

## ⑩ Loop counting parameters

It is obvious that, for any given observable, its expansion in a power series in the coupling corresponds to a diagrammatic loop expansion. It is worth making this more precise. A few examples will suffice.

Ex 1

$$\mathcal{L} = \frac{1}{2} (\partial\phi)^2 + \frac{g}{3!} \phi^3$$

$$\phi \rightarrow \phi/g$$

$$\mathcal{L} \rightarrow \frac{1}{g^2} \left( \frac{(\partial\phi)^2}{2} + \frac{\phi^3}{3!} \right)$$

now  $G(p) \propto g^2 \rightarrow \# = I$

$$\text{Vertex} \propto \frac{1}{g^2} \rightarrow \# = V$$

a graph  $G$  corresponds to  $(g^2)^{I-V}$

$$L = I - V + 1 \quad \Rightarrow \quad (g^2)^{L-1}$$

$$L = 0 \quad \rightarrow \quad \frac{1}{g^2} \quad \text{tree}$$

$$L = 1 \quad \rightarrow \quad g^0 \quad \text{1-loop}$$

etc

Ex. 2      $\phi^4$       $(\partial\phi)^2 + \lambda_4 \phi^4$

$$\phi \rightarrow \frac{1}{\sqrt{\lambda}} \phi$$

$$\mathcal{L} \rightarrow \frac{1}{\lambda_4} \left( (\partial\phi)^2 + \phi^4 \right)$$

$\lambda_4$  is the loop counting:  $\lambda_4 \sim g^2$

Ex 3      $\phi^6$       $(\partial\phi)^2 + \lambda_6 \phi^6$

$$\phi \rightarrow \frac{1}{(\lambda_6)^{1/4}} \phi$$

$$\mathcal{L} \rightarrow \frac{1}{\lambda_6} \left( (\partial\phi)^2 + \phi^6 \right)$$

loop counting  $\rightarrow (\lambda_6)^{1/2}$

• given only  $\lambda_6$  appears in the pert. expansion the graph series advances in two loop steps.

Comparing to  $\phi^3$   $\lambda_6 \sim g^4$

In general  $\phi^h \rightarrow g^{h-2} \phi^h$

• Notice all this makes sense

in  $\phi^3$   $\mu_{n\text{-legs}} \sim \frac{1}{g^E} g^{2E} g^{2I-2V}$

$$= g^{E+2L-2} = \binom{E-2}{L} g^{2L}$$

$L=0$

$$g^{E-2}$$

## ▲ The Renormalization Group

The renormalization procedure leads order by order in perturbation theory to construct a Lagrangian yielding finite  $n$ -point correlators. It takes the form

$$\mathcal{L} = \mathcal{L}_R + \mathcal{L}_{CT}$$

Ex in  $\phi^4$  
$$\mathcal{L}_R = \frac{1}{2} (\partial\phi)^2 + \frac{\mu^2}{2} \phi^2 + \frac{\lambda}{4!} \phi^4$$

$$\mathcal{L}_{CT} = \frac{\delta Z}{2} (\partial\phi)^2 + \left[ (\mu^2 + \delta\mu^2)(1 + \delta Z) - \mu^2 \right] \frac{\phi^2}{2}$$

$$\frac{(\lambda + \delta\lambda)(1 + \delta Z)^2 - \lambda}{4!} \phi^4$$

$$\mathcal{L} = \frac{Z}{2} (\partial\phi)^2 + \frac{Z\mu^2}{2} \phi^2 + \frac{Z^2\lambda}{4!} \phi^4$$

$$\equiv \frac{1}{2} (\partial\phi_0)^2 + \frac{\mu_0^2}{2} \phi_0^2 + \frac{\lambda_0}{4!} \phi_0^4$$

$$\begin{array}{ccc}
 \mu_0^2 \equiv \mu^2 + \delta\mu^2 & \lambda_0 \equiv \lambda + \delta\lambda & \phi_0 \equiv \sqrt{Z} \phi \\
 \uparrow & \uparrow & \uparrow \\
 \text{base parameters} & & \text{base field}
 \end{array}$$

• Notice the complementarity:

$\mathcal{L}$  is physical but singular,  $\mathcal{L}_R$  is finite but unphysical as the split  $\mathcal{L}_R + \mathcal{L}_{CT}$  clearly possesses a degree of arbitrariness.

In mass independent schemes the bare quantities can be written as power series in the couplings, in accordance to Weinberg's theorem

$$\begin{array}{l}
 \text{Ex 1} \quad \text{Dim Reg} \quad \lambda_0 = \lambda \int^{\epsilon} \left[ 1 + \frac{Q_1(\lambda)}{\epsilon} + \frac{Q_2(\lambda)}{\epsilon^2} + \dots \right] \\
 \text{P.V.} \quad \lambda_0 = \lambda \left[ 1 + \tilde{Q}_1(\lambda) \ln \frac{\Lambda}{\mu} + \tilde{Q}_2(\lambda) \ln^2 \frac{\Lambda}{\mu} + \dots \right]
 \end{array}$$

with  $a_e(\lambda)$  starting at  $e$ -loops:

$$a_e(\lambda) = a_{e,e} \lambda^e + a_{e,e+1} \lambda^{e+1} + \dots$$

• in  $\phi^3$  they same will hold with  $g^2$  playing the rôle of loop counting

• In mass indep schemes

$$u_0^2 = u^2 \left[ 1 + \frac{b_1(\lambda)}{\epsilon} + \frac{b_2(\lambda)}{\epsilon^2} + \dots \right]$$

$$Z = \left[ 1 + \frac{c_1(\lambda)}{\epsilon} + \frac{c_2(\lambda)}{\epsilon^2} + \dots \right]$$

with some property  $b_e \sim \lambda^e + \dots$

$$c_e \sim \lambda^e + \dots$$

• The point is that from the point of view of UV divergences  $u^2 \phi^2$  can be treated like any other inte

reaction. Then dimensional analysis fixes the form of  $\mathcal{L}_0^2/\mu^2$ .

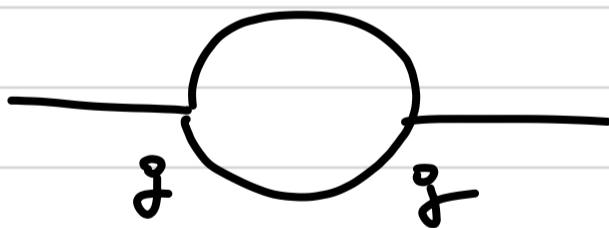
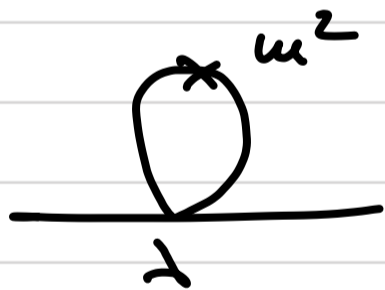
We could even consider adding  $g\phi^3$

$[g] = 1$  in 4D, in which case

$$g_0 = g \mu^{2-D/2} \left[ 1 + \frac{G_1(\lambda)}{\epsilon} + \frac{G_2(\lambda)}{\epsilon^2} + \dots \right]$$

$$-3\left(\frac{D}{2}-1\right) + D = 3 - D/2 = 1 + 2 - D/2$$

$$\mathcal{L}_0^2 = \mu^2 \left[ 1 + \frac{b_1}{\epsilon} + \dots \right] + g^2 \left[ \frac{d_1(\lambda)}{\epsilon} + \frac{d_2(\lambda)}{\epsilon^2} + \dots \right]$$



• Any physical amplitude will similarly result written as a power series in  $\lambda$  and  $\ln \mu$  ( $\ln \frac{E}{\mu}$  with  $E$  any combination of kinematic invariants):

$$D = \lambda^q \left[ D_0 + D_1(\lambda) \ln \frac{E}{\mu} + D_2(\lambda) \ln^2 \frac{E}{\mu} + \dots \right]$$

$$D_e = a_e \lambda^e + O(\lambda^{e+1})$$

For instance for  $\mathcal{M}(2 \rightarrow 2)$  we found at 1-loop ( $\mathcal{M} = 0$ )

$$\mathcal{M} = -\lambda \left[ 1 + \frac{\lambda}{32\pi^2} \left( 3\gamma - 6 + \ln \frac{stu}{(4\pi\mu^2)^3} - i\pi \right) \dots \right]$$

$$\begin{aligned} &\sim -\lambda - \frac{\lambda^2}{32\pi^2} \ln \frac{stu}{(4\pi\mu^2)^3} + \text{const} \\ &\uparrow \\ &\text{neglecting} \end{aligned}$$

$$\sim -\lambda - \frac{6\lambda^2}{32\pi^2} \ln \frac{E}{\mu}$$

# Comments

A)  $\mu$  seemingly depends on 2 parameters  $(\lambda, \mu)$ . However, consistently neglecting  $O(\lambda^3)$ , only one combination appears:

$$\lambda + \frac{6\lambda^2}{32\pi^2} \ln \mu = \hat{\lambda}$$

$$\Rightarrow \mu \sim -\hat{\lambda} - \frac{6\hat{\lambda}^2}{32\pi^2} \ln E$$

$\Rightarrow$  equivalently: starting with  $\lambda' \neq \lambda, \mu' \neq \mu$  such that however

$$\lambda' + \frac{6\lambda'^2}{32\pi^2} \ln \mu' = \lambda + \frac{6\lambda^2}{32\pi^2} \ln \mu = \hat{\lambda}$$

we obtain the same result

$\Rightarrow$  this suggests  $(\lambda, \mu)$  is a redundant pair

B)  $\lambda \ll 1$  does not seem enough to carry on perturbation theory if  $E/\mu$  is so large that  $\frac{3\lambda \ln E/\mu}{16\pi^2} \gtrsim 1$

A et B seem both consistent with the idea that  $\mu$  is associated to a split  $\mathcal{L} = \mathcal{L}_R + \mathcal{L}_{CT}$  which is optimized to compute a certain class of observables (correlators with moments of order  $\mu$ ).

- Can this picture be made precise (all orders)?
- Can calculability be recovered when  $\frac{\lambda}{16\pi^2} \ln \frac{E}{\mu} \sim \mathcal{O}(1)$ ?

Both questions have affirmative answers. The required methodology is the Renormalization Group (RG).

The idea is very simple. Once employed for parameters  $m^2, \lambda, \mu$  the renormalization procedure could be carried out for another, different set,  $m'^2, \lambda', \mu'$ . That would define a Lagrangian

$$\mathcal{L} = \frac{z'}{2} (\partial\phi')^2 + \frac{u_0'^2 z'}{2} \phi'^2 + \frac{\lambda_0' z'^2}{4!} \phi'^4$$

with the primed parameters  $z', u_0', \lambda_0'$  having the same functional dependence on  $\mu', \lambda', m'$  as  $z, u_0, \lambda_0$  on  $\mu, \lambda, m$ .

Now, if we require  $\lambda_0 = \lambda_0', u_0 = u_0'$  up to a field rescaling the two constructions define precisely the same QFT.

Moreover, once this request is made  $\phi_0 \equiv \sqrt{z} \phi$  and  $\phi_0' \equiv \sqrt{z'} \phi'$

will have exactly the same correla-  
tors  $\Rightarrow$  they can be viewed as the  
same field.

These two procedures, must thus  
simply correspond to two different splits

$$\mathcal{L} = \mathcal{L}_R + \mathcal{L}_{CT} \equiv \mathcal{L}'_R + \mathcal{L}'_{CT}$$

or, in other words, to two different  
renormalization schemes for the same QFT.

- We can imagine doing so for a conti-  
nuum of  $\mu$  values. The three  
conditions  $\mathcal{L}_0, \mathcal{W}_0, \phi_0 \equiv \text{const}$   
as  $\mu$  varies, thus define 3  $\mu$  depen-  
dent quantities:  $\Lambda(\mu), \mathcal{W}(\mu), \phi(\mu)$ .

Base on what we have already seen

the  $\mu$ -dependent, or running, quantities define the "optimal" split when considering correlators that involve just one overall energy scale of order  $\mu$ .

• Based on the above, the running parameters are implicitly defined by

$$\mu \frac{d}{d\mu} \lambda_0 = 0 \quad \mu \frac{d}{d\mu} m_0^2 = 0 \quad \mu \frac{d}{d\mu} \phi_0 = 0$$

which we will now study.

•  $\mu \frac{d}{d\mu} \lambda = \hat{\beta}(\lambda)$

$$\mu \frac{d}{d\mu} \left[ \lambda \mu^\epsilon \left( 1 + \frac{a_1}{\epsilon} + \frac{a_2}{\epsilon^2} + \dots \right) \right] = 0$$

$$(\hat{\beta} + \epsilon \lambda) \left( 1 + \sum_j \frac{a_j}{\epsilon^j} \right) + \lambda \hat{\beta} \left( \sum_j \frac{a'_j}{\epsilon^j} \right) = 0$$

$$\hat{P} \left( 1 + \sum_j \frac{(1 + \lambda a_j) q_j}{\epsilon^j} \right) = -\epsilon \lambda - \sum_j \frac{\lambda q_j}{\epsilon^{j-1}}$$

↓ formally

$$\hat{P} = - \frac{\epsilon \lambda + \sum_j \frac{\lambda q_j}{\epsilon^{j-1}}}{1 + \sum_j \frac{(1 + \lambda a_j) q_j}{\epsilon^j}}$$

$$= - \left[ \epsilon \lambda - \lambda^2 a_1 q_1 + \frac{1}{\epsilon} \left( \cancel{\lambda a_2} - \cancel{\lambda a_2} - \lambda^2 q_2' + \lambda (a_1 + \lambda q_1')^2 \right) + o\left(\frac{1}{\epsilon^3}\right) \right]$$

$$= -\epsilon \lambda + \lambda^2 q_1' + \frac{1}{\epsilon} \left[ \lambda (a_1 + \lambda q_1')^2 - \lambda a_2' \right] + \dots$$

Now any physical observable, like the S-matrix, must be invariant under the change

$$\mu \rightarrow \mu' \quad \lambda \rightarrow \lambda' \dots \text{etc}$$

$\Rightarrow$  the change of  $\lambda$  needed to compensate to the change of  $\mu$  must be finite

$\Rightarrow \hat{P}$  must be finite.

We immediately conclude that the structure of counterterms must be such that the coefficient of all  $1/\epsilon$  powers must vanish identically.

This seems a truly remarkable result:

Not only Weinberg theorem states the existence of  $(\log)^e$  or  $(1/\epsilon)^e$  at  $e$ -loops but the self-consistency of the whole procedure implies that  $a_2, a_3, a_4, \dots$  are all determined by  $a_1$ :  $\log$  divs fully determine  $(\log)^e$  divs  $\forall e$ .  
Not fully surprising as  $(\log)^e$  can be viewed as  $p$ -logs within one another  $\Rightarrow \log$  divs factorize.

in the end we have

$$\begin{aligned}\hat{\beta}(\lambda) &= -\varepsilon\lambda + \lambda^2 Q'_1 \\ &\equiv -\varepsilon\lambda + \beta(\lambda)\end{aligned}$$

when considering renormalized quantities we can safely take the  $\varepsilon \rightarrow 0$  limit

$$\Rightarrow \mu \frac{d}{d\mu} \lambda \stackrel{d=4}{=} \beta(\lambda) = \lambda^2 Q'_1$$

• We can do precisely the same for  $m^2$ :

$$\mu \frac{d}{d\mu} m^2 = -\gamma_m(\lambda) m^2$$

Ex find  $\gamma_m(\lambda)$  in terms of the  $b$ 's and  $Q$ 's

• finally  $\phi_0 = \sqrt{Z} \phi$

$$0 = \mu \frac{d}{d\mu} \phi_0 \Rightarrow \mu \frac{d}{d\mu} \phi(\mu) = -\frac{1}{2} \left( \mu \frac{d}{d\mu} \ln Z \right) \phi$$

$$\mu \frac{d}{d\mu} \phi(\mu) \equiv -\gamma(\lambda) \phi$$

$$\gamma(\lambda) \equiv \frac{1}{2} \mu \frac{d}{d\mu} \ln Z = \frac{1}{2} \frac{d}{d\lambda} \ln Z \cdot (-\epsilon\lambda + \beta^1)$$

Ex find  $\gamma$  in terms of  $a$ 's,  $c$ 's

- Formal solutions

$$\bullet \quad \frac{d\lambda}{d \ln \mu} = \beta(\lambda)$$

$$\Rightarrow \ln \mu' / \mu = \int_{\lambda(\mu)}^{\lambda(\mu')} \frac{d\lambda}{\beta(\lambda)}$$

$$\bullet \quad u^2(\mu') = u^2(\mu) e^{-\int_{\ln \mu}^{\ln \mu'} \gamma_u(\lambda(\tilde{\mu})) d \ln \tilde{\mu}}$$

$$= u^2(\mu) e^{-\int_{\lambda(\mu)}^{\lambda(\mu')} \frac{\gamma_u(\lambda)}{\beta(\lambda)} d\lambda}$$

$$\bullet \quad \zeta(\mu', \mu) = e^{-\int_{\lambda(\mu)}^{\lambda(\mu')} \frac{\gamma(\lambda)}{\beta(\lambda)} d\lambda}$$

$$\begin{cases} \mu' \frac{d}{d\mu'} \mathcal{Z}(\mu', \mu) = -\delta(\mathcal{Z}(\mu')) \mathcal{Z}(\mu', \mu) \\ \mathcal{Z}(\mu, \mu) = 1 \end{cases}$$

$$\Rightarrow \phi(\mu') = \mathcal{Z}(\mu', \mu) \phi(\mu)$$

— 0 —

Comment on nomenclature: the change of  $\mu$  can intuitively (and also more precisely) be associated to a dilation that is to the abelian group of dilations hence the name Renormalization Group (RG)

• Example of RG evolution

•  $\lambda \phi^4$  in 4D

from previous results

$$\lambda_0 = \lambda \mu^\epsilon \left( 1 + \frac{\alpha_1(\lambda)}{\epsilon} + \dots \right)$$

$$\alpha_1 = \frac{3\lambda}{16\pi^2} + O(\lambda^2)$$

$$\Rightarrow \beta(\lambda) = \frac{3\lambda^2}{16\pi^2} + O(\lambda^3)$$

$\Rightarrow$  as long as  $\lambda$  is weak  $\beta > 0$

• Solution of 1-loop

$$\frac{16\pi^2}{3} \frac{d\lambda}{\lambda^2} = d \ln \mu \quad \frac{16\pi^2}{3} \left( \frac{1}{\lambda(\mu)} - \frac{1}{\lambda(\mu')} \right) = \ln \frac{\mu'}{\mu}$$

$$\frac{1}{\lambda(\mu')} = \frac{1}{\lambda(\mu)} - \frac{3}{16\pi^2} \ln \frac{\mu'}{\mu}$$

$$\lambda(\mu') = \frac{\lambda(\mu)}{1 - \frac{3}{16\pi^2} \lambda(\mu) \ln \frac{\mu'}{\mu}}$$

• the solution resums effects of

$$\text{the type } \lambda \log = \lambda L$$

• one can easily check that  $\ell$ -th  
loop order resum terms  $\lambda^{\ell-1} (\lambda L)^h$

$\forall h$

Indeed

$$\frac{d\lambda}{dL} = c_1 \lambda^2 + c_2 \lambda^3 + \dots + c_e \lambda^{e+1}$$

$$= \lambda^2 (c_1 + c_2 \lambda + \dots + c_e \lambda^{e-1} + \dots)$$

$$\begin{array}{ccc} \underbrace{\hspace{10em}} & \Downarrow & \downarrow \\ (\lambda L)^h & \lambda (\lambda L)^h & \lambda^{e-1} (\lambda L)^h \end{array}$$

$$\frac{d(\lambda/\lambda_0)}{\lambda_0 dL} = \frac{\lambda^2}{\lambda_0^2} [c_1 + c_2 \lambda + c_3 \lambda^2 + \dots]$$

$$\lambda/\lambda_0 = y \quad \lambda_0 L = x$$

$$\frac{dy}{dx} = y^2 [c_1 + c_2 \lambda_0 y + c_3 \lambda_0^2 y^2 + \dots]$$

$$y(x) = F_0(x) + \lambda_0 F_1(x) + \lambda_0^2 F_2(x) + \dots$$

$$\bullet \lambda(\mu') = \frac{\lambda(\mu)}{1 - \frac{3\lambda(\mu)}{16\pi^2} \ln \mu'/\mu}$$

$$\bullet \lim_{\mu' \rightarrow \infty} \lambda(\mu') \sim \frac{16\pi^2}{3 \ln \mu/\mu'} \rightarrow 0$$

theory is free in R

$$\bullet \text{ at } \frac{3\lambda}{16\pi^2} \ln \frac{\mu_L}{\mu} = 1 \quad \lambda(\mu_L) \rightarrow \infty$$

Landau Pole : perturbation theory

breaks down in the UV

- We constructed the series of counterterms assuming nothing would go wrong for  $\lambda L(x) \approx 1$ , but this is not true in  $\phi^4$ . For such theory we are forced to assume the UV cut-off cannot be sent to  $\infty$  otherwise the c.t. series develops a singularity.

• Landau pole and bare couplings

Consider for definiteness the case of Pauli-Villars in  $\lambda \phi^4$ .

We have

$$\lambda_0 = \lambda(\mu) P(\lambda(\mu), \ln \Lambda/\mu)$$

↓  
infinite series

imagine now taking  $\mu \simeq \Lambda$


$$\lambda_0 = \lambda(\Lambda) \left[ 1 + a_1 \lambda(\Lambda) + a_2 \lambda^2(\Lambda) \dots \right]$$

↗ no-logs

$\Rightarrow \lambda_0 = \lambda(\Lambda)$  up to a change of scheme

$\Rightarrow \lambda(\Lambda) \rightarrow \infty$  is problematic

for a perturbative definition of the bare Action.

  $\phi^3$  in  $6D$

$$g \rightarrow \mu^{\epsilon/2} g \left( 1 - \frac{g^2}{64\pi^3} \frac{1}{6-d} + \dots \right)$$

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$$\mu \frac{d}{d\mu} = 0 \quad \epsilon/2 g \left( 1 - \frac{g^2}{64\pi^3} \frac{1}{\epsilon} + \dots \right) +$$

$$+ \beta \left( 1 - \frac{3g^2}{64\pi^3} \frac{1}{\epsilon} \right) = 0$$

$$\beta = -\frac{\epsilon}{2} g \left( 1 - \frac{g^2}{64\pi^3} \frac{1}{\epsilon} + \frac{3g^2}{64\pi^3} \frac{1}{\epsilon} \right)$$

$$= -\frac{\epsilon}{2} g - \frac{3g^3}{128\pi^3} + \dots$$

OK

$$\mu \frac{d}{d\mu} g = -\frac{3g^3}{128\pi^3}$$

$$\mu \frac{d}{d\mu} g^2 = -\frac{3g^4}{64\pi^3} \quad \triangleright \quad g^2 \equiv \lambda$$

$$g^2(\mu') = \frac{g^2(\mu)}{1 + \frac{3}{64\pi^3} g^2(\mu) \ln \mu'/\mu}$$

We have here the opposite behaviour

$$\cdot \lim_{\mu' \rightarrow \infty} g^2(\mu') \sim \frac{64\pi^3}{3 \ln \mu'/\mu} \rightarrow 0$$

$$\cdot g^2(\mu') \rightarrow \infty \text{ for}$$

$$\ln \mu'/\mu = -\frac{64\pi^3}{3g^2(\mu)}$$

$$\mu^* = \mu e^{-\frac{64\pi^3}{3g^2(\mu)}}$$

Strong coupling and loss of perturbativity occur at an exponentially small IR scale. But this does not represent any problem in the microscopic definition of the theory.

In fact by the same argument as previously

$$f_0 \sim f(x) = \frac{g(\mu)}{1 + \frac{3}{64\pi^3} g^2(\mu) \ln \frac{1}{\mu}}$$



the series of counterterms, which we constructed assuming  $g^2(\mu) \ln \Delta \ll 1$ , can be analytically continued at  $g^2 \ln \frac{1}{\mu} \gg 1$  (and positive) without encountering any singularity.

$f_0 \sim$  geometric series in  $g^2 \ln \frac{1}{\mu}$ , radius of convergence finite, but singularity not problematic cause it

lies in the infrared ( $\lambda \rightarrow 0$ )

while our worry is  $\lambda \rightarrow \infty$

• In fact in  $\phi^3$

$$g(\lambda) \sim \frac{64\pi^3}{3 \ln 1/\mu^*} \xrightarrow{\lambda \rightarrow \infty} 0$$

theory is free in limit  $\lambda \rightarrow \infty$   
(asymptotic freedom)