

PROBLEM 1.

$$\begin{aligned}
 \text{(a)} \quad I(X; Y) &= \sum_{x,y} p_{XY}(xy) \log \frac{p_{XY}(xy)}{p_X(x)p_Y(y)} \\
 &= \sum_{xy} p_X(x)W(y|x) \log \frac{W(y|x)}{p_Y(y)} \\
 &= \sum_x p_X(x)D(W_{Y|X=x} \| p_Y).
 \end{aligned}$$

(b) For any distribution  $q_Y$  on  $\mathcal{Y}$

$$\begin{aligned}
 \sum_x p_X(x)D(W_{Y|X=x} \| q_Y) - I(X; Y) &= \sum_{x,y} p_{XY}(x, y) \log \frac{p_Y(y)}{q_Y(y)} \\
 &= \sum_y p_Y(y) \log \frac{p_Y(y)}{q_Y(y)} \\
 &= D(p_Y \| q_Y) \\
 &\geq 0.
 \end{aligned}$$

We obtain the desired result as a special case when  $q_Y = W_{Y|X=x_0}$ .

(c) Using (b), and noting that  $D(W_{Y|X=x} \| W_{Y|X=x_0}) \leq C_1 b(x)$ , we find  $I(X; Y) \leq C_1 \sum_x p_X(x)b(x) = C_1 E[b(X)]$ .

(d) Noting that  $D(\cdot \| \cdot) \geq 0$ , we see that each term in the right hand side of (a) is a lower bound to  $I(X; Y)$ .

(e) For the given distribution note that  $p_Y = \delta W_{Y|X=x_1} + (1 - \delta)W_{Y|X=x_0}$ . Using (d) we can lower bound  $I(X; Y)$  by  $\delta D(W_{Y|X=x_1} \| p_Y)$ . Noting that  $E[b(X)] = \delta b(x_1)$  the result follows.

(f) By (c) we see that  $\sup_{p_X} I(X; Y)/E[b(X)] \leq C_1$ . Now choose  $x_1$  be the  $x$  that achieves the maximum that defines  $C_1$ , so that  $C_1 = D(W_{Y|X=x_1} \| W_{Y|X=x_0})/b(x_1)$ . Using (d) with  $\delta \rightarrow 0$  we see that the  $\sup_{p_X} I(X; Y)/E[b(X)] \geq C_1$ .

PROBLEM 2. (a) The constraints that define  $\mathcal{Y}$  fix  $k$  of the coordinates of  $y^n$ , allowing  $n - k$  coordinates to be free. Thus  $|\mathcal{Y}(f^n, s^n)| = 2^{n-k}$ .

(b) For each  $y^n \in \mathcal{Y}$  the probability that  $\text{read}(y^n) \neq w$  is  $1 - 2^{-nR}$ . Since these events are independent the probability of  $\text{read}(y^n) \neq w$  for all  $y^n \in \mathcal{Y}$  is  $(1 - 2^{-nR})^{|\mathcal{Y}|} = (1 - 2^{-nR})^{2^{n-k}}$ .

(c) Using (b) and upper bounding  $1 - 2^{-nR}$  by  $\exp(-2^{-nR})$  we see that the probability in (b) is upper bounded by  $\exp(-2^{n-k} 2^{-nR})$ . Noting that  $k = qn$  the result follows.

- (d) Given  $R < 1 - p$ , fix  $q_0$  such that  $p < q_0 < 1 - R$ . Let  $A$  be the event that for all  $y^n \in \mathcal{Y}(F^n, S^n)$ ,  $\text{read}(y^n) \neq w$ , and let  $B$  be the event at that  $K/n < q_0$ . We then have

$$\Pr(A) \leq \Pr(A \cap B) + \Pr(B^c) \leq \Pr(A|B) + \Pr(B^c).$$

By the law of large numbers  $K/n \rightarrow p$  as  $n$  gets large. Thus  $\Pr(B^c) \rightarrow 0$  since  $q_0 > p$ . Moreover, by (c),  $\Pr(A|B) \leq \exp(-2^{n(1-R-q_0)})$  which also approaches 0 as  $n$  gets large since  $q_0 < 1 - R$ . Consequently  $\Pr(A) \rightarrow 0$  as  $n$  gets large.

- (e) Given the randomly constructed  $\text{read}()$  as above, define  $\text{write}(w_n, f^n, s^n)$  as follows: if there is a  $y^n \in \mathcal{Y}(f^n, s^n)$  with  $\text{read}(y^n) = w$ , set  $\text{write}() = y^n$ , otherwise randomly choose  $\text{write}()$ . Note that in the first case  $\hat{w}_n = w_n$ . Thus  $\Pr(\hat{W}_n \neq W_n)$  is upper bounded by the probability we found in (d), which can be made less than  $\epsilon$  by choosing  $n$  large enough.
- (f) No. Even if  $f^n$  we revealed to *both* the reader and writer there are only  $n - K$  memory locations that they can use to store data. For  $R > 1 - p$ , by the law of large numbers  $nR \leq n - K$  is a small probability event, so there is a small probability that  $nR$  bits of data can be stored in  $n - K$  locations.

PROBLEM 3. (a) Blocklength of  $\text{enc}$  is  $2n$ . Also,  $\text{enc}$  encodes  $k_1 + k_2$  bits of information to  $2n$  channel symbols, so  $R = (k_1 + k_2)/2n = (R_1 + R_2)/2$ .

- (b)  $w_H(x) = w_H(x_1) + w_H(x_1 + x_2)$ . By the triangle inequality  $w_H(x_1) + w_H(x_1 + x_2) \geq w_H(x_1 + x_1 + x_2) = w_H(x_2)$ .
- (c) If  $x_2 = 0$ , we clearly have  $w_H(x) = 2w_H(x_1)$ . Otherwise, by (b) we have  $w_H(x) \geq w_H(x_2)$ . In either case the claim  $w_H(x) \geq 2w_H(x_1)\mathbb{1}(x_2 = 0) + w_H(x_2)\mathbb{1}(x_2 \neq 0)$  holds.
- (d) Recall that for linear encoders the minimum distance is equal to the minimum weight. Note that the codewords of  $\text{enc}$  are of the form  $x$  above with  $x_i$  a codeword of  $\text{enc}_i$  for  $i = 1, 2$ . A non-zero codeword  $x$  of  $\text{enc}$  must that either  $x_1 \neq 0$  or  $x_2 \neq 0$ . Thus by (c), we see that the minimum weight codeword of  $\text{enc}$  has weight at least  $\min\{2d_1, d_2\}$ , and thus  $d \geq \min\{2d_1, d_2\}$ . Moreover, with  $x_1$  a minimum weight codeword of  $\text{enc}_1$  and  $x_2$  a minimum weight codeword of  $\text{enc}_2$ , observe that both  $[x_1, x_1]$  and  $[0, x_2]$  are non-zero codewords of  $\text{enc}$ , thus  $d \leq \min\{2d_1, d_2\}$ .
- (e) The encoder that corresponds to generator  $M_i$  takes one bit and repeats it  $2^i$  times. Thus it is of rate  $1/2^i$  and has minimum distance  $2^i$ . Consequently,  $n_i$ ,  $R_i$  and  $d_i$  satisfy:  $n_{i+1} = 2n_i$ ,  $R_{i+1} = (R_i + 2^{-i})/2$  and  $d_{i+1} = \min\{2d_i, 2^i\}$ , starting with  $n_1 = 2$ ,  $R_1 = 1$ ,  $d_1 = 1$ . We thus see that  $n_i = 2^i$ ,  $d_i = 2^{i-1}$ , and  $R_i = (i + 1)/2^i$ .

PROBLEM 4. (a) Suppose a scheme that achieves  $(R, D)$  with  $R < R(D)$ . The same scheme must achieve  $R$  and  $E[\log d(X^n, Y^n)] \leq \log D$ . Since  $\log d(X^n, Y^n)$  is an additive distortion, we know from the standard converse that  $R \geq R(D)$ . Hence, it is a contradiction and  $R \geq R(D)$  must hold.

- (b) Let  $\tilde{R}(D) := \inf_{p_{y|x}: E[\log d(X, Y)] \leq D} I(X; Y)$ . We know  $\tilde{R}(D)$  is convex and  $R(D) = \tilde{R}(\log(D))$ . Hence,  $R(\lambda D_1 + (1 - \lambda)D_2) = \tilde{R}(\log(\lambda D_1 + (1 - \lambda)D_2)) \stackrel{(*)}{\leq} \tilde{R}(\lambda \log(D_1) + \log((1 - \lambda)D_2)) \stackrel{(**)}{\leq} \lambda R(D_1) + (1 - \lambda)R(D_2)$ .  $(*)$  follows from concavity of  $\log(\cdot)$  and due to the fact that  $\tilde{R}(\cdot)$  is non-increasing.  $(**)$  follows from convexity of  $\tilde{R}(D)$ .

- (c) Since  $\sum_i \mathbb{1}(x_i = x, y_i = y) \leq np_{XY}(x, y)(1 + \epsilon)$  for every  $\epsilon$ -typical  $(x^n, y^n)$  and  $d(x, y) \geq 1$  for all  $(x, y)$ ,  $d(x^n, y^n) = \prod_i d(x_i, y_i)^{\frac{1}{n}} \leq \prod_{x,y} d(x, y)^{p_{XY}(x,y)(1+\epsilon)} = \exp(E[\log d(X, Y)](1 + \epsilon)) = D^{1+\epsilon}$  for every  $\epsilon$ -typical  $(x^n, y^n)$ .
- (d)  $E[d(X^n, Y^n)] = E[d(X^n, Y^n)|(X^n, Y^n) \text{ is not } \epsilon\text{-typical}] \Pr((X^n, Y^n) \text{ is not } \epsilon\text{-typical})$   
 $+ E[d(X^n, Y^n)|(X^n, Y^n) \text{ is } \epsilon\text{-typical}] \Pr((X^n, Y^n) \text{ is } \epsilon\text{-typical})$   
 $\leq d_{\max} \Pr((X^n, Y^n) \text{ is not } \epsilon\text{-typical}) + E[d(X^n, Y^n)|(X^n, Y^n) \text{ is } \epsilon\text{-typical}]$

From the course, we know  $\epsilon' := d_{\max} \Pr((X^n, Y^n) \text{ is not } \epsilon\text{-typical}) \rightarrow 0$  if  $R > R(D)$ . Part (c) implies  $E[d(X^n, Y^n)|(X^n, Y^n) \text{ is } \epsilon\text{-typical}] \leq D^{1+\epsilon}$ . Hence,  $E[d(X^n, Y^n)] \leq \epsilon' + D^{1+\epsilon}$ .