

ÉCOLE POLYTECHNIQUE FÉDÉRALE DE LAUSANNE

School of Computer and Communication Sciences

**Handout 35**

Final exam

Principles of Digital Communications

June 21, 2022

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4 problems, 18 sub-problems each worth 4 points.

180 minutes

2 sheet (4 pages) of notes allowed.

Good Luck!

PLEASE WRITE YOUR NAME ON EACH SHEET OF YOUR ANSWERS.

PLEASE WRITE THE SOLUTION OF EACH PROBLEM ON A SEPARATE SHEET.

PROBLEM 1. Answer to the following question by true or false with a justification

- (a) In a binary hypothesis testing setup with equally likely hypotheses we have designed decision regions  $R_0$  and  $R_1$  using the MAP rule. We find that  $P(R_0|H_1) = P(R_1|H_0)$ . Suppose now the hypotheses are not equally likely. Is the error probability of the new MAP rule higher than the equally likely case?
- (b) In a  $m$ -ary hypothesis testing setup let  $p_i(y)$  denote the distribution of the observation  $Y$  under hypothesis  $i$  ( $i \in \{0, 1, \dots, m-1\}$ ). Let  $L_i(y) = \frac{p_i(y)}{p_{i-1}(y)}$  for  $i \in \{1, \dots, m-1\}$ . Is  $[L_1(Y), L_2(Y), \dots, L_{m-1}(Y)]^T$  a sufficient statistic?
- (c) Suppose a communication system designed for  $m$  equally likely messages, with waveform set  $w_0, \dots, w_{m-1}$ , and used over an AWGN channel of power spectral density  $N_0/2$ . The receiver uses the MAP rule. We are given the value of  $N_0$ , and the  $m \times m$  matrix  $A$  with entries  $A_{ij} = \langle w_i, w_j \rangle$ . Is this information sufficient to compute the error probability?
- (d) Suppose  $\psi(t)$  is a Nyquist pulse with parameter  $T$ , i.e., with  $\psi_F$  denoting the Fourier Transform of  $\psi$ ,  $\sum_n |\psi_F(f - \frac{n}{T})|^2 = T$ . Is  $\psi$  a Nyquist pulse with parameter  $2T$ ?

PROBLEM 2. Consider a communication system designed for an AWGN of power spectral density  $N_0/2$ , with two codewords  $c_0, c_1$ , both in  $\mathbb{R}^n$ , with  $c_0 = -c_1 = [A, \dots, A]^T$  for some  $A > 0$ .

(a) Assuming equally likely messages, what is the error probability  $P_e$  (in terms of  $A$ ,  $n$ ,  $N_0$ )?

We now use the communication system on a new AWGN of power spectral density is  $N'_0/2$ , where  $N'_0 = 4N_0$ .

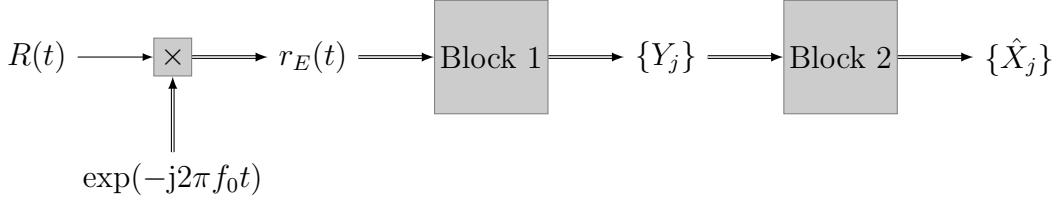
(b) We are allowed to change  $A$  to a new value  $A'$  but not allowed to change  $n$ . How should we choose  $A'$  to ensure that the error probability is not changed?

(c) Same as (b), but this time we are allowed to change  $n$  to a new value  $n'$  but not allowed to change  $A$ . How should we choose  $n'$  to ensure that the error probability is not changed.

(d) Suppose that the  $P_e$  found in (a) is very small. Suppose  $N_0$  has been changed to  $\tilde{N}_0 = N_0/4$ . ( $n$  and  $A$  are not changed). Give a simple good estimate of the new error probability  $\tilde{P}_e$  in terms of  $P_e$ .

[Hint:  $Q(x) \approx \exp(-x^2/2)$  for large  $x > 0$ ]

PROBLEM 3. Consider a 4-PSK passband communication system designed with the ‘standard method’: the transmitter accepts a sequence of i.i.d. and equally likely data symbols  $\{X_j\}$  with  $X_j$  in  $\{+\sqrt{\mathcal{E}_s}, -\sqrt{\mathcal{E}_s}, j\sqrt{\mathcal{E}_s}, -j\sqrt{\mathcal{E}_s}\}$  and produces a complex baseband waveform  $w_E(t) = \sum_j X_j \psi(t - j)$ , where  $\psi$  is a unit norm pulse orthogonal to its integer shifts, and has bandwidth  $B$ , (that is,  $\psi_F(f) = 0$  for  $|f| > B$ ).  $w_E(t)$  is converted to a passband signal  $w(t) = \sqrt{2} \operatorname{Re}\{w_E(t) \exp(j2\pi f_0 t)\}$  with  $f_0 > B$ , which is transmitted over an AWGN of power spectral density  $N_0/2$ .



(a) How does the receiver form  $\{Y_j\}$  from the down-converted waveform  $r_E(t)$ ? I.e., explain what should happen in Block 1, and what should happen in Block 2. Sketch or describe the MAP decision regions that estimate  $\hat{X}_j$  from  $Y_j$ .

[Hint: consider using a matched filter]

Unfortunately there is a phase shift of  $\theta$  in  $[-\pi, \pi]$  in receiver’s oscillator so it forms  $r_E(t) = \sqrt{2}R(t) \exp(-j(2\pi f_0 t + \theta))$ , instead of the  $\sqrt{2}R(t) \exp(-j2\pi f_0 t)$ . The receiver is unaware of this phase shift and Block 1 and Block 2 do not take  $\theta$  into account

(b) What is the error probability of the system (as designed in (a)) if  $|\theta| \leq \frac{\pi}{4}$ .  
 (c) How would you expect the system to perform if  $|\theta| > \pi/4$ ?  
 (d) What is the minimum possible value of  $B$ ? What is the corresponding pulse shape  $\psi$  with  $\psi(0) = 1$ ?

PROBLEM 4. Consider a communication system where the message  $i$  in  $\{0, \dots, m-1\}$  is transmitted as a vector  $c_i$  in  $\{+1, -1\}^n$ . The transmitted vector  $c$  is received as  $Y = c + Z$  where  $Z$  in  $\mathbb{R}^n$  has *i.i.d.* components  $Z_1, \dots, Z_n$  with pdf  $f_{Z_1}(z_1) = \exp(-|z_1|/\sigma)/(2\sigma)$ , this is known as a Lapacian random variable.

- (a) Assuming equally likely messages, find the optimal decision rule to be employed at the receiver.
- (b) Noting that  $|u - v| = |u| + |v| - 2 \min(|u|, |v|) \mathbb{1}(uv > 0)$  show that the rule in (a) can be implemented as

$$\operatorname{argmax}_i \sum_j \min(1, |y_j|) \mathbb{1}(c_{ij} y_j > 0).$$

- (c) Noting that  $\frac{1}{2\sigma} \int_{-\infty}^{\infty} \exp\left(-\frac{|y-1|+|y+1|}{2\sigma}\right) dy = \left(1 + \frac{1}{\sigma}\right) \exp\left(-\frac{1}{\sigma}\right) =: g(\sigma)$ , use the Union-Bhattacharyya bound to show that the error probability is upper bounded by

$$\Pr(\text{error}|i) \leq \sum_{\ell: i \neq \ell} g(\sigma)^{d_H(c_i, c_\ell)}$$

where  $d_H(a, b)$  is the number of places the vectors  $a$  and  $b$  differ.

- (d) Consider now the rate 1/2 convolutional code with  $x_{2j} = b_j$ ,  $x_{2j-1} = b_j b_{j-1}$  for input bits  $b_i$  in  $\{-1, 1\}$ . Draw the detour graph of this code, making sure to label each branch with  $D^\alpha I^\beta$ .
- (e) What is the branch metric  $d(x_j, y_j)$  that should be used by Viterbi decoder that implements the MAP decoder?
- (f) Find the transfer function  $T(D, I)$  of the detour flow graph of the convolutional encoder described in (d). Using this find an upper bound to the bit error probability of this code.

[Hint: your answer should include the function  $g(\sigma)$  of part (c).]