

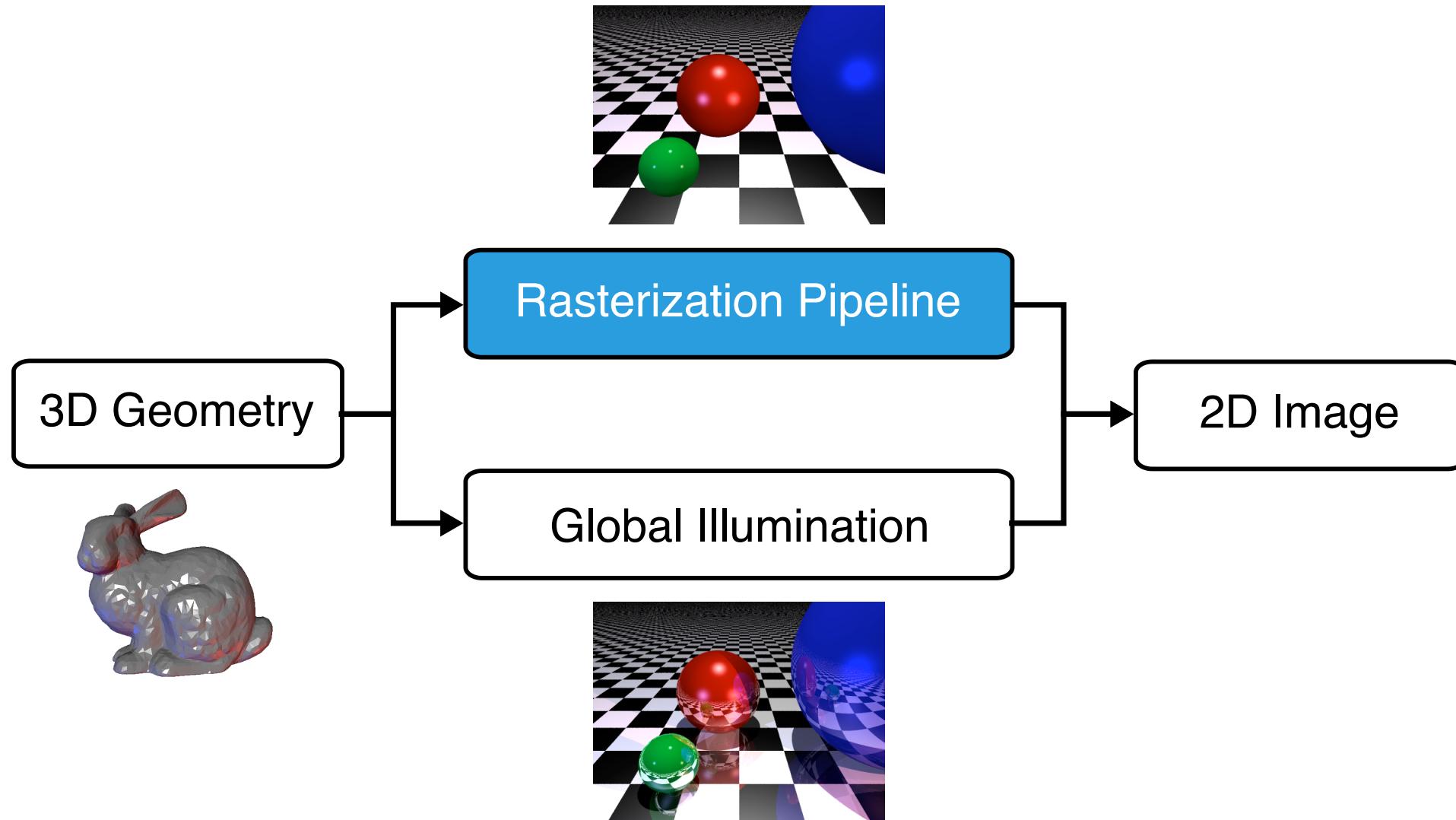
# Computer Graphics

## *Transformations in 2D / 3D*

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# Rasterization Pipeline

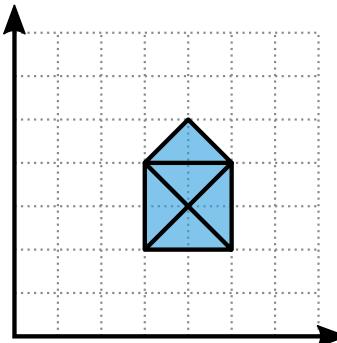
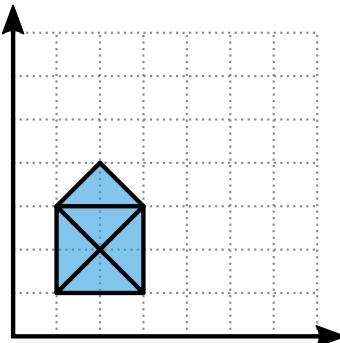


# Which transformations do we need?

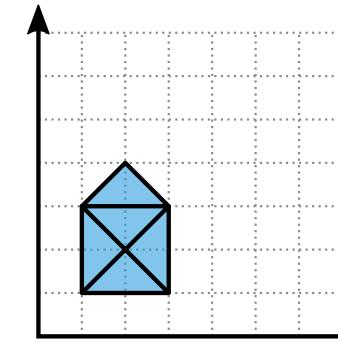


*Our solar system*

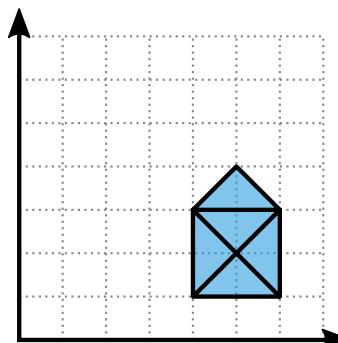
# 2D Transformations



*translation*



*scaling*

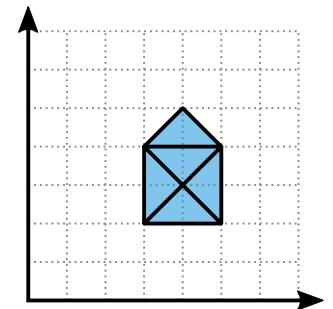
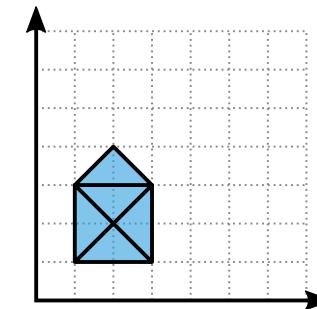


*rotation*

# 2D Translation

- Translate object by  $t_x$  in  $x$  and  $t_y$  in  $y$

$$\begin{pmatrix} x \\ y \end{pmatrix} \mapsto \begin{pmatrix} x + t_x \\ y + t_y \end{pmatrix}$$

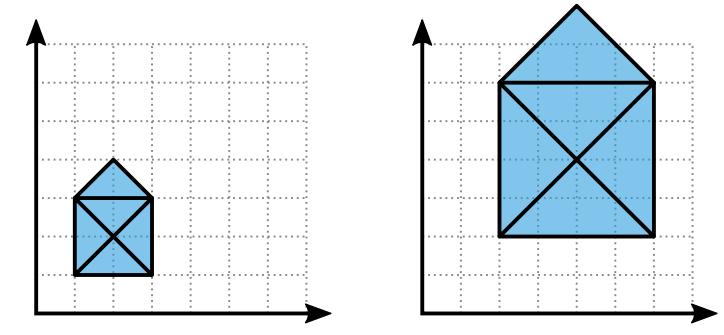


*translation by (2, 1)*

# 2D Scaling

- Scale object by  $s_x$  in  $x$  and  $s_y$  in  $y$   
(around the origin!)

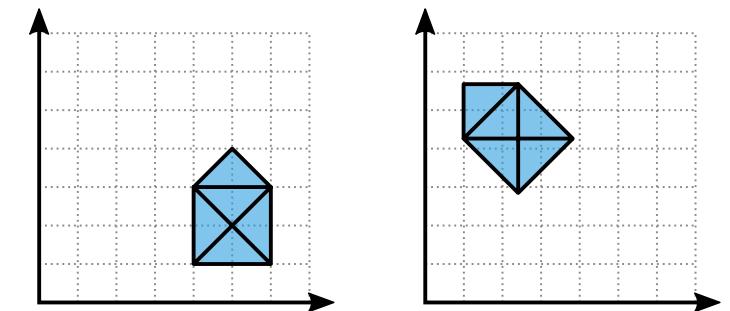
$$\begin{pmatrix} x \\ y \end{pmatrix} \mapsto \begin{pmatrix} s_x \cdot x \\ s_y \cdot y \end{pmatrix}$$



scaling by  $(2, 2)$

# 2D Rotation

- Rotate object by  $\theta$  degrees (around the origin!)



*rotation by 45 degrees*

# 2D Rotation

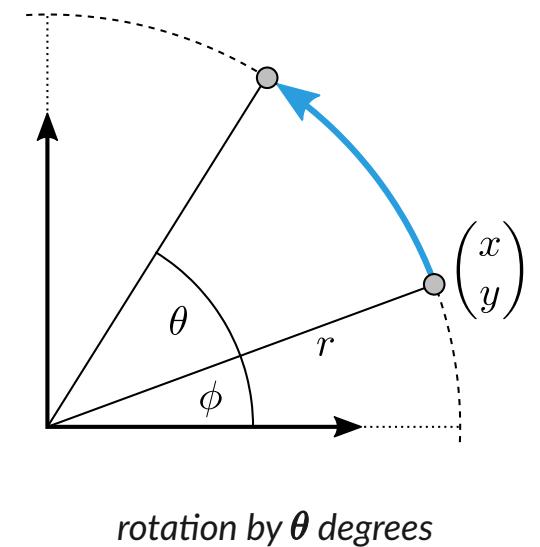
- Rotate point  $(x, y) = (r \cos \phi, r \sin \phi)$  by  $\theta$  degrees around the origin

$$\begin{pmatrix} x \\ y \end{pmatrix} \mapsto \begin{pmatrix} r \cos(\phi + \theta) \\ r \sin(\phi + \theta) \end{pmatrix}$$

$$= \begin{pmatrix} r \cos \phi \cos \theta - r \sin \phi \sin \theta \\ r \cos \phi \sin \theta + r \sin \phi \cos \theta \end{pmatrix}$$

$$= \begin{pmatrix} \cos \theta \cdot x - \sin \theta \cdot y \\ \cos \theta \cdot y + \sin \theta \cdot x \end{pmatrix}$$

$$= \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \cdot \begin{pmatrix} x \\ y \end{pmatrix}$$

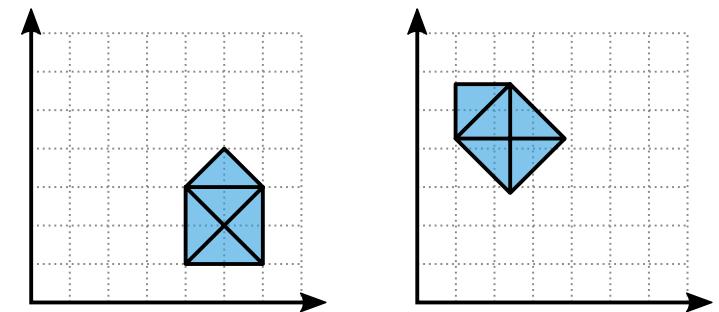


rotation by  $\theta$  degrees

# 2D Rotation

- Rotate object by  $\theta$  degrees (around the origin!)

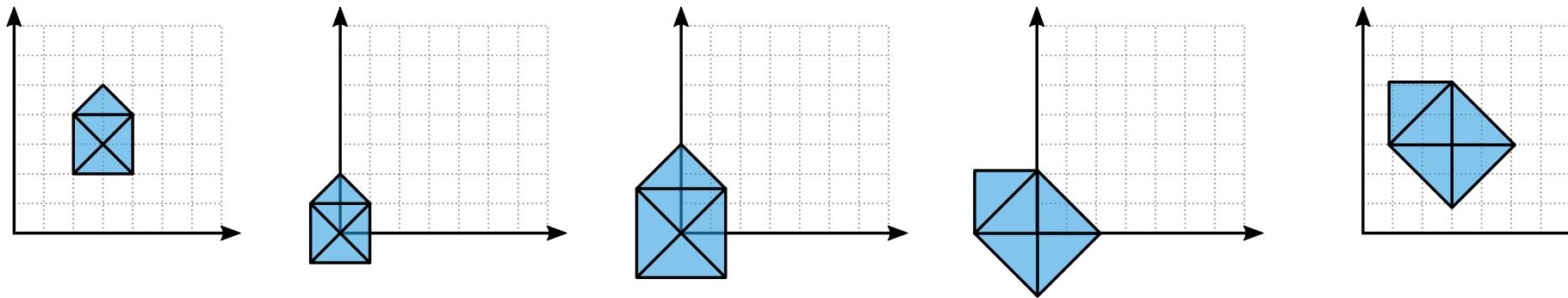
$$\begin{pmatrix} x \\ y \end{pmatrix} \mapsto \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \cdot \begin{pmatrix} x \\ y \end{pmatrix}$$



*rotation by 45 degrees*

# How to rotate/scale around object center?

1. Translate center to origin
2. Scale object
3. Rotate object
4. Translate center back



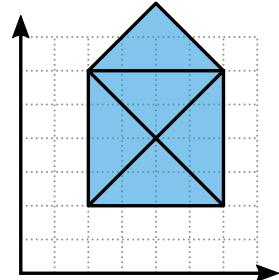
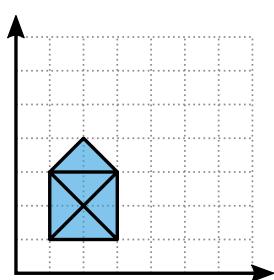
This can get quite messy!

# Important Questions

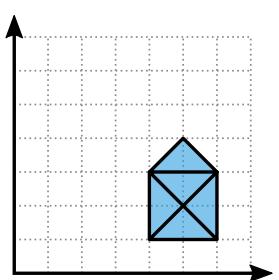
- How to efficiently combine several transformations?

Represent transformations as matrices!

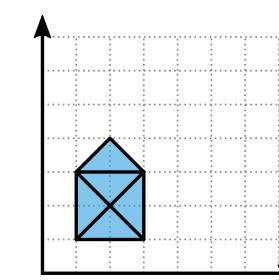
# Matrix Representation



scaling



rotation



translation

$$\mathbf{S}(s_x, s_y) = \begin{pmatrix} s_x & 0 \\ 0 & s_y \end{pmatrix}$$

$$\mathbf{R}(\theta) = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

$$\mathbf{T}(t_x, t_y) = \begin{pmatrix} ? & ? \\ ? & ? \end{pmatrix}$$

Which transformations can be written as matrices?

# Linear Maps & Matrices

- Assume a *linear* transformation  $L: \mathbb{R}^n \rightarrow \mathbb{R}^n$

- $L(\mathbf{a} + \mathbf{b}) = L(\mathbf{a}) + L(\mathbf{b})$
  - $L(\alpha \mathbf{a}) = \alpha L(\mathbf{a})$

- Point  $\mathbf{x} = (x_1, \dots, x_n)$  can be written as

$$\mathbf{x} = x_1 \mathbf{e}_1 + x_2 \mathbf{e}_2 + \dots + x_n \mathbf{e}_n$$

# Linear Maps & Matrices

- Exploit linearity of  $L: \mathbb{R}^n \rightarrow \mathbb{R}^n$

$$\begin{aligned} L(\mathbf{x}) &= L(x_1 \mathbf{e}_1 + x_2 \mathbf{e}_2 + \dots + x_n \mathbf{e}_n) \\ &= x_1 L(\mathbf{e}_1) + x_2 L(\mathbf{e}_2) + \dots + x_n L(\mathbf{e}_n) \\ &= \underbrace{(L(\mathbf{e}_1), L(\mathbf{e}_2), \dots, L(\mathbf{e}_n))}_{=: \mathbf{L}} \cdot \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = \mathbf{L} \mathbf{x} \end{aligned}$$

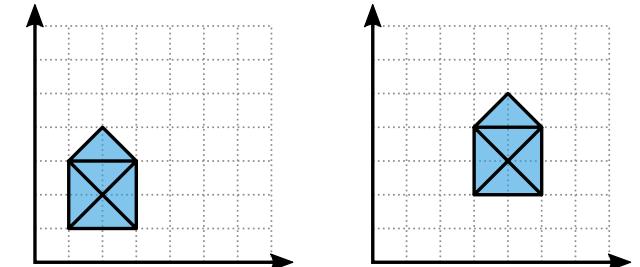
- Every linear transformation  $L: \mathbb{R}^n \rightarrow \mathbb{R}^n$  can be written as a *unique*  $(n \times n)$  matrix  $\mathbf{L}$  whose columns are the images of the basis vectors  $\{\mathbf{e}_1, \dots, \mathbf{e}_n\}$ .

VERY useful fact!

VERY-VERY useful!

# Linear vs. Affine Transformations

- Every linear transformation has to preserve the origin
  - $L(\mathbf{0}) = \mathbf{L} \cdot \mathbf{0} = \mathbf{0}$
- Translation is not a linear mapping
  - $T(0, 0) = (t_x, t_y)$
- Translation is an **affine** transformation
  - affine mapping = linear mapping + translation
  - $\begin{pmatrix} x \\ y \end{pmatrix} \mapsto \begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} t_x \\ t_y \end{pmatrix} = \mathbf{Lx} + \mathbf{t}$



But we REALLY want to represent translations as matrices!

# Homogeneous Coordinates

- Extend cartesian coordinates  $(x, y)$  to homogeneous coordinates  $(x, y, w)$ 
  - Points are represented by  $(x, y, 1)^T$
  - Vectors are represented by  $(x, y, 0)^T$
- Only homogeneous coordinates with  $w \in \{0, 1\}$  make sense
  - vector + vector = vector
  - point - point = vector
  - point + vector = point
  - point + point = ??
- Only affine combinations of points  $\mathbf{x}_i$  result in a point
  - $\sum_i \alpha_i \mathbf{x}_i$  with  $\sum_i \alpha_i = 1$

# Quiz: Transformations

Which matrix represents the 2D translation  $\begin{pmatrix} x \\ y \end{pmatrix} \mapsto \begin{pmatrix} x + a \\ y + b \end{pmatrix}$ ?

A: 
$$\begin{pmatrix} 1 & 0 & a \\ 0 & 1 & b \\ 0 & 0 & 1 \end{pmatrix}$$

B: 
$$\begin{pmatrix} a & 0 & 0 \\ 0 & b & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

C: 
$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ a & b & 1 \end{pmatrix}$$

D: 
$$\begin{pmatrix} 0 & 0 & a \\ 0 & 0 & b \\ 0 & 0 & 1 \end{pmatrix}$$

# Homogeneous Coordinates

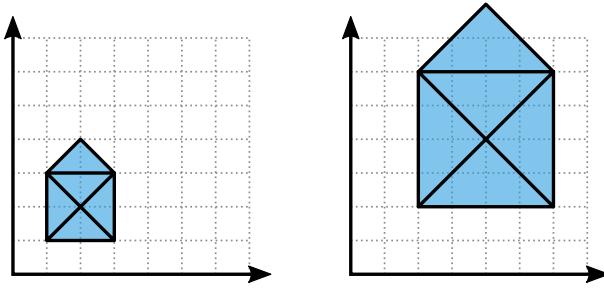
- Matrix representation of translations

$$\begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} t_x \\ t_y \end{pmatrix} \longleftrightarrow \begin{pmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

- Matrix representation of arbitrary affine transformation

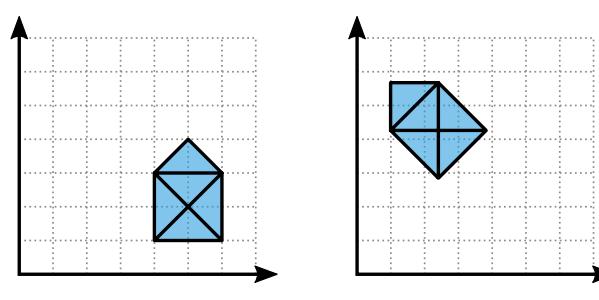
$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} t_x \\ t_y \end{pmatrix} \longleftrightarrow \begin{pmatrix} a & b & t_x \\ c & d & t_y \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

# Matrix Representation



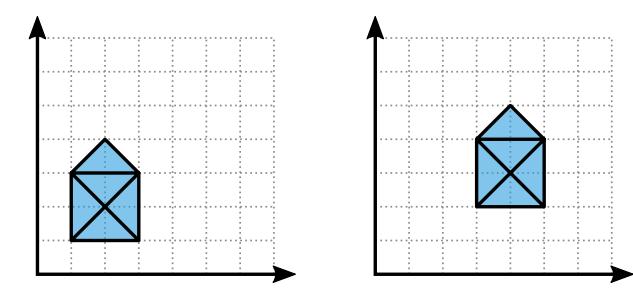
scaling

$$\begin{pmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



rotation

$$\begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



translation

$$\begin{pmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{pmatrix}$$

Columns of matrix are images of basis vectors!

# Concatenation of Transformations

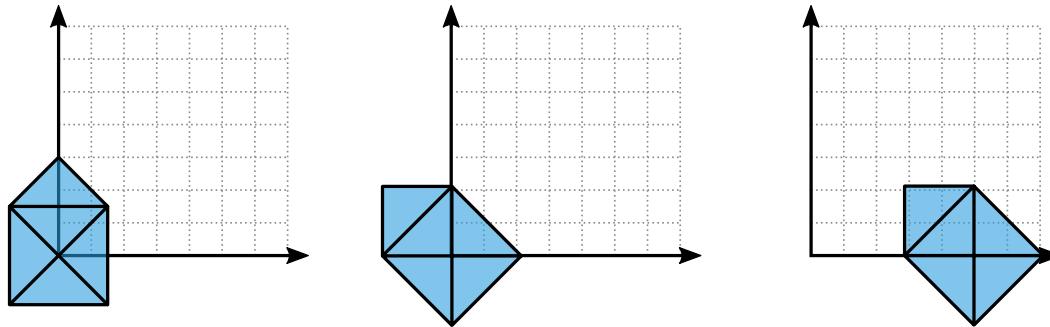
- Apply sequence of affine transformations  $\mathbf{A}_1, \dots, \mathbf{A}_k$
- Concatenate transformations by matrix multiplication

$$A_k(\dots A_2(A_1(\mathbf{x}))) = \underbrace{\mathbf{A}_k \cdots \mathbf{A}_2 \cdot \mathbf{A}_1}_{\mathbf{M}} \cdot \mathbf{x}$$

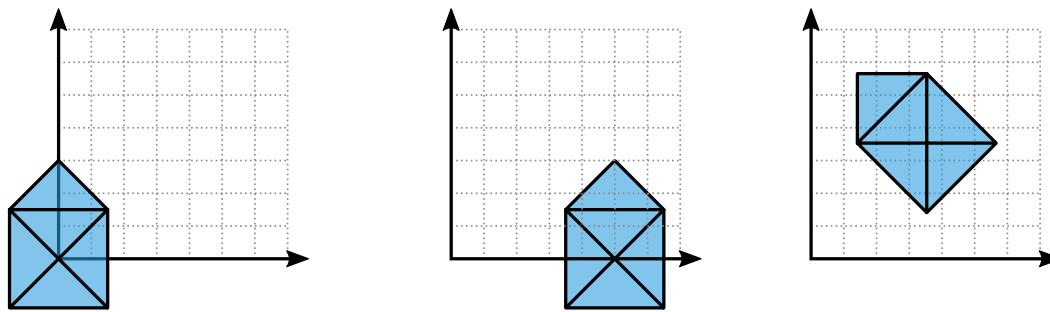
- Precompute matrix  $\mathbf{M}$  and apply it to all (=many!) object vertices.  
Very important for performance!

# Ordering of Matrix Multiplication

- First rotation, then translation

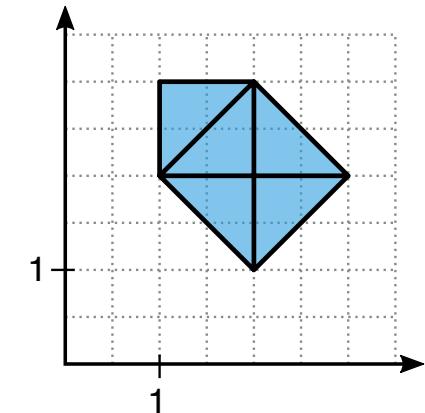
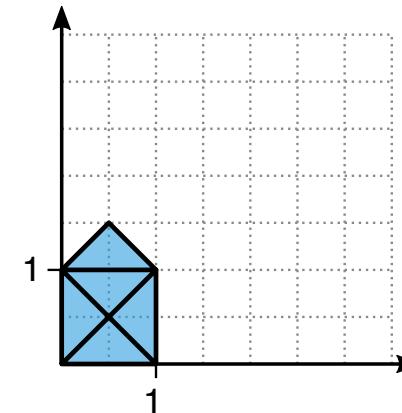


- First translation, then rotation



# Quiz: Transformations

Which matrix computes the transformation on the right?



A:  $\mathbf{T}(2, 1) \cdot \mathbf{S} \cdot \mathbf{R}$

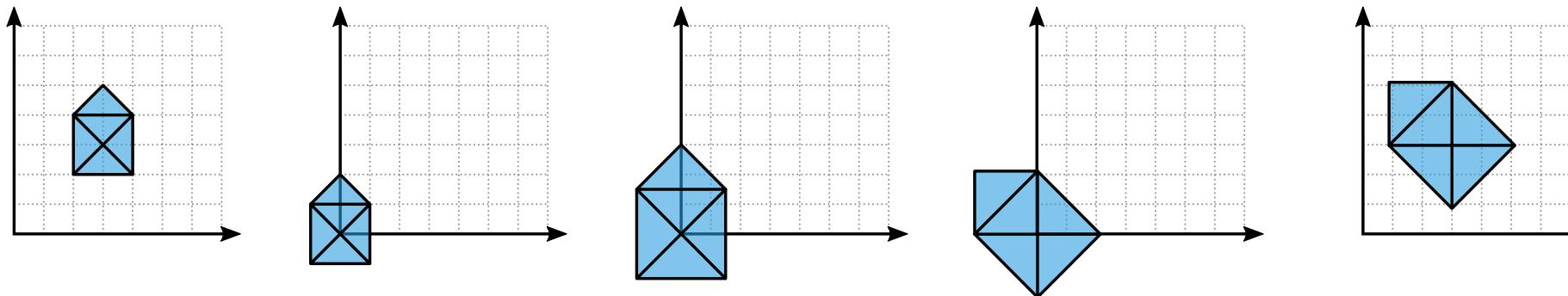
B:  $\mathbf{T}(2, 2) \cdot \mathbf{S} \cdot \mathbf{R} \cdot \mathbf{T}\left(-\frac{1}{2}, -\frac{1}{2}\right)$

C:  $\mathbf{R} \cdot \mathbf{S} \cdot \mathbf{T}\left(\frac{3}{2}, \frac{3}{2}\right)$

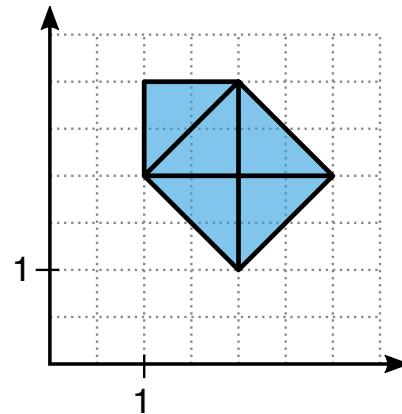
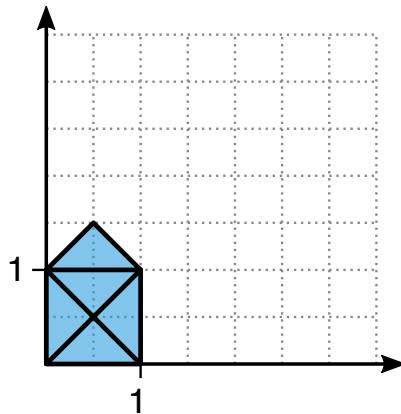
D:  $\mathbf{T}\left(-\frac{1}{2}, -\frac{1}{2}\right) \cdot \mathbf{R} \cdot \mathbf{S} \cdot \mathbf{T}(2, 2)$

# How to rotate/scale around object center?

1. Translate center to origin
2. Scale object
3. Rotate object
4. Translate center back



# Matrix Representation?



What are the images of basis vectors?

# Important Questions

- What is preserved by affine transformations?
- What is preserved by orthogonal transformations?

# Affine Transformations

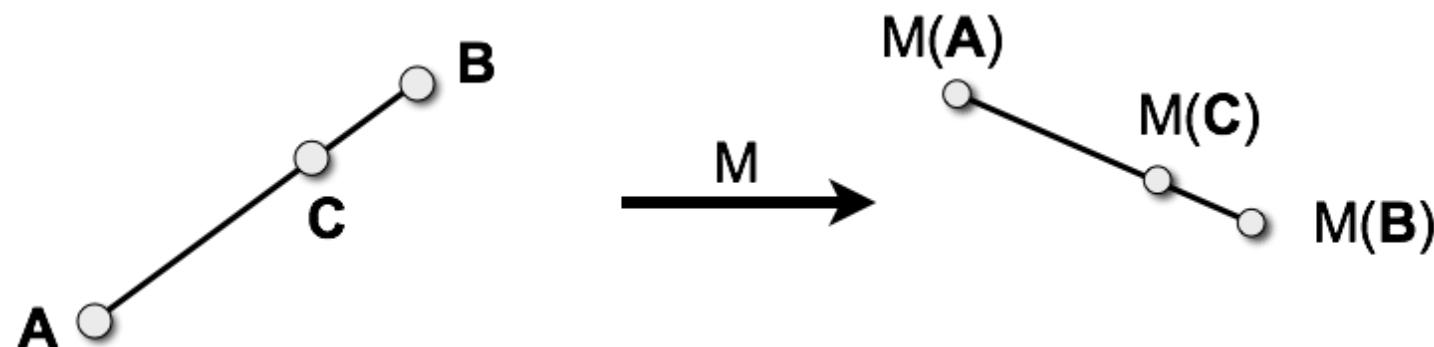
- Any point **C** on a line is an affine combination of its endpoints **A** and **B**:

$$(1 - \alpha)\mathbf{A} + \alpha\mathbf{B}$$

- Affine transformation **M** preserves affine combinations

$$\mathbf{M}((1 - \alpha)\mathbf{A} + \alpha\mathbf{B}) = (1 - \alpha)\mathbf{M}(\mathbf{A}) + \alpha\mathbf{M}(\mathbf{B})$$

- Straight lines stay straight lines



# Orthogonal Transformations

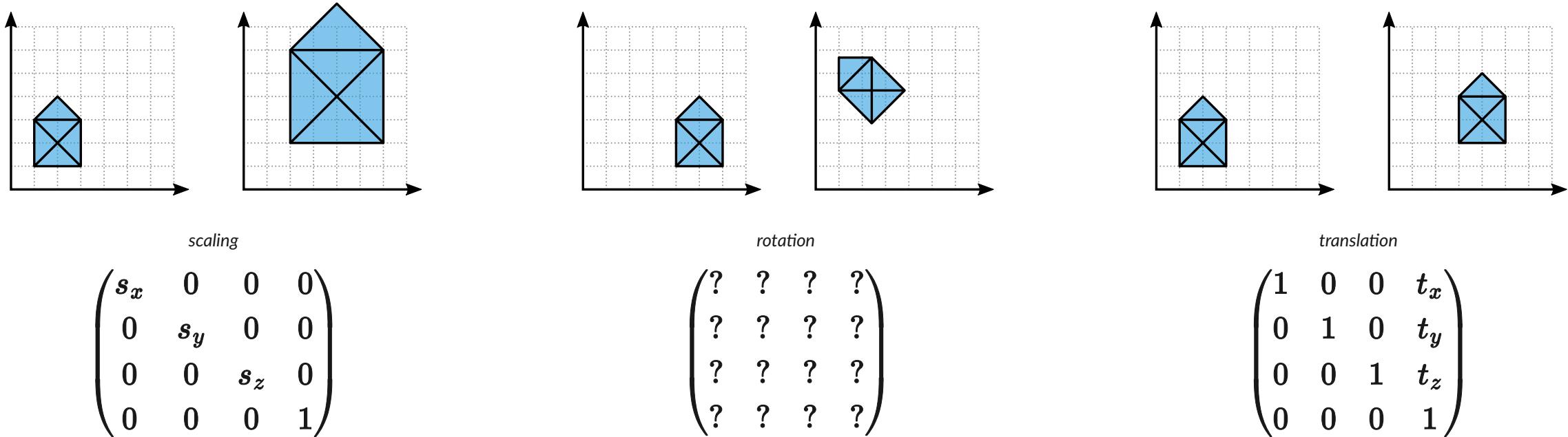
- A matrix  $\mathbf{M}$  is *orthogonal* iff...
  - ...its columns are orthonormal vectors
  - ...its rows are orthonormal vectors
  - ...its inverse is its transposed:  $\mathbf{M}^{-1} = \mathbf{M}^T$
- Orthogonal matrices / mappings...
  - ...preserve angles and lengths
  - ...can only be rotations or reflections
  - ...have determinant +1 or -1

# 3D Transformations

- Use homogeneous coordinates  $(x, y, z, w)$ !
  - Points are represented by  $(x, y, z, 1)^T$
  - Vectors are represented by  $(x, y, z, 0)^T$
- Represent affine transformation by  $(4 \times 4)$  matrices

$$\begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix} \cdot \begin{pmatrix} x \\ y \\ z \end{pmatrix} + \begin{pmatrix} t_x \\ t_y \\ t_z \end{pmatrix} \quad \longleftrightarrow \quad \begin{pmatrix} a & b & c & t_x \\ d & e & f & t_y \\ g & h & i & t_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

# 3D Transformations



# Rotation around x/y/z axes

$$\mathbf{R}_x(\theta) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

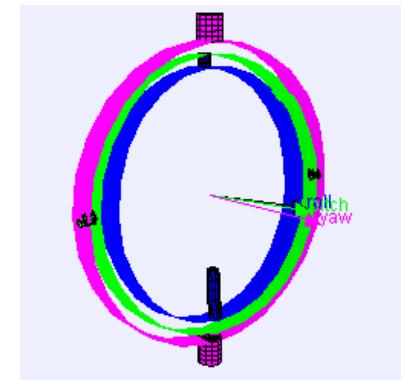
$$\mathbf{R}_y(\theta) = \begin{pmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\mathbf{R}_z(\theta) = \begin{pmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

# Rotation around x/y/z axes

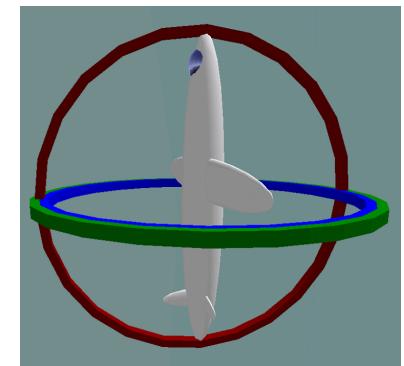
- Can we compose any 3D rotation from rotations around the axes  $\mathbf{R}_x$ ,  $\mathbf{R}_y$ ,  $\mathbf{R}_z$ ?

$$\mathbf{R}(\alpha, \beta, \gamma) \stackrel{?}{=} \mathbf{R}_x(\alpha) \cdot \mathbf{R}_y(\beta) \cdot \mathbf{R}_z(\gamma)$$



Euler angles

- This representation is called *Euler angles*
  - Often used in flight simulators: roll, pitch, yaw
  - Problem: *gimbal lock*!



Gimbal lock

# Gimbal Lock

- Let's represent rotation in Euler angles

$$\mathbf{R}(\alpha, \beta, \gamma) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \alpha & -\sin \alpha & 0 \\ 0 & \sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} \cos \beta & 0 & \sin \beta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \beta & 0 & \cos \beta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} \cos \gamma & -\sin \gamma & 0 & 0 \\ \sin \gamma & \cos \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

- What happens if we choose  $\beta = 90^\circ$ ?

$$\mathbf{R}(\alpha, \pi/2, \gamma) = \begin{pmatrix} 0 & 0 & 1 & 0 \\ \sin(\alpha + \gamma) & \cos(\alpha + \gamma) & 0 & 0 \\ -\cos(\alpha + \gamma) & \sin(\alpha + \gamma) & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

- $\alpha$  and  $\gamma$  control the same rotation. Only one degree of freedom left!

# 3D Rotations: Rodrigues Formula

- Rotation by angle  $\theta$  around (normalized) axis  $\mathbf{a}$

$$\mathbf{R}(\mathbf{a}, \theta) = \mathbf{a}\mathbf{a}^T + \cos \theta (\mathbf{I} - \mathbf{a}\mathbf{a}^T) + \sin \theta \begin{pmatrix} 0 & -a_z & a_y \\ a_z & 0 & -a_x \\ -a_y & a_x & 0 \end{pmatrix}$$

- Combining the matrices and writing  $\mathbf{a} = (x, y, z)$ ,  $c = \cos \theta$ , and  $s = \sin \theta$  gives

$$\mathbf{R}(\mathbf{a}, \theta) = \begin{pmatrix} x^2(1 - c) + c & xy(1 - c) - zs & xz(1 - c) + ys \\ yx(1 - c) + zs & y^2(1 - c) + c & yz(1 - c) - xs \\ xz(1 - c) - ys & yz(1 - c) + xs & z^2(1 - c) + c \end{pmatrix}$$

# 3D Rotations: Rodrigues Formula

$$\mathbf{R}(\mathbf{a}, \theta) = \mathbf{aa}^T + \cos \theta (\mathbf{I} - \mathbf{aa}^T) + \sin \theta \underbrace{\begin{pmatrix} 0 & -a_z & a_y \\ a_z & 0 & -a_x \\ -a_y & a_x & 0 \end{pmatrix}}_{=: \mathbf{A}}$$

- How to prove this magic formula?
- Matrix  $\mathbf{A}$  computes the cross-product  $\mathbf{Ax} = \mathbf{a} \times \mathbf{x}$
- Assume orthonormal coordinate system  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{a}$

$$\mathbf{Ra} = \mathbf{a}$$

$$\mathbf{Re}_1 = \cos \theta \mathbf{e}_1 + \sin \theta \mathbf{e}_2$$

$$\mathbf{Re}_2 = -\sin \theta \mathbf{e}_1 + \cos \theta \mathbf{e}_2$$

# Summary

- **Linear transformations**
  - Scaling, rotation
  - Can be represented by  $3 \times 3$  matrices
- **Affine transformations**
  - Linear transformation + translation
  - Can be represented by  $4 \times 4$  matrices with homogeneous coordinates
- **Represent all transformations by matrices**
  - Concatenate transformations by matrix multiplication
  - Huge performance benefit!

# Literature

- Marschner & Shirley: *Fundamentals of Computer Graphics*, 5th Edition, AK Peters, 2021.
  - Chapter 7

