

Algorithms: Hashing and Quick Sort

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Hash tables: summary

HASH-tables efficiently implement:

INSERT: $O(1)$

DELETE: $O(1)$

SEARCH: Expected $O(n/m)$ (if good hash function)

Cannot avoid collisions without having $m \gg n^2$

Instead deal with collisions using for example chaining

Quick Sort

- ▶ The sorting algorithm of choice in many computer systems
- ▶ Easy to implement
- ▶ Fast in practice (and as we will see in theory)
- ▶ As merge-sort, based on divide-and-conquer paradigm

DIVIDE-AND-CONQUER

Quick Sort



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Quick Sort Idea

Example $\langle 5, 8, 4, 7, 1, 2, 3, 6 \rangle$

5	8	4	7	1	2	3	6
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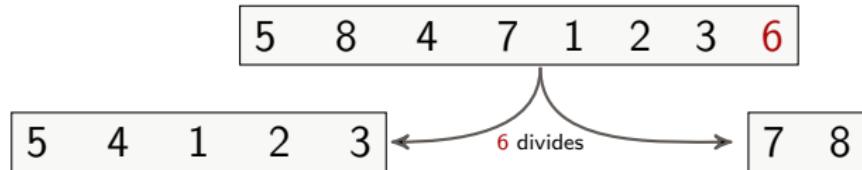
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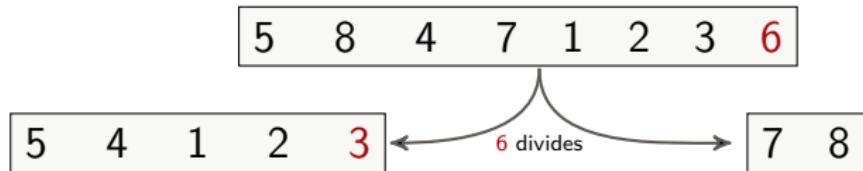
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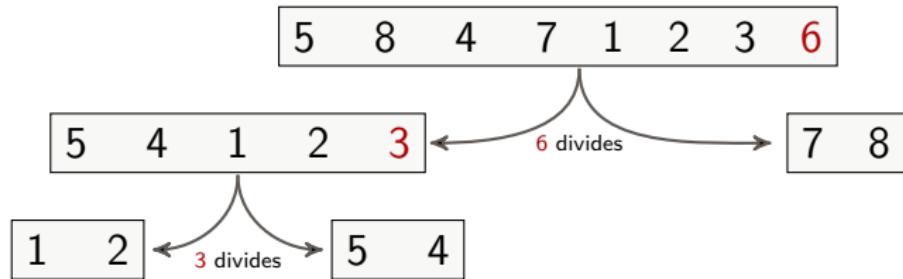
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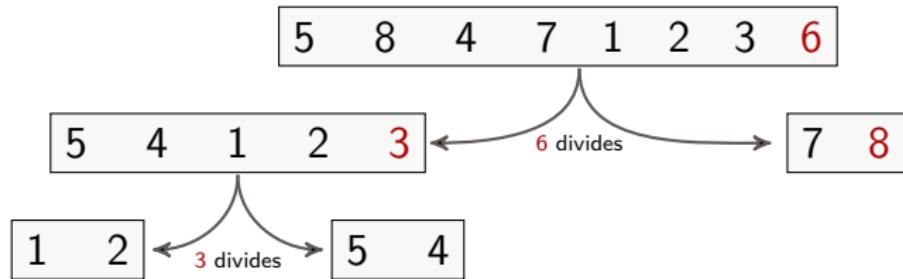
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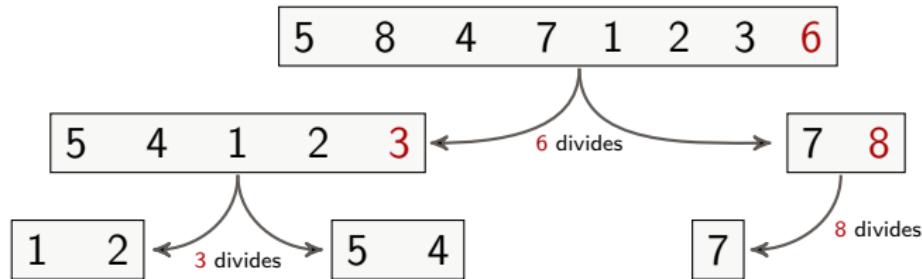
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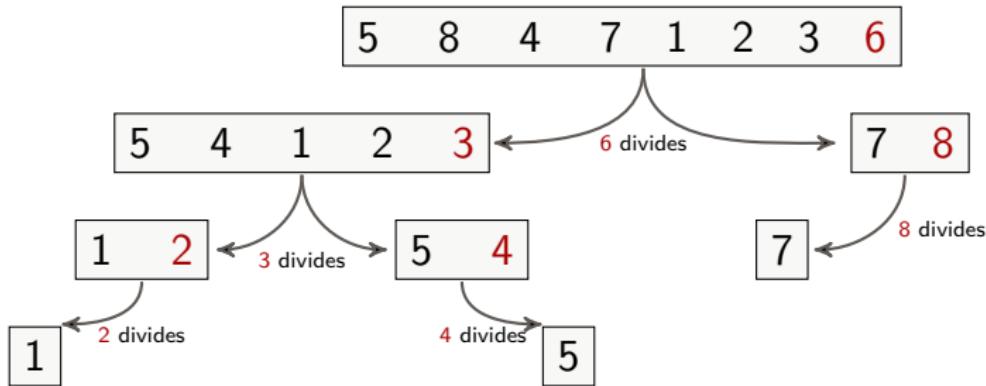
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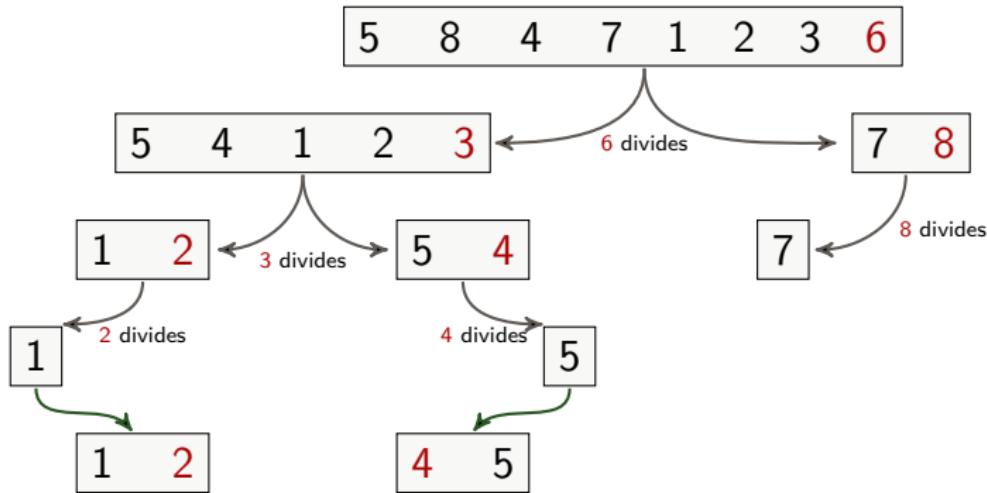
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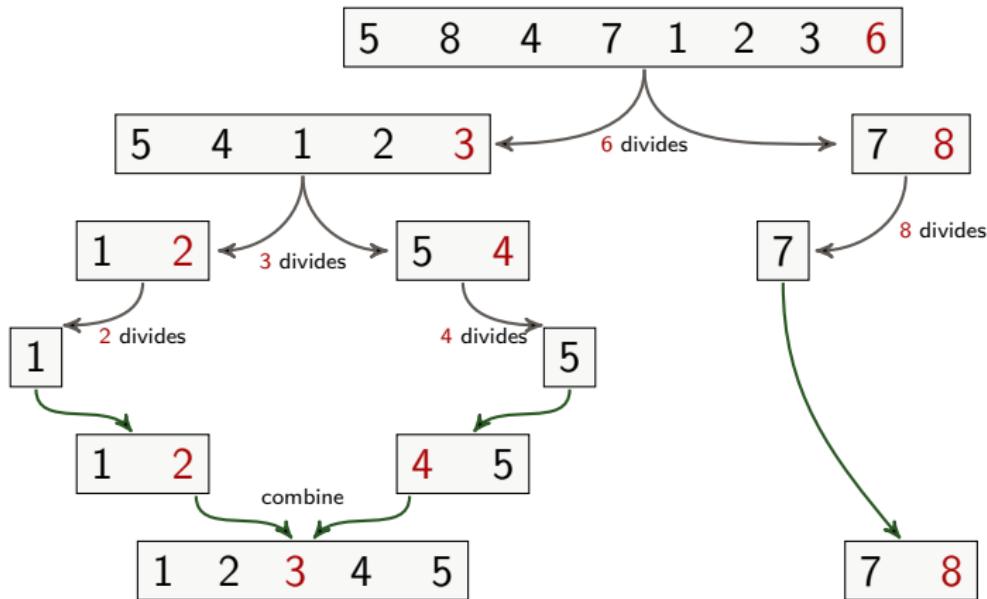
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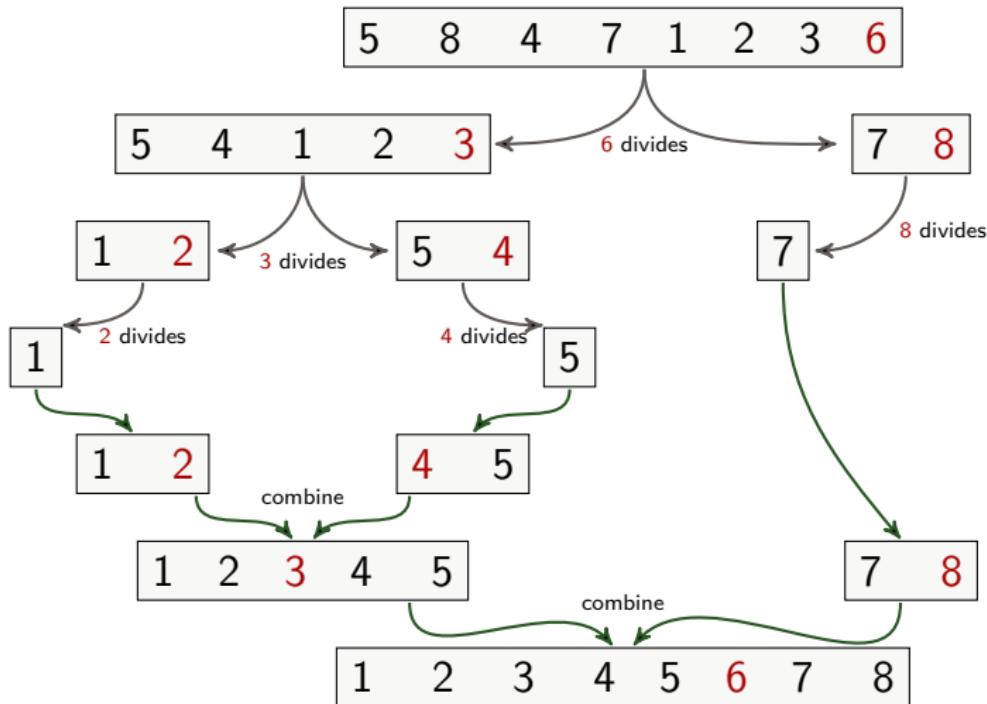
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Quick Sort — Divide-and-Conquer

To sort the subarray $A[p \dots r]$:

Divide: Partition $A[p \dots r]$, into two (possibly empty) subarrays $A[p \dots q - 1]$ and $A[q + 1 \dots r]$, such that each element in the first subarray is $\leq A[q]$ and each element in the second subarray is $\geq A[q]$

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Combine: No work is needed to combine the subarrays, because they are sorted in place

Partitioning (divide step)

PARTITION always selects the last element $A[r]$ in the subarray $A[p \dots r]$ as the **pivot** — the element around which to partition

PARTITION(A, p, r)

$x = A[r]$

$i = p - 1$

for $j = p$ **to** $r - 1$

if $A[j] \leq x$

$i = i + 1$

 exchange $A[i]$ with $A[j]$

exchange $A[i + 1]$ with $A[r]$

return $i + 1$

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Loop Invariant:

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	$A[p]$	$A[q]$				$A[r]$
A:	8	4	5	7	1	2

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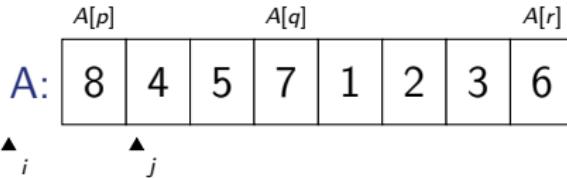
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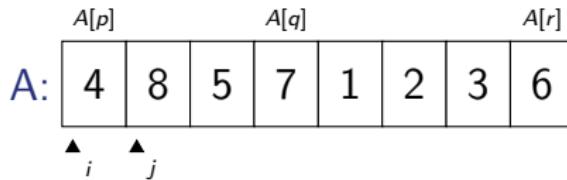
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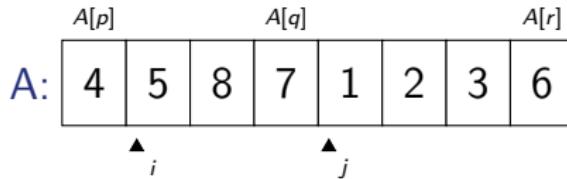
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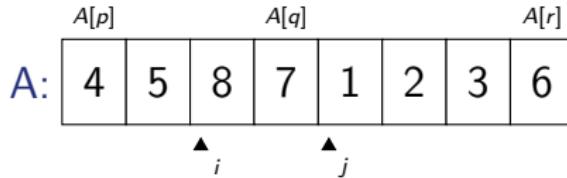
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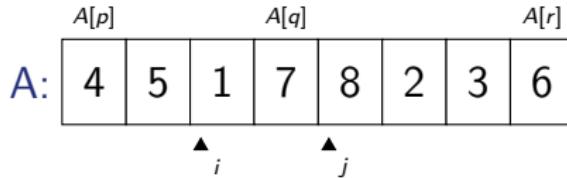
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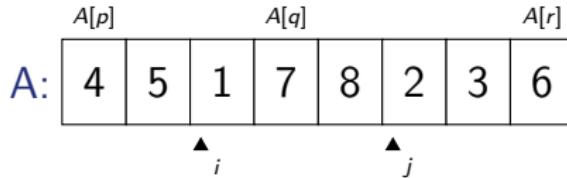
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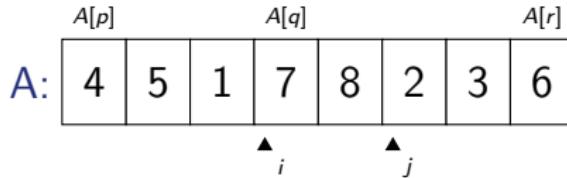
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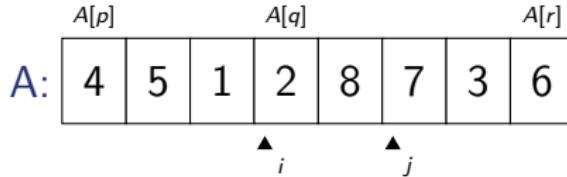
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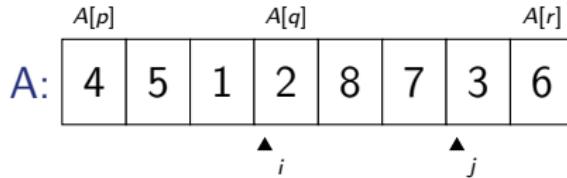
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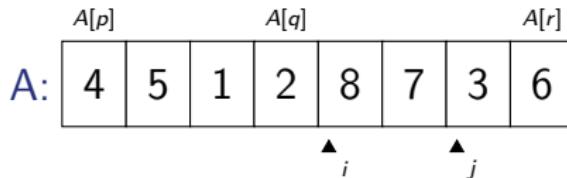
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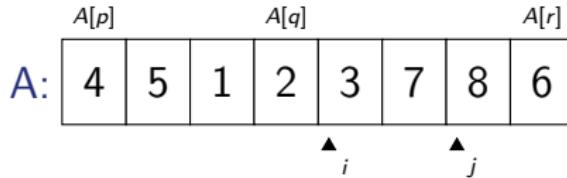
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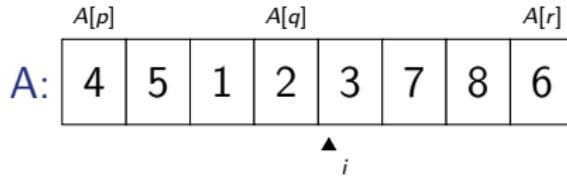
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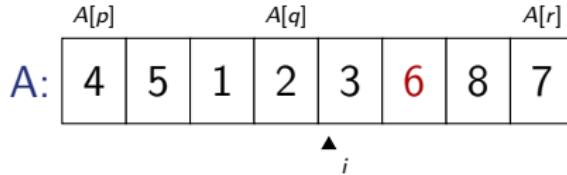
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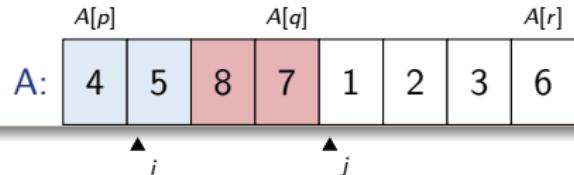
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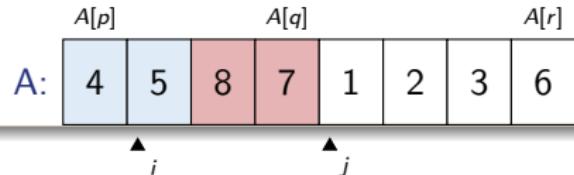
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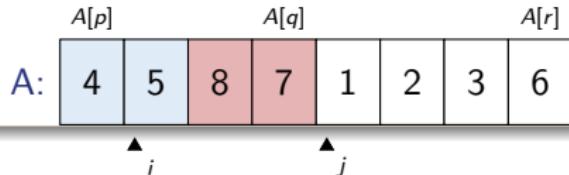


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Initialization: Before the loop starts, loop invariant satisfied, because r is the pivot and the subarrays $A[p \dots i]$ and $A[i + 1 \dots j - 1]$ are empty

Correctness of Partitioning



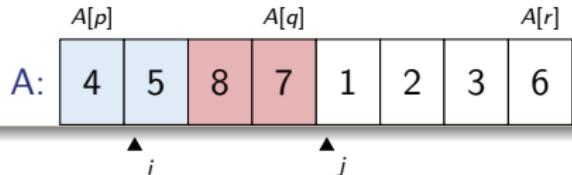
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Initialization: Before the loop starts, loop invariant satisfied, because r is the pivot and the subarrays $A[p \dots i]$ and $A[i + 1 \dots j - 1]$ are empty

Maintenance: If $A[j] \leq \text{pivot}$, then $A[j]$ and $A[i + 1]$ are swapped and then i and j are incremented. If $A[j] > \text{pivot}$ then increment only j

Correctness of Partitioning



Loop Invariant:

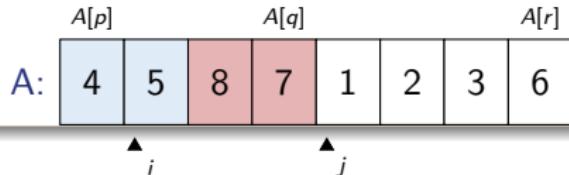
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The last two lines of PARTITION moves the pivot element to the “right” place by swapping $A[i + 1]$ and $A[r]$

Time for partitioning

PARTITION(A, p, r)

$x = A[r]$

$i = p - 1$

for $j = p$ **to** $r - 1$

if $A[j] \leq x$

$i = i + 1$

 exchange $A[i]$ with $A[j]$

 exchange $A[i + 1]$ with $A[r]$

return $i + 1$

Time for partitioning

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- ▶ Each iteration takes time $\Theta(1)$
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- ▶ Note that the number of comparisons made is $\approx n$

Quick Sort Algorithm

```
QUICKSORT( $A, p, r$ )
  if  $p < r$ 
     $q = \text{PARTITION}(A, p, r)$ 
     $\text{QUICKSORT}(A, p, q - 1)$ 
     $\text{QUICKSORT}(A, q + 1, r)$ 
```

Worst case running time of quick sort

1	2	3	4	...	$n-2$	$n-1$	n
---	---	---	---	-----	-------	-------	-----

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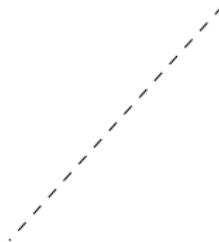
1	2	3	4	...	$n-2$
---	---	---	---	-----	-------

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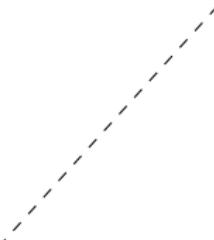


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---	---	---	---	-----	-------	-------	-----

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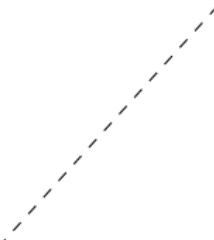
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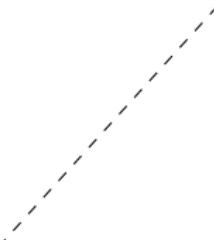
1	2
---	---

Worst case running time of quick sort

1	2	3	4	...	$n-2$	$n-1$	n
---	---	---	---	-----	-------	-------	-----

1	2	3	4	...	$n-2$	$n-1$
---	---	---	---	-----	-------	-------

1	2	3	4	...	$n-2$
---	---	---	---	-----	-------



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---	---	---

1	2
---	---

1

Worst case running time of quick sort

1	2	3	4	...	n-2	n-1	n
---	---	---	---	-----	-----	-----	---

 $\Theta(n)$

1	2	3	4	...	n-2	n-1
---	---	---	---	-----	-----	-----

 $\Theta(n - 1)$

1	2	3	4	...	n-2
---	---	---	---	-----	-----

 $\Theta(n - 2)$ \vdots

1	2	3
---	---	---

 $\Theta(3)$

1	2
---	---

 $\Theta(2)$

1

 $\Theta(1)$

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1	2	3	4	...	n-2	n-1	n
---	---	---	---	-----	-----	-----	---

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---	---	---	---	-----	-----	-----

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---	---	---	---	-----	-----

 $\Theta(n - 2)$

Total running time: $\Theta(n^2)$

⋮

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---	---	---

 $\Theta(3)$

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---	---

 $\Theta(2)$

1

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Intuition

- ▶ Imagine that PARTITION always produces a 9-to-1 split.
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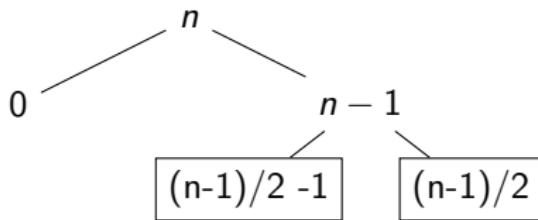
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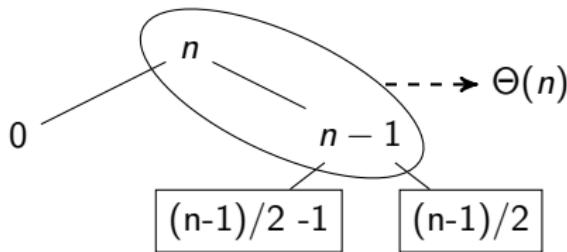
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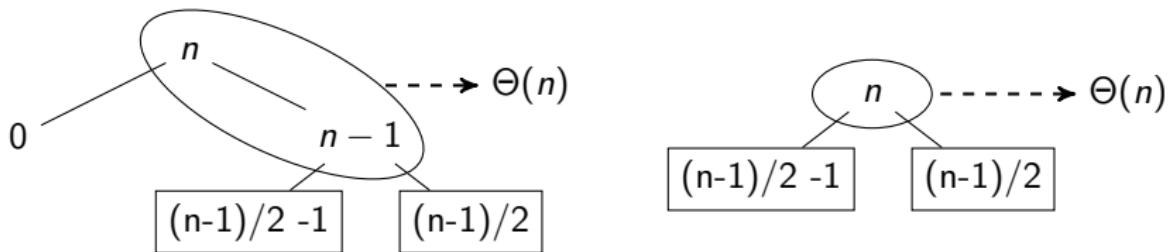
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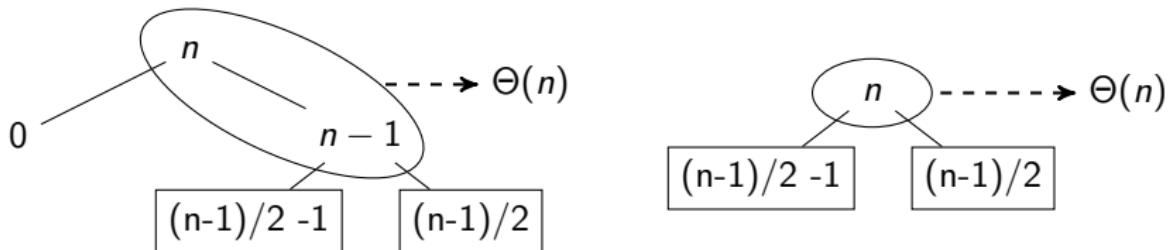
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Both trees have the same asymptotic running time: $\Theta(n \lg n)$

RANDOMIZED VERSION OF QUICK SORT

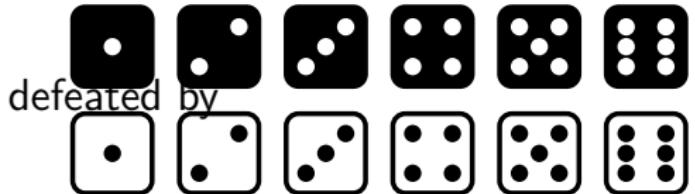


RANDOMIZED VERSION OF QUICK SORT



defeated by

RANDOMIZED VERSION OF QUICK SORT



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Randomized version of quick sort

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- ▶ This is not always true
- ▶ To correct this and remove the possibilities for enemies we add randomization
- ▶ **HUGE difference between**
 - Expected running time over all inputs**
 - and
 - Expected running time for any input**

How to use randomization

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- ▶ We could randomly permute input array
- ▶ Instead we use **random sampling** or picking one element in random
- ▶ Don't always use $A[r]$ as the pivot. Instead, randomly pick an element from the subarray that is being sorted

Randomized quick sort

```
RANDOMIZED-PARTITION( $A, p, r$ )
```

```
 $i = \text{RANDOM}(p, r)$ 
```

```
exchange  $A[r]$  with  $A[i]$ 
```

```
return PARTITION( $A, p, r$ )
```

```
RANDOMIZED-QUICKSORT( $A, p, r$ )
```

```
if  $p < r$ 
```

```
 $q = \text{RANDOMIZED-PARTITION}(A, p, r)$ 
```

```
RANDOMIZED-QUICKSORT( $A, p, q - 1$ )
```

```
RANDOMIZED-QUICKSORT( $A, q + 1, r$ )
```

Analysis

Analysis

Time to wake up!



Example

$A[1]$	$A[2]$	$A[3]$	$A[4]$	$A[5]$	$A[6]$	$A[7]$	$A[8]$	$A[9]$	$A[10]$	$A[11]$
7	6	2	3	1	5	10	12	9	15	4

Example

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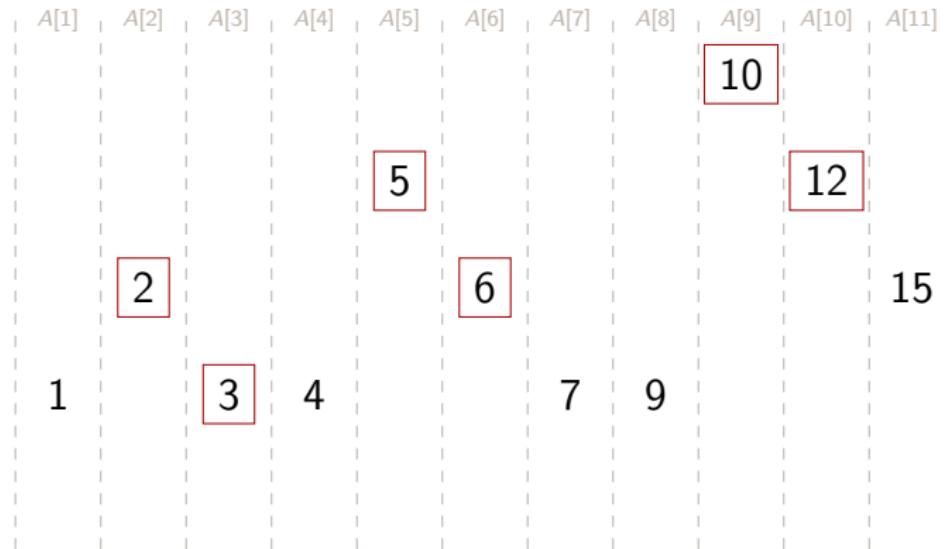
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								10		
				5					12	
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						7	9			

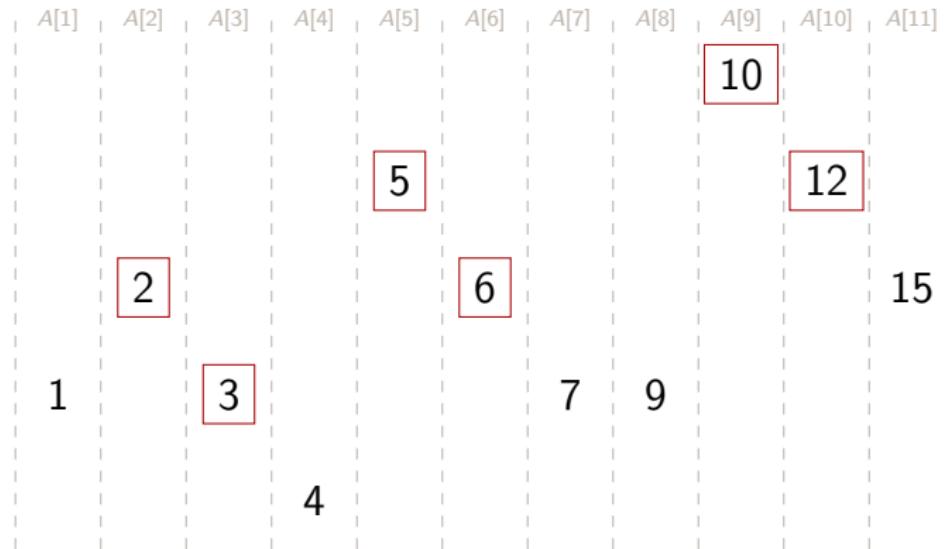
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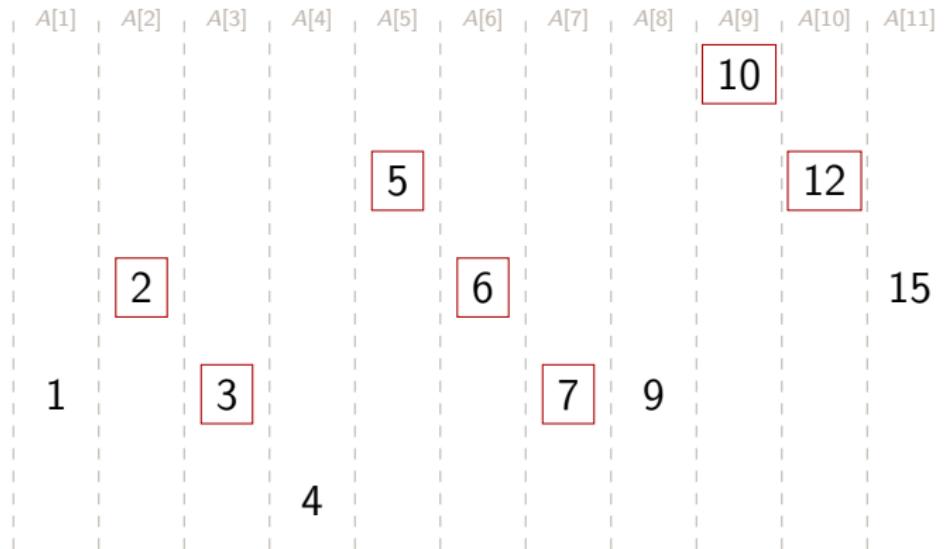
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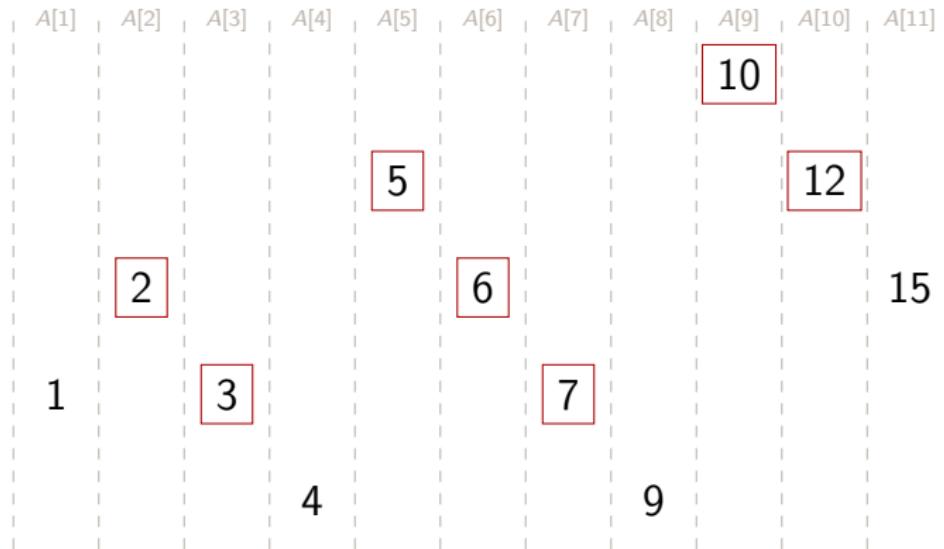


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- ▶ We proceed by bounding (the expected value) X

Bound on the overall number of comparisons

For ease of notation:

- ▶ Rename elements of A as z_1, \dots, z_n , with z_i being the i th smallest element
- ▶ Define the set $Z_{ij} = \{z_i, z_{i+1}, \dots, z_j\}$

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Random indicator variables:

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- ▶ As each pair is compared at most once (when one of them is the pivot), the total number of comparisons formed by the algorithm is

$$X = \sum_{i=1}^n \sum_{j=i+1}^n X_{ij}$$

Applying linearity of expectation

The expected total number of comparisons is

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$$\begin{aligned}\mathbb{E}[X] &= \mathbb{E} \left[\sum_{i=1}^n \sum_{j=i+1}^n X_{ij} \right] \\ &= \sum_{i=1}^n \sum_{j=i+1}^n \mathbb{E}[X_{ij}] \\ &= \sum_{i=1}^n \sum_{j=i+1}^n \Pr[z_i \text{ is compared to } z_j]\end{aligned}$$

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- ▶ There are $j - i + 1$ elements and pivots are chosen randomly and independently. Thus the probability that any particular one of them is the first one chose is $1/(j - i + 1)$.

Probability that z_i is compared to z_j

- ▶ If a pivot x such that $z_i < x < z_j$ is chosen, then z_i and z_j will never be compared at any later time
- ▶ If either z_i or z_j is chosen before any other element of Z_{ij} , then it will be compared to all the elements of Z_{ij} , except itself
- ▶ The probability that z_i is compared to z_j is the probability that either z_i or z_j is the element first chosen.
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- ▶ Therefore

$$\Pr[z_i \text{ is compared to } z_j] = \frac{2}{j - i + 1}$$

Wrapping up

$$\mathbb{E}[X] = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \Pr[z_i \text{ is compared to } z_j]$$

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