

## (A.)

1. Show that the states  $|S_{\alpha,\beta}\rangle$   $\alpha, \beta \in \{Cu, O\}$  are singlets. To show that  $(S_{\alpha}^{-} + S_{\beta}^{-})|S_{\alpha,\beta}\rangle = 0$  and  $(S_{\alpha}^z + S_{\beta}^z)|S_{\alpha,\beta}\rangle = 0$ , we use the fermionic anticommutation relations and the fact that acting on the vacuum  $|0\rangle$  with the annihilation operators gives zero.

$$\begin{aligned} (S_{Cu_1}^{-} + S_{Cu_2}^{-})|S_{Cu,Cu}\rangle &= (d_{1,\downarrow}^{\dagger}d_{1,\uparrow} + d_{2,\downarrow}^{\dagger}d_{2,\uparrow})\frac{1}{\sqrt{2}}(d_{1,\uparrow}^{\dagger}d_{2,\downarrow}^{\dagger} - d_{1,\downarrow}^{\dagger}d_{2,\uparrow}^{\dagger})|0\rangle \\ &= \frac{1}{\sqrt{2}}(d_{1,\downarrow}^{\dagger}d_{2,\downarrow}^{\dagger} + d_{2,\downarrow}^{\dagger}d_{1,\downarrow}^{\dagger})|0\rangle \\ &= 0 \end{aligned} \quad (1)$$

and

$$\begin{aligned} (S_{Cu_1}^z + S_{Cu_2}^z)|S_{\alpha,\beta}\rangle &= \frac{1}{2}((n_{d_{1,\uparrow}} - n_{d_{1,\downarrow}}) + (n_{d_{2,\uparrow}} - n_{d_{2,\downarrow}}))\frac{1}{\sqrt{2}}(d_{1,\uparrow}^{\dagger}d_{2,\downarrow}^{\dagger} - d_{1,\downarrow}^{\dagger}d_{2,\uparrow}^{\dagger})|0\rangle \\ &= \frac{1}{2\sqrt{2}}\left(1 \cdot d_{1,\uparrow}^{\dagger}d_{2,\downarrow}^{\dagger} + 1 \cdot d_{1,\downarrow}^{\dagger}d_{2,\uparrow}^{\dagger} - 1 \cdot d_{1,\downarrow}^{\dagger}d_{2,\uparrow}^{\dagger} - 1 \cdot d_{1,\uparrow}^{\dagger}d_{2,\downarrow}^{\dagger}\right)|0\rangle \\ &= 0 \end{aligned} \quad (2)$$

In the same way it can be shown that these two equalities are valid for the other three ways of constructing singlet states.

2. Show that the states  $|T_{\alpha,\beta}^{\gamma}\rangle$   $\alpha, \beta \in \{Cu, O\}$ ,  $\gamma \in \{1, 0, -1\}$  are triplets. We study the case of triplets built with one electron on each copper atom:

$$\begin{aligned} \frac{1}{2}((n_{d_{1,\uparrow}} - n_{d_{1,\downarrow}}) + (n_{d_{2,\uparrow}} - n_{d_{2,\downarrow}}))d_{1,\uparrow}^{\dagger}d_{2,\uparrow}^{\dagger}|0\rangle &= \frac{1}{2}2d_{1,\uparrow}^{\dagger}d_{2,\uparrow}^{\dagger}|0\rangle \\ \frac{1}{2}((n_{d_{1,\uparrow}} - n_{d_{1,\downarrow}}) + (n_{d_{2,\uparrow}} - n_{d_{2,\downarrow}}))d_{1,\downarrow}^{\dagger}d_{2,\downarrow}^{\dagger}|0\rangle &= \frac{1}{2}(-2)d_{1,\downarrow}^{\dagger}d_{2,\downarrow}^{\dagger}|0\rangle \\ \frac{1}{2}((n_{d_{1,\uparrow}} - n_{d_{1,\downarrow}}) + (n_{d_{2,\uparrow}} - n_{d_{2,\downarrow}}))\frac{1}{\sqrt{2}}(d_{1,\uparrow}^{\dagger}d_{2,\downarrow}^{\dagger} + d_{1,\downarrow}^{\dagger}d_{2,\uparrow}^{\dagger})|0\rangle &= \\ = \frac{1}{2\sqrt{2}}\left(1 \cdot d_{1,\uparrow}^{\dagger}d_{2,\downarrow}^{\dagger} - 1 \cdot d_{1,\downarrow}^{\dagger}d_{2,\uparrow}^{\dagger} + 1 \cdot d_{1,\downarrow}^{\dagger}d_{2,\uparrow}^{\dagger} - 1 \cdot d_{1,\uparrow}^{\dagger}d_{2,\downarrow}^{\dagger}\right)|0\rangle &= \\ = 0 & \end{aligned} \quad (3)$$

It remains to show that the state  $|T_{Cu,Cu}^0\rangle$  is indeed orthogonal to the singlet  $|S_{Cu,Cu}\rangle$ .

$$\begin{aligned} \langle S_{Cu,Cu}|T_{Cu,Cu}^0\rangle &= \frac{1}{2}\langle 0|(d_{2,\downarrow}d_{1,\uparrow} - d_{2,\uparrow}d_{1,\downarrow})(d_{1,\uparrow}^{\dagger}d_{2,\downarrow}^{\dagger} + d_{1,\downarrow}^{\dagger}d_{2,\uparrow}^{\dagger})|0\rangle \\ &= \frac{1}{2}\langle 0|(d_{2,\downarrow}d_{1,\uparrow}d_{1,\uparrow}^{\dagger}d_{2,\downarrow}^{\dagger} - d_{2,\uparrow}d_{1,\downarrow}d_{1,\downarrow}^{\dagger}d_{2,\uparrow}^{\dagger})|0\rangle \\ &= \frac{1}{2}\langle 0|(d_{2,\downarrow}d_{2,\downarrow}^{\dagger} - d_{2,\uparrow}d_{2,\uparrow}^{\dagger})|0\rangle \\ &= \frac{1}{2}\langle 0|(1 - d_{2,\downarrow}^{\dagger}d_{2,\downarrow}) - (1 - d_{2,\uparrow}^{\dagger}d_{2,\uparrow})|0\rangle \\ &= 0 \end{aligned} \quad (4)$$

3. The elements of the  $\mathcal{B}$  basis are eigenstates of  $\mathcal{H}_{\Delta}$  et  $\mathcal{H}_H$ .

- The Hamiltonian  $\mathcal{H}_\Delta$  counts the number of electrons that are on  $p$  orbitals. It costs the system an energy  $\Delta$  to have an electron on a  $p$  orbital, and an energy  $2\Delta$  to have an electron on each  $p$  orbitals.
- By switching the operators in  $\mathcal{H}_H$  we get an operator of the form

$$\mathcal{H}_H = \sum_{\sigma, \sigma'} O_{\sigma, \sigma'} p_{x, \sigma} p_{y, \sigma'} \quad (5)$$

where the form of the operators  $O_{\sigma, \sigma'}$  is not important. It follows that the effect of  $\mathcal{H}_H$  applied to a state that does not have any electrons on  $p$  orbitals is equal to zero. Moreover  $\mathcal{H}_H$  corresponds to a ferromagnetic Heisenberg interaction (see previous series) between the electrons that are on the orbital  $p_x$  and  $p_y$ . The triplet states  $|T_{O,O}^1\rangle, |T_{O,O}^0\rangle$  and  $|T_{O,O}^{-1}\rangle$  are eigenstates of  $\mathcal{H}_H$  energy  $-J_H/4$  and the singlet  $|S_{O,O}\rangle$  is the state of energy  $3J_H/4$ .

It follows that:

$$\left\{ |T_{Cu,Cu}^1\rangle, |T_{Cu,Cu}^0\rangle, |T_{Cu,Cu}^{-1}\rangle, |S_{Cu,Cu}\rangle \right\} \quad (6)$$

is the ground subspace of  $\mathcal{H}_0$  of energy  $E_0 = 0$ .

$$\left\{ |T_{Cu,O}^1\rangle, |T_{Cu,O}^0\rangle, |T_{Cu,O}^{-1}\rangle, |S_{Cu,O}\rangle, |T_{O,Cu}^1\rangle, |T_{O,Cu}^0\rangle, |T_{O,Cu}^{-1}\rangle, |S_{O,Cu}\rangle \right\} \quad (7)$$

is the eigen subspace of  $\mathcal{H}_0$  of energy  $E = \Delta$

$$\left\{ |T_{O,O}^1\rangle, |T_{O,O}^0\rangle, |T_{O,O}^{-1}\rangle \right\} \quad (8)$$

is the eigen subspace of  $\mathcal{H}_0$  of energy  $E = 2\Delta - J_H/4$  (as we are in the case  $J_H \ll \Delta, 2\Delta - J_H/4 > 0$ ).

Finally the state

$$|S_{O,O}\rangle \quad (9)$$

is an eigen state of energy  $E = 2\Delta + 3J_H/4$ .

4. We calculate

$$\begin{aligned} \mathcal{H}_t |T_{Cu,Cu}^1\rangle &= \mathcal{H}_t d_{1,\uparrow}^\dagger d_{2,\uparrow}^\dagger |0\rangle & \mathcal{H}_t |T_{Cu,Cu}^{-1}\rangle &= \mathcal{H}_t d_{1,\downarrow}^\dagger d_{2,\downarrow}^\dagger |0\rangle \\ &= -t(p_{x,\uparrow}^\dagger d_{1,\uparrow} + p_{y,\uparrow}^\dagger d_{2,\uparrow}) d_{1,\uparrow}^\dagger d_{2,\uparrow}^\dagger |0\rangle & &= -t(p_{x,\downarrow}^\dagger d_{1,\downarrow} + p_{y,\downarrow}^\dagger d_{2,\downarrow}) d_{1,\downarrow}^\dagger d_{2,\downarrow}^\dagger |0\rangle \\ &= -t(|T_{O,Cu}^1\rangle + |T_{Cu,O}^1\rangle) & &= -t(|T_{O,Cu}^{-1}\rangle + |T_{Cu,O}^{-1}\rangle) \end{aligned} \quad (10)$$

$$\begin{aligned} \mathcal{H}_t |T_{Cu,Cu}^0\rangle &= \mathcal{H}_t \frac{1}{\sqrt{2}} (d_{1,\uparrow}^\dagger d_{2,\downarrow}^\dagger + d_{1,\downarrow}^\dagger d_{2,\uparrow}^\dagger) |0\rangle \\ &= \frac{-t}{\sqrt{2}} \left[ (p_{x,\uparrow}^\dagger d_{1,\uparrow} + p_{y,\downarrow}^\dagger d_{2,\downarrow}) d_{1,\uparrow}^\dagger d_{2,\downarrow}^\dagger + (p_{x,\downarrow}^\dagger d_{1,\downarrow} + p_{y,\uparrow}^\dagger d_{2,\uparrow}) d_{1,\downarrow}^\dagger d_{2,\uparrow}^\dagger \right] |0\rangle \\ &= -t(|T_{O,Cu}^0\rangle + |T_{Cu,O}^0\rangle) \end{aligned} \quad (11)$$

$$\begin{aligned} \mathcal{H}_t |T_{Cu,Cu}^0\rangle &= \mathcal{H}_t \frac{1}{\sqrt{2}} (d_{1,\uparrow}^\dagger d_{2,\downarrow}^\dagger - d_{1,\downarrow}^\dagger d_{2,\uparrow}^\dagger) |0\rangle \\ &= \frac{-t}{\sqrt{2}} \left[ (p_{x,\uparrow}^\dagger d_{1,\uparrow} + p_{y,\downarrow}^\dagger d_{2,\downarrow}) d_{1,\uparrow}^\dagger d_{2,\downarrow}^\dagger - (p_{x,\downarrow}^\dagger d_{1,\downarrow} + p_{y,\uparrow}^\dagger d_{2,\uparrow}) d_{1,\downarrow}^\dagger d_{2,\uparrow}^\dagger \right] |0\rangle \\ &= -t(|S_{O,Cu}^0\rangle + |S_{Cu,O}^0\rangle) \end{aligned} \quad (12)$$

Likewise, it is shown that these relations are satisfied by the other elements of the basis.

5. In the previous question we have shown that  $\mathcal{H}_t$  applied to any state of the ground subspace  $\left\{ |T_{Cu,Cu}^1\rangle, |T_{Cu,Cu}^0\rangle, |T_{Cu,Cu}^{-1}\rangle, |S_{Cu,Cu}\rangle \right\}$  gives an orthogonal state to the ground subspace.  $P_0$  being the projector on the ground subspace, we have  $P_0\mathcal{H}_tP_0 = 0$ .

The different terms of  $\mathcal{H}_{\text{eff}}^{(4)}$  are written with the projector  $P_0$  left and right, separated by a chain of operators. If in the operator string  $\mathcal{H}_t$  appears three times, it amounts to considering a process that has three electron hops. According to the previous question we deduce that starting from any state of the ground subspace it is impossible to return to a state of the ground subspace by applying  $\mathcal{H}_t$  three times. We deduce that the terms of order 3 in  $\mathcal{H}_t$  and  $\mathcal{H}_{\text{eff}}^{(4)}$  are null.

6. We apply  $\mathcal{H}_{\text{eff}}^{(2)} = P_0VSV P_0$  to the state of the ground subspace

$$\begin{aligned}
 P_0VSV P_0|T_{Cu,Cu}\rangle &= P_0VS(-t) (|T_{O,Cu}^1\rangle + |T_{Cu,O}^1\rangle) \\
 &= P_0V(-t)\frac{-1}{\Delta} (|T_{O,Cu}^1\rangle + |T_{Cu,O}^1\rangle) \\
 &= P_0(-t)^2\frac{-2}{\Delta} (|T_{O,O}^1\rangle + |T_{Cu,Cu}^1\rangle) \\
 &= -\frac{2t^2}{\Delta}|T_{Cu,Cu}^1\rangle
 \end{aligned} \tag{13}$$

If we repeat the computation for the other elements of the ground subspace we obtain that  $P_0VSV P_0$  is diagonal:

$$-\frac{2t^2}{\Delta} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \tag{14}$$

The perturbation does not lift the degeneracy of the ground subspace at the 2nd order. It will only be lifted at 4th order in  $\mathcal{H}_t$ .

7. We try to calculate the effect of  $P_0VS^2VP_0VSV P_0$  on the ground subspace:

$$\begin{aligned}
 P_0VS^2VP_0VSV P_0|T_{Cu,Cu}^1\rangle &= (-t)P_0VS^2VP_0VS(|T_{O,Cu}^1\rangle + |T_{Cu,O}^1\rangle) \\
 &= \frac{-t}{\Delta}P_0VS^2VP_0V(|T_{O,Cu}^1\rangle + |T_{Cu,O}^1\rangle) \\
 &= \frac{2(-t)^2}{\Delta}P_0VS^2V|T_{Cu,Cu}^1\rangle \\
 &= \frac{2(-t)^3}{\Delta}P_0VS^2(|T_{O,Cu}^1\rangle + |T_{Cu,O}^1\rangle) \\
 &= \frac{2(-t)^3}{(-\Delta)^3}P_0V(|T_{O,Cu}^1\rangle + |T_{Cu,O}^1\rangle) \\
 &= -\frac{4t^4}{\Delta^3}|T_{Cu,Cu}^1\rangle
 \end{aligned} \tag{15}$$

If we look for the other states of the ground subspace we get:

$$P_0VS^2VP_0VSV P_0 = -\frac{4t^4}{\Delta^3} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \tag{16}$$

The result is the same for  $P_0VSV P_0VS^2VP_0$ .

8. The effect of  $P_0 V S V S V S V P_0$  on the ground subspace is as follows:

$$\begin{aligned}
P_0 V S V S V S V P_0 |T_{\text{Cu,Cu}}^1\rangle &= -\frac{4t^4}{\Delta^2(2\Delta - \frac{J_H}{4})} |T_{\text{Cu,Cu}}^1\rangle \\
P_0 V S V S V S V P_0 |T_{\text{Cu,Cu}}^{-1}\rangle &= -\frac{4t^4}{\Delta^2(2\Delta - \frac{J_H}{4})} |T_{\text{Cu,Cu}}^{-1}\rangle \\
P_0 V S V S V S V P_0 |T_{\text{Cu,Cu}}^0\rangle &= -\frac{4t^4}{\Delta^2(2\Delta - \frac{J_H}{4})} |T_{\text{Cu,Cu}}^0\rangle \\
P_0 V S V S V S V P_0 |S_{\text{Cu,Cu}}\rangle &= -\frac{4t^4}{\Delta^2(2\Delta + \frac{3J_H}{4})} |S_{\text{Cu,Cu}}\rangle
\end{aligned} \tag{17}$$

9. In the basis  $\{|T_{\text{Cu,Cu}}^1\rangle, |T_{\text{Cu,Cu}}^{-1}\rangle, |T_{\text{Cu,Cu}}^0\rangle, |S_{\text{Cu,Cu}}\rangle\}$ ,  $\mathcal{H}_{\text{eff}}^{(4)}$  reads:

$$\mathcal{H}_{\text{eff}}^{(4)} = \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & a & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 0 & b \end{pmatrix} \tag{18}$$

where  $a = -\frac{2t^2}{\Delta} + \frac{4t^4}{\Delta^2} \left( \frac{1}{\Delta} - \frac{1}{2\Delta - \frac{J_H}{4}} \right)$  and  $b = -\frac{2t^2}{\Delta} + \frac{4t^4}{\Delta^2} \left( \frac{1}{\Delta} - \frac{1}{2\Delta + \frac{3J_H}{4}} \right)$ . We see that the favored spin configurations are  $\{|T_{\text{Cu,Cu}}^1\rangle, |T_{\text{Cu,Cu}}^{-1}\rangle, |T_{\text{Cu,Cu}}^0\rangle\}$ . The exchange mechanism described in this series leads to an effective coupling that is ferromagnetic between the two copper atoms.

**(B.) Holstein-Primakoff bosons:** We consider the Holstein-Primakoff transformation

$$\begin{cases} S_+ = (\sqrt{2s - \hat{n}}) b \\ S_- = b^\dagger (\sqrt{2s - \hat{n}}) \\ S_z = s - \hat{n} \end{cases} \tag{19}$$

with  $\hat{n} = b^\dagger b$ .

1. We have

$$\begin{aligned}
[S_+, S_-] &= S_+ S_- - S_- S_+ \\
&= (\sqrt{2s - \hat{n}}) \underbrace{bb^\dagger}_{1+b^\dagger b} (\sqrt{2s - \hat{n}}) - b^\dagger (\sqrt{2s - \hat{n}}) (\sqrt{2s - \hat{n}}) b \\
&= (2s - \hat{n}) + (\sqrt{2s - \hat{n}}) \hat{n} (\sqrt{2s - \hat{n}}) - b^\dagger (2s - \hat{n}) b
\end{aligned}$$

but  $[b^\dagger b, b^\dagger b] = 0 \Rightarrow [b^\dagger b, \sqrt{2s - b^\dagger b}] = 0$  et  $\hat{n}b = b^\dagger b b = (b b^\dagger - 1)b = b \hat{n} - b$

$$\begin{aligned}
\Rightarrow [S_+, S_-] &= (2s - \hat{n}) + \hat{n} (2s - \hat{n}) - \hat{n} (2s - \hat{n} + 1) \\
&= 2s - 2\hat{n} \\
&= 2S_z
\end{aligned}$$

For  $[S_z, S_\pm]$ , we have

$$\begin{aligned}
[S_z, S_+] &= [s - \hat{n}, (\sqrt{2s - \hat{n}}) b] \\
&= -(\sqrt{2s - \hat{n}}) \underbrace{[\hat{n}, b]}_{=-b} - \underbrace{[\hat{n}, (\sqrt{2s - \hat{n}})]}_{=0} b \\
&= (\sqrt{2s - \hat{n}}) b \\
&= S_+
\end{aligned}$$

and  $[S_z, S_-] = -[S_z, S_+]^\dagger = -S_-$ .

2. Using

$$\begin{aligned} b^\dagger |n\rangle &= \sqrt{n+1} |n+1\rangle \\ b |n\rangle &= \sqrt{n} |n-1\rangle \\ \hat{n} |n\rangle &= n |n\rangle \end{aligned}$$

we have

$$\begin{aligned} S_z |n\rangle &= (s - \hat{n}) |n\rangle \\ &= (s - n) |n\rangle \end{aligned} \tag{20}$$

For  $\mathbf{S}^2 = S_z^2 + \frac{1}{2}(S_+S_- + S_-S_+)$  we have

$$\begin{aligned} S_z^2 |n\rangle &= (s - \hat{n})^2 |n\rangle \\ &= (s - n)^2 |n\rangle \\ S_+S_- |n\rangle &= \left(\sqrt{2s - \hat{n}}\right) \underbrace{bb^\dagger}_{1+\hat{n}} \left(\sqrt{2s - \hat{n}}\right) |n\rangle \\ &= (2s - n)(1 + n) |n\rangle \\ S_-S_+ |n\rangle &= b^\dagger \left(\sqrt{2s - \hat{n}}\right) \left(\sqrt{2s - \hat{n}}\right) b |n\rangle \\ &= b^\dagger \left(\sqrt{2s - \hat{n}}\right) \left(\sqrt{2s - \hat{n}}\right) \sqrt{n} |n-1\rangle \\ &= b^\dagger (2s - (n-1)) \sqrt{n} |n-1\rangle \\ &= \sqrt{n} (2s - n + 1) \sqrt{n} |n\rangle \\ &= n(2s - n + 1) \end{aligned}$$

hence

$$\begin{aligned} \mathbf{S}^2 |n\rangle &= \left( S_z^2 + \frac{1}{2}(S_+S_- + S_-S_+) \right) |n\rangle \\ &= \left( (s - n)^2 + \frac{1}{2} (2s - n)(1 + n) + \frac{1}{2} n(2s - n + 1) \right) |n\rangle \\ &= s(s + 1) |n\rangle \end{aligned}$$

The state  $|n\rangle$  is thus an eigen state of  $S_z$  and  $\mathbf{S}^2$  with eigenvalues  $m = s - n$  and  $s(s + 1)$  respectively. Therefore we have

$$|n\rangle = |s, m = s - n\rangle$$

For a spin  $s$ , the eigenvalues of  $S_z$  must verify the constraint

$$-s \leq m \leq s$$

which is equivalent to

$$0 \leq n \leq 2s.$$