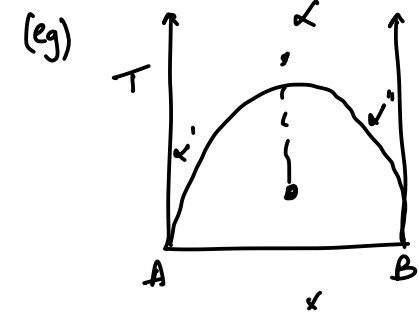


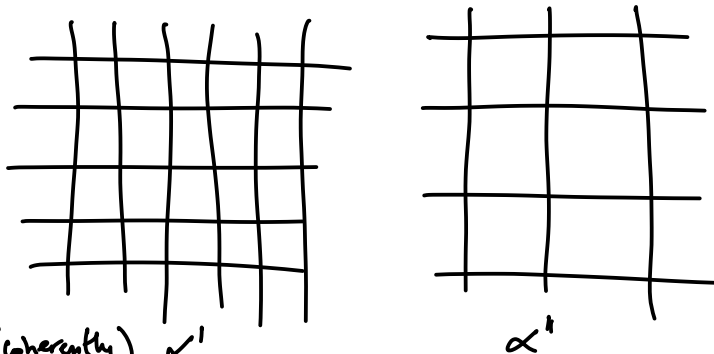
* ROLE OF STRAIN ENERGY:

→ Most phase transformations in solids are accompanied by a change in the crystal structure.



Say A & B have a cubic structure

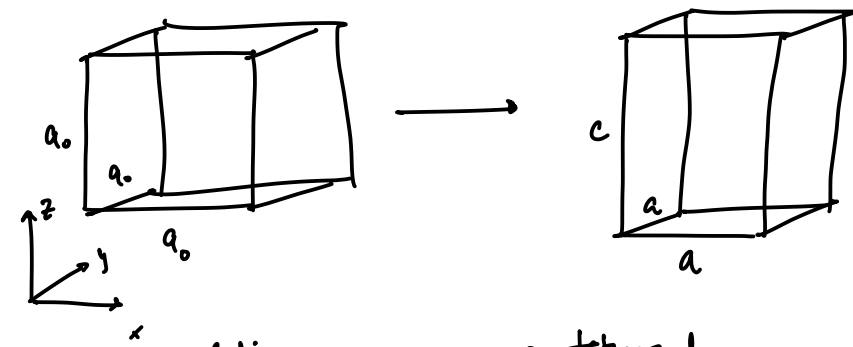
in general the lattice parameter of A and B will be different.



for α' & α to coexist (coherently). α' we will need to strain both or one of them.

MEASURING STRAIN:

- measure of deformation → has to be measured relative to a reference state.

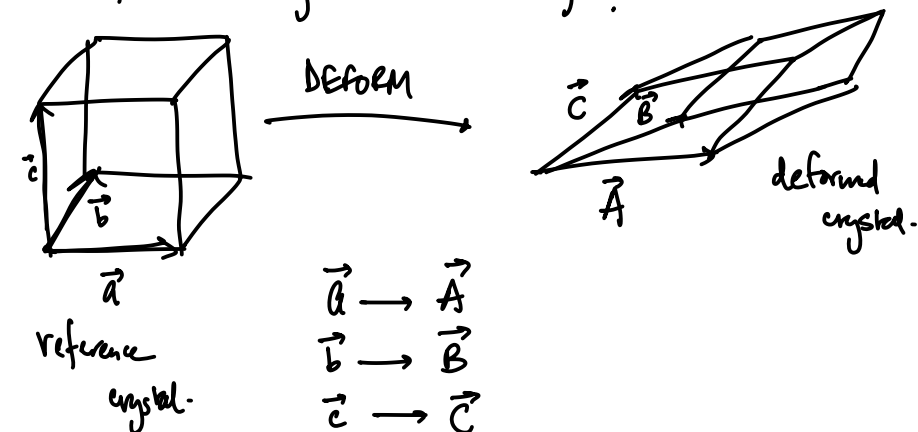


cubic → tetragonal.

if the changes in lengths are small: (infinitesimal strain)

$$\epsilon_{xx} = \frac{a - a_0}{a_0} \quad \epsilon_{yy} = \frac{a - a_0}{a_0} \quad \epsilon_{zz} = \frac{c - a_0}{a_0}$$

What if the changes are much larger?



The deformation can be represented with the DEFORMATION TENSOR (F)

$F \rightarrow 3 \times 3$ matrix

such that

$$F\vec{a} = \vec{A}$$

$$F\vec{b} = \vec{B}$$

$$F\vec{c} = \vec{C}$$

$\vec{a}, \vec{b}, \vec{c}$ can be collected within a matrix:

$$\mathcal{L} = [\vec{a}, \vec{b}, \vec{c}] = \begin{bmatrix} a_x & b_x & c_x \\ a_y & b_y & c_y \\ a_z & b_z & c_z \end{bmatrix}$$

similarly

$$\mathcal{L}' = [\vec{A}, \vec{B}, \vec{C}]$$

$$F[\vec{a}, \vec{b}, \vec{c}] = [\vec{A}, \vec{B}, \vec{C}]$$

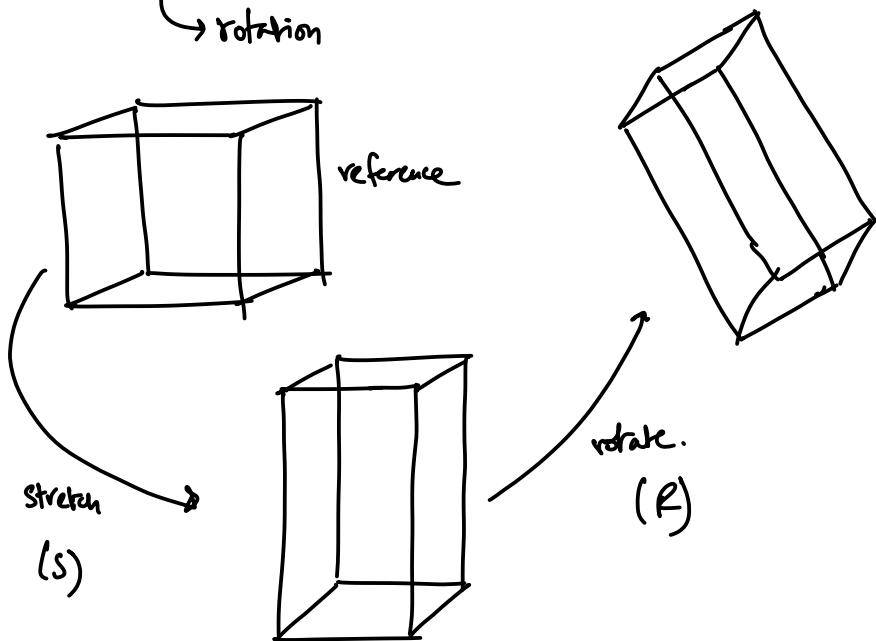
$$\textcircled{1} \boxed{F\mathcal{L} = \mathcal{L}'}$$

if we have $\mathcal{L}, \mathcal{L}' \rightarrow F = \mathcal{L}'\mathcal{L}^{-1}$ $\textcircled{2}$ if we would like to impose a particular strain $F\mathcal{L} = \mathcal{L}'$

We can decompose F as

$$F = R \cdot S \rightarrow \text{stretch.}$$

\hookrightarrow rotation



$R \rightarrow$ rotation matrix \rightarrow unitary
 $\Rightarrow \bar{R}R = I$

Consider:

$$F^T F = (RS)^T (RS) = S^T \underbrace{R^T R}_I S = S^T S$$

$F^T F \rightarrow$ invariant to rigid rotations!

Can we use $F^T F$ as a metric of strain?

say $F = I \Rightarrow F \mathcal{L} = \mathcal{L}' \Rightarrow \mathcal{L} = \mathcal{L}' \Rightarrow$ the crystal is not deformed \Rightarrow strain should be zero.

$$F^T F = I^T I = I \neq 0$$

One metric that is convenient:

$$E = \text{Green-Lagrange strain} = \frac{1}{2}(F^T F - I) = \frac{1}{2}(S^T S - I) \rightarrow \underline{\underline{E \text{ is symmetric}}}$$

when the deformations are small:

$$E \approx \begin{bmatrix} \epsilon_{xx} & \epsilon_{xy} & \epsilon_{xz} \\ \epsilon_{xy} & \epsilon_{yy} & \epsilon_{yz} \\ \epsilon_{xz} & \epsilon_{yz} & \epsilon_{zz} \end{bmatrix}$$

* FREE ENERGY & STRAIN:

The free energy of a phase will in general depend on the state of strain

$$H(\epsilon_{xx}, \epsilon_{yy}, \epsilon_{zz}, \epsilon_{yz}, \epsilon_{yx}, \epsilon_{xz}, c_i, \eta_j, T)$$

In general ϵ_{ij} should be replaced with ϵ_{ji} , we will continue to use ϵ_{ij} for clarity.

Say the reference crystal has a volume V_0 :

$$h = \frac{H}{V_0} = \text{free energy density.}$$

Taylor expand h around $\epsilon_{ij} = 0$

$$h(\underline{\underline{\epsilon}}) = h(0) + \underbrace{\sum_{\alpha\beta} \left. \frac{\partial h}{\partial \epsilon_{\alpha\beta}} \right|_{\epsilon=0}}_{\frac{\partial h}{\partial \epsilon_{\alpha\beta}} = -\sigma_{\alpha\beta}^0} \epsilon_{\alpha\beta} + \sum_{\alpha\beta\gamma\delta} \left. \frac{\partial^2 h}{\partial \epsilon_{\alpha\beta} \partial \epsilon_{\gamma\delta}} \right|_{\epsilon=0} \epsilon_{\alpha\beta} \epsilon_{\gamma\delta} + \dots$$

free energy of the reference crystal

stress we need to impose to keep the crystal in the reference state

elastic moduli

$$C_{\alpha\beta\gamma\delta} = \frac{\partial^2 h}{\partial \epsilon_{\alpha\beta} \partial \epsilon_{\gamma\delta}}$$

Symmetries of $C_{\alpha\beta\gamma\delta}$:

① $\underline{\underline{\epsilon}} \rightarrow$ symmetric matrix.

$$\epsilon_{\alpha\beta} = \epsilon_{\beta\alpha} \Rightarrow C_{\alpha\beta\gamma\delta} = C_{\beta\alpha\gamma\delta} = C_{\alpha\beta\delta\gamma} = C_{\beta\alpha\delta\gamma}$$

② Maxwell relations.

$$\frac{\partial^2 h}{\partial \epsilon_{\alpha\beta} \partial \epsilon_{\gamma\delta}} = \frac{\partial^2 h}{\partial \epsilon_{\gamma\delta} \partial \epsilon_{\alpha\beta}} \Rightarrow C_{\alpha\beta\gamma\delta} = C_{\gamma\delta\alpha\beta}$$

③ Crystal symmetry: \rightarrow Additional constraints:

(eq) cubic

$$C_{1111} = C_{2222} = C_{3333} = C_{11}$$

$$C_{1122} = C_{1133} = C_{2233} = C_{12}$$

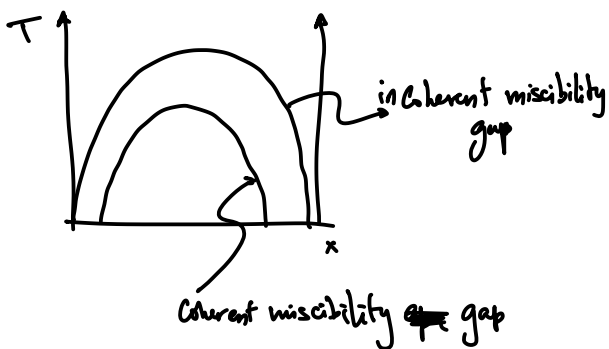
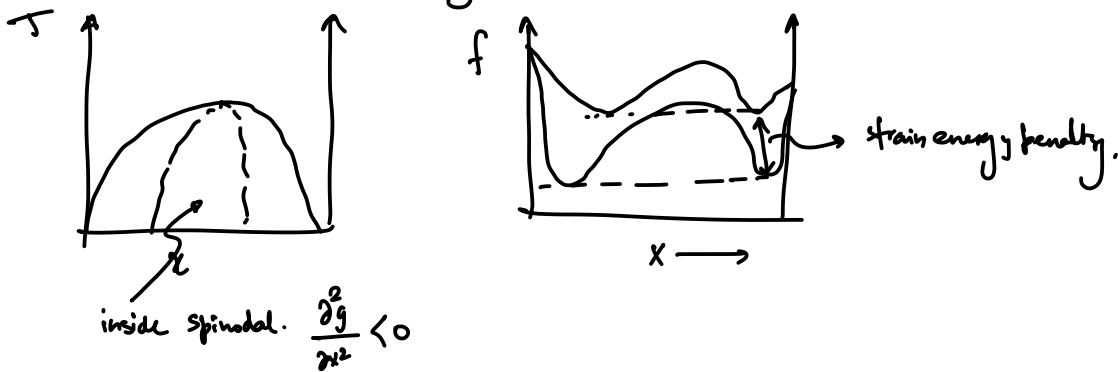
$$C_{1212} = C_{1313} = C_{2323} = C_{44}$$

ROLE OF COHERENCY STRAIN IN PHASE TRANSITIONS:

- * Affects driving forces: reduces chemical driving forces due to strain energy.
- * Affects microstructure
- * Can act as a driving force during coarsening.

Examples:

- * Spinodal decomposition: Coherency strain will suppress two-phase coexistence



- * Evolution equations also need to include strain energy:

$$\frac{\partial c}{\partial t} = L \left(\frac{\partial^2 f^{\text{chemical}}}{\partial x^2} + \frac{\partial^2 f^{\text{strain}}}{\partial x^2} \right) \nabla^2 c - 2k \nabla^4 c$$

- + Additional differential eqns for strain/continuity.

MARTENSITIC PHASE TRANSITIONS:

- Athermal phase transitions, typically occur at the speed of sound.

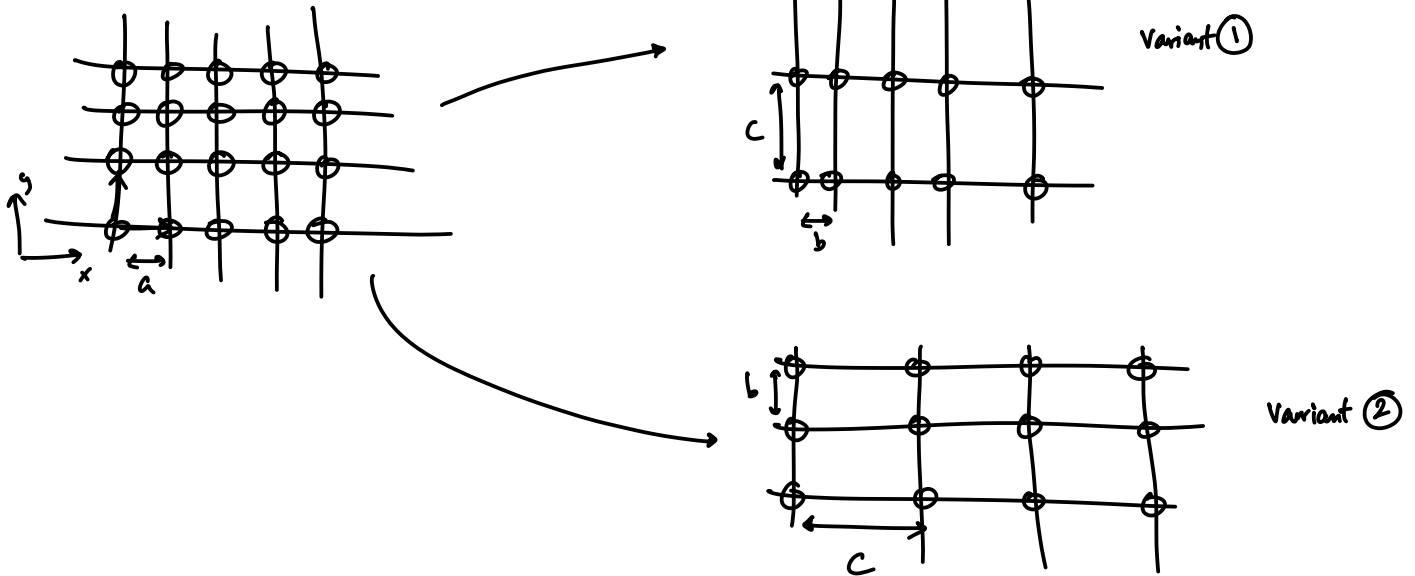
(e.g) Fe-C, Ni-Ti (SMA), Ti-alloys, ferroelectric materials, YSZ, battery materials.

(austenite (fcc)
 ↖ martensite (bct)

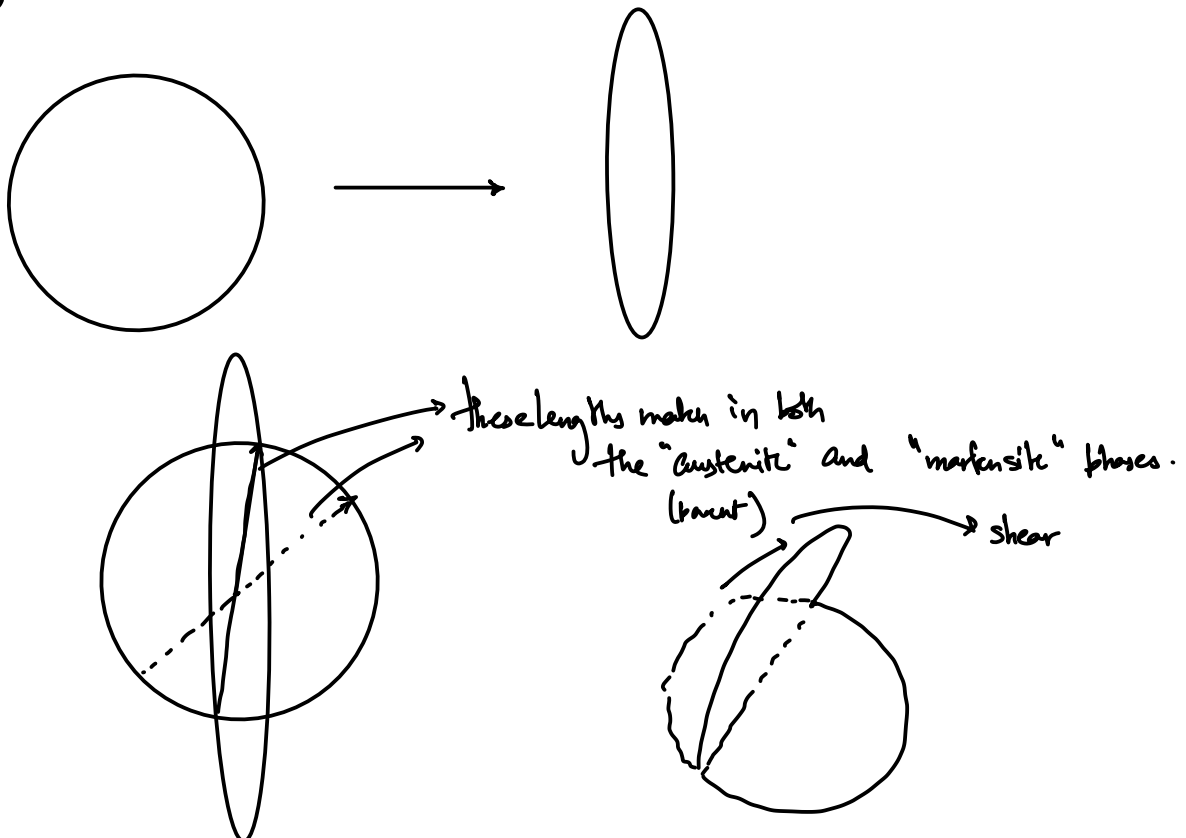
- diffusionless phase transition

- microstructure is chosen to eliminate strain energy all together.

(e.g) 2D example of a martensitic phase transition:



* Eliminating strain with a strain in variant plane:



* Finding The Invariant Plane:

Say the austenite phase has a lattice $\mathcal{L} = [\vec{a} \ \vec{b} \ \vec{c}]$

martensite phase $M = [\vec{A} \ \vec{B} \ \vec{C}]$

we will call the deformation tensor: F

$$\boxed{F\mathcal{L} = M} \Rightarrow \boxed{F = M\mathcal{L}^{-1}}$$

To compute:

\hat{n} → normal vector to the plane that remains unchanged between austenite & martensite.

Given: (\mathcal{L}, M)

→ Recall: $F = R U$ → $F^T F = U^T U$] no rotation matrices are found in $F^T F$

↑
rotation

↑
stretch

↑
tensor product

Let us diagonalize $(F^T F) = \lambda_1^2 \hat{e}_1 \hat{e}_1^T + \lambda_2^2 \hat{e}_2 \hat{e}_2^T + \lambda_3^2 \hat{e}_3 \hat{e}_3^T$

eigenvalues of $F^T F$: $(\lambda_1^2, \lambda_2^2, \lambda_3^2)$

eigenvectors of $F^T F$: $(\hat{e}_1, \hat{e}_2, \hat{e}_3)$

$\hat{e}_1, \hat{e}_2, \hat{e}_3$ → orthogonal unit vectors

$$\hat{e}_1 = \begin{bmatrix} e_1^x \\ e_1^y \\ e_1^z \end{bmatrix} \Rightarrow \begin{aligned} \hat{e}_1^T \hat{e}_1 &= 1 \\ \hat{e}_1^T \hat{e}_2 &= 0 \end{aligned}$$

Notice: $U = \lambda_1 \hat{e}_1 \hat{e}_1^T + \lambda_2 \hat{e}_2 \hat{e}_2^T + \lambda_3 \hat{e}_3 \hat{e}_3^T$

U → also a deformation tensor (except without the rotation)

Say \vec{r} is a vector that we deform with U

$$U\vec{r} = \vec{r}' = \lambda_1 (\hat{e}_1^T \vec{r}) \hat{e}_1 + \lambda_2 (\hat{e}_2^T \vec{r}) \hat{e}_2 + \lambda_3 (\hat{e}_3^T \vec{r}) \hat{e}_3$$

if $\vec{r} = \hat{e}_1, \hat{e}_2, \hat{e}_3$ → only deform by $\lambda_1, \lambda_2, \lambda_3$] →

Eigenstrains (or)
principal strains
(usually defined as $\sqrt{\lambda_i^2 - 1}$)

Consider:

$$F^T F = I + (\lambda_1^2 - 1) \hat{e}_1 \hat{e}_1^T + (\lambda_2^2 - 1) \hat{e}_2 \hat{e}_2^T + (\lambda_3^2 - 1) \hat{e}_3 \hat{e}_3^T$$

$$\text{If } \lambda_1^2 < 1, \lambda_2^2 = 1, \lambda_3^2 > 1$$

\Rightarrow principal strain along $\hat{e}_2 = 0$

⊙ Any vector $\vec{r} = r \hat{e}_2$ after deformation is transformed to $\vec{r}' = r \hat{e}_2$

$$\boxed{\hat{e}_2 \rightarrow \text{Strain invariant direction}}$$

We need to find the more direction to find a strain invariant plane:

$$\boxed{U = \lambda_1 \hat{e}_1 \hat{e}_1^T + \hat{e}_2 \hat{e}_2^T + \lambda_3 \hat{e}_3 \hat{e}_3^T}$$

Consider:

$$\hat{p} = \sqrt{\frac{1 - \lambda_1^2}{\lambda_3^2 - \lambda_1^2}} \hat{e}_3 - \sqrt{\frac{\lambda_3^2 - 1}{\lambda_3^2 - \lambda_1^2}} \hat{e}_1$$

$$|\hat{p}| = 1$$

$$\hat{p} \cdot \hat{e}_2 = 0 \quad [\hat{p} \text{ lies in the plane spanned by } \hat{e}_1, \hat{e}_3]$$

$$U \hat{p} = \hat{p}'$$

$$\left(\lambda_1 \hat{e}_1 \hat{e}_1^T + \hat{e}_2 \hat{e}_2^T + \lambda_3 \hat{e}_3 \hat{e}_3^T \right) \begin{pmatrix} \hat{p} \end{pmatrix} = \lambda_3 \sqrt{\frac{1 - \lambda_1^2}{\lambda_3^2 - \lambda_1^2}} \hat{e}_3 - \lambda_1 \sqrt{\frac{\lambda_3^2 - 1}{\lambda_3^2 - \lambda_1^2}} \hat{e}_1 = \hat{p}'$$

$$|\hat{p}'| = \frac{\lambda_3^2 - \lambda_3^2 \lambda_1^2 + \lambda_1^2 \lambda_3^2 - \lambda_1^2}{\lambda_3^2 - \lambda_1^2} = 1$$

$$\Rightarrow |\hat{p}| = |\hat{p}'| \quad \boxed{R \hat{p} = \hat{p}'} \quad \hat{p} \text{ is only rotated!}$$

As \hat{p} and \hat{e}_2 remain undeformed upon the application of U

$$\Rightarrow \boxed{\hat{n} = \hat{p} \times \hat{e}_2} \rightarrow \text{normal to the strain invariant plane}$$

$$\hat{n} = \sqrt{\frac{\lambda_3^2 - 1}{\lambda_3^2 - \lambda_1^2}} \hat{e}_3 + \sqrt{\frac{1 - \lambda_1^2}{\lambda_3^2 - \lambda_1^2}} \hat{e}_1$$