

# Phase estimation algorithm

Let  $U$  be a  $2^n \times 2^n$  unitary transformation

Let also  $|u\rangle$  be an eigenvector of  $U$  with corresponding eigenvalue  $\lambda$ :  $U|u\rangle = \lambda|u\rangle$

As  $U$  is unitary,  $U^\dagger U = I$ , so

$$1 = \langle u|u\rangle = \langle u|U^\dagger U|u\rangle = \|U|u\rangle\|^2 = |\lambda|^2 \cdot \underbrace{\| |u\rangle \|^2}_{=1}$$

and therefore  $|\lambda| = 1$ :  $\lambda = e^{2\pi i \varphi}$ ,  $0 \leq \varphi < 1$

Our aim is to build a quantum circuit allowing to estimate the phase  $\varphi$ .

Let us assume here for simplification

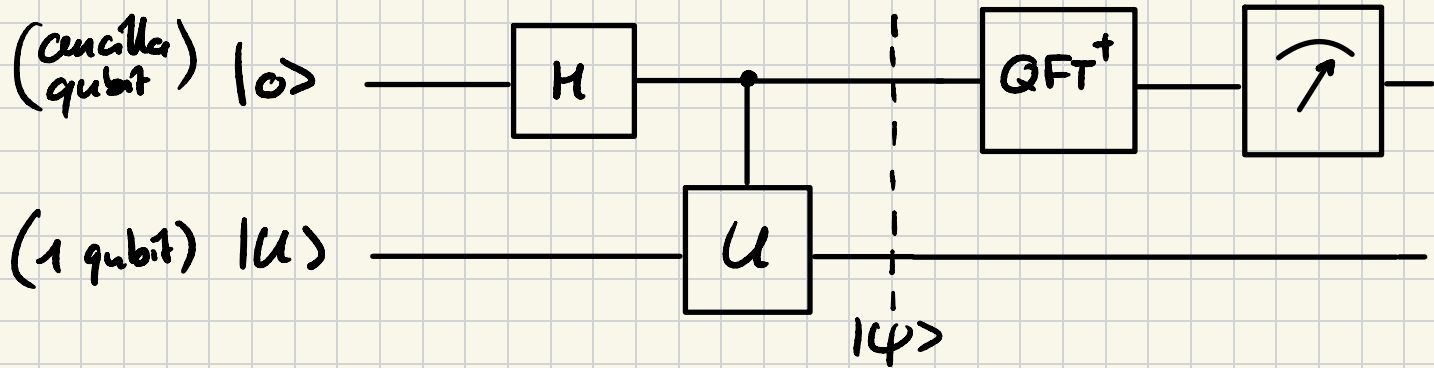
that  $\varphi = \varphi_1 \cdot 2^{-1} + \varphi_2 \cdot 2^{-2} + \dots + \varphi_n \cdot 2^{-n}$  (binary exp.,  $\varphi_i \in \{0, 1\}$ )

(ex:  $\varphi = 0 \cdot 2^{-1} + 1 \cdot 2^{-2} + 1 \cdot 2^{-3} = 0.375$ )

and for further simplification, let us first

assume that  $n=1$  (so  $\varphi = \varphi_1 \cdot 2^{-1}$  with  $\varphi_1 \in \{0, 1\}$ )

Here is the phase estimation circuit (for  $n=1$ ):



where recall that  $|u\rangle \in \mathbb{C}^2$  is the eigenvector of  $U$

$$\text{and } U|u\rangle = \exp(2\pi i\varphi)|u\rangle$$

$$\text{with } \varphi = \varphi_1 \cdot 2^{-1} \text{ and } \varphi_1 \in \{0, 1\}$$

(so either  $\varphi = 0$  or  $\varphi = 0.5$ )

Let us compute the intermediary state  $|\psi\rangle$ :

$$\begin{aligned} |\psi\rangle &= \text{Control-}U \left( H|0\rangle \otimes |u\rangle \right) \\ &= \text{Control-}U \left( \frac{1}{\sqrt{2}}|0\rangle \otimes |u\rangle + \frac{1}{\sqrt{2}}|1\rangle \otimes |u\rangle \right) \\ &= \frac{1}{\sqrt{2}}|0\rangle \otimes |u\rangle + \frac{1}{\sqrt{2}}|1\rangle \otimes \underbrace{U|u\rangle}_{= \lambda|u\rangle} \\ &= \frac{1}{\sqrt{2}}|0\rangle \otimes |u\rangle + \frac{\exp(2\pi i\varphi)}{\sqrt{2}}|1\rangle \otimes |u\rangle \\ &= \frac{1}{\sqrt{2}} \left( |0\rangle + \exp(2\pi i\varphi)|1\rangle \right) \otimes |u\rangle \end{aligned}$$

Recalling that  $\varphi = \frac{\varphi_1}{2}$ , we obtain

$$|\psi\rangle = \frac{1}{\sqrt{2}} \left( |0\rangle + \exp\left(\frac{2\pi i \varphi_1}{2}\right) |1\rangle \right) \otimes |u\rangle$$

= expression of the QFT for  $n=1$ !

So  $|\psi\rangle = \text{QFT} |\varphi_1\rangle \otimes |u\rangle$ , and the output of the circuit is

$$\text{QFT}^\dagger(\text{QFT} |\varphi_1\rangle) \otimes |u\rangle = |\varphi_1\rangle \otimes |u\rangle$$

and the measurement of the first qubit gives exactly  $\varphi_1$  in this case.

Let us now consider the general case  $n \geq 1$ :

In this case, the action of  $U$  on  $|u\rangle$  is

$$U|u\rangle = \exp(2\pi i\varphi)|u\rangle \quad \text{where } \varphi = \sum_{j=1}^n \varphi_j 2^{-j}$$

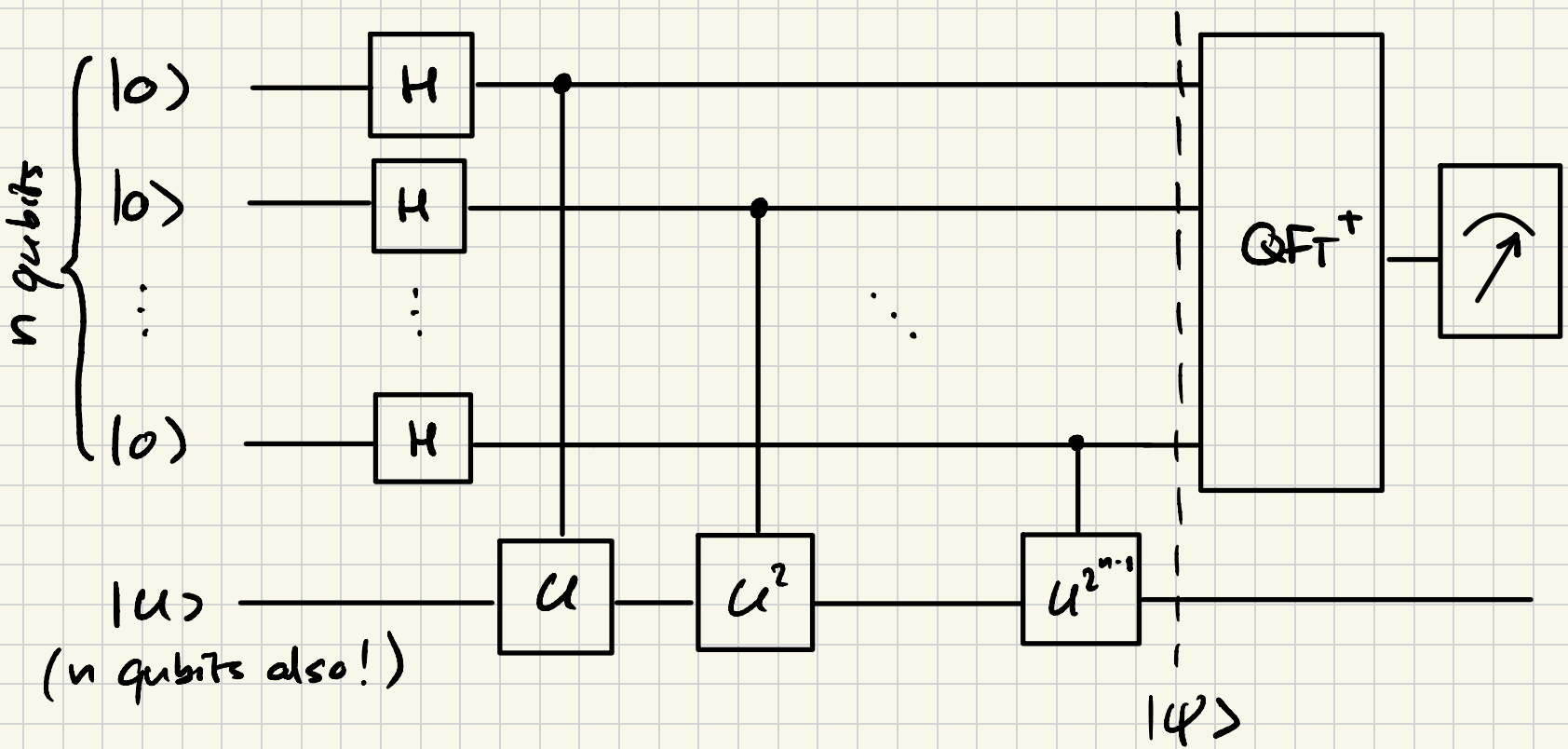
$$\text{likewise: } U^2|u\rangle = \exp(2\pi i\varphi \cdot 2)|u\rangle$$

$$U^{2^k}|u\rangle = \exp(2\pi i\varphi \cdot 2^k)|u\rangle \quad \text{for } 0 \leq k \leq n-1$$

This suggests building the following

circuit (see next page).

# Phase estimation circuit ( $n \geq 1$ )



The state  $|\psi\rangle$  is given by: (following the  $n=1$  computation)

$$|\psi\rangle = \frac{1}{\sqrt{2}}(|0\rangle + \exp(2\pi i \varphi)|1\rangle$$

$$\otimes \frac{1}{\sqrt{2}}(|0\rangle + \exp(2\pi i \varphi \cdot 2)|1\rangle$$

⋮

$$\otimes \frac{1}{\sqrt{2}}(|0\rangle + \exp(2\pi i \varphi \cdot 2^{n-1})|1\rangle) \otimes |u\rangle$$

$$= \frac{1}{2^{n/2}} \sum_{z_1 \dots z_n \in \{0,1\}^n} \exp(2\pi i \varphi \sum_{k=1}^n z_k 2^{k-1}) |z_1 \dots z_n\rangle \otimes |u\rangle$$

$$= \frac{1}{2^{n/2}} \sum_{z=0}^{2^n-1} \exp(2\pi i \varphi z) |z\rangle \otimes |u\rangle$$

Observe finally that

$$\varphi = \sum_{j=1}^n \varphi_j 2^{-j} = \frac{1}{2^n} \sum_{j=1}^n \varphi_j 2^{n-j} = \frac{1}{2^n} \phi$$

where  $\varphi_1 \dots \varphi_n$  is now the binary decomposition  
of  $\phi \in \{0, \dots, 2^{n-1}\}$

$$\begin{aligned} \text{So } |\psi\rangle &= \frac{1}{2^{n/2}} \sum_{z=0}^{2^n-1} \exp\left(\frac{2\pi i z \phi}{2^n}\right) |z\rangle \otimes |u\rangle \\ &= \text{QFT} |\phi\rangle \otimes |u\rangle \end{aligned}$$

Therefore, the final output of the circuit is  $QFT^\dagger(QFT|\phi\rangle) \otimes |u\rangle = |\phi\rangle \otimes |u\rangle$ , so the measure of the first  $n$  qubits gives  $|\phi\rangle = |\varphi_1 \dots \varphi_n\rangle$ .

(Of course, this only works perfectly under the assumption that the phase  $\varphi = \sum_{j=1}^n \varphi_j 2^{-j}$ .)