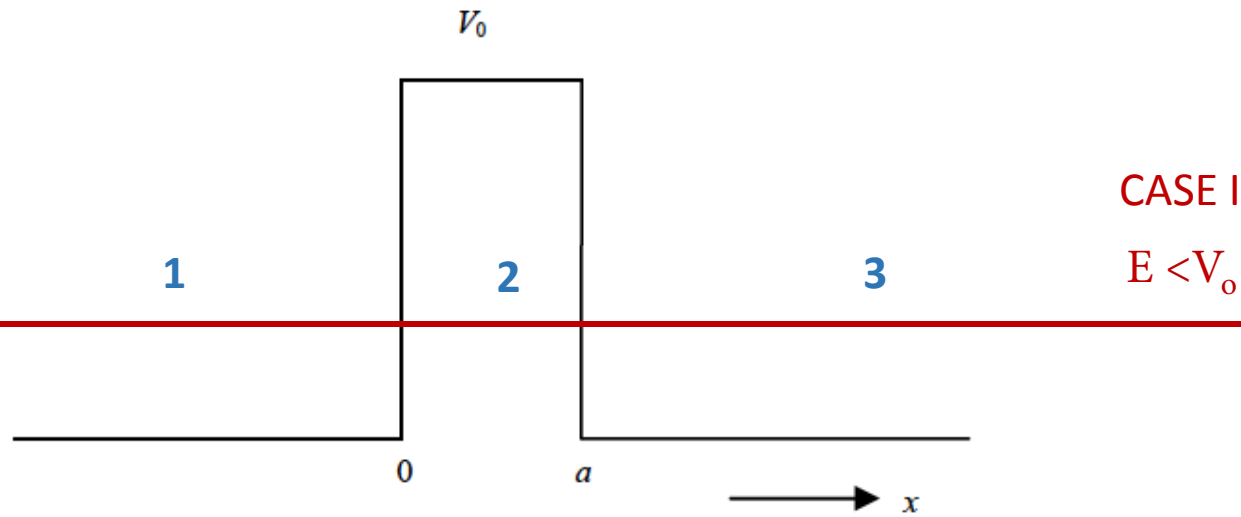


Tunnel effect

CASE I

$$E < V_0$$

Incoming wave



CASE I

$$E < V_0$$

$$H_{\text{class}} = \frac{p^2}{2m}$$

$$H_{\text{quant}} = \frac{-\hbar^2}{2m} \frac{\partial^2}{\partial x^2}$$

$$H_{\text{class}} = \frac{p^2}{2m} + V_0$$

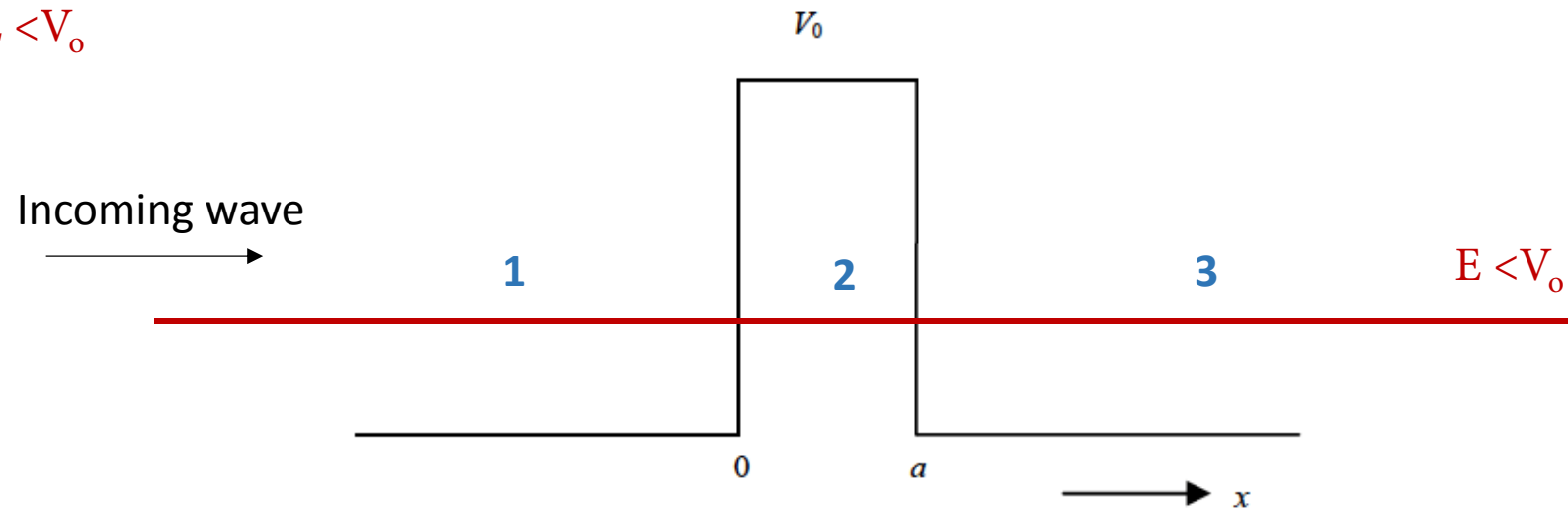
$$H_{\text{quant}} = \frac{-\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V_0$$

$$H_{\text{class}} = \frac{p^2}{2m}$$

$$H_{\text{quant}} = \frac{-\hbar^2}{2m} \frac{\partial^2}{\partial x^2}$$

CASE I

$$E < V_0$$



$$H_{\text{class}} = \frac{p^2}{2m} \quad H_{\text{quant}} = \frac{-\hbar^2}{2m} \frac{\partial^2}{\partial x^2}$$

$$\frac{\partial^2 \psi_1}{\partial x^2} = -\frac{2m}{\hbar^2} E \psi_1$$

$$\frac{\partial^2 \psi_1}{\partial x^2} = -\frac{2m}{\hbar^2} E \psi_1$$

$$k^2 = \frac{2m}{\hbar^2} E > 0$$

$$\frac{\partial^2 \psi_1}{\partial x^2} = -k^2 \psi_1$$

$$\psi_1(x) = A_1 e^{ikx} + A_1' e^{-ikx}$$

$$H_{\text{class}} = \frac{p^2}{2m} + V_0 \quad H_{\text{quant}} = \frac{-\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V_0$$

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \psi_2}{\partial x^2} + V_0 \psi_2 = E \psi_2$$

$$\frac{\partial^2 \psi_2}{\partial x^2} = -\frac{2m}{\hbar^2} (E - V_0) \psi_2$$

$$\frac{2m}{\hbar^2} (E - V_0) < 0 \quad \rho^2 = -\frac{2m}{\hbar^2} (V_0 - E) > 0$$

$$\frac{\partial^2 \psi_2}{\partial x^2} = \rho^2 \psi_2$$

$$\psi_2(x) = B_2 e^{\rho x} + B_2' e^{-\rho x}$$

$$H_{\text{class}} = \frac{p^2}{2m} \quad H_{\text{quant}} = \frac{-\hbar^2}{2m} \frac{\partial^2}{\partial x^2}$$

$$\frac{\partial^2 \psi_3}{\partial x^2} = -\frac{2m}{\hbar^2} E \psi_3$$

$$\frac{\partial^2 \psi_3}{\partial x^2} = -\frac{2m}{\hbar^2} E \psi_3$$

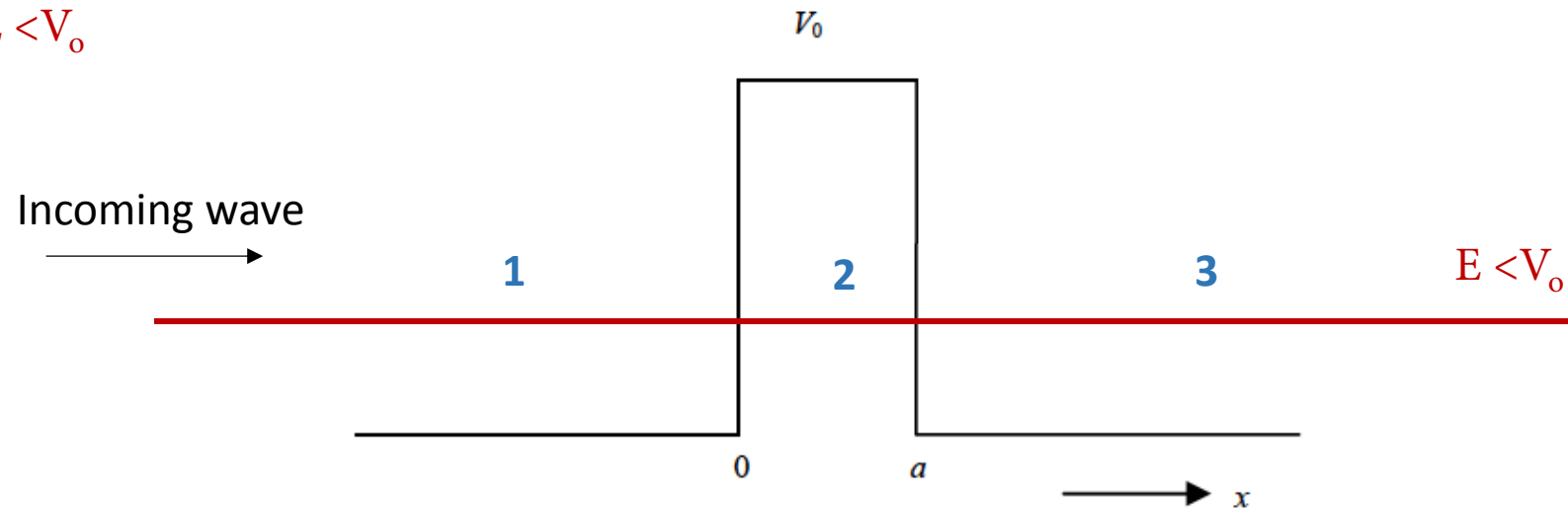
$$k^2 = \frac{2m}{\hbar^2} E > 0$$

$$\frac{\partial^2 \psi_3}{\partial x^2} = -k^2 \psi_3$$

$$\psi_3(x) = A_3 e^{ikx} + A_3' e^{-ikx}$$

CASE I

$$E < V_0$$



$$k^2 = \frac{2m}{\hbar^2} E > 0$$

$$\psi_1(x) = A_1 e^{ikx} + A_1' e^{-ikx}$$

$$\rho^2 = -\frac{2m}{\hbar^2} (V_0 - E) > 0$$

$$\psi_2(x) = B_2 e^{\rho x} + B_2' e^{-\rho x}$$

$$k^2 = \frac{2m}{\hbar^2} E > 0$$

$$\psi_3(x) = A_3 e^{ikx} + A_3' e^{-ikx}$$

We consider ONLY the particles that are originated at $-\infty$

this implies: $A_3' = 0$

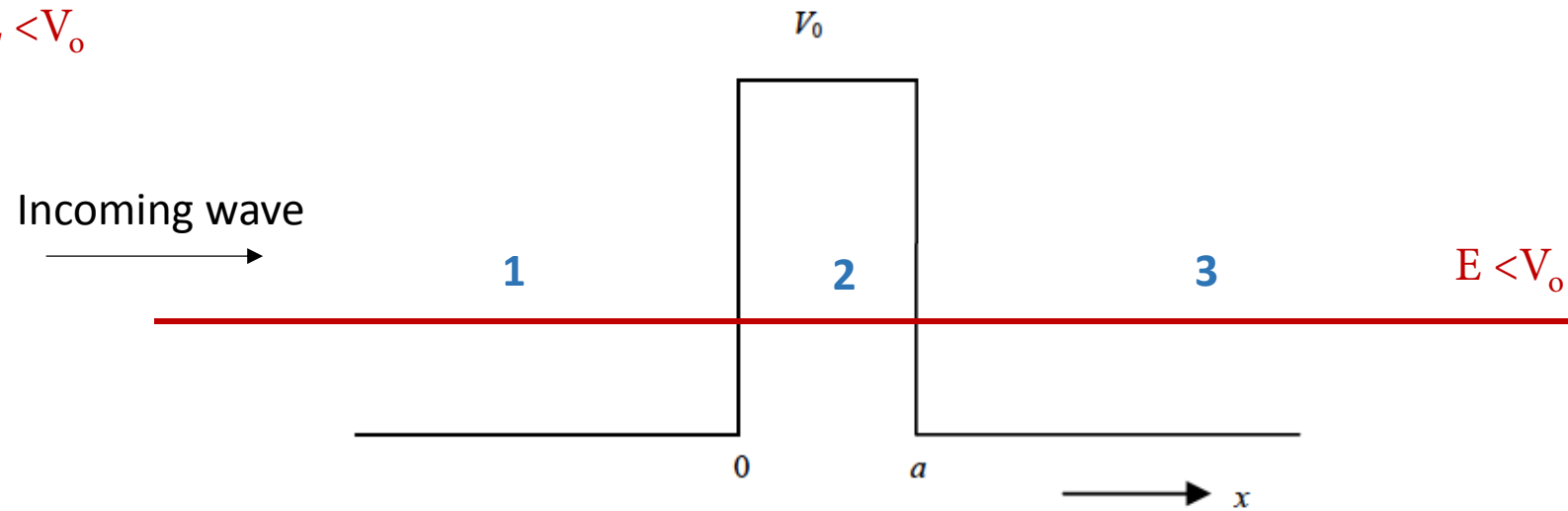
$$\psi_1(x) = A_1 e^{ikx} + A_1' e^{-ikx}$$

$$\psi_2(x) = B_2 e^{\rho x} + B_2' e^{-\rho x}$$

$$\psi_3(x) = A_3 e^{ikx}$$

CASE I

$$E < V_0$$



$$k^2 = \frac{2m}{\hbar^2} E > 0$$

$$\psi_1(x) = A_1 e^{ikx} + A_1' e^{-ikx}$$

$$\rho^2 = -\frac{2m}{\hbar^2} (V_0 - E) > 0$$

$$\psi_2(x) = B_2 e^{\rho x} + B_2' e^{-\rho x}$$

$$k^2 = \frac{2m}{\hbar^2} E > 0$$

$$\psi_3(x) = A_3 e^{ikx}$$

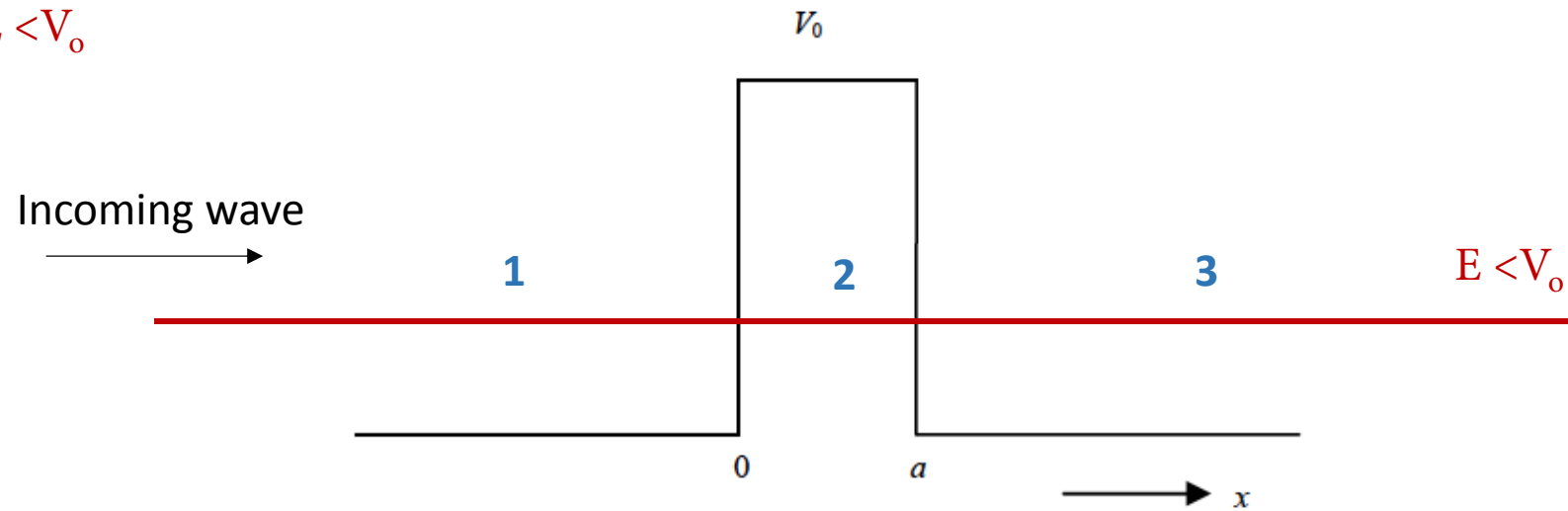
Boundary conditions: equality of the wavefunctions in **0** and **a**

$$\left[\begin{array}{l} \psi_1(0) = \psi_2(0) \\ \psi_1'(0) = \psi_2'(0) \\ \psi_2(a) = \psi_3(a) \\ \psi_2'(a) = \psi_3'(a) \end{array} \right.$$

$$\left[\begin{array}{l} A_1 + A_1' = B_2 + B_2' \\ ikA_1 - ikA_1' = \rho B_2 - \rho B_2' \\ B_2 e^{\rho a} + B_2' e^{-\rho a} = A_3 e^{ika} \\ B_2 \rho e^{\rho a} - B_2' \rho e^{-\rho a} = ikA_3 e^{ika} \end{array} \right.$$

CASE I

$$E < V_0$$



$$k^2 = \frac{2m}{\hbar^2} E > 0$$

$$\psi_1(x) = A_1 e^{ikx} + A_1' e^{-ikx}$$

Incident wave Reflected wave at 0

$$\rho^2 = -\frac{2m}{\hbar^2} (V_0 - E) > 0$$

$$\psi_2(x) = B_2 e^{\rho x} + B_2' e^{-\rho x}$$

Transmitted wave in 0 Reflected wave at a

$$k^2 = \frac{2m}{\hbar^2} E > 0$$

$$\psi_3(x) = A_3 e^{ikx}$$

Transmitted wave in a

$$R = \left| \frac{A_1'}{A_1} \right|^2$$

$$T = 1 - R = \left| \frac{A_3}{A_1} \right|^2$$

Probability of having a reflected wave is proportional to the coefficient in front of the reflected wave in region 1 (compared with the incident wave)

Probability of having a transmitted wave is proportional to the coefficient in front of the transmitted wave in region 3 (compared with the incident wave)

CASE I

$$E < V_0$$

$$\begin{cases} A_1 + A_1' = B_2 + B_2' \\ ikA_1 - ikA_1' = \rho B_2 - \rho B_2' \\ B_2 e^{\rho a} + B_2' e^{-\rho a} = A_3 e^{ika} \\ B_2 \rho e^{\rho a} - B_2' \rho e^{-\rho a} = ikA_3 e^{ika} \end{cases}$$

$$R = \left| \frac{A_1'}{A_1} \right|^2$$

$$T = 1 - R = \left| \frac{A_3}{A_1} \right|^2$$

We need A_1' and A_3 in terms of the same coefficient than A_1 to cancel

$$\begin{cases} A_1 + A_1' = B_2 + B_2' \\ ikA_1 - ikA_1' = \rho B_2 - \rho B_2' \\ B_2 e^{\rho a} + B_2' e^{-\rho a} = A_3 e^{ika} \\ B_2 \rho e^{\rho a} - B_2' \rho e^{-\rho a} = ikA_3 e^{ika} \end{cases}$$

Sum and subtraction of B_2 and B_2'

So then: **a+b** **a-b**

To express B_2 and B_2' in terms of A_3 ONLY

$$\begin{array}{l} \mathbf{a+b} \quad \left\{ \begin{array}{l} 2B_2 e^{\rho a} = A_3 e^{ika} \left(1 + \frac{ik}{\rho} \right) \\ 2B_2 = \frac{1}{2} A_3 e^{ika} e^{-\rho a} \left(1 + \frac{ik}{\rho} \right) \end{array} \right. \\ \mathbf{a-b} \quad \left\{ \begin{array}{l} 2B_2' e^{-\rho a} = A_3 e^{ika} \left(1 - \frac{ik}{\rho} \right) \\ B_2' = \frac{1}{2} A_3 e^{ika} e^{\rho a} \left(1 - \frac{ik}{\rho} \right) \end{array} \right. \end{array}$$

$$\left\{ \begin{array}{l} B_2 + B_2' = \frac{1}{2} A_3 e^{ika} \left[e^{-\rho a} \left(1 + \frac{ik}{\rho} \right) + e^{\rho a} \left(1 - \frac{ik}{\rho} \right) \right] \\ B_2 - B_2' = \frac{1}{2} A_3 e^{ika} \left[e^{-\rho a} \left(1 + \frac{ik}{\rho} \right) - e^{\rho a} \left(1 - \frac{ik}{\rho} \right) \right] \end{array} \right.$$

CASE I

$$E < V_0$$

$$\begin{cases} B_2 + B_2' = \frac{1}{2} A_3 e^{ika} \left[e^{-\rho a} \left(1 + \frac{ik}{\rho} \right) + e^{\rho a} \left(1 - \frac{ik}{\rho} \right) \right] \\ B_2 - B_2' = \frac{1}{2} A_3 e^{ika} \left[e^{-\rho a} \left(1 + \frac{ik}{\rho} \right) - e^{\rho a} \left(1 - \frac{ik}{\rho} \right) \right] \end{cases}$$

$$\begin{cases} \sinh(x) = \frac{e^x - e^{-x}}{2} \\ \cosh(x) = \frac{e^x + e^{-x}}{2} \end{cases}$$

$$\begin{cases} B_2 + B_2' = \frac{1}{2} A_3 e^{ika} \left[e^{-\rho a} + e^{\rho a} + \frac{ik}{\rho} e^{-\rho a} - \frac{ik}{\rho} e^{\rho a} \right] = A_3 e^{ika} \left[\cosh(\rho a) - \frac{ik}{\rho} \sinh(\rho a) \right] \\ B_2 - B_2' = \frac{1}{2} A_3 e^{ika} \left[e^{-\rho a} - e^{\rho a} + \frac{ik}{\rho} e^{-\rho a} + \frac{ik}{\rho} e^{\rho a} \right] = A_3 e^{ika} \left[-\sinh(\rho a) + \frac{ik}{\rho} \cosh(\rho a) \right] \end{cases}$$

$$\begin{cases} A_1 + A_1' = B_2 + B_2' \\ ikA_1 - ikA_1' = \rho B_2 - \rho B_2' \\ B_2 + B_2' = A_3 e^{ika} \left[\cosh(\rho a) - \frac{ik}{\rho} \sinh(\rho a) \right] \\ \rho(B_2 - B_2') = \rho A_3 e^{ika} \left[-\sinh(\rho a) + \frac{ik}{\rho} \cosh(\rho a) \right] \end{cases}$$

$$\begin{cases} A_1 + A_1' = A_3 e^{ika} \left[\cosh(\rho a) - \frac{ik}{\rho} \sinh(\rho a) \right] \\ ikA_1 - ikA_1' = \rho A_3 e^{ika} \left[-\sinh(\rho a) + \frac{ik}{\rho} \cosh(\rho a) \right] \end{cases}$$

CASE I

$$E < V_0$$

$$\begin{aligned} \mathbf{a} \quad & \left\{ A_1 + A_1' = A_3 e^{ika} \left[\cosh(\rho a) - \frac{ik}{\rho} \sinh(\rho a) \right] \right. \\ \mathbf{b} \quad & \left. \left\{ ikA_1 - ikA_1' = \rho A_3 e^{ika} \left[-\sinh(\rho a) + \frac{ik}{\rho} \cosh(\rho a) \right] \right. \right. \end{aligned}$$

Now I have all the coefficients I was interested in

We can do **a+b** and **a-b** so to have A_1 and A_1' depending on A_3 ONLY

$$\begin{aligned} R &= \left| \frac{A_1'}{A_1} \right|^2 \\ T &= 1 - R = \left| \frac{A_3}{A_1} \right|^2 \end{aligned}$$

$$\begin{cases} A_1 = \frac{1}{2} A_3 e^{ika} \left[2 \cosh(\rho a) + \frac{(k^2 - \rho^2)}{ik\rho} \sinh(\rho a) \right] \\ A_1' = A_3 e^{ika} \frac{(k^2 + \rho^2)}{ik\rho} \sinh(\rho a) \end{cases}$$

$$\begin{aligned} R &= \left| \frac{A_1'}{A_1} \right|^2 = \frac{(k^2 + \rho^2)^2 \sinh^2(\rho a)}{4k^2 \rho^2 + (k^2 + \rho^2)^2 \sinh^2(\rho a)} \\ T &= 1 - R \end{aligned}$$



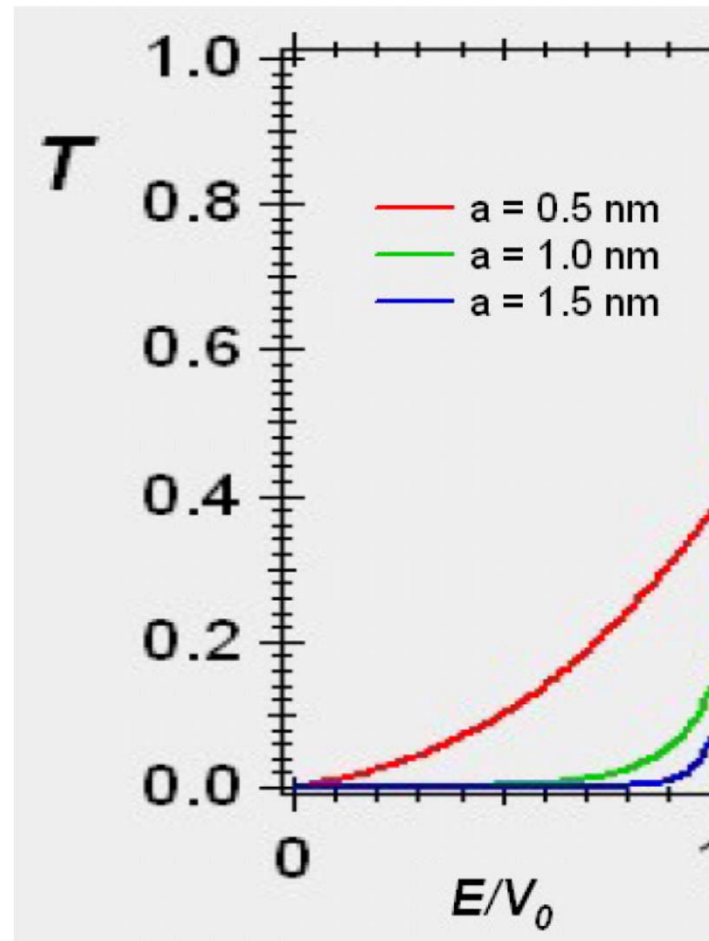
$$T = \frac{1}{1 + \frac{V_0^2}{4E(E - V_0)} \sinh^2\left(\frac{a}{\hbar} \sqrt{2m(E - V_0)}\right)}$$

CASE I

$$E < V_0$$

The lighter the molecules, the better the transmission (electrons, muons...)

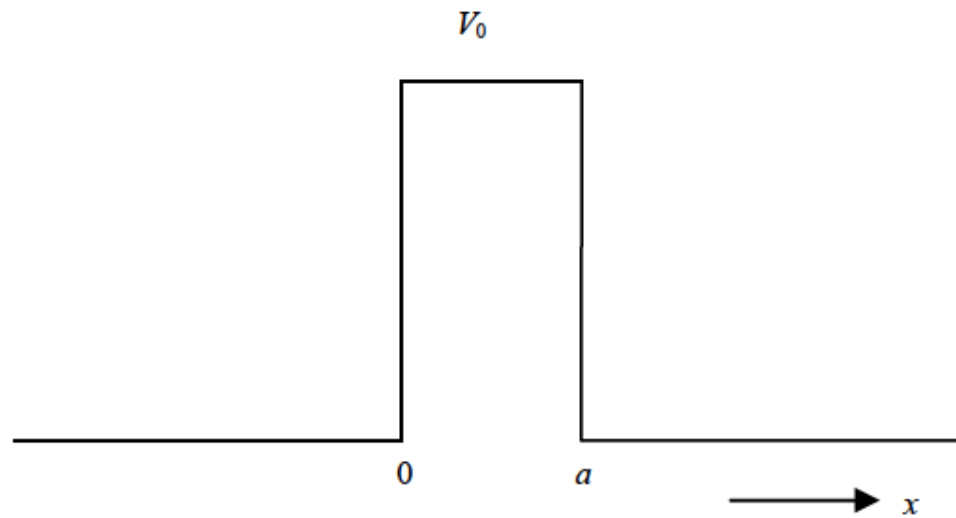
The narrower the barrier, the better the transmission



CASE I

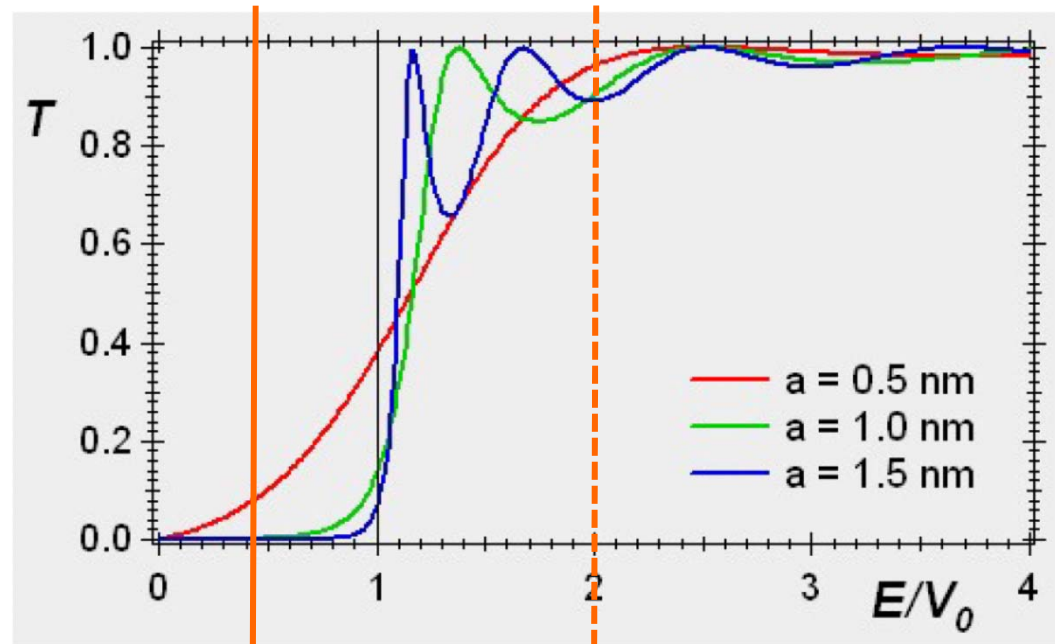
$E > V_0$

$E > V_0$



Same procedure...

$$R = \frac{1}{1 + \frac{4E(E - V_0)}{V_0^2 \sin^2\left(\frac{a}{\hbar} \sqrt{2m(E - V_0)}\right)}}$$
$$T = \frac{1}{1 + \frac{V_0^2}{4E(E - V_0)} \sin^2\left(\frac{a}{\hbar} \sqrt{2m(E - V_0)}\right)}$$



$E/V_0 < 1$ Tunnel effect

An incoming particle with energy $V_0/2$ is transmitted at $\sim 10\%$ with a barrier of 0.5nm, but it can't be transmitted through a barrier of 1.5nm

$E/V_0 > 1$ Resonances

An incoming particle with energy $2V_0$ is reflected at $\sim 3\%$ with a barrier of 0.5nm, reflected at $\sim 10\%$ with a barrier of 1.5nm