

# Lecture 10

## Quantum Codes

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### 10.1 No Low-Energy Trivial State (NLTS)

The NLTS conjecture is a **consequence** of the PCP conjecture.

$NLTS_k : \exists \gamma > 0$  and a family of Local Hamiltonians  $H = \frac{1}{m} \sum_{i=1}^m H_i$ , on  $n$  qubits with  $\|H\| < 1$  such that any state  $|\psi\rangle$  with  $\langle \psi | H | \psi \rangle \leq \lambda_{\min}(H) + \gamma$  has a circuit depth  $\geq k$ .

The aim is to show NLTS for  $k = \Omega(\log n)$ .

### 10.2 Classical Linear Codes

**Definition 10.1.**  $[n, k, d]_2$  Linear Code

A binary linear code of length  $n$ , dimension  $k = \dim(C)$ , and distance  $d = \min\{|x|_H : x \in C \setminus \{0\}\}$ , with  $C = \ker H$  and  $H \in \mathbb{F}_2^{m \times n}$ , is defined as  $[n, k, d]_2$ .

**Definition 10.2.** A code  $C = \ker H$  is called  $(c, \alpha)$ -expanding if  $\forall y \in \{0, 1\}^n$ ,  $|H_y| \leq \delta m$  implies that either  $|y| \geq \alpha \cdot n$  or  $|y| \leq c\delta n$ .

### 10.3 Quantum Error-Correcting Codes (QEC)

**Definition 10.3.** An  $[n, k, d]$  QEC is a subspace  $C \in (\mathbb{C}^2)^{\otimes n}$  such that  $\dim(C) = 2^k$  and  $d$  should be such that, informally, if  $t$  is of the form  $2t + 1 \leq d$ , then  $C$  "corrects  $t$  errors".

**Definition 10.4.** The Pauli group on  $n$  qubits is  $\mathcal{P}_n = \{\pm 1, \pm i\} \times \{I, X, Y, Z\}^{\otimes n} \subseteq B((\mathbb{C}^2)^{\otimes n})$ . For  $E = E_1 \otimes \dots \otimes E_n \in \mathcal{P}_n$ , its weight is  $\text{wt}(E) = \#\{i : E_i \neq I\}$ . Example:  $\text{wt}(I \otimes X \otimes I \otimes Z) = 2$ .

The encode-error-decode sequence is  $|\psi\rangle \in (\mathbb{C}^2)^{\otimes k} \xrightarrow{\text{Enc}} |\tilde{\psi}\rangle \in (\mathbb{C}^2)^{\otimes n} \xrightarrow{E \in \mathcal{P}_n} E|\tilde{\psi}\rangle \xrightarrow{\text{Dec}} |\psi\rangle$ .  
Still informally, a code corrects  $t$  errors if this sequence is correct for any  $E$  such that  $\text{wt}(E) \leq t$ .

## 10.4 Stabilizer codes

**Definition 10.5.** A Stabilizer Group  $S$  is an abelian (commutative) subgroup of  $\mathcal{P}_n$  that does not contain  $I$ .

**Example (valid).** For  $n = 2$ , one choice is  $S = \{II, XX, YY, ZZ\}$ , whose codespace is  $\text{Span}\{\frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)\}$ . This codespace has dimension 1 as a quantum code: since there is a single quantum state in it, we cannot even encode one (qu)bit of information in it.

**Example (invalid).**  $S' = \{II, IX, IZ, IY\}$ , which fails since these generators do not all commute.

### 10.4.1 Codespace and Dimension

Given a stabilizer group  $S \subseteq \mathcal{P}_n$ , define the codespace

$$C_S = \{|\psi\rangle \in (\mathbb{C}^2)^{\otimes n} : P|\psi\rangle = |\psi\rangle \forall P \in S\}.$$

**Claim 10.6.** If  $S$  has  $g$  independent generators, then

$$\dim C_S = 2^{n-g}.$$

*Proof.* The projector onto  $C_S$  is

$$\Pi_{C_S} = \prod_{P \in S} \frac{I + P}{2} = \prod_{i=1}^g \frac{I + G_i}{2},$$

where  $G_1, \dots, G_g$  generate  $S$ . Hence

$$\dim C_S = \text{Tr}(\Pi_{C_S}) = \text{Tr}\left(\prod_{i=1}^g \frac{I + G_i}{2}\right) = \frac{1}{2^g} \sum_{T \subseteq \{1, \dots, g\}} \text{Tr}\left(\prod_{i \in T} G_i\right) = \frac{2^n}{2^g}.$$

□

### 10.4.2 Distance of a Stabilizer Code

**Definition 10.7.** The *distance* of the code  $C_S$  is

$$d_S = \min\{\text{wt}(E) : E \in \{I, X, Y, Z\}^{\otimes n} \setminus S, [E, P] = 0 \forall P \in S\}.$$

- If  $E \in S$ , then  $E$  acts trivially on  $C_S$ .
- If  $E \notin S$  but  $\exists P \in S$  with  $EP = -PE$ , then  $\langle \psi | EPE | \psi \rangle = -\langle \psi | P | \psi \rangle$ , so  $E$  is detectable.
- If  $E \notin S$  and  $E$  commutes with all  $P \in S$ , then  $E$  is undetectable, which is a problem.

### 10.4.3 Example: 9-Qubit Shor Code

One can build a  $[n = 9, k = 1, d = 3]$  code by “nesting” a  $[3, 1, 3]$  bit-flip code inside a  $[3, 1, 3]$  phase-flip code. A convenient set of  $g = 8$  stabilizer generators is

$$S = \left\langle \begin{array}{ccc} III & ZZZ & ZZZ \\ ZZZ & III & ZZZ \\ XXI & III & III \\ IXX & III & III \\ III & XXI & III \\ III & IXX & III \\ III & III & XXI \\ III & III & IXX \end{array} \right\rangle.$$

Here  $n = 9$ ,  $g = 8$ , so  $k = n - g = 1$ , and one checks  $d = 3$  (corrects any single error).

## 10.5 CS-Hamiltonians from Codes

Let  $S \subseteq \mathcal{P}_n$  be a stabilizer group generated by  $\{G_1, \dots, G_g\}$ . Define the code Hamiltonian

$$H_S := -\frac{1}{g} \sum_{i=1}^g G_i.$$

One checks that

$$\lambda_{\min}(H_S) = -1, \quad \langle \psi | H_S | \psi \rangle = -1 \iff |\psi\rangle \in C_S.$$

**Lemma 10.8.** *If  $k \geq 1$  then every ground state of  $H_S$  is non-trivial (i.e. requires circuit depth  $\geq \log d_S$  to prepare).*

*Proof.* We will show the codewords  $|\bar{0}\rangle$  and  $|\bar{1}\rangle$  are “globally entangled.”

Suppose, for contradiction, there is an  $\ell$ -qubit observable  $O$  such that

$$\langle \bar{0} | O | \bar{0} \rangle \neq \langle \bar{1} | O | \bar{1} \rangle.$$

Since Paulis span observables, there exists  $P \in \mathcal{P}_n$  with  $\text{wt}(P) \leq \ell$  for which

$$\langle \bar{0} | P | \bar{0} \rangle \neq \langle \bar{1} | P | \bar{1} \rangle.$$

If  $\ell < d_S$ , then either

1.  $P \in S$ , in which case  $P|\bar{0}\rangle = |\bar{0}\rangle$  and  $P|\bar{1}\rangle = |\bar{1}\rangle$ , so both expectations are 1, contradiction; or
2.  $\exists Q \in S$  with  $PQ = -QP$ , in which case

$$\langle \bar{0} | P | \bar{0} \rangle = \langle \bar{0} | Q P Q | \bar{0} \rangle = -\langle \bar{0} | P | \bar{0} \rangle = 0,$$

again a contradiction.

Next, suppose  $|\bar{0}\rangle$  were prepared by a depth- $t$  circuit  $R$  with  $t < \log d_S$ , i.e.

$$|\bar{0}\rangle = R |0^n\rangle.$$

For each  $i = 1, \dots, n$  define

$$O_i := R (Z_i \otimes I_{\text{rest}}) R^\dagger.$$

Since  $Z_i |0^n\rangle = |0^n\rangle$ ,

$$\langle \bar{0} | O_i | \bar{0} \rangle = \langle 0^n | Z_i | 0^n \rangle = +1.$$

But after depth  $t$ , each  $O_i$  is supported on at most  $2^t < d_S$  qubits. By the same commutation/anticommutation argument above,  $O_i$  must also fix  $|\bar{1}\rangle$ , contradicting that  $\langle \bar{1} | G_i | \bar{1} \rangle \neq 1$  for some stabilizer  $G_i$ . Hence  $t \leq \log d_S$ .  $\square$

## 10.6 CSS Codes

Let  $C_1$  be a  $[n, k_1, d_1]$  binary linear code and  $C_2$  a  $[n, k_2, d_2]$  binary linear code. Write

$$C_2 = \ker H_2, \quad H_2 \in \mathbb{F}_2^{m_2 \times n},$$

so that

$$C_2^\perp = \{y \in \mathbb{F}_2^n : y \cdot x = 0 \forall x \in C_2\} = \text{span}\{\text{rows of } H_2\}.$$

Suppose

$$C_2^\perp \subseteq C_1 \iff H_1 H_2^T = 0,$$

where

$$H_1 \in \mathbb{F}_2^{m_1 \times n}, \quad H_2 \in \mathbb{F}_2^{m_2 \times n},$$

and the rows of  $H_1$  and  $H_2$  are pairwise orthogonal.

**Definition 10.9.** The CSS code  $\text{CSS}(C_1, C_2)$  is the stabilizer code on  $n$  qubits with generators

$$(\text{Z-type}) \quad \{Z^{\mathbf{r}} : \mathbf{r} \text{ a row of } H_1\},$$

$$(\text{X-type}) \quad \{X^{\mathbf{s}} : \mathbf{s} \text{ a row of } H_2\}.$$

**Fact.**  $\text{CSS}(C_1, C_2)$  is an  $[n, k, d]$  stabilizer code with

$$k = k_1 + k_2 - n, \quad d = \min(d_1, d_2).$$

Indeed, the total number of independent stabilizers is

$$m_1 + m_2 = (n - k_1) + (n - k_2),$$

so

$$k = n - (m_1 + m_2) = k_1 + k_2 - n,$$

and commutation holds because  $H_1 H_2^T = 0$ .

## 10.7 How to construct good quantum codes ?

1. From surfaces
2. Product constructions

### Example: Toric code

Embed a graph on the torus (a closed surface of genus 1). Place one qubit on each edge of the graph, and define two types of stabilizer generators:

- **Face (Z)–stabilizer.** For each face  $f$ ,

$$B_f = \prod_{e \in \partial f} Z_e .$$

- **Vertex (X)–stabilizer.** For each vertex  $v$ ,

$$A_v = \prod_{e \ni v} X_e .$$

Because the surface is closed, all  $A_v$  and  $B_f$  commute, and the code is well defined on the torus.

**Distance.** The code distance  $d$  is the length (number of edges) of the shortest non-contractible loop on the torus.

**Remark.** The same construction works on any closed surface of genus  $g$ , giving a  $[[n, 2g, d]]$  code whose distance is the systole (shortest non-trivial cycle) of the underlying surface.