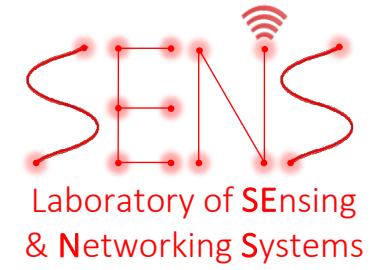
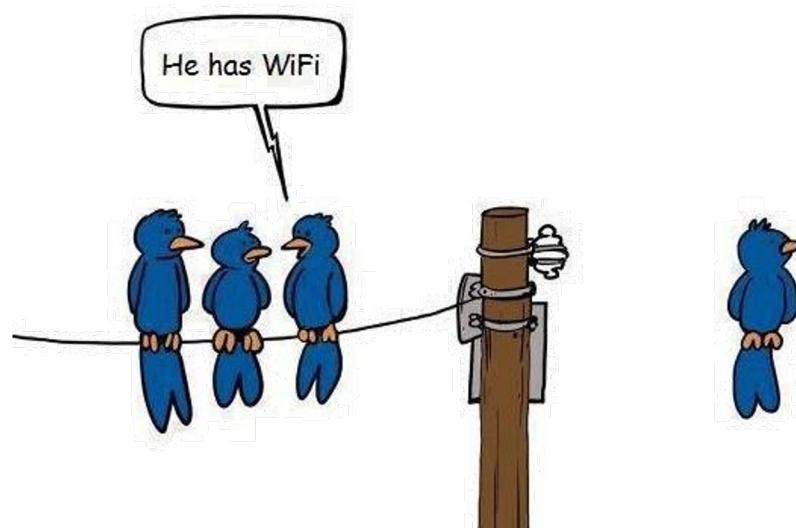


# COM-405: Mobile Networks

## Lecture 2.1: OFDM I

### Haitham Hassanieh



# Why OFDM?

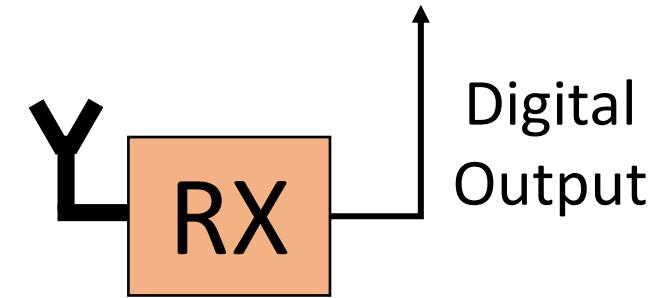
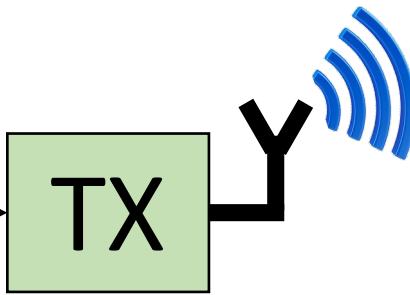
- Makes life easy → channel estimation and correction is straightforward
- Used in most high throughput mobile networks:
  - WiFi 4, 5, 6
  - 4G, 5G, ... 6G
- Higher layer resource allocation schemes use OFDMA (WiFi 6, 4G, 5G)

# Wireless Communication

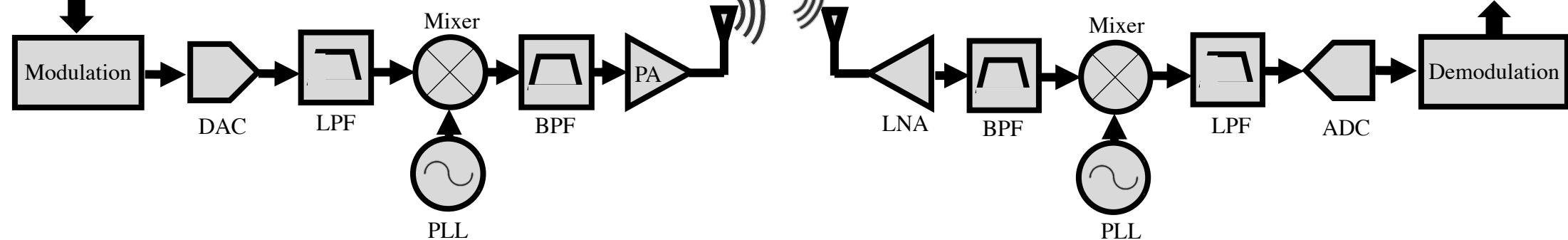
1011010110011001

1011010110011001

Digital  
Input



1011010110



1011010110  $\rightarrow$   $s[n] \rightarrow s(t) \rightarrow s(t) \cos 2\pi f_c t \rightarrow h(t) * s(t) \cos 2\pi f_c t \times \cos 2\pi f_c t \rightarrow h(t) * s(t) \rightarrow h[n] * s[n]$

$s[n]$

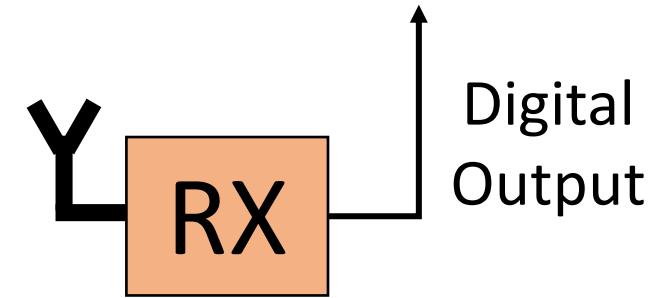
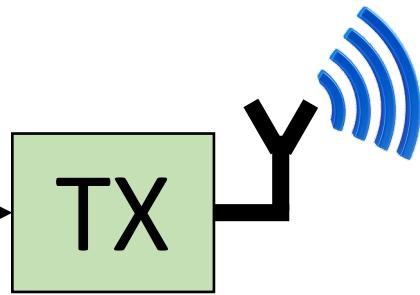
1011010110

# Wireless Communication

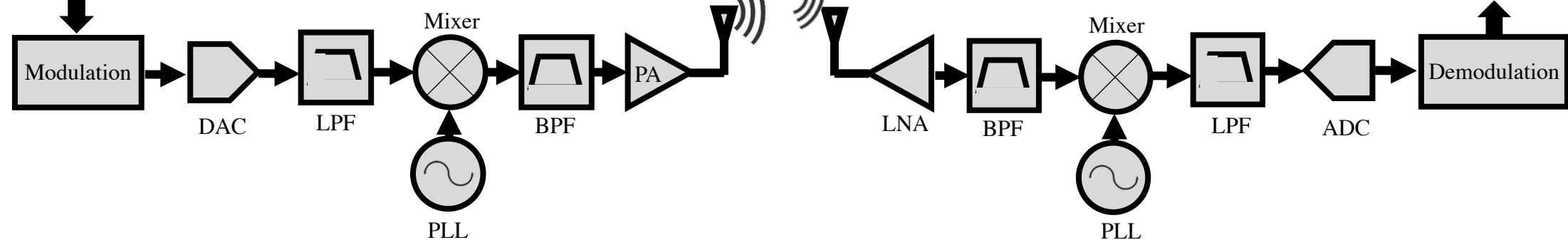
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Digital  
Input



1011010110



1011010110  $\rightarrow$   $s[n] \rightarrow s(t) \rightarrow s(t)e^{-j2\pi f_c t} \rightarrow h(t) * s(t)e^{-j2\pi f_c t} \times e^{+j2\pi f_c t} \rightarrow h(t) * s(t) \rightarrow h[n] * s[n]$

$s[n]$

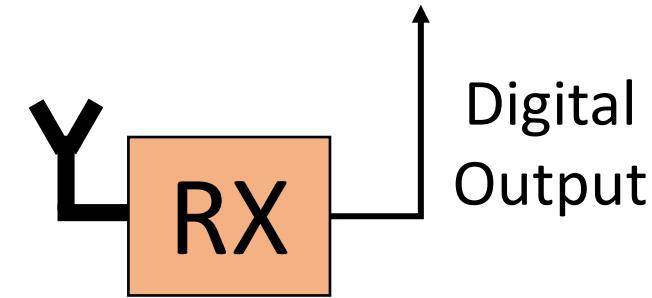
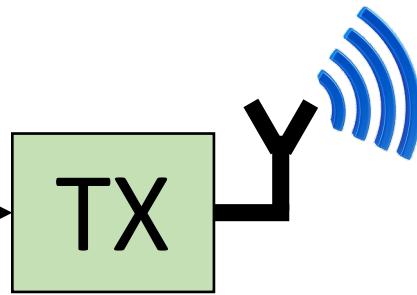
1011010110

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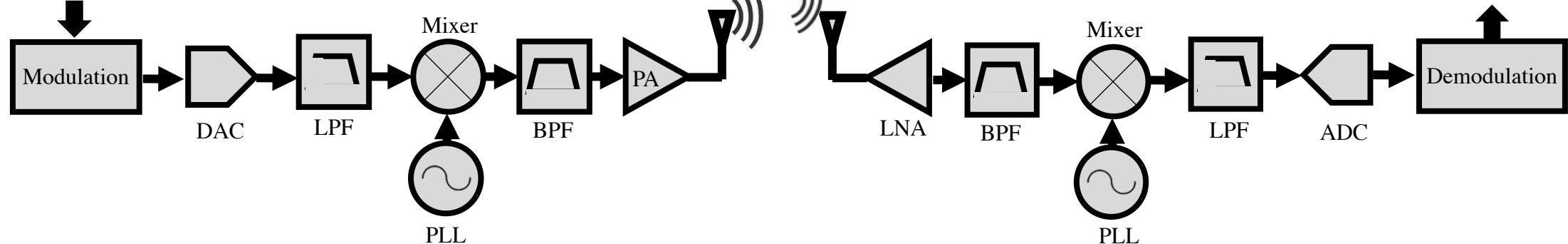
1011010110011001

1011010110011001

Digital  
Input



1011010110



1011010110011001 →  $s[n]$  →

$s[n]e^{-j2\pi f_c t}$  →  $h[n] * s[n]e^{-j2\pi f_c t} \times e^{+j2\pi f_c t}$

→  $h[n] * s[n]$

$s[n]$

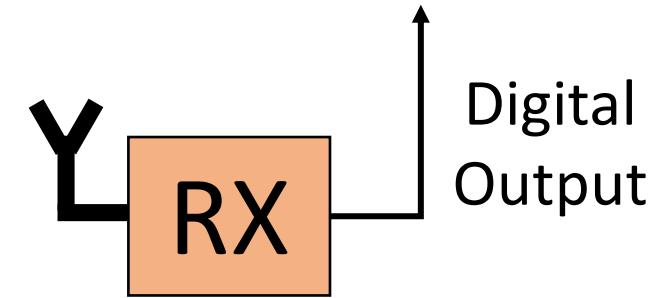
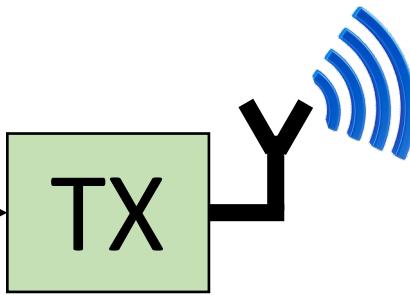
1011010110011001

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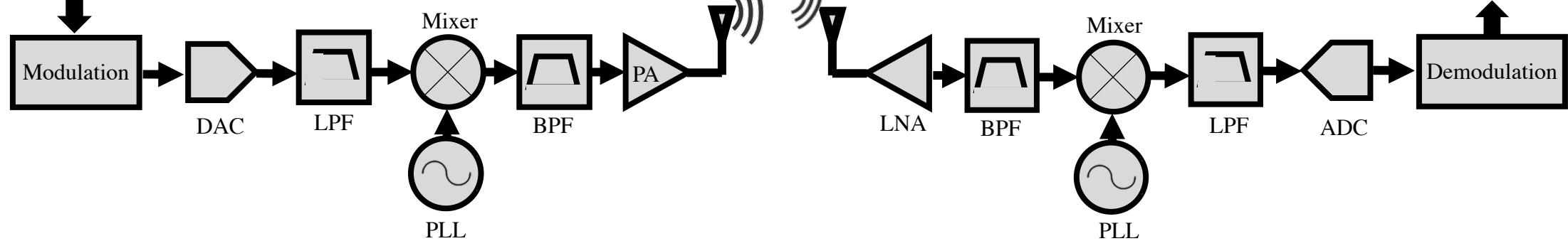
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Digital  
Input



1011010110



1011010110  $\rightarrow$   $s[n]$   $\rightarrow$   $s[n]e^{-j2\pi f_c t}$   $\rightarrow$   $h[n] * s[n]e^{-j2\pi f_c t} \times e^{+j2\pi f_c t}$   $\rightarrow$   $h[n] * s[n]$   $\rightarrow$   $s[n]$   $\rightarrow$  1011010110

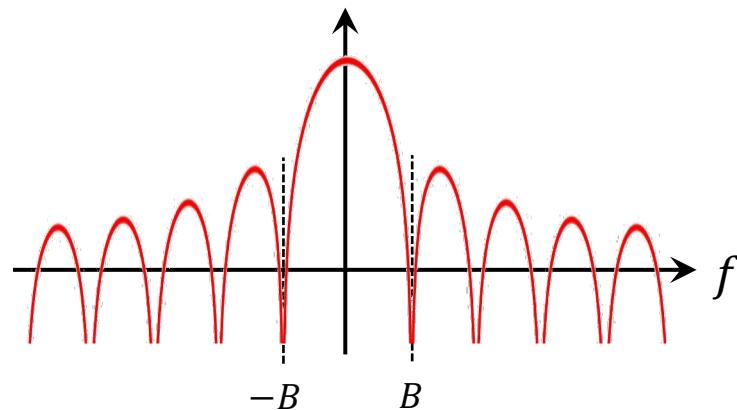
## Single Carrier Modulation

Symbols modulated on a single carrier frequency:  $s[n]e^{-j2\pi f_c t}$

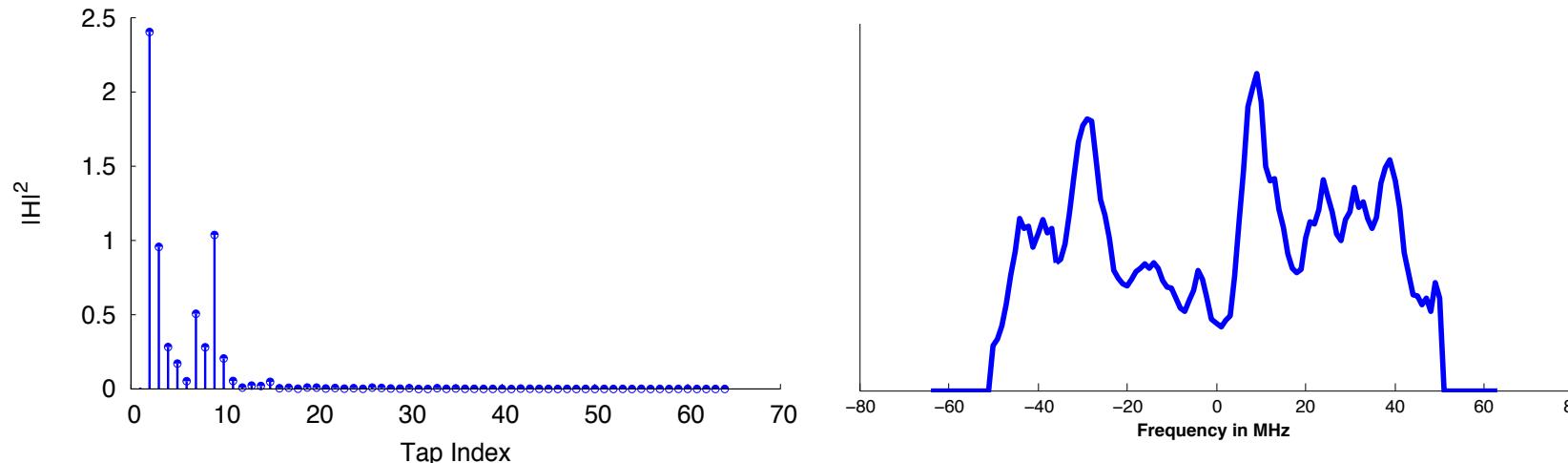
# Single Carrier Modulation

Symbols modulated on a single carrier frequency

- Low Spectral Efficiency: leakage



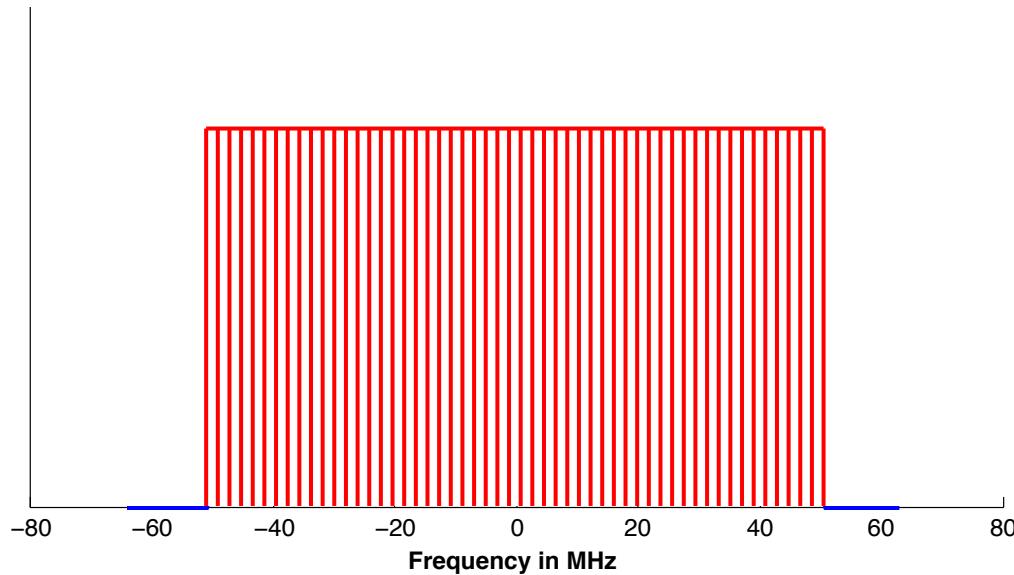
- ISI: Inter-Symbol-Interference limits performance



# Multi-Carrier Modulation

Symbols modulated on multiple Sub-carrier frequencies

- Divide spectrum into many narrow bands



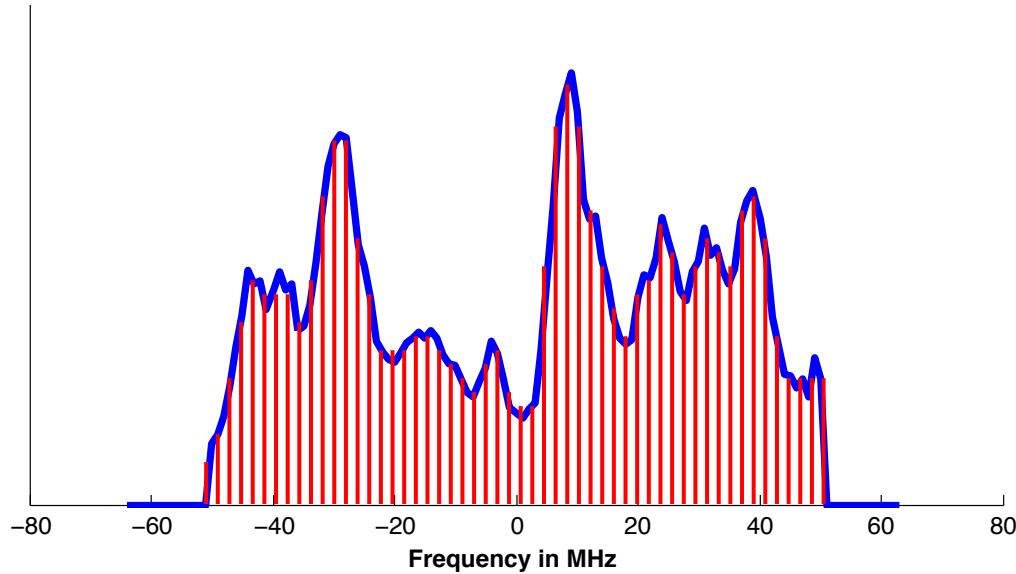
$$x(t) = \sum_i s_i[n] e^{-j2\pi f_i t}$$

- Transmit symbols on different carriers in narrow bands
- Channel is Flat → No need to worry about ISI

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Symbols modulated on multiple Sub-carrier frequencies

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$$x(t) = \sum_i s_i[n] e^{-j2\pi f_i t}$$

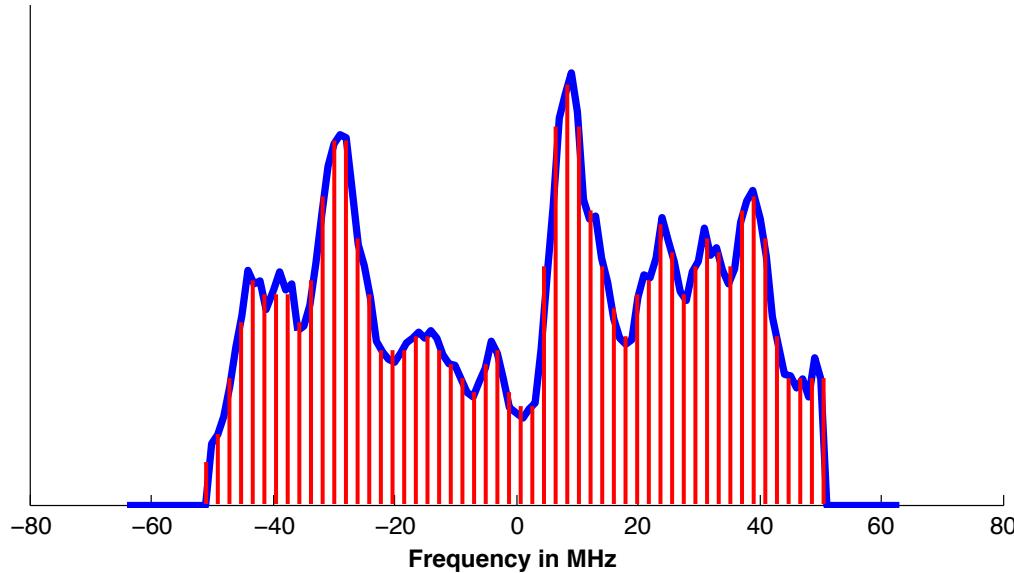
$$y(t) = \sum_i h_i s_i[n] e^{-j2\pi f_i t}$$

- Transmit symbols on different carriers in narrow bands
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# Multi-Carrier Modulation

Symbols modulated on multiple Sub-carrier frequencies

- Divide spectrum into many narrow bands



$$x(t) = \sum_i s_i[n] e^{-j2\pi f_i t}$$

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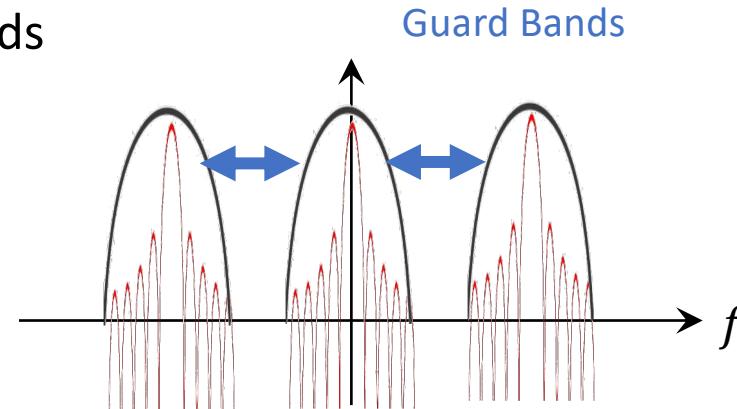
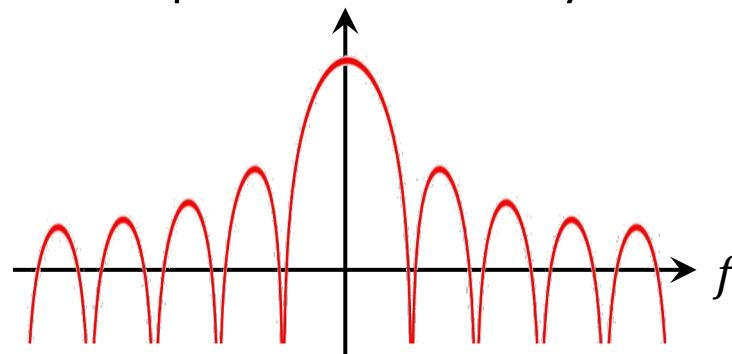
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**Not That Simple!**

# Multi-Carrier Modulation

Symbols modulated on multiple Sub-carrier frequencies

- Divide spectrum into many narrow bands



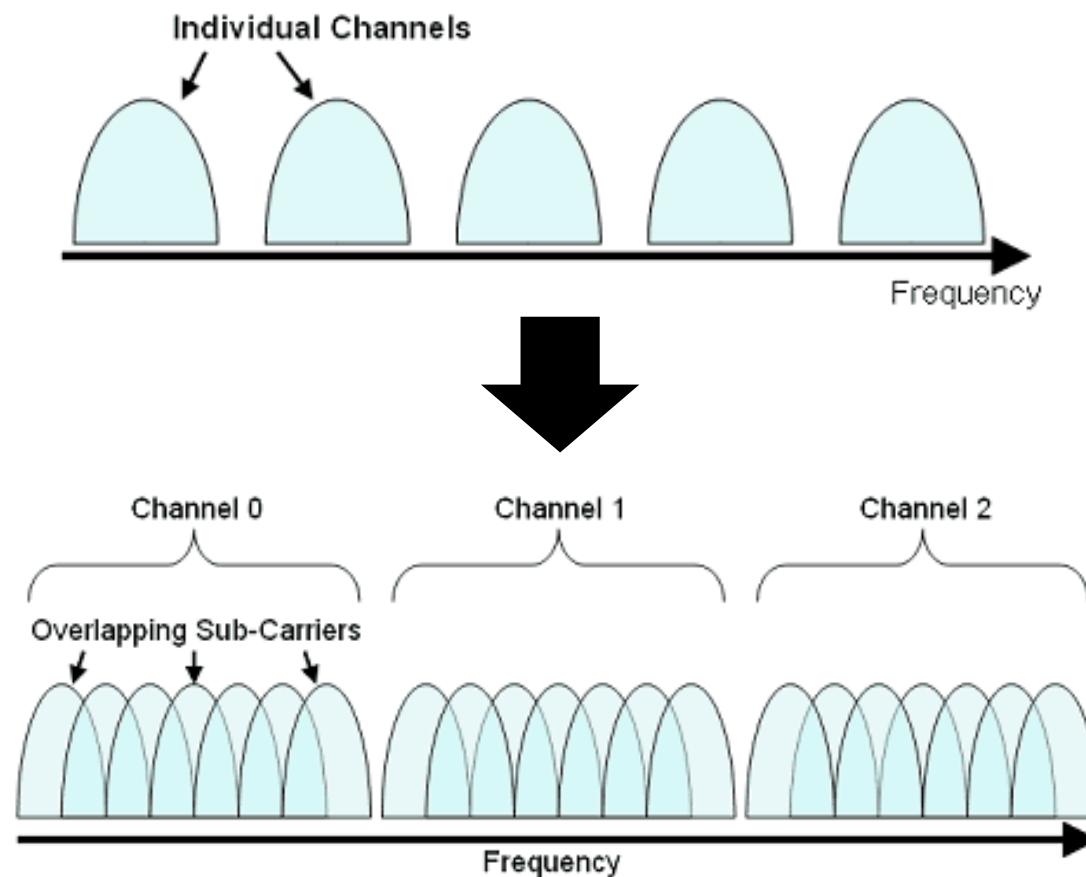
- Significant Leakage between adjacent subcarriers
- Need Guard Bands → Very inefficient!

Solution: Make the Sub-Carriers Orthogonal

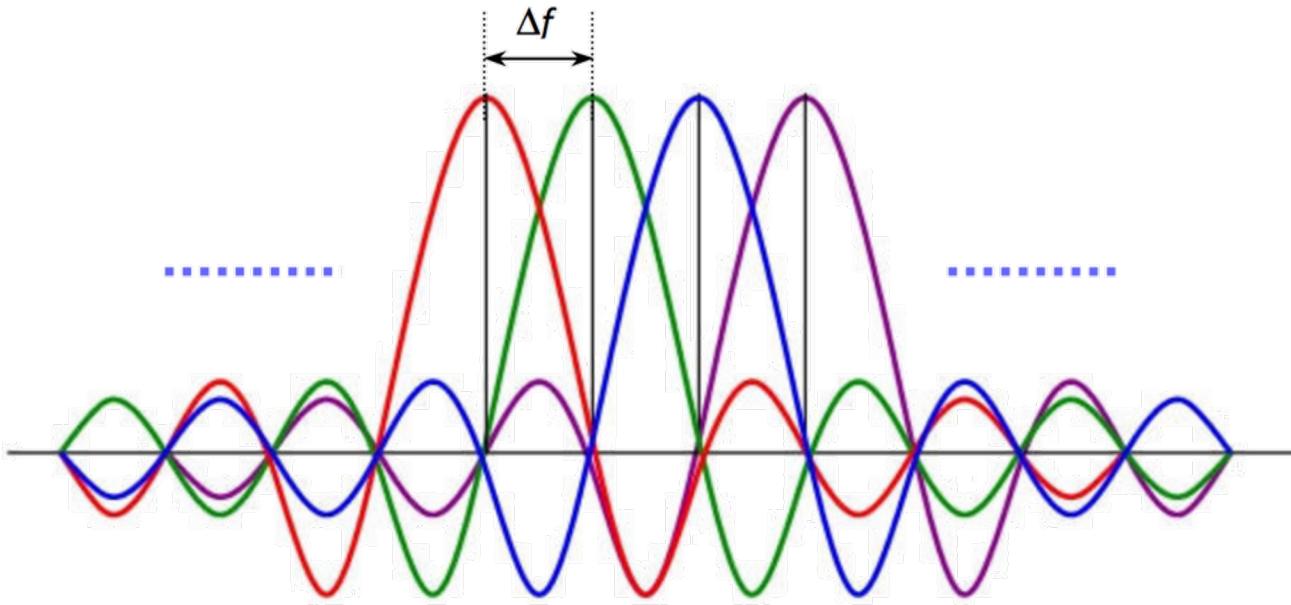
# Multi-Carrier Modulation

Symbols modulated on multiple Sub-carrier frequencies

Make the Sub-Carriers Orthogonal



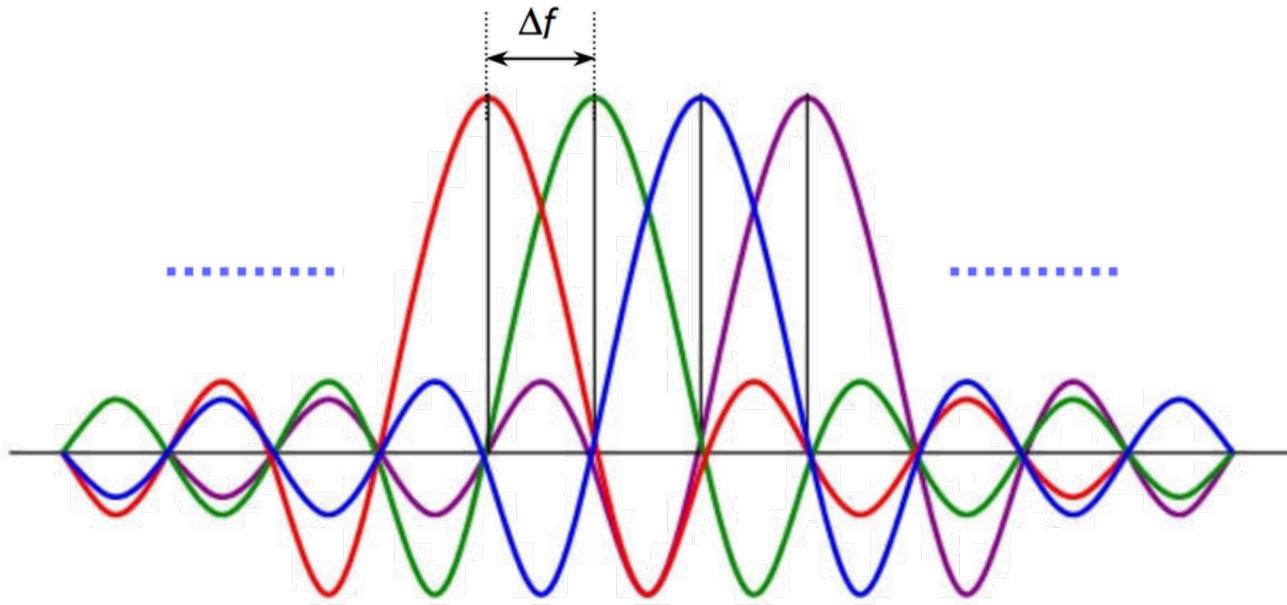
# OFDM: Orthogonal Frequency Division Multiplexing



- Subcarriers are orthogonal: At the sub-carrier frequency, the sampled value has zero leakage from other subcarriers.
- Subcarrier separation can be very small, for  $N$  subcarriers and bandwidth  $B$ :

$$\Delta f = \frac{B}{N}$$

# OFDM: Orthogonal Frequency Division Multiplexing



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- Subcarrier separation can be very small, for  $N$  subcarriers and bandwidth  $B$ :

$$\Delta f = \frac{B}{N}$$

How to Achieve This?

# OFDM: Orthogonal Frequency Division Multiplexing

Use DFT: Discrete Fourier Transform

$$\text{N-Point DFT: } X(f_i) = \frac{1}{N} \sum_{t=0}^{N-1} x(t) e^{-j \frac{2\pi f_i t}{N}}$$

$$\text{N-Point IDFT: } x(t) = \sum_{f_i=0}^{N-1} X(f_i) e^{j \frac{2\pi f_i t}{N}}$$

Send symbols in Frequency Domain

$X(f_i) = s[n] \rightarrow$  Compute and transmit  $x(t)$  using IDFT

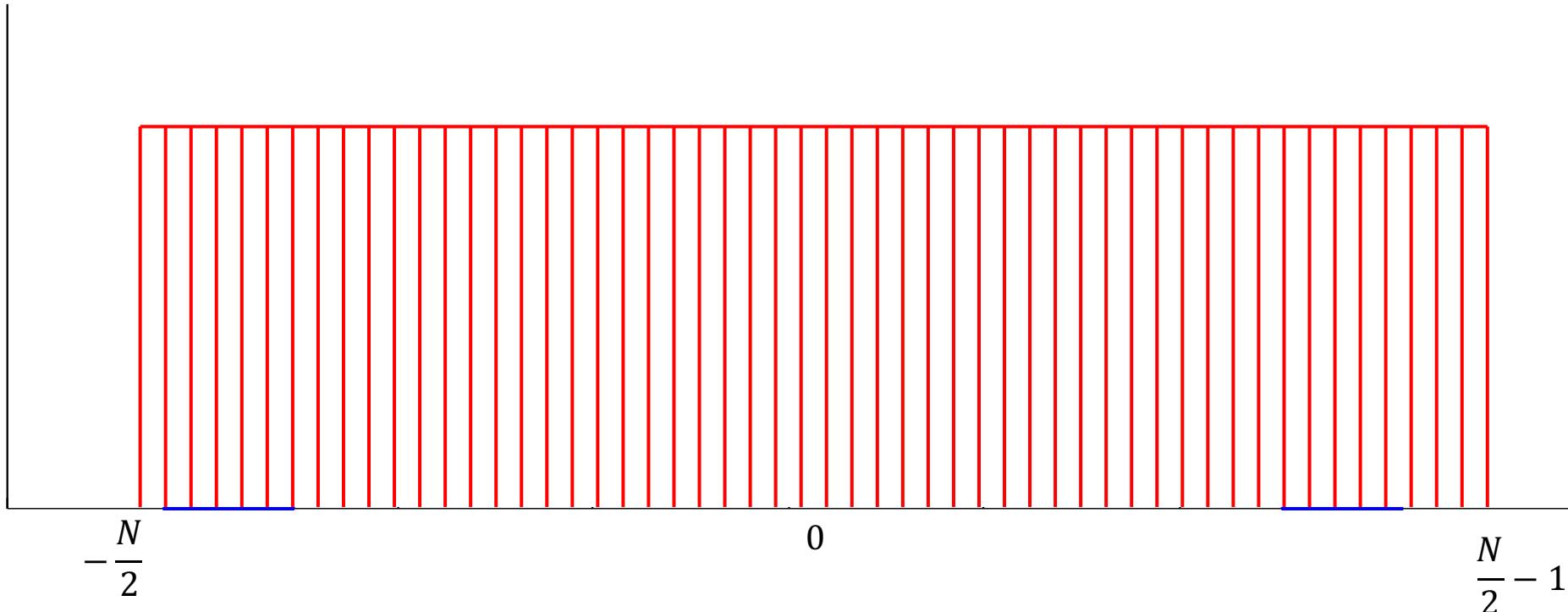
# OFDM: Orthogonal Frequency Division Multiplexing

## Send symbols in Frequency Domain

$X(f_i) = s[n] \rightarrow$  Compute and transmit  $x(t)$  using IDFT

- $N$  subcarrier  $\rightarrow$  IDFT of length  $N$
- Symbols  $s[n]$  can come from any modulation: BPSK, QPSK, QAM...
- $x(t)$  is complex  $\rightarrow$  need  $I$  &  $Q$   $\rightarrow$  No point using PAM or ASK ...
- OFDM Symbol:  $N$  samples of  $x(t)$  generated from the same modulated symbols using IDFT.
- OFDM Symbol Time:  $T = N/B$  where  $B$  is the bandwidth.
- OFDM Frequency Bin Width:  $\Delta f = 1/T = B/N$

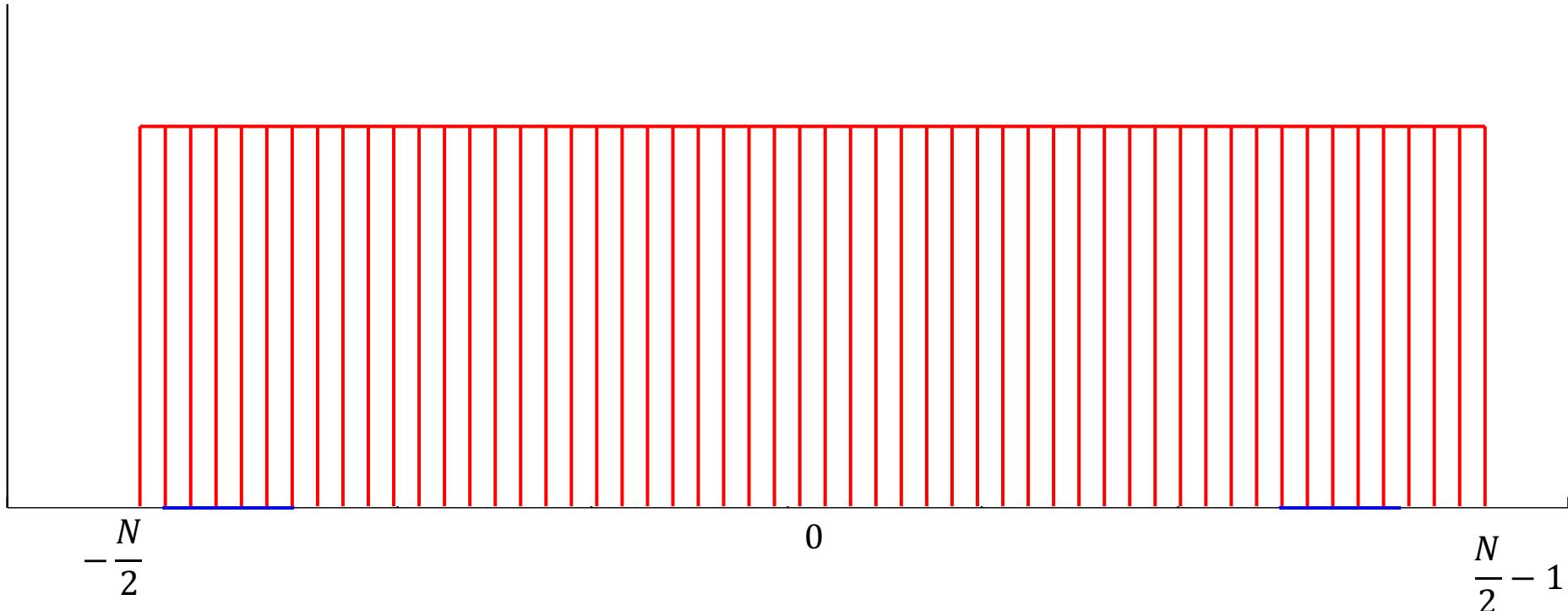
# OFDM Symbol in Frequency Domain



- FFT can be represented 0 to  $N - 1$  or  $N/2$  to  $N/2 - 1$ .
- OFDM Symbol created in digital baseband  $\rightarrow$  0 bin corresponds to DC

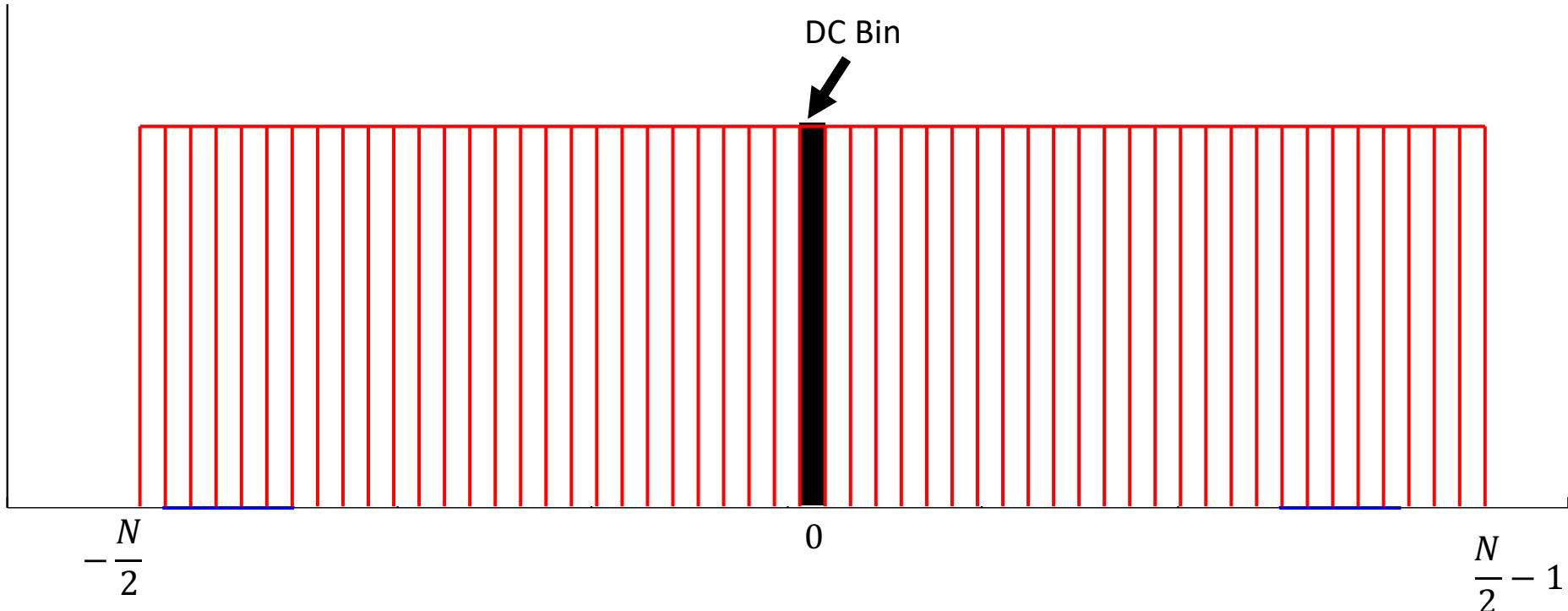
$$X(0) = \frac{1}{N} \sum_{t=0}^{N-1} x(t) e^{-j \frac{2\pi 0 t}{N}} = \frac{1}{N} \sum_{t=0}^{N-1} x(t) = DC$$

# OFDM Symbol in Frequency Domain



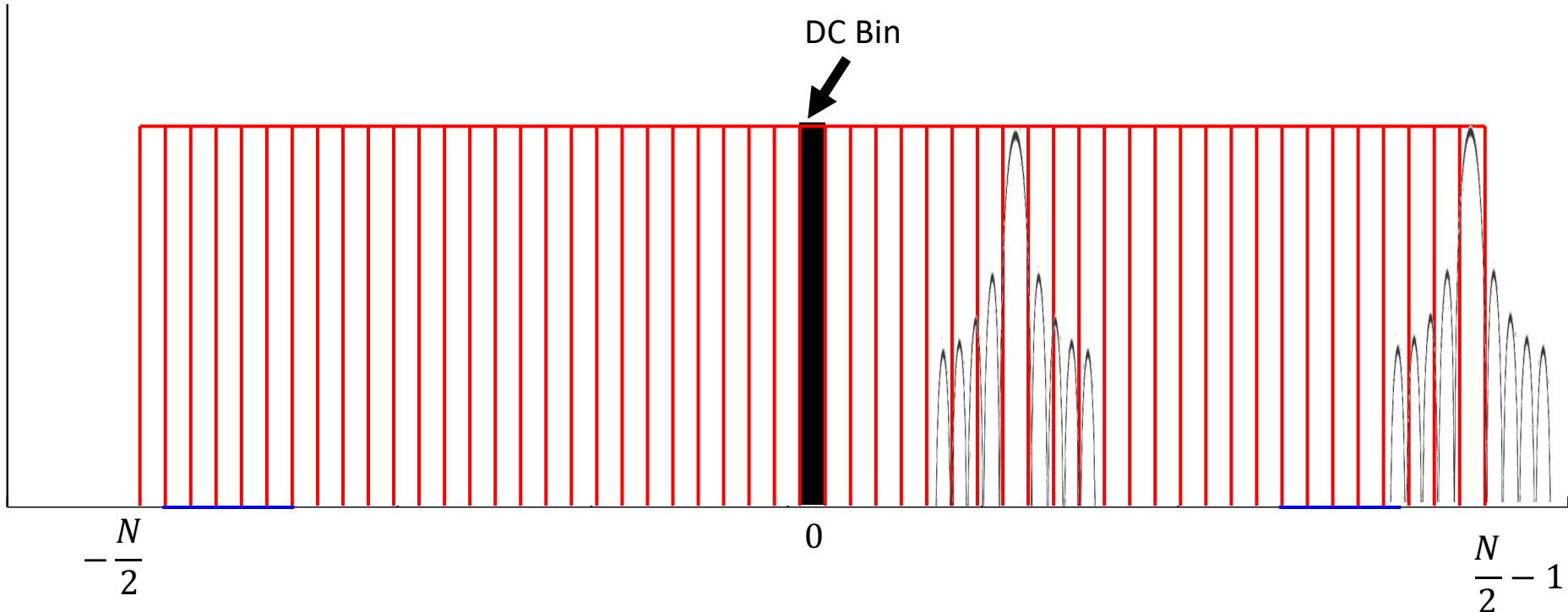
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- DC of the circuits corrupts bits sent on the 0 bin  $\rightarrow$  Do not use 0 bin

# OFDM Symbol in Frequency Domain



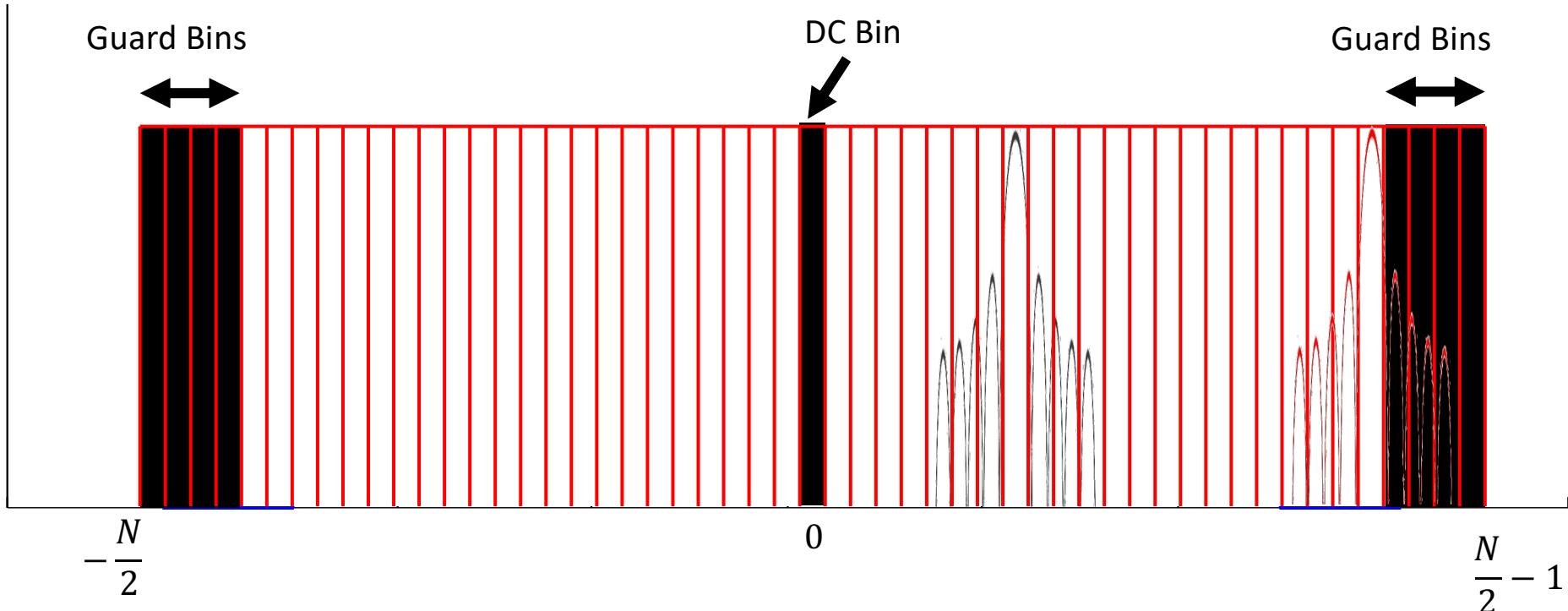
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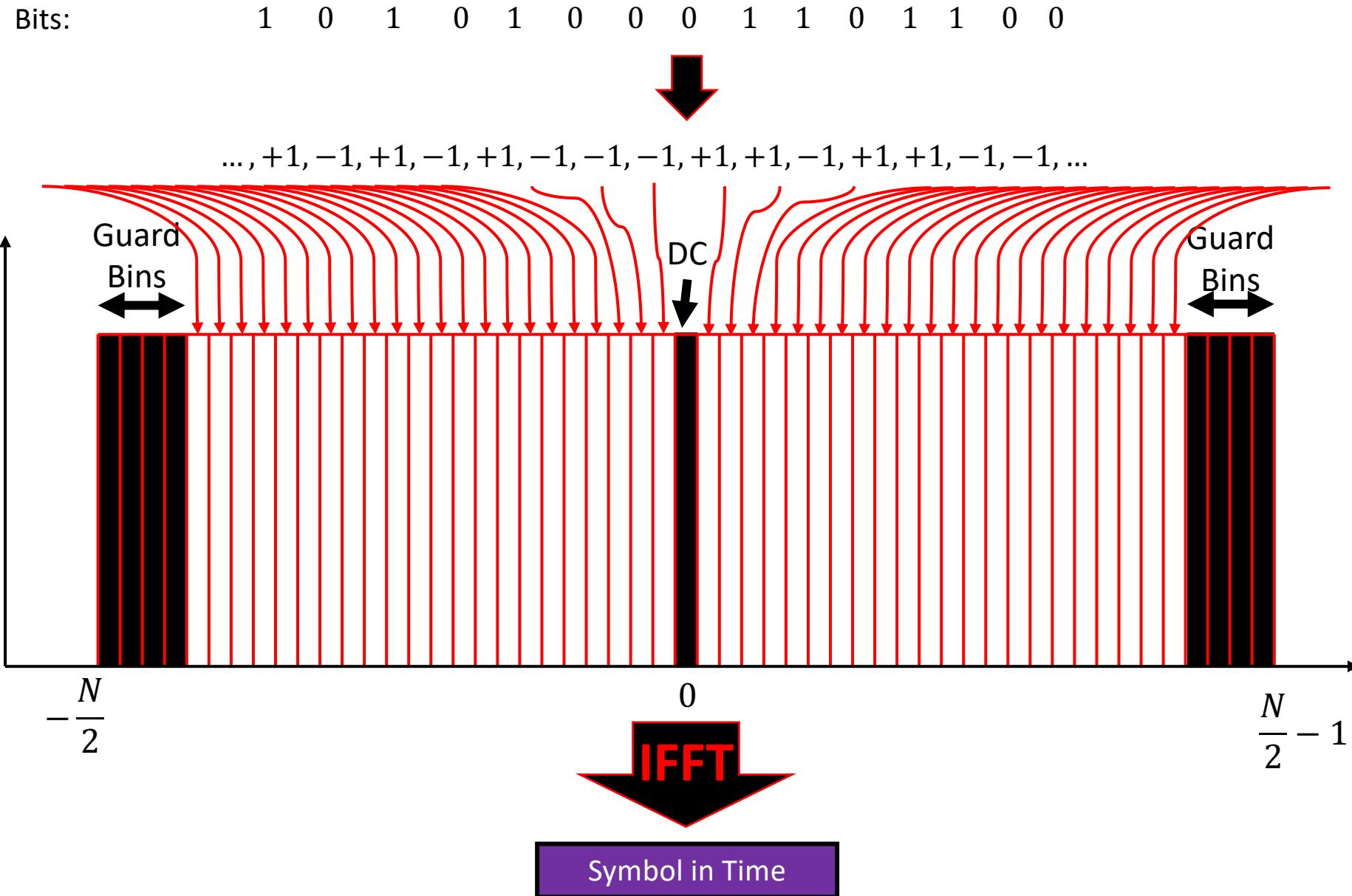
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- Need Guard Bins at sides of the channel → Transmit nothing there

# OFDM Symbol in Frequency Domain

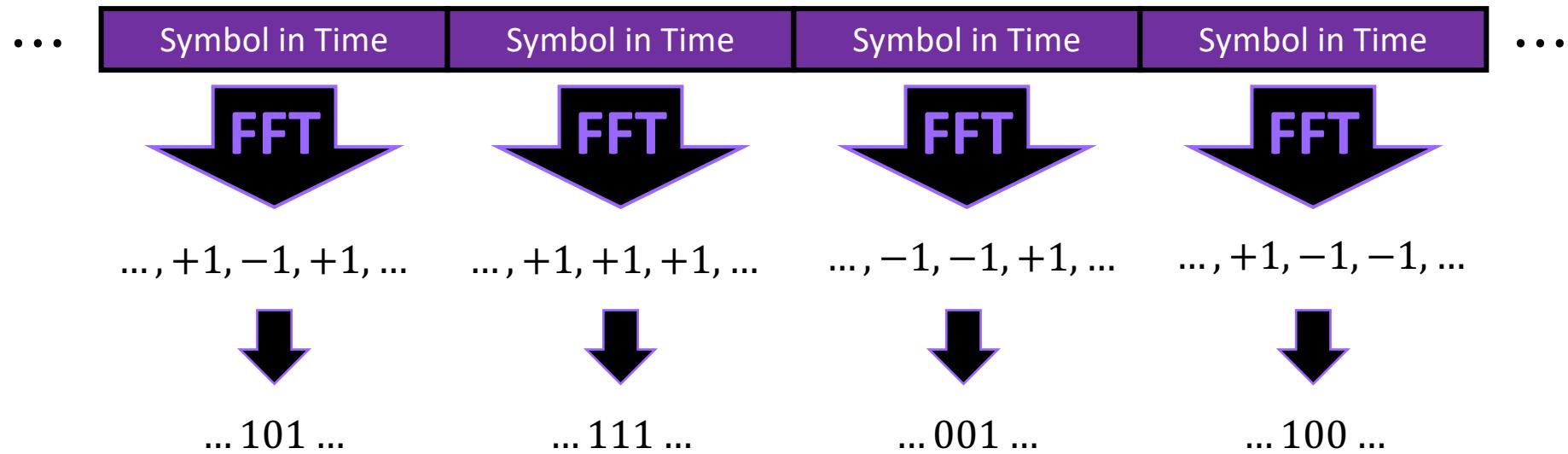


- Subcarriers orthogonal to each other but not to near by channels.
- Need Guard Bins at sides of the channel → Transmit nothing there
- Reduce Number of Guard band from  $N$  to 2 → Very Spectrally Efficient

# OFDM Symbol

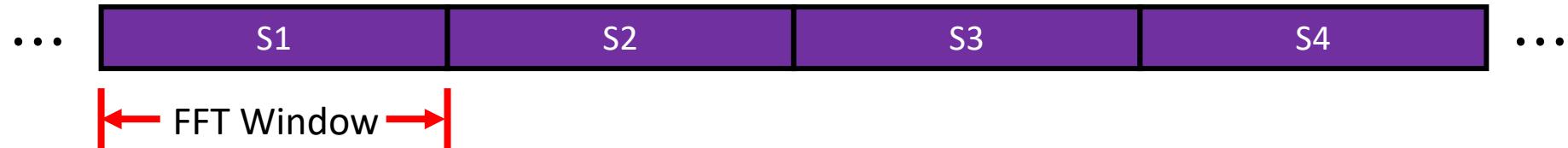


# OFDM Symbol



Not That Simple

# OFDM Symbol

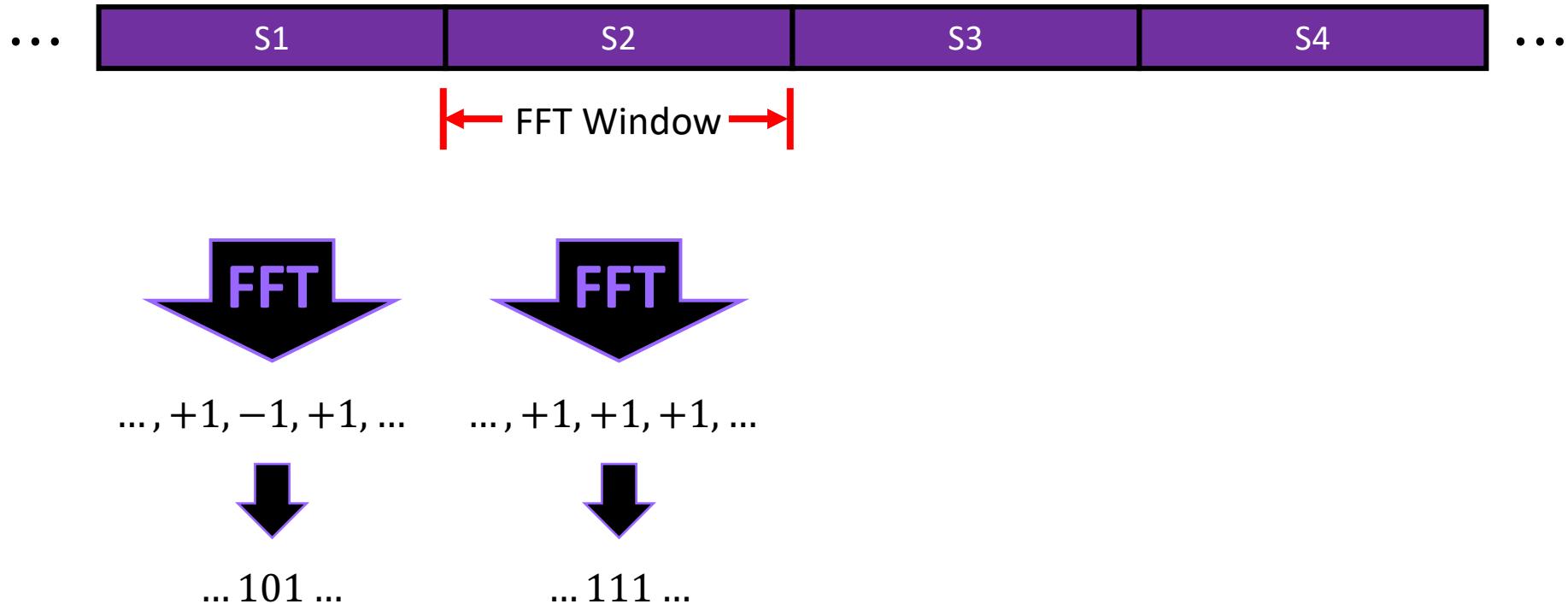


$\dots, +1, -1, +1, \dots$

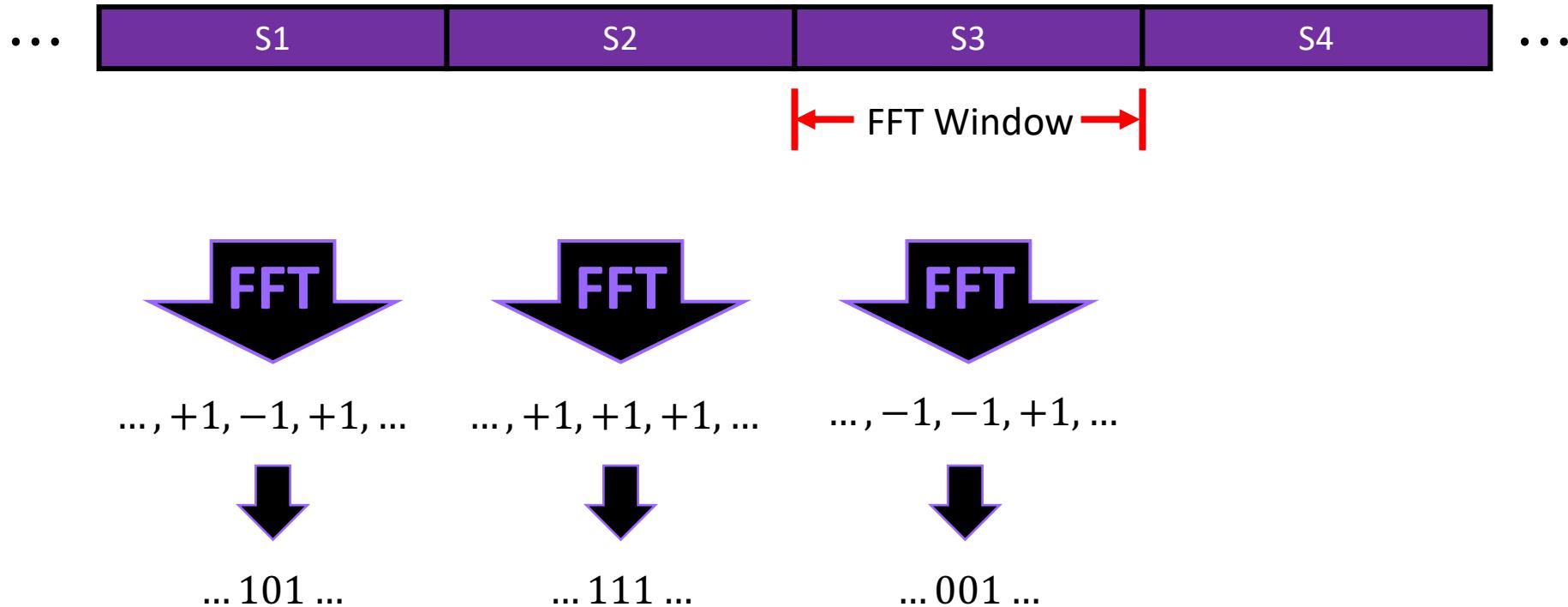


$\dots 101 \dots$

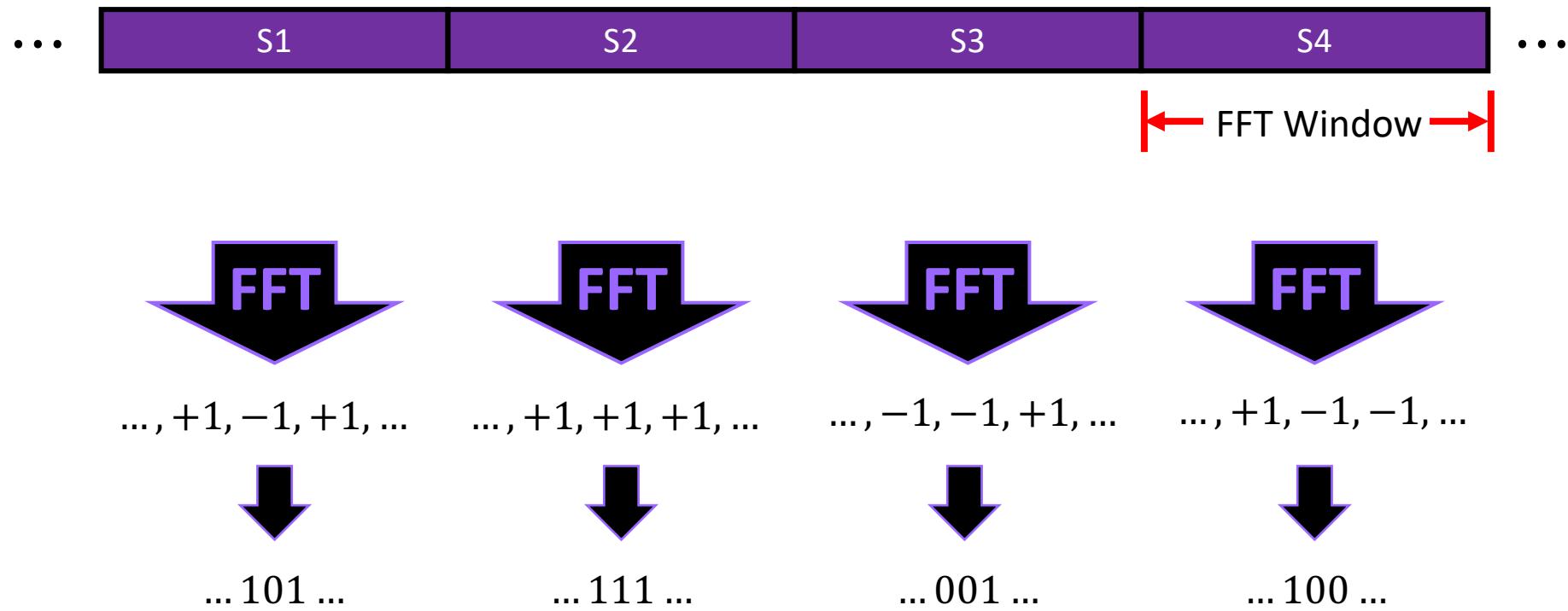
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# OFDM Symbol

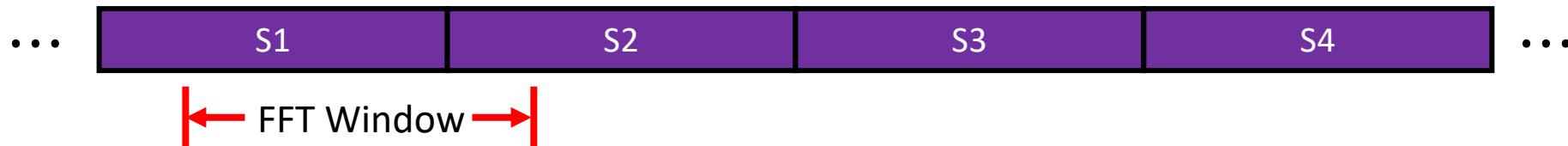


# OFDM Symbol



Assumes FFT window is perfectly aligned with symbol boundaries

# OFDM Symbol



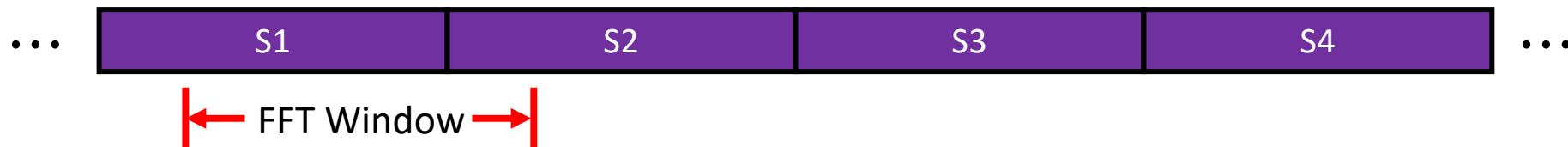
$\dots, +0.5 + 1i, -0.7 + 0.3i, \dots$

**✗ Cannot decode!**

FFT window is misaligned with symbol

Subcarriers are no longer orthogonal.

# OFDM Cyclic Prefix

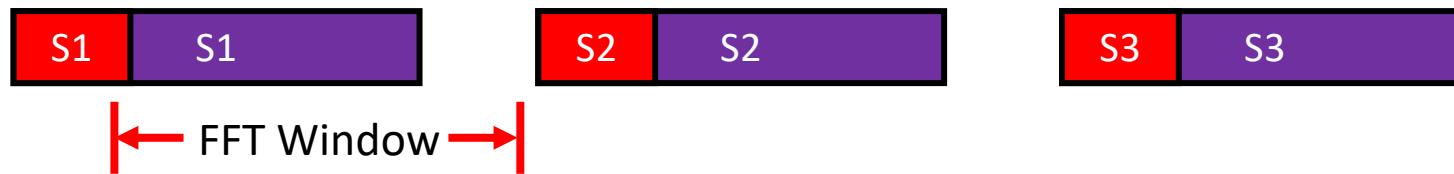


- DFT (FFT) assumes time samples are periodic of period  $N$
- Circular Shift before taking FFT:

$$x[t] \rightarrow X[f]$$

$$x[t - \tau \bmod N] \rightarrow X[f]e^{-j\frac{2\pi f \tau}{N}}$$

# OFDM Cyclic Prefix

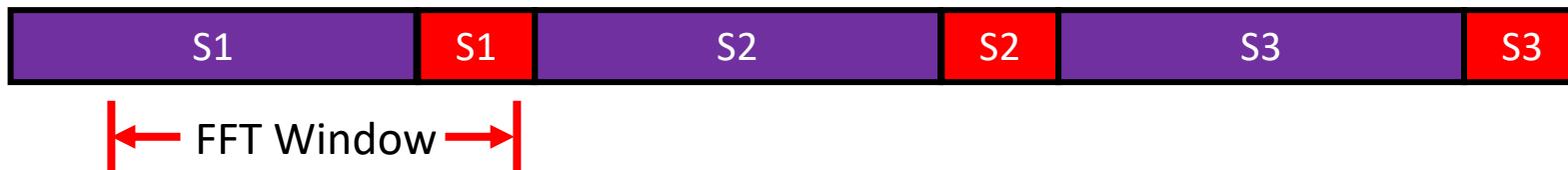


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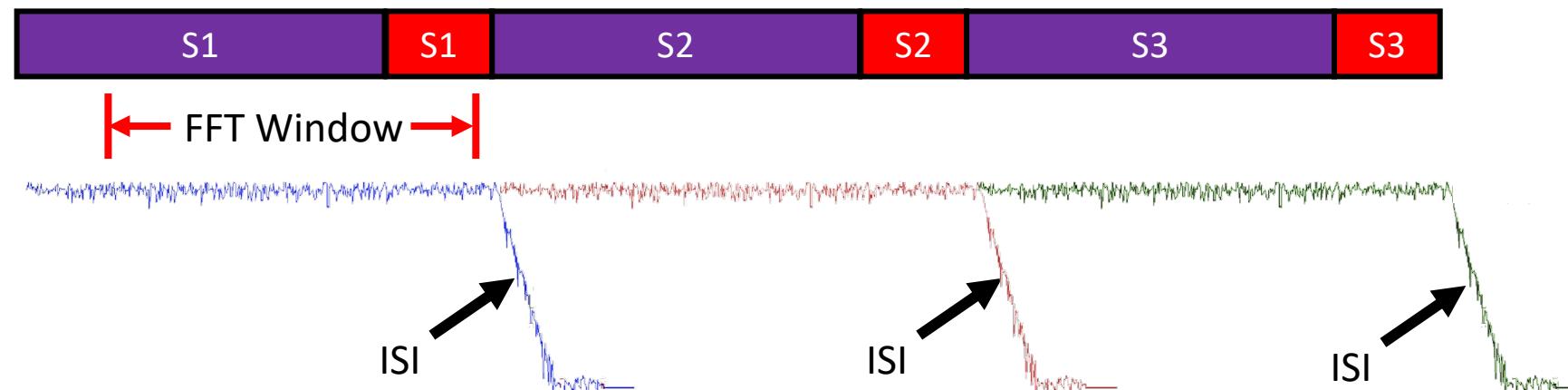


- Even if FFT window is misaligned, CP ensures that all samples come from the same symbol → Orthogonality is preserved!
- Cyclic Prefix can be created by:
  - Take first few samples and append them to end of symbol.
  - Take last few samples and prefix them to beginning of symbol.
- Simple Phase Shift → Can be corrected by lumping with channel  $H[f]$

# OFDM Cyclic Prefix

## Cyclic Prefix:

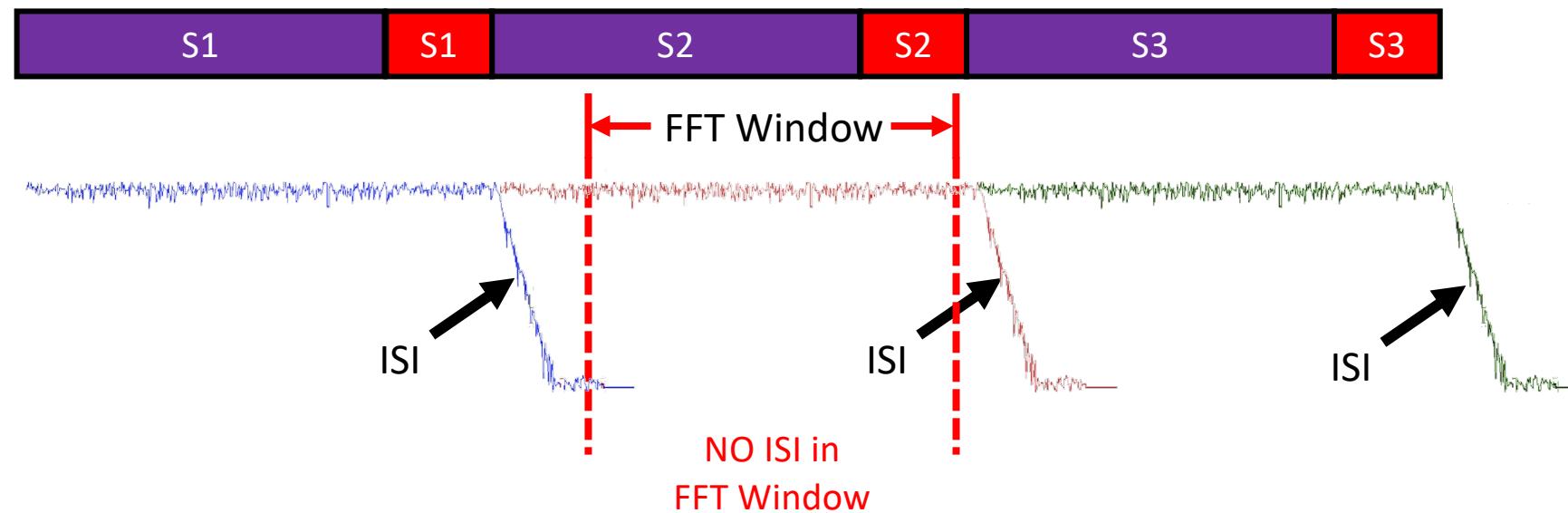
- Preserves orthogonality by allowing some misalignment in FFT Window
- Deals with Inter-Symbol-Interference



# OFDM Cyclic Prefix

## Cyclic Prefix:

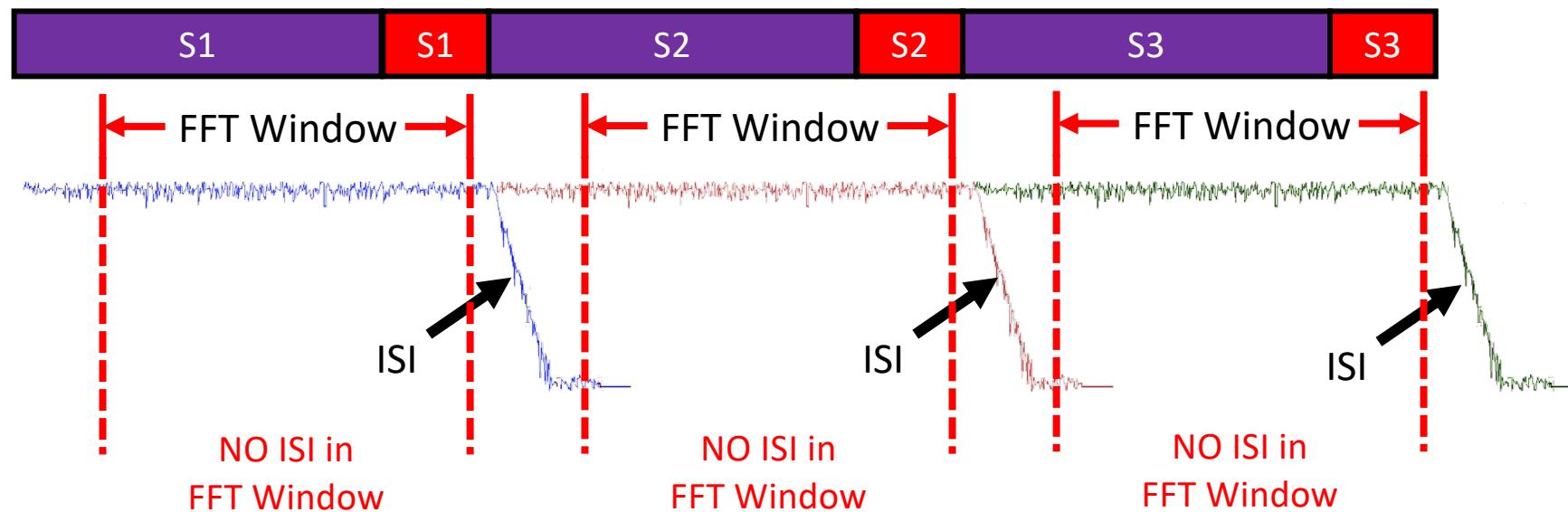
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# OFDM Cyclic Prefix

Cyclic Prefix:

- Preserves orthogonality by allowing some misalignment in FFT Window
- Deals with Inter-Symbol-Interference



# OFDM Cyclic Prefix

Cyclic Prefix:

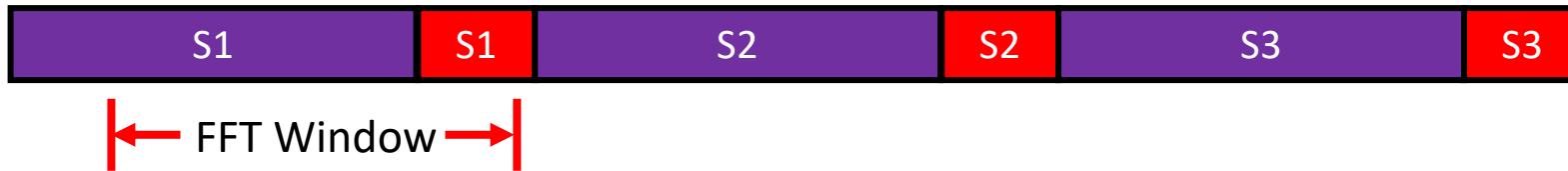
- + Preserves orthogonality by allowing some misalignment in FFT Window
- + Deals with Inter-Symbol-Interference
- Overhead: Send  $CP + N$  samples for every  $N$  samples

$$\text{Overhead} = \frac{CP}{CP + N}$$

e. g. WiFi 802.11n:  $N = 64$ ,  $CP = 16 \rightarrow \text{Overhead} = 20\%$

e. g. LTE:  $N = 1024$ ,  $CP = 72 \rightarrow \text{Overhead} = 6.5\%$

# OFDM Cyclic Prefix



- Cyclic prefix is not a bullet proof solution.
- Can still end up misaligned!
- Need a way to ensure we detect the beginning of the packet correctly.
- If we do, CP will ensure that even if we are not accurate, we can still decode.

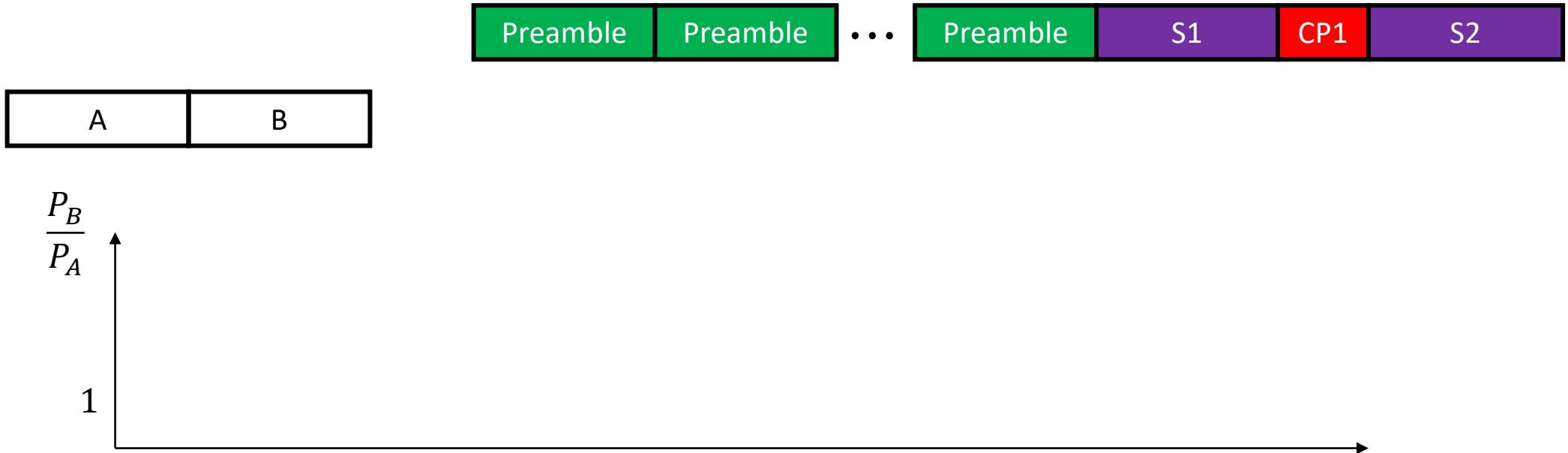
# OFDM Packet Detection

- Detect Beginning of packet to make sure we are within the CP
- Send Training Sequence: Preamble Symbols
- Preamble Symbols: Known Symbol Repeated at the beginning of packet



- No need for CP with preamble symbols

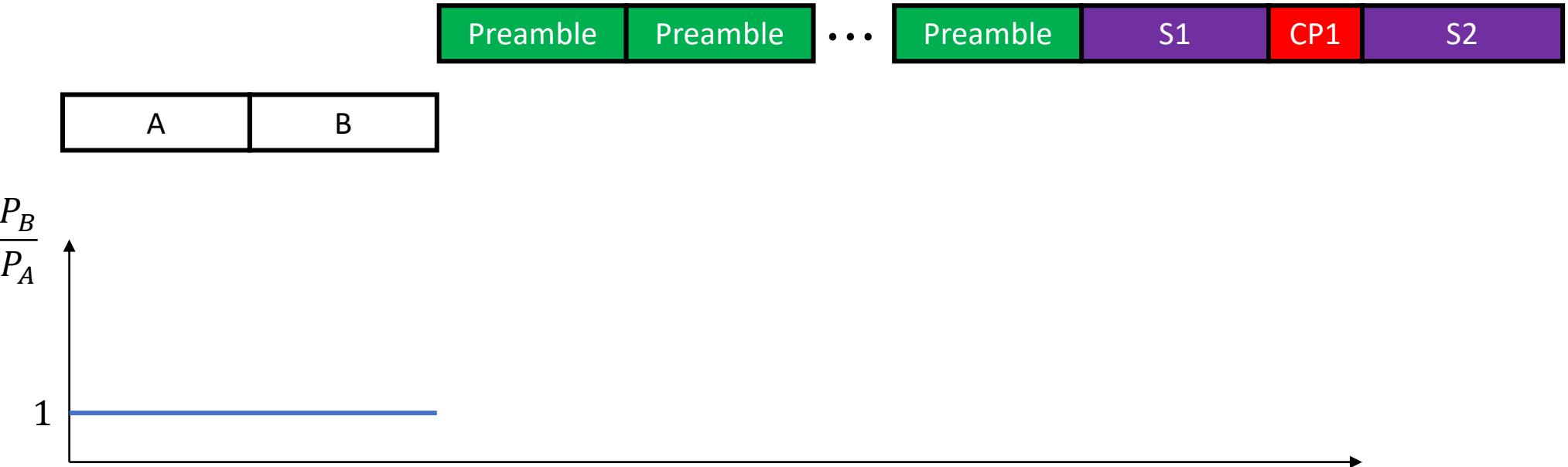
# OFDM Packet Detection: Sliding Window



- Two windows of  $L$  ( $2N$ ) samples each.

- Compute: 
$$\frac{P_B}{P_A} = \frac{\sum_{k=t+L}^{t+2L} |y[k]|^2}{\sum_{k=t}^{t+L} |y[k]|^2}$$

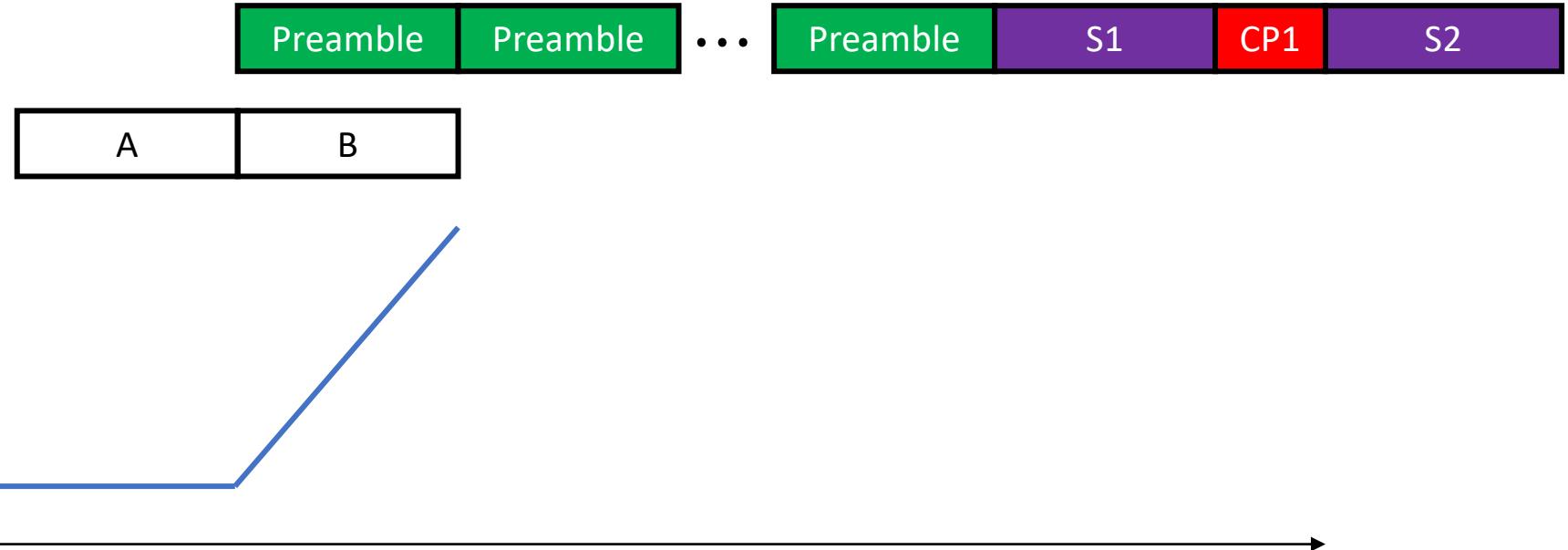
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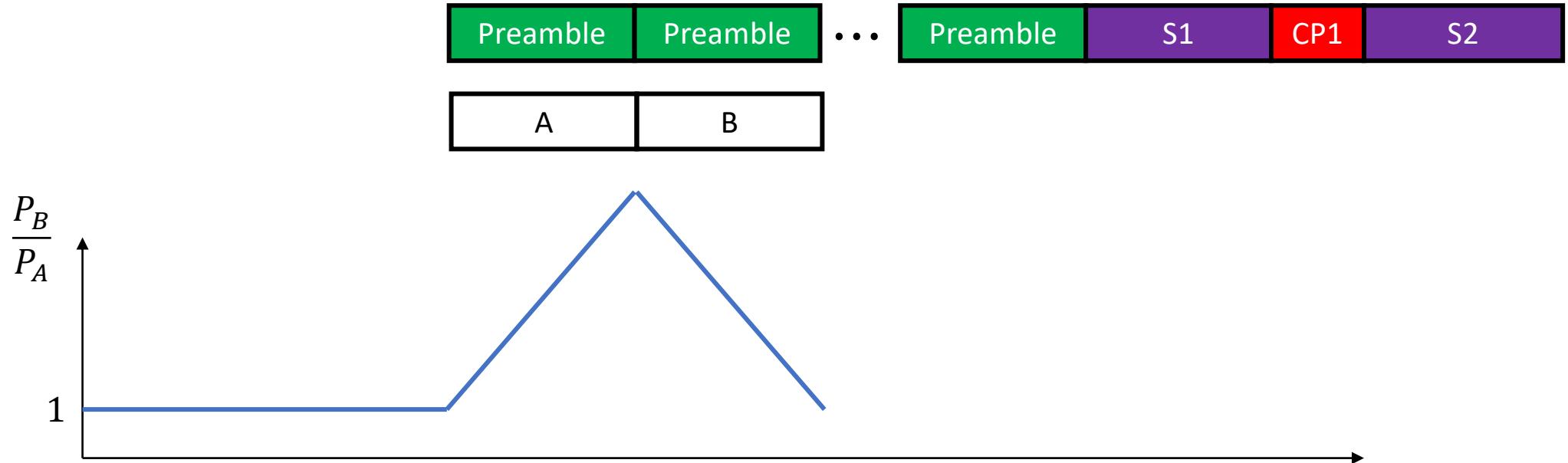
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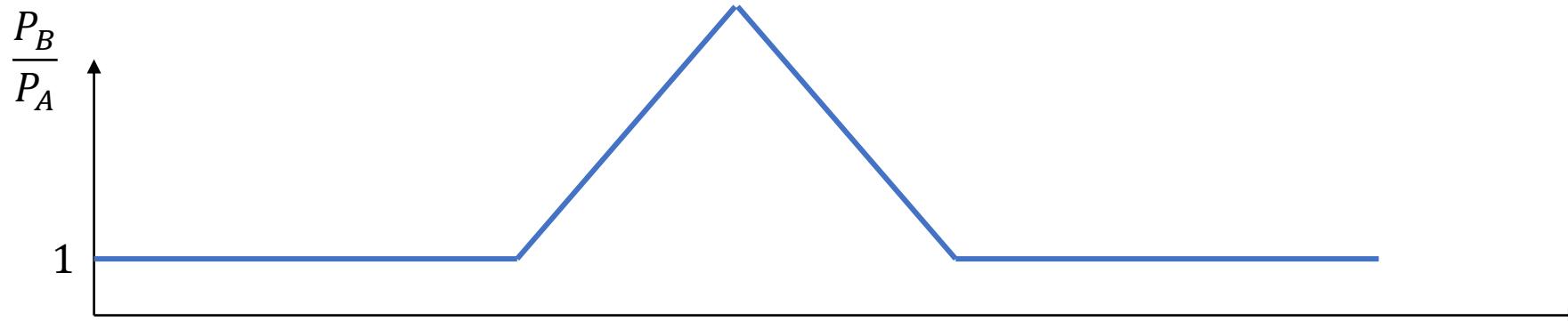
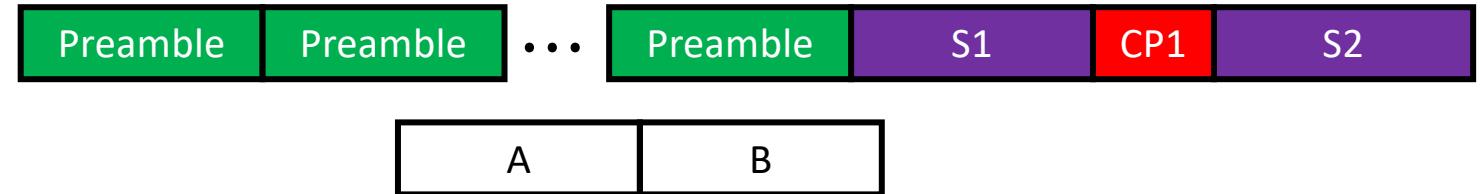
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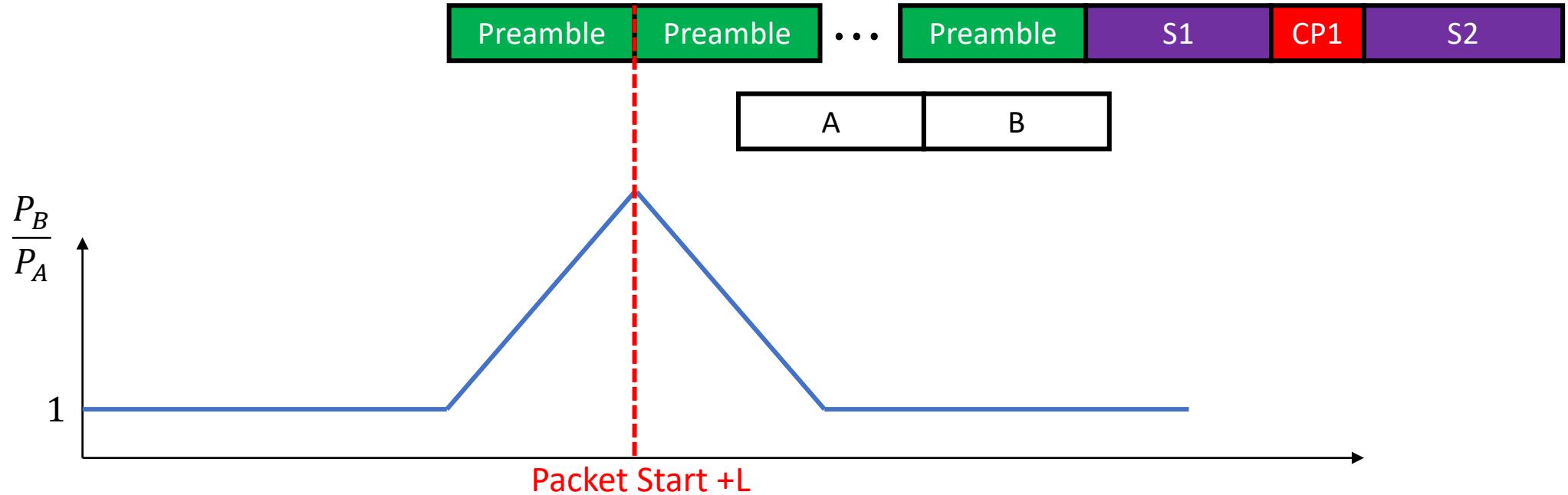
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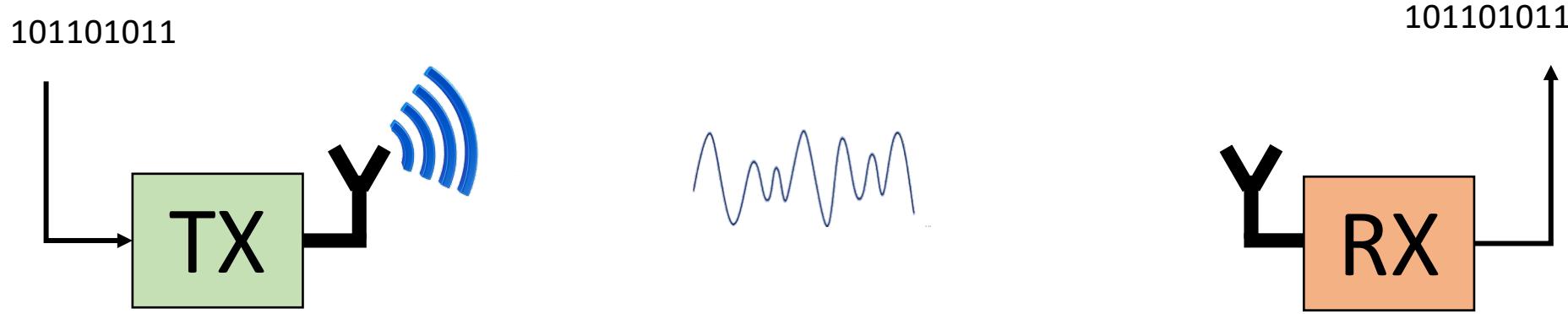
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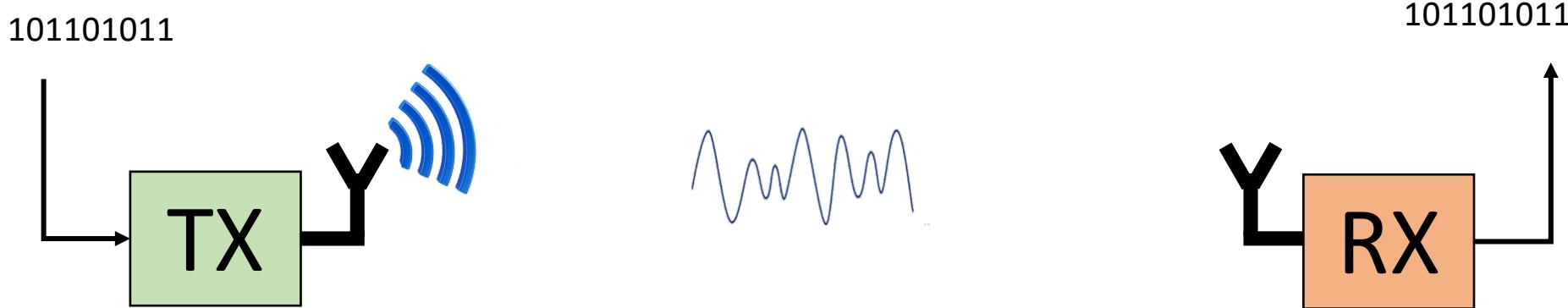
# Carrier Frequency Offset



$$\begin{aligned} x(t) \rightarrow & x(t) \times e^{-j2\pi f_c t} \rightarrow h(t) * x(t) e^{-j2\pi f_c t} \rightarrow h(t) * x(t) e^{-j2\pi f_c t} \times e^{j2\pi f_c t} \\ & \rightarrow h(t) * x(t) \\ & \rightarrow y(t) = h(t) * x(t) + v(t) \end{aligned}$$

Assumes TX & RX perfectly synched

# Carrier Frequency Offset



$$x(t) \rightarrow x(t) \times e^{-j2\pi f_c t} \rightarrow h(t) * x(t) e^{-j2\pi f_c t} \rightarrow h(t) * x(t) e^{-j2\pi f_c t} \times e^{j2\pi f'_c t}$$

TX & RX are not synched  $\rightarrow h(t) * x(t) e^{-j2\pi \Delta f_c t}$

CFO:  $\Delta f_c = f_c - f'_c$   $\rightarrow y(t) = h(t) * x(t) e^{-j2\pi \Delta f_c t} + v(t)$

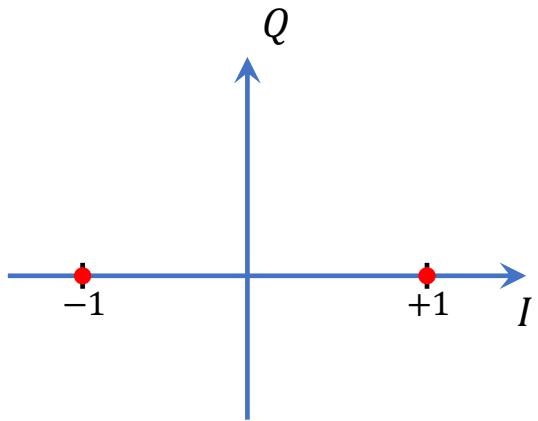
**Phase changes with time!**

# Carrier Frequency Offset

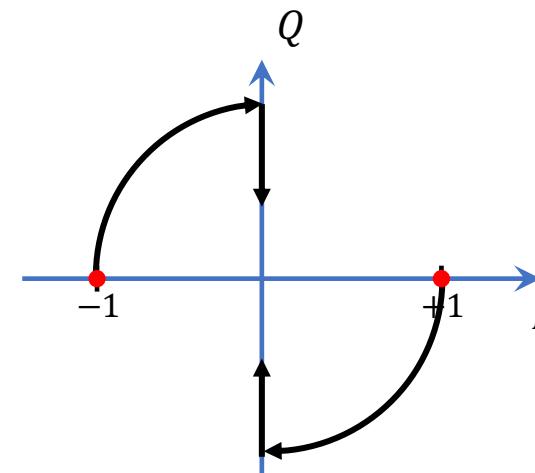
Consider BPSK Modulation.

$$0 \rightarrow -1$$

$$1 \rightarrow +1$$



$x(t)$



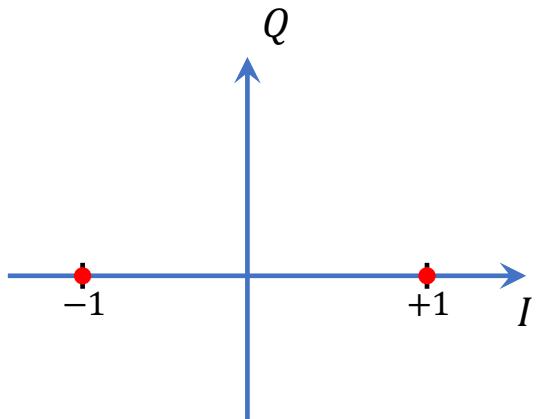
$h x(t - \tau) e^{-j2\pi\Delta f_c t} + v(t)$

# Carrier Frequency Offset

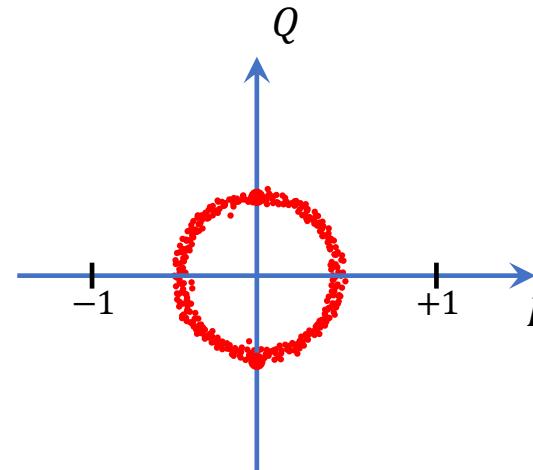
Consider BPSK Modulation.

$$0 \rightarrow -1$$

$$1 \rightarrow +1$$



$$x(t)$$

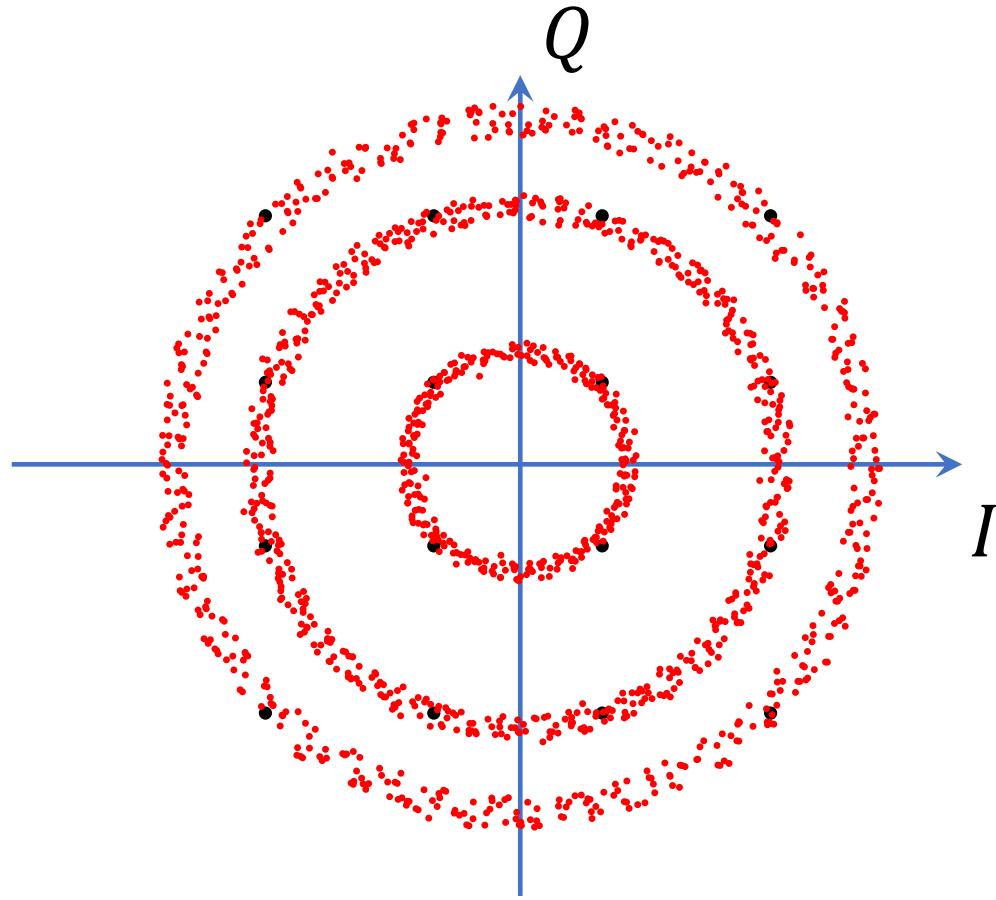


$$h x(t - \tau) e^{-j2\pi\Delta f_c t} + v(t)$$

Impossible to Decode!

# Carrier Frequency Offset

Consider 16 QAM Modulation



**Need to estimate and correct CFO to decode!**

# OFDM CFO Estimation & Correction



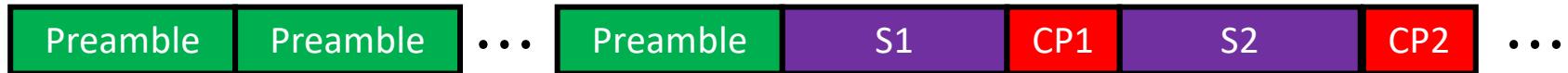
- Use Preamble to estimate CFO

$$y_1(t) = x(t)e^{-j2\pi\Delta f_c t}$$

Sample at  $t = nT_s$   
Symbol has  $N$  samples  $\rightarrow T = NT_s$

$$y_2(t) = x(t)e^{-j2\pi\Delta f_c(t+T)}$$

# OFDM CFO Estimation & Correction



- Use Preamble to estimate CFO

$$y_1[n] = x[n]e^{-j2\pi\Delta f_c n T_s}$$

Sample at  $t = nT_s$   
Symbol has  $N$  samples  $\rightarrow T = NT_s$

$$y_2[n] = x[n]e^{-j2\pi\Delta f_c (nT_s + NT_s)}$$

- Compute:  $A = \sum_{n=1}^N y_1[n]y_2^*[n] = \sum_{n=1}^N x[n]x^*[n]e^{j2\pi\Delta f_c NT_s}$

$$= e^{j2\pi\Delta f_c NT_s} \sum_{n=1}^N |x[n]|^2 \quad \rightarrow \quad \Delta f_c = \frac{\angle A}{2\pi NT_s}$$

# OFDM CFO Estimation & Correction



- Use Preamble to estimate CFO

$$y_1[n] = x[n]e^{-j2\pi\Delta f_c n T_s}$$

$$y_2[n] = x[n]e^{-j2\pi\Delta f_c(n T_s + N T_s)}$$

- Compute:  $A = \sum_{n=1}^N y_1[n]y_2^*[n] \rightarrow \Delta f_c = \frac{\angle A}{2\pi N T_s}$

- Correct CFO:  $y[n] \times e^{j2\pi\Delta f_c n T_s}$