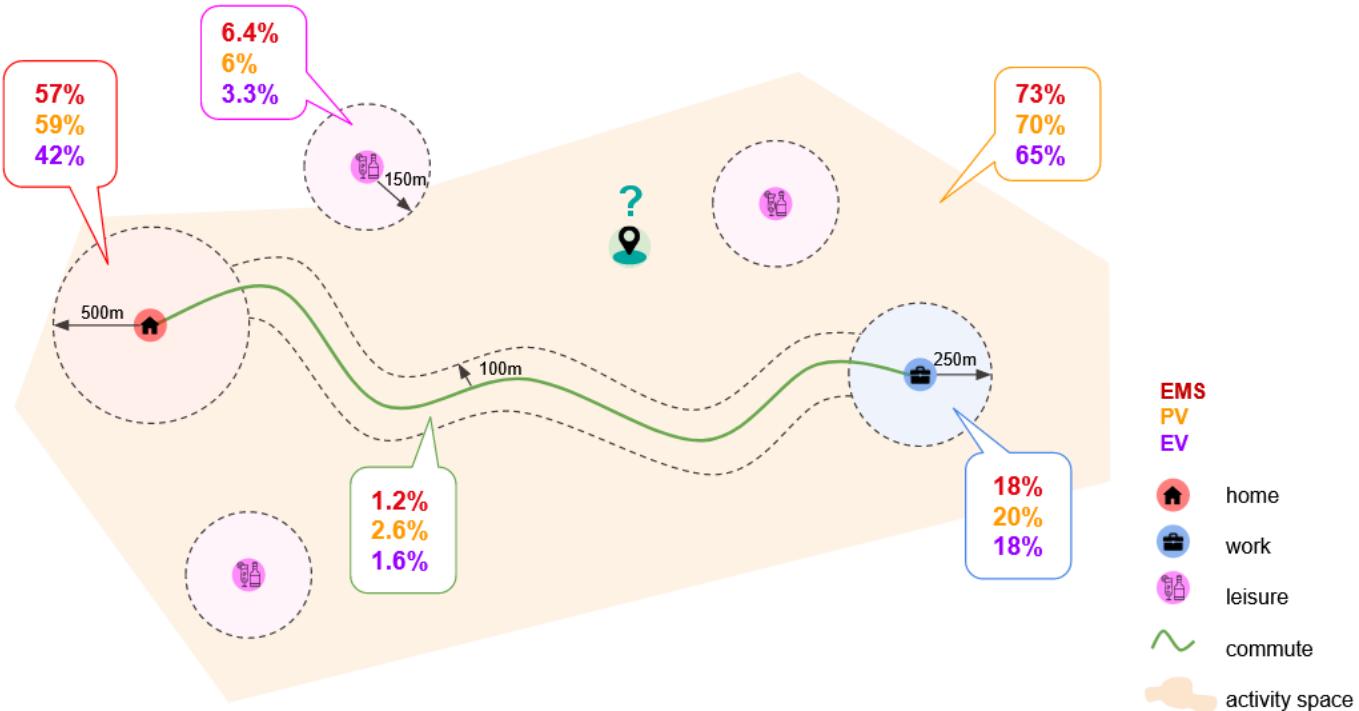
Does proximity matter for social influence?



Proximity

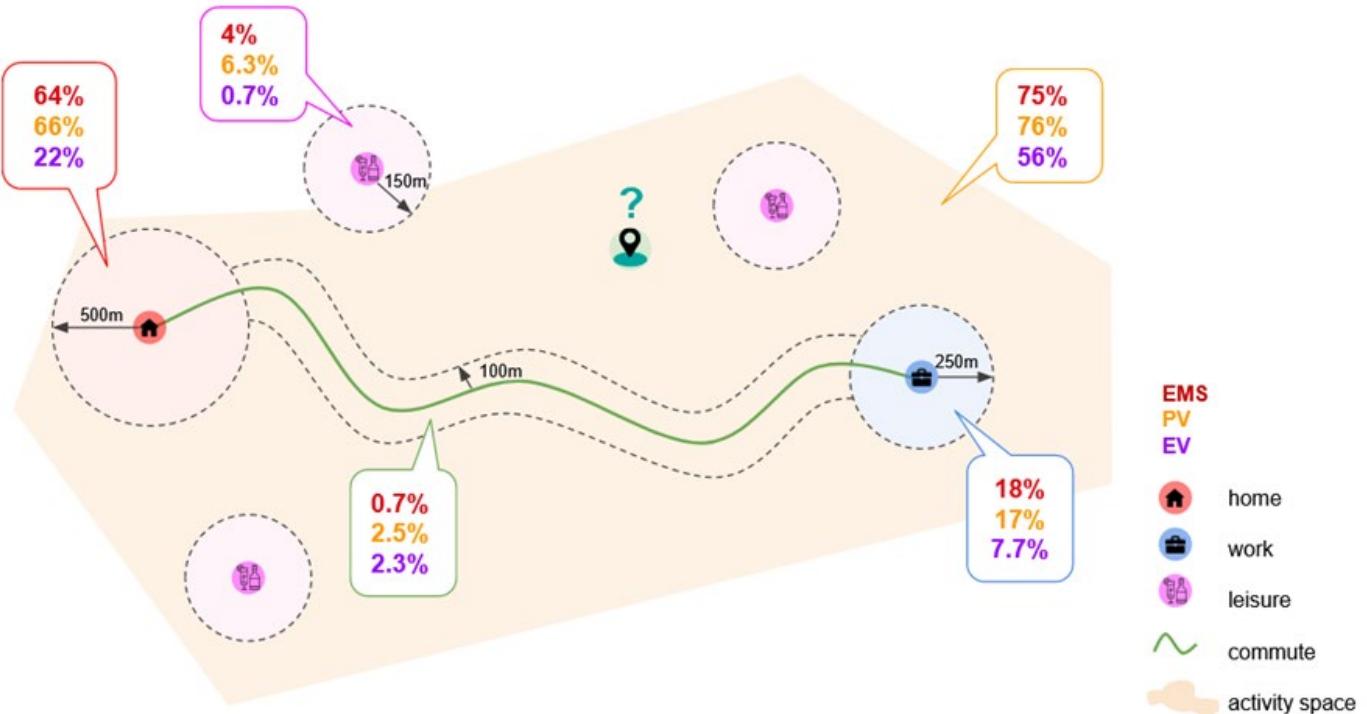
Adopters exchange information about EMS, PV & EV around their home

CASE STUDY



Proximity

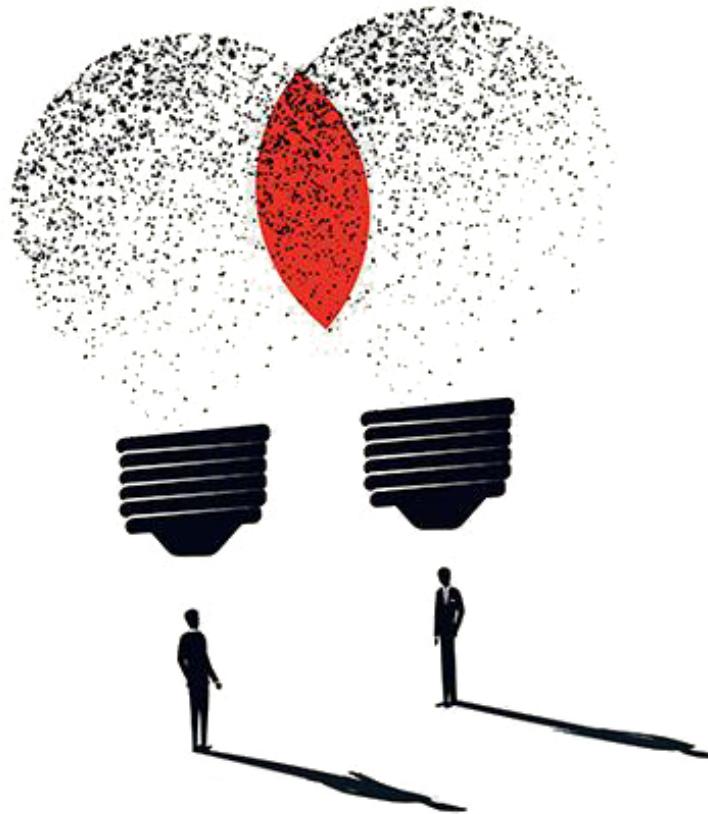
Both with personal contacts and professionals
With different patterns between technologies



Professional

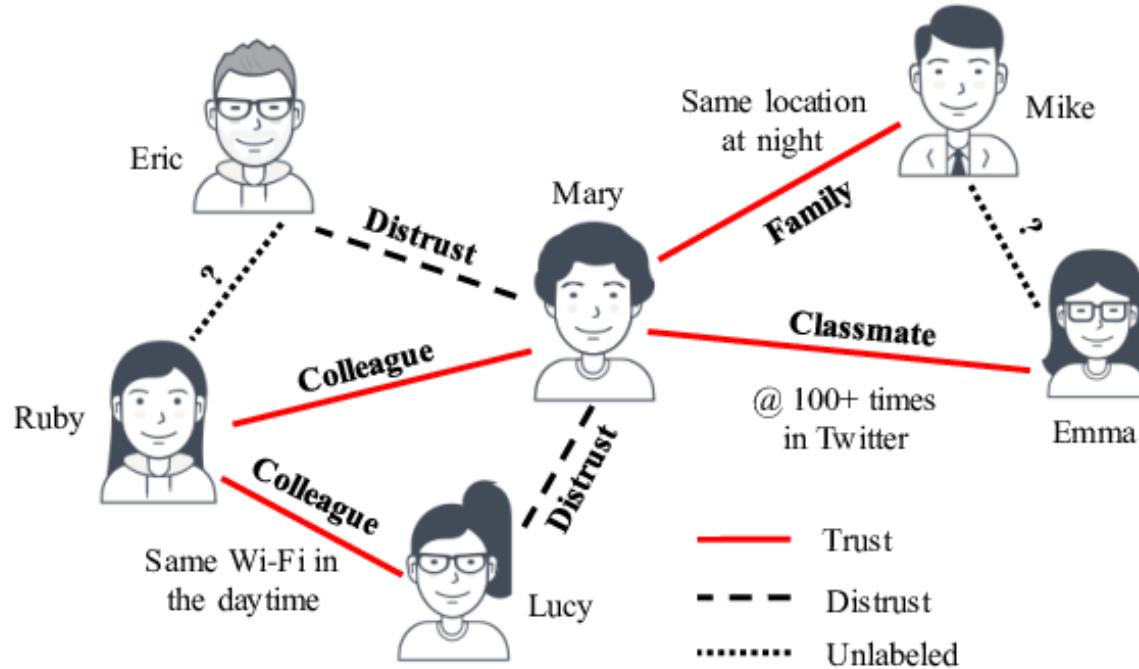
Social proximity

How about how close we feel to a person? Regardless of physical distance...



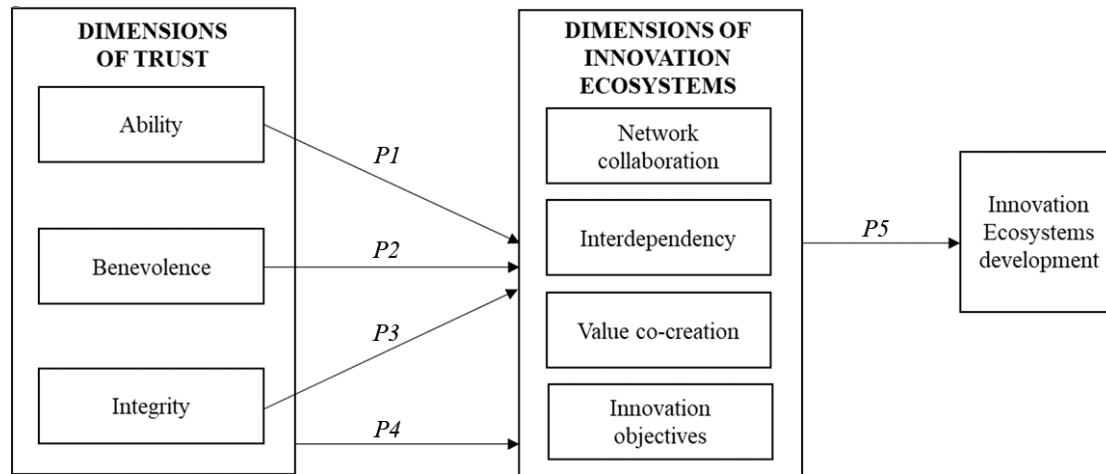
Social proximity

Our relationships can be defined in terms of how much we trust people



Social proximity

Trust is key for communication and cooperation → innovation

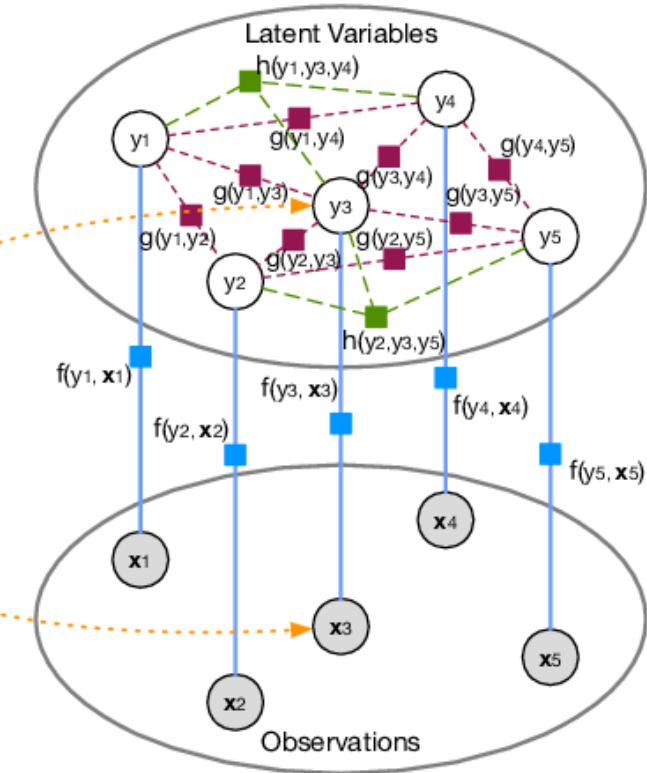
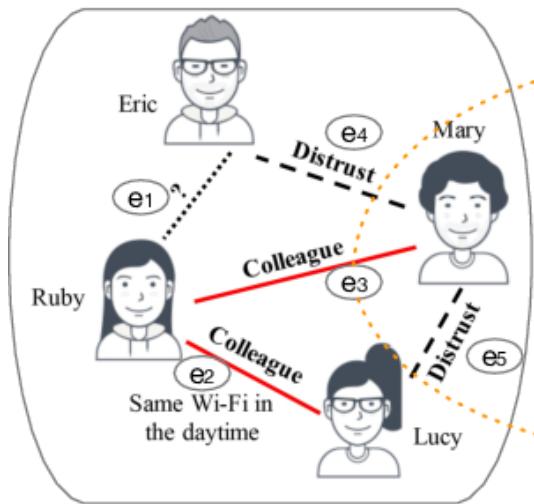


Note: P = Proposition



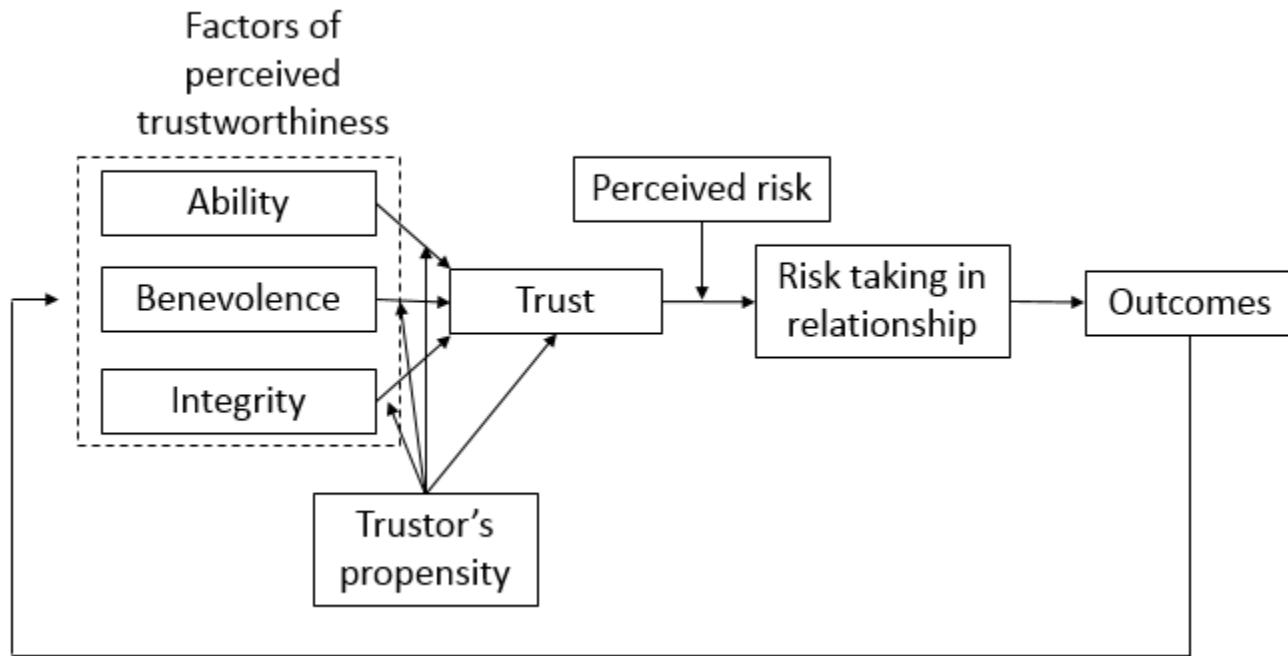
Social proximity

Trust is key for communication and cooperation → innovation



Social proximity

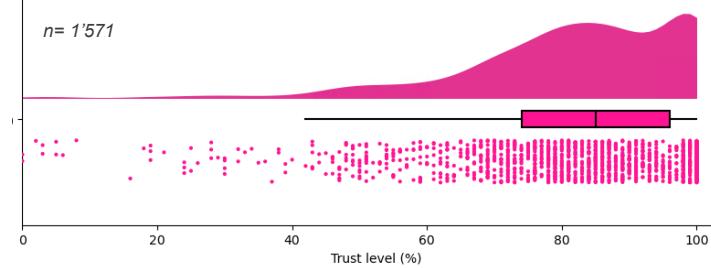
Intermediaries as trust enablers



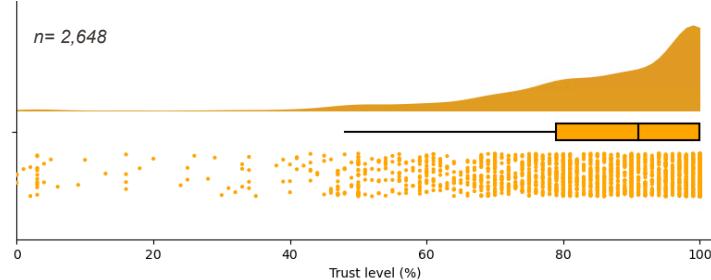
Social proximity

Trust is high overall and highest between adopters and their personal contacts

With professionals



With personal contacts



TRUST

Social proximity

There is a relationship between frequency of interaction and trust

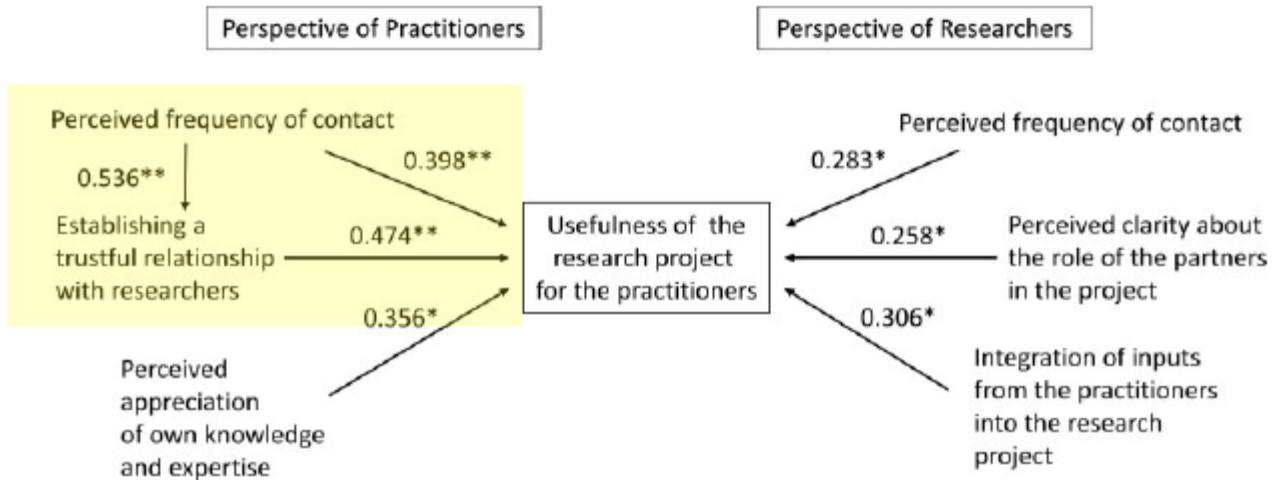
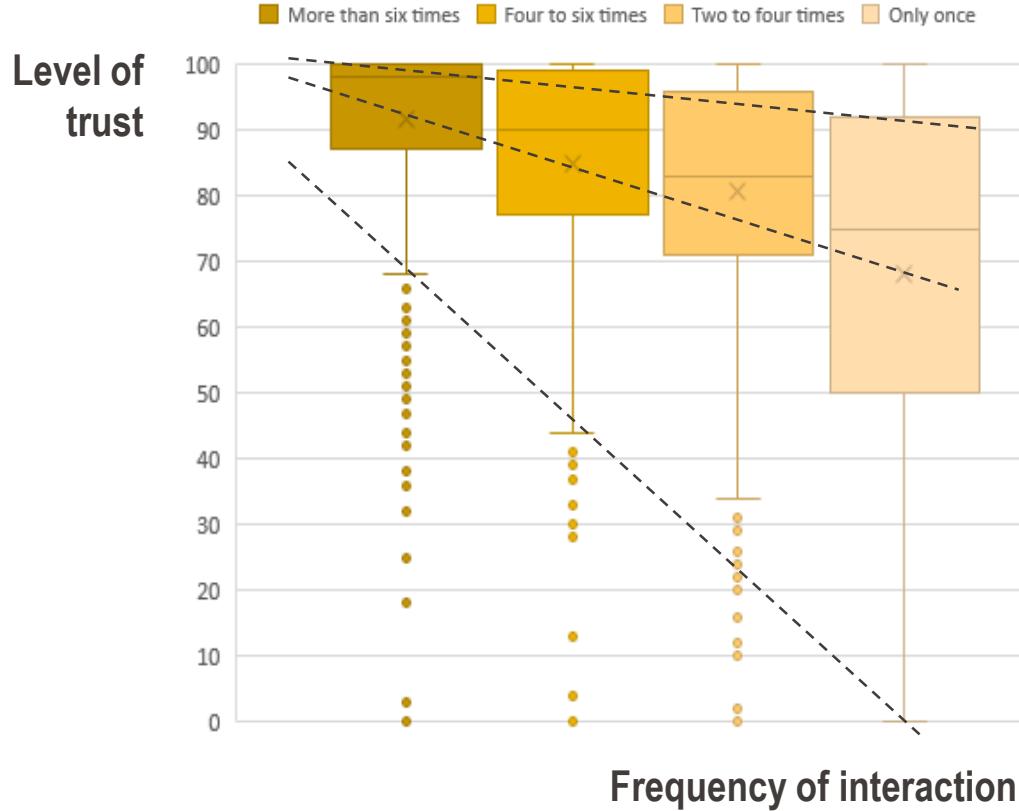


Figure 5. Significant aspects related to the usefulness of the research project for practitioners according to the analysis of the responses of researchers and practitioners (* $p < 0.05$, ** $p < 0.01$).

Social proximity

Adopters trust more those with whom they interact more often



Social proximity

FREQ.

With professionals

Kruskal-Wallis H
p-value: 0

>6 Times

Dunn's Test (Post-hoc):
1 vs. 2: $p = 0.005$
1 vs. 3: $p = 0.0$
1 vs. 4: $p = 0.0$
2 vs. 3: $p = 0.02$
2 vs. 4: $p = 0.0$
3 vs. 4: $p = 0.002$

4-6 Times

2-4 Times

Only Once

With personal contacts

Kruskal-Wallis H
p-value: 0

>6 Times

Dunn's Test (Post-hoc):
1 vs. 2: $p < 0.05$
1 vs. 3: $p = 0.005$
1 vs. 4: $p = 0.00$
1 vs. 5: $p = 0.0$
2 vs. 4: $p = 0.0$
3 vs. 4: $p = 0.0$

4-6 Times

2-4 Times

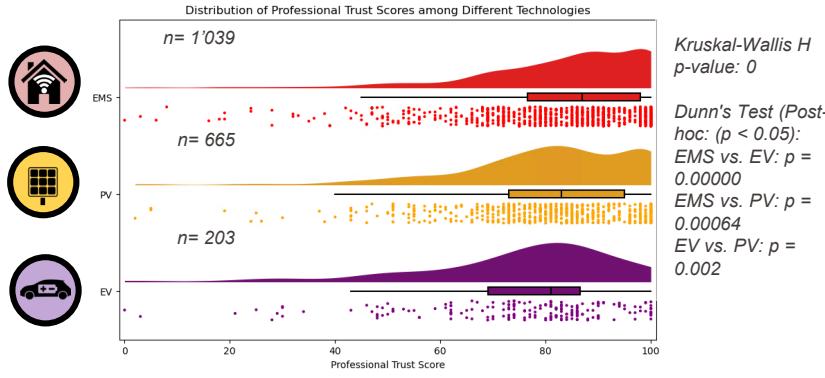
<2 Times

Trust level (%)

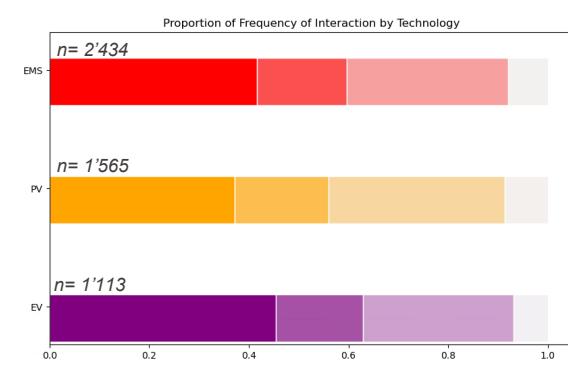
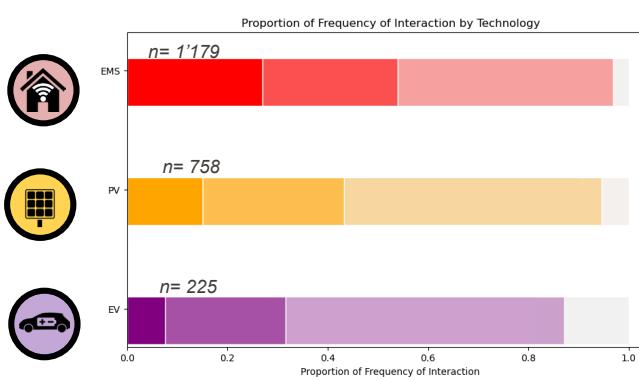
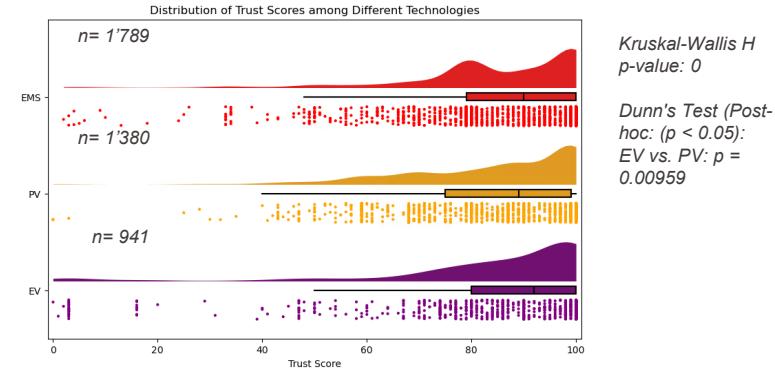
Social proximity

EV adopters exchange less often and trust less the professionals

With professionals



With personal contacts

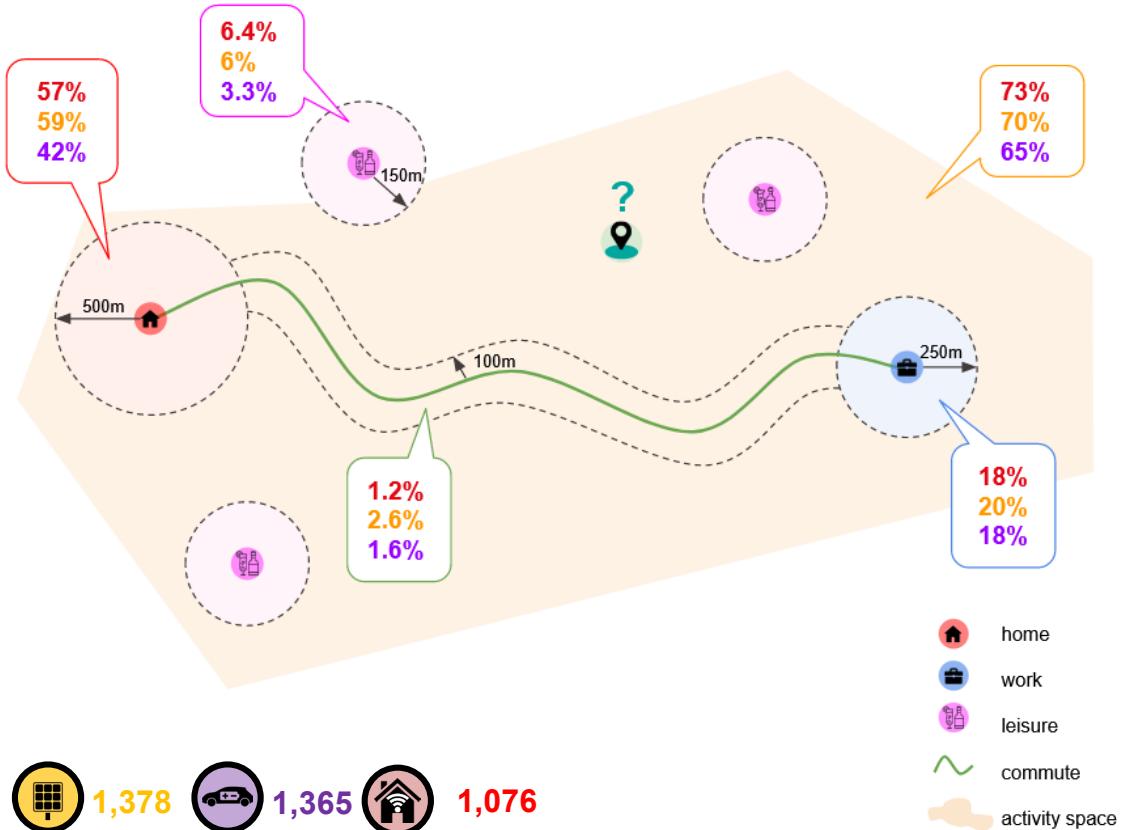


■ > 6 times ■ 4-6 times ■ 2-4 times ■ 1 time

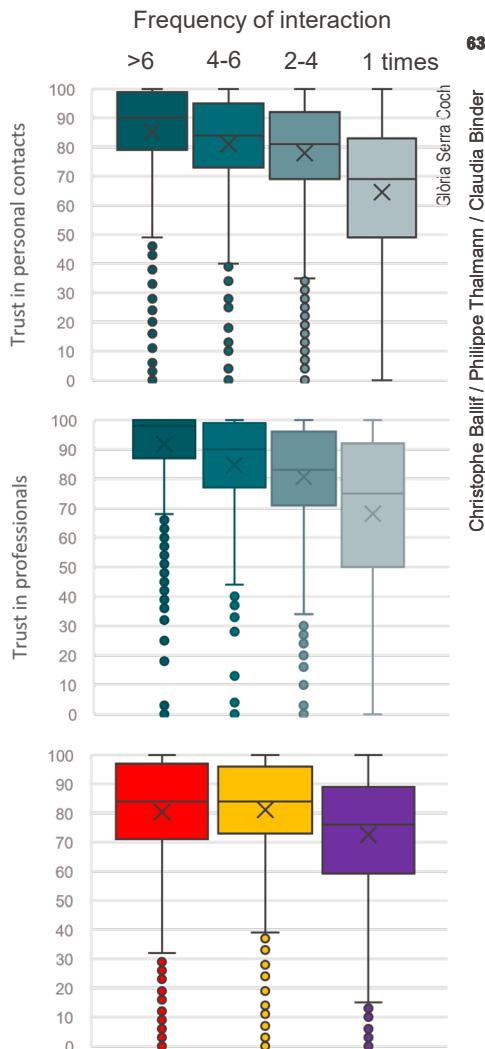
Serra-Coch et al., under review in 2025

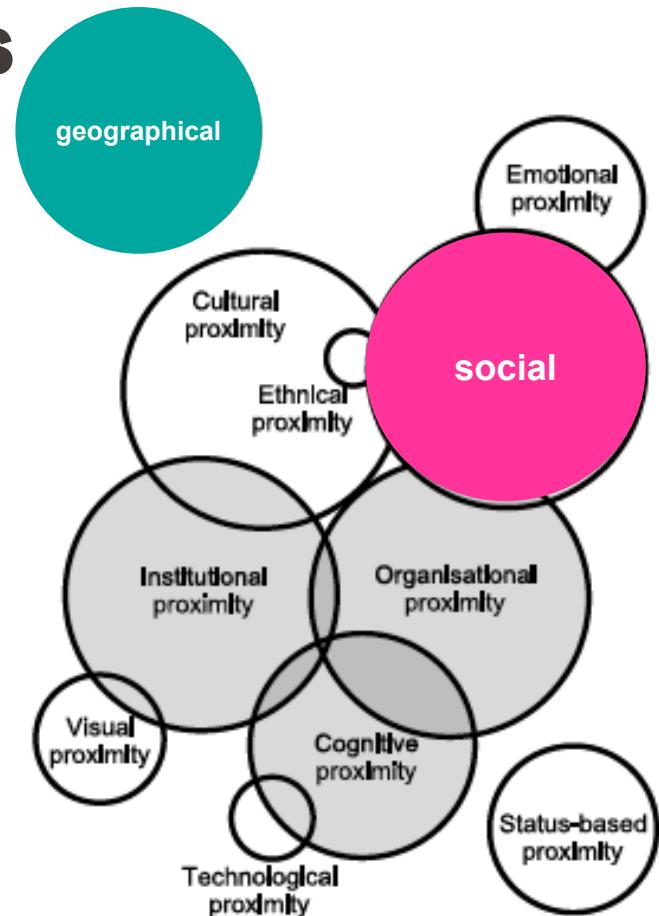
Proximity

Both social and geographical proximity are relevant
And different for the 3 technologies



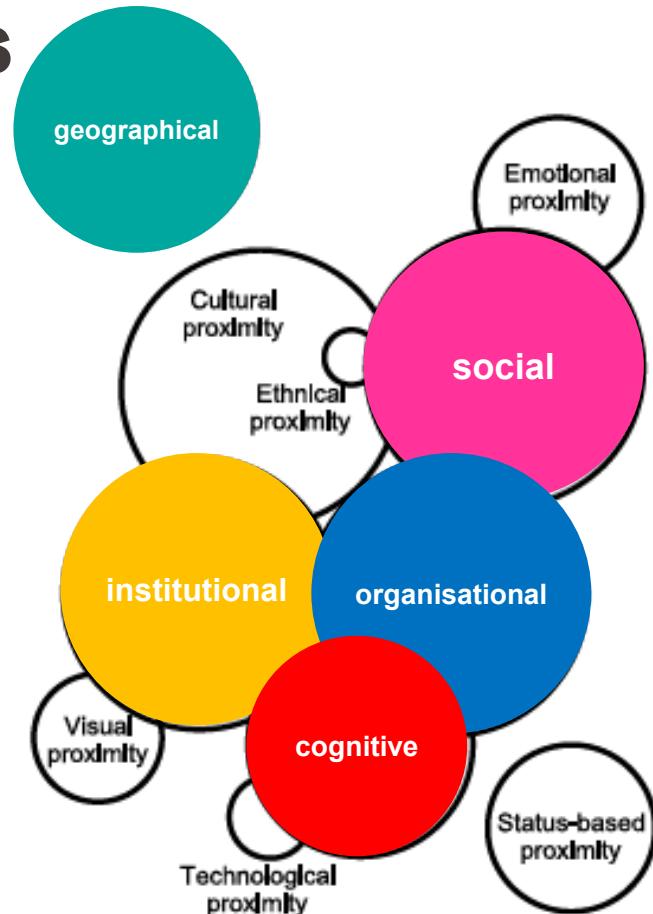
Serra-Coch et al., under review in 2025





Proximities

Geography of innovations



Source: Micek, 2020

Geography of innovations

“the extent to which two organizations operate under the same institutions”

institutional

cognitive

organisational



“the spatial, physical or temporal distance between economic actors, both in its absolute and relative meaning”

social

“the extent to which members of two organizations have friendly relationships”

“the extent to which two organizations are under common hierarchical control”

Proximities

Geography of innovations

“the extent to which two organizations operate under the same institutions”

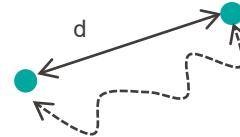
Lang Region vs. Lang Region

Region → institutions (norms)
Language → culture (conduct)

institutional

cognitive

“the spatial, physical or temporal distance between economic actors, both in its absolute and relative meaning”



social

“the extent to which members of two organizations have friendly relationships”



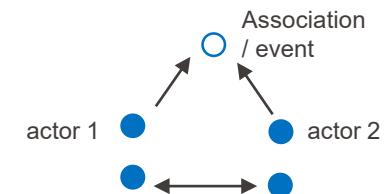
Trust & interactions → Friendly relations

organisational

“the extent to which two organizations are under common hierarchical control”

= work → =
expertise/experience → =
skills /knowledge base

Field Type vs. Field Type



Social network analysis

Interactions between professionals and energy technology adopters



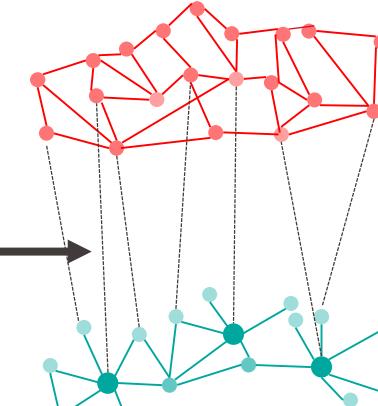
PROFESSIONALS



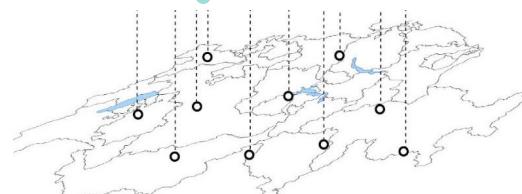
ADOPTERS

1. *Interactions of professionals with other organisations*

ADOPTERS-PROFESSIONALS



2. *Interactions of adopters with personal contacts*



3. *Interactions of adopters with professionals*

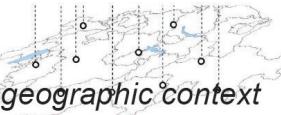
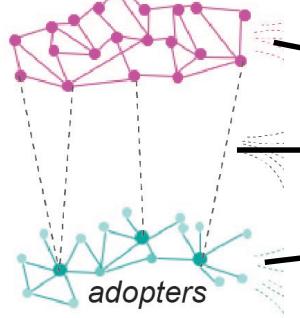
4. *Integration in geographic context*

Information networks

SNA

3 networks

professionals



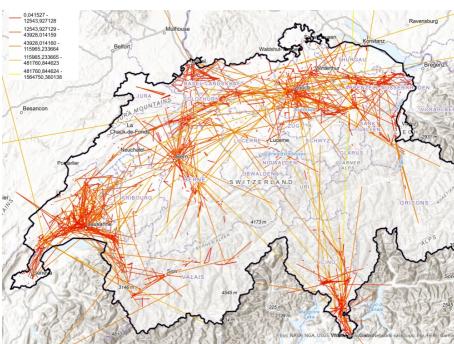
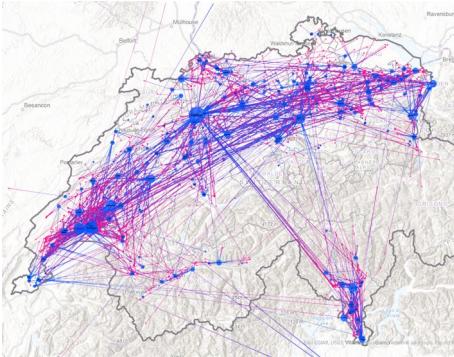
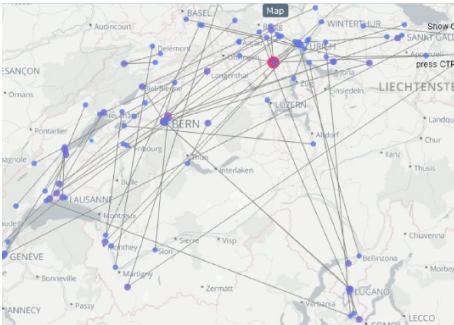
adopts

geographic context

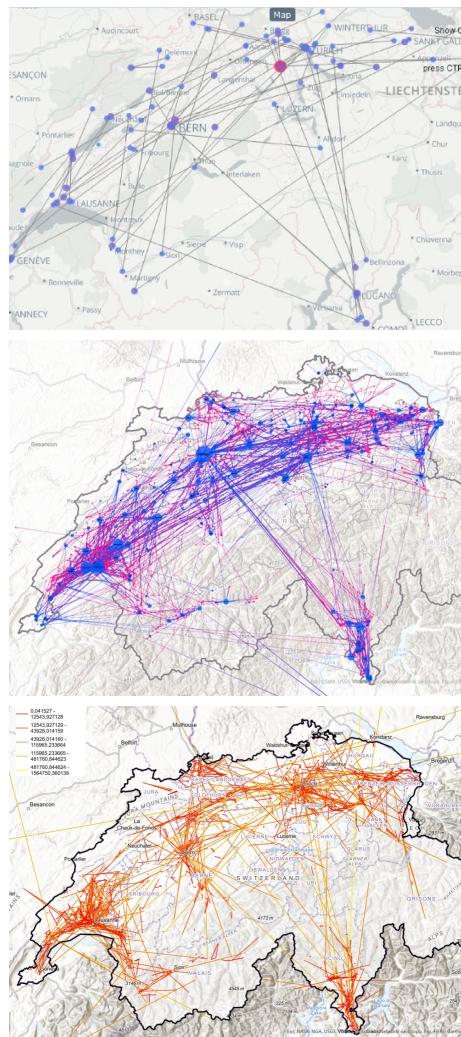
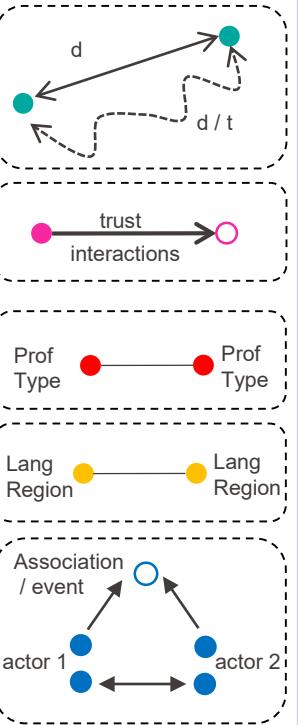
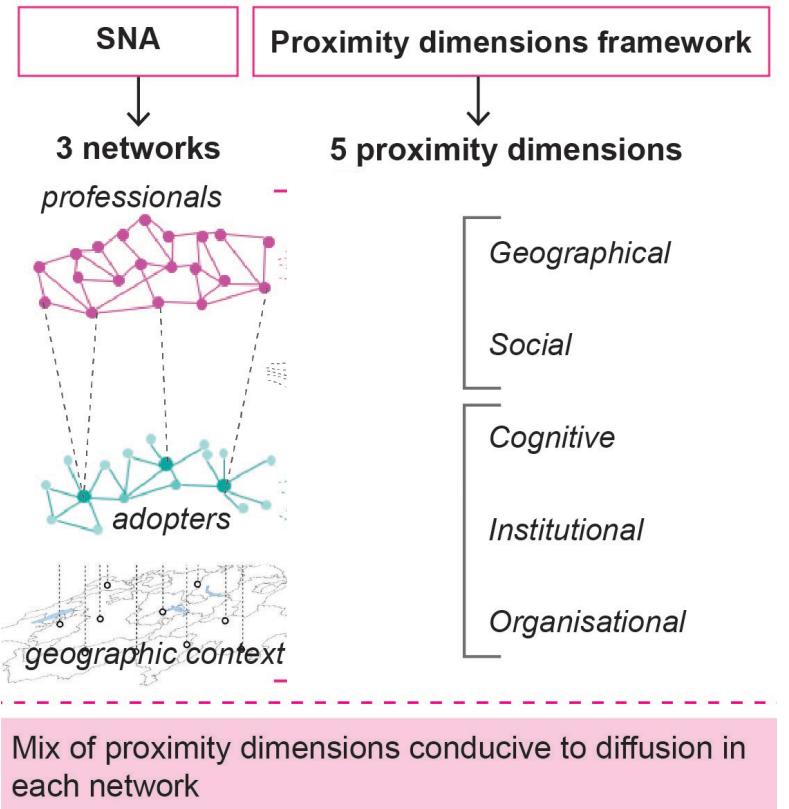
104 nodes
71 links
density=sparse
degree assortativity=
disassortative
modularity=strong

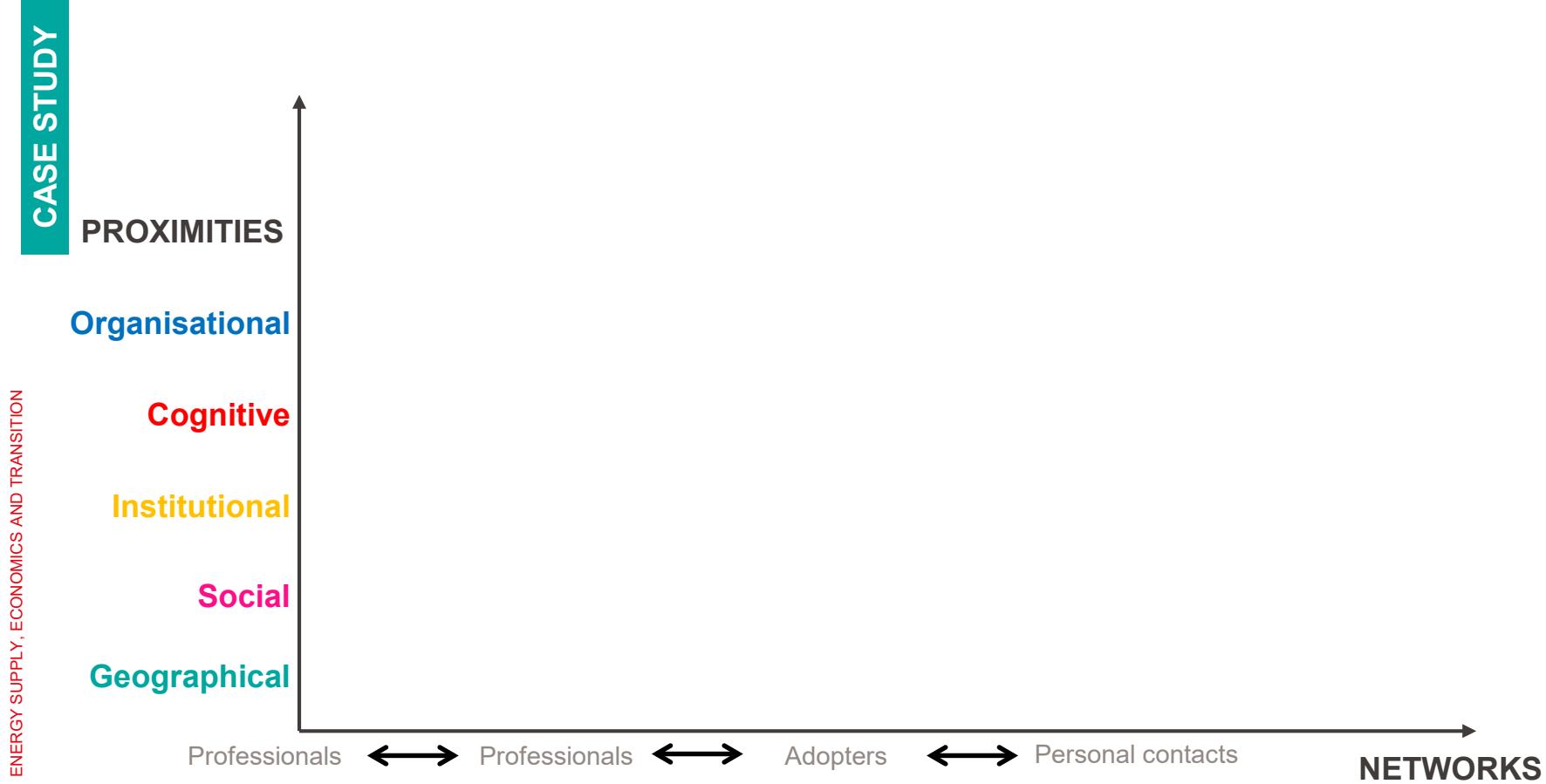
2'481 demand +
340 supply nodes
1'670 links
density=sparse
degree assortativity=
disassortative
modularity=strong

2'635 respondent +
2'862 contact nodes
3'152 links (1'353
outside home)
density=sparse
degree assortativity=
disassortative
modularity=strong



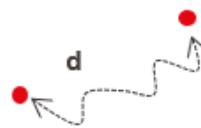
Information networks



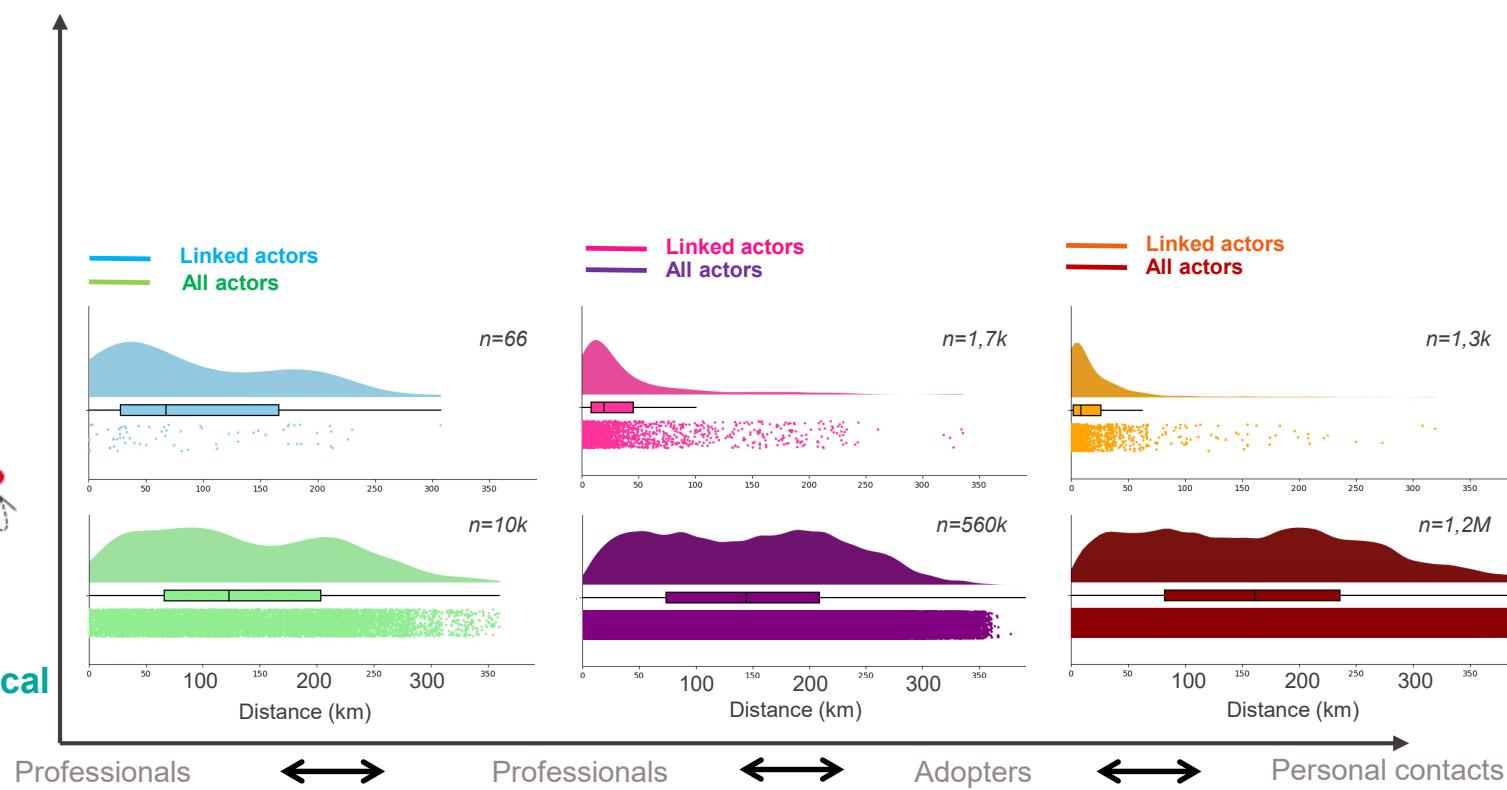


Geographical Proximity

Proximity is more relevant between adopters than between professionals

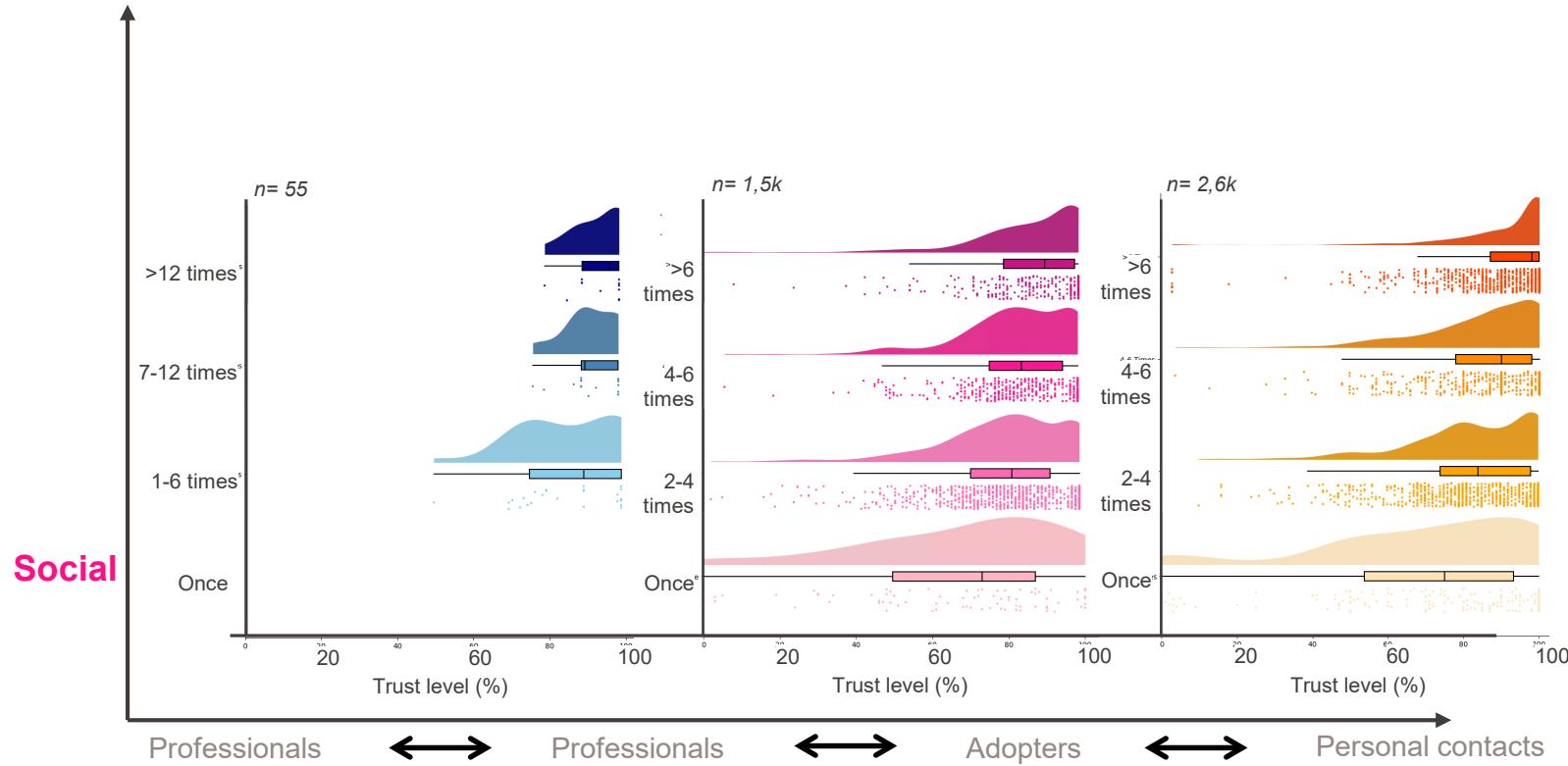


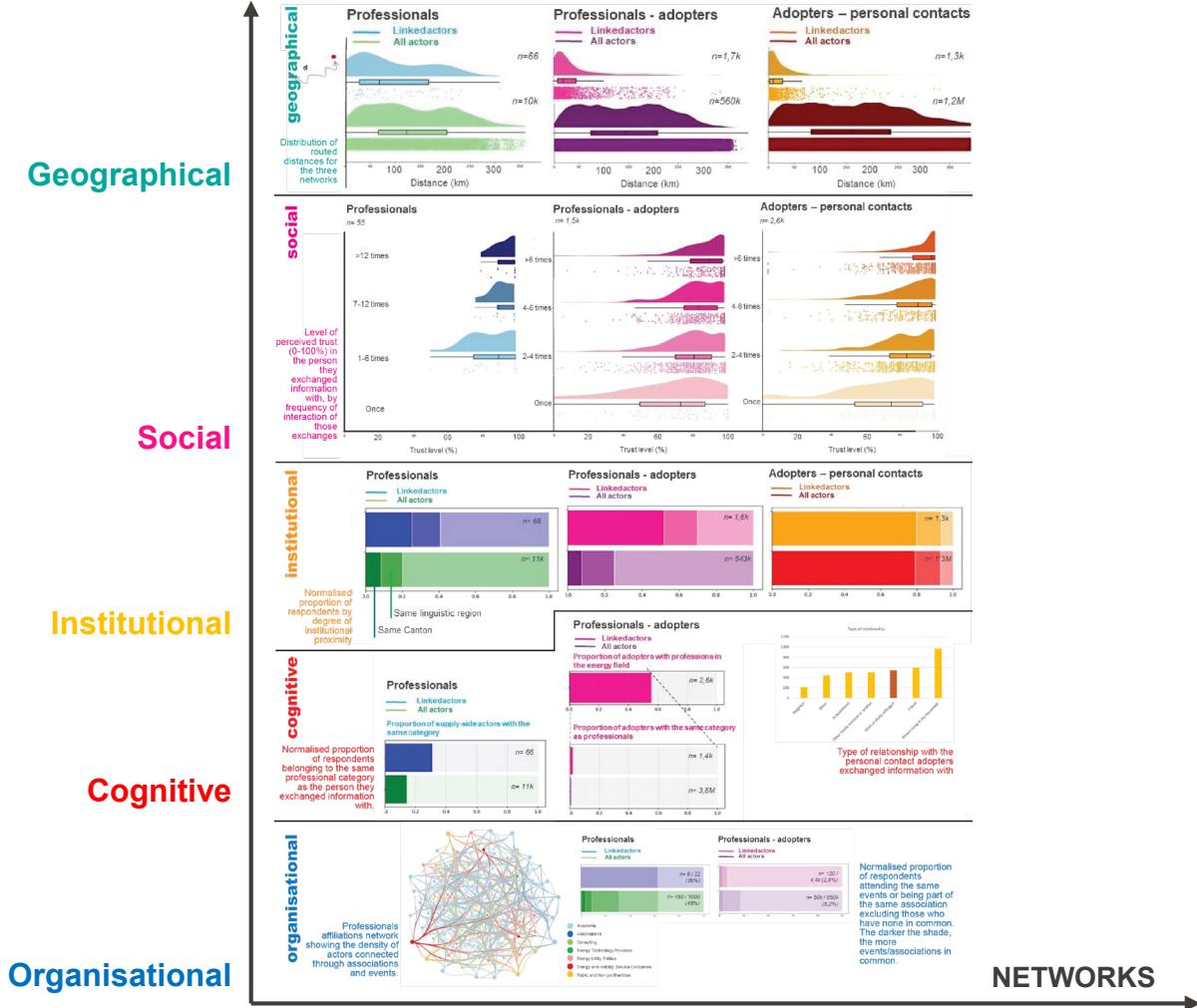
Geographical



Social proximity

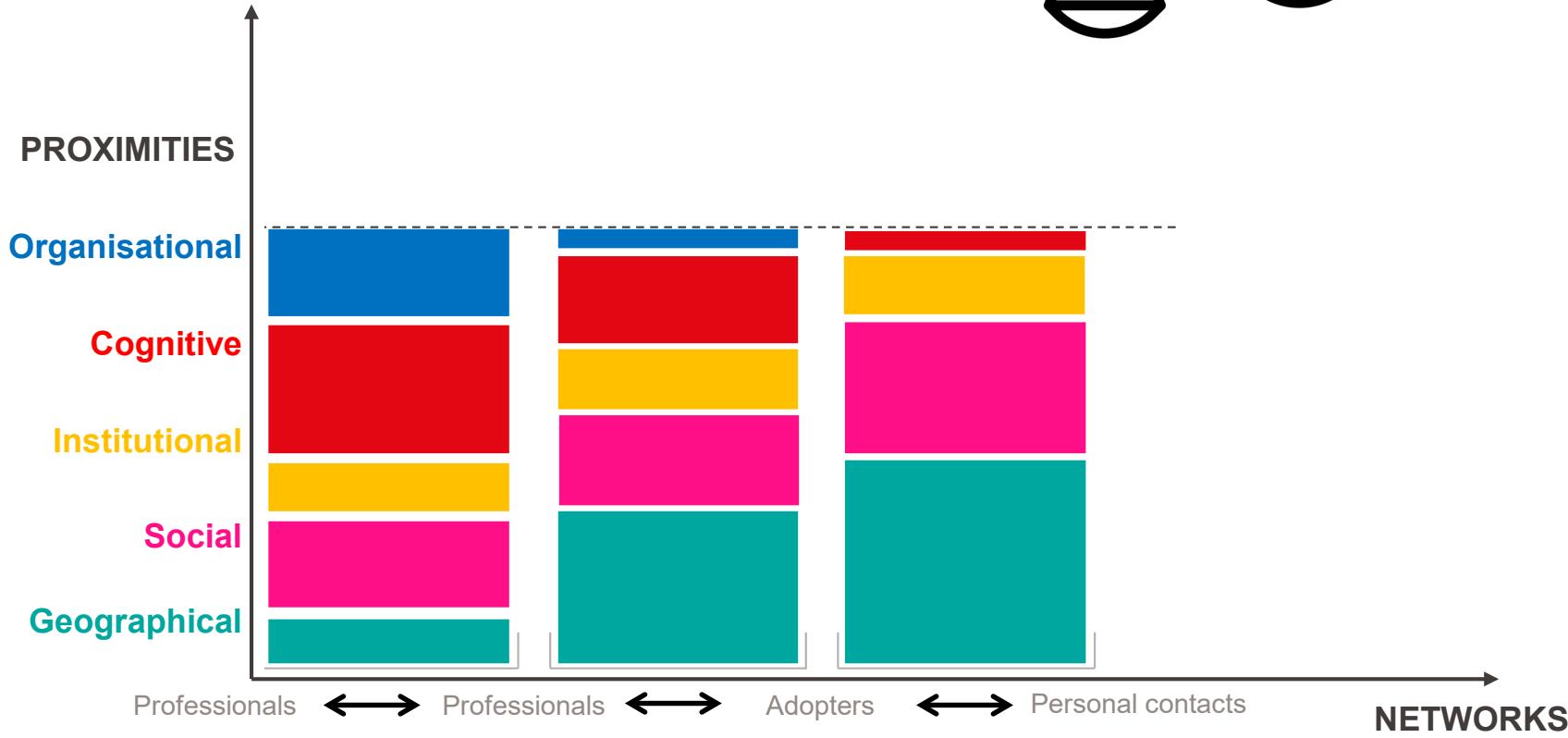
Social proximity is associated to frequency of interaction in all networks





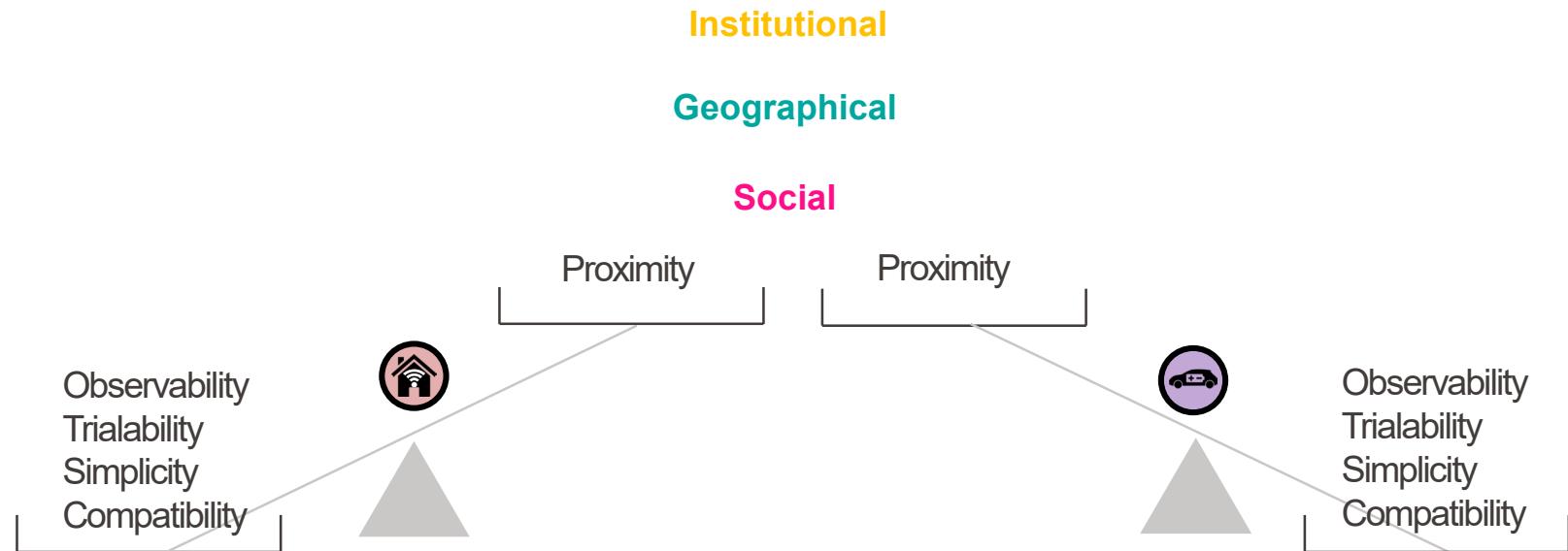
Proximity dimensions

Different balance of proximities for the three networks



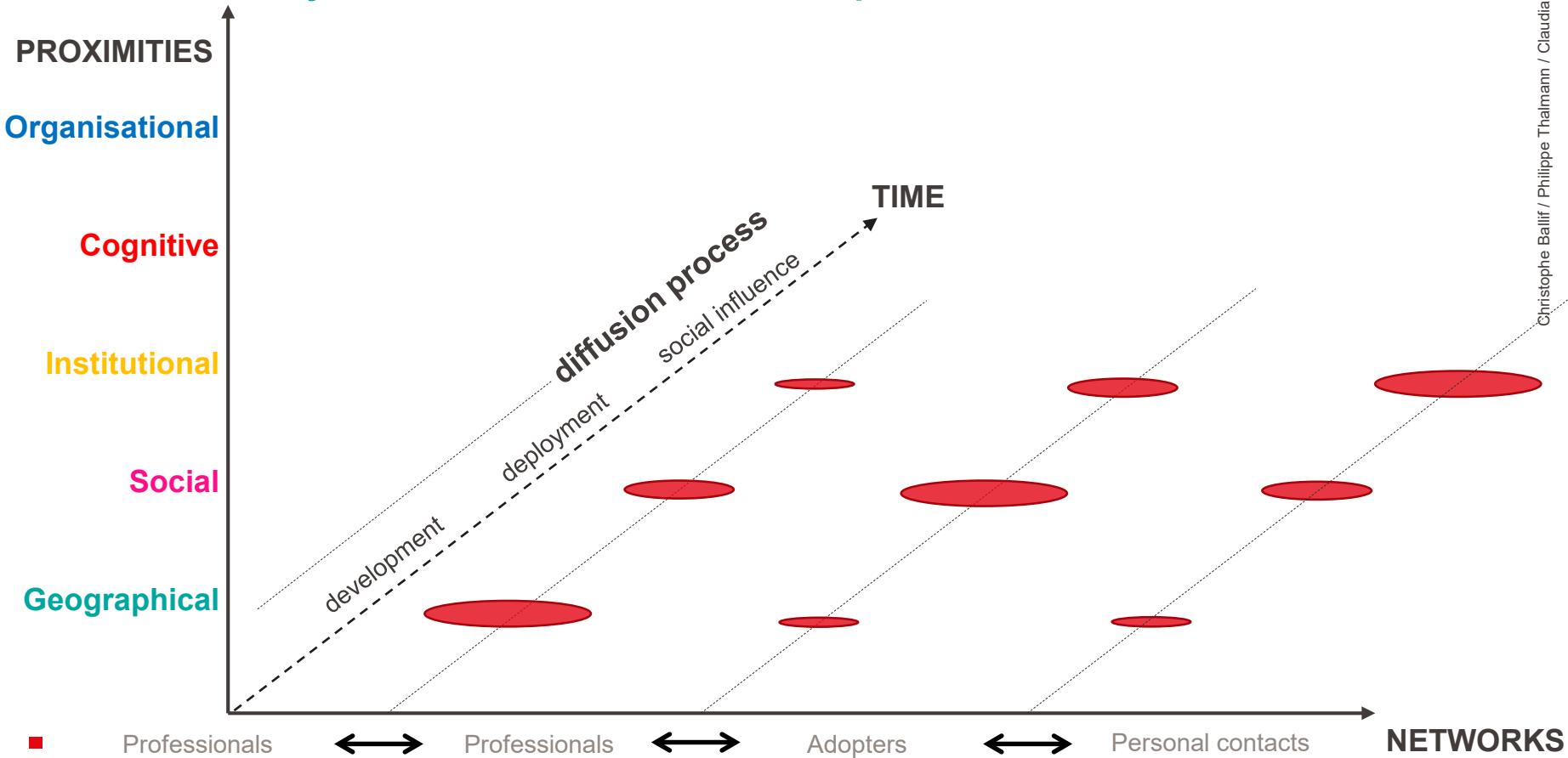
Proximity dimensions

Proximity to compensate characteristics of the technology



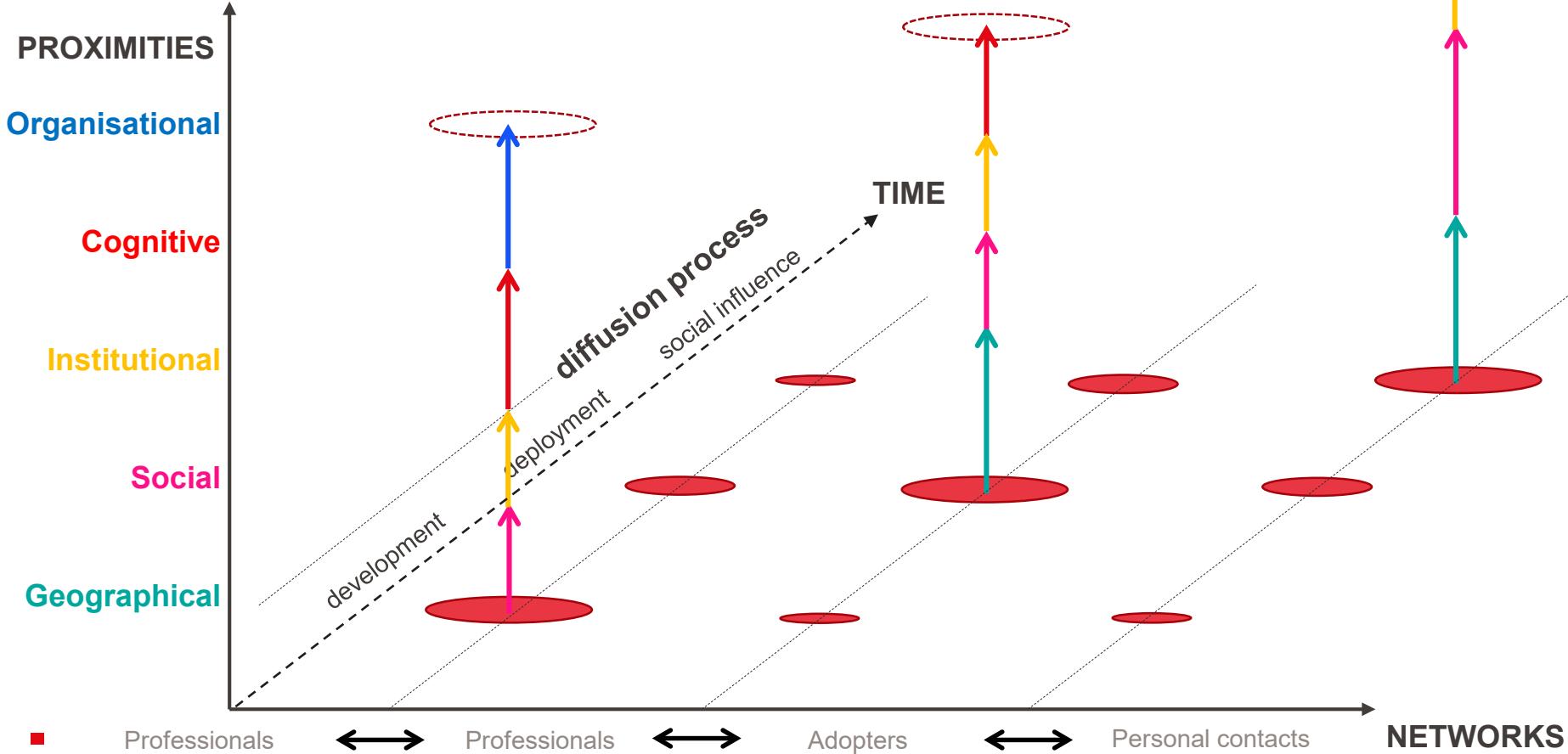
Proximity dimensions

How do they relate to the innovation-diffusion process?



Proximity dimensions

Geographical and social proximity are more important the further along the process



Empirical take-aways

- Adopters exchange around **home**, with **close contacts**
- **Trust** is a very important factor, and it seems to be built on the **frequency of interactions**
- Different actors(professionals-adopters-personal contacts) need **different proximity dimensions**
- **Geographical and social proximity** become more important the further along in the process.



Information exchanges

How are these information exchanges?

With whom?

Where?

How often?



When?

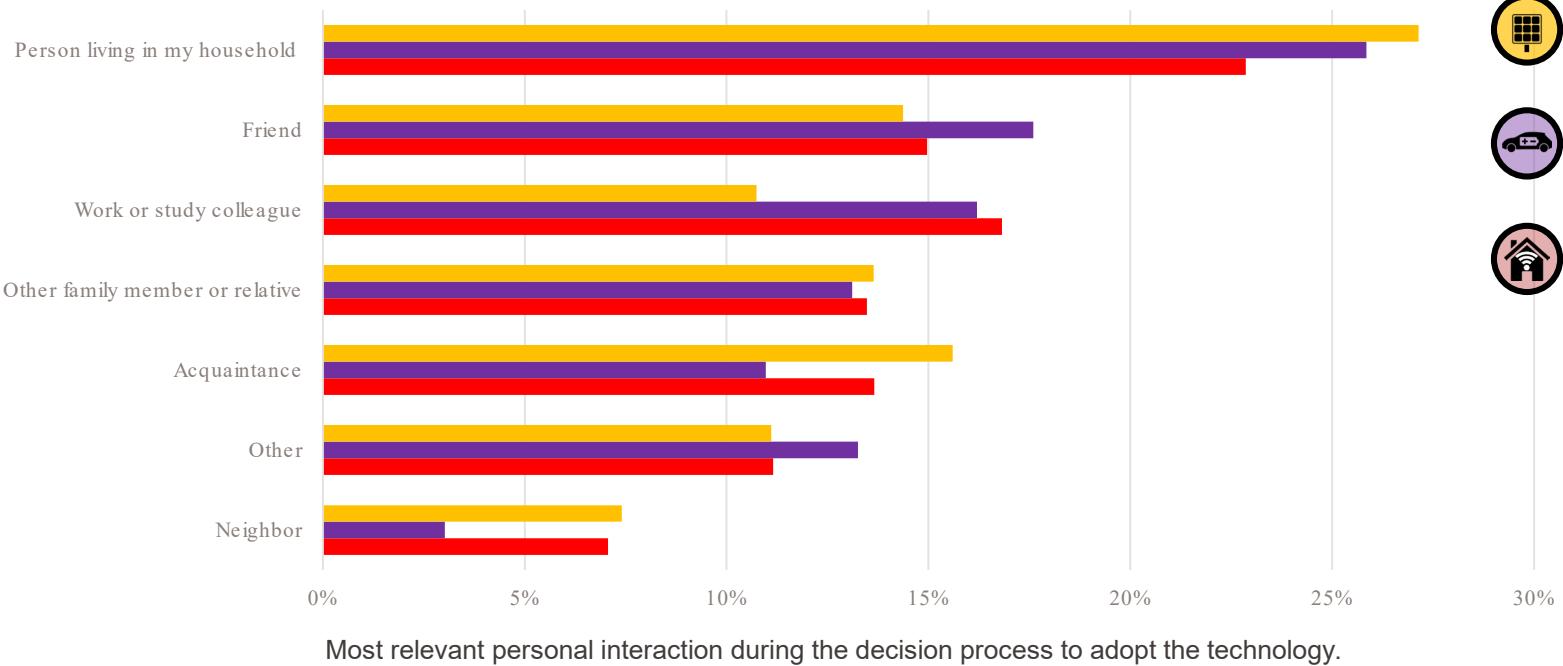
About what?

How intimate?

With whom?

“neighborhood effects” do not happen among neighbors
Particularly for EV adopters

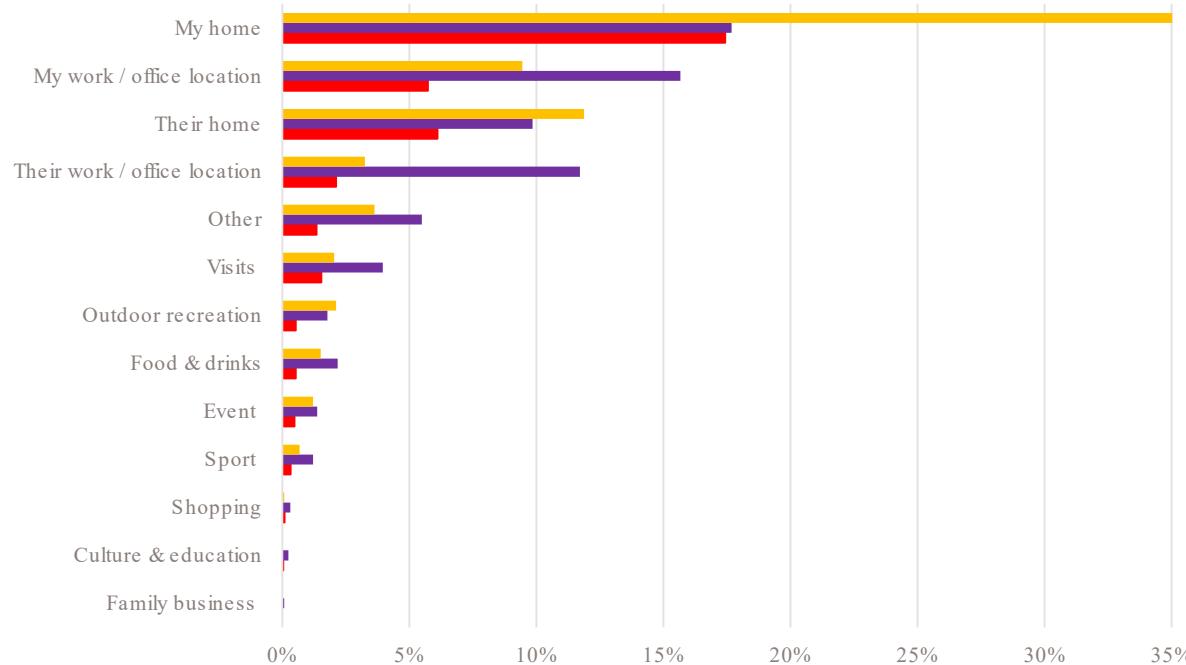
Personal contacts



Where?

Technology adopters exchange information mostly at home, particularly PV adopters

Personal contacts



Place of most relevant personal interaction during the decision process to adopt the technology, excluding those that interacted with their partner or other person living in their household.

About what?

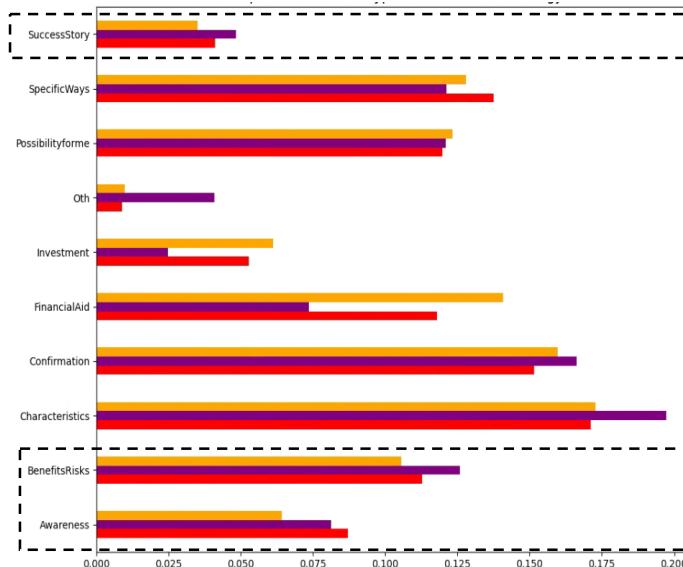
Personal contacts:

- Introduce the technology
- Share success stories and personal experiences

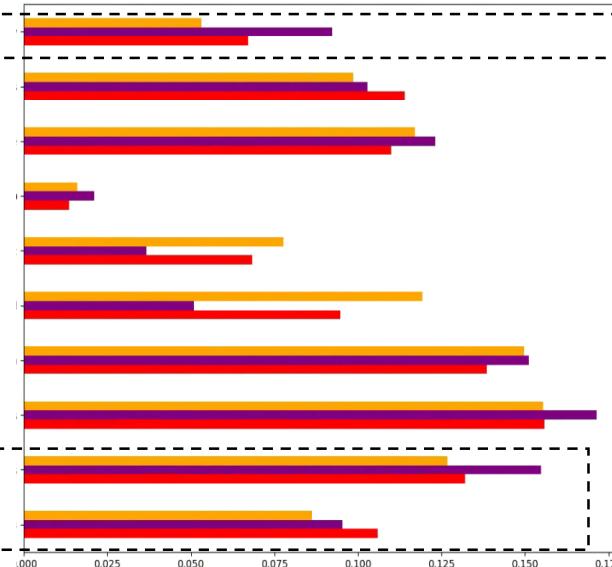
Professionals:

- Provide practical details
- Explain financial viability

a. Professionals



b. Personal contacts

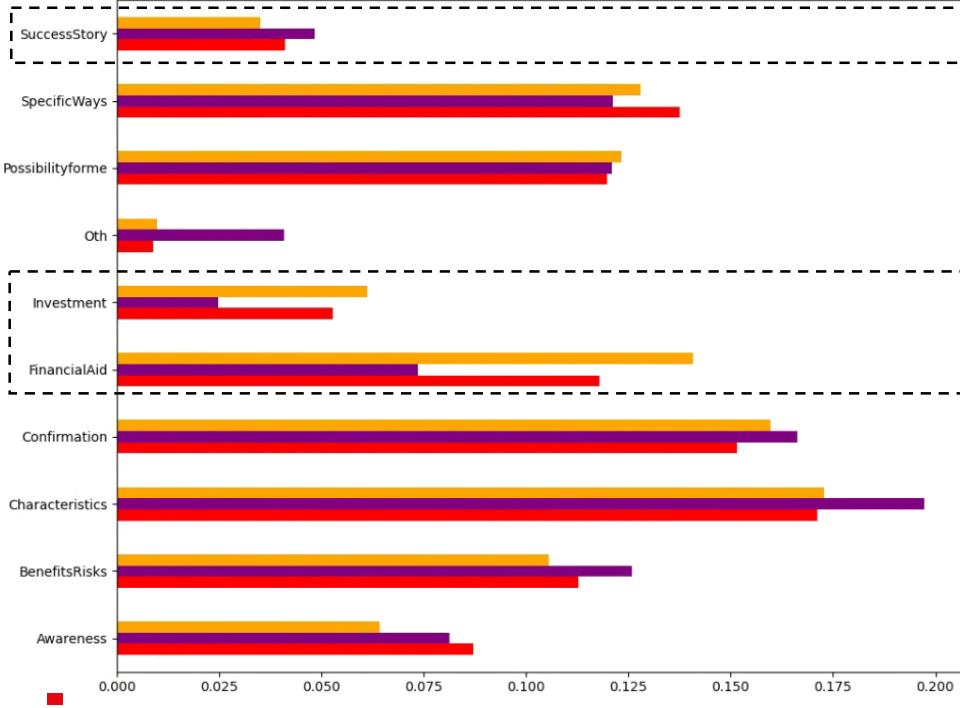


About what?

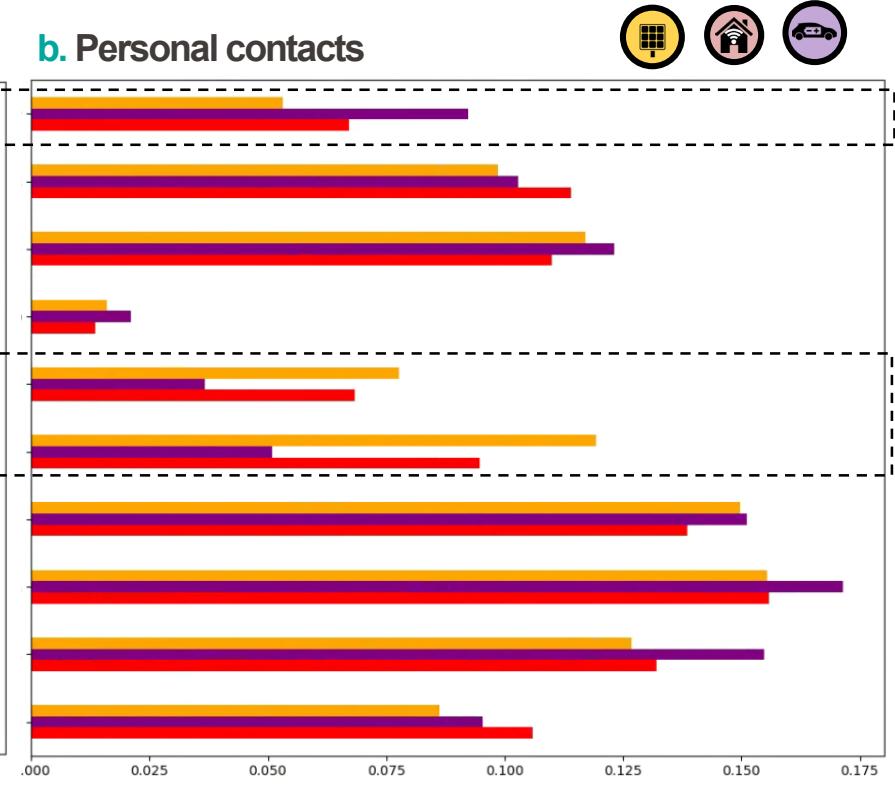
EV adopters seem less interested in economic factors but more in success stories

PV adopters seem more interested in economic factors

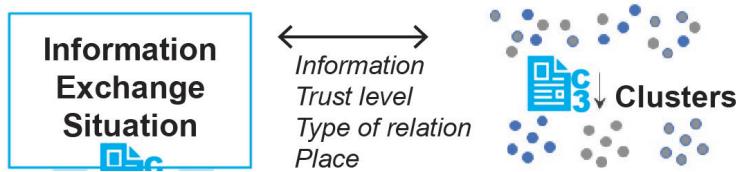
a. Professionals



b. Personal contacts



Information exchange



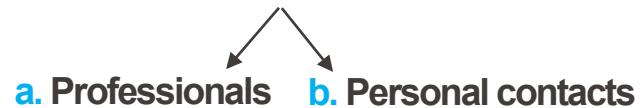
Information types

Clustering using Latent Semantic Analysis (LSA)

LSA focuses on reducing the complexity of the dataset by identifying patterns (latent structures) in the data, making it easier to group similar data points based on these patterns

Information exchange situation

the most relevant in person interaction when discussing the technology...



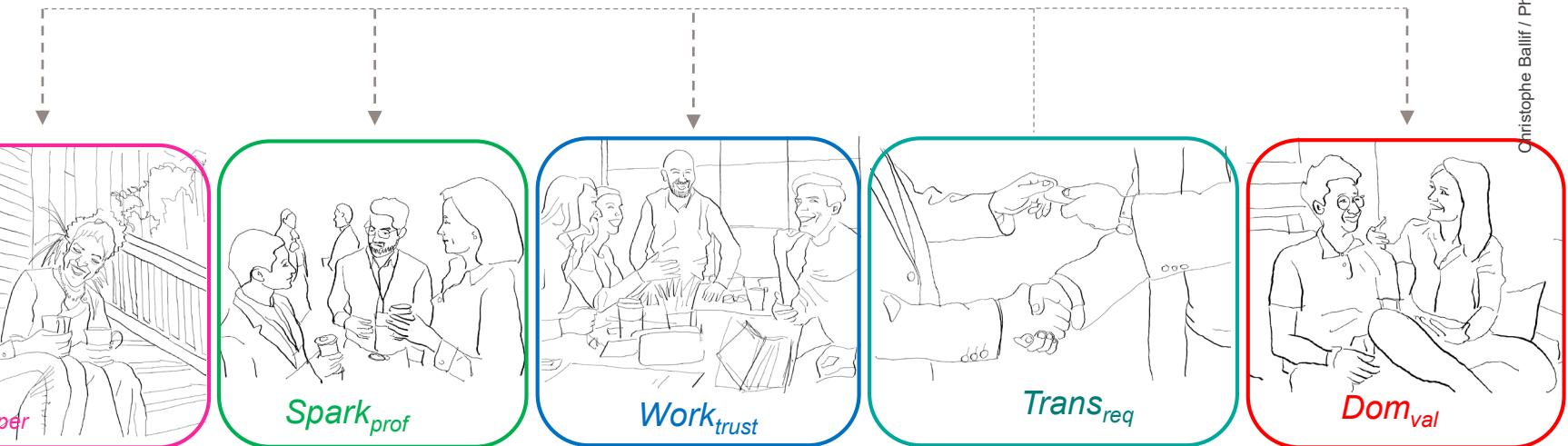
Clustering using k-prototypes

K-Prototypes clustering is a variant of the K-Means algorithm designed to handle mixed data types, including both categorical and numerical features

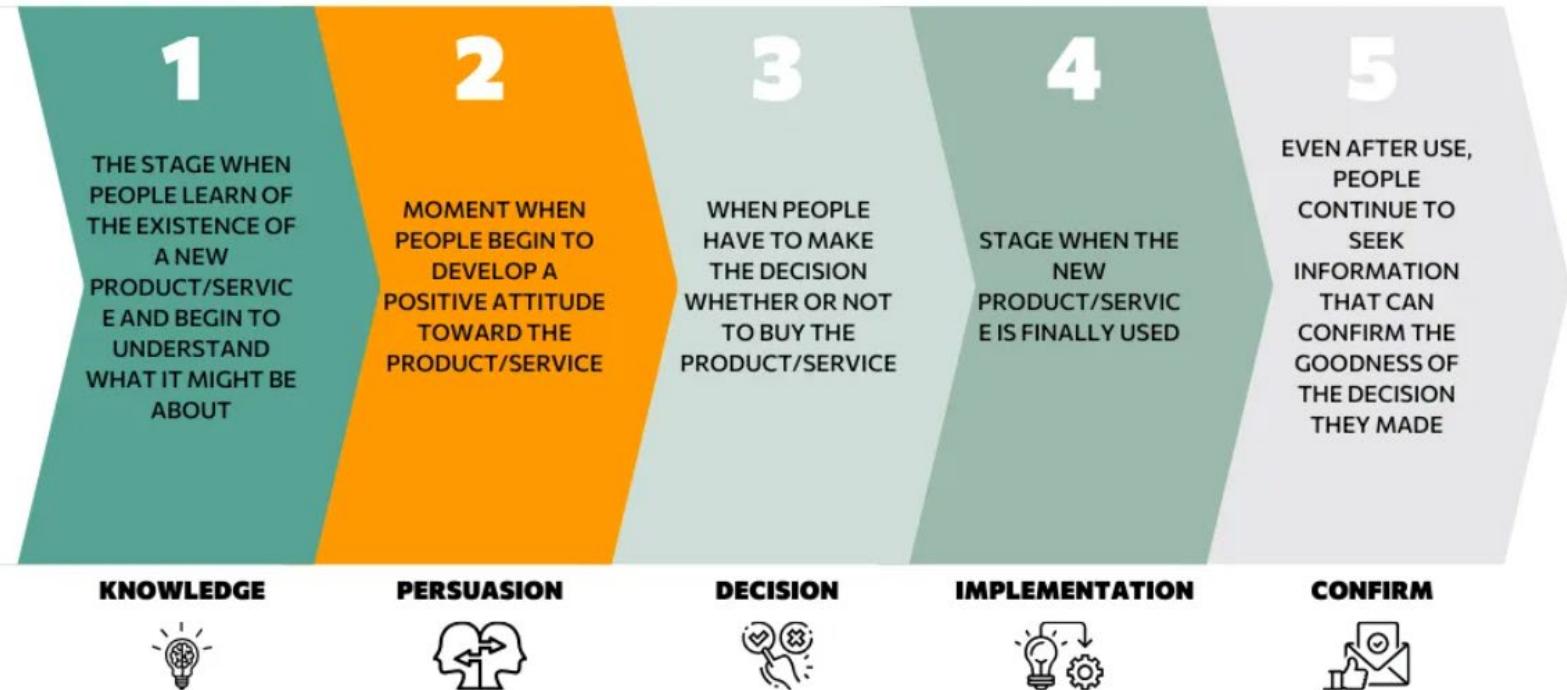
- Level of trust
- Level of Innovativeness
- Level of knowledge
- Type of link
- Place of exchange
- Information type: Clusters

Information exchange

CASE STUDY



Rogers: 5 stages of decision-making



Information exchange

Personal context

CASE STUDY



Area of activity - Draw a polygon of the approximate area where you spend most of your time in a regular week.



Where is your home?



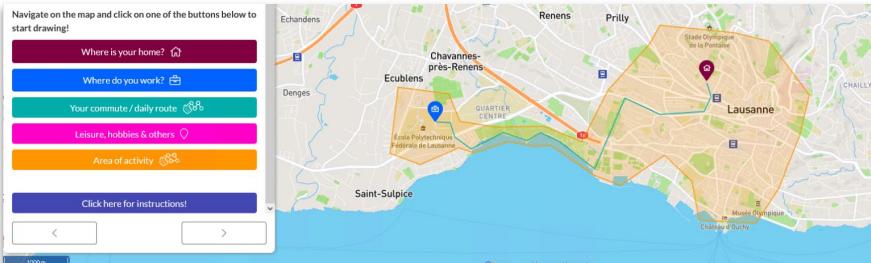
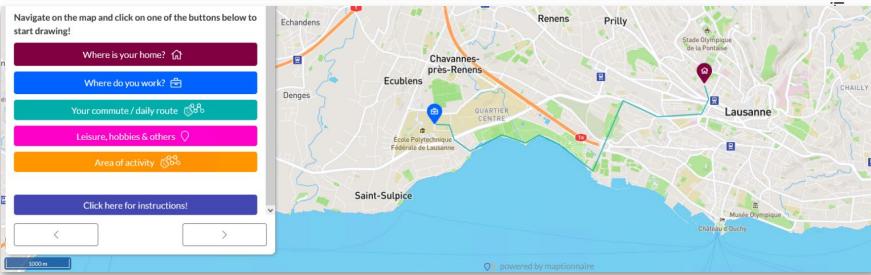
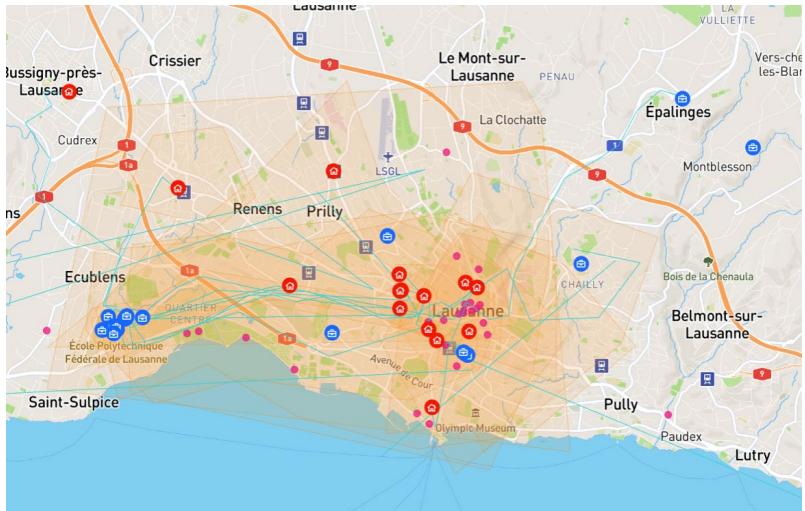
Where do you work?



Your commute route / daily route?



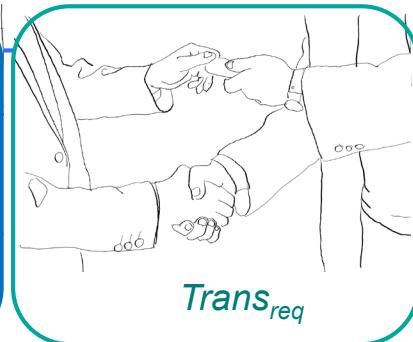
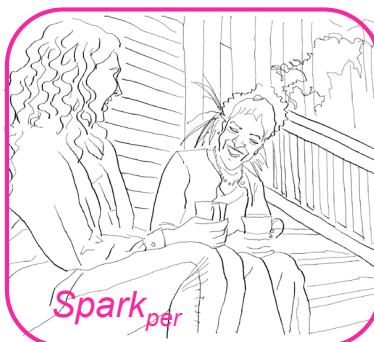
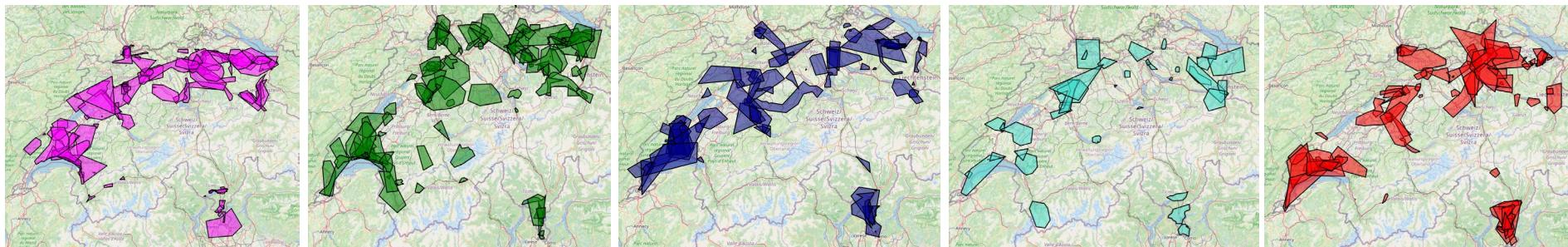
Leisure, hobbies & others



spark

private

practical



KNOWLEDGE



PERSUASION



DECISION

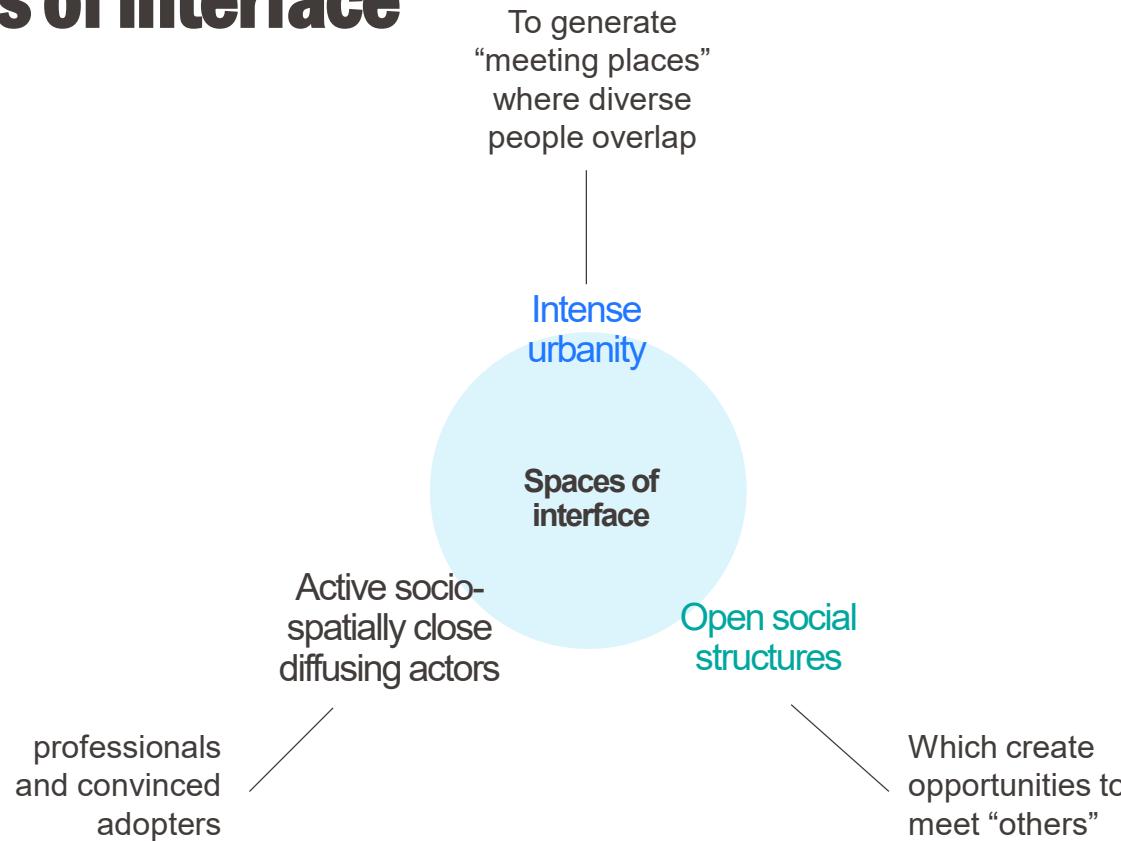


IMPLEMENTATION



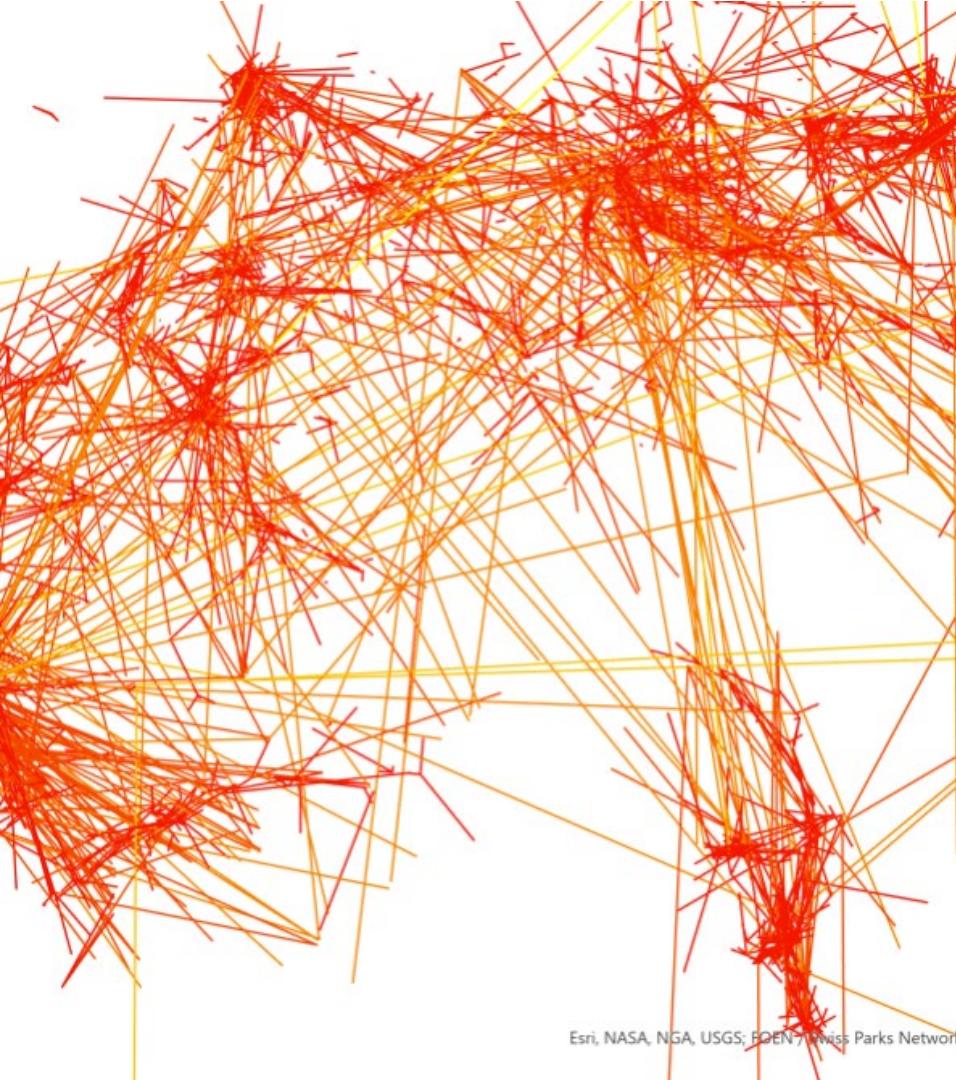
CONFIRM





Empirical take-aways

- **Neighbourhood effects** do not happen among neighbours
- We need **spaces of interface** that foster interactions



Esri, NASA, NGA, USGS; FOEN / Swiss Parks Network

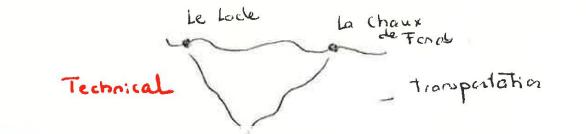
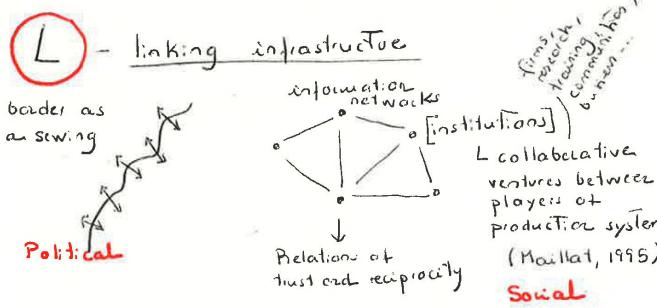
So what?

Practical Contributions

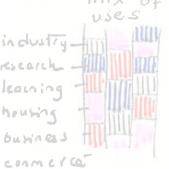
Linking Infrastructure with Sufficient Connectivity and Balanced Diversity



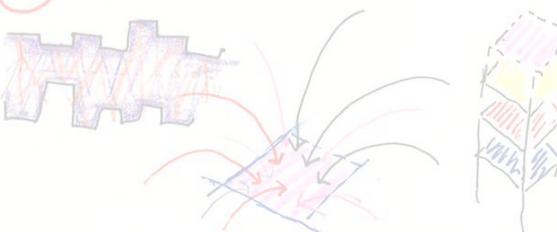
Glasmeier, 1991; Maillat, 1995; Moine, 2013; Senker, 1995



M - Mix of activities/uses/people/skills/narratives/actors/fields

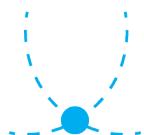


S - Spaces of interface



Practical Contributions

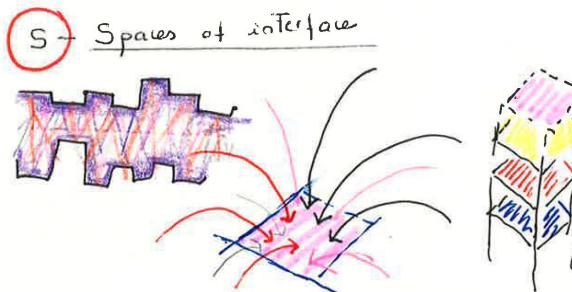
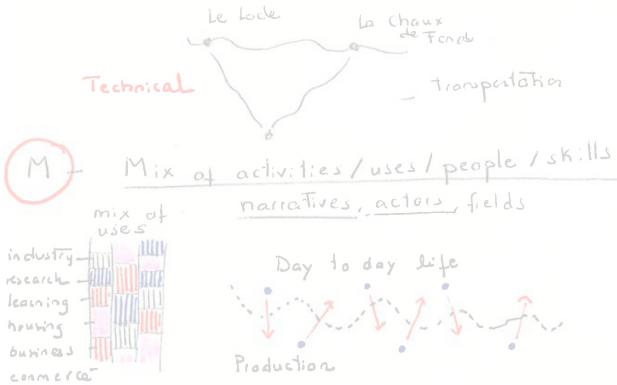
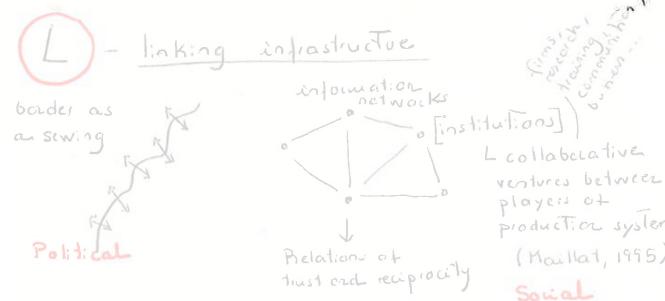
Linking Infrastructure with Sufficient Connectivity and Balanced Diversity



Fostering **Spaces of Interface** to Increase Information Exchange

- With urban intensity
- Active close actors
- Open social structures

Stravrides, 2006; Cenzatti, 2008; Wolfrum, 2018



Serra-Coch et al., 2025

Practical Contributions

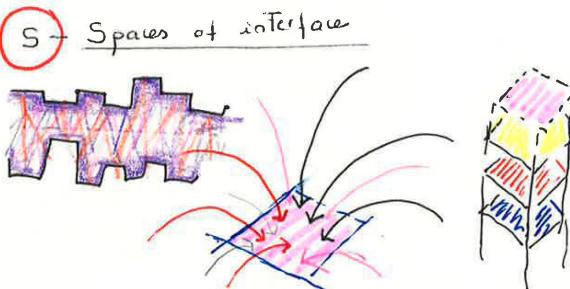
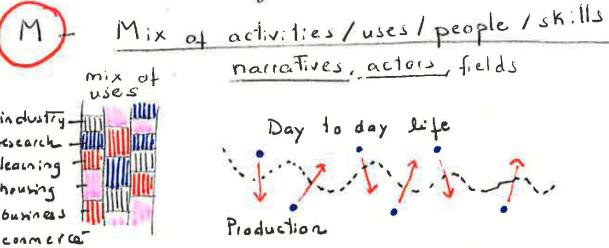
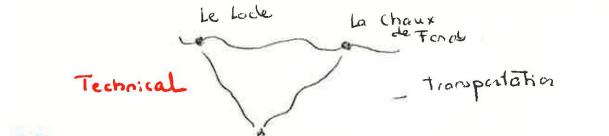
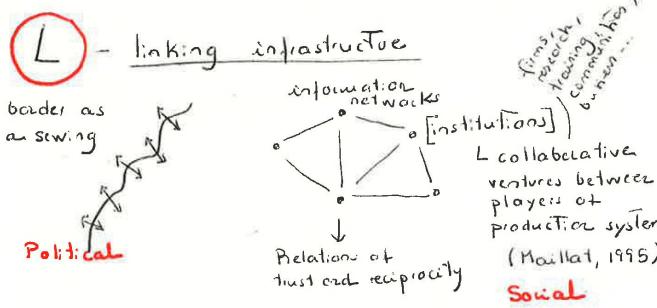
Linking Infrastructure with
Sufficient Connectivity and
Balanced Diversity

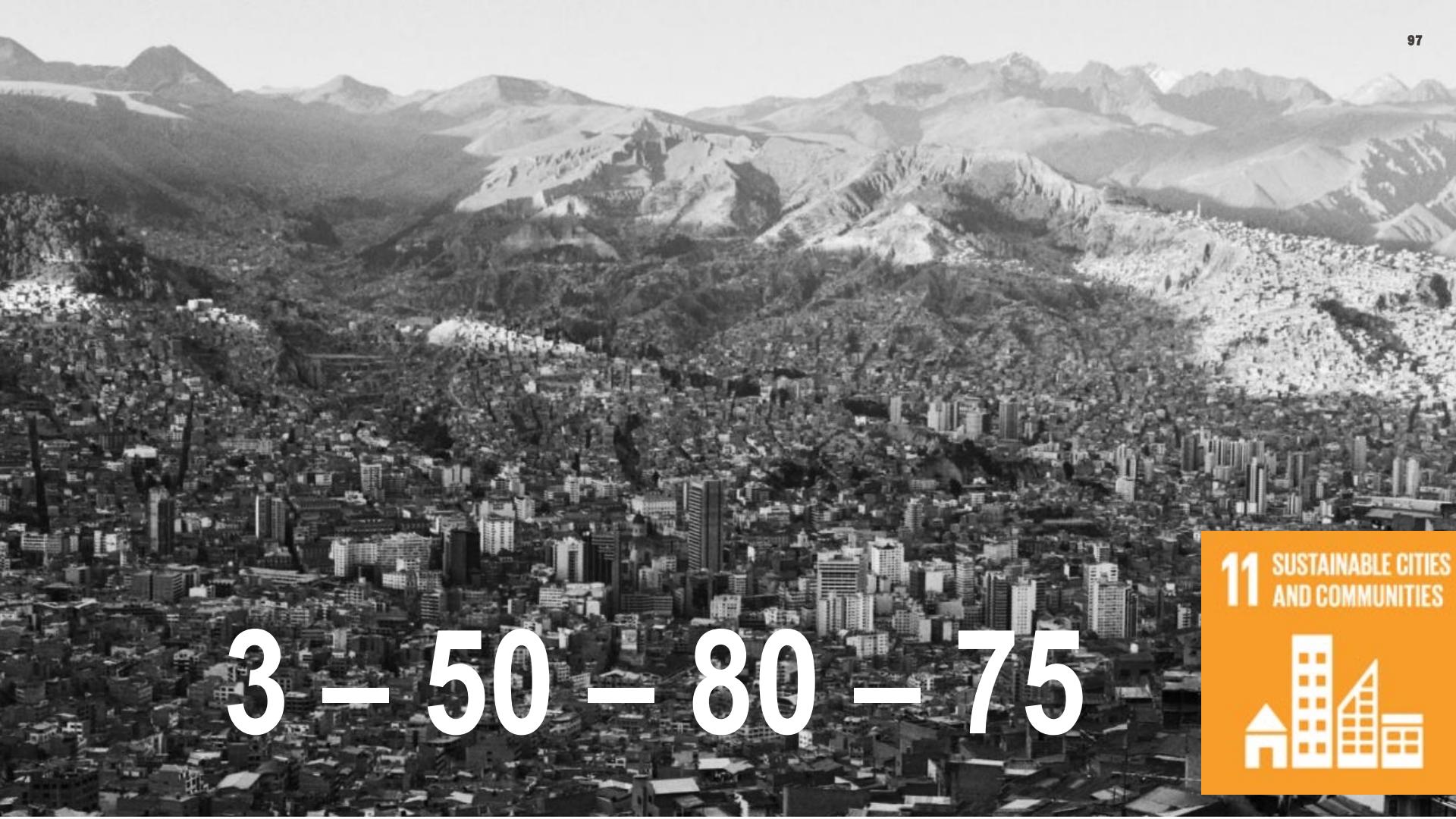


Nurturing **socio-spatially mixed contexts** that support trust and openness



Fostering Spaces of Interface to
Increase Information Exchange





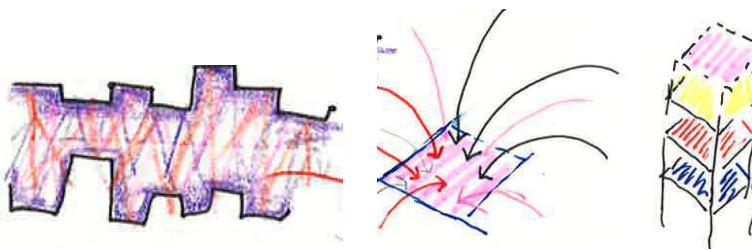
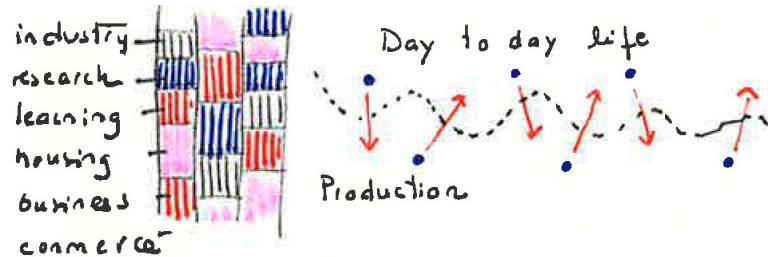
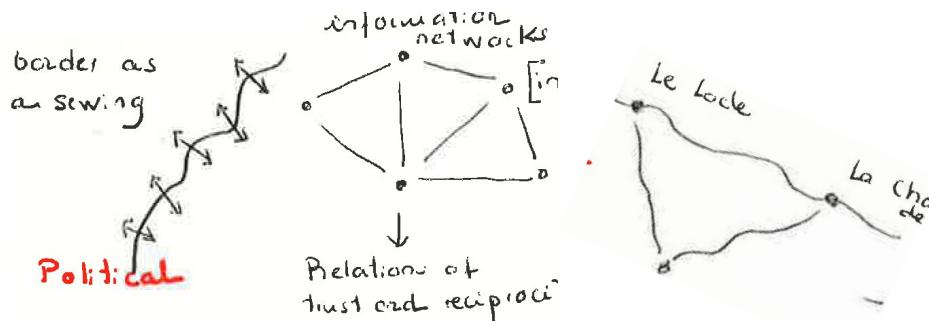
3 - 50 - 80 - 75

11 SUSTAINABLE CITIES
AND COMMUNITIES

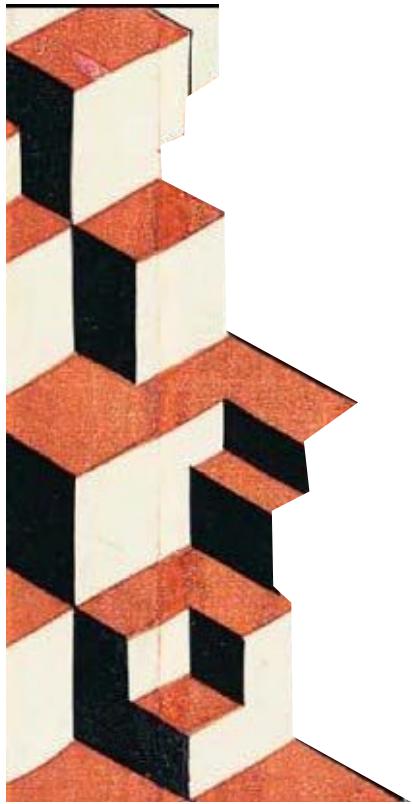


CITIES

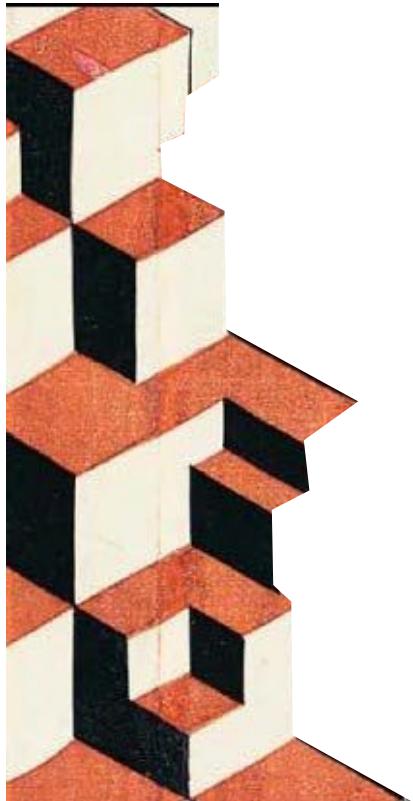
Linking Infrastructure,
Mixtivity, and
Spaces of Interface











Theoretical learning points

- Diffusion of innovations: innovation + channels + time + social system
- Relevance of interpersonal communication
- Social network analysis
- Homophily vs. heterophily
- Strength of weak ties
- Vertical diffusion
- Proximity dimensions

Empirical take-aways

- **Social influence** matters for the diffusion
- **Face-to-face interactions** are very important
- **Heterophilic** networks with intermediaries **bridging** groups and **vertical diffusion** between different people support the diffusion of innovations
- Adopters exchange around **home**, with **close contacts**
- **Trust** is a very important factor, and it seems to be built on the **frequency of interactions**
- Different actors(professionals-adopters-personal contacts) need **different proximity dimensions**
- **Geographical and social proximity** become more important the further along in the process.
- **Neighbourhood effects** do not happen among neighbours
- We need **spaces of interface** that foster interactions
- Different patterns for different **energy technologies**



Thanks!

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