

Battery Technology

EPFL – Electrochemistry for materials technology

03-11-2025

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BFH-Zentrum Energiespeicherung

Organization

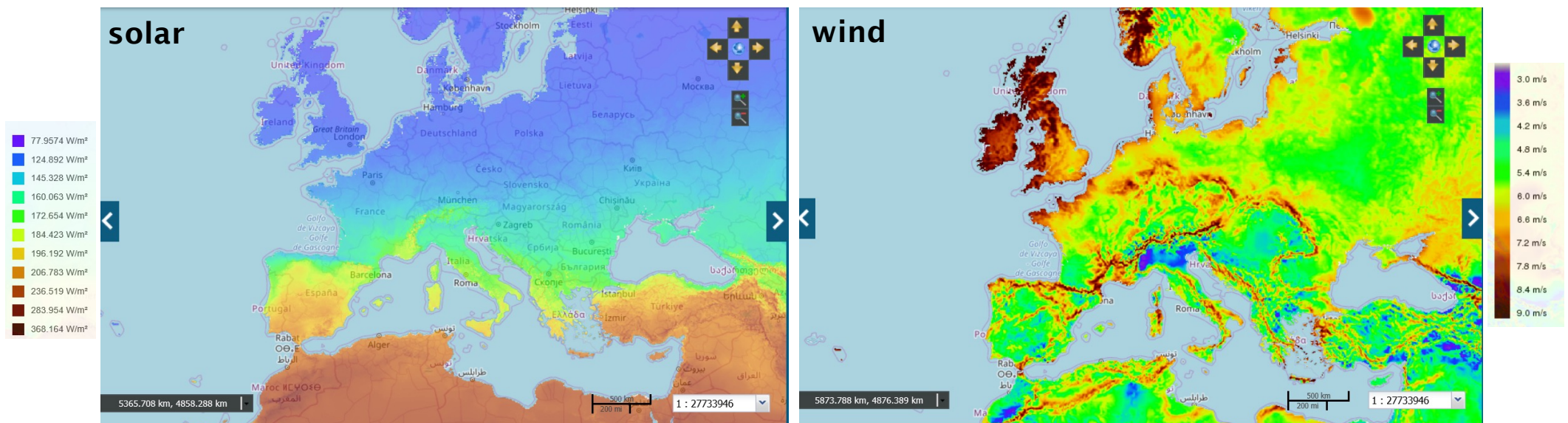
Program - Lecture

1. **Problem statement**
2. **Motivation**
3. **Battery materials**
4. **Battery definitions**
5. **Lifetime mechanisms and degradation**
6. **Testing and models**
7. **From theory to reality**

Problem Statement

Why do we need Energy storage?

Resources are unevenly spread over regions

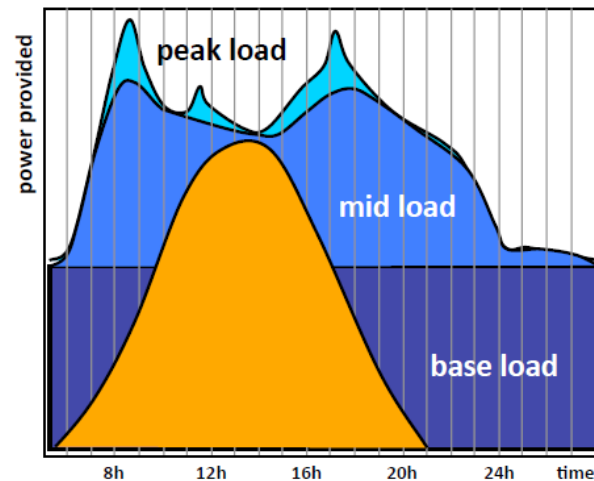


IRENA, VAISAL, global solar and wind maps <https://irena.masdar.ac.ae/gallery/#map/543>

and...

Why do we need Energy storage?

...they vary along the day



Problem 1:

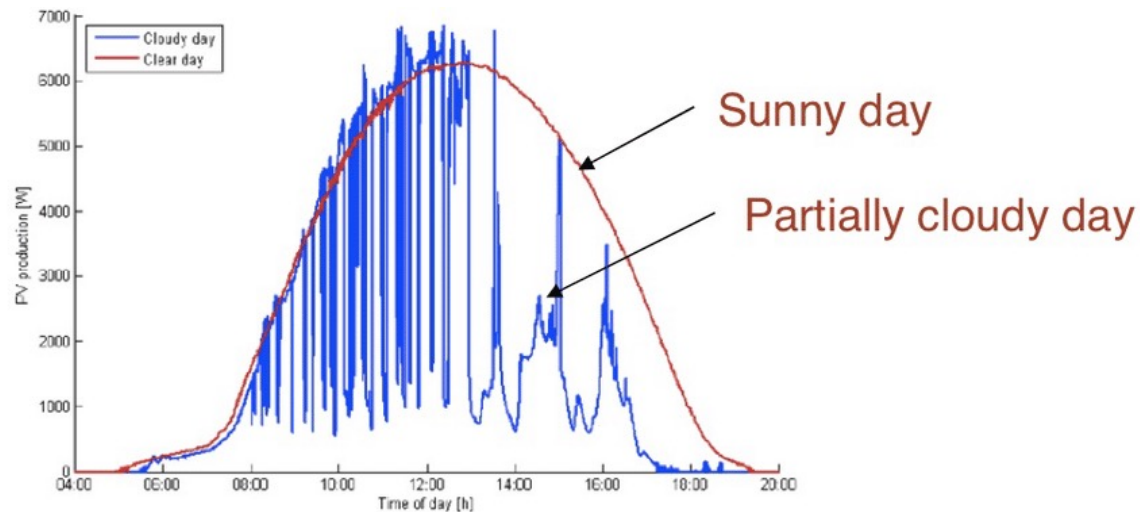
Base load cannot be provided by renewables

Problem 2:

Peak load partially required when no renewables are generated

Why do we need Energy storage?

...they vary along the day



Problem 1:

Base load cannot be provided by renewables

Problem 2:

Peak load partially required when no renewables are generated

Need for energy storage to bring periods between when/where energy is **available** & when/where it is in **demand**

Why do we need Energy storage?

Energy storage can have different aims:

Compensate **geographical distances** between supply and demand

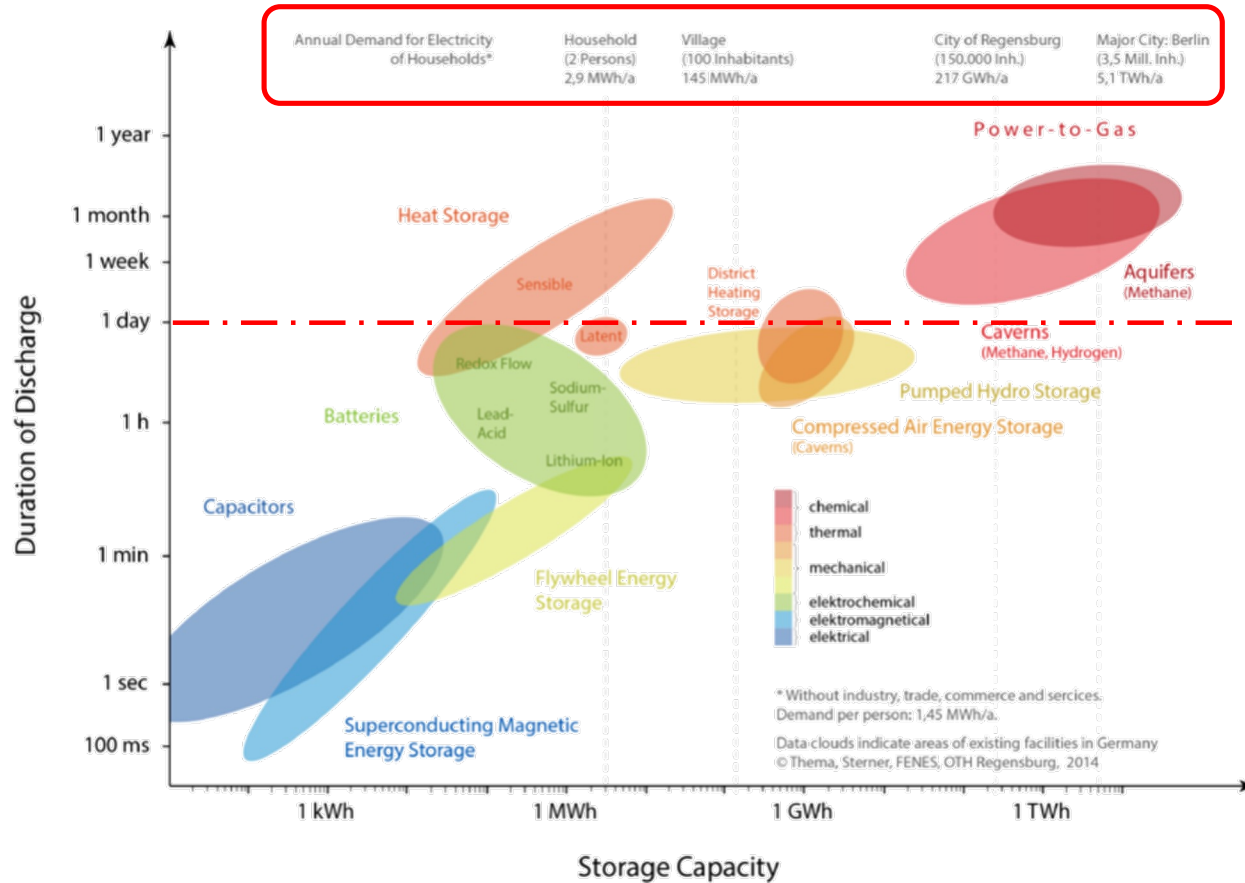
Compensate **time differences** between production and demand, fluctuations:

Bridging **seasonal differences** and imbalances

Leveling daily load cycles, '**peak shaving**'

Improving stability, power quality, and reliability of supply

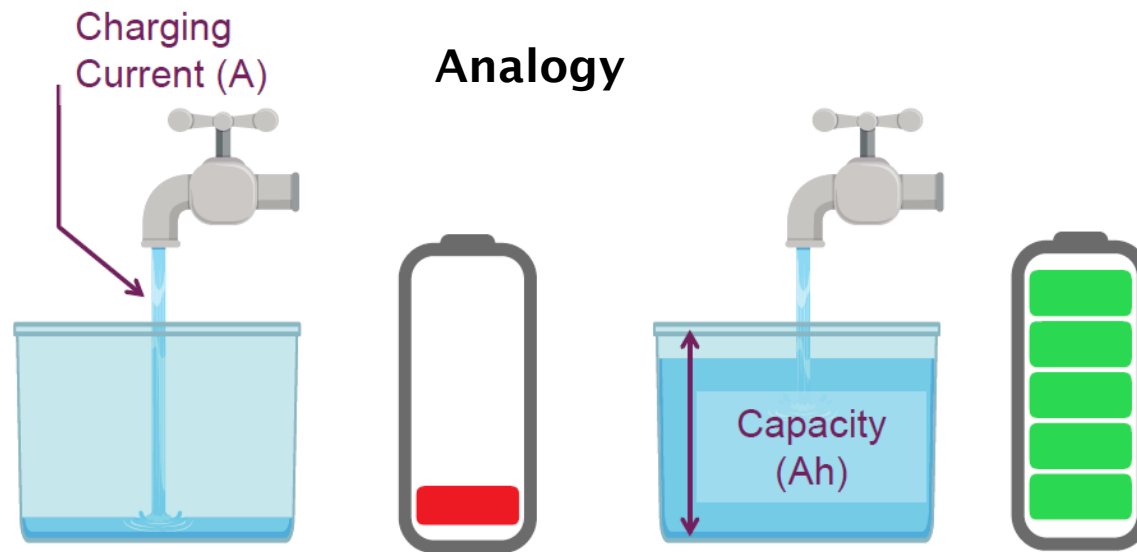
Comparison of Energy Storage technologies



Observations:

- Reference energy needs as term of comparison
- Facilities comparison
- Different technologies for different applications**
- Most technologies in the short-term storage range ($t < 24h$)
- Only chemical-energy storage systems using Power-to-Gas technologies reach the same scale and range as fossil fuel

Energy Storage – Terminology



Current (Amps): Rate of electron flow (water flow)

Capacity (Amp -hours): Amount of electrons a battery can store (container size)

Voltage (Volts): How much work can be done by each electron (like water pressure)

Energy (Watt -hours): Total ability to do work –Capacity x Voltage

Electrochemical storage

Power density:
How quickly can that energy be delivered?



Supercapacitors:
specific energy 10^{-2} - 10^{-1} Wh/kg
specific power 10^1 - 10^4 W/kg
time scale 10^{-1} - 10^{-5} h

- High power output
- Limited storage capacity

Batteries:
specific energy 10^0 - 10^3 Wh/kg
specific power 10^1 - 10^4 W/kg
time scale 10^0 - 10^{-1} h

Fuel cells:
specific energy 10^2 - 10^3 Wh/kg
specific power 10^0 - 10^1 W/kg
time scale 10^1 - 10^2 h

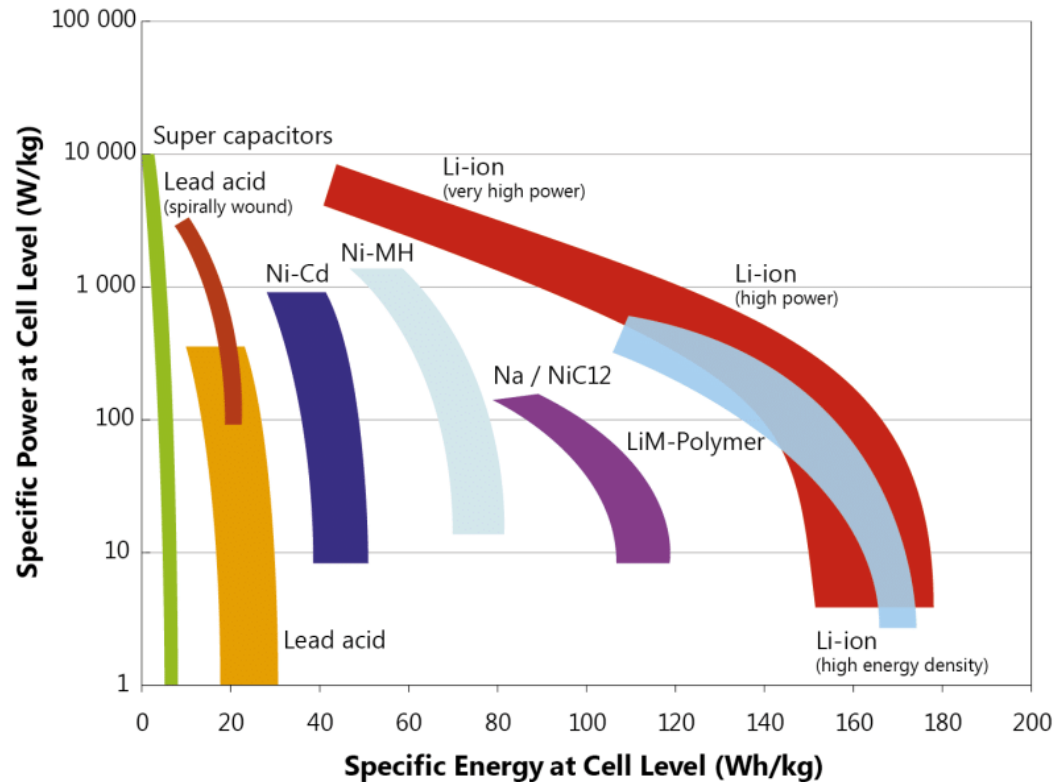
- low power output
- High storage capacity

Source: Rüdiger-A. Eichel, introduction to battery technology JESS 2014.



Energy density:
How much energy is available?

Batteries can be optimised for energy and power



Ragone diagram of the most common accumulators with distinction between high energy and high-power cells. Power values refer to the cell level

Electrochemical storage

Batteries are Electrochemical energy storage devices

Electrochemical devices can **convert electrical energy into chemical energy** and vice versa

Since the conversion from chemical energy into electric energy is direct, the **conversion efficiency is high** (for batteries above 90%)

(Internal Combustion engine efficiency: ~30-40%)

(Fuel Cells: ~40-60%)

Motivation

Battery capacity needed in 2030

4'700 GWh a year globally by 2030

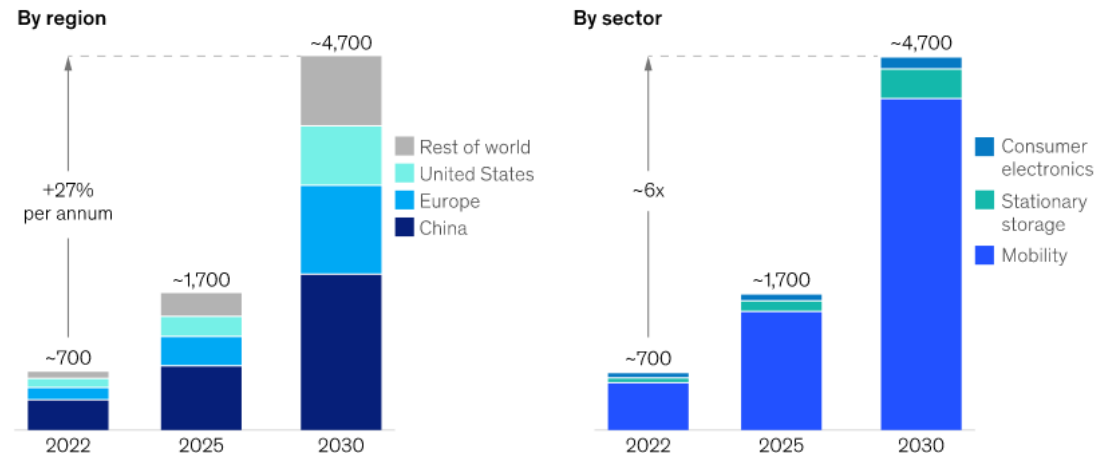
In 2030, 40 % of demand for lithium-ion batteries is expected to come from China

Approximately **90 %** of the demand will come from **mobility** applications most importantly, electric vehicles (EVs)

Over 26 million electric cars were on the road in 2022. (IEA 2023)

Li-ion battery demand is expected to grow by about 27 percent annually to reach around 4,700 GWh by 2030.

Global Li-ion battery cell demand, GWh, Base case

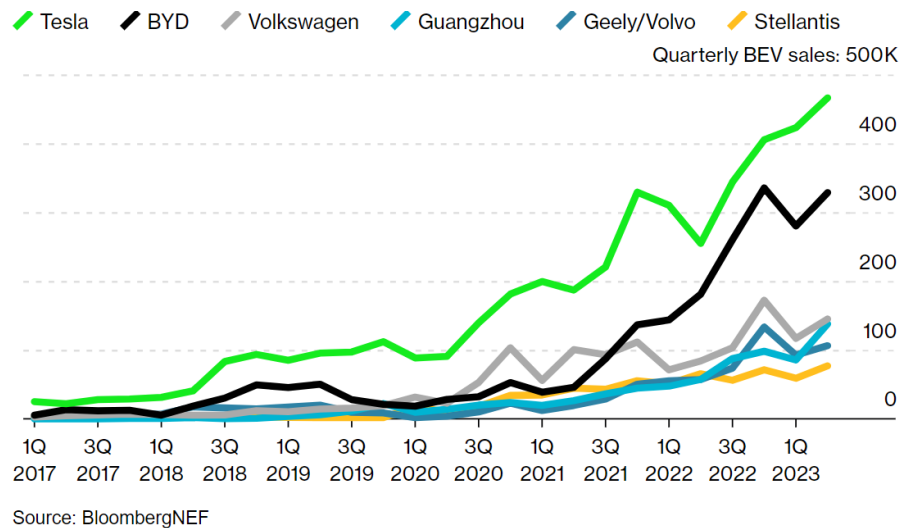


¹Including passenger cars, commercial vehicles, two-to-three wheelers, off-highway vehicles, and aviation.
Source: McKinsey Battery Insights Demand Model

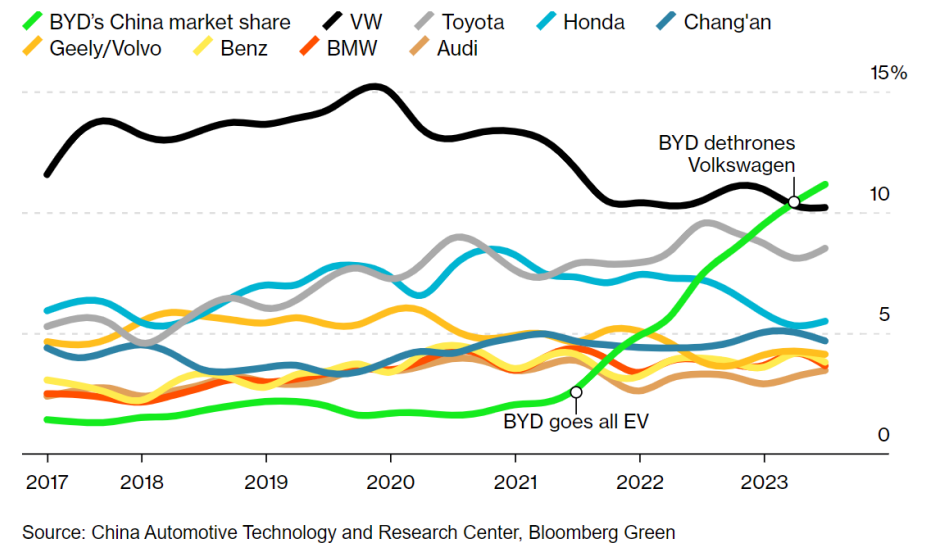
McKinsey & Company

Electrification of Light Duty Vehicles (LDV)

Old-school car manufacturers are losing the global **EV race**.
Volkswagen is a distant third behind the new giants of the industry

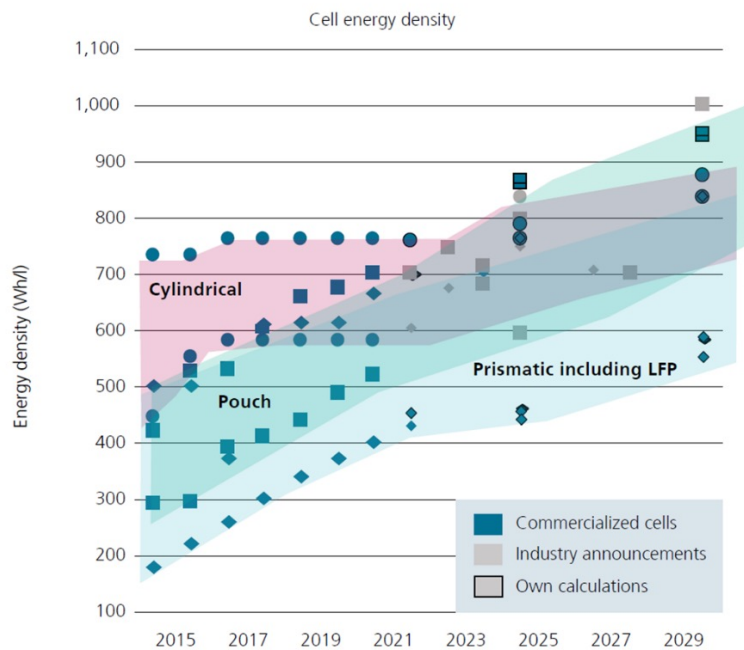


Welcome to the future - China Edition
 BYD became the **best-selling car brand in China** by winning the EV market

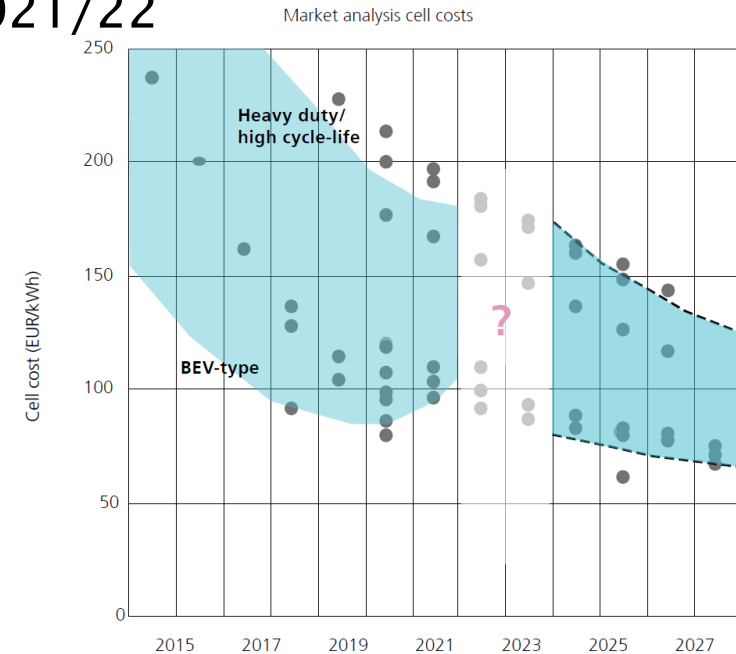


LIB Energy density increases, price falls

Density development for LIBs: Industry announcements and development activities



Analysis of the LIB cell cost forecasts of various analysts and the impact of the increase in raw material costs in 2021/22

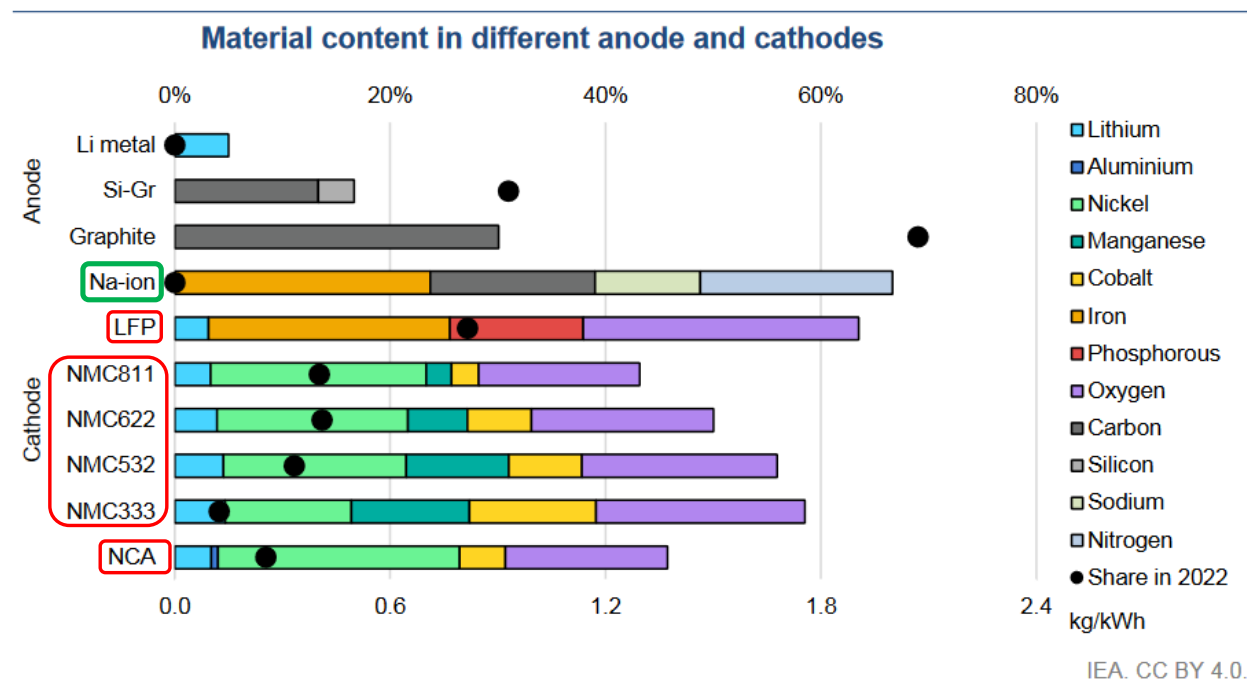


Quelle: Alternative Battery Technologies Roadmap 2030+; Fraunhofer ISI, Sept. 2023

Market shares of different Battery chemistries

In 2022, NMC had market share of 60%, followed by LFP 25%, and NCA with a share of about 8%.

With regards to anodes: Silicon-doped graphite, with the potential to increase Wh/kg account for 30% of market share. Lithium metal anodes, which could yield even greater energy density when they become commercially available.



Source: Global EV Outlook 2023 Catching up with climate ambitions. IEA April 2023

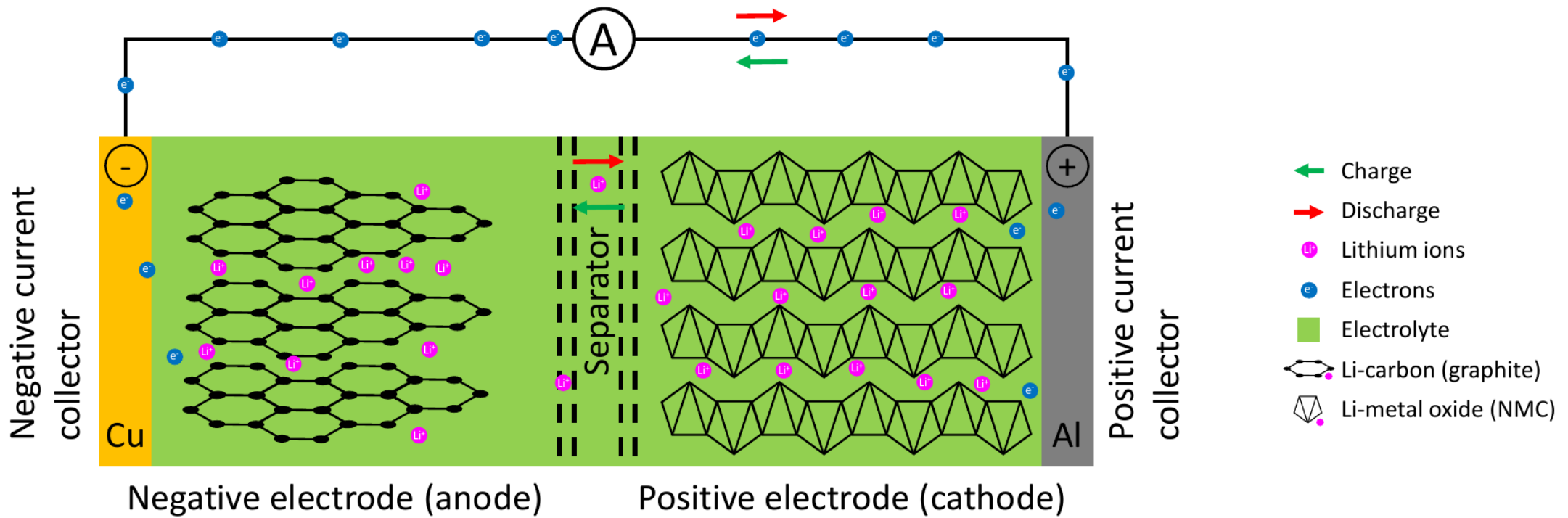
NMC = Lithium Nickel-Manganese-Cobalt oxides ($\text{LiNi}_x\text{Mn}_y\text{Co}_{1-x-y}\text{O}_2$)

LFP = Lithium iron phosphate (LiFePO_4)

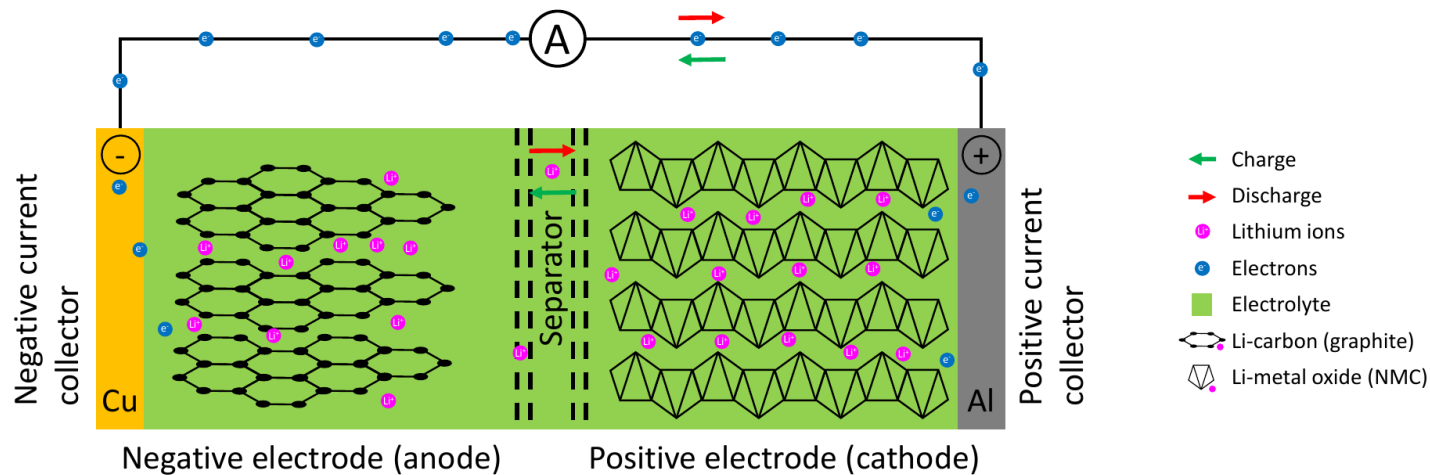
NCA = Lithium nickel cobalt aluminum oxide ($\text{LiNi}_x\text{Co}_y\text{Al}_z\text{O}_2$)

Battery Materials

Lithium-Ion Batteries



Lithium-Ion Batteries



A= ammeter (device to measure electric current)

The **collector** is a conductive material that **collects the current** generated by the electrochemical reactions occurring at the electrodes

Collectors are responsible for **conducting the electrical current** from the electrodes to the **external circuit**

Electrodes are conductive materials that facilitate the **electrochemical reactions** within the cell

Electrodes are composed of active materials that undergo **oxidation and reduction** reactions during charge and discharge cycles

Separator made of a porous material that allows for the passage of ions while preventing direct contact between the electrodes

Why Lithium?



Lithium properties:

- Strong reducing agent
- High potential
- Light and stable
- Excellent reversibility
- High energy density

Strong oxidizing agent

Potential (V)	Reduction Half-Reaction
+2.87	$\text{F}_2(\text{g}) + 2 \text{e}^- \longrightarrow 2 \text{F}^-(\text{aq})$
+1.51	$\text{MnO}_4^-(\text{aq}) + 8 \text{H}^+(\text{aq}) + 5 \text{e}^- \longrightarrow \text{Mn}^{2+}(\text{aq}) + 4 \text{H}_2\text{O}(\text{l})$
+1.36	$\text{Cl}_2(\text{g}) + 2 \text{e}^- \longrightarrow 2 \text{Cl}^-(\text{aq})$
+1.33	$\text{Cr}_2\text{O}_7^{2-}(\text{aq}) + 14 \text{H}^+(\text{aq}) + 6 \text{e}^- \longrightarrow 2 \text{Cr}^{3+}(\text{aq}) + 7 \text{H}_2\text{O}(\text{l})$
+1.23	$\text{O}_2(\text{g}) + 4 \text{H}^+(\text{aq}) + 4 \text{e}^- \longrightarrow 2 \text{H}_2\text{O}(\text{l})$
+1.06	$\text{Br}_2(\text{l}) + 2 \text{e}^- \longrightarrow 2 \text{Br}^-(\text{aq})$
+0.96	$\text{NO}_3^-(\text{aq}) + 4 \text{H}^+(\text{aq}) + 3 \text{e}^- \longrightarrow \text{NO}(\text{g}) + 2 \text{H}_2\text{O}(\text{l})$
+0.80	$\text{Ag}^+(\text{aq}) + \text{e}^- \longrightarrow \text{Ag}(\text{s})$
+0.77	$\text{Fe}^{3+}(\text{aq}) + \text{e}^- \longrightarrow \text{Fe}^{2+}(\text{aq})$
+0.68	$\text{O}_2(\text{g}) + 2 \text{H}^+(\text{aq}) + 2 \text{e}^- \longrightarrow \text{H}_2\text{O}_2(\text{aq})$
+0.59	$\text{MnO}_4^-(\text{aq}) + 2 \text{H}_2\text{O}(\text{l}) + 3 \text{e}^- \longrightarrow \text{MnO}_2(\text{s}) + 4 \text{OH}^-(\text{aq})$
+0.54	$\text{I}_2(\text{s}) + 2 \text{e}^- \longrightarrow 2 \text{I}^-(\text{aq})$
+0.40	$\text{O}_2(\text{g}) + 2 \text{H}_2\text{O}(\text{l}) + 4 \text{e}^- \longrightarrow 4 \text{OH}^-(\text{aq})$
+0.34	$\text{Cu}^{2+}(\text{aq}) + 2 \text{e}^- \longrightarrow \text{Cu}(\text{s})$
0 [defined]	$2 \text{H}^+(\text{aq}) + 2 \text{e}^- \longrightarrow \text{H}_2(\text{g})$
-0.28	$\text{Ni}^{2+}(\text{aq}) + 2 \text{e}^- \longrightarrow \text{Ni}(\text{s})$
-0.44	$\text{Fe}^{2+}(\text{aq}) + 2 \text{e}^- \longrightarrow \text{Fe}(\text{s})$
-0.76	$\text{Zn}^{2+}(\text{aq}) + 2 \text{e}^- \longrightarrow \text{Zn}(\text{s})$
-0.83	$2 \text{H}_2\text{O}(\text{l}) + 2 \text{e}^- \longrightarrow \text{H}_2(\text{g}) + 2 \text{OH}^-(\text{aq})$
-1.66	$\text{Al}^{3+}(\text{aq}) + 3 \text{e}^- \longrightarrow \text{Al}(\text{s})$
-2.71	$\text{Na}^+(\text{aq}) + \text{e}^- \longrightarrow \text{Na}(\text{s})$
-3.05	$\text{Li}^+(\text{aq}) + \text{e}^- \longrightarrow \text{Li}(\text{s})$

Strong reducing agent

Lithium-ion batteries



Lithium-Nickel-Manganese-Cobalt Graphite Oxide (NMC)

Lithium-Nickel-Cobalt-Aluminum and Graphite Oxide (NCA)

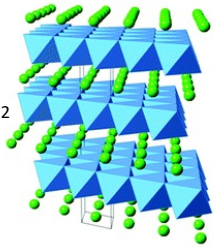
Lithium Iron Phosphate (LFP)

Lithium Titanate (LTO)

Electrodes materials of conventional Li ion battery

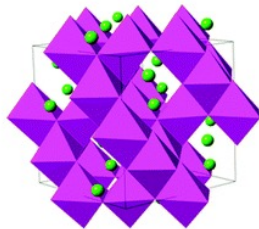
MeOx: Layered structure

LiCoO₂
 LiNi_{0.33}Mn_{0.33}Co_{0.33}O₂
 LiNi_{0.8}Co_{0.15}Al_{0.05}O₂
 LiNiO₂



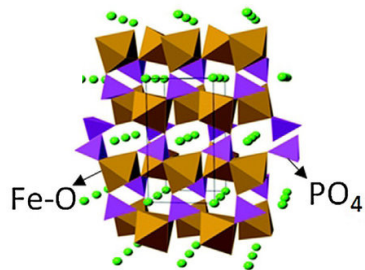
MeOx: Spinel structure

LiMn₂O₄

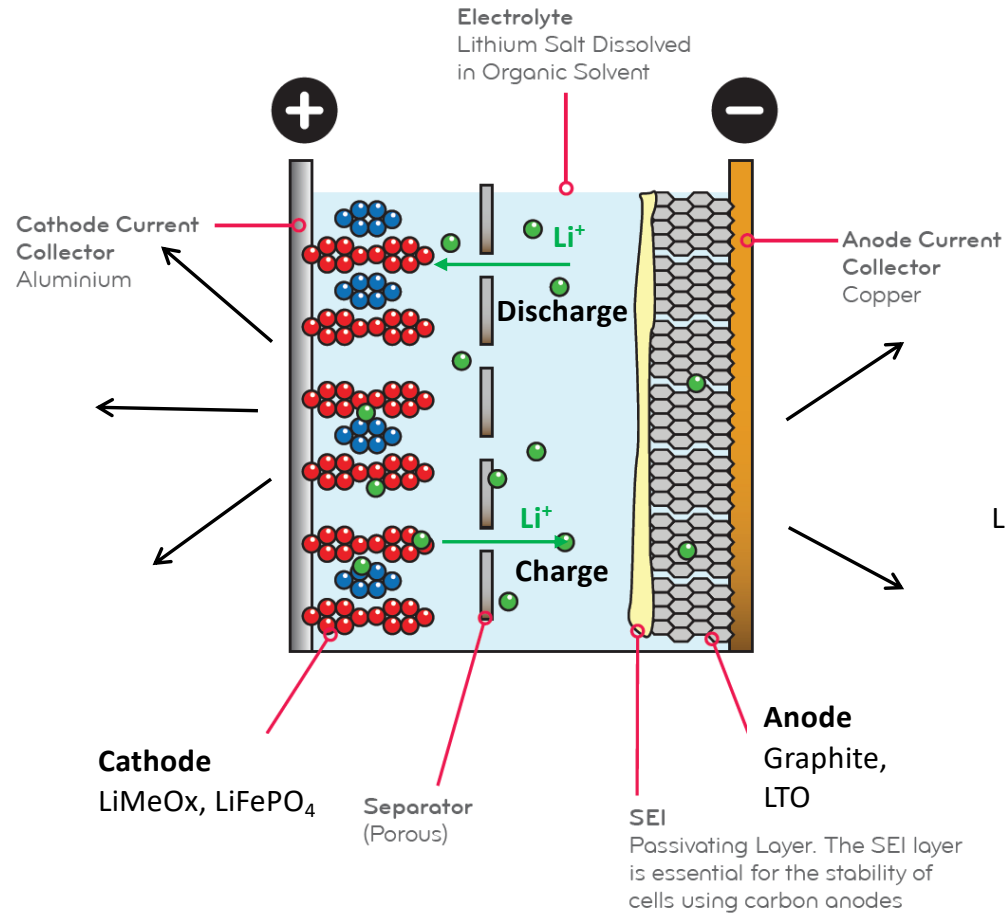


FePO₄: Olivine structure

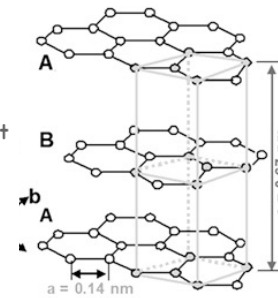
LiFePO₄



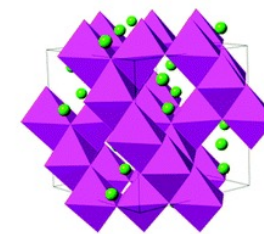
Electrolyte
 Lithium Salt Dissolved
 in Organic Solvent



Graphite or C: Layered structure



Lithium titanate LTO: Spinel structure



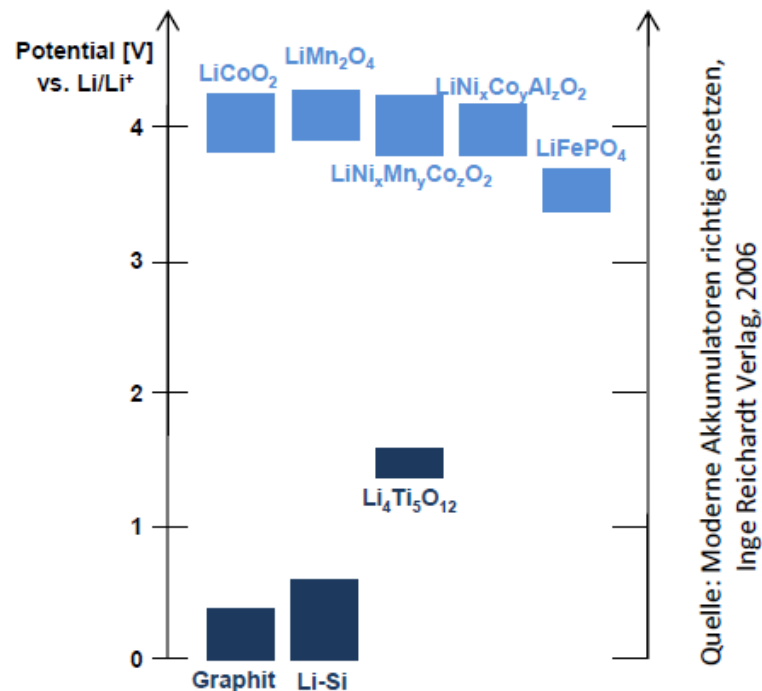
Li₄Ti₅O₁₂

Overview of electrode materials

Positive Electrode materials

Metal -oxide	}	LiCoO_2	«LCO»
		$\text{LiNi}_x\text{Mn}_y\text{Co}_{1-x-y}\text{O}_2$	«NMC»
		$\text{LiNi}_a\text{Co}_b\text{Al}_{1-a-b}\text{O}_2$	«NCA»
		LiNiO_2	«LNO»
Phosphate	}	LiMn_2O_4	«LMO»
		LiFePO_4	«LFP»

x mostly 0.33; y mostly 0.33 (Less Co in the Future, but more Ni)
a mostly 0.80; b mostly 0.15;

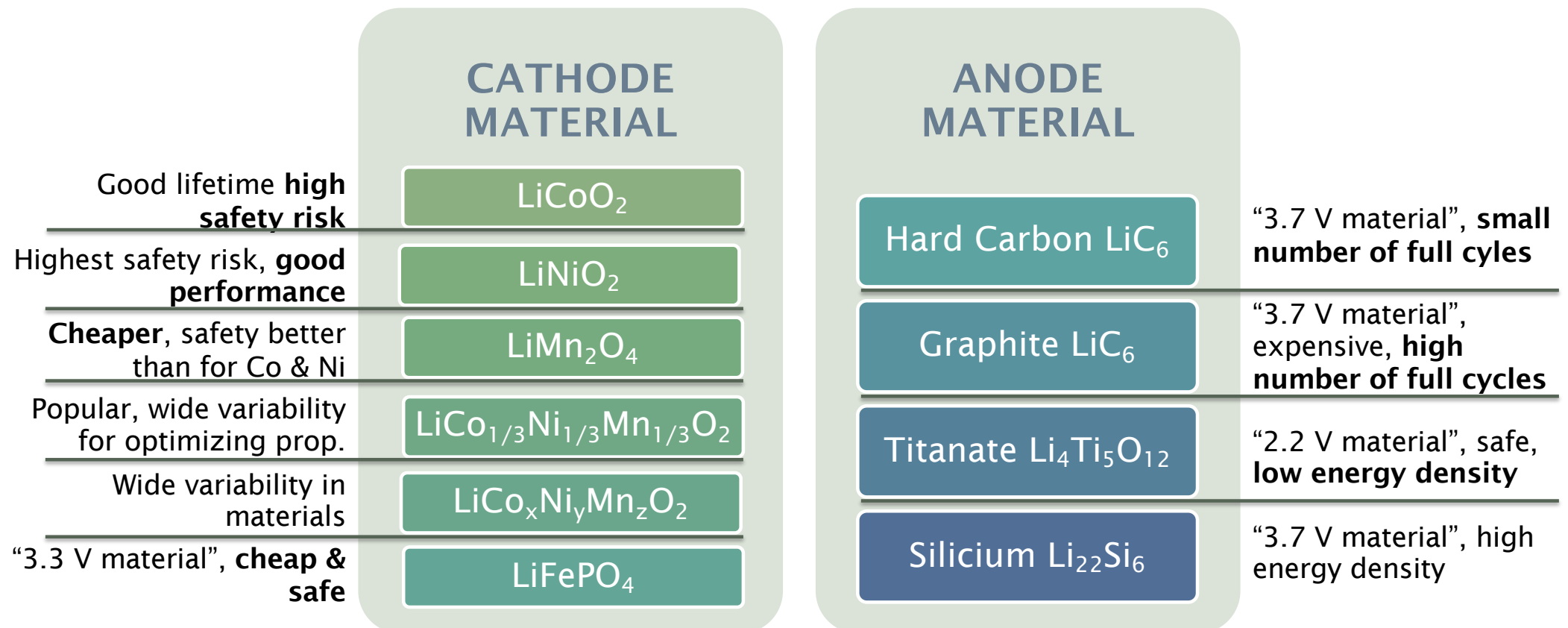


Negative Electrodes materials

C «Graphite»*

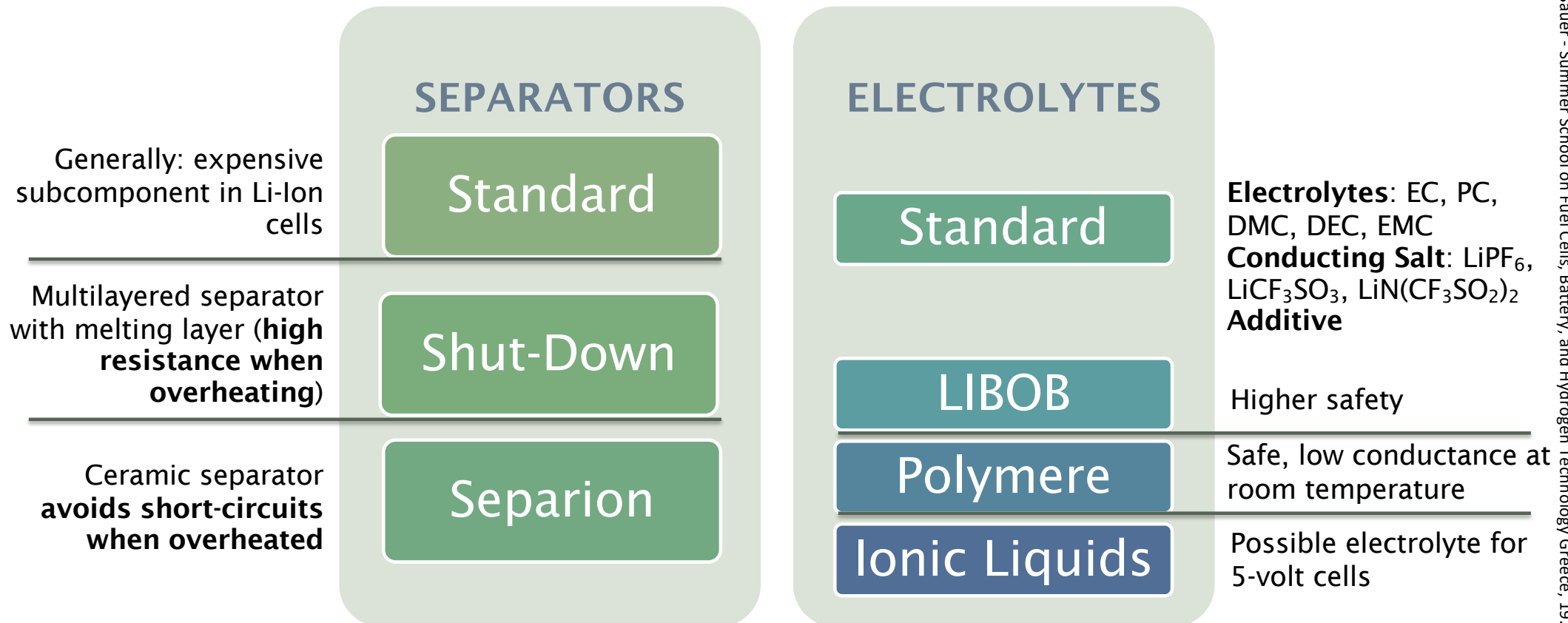
$\text{Li}_4\text{Ti}_5\text{O}_{12}$ «LTO»

Electrodes materials of conventional Li ion battery



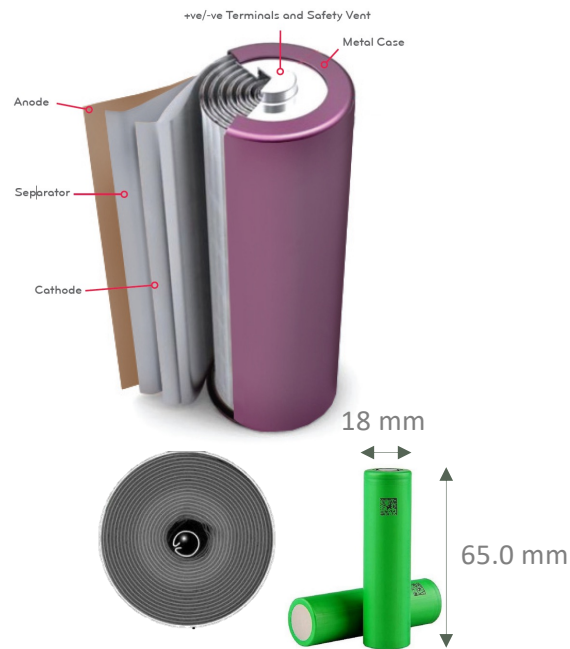
Electrodes materials of conventional Li ion battery

Electrolyte and binding materials are central component regarding low-temperature and lifetime performance.



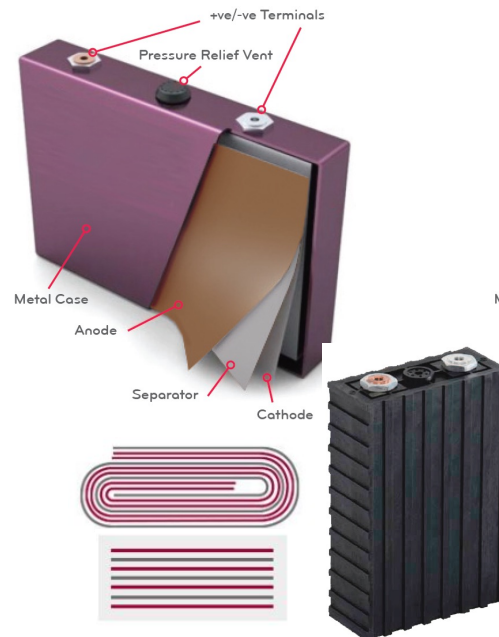
Different cell formats

Cylindrical



- A lot of experience in cell design
- High life-time
- Complex Cooling
- Supplier e.g. Saft, Sanyo, LG

Prismatic



- Easy stacking in battery packs
- Combines characteristics of the other cell designs
- Supplier e.g. Sanyo, Lishen

Pouch



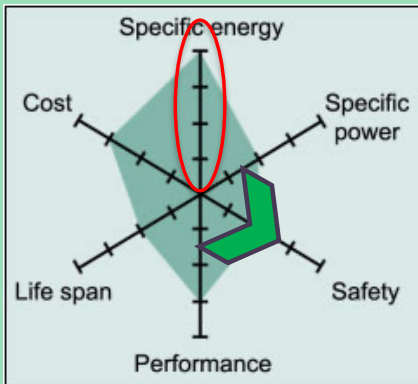
- Good cooling character
- High energy density
- Main question: Tightness of the film
- Supplier e.g. Kokam, Leclanche

Evaluation criteria and key challenges

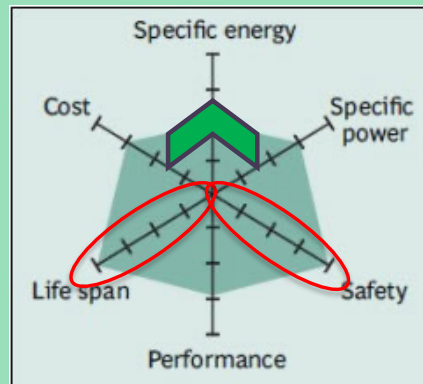


Versatility of lithium-ion technology

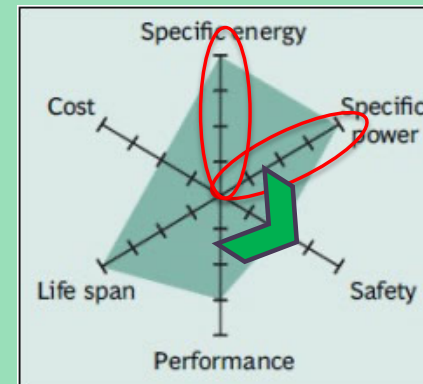
Positive Elektrode
(Kathode)



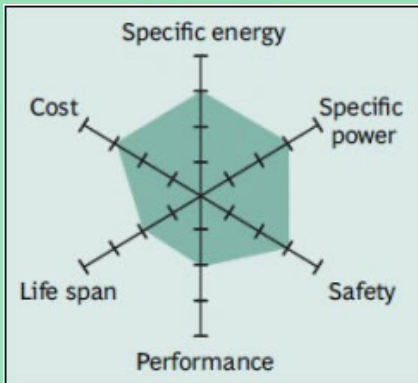
Lithium-Kobalt-Oxid
LCO (LiCoO_2)



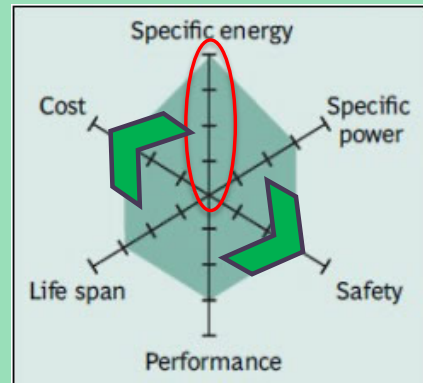
Lithium-Eisenphosphat
LFP (LiFePO_4)



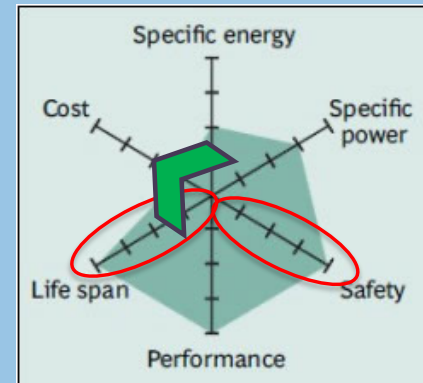
Lithium-Nickel-Kobalt-Aluminium-Oxid
NCA ($\text{LiNi}_a\text{Co}_b\text{Al}_{1-a-b}\text{O}_2$)



Lithium-Mangan-Oxid
LMO (LiMn_2O_4)



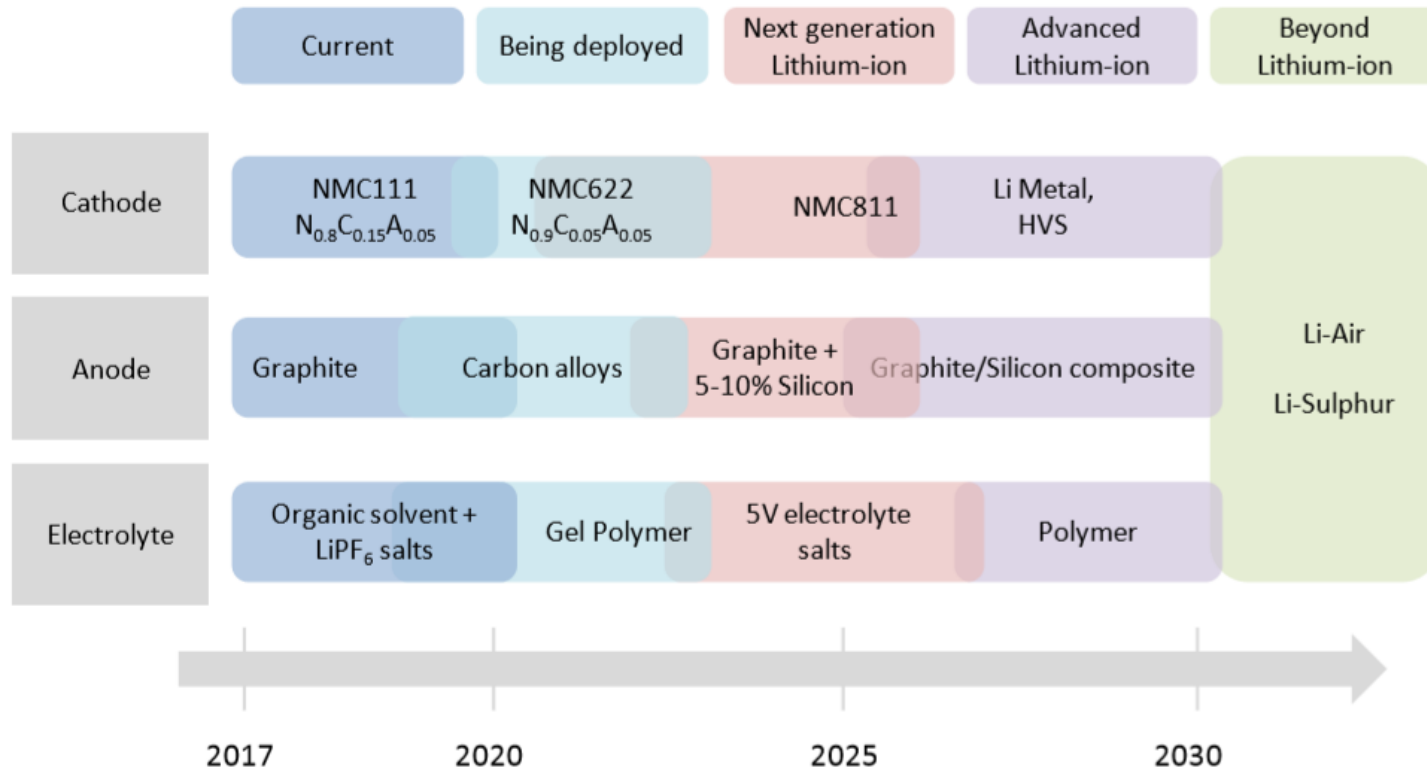
Lithium-Nickel-Mangan-Kobalt-Oxid
NMC ($\text{LiNi}_x\text{Mn}_y\text{Co}_{1-x-y}\text{O}_2$)



Lithium-Titan-Oxid oder Lithium-Titanat
LTO ($\text{Li}_4\text{Ti}_5\text{O}_{12}$)

Negative Elektrode
(Anode)

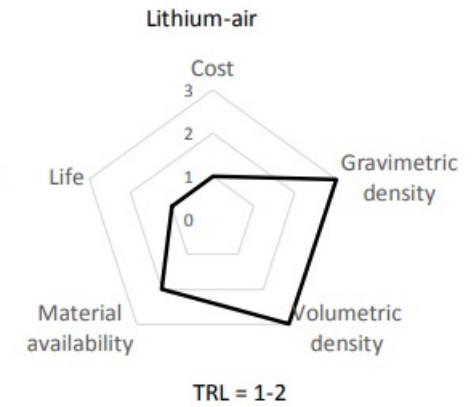
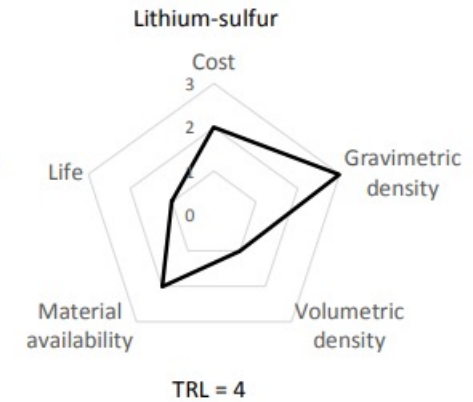
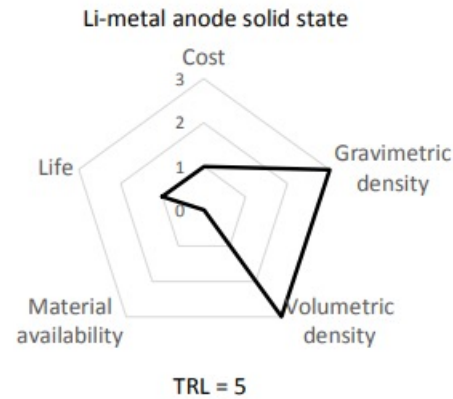
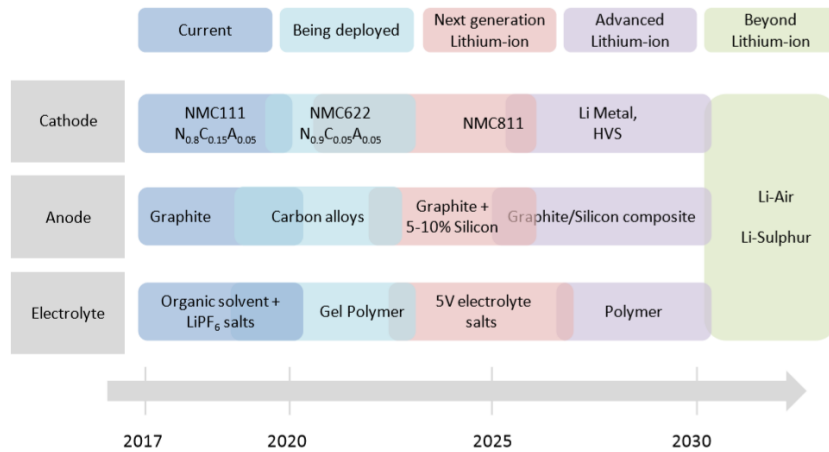
Next Li-ion batteries



Quelle: Global EV Outlook 2018, IEA, https://www.empa.ch/documents/56164/1537214/EmpaQuarterly_58d.pdf/c82dc4e8-b577-401c-9faa-786b2556783d, https://batteryuniversity.com/learn/article/bu_218_summary_table_of_future_batteries

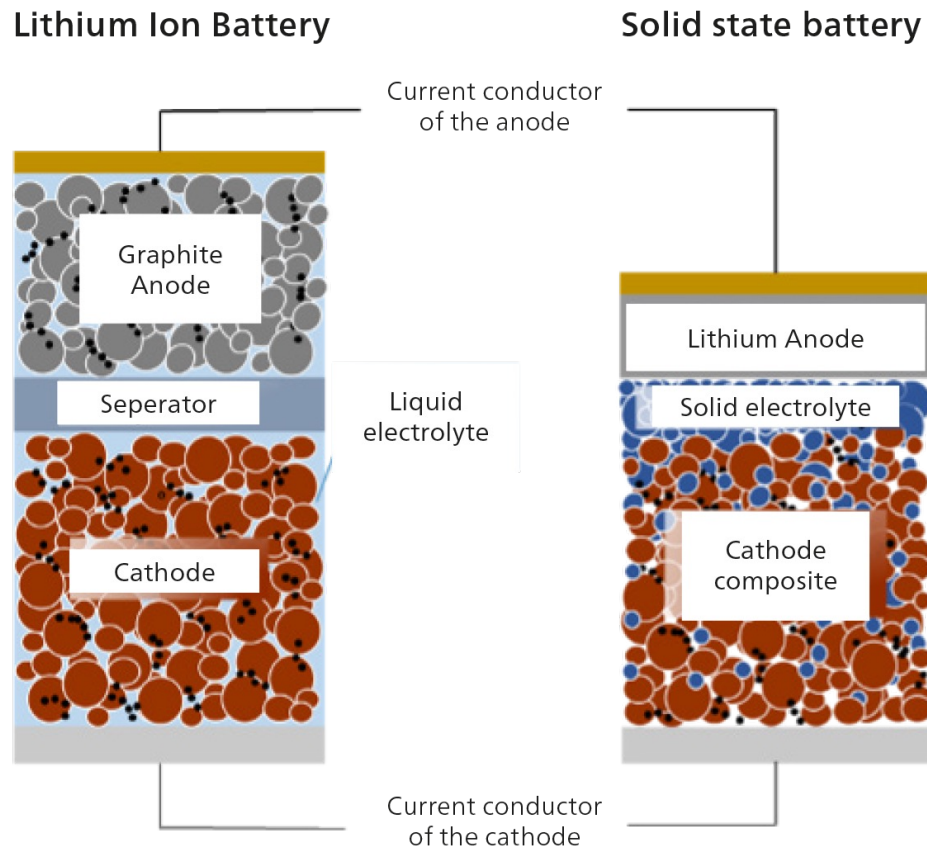
Next Li-ion batteries

Quelle: Global EV Outlook 2018, IEA, https://www.empa.ch/documents/56164/1537214/EmoaQuarterly_58d.pdf/c82dc4e8-b577-40fe-9faa-786b2556783d, https://batteryuniversity.com/learn/article/bu_218_summary_table_of_future_batteries



Quelle: Global EV Outlook 2020, IEA & Innovation Gaps, 2019 IEA; Energy Technology Perspectives 2020, IEA

Solid State Batteries (SSB)



Advantages:

- High energy density**
- Increased safety**
- Thermal runaway eliminated**
- Longer service life**
- Compact design**
- Potential for fast charging**

Disadvantages:

- High cost (complex manufacturing)**
- Sensitivity to temperature fluctuations**
- Problems at the interface between electrodes and electrolyte**
- Self-discharge**

Immagine: Raylase, <https://www.raylase.de/en/applications/Battery-Production-electric-mobility/solid-state-batteries-1.html>

Battery Definitions

EV Battery Pack

Cells are the smallest individual electrochemical unit and deliver a voltage that depends on the cell chemistry.

Batteries modules and **packs** are made up from groups of cells connected in series and parallel

Cylindrical cell

A tough steel casing makes these cells difficult to open. Often durable glue combines thousands of cells into packs.



1 Cathode

The cathode typically holds the most valuable recyclable material, made up of many metals.

2 Anode

Negative electrodes are composed of graphite, carbon, or silicon-based components.

Cell components

Each cell houses the essential components of a battery. They release and store electricity as lithium atoms move between electrodes.



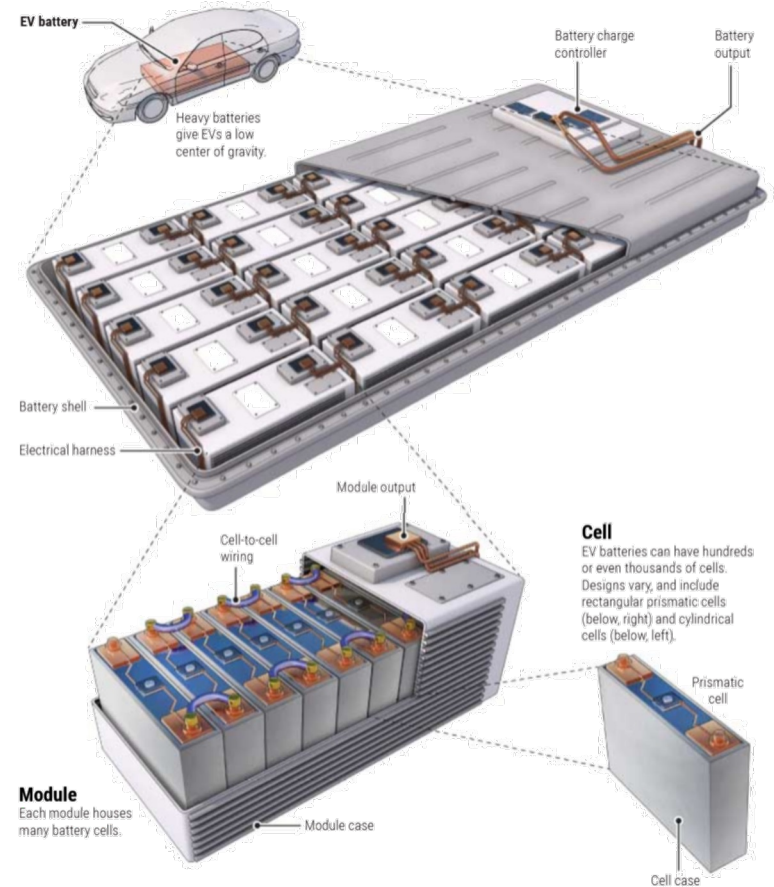
3 Electrolyte and separator

Lithium travels through a separator sheet soaked in electrolyte.

C. BICKEL/SCIENCE

EV battery pack

Inside the pack, electrical components manage the charge and stability of dozens of modules.



Cell
EV batteries can have hundreds or even thousands of cells. Designs vary, and include rectangular prismatic cells (below, right) and cylindrical cells (below, left).

Module
Each module houses many battery cells.

But what exactly is a lithium-ion battery pack?

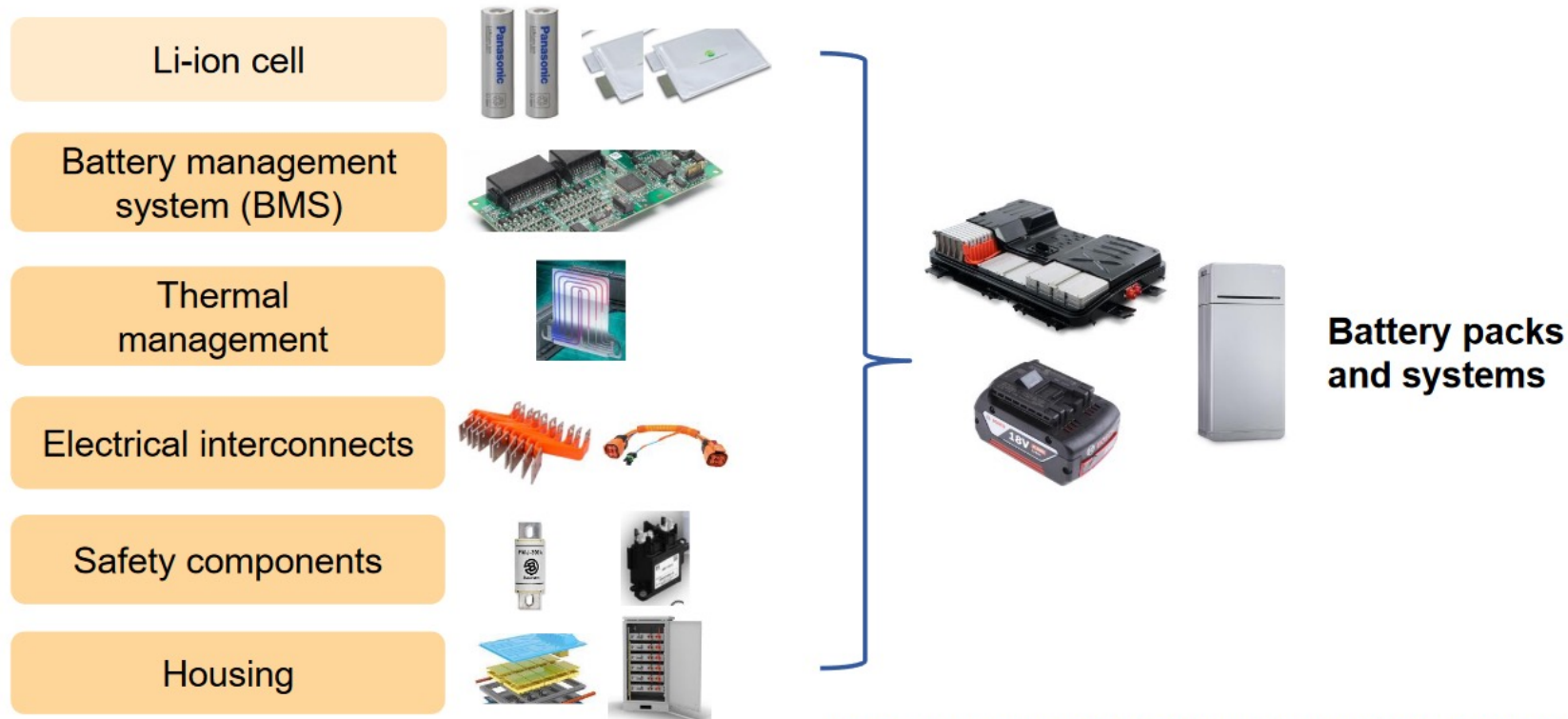


Image sources: Panasonic, AESC Envision, Samsung SDI, Nissan (The Elec), Chevrolet, General Motors, Lithium Balance, Eaton, EG Electronics, Bizlinktech, Voltacon, Sabic, Bosch, LG ES.

Definitions I

U [V]: Voltage or electrochemical **potential difference between the electrodes** (+Pole und -Pole) of a cell, a pack, or a system

I [A]: Current, in form of electrons, which flows between the poles

P [W]: Power

$$P = U \times I$$
$$[W] = [V] \times [A]$$

t [h]: Time, during which the current flows

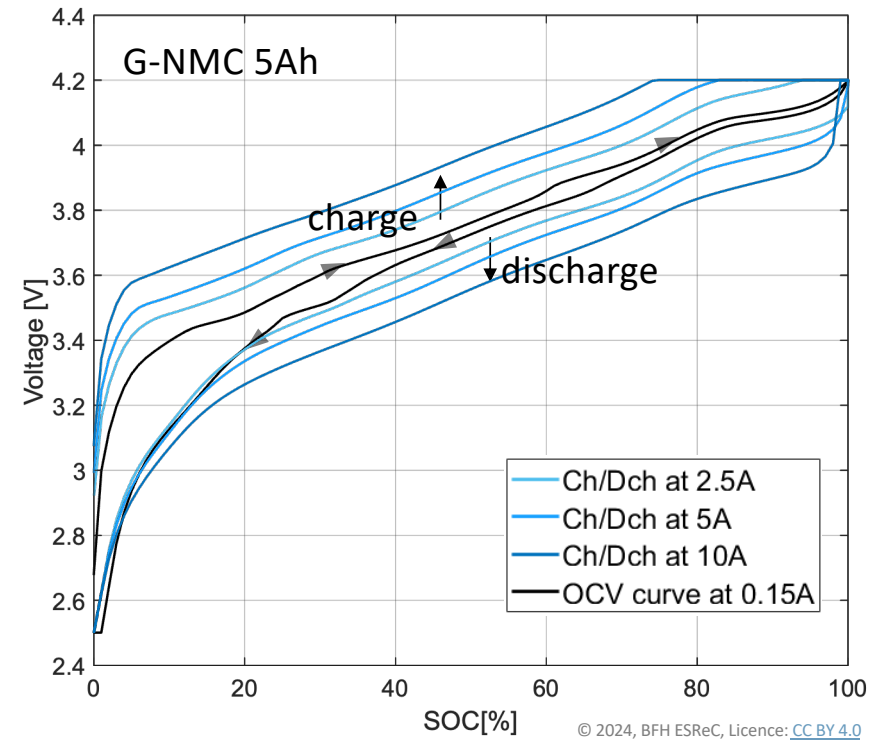
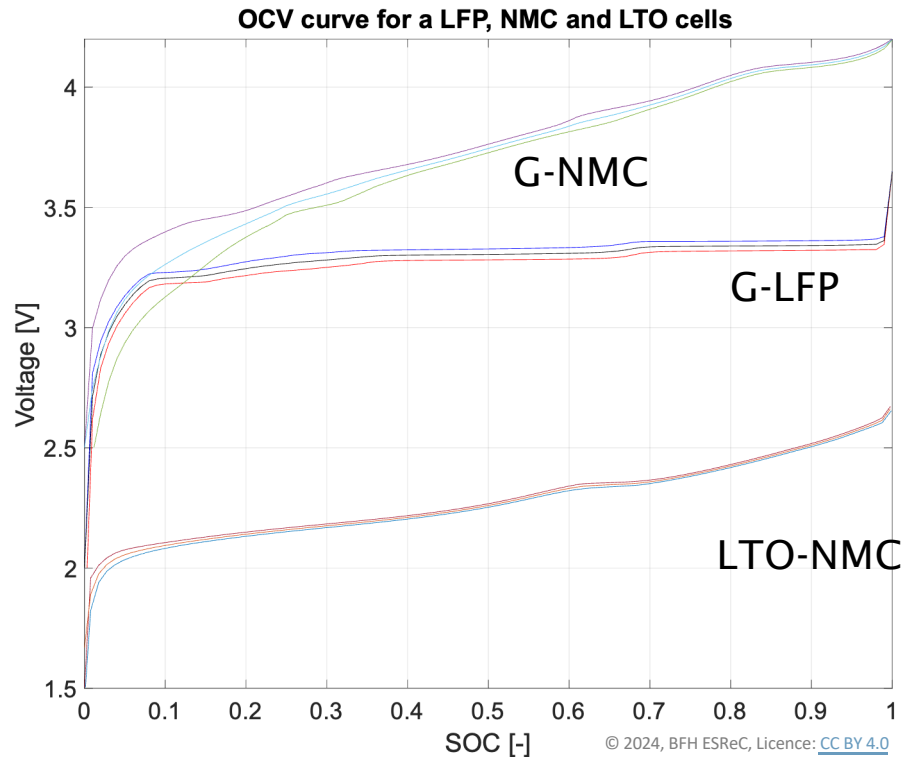
Q [Ah]: Battery capacity or charge
Amount of **electrical charge in a cell, pack or system**

$$Q = \int_{\Delta t} I dt \text{ or } Q = I \times t$$
$$[Ah] = [A] \times [h]$$

E [Wh]: Energy
Energy stored in a battery

$$E = \int_{\Delta t} U_{battery} * I dt \text{ or } E = U \times I \times t$$
$$[Wh] = [V] \times [A] \times [h]$$

Li-ion Battery Voltage



The voltage of a cell is the **difference in potential between the two electrodes**

It depends on Li particle average concentration in the two electrodes, the materials used as electrodes and temperature

If the cell is under current the **voltage deviates from the OCV because of losses**

Stored energy and open circuit voltage

The multiplication of open circuit voltage by capacity defines the **maximal removable energy** from the battery.

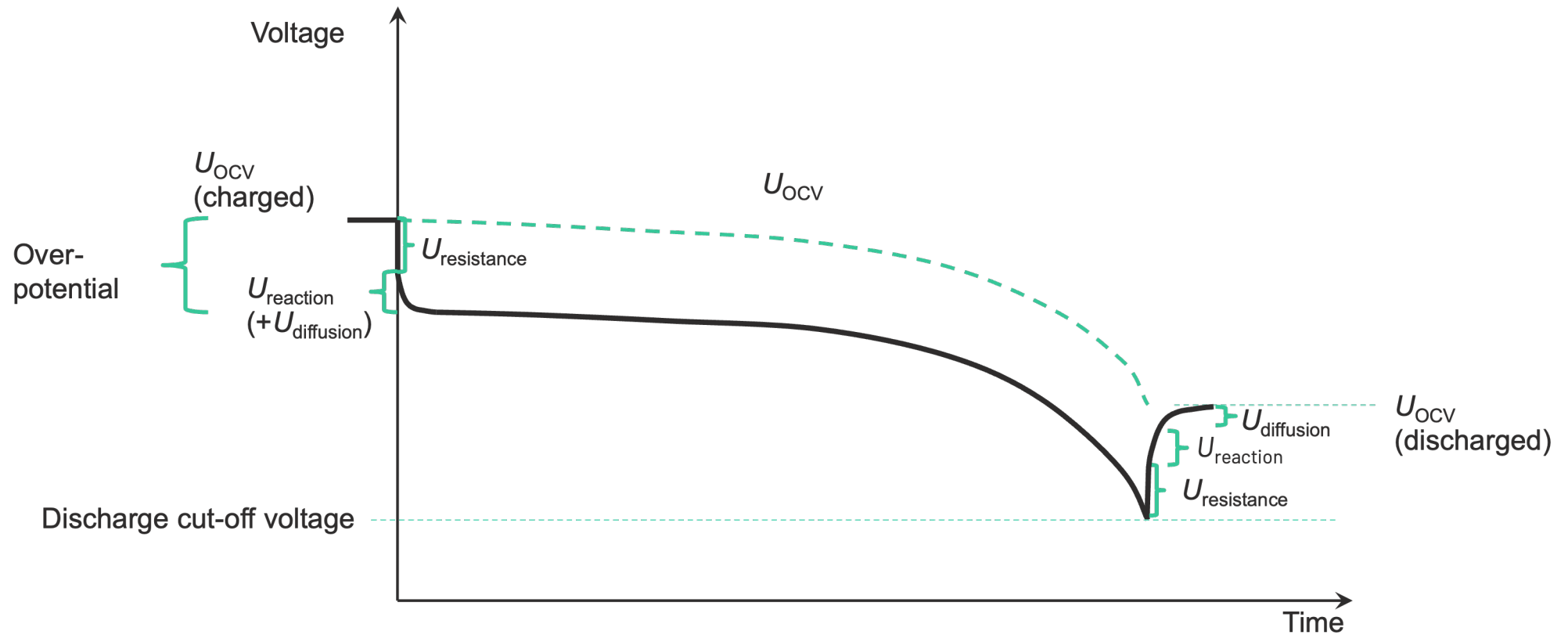
The capacity has a linear relationship with the available amount of electrode materials.

The voltage of the battery during discharge is always lower than the open circuit voltage at every state of discharge.

The voltage of the battery during charging is always higher than the open circuit voltage at every state of discharge.

Deviations from the open circuit voltage are losses.

Voltage of a battery during discharge



Voltage of a battery during charging and discharge

$$U_{\text{charge/discharge}} = U_{\text{equilibrium}} + U_{\text{resistance}} + U_{\text{reaction}} + U_{\text{diffusion}}$$

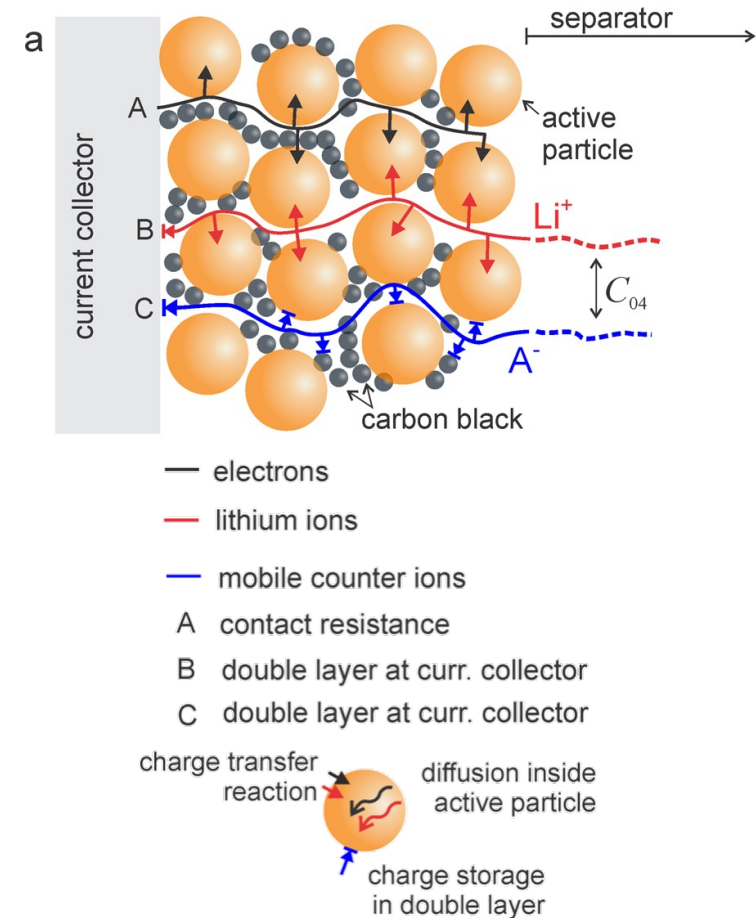
$U_{\text{equilibrium}}$ (OCV) depends on electrode materials, electrolyte concentration and temperature

$U_{\text{resistance}}$ ohmic drops in poles, current collectors, grid and electrolyte.

U_{reaction} voltage drops caused by electrochemical and chemical reaction at inner surfaces (Butler-Volmer equation).

$U_{\text{diffusion}}$ voltage drops through a deficit or surplus of reactants at the reaction locations.

$U_{\text{resistance}}$, U_{reaction} , $U_{\text{diffusion}}$ will be normally considered as positive during charging and negative during discharge.



Cell Datasheet

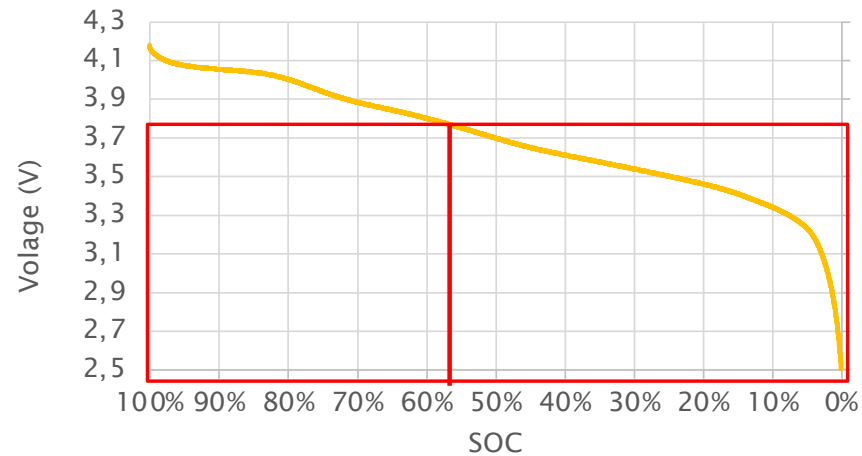


Item	Condition / Note	Specification
2.1 Energy	Std. charge / discharge	Nominal 18.20Wh Minimum 17.60Wh
2.2 Nominal Voltage	Average	3.63V
2.3 Nominal Shipping SOC		30%
2.4 Standard Charge (Refer to 4.2.1)	Constant current Constant voltage End current(Cut off)	0.3C (1,455mA) 4.2V 50mA
2.5 Max. Charge Voltage		4.20 ± 0.05V
2.6 Max. Charge Current	0 ~ 25°C	0.3C (1,455mA)
	25 ~ 50°C	0.7C (3,395mA)
2.7 Standard Discharge (Refer to 4.2.2)	Constant current End voltage(Cut off)	0.2C (970mA) 2.50V
2.8 Max. Pulse Discharge Power	Pulse Power(10sec), 25 °C ± 2 °C	≤ 80W (SOC 80%)
2.9 Max. Discharge Current	-30 ~ -20°C	0.2C(970mA)
	-20 ~ 5°C	0.3C(1,455mA)
	5 ~ 45°C	1.5C(7,275mA)
	45 ~ 60°C	1.5C(7,275mA)

<https://www.dnkpowers.com/wp-content/uploads/2019/02/LG-INR21700-M50-Datasheet.pdf>

Cell Datasheet

Cell (nominal) voltage depends on the combination of active chemicals used in the cell.



Cell (nominal) capacity specifies the quantity of charge, in ampere hours (Ah), that the battery is rated to hold.

$$Q = \int_{\Delta t} I dt \quad \text{or} \quad Q = I \times t$$
$$[\text{Ah}] = [\text{A}] \times [\text{h}]$$

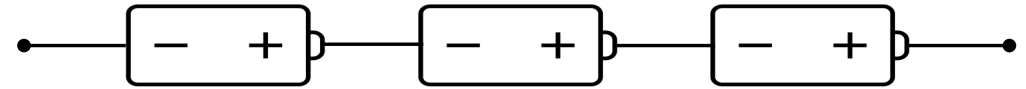
Basic concepts of Li-ion Battery

Cells connected in series:

increases voltage proportional to the number of cells

capacity remains unchanged

Energy and power increase proportional to the number of cells.

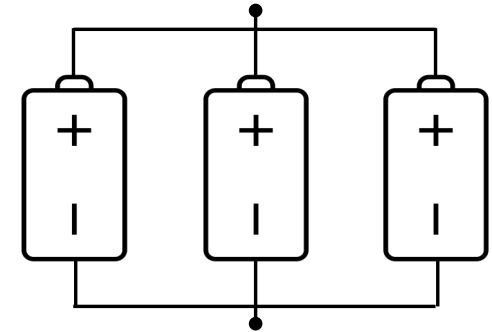


Cells connected in parallel:

voltage remains unchanged

increase capacity proportional to the number of cells

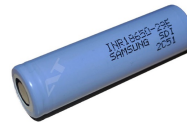
And energy and power increase proportional to the number of cells.



Recap

Battery cell: The smallest component of a battery pack or system. A cell may have ca. 2.5 – 4.2 V.

Voltage: 3.5V
Capacity: 3.0 Ah } Energy: 10.5 Wh



Voltage: 2.3V
Capacity: 20 Ah } Energy: 46 Wh

Battery pack: cells connected in series and/or parallel

Parallel connection

Voltage:
Capacity: } Energy:



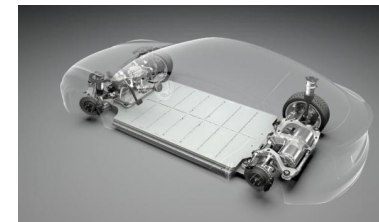
Series connection

Voltage:
Capacity: } Energy:



Battery (Battery system): battery packs connected incl. batterie management system.

Voltage: 360 V
Capacity: 60 Ah } Energy: 21.6 kWh



Voltage: 350 V
Capacity: 230 Ah
→ Energy: 80.5 kWh

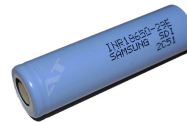
Recap

$$E = U \times Q$$

$$[\text{Wh}] = [\text{V}] \times [\text{Ah}]$$

Battery cell: The smallest component of a battery pack or system. A cell may have ca. 2.5 – 4.2 V.

Voltage: 3.5V
Capacity: 3.0 Ah } Energy: 10.5 Wh



Voltage: 2.3V
Capacity: 20 Ah } Energy: 46 Wh

Battery pack: cells connected in series and/or parallel

Parallel connection

Voltage: 3.5V
Capacity: 4 x 3.0Ah
= 12 Ah } Energy: 42 Wh



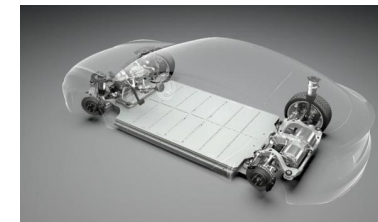
Series connection

Voltage: $3.5\text{V} \times 8 = \underline{28\text{V}}$
Capacity: 3.0 Ah } Energy: 94 Wh



Battery (Battery system): battery packs connected incl. batterie management system.

Voltage: 360 V
Capacity: 60 Ah } Energy: 21.6 kWh



Voltage: 350 V
Capacity: 230 Ah
→ Energy: 80.5 kWh

Definitions II

Capacity efficiency or Charge factor

$$L = Q_{\text{discharge}} / Q_{\text{charge}}$$

Energy efficiency

$$\eta = E_{\text{discharge}} / E_{\text{charge}}$$



Influence factors: current density, charge characteristics, temperature

Typical data for the energy effectiveness are:

- Lead-acid batteries: 80 - 90 %
- Lithium-ion batteries: 90 - 95 % ($\eta_{Ah} \sim 100\%$)
- NiMH: 75 - 85 %

SoC: State of charge

$$\text{SoC} = Q_{\text{actual}} / Q_{\text{full}}$$

SoH: State of health, an indicator of the battery aging

$$\text{SoH} = Q_{c,\text{full}} / Q_{c,\text{full,new}}$$

$$\text{SoH} = E_{c,\text{full}} / E_{c,\text{full,new}}$$

$$\text{SoH} = Q_{d,\text{full}} / Q_{d,\text{full,new}}$$

$$\text{SoH} = E_{d,\text{full}} / E_{d,\text{full,new}}$$

SOC & SOH definition

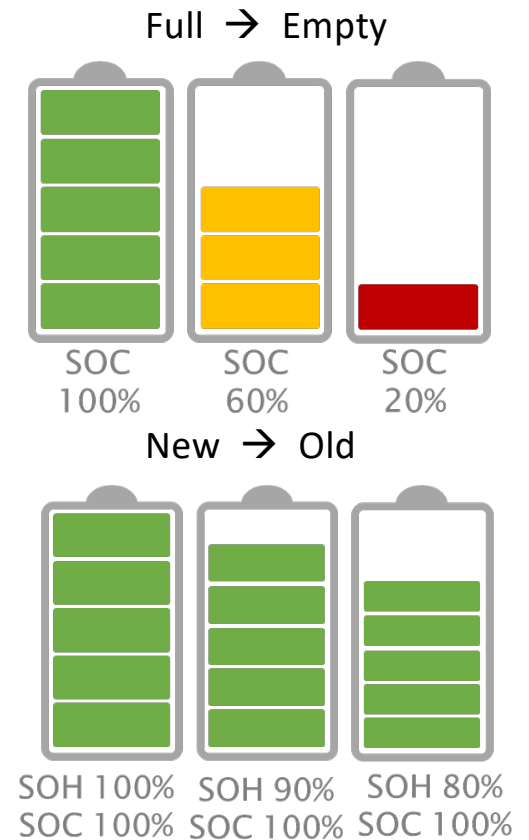
The SOC is a percentage value that expresses the remaining charge Q of a battery

SOC is something like a dashboard fuel gauge that reports a value from “Empty” (0%) to “Full” (100%) → no sensor is available to directly measure SOC.

$$\text{SoC} = Q_{\text{actual}} / Q_{\text{full}}$$

SOH is defined as the fade of the capacity of the cell or the increase of the internal resistance of the battery

$$\text{SoH} = Q_{d,\text{full}} / Q_{d,\text{full,new}}$$



Charging Lithium-Ion Batteries

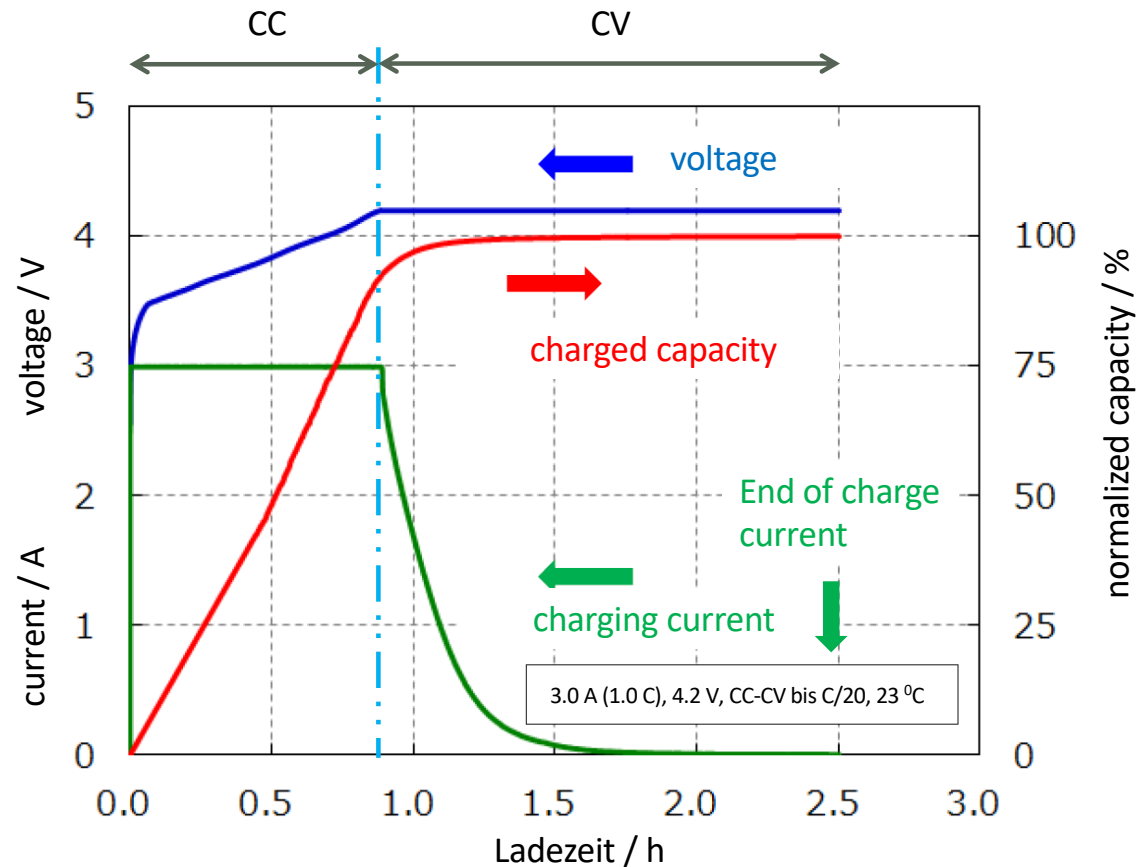
C-Rate [h⁻¹]: Rate, at which the battery is charged or discharged using a constant current

$$\begin{aligned} \text{C-Rate} &= I / Q_{\text{nom}} \\ [\text{h}^{-1}] &= [\text{A}]/[\text{Ah}] \end{aligned}$$

Lithium-ion batteries are charged with a **constant current** (CC or constant current) up to the final charging voltage.

Once the end-of-charge voltage has been reached, charging continues at a **constant voltage** (CV).

Charging stops when the **end-of-charge current is reached** → generally C/10 to C/20 or 0.10 C to 0.05 C.



Discharging Lithium-Ion Batteries

Depth of Discharge: **DOD = 100% - SOC**

SOC = 100 % → DOD = 0 %

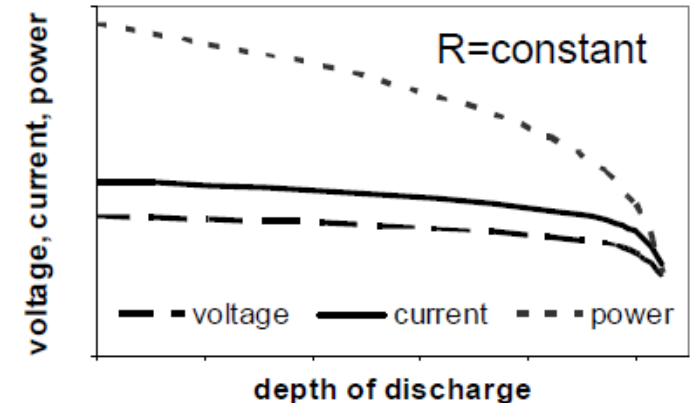
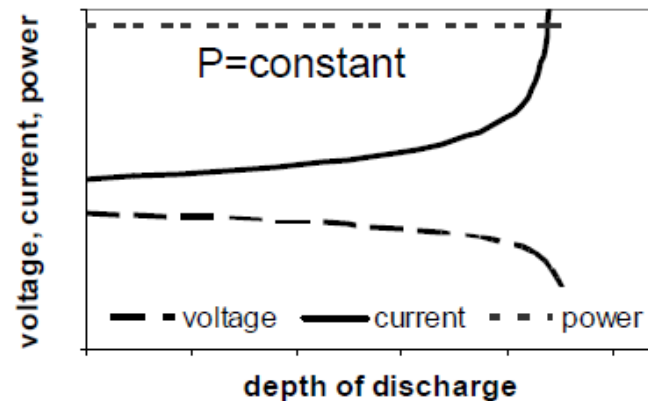
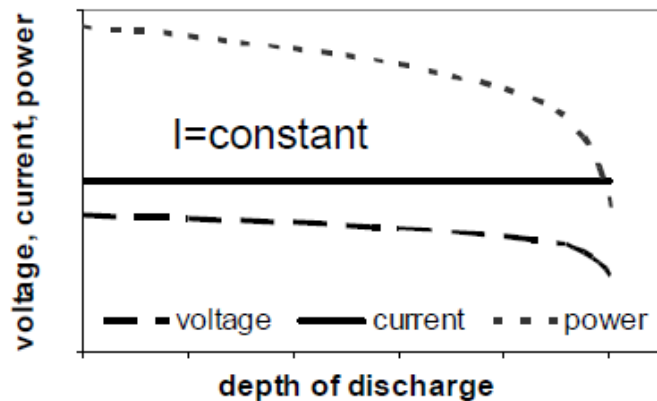
SOC = 0 % → DOD = 100

Lithium-ion batteries can be discharge in three different ways:

Constant current

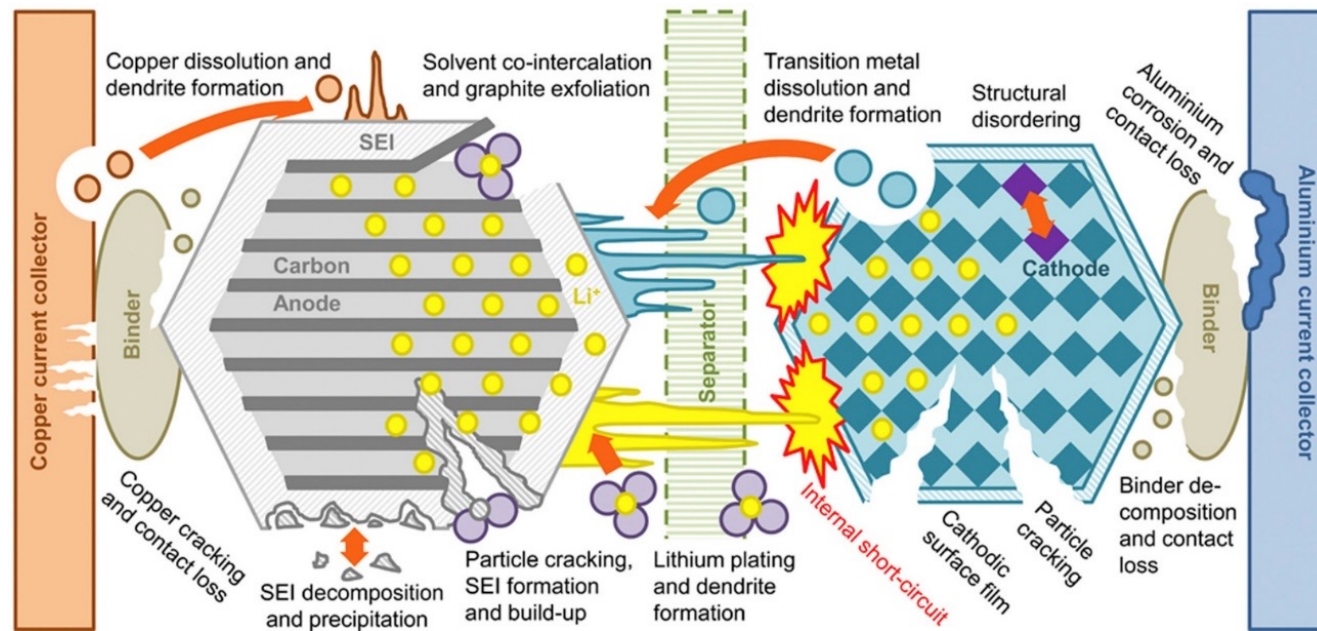
Constant power

Constant resistance



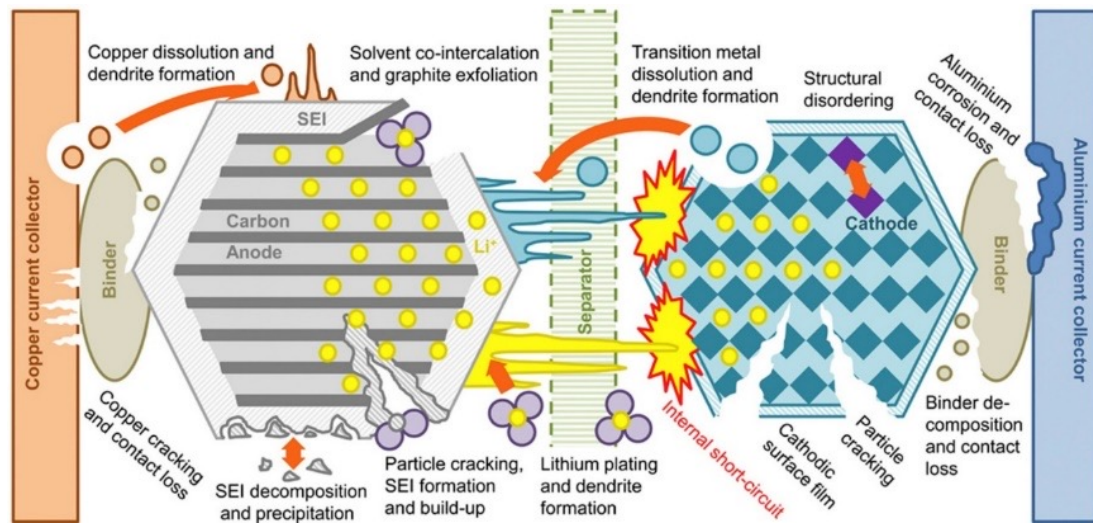
Lifetime mechanisms and degradation

Degradation mechanisms

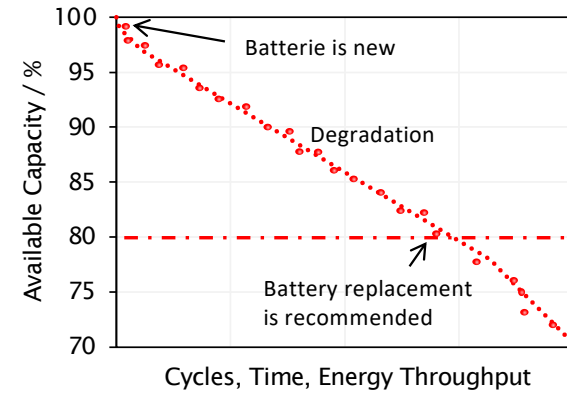


Christoph R. Birkel, Matthew R. Roberts, Euan McTurk, Peter G. Bruce, David A. Howey, Degradation diagnostics for lithium ion cells, Journal of Power Sources, Volume 341, 2017, Pages 373-386, <https://doi.org/10.1016/j.jpowsour.2016.12.011>.

Degradation mechanisms

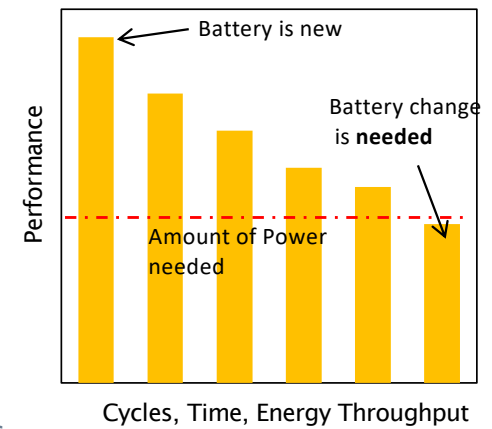


Christoph R. Birkel, Matthew R. Roberts, Euan McTurk, Peter G. Bruce, David A. Howey, Degradation diagnostics for lithium ion cells, Journal of Power Sources, Volume 341, 2017, Pages 373-386, <https://doi.org/10.1016/j.jpowsour.2016.12.011>.



Effects

CAPACITY FADE
POWER FADE



Definition of Lifetime of lithium-ion batteries

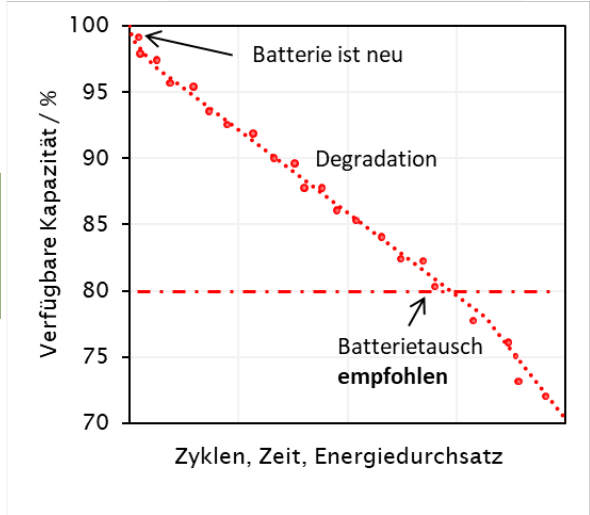
EoL: End of Life (end of battery life for specific application)

$$\text{SoH} \leq 0.8$$

$$\text{EoL} = Q_{\text{voll}} / Q_{\text{voll,neu}} \leq 0.8$$

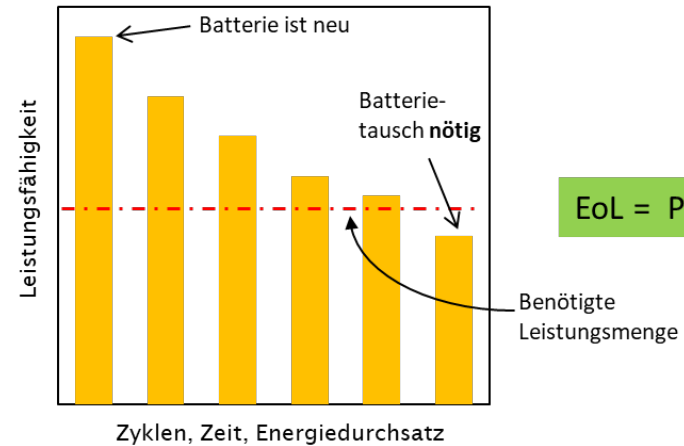
$$\text{EoL} = E_{\text{voll}} / E_{\text{voll,neu}} \leq 0.8$$

Energy related EoL



- Range of vehicle
- Running time (e.g. Smartphone)

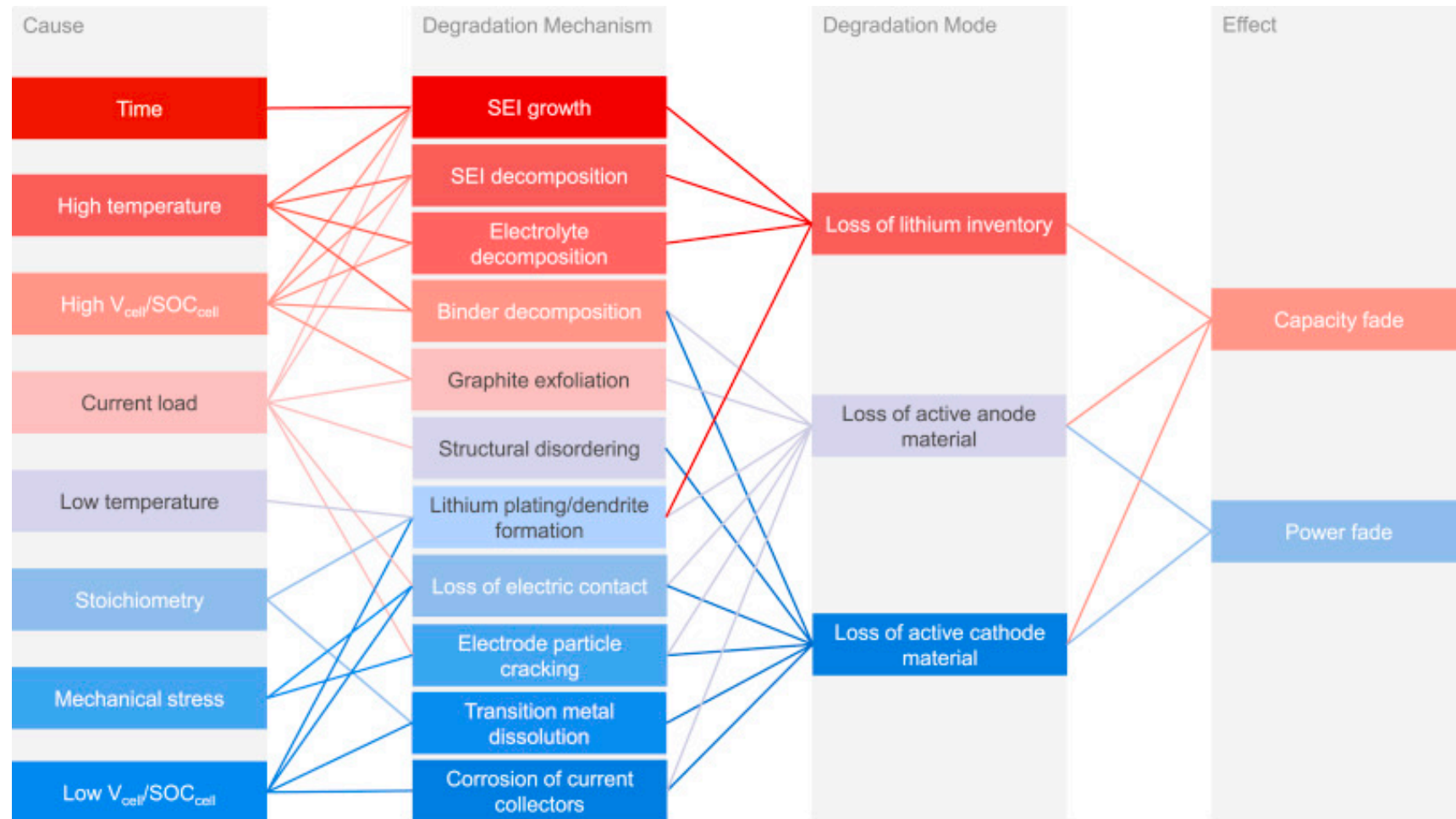
Power related EoL



$$\text{EoL} = P_{\text{Last}} > P_{\text{Batterie}}$$

- Power applications (e.g.. Starter-Batteries)
- Higher peak speed (e.g. Formula E, swissloop)

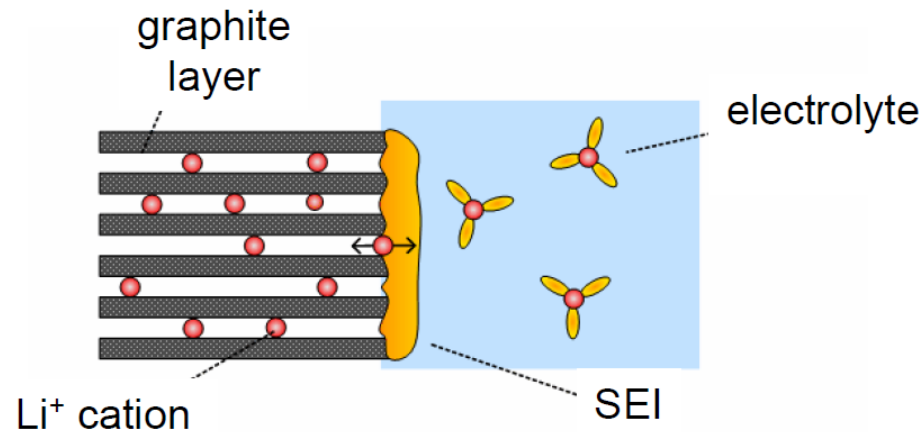
Degradation mechanisms



Lithium plating

Charge transfer process:

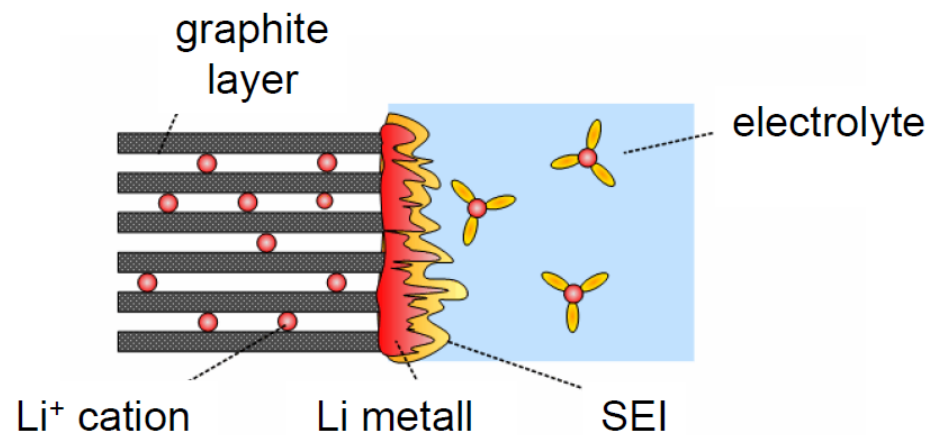
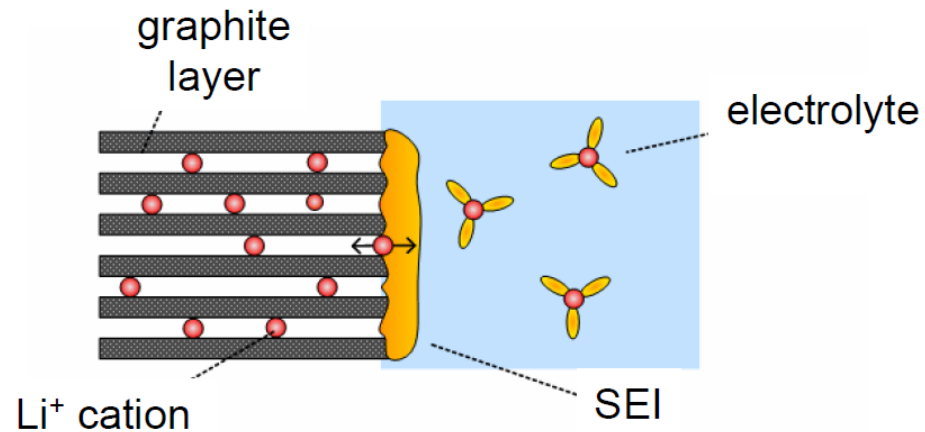
- De-solvation of solvated ions
- Li-ion pass through SEI layer
- Solid-state Li diffuse into graphite particles



SEI : Solid Electrolyte Interphase

Lithium plating

Charging at high SOC
High rate charging
Long term Cycling
Non Uniform Charging
Low temperature



SEI : Solid Electrolyte Interphase

Two types of aging

Cyclic aging

Function of:

cell chemistry

state of charge (SoC)

temperature

level of discharge or DoD (depth of discharge)

charge and discharge rate

Calendar aging

Function of

cell chemistry

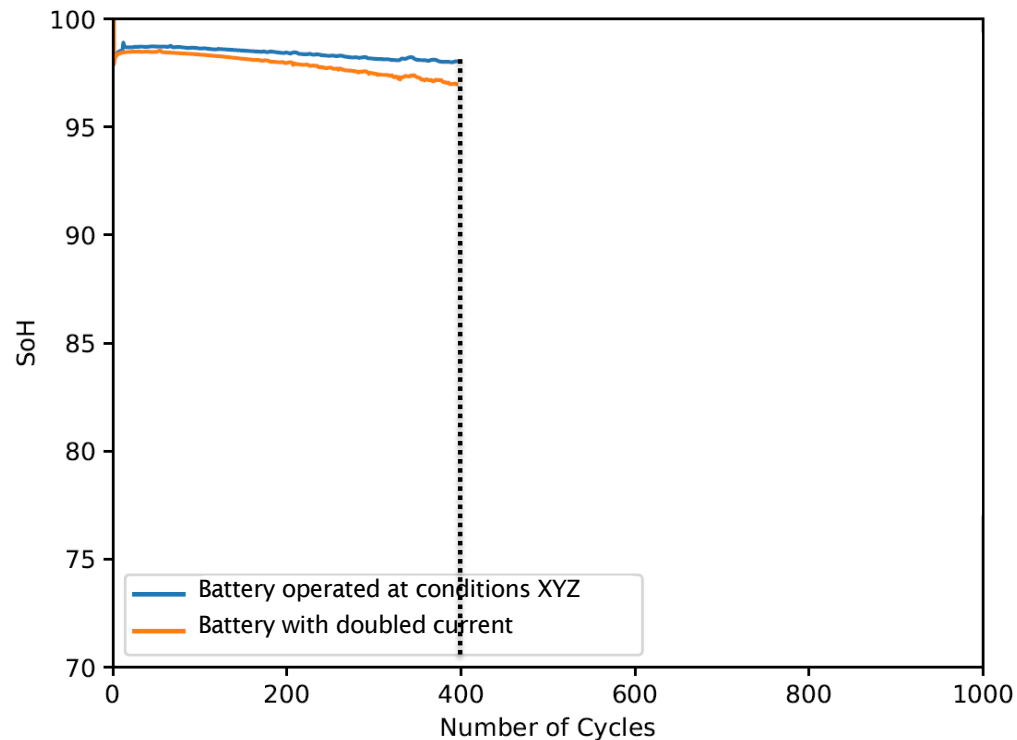
state of charge (SoC)

temperature



Operating conditions determine Battery Aging

Knowing how the battery is operated is the only way to define its remaining useful life



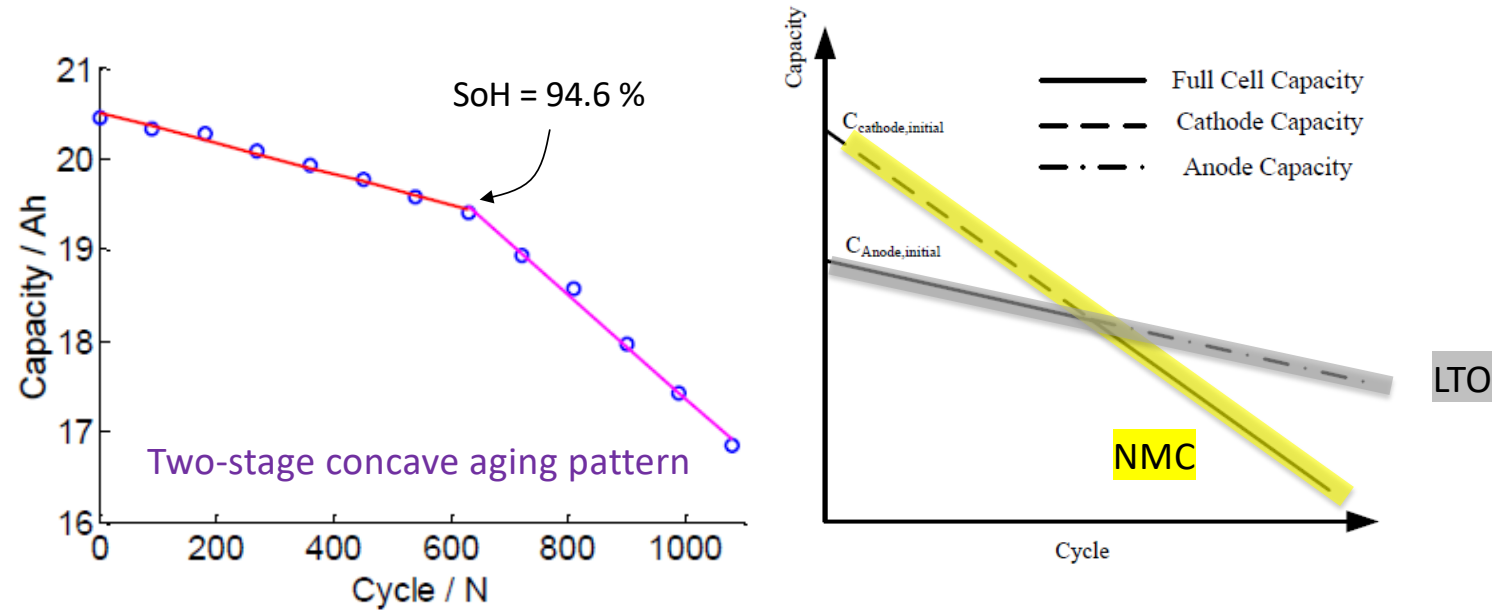
Some results from literature with Toshiba NMC/LTO cell

Charge: 3C (CC)

Discharge: 2C (CC)

20 min Pause

T = 55 °C



Source: Han Xuebing et al., *Energies* (2014), 7, 4895-4909

Source: www.autoevolution.com

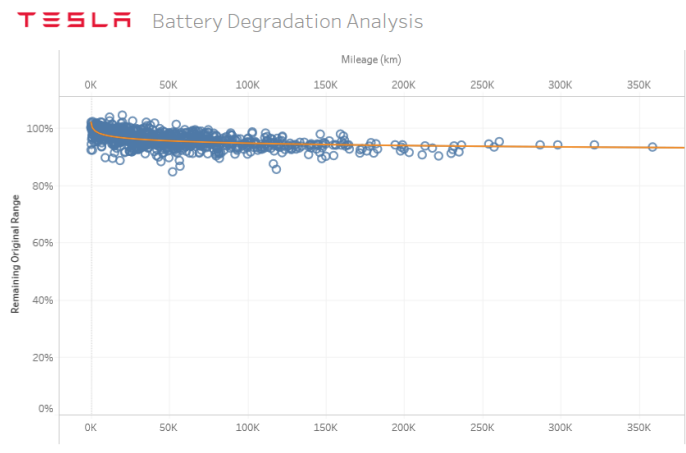
Ca. 25 % battery capacity loss within 1 -2 years of operation;

All the affected cars were from Arizona, and experienced 'the loss' after the Leaf had been driven for between 21,812 km (13,633 miles) and 27,200 km (17,000 miles). The owners filed complaints with Nissan, and the manufacturer's official response was "We're aware of a few isolated cases where a very small number of consumers are reporting a one bar loss. (We're talking less than 5 units versus the 12,000 on the road in the U.S.)."

18,588 owners were covered by the settlement. Some brought their Leaf vehicles to Nissan to repair the battery to at least 70% capacity or, if not possible, get the battery replaced.



2012



Source: www.teslarati.com

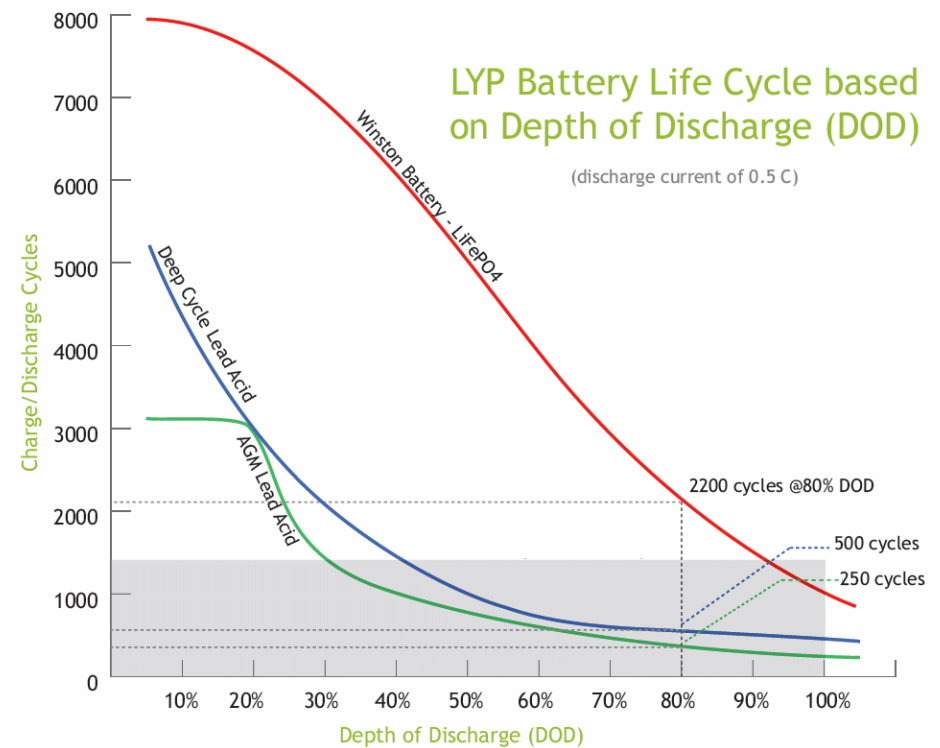


Discharge depth (DoD) and voltage (V) vs. SoH

For some chemistries (e.g. NMC) there is a strong correlation between the **end-of-charge voltage** and the **number of cycles to the end of life**.

Operating strategies should therefore consider voltage levels to maximize battery life.

This increases the **complexity** of the overall system or a **battery management and energy management system**.



Testing and models

Lifespan Estimation (Q-and CE measurements)

Goal

Quantify and compare the decrease in Capacity (Q) and **Coulometric Efficiency (CE = Q_d/Q_c)**

Theory

$CE = Q_d/Q_c$ (= 1.0000 → Perfect discharging)

$CE' = Q_{c'}/Q_d$ (= 1.0000 → Perfect charging)

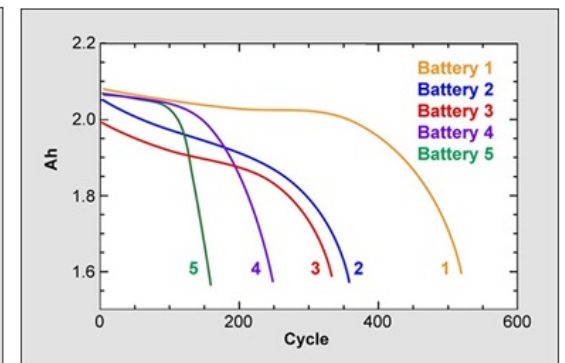
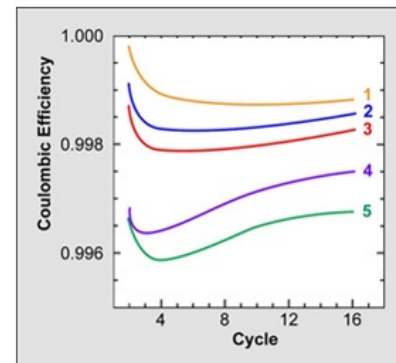
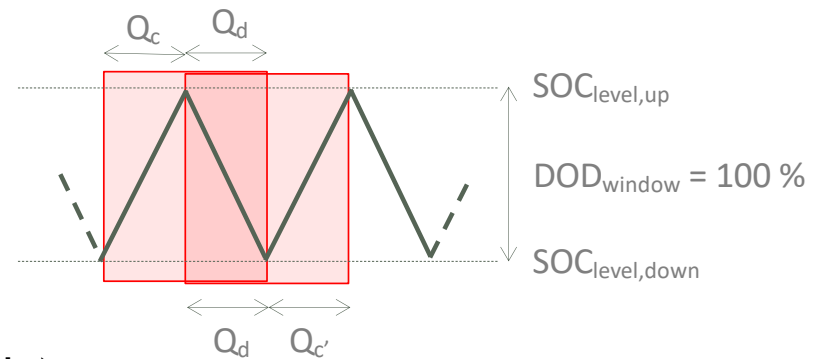
Test conditions

Charge- and discharge rate = 0.20 C (ca. 10 h per cycle)

Always only CC mode, without pause between charge and discharge

Results

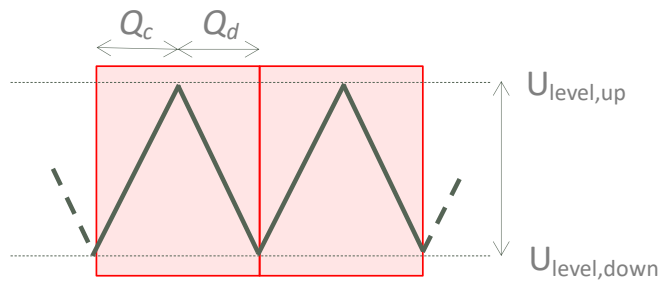
After few cycles, CE can indicate the cell that will have lower degradation



Source: https://batteryuniversity.com/learn/article/bu_808b_what_causes_li_ion_to_die
Results Dalhousie University – J. Dahn group

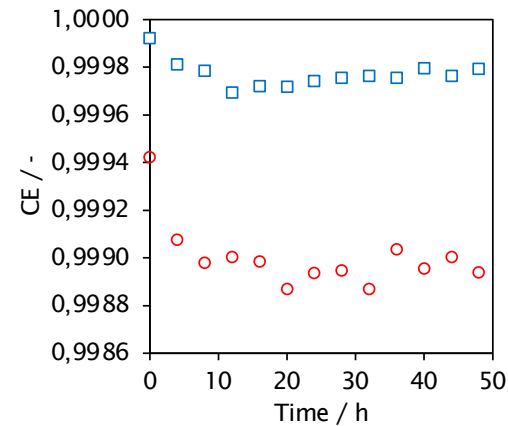
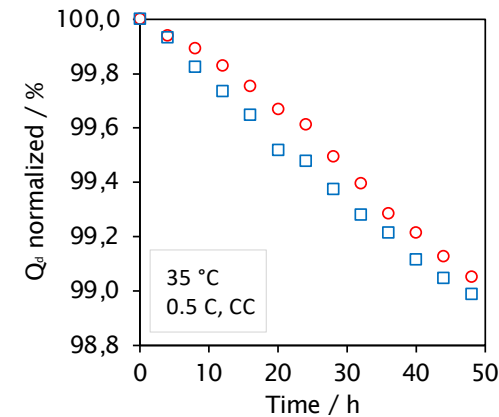
Time-efficient Life Studies using CE

Test performed in BFH laboratory
 Comparison of two NMC cells
 Temperature 35° C
 Current 0.5C
 CE = Q_d/Q_c (= 1.0000 → Ideal)

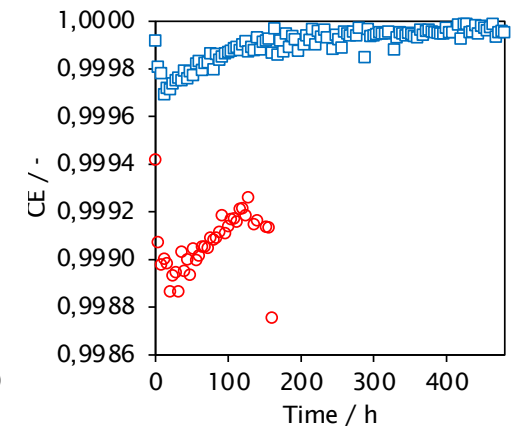
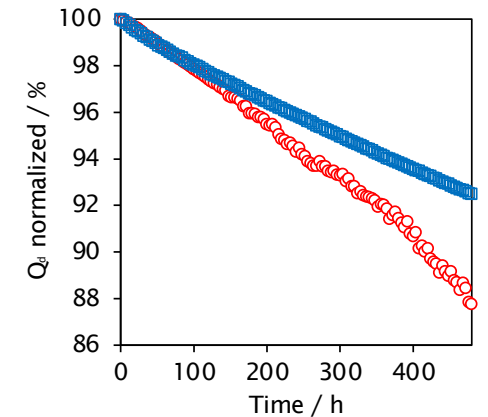


Already after few hours it was clear that the red sample was degrading more than the blue one

After 2 days

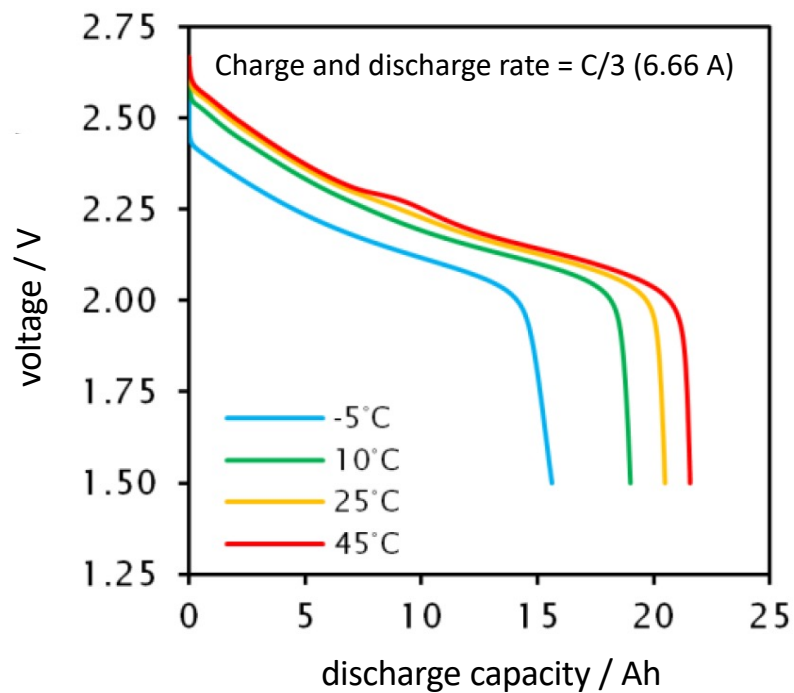


After 20 days

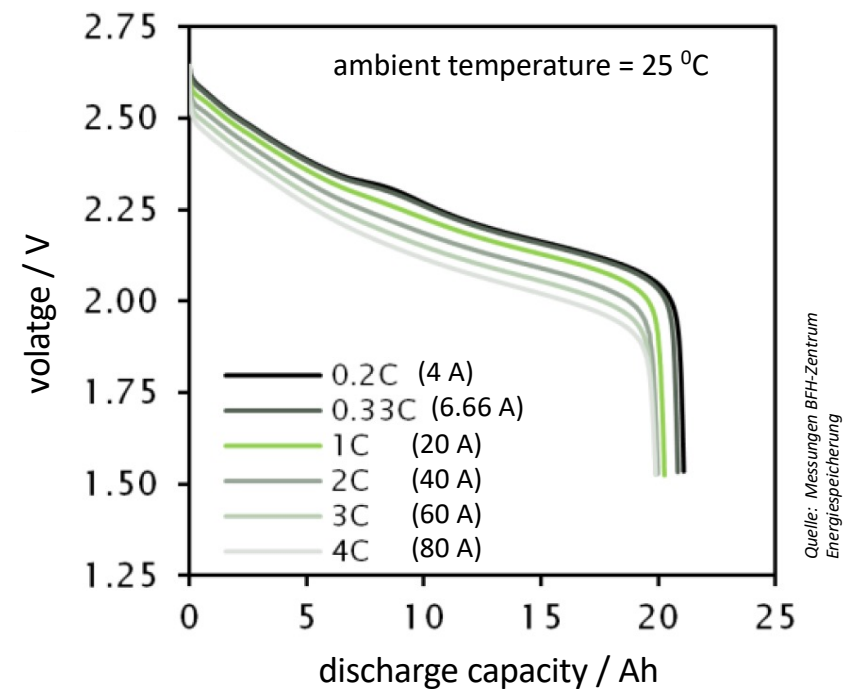


Capacity depends on load and temperature

Influence of ambient temperature



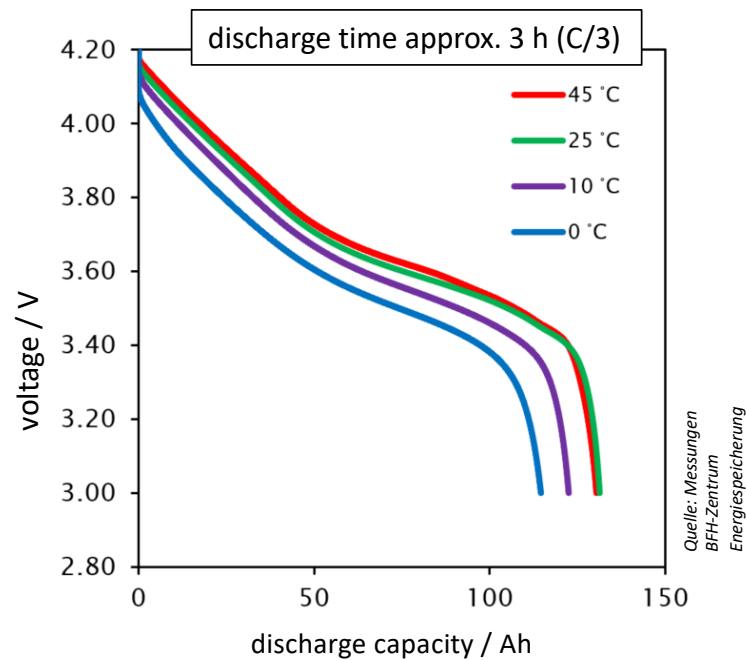
Influence of the discharge rate



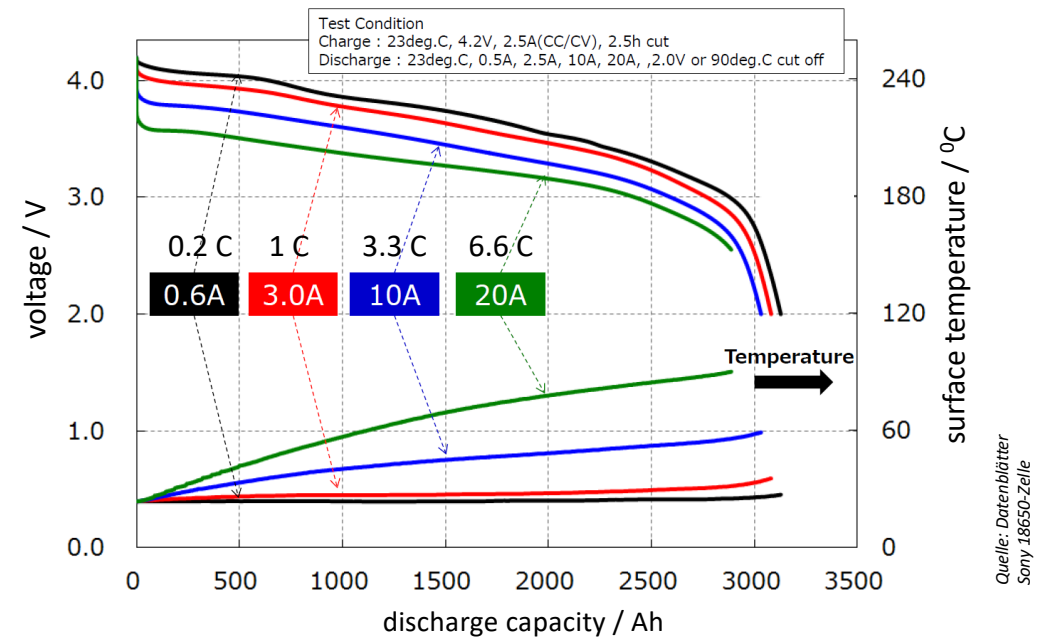
Quelle: Messungen BFH-Zentrum
Energiespeicherung

Capacity depends on load and temperature

Influence of ambient temperature



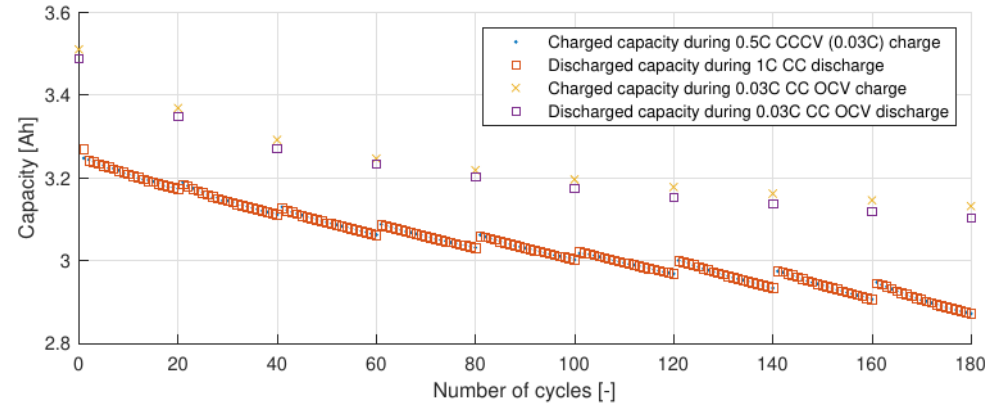
Influence of the discharge rate



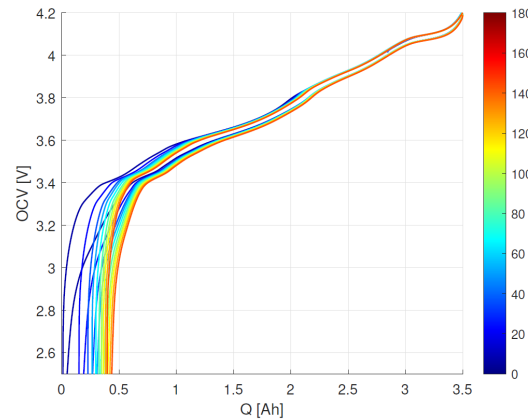
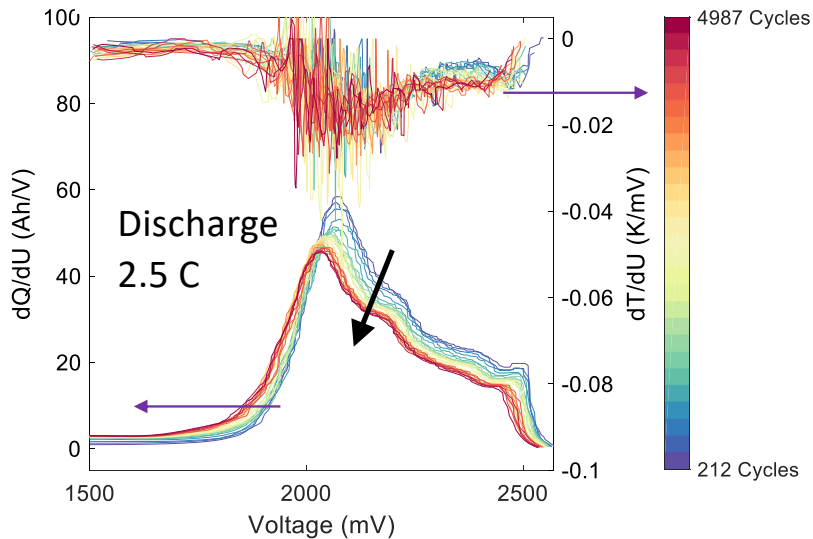
Aging Tests with intermediate Diagnostic Cycles

Change of dQ/dU vs. U
towards the left: increase of internal resistance

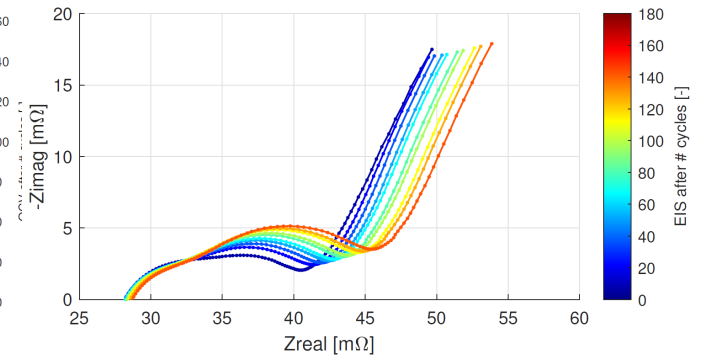
Change of dQ/dU vs. U
downwards: material change during operation



Incremental Capacity Analysis



Intermediate OCV

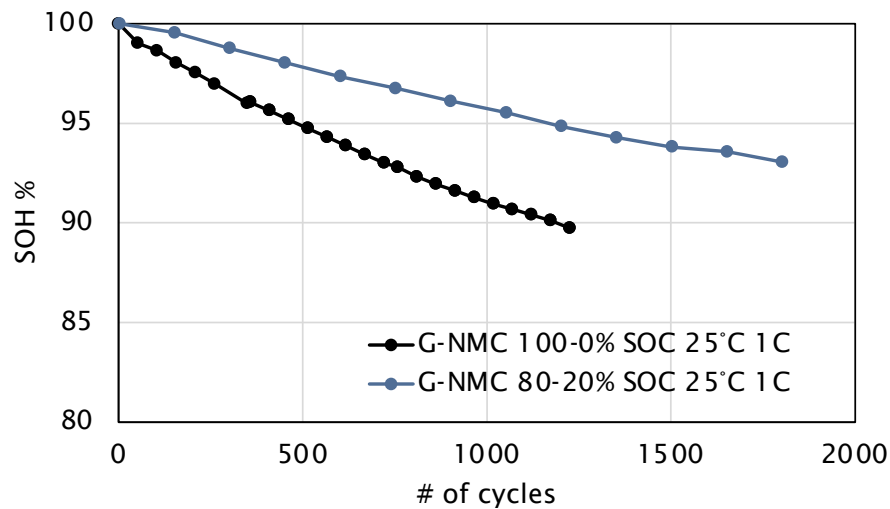


Intermediate EIS @ 10% SOC

Two types of aging

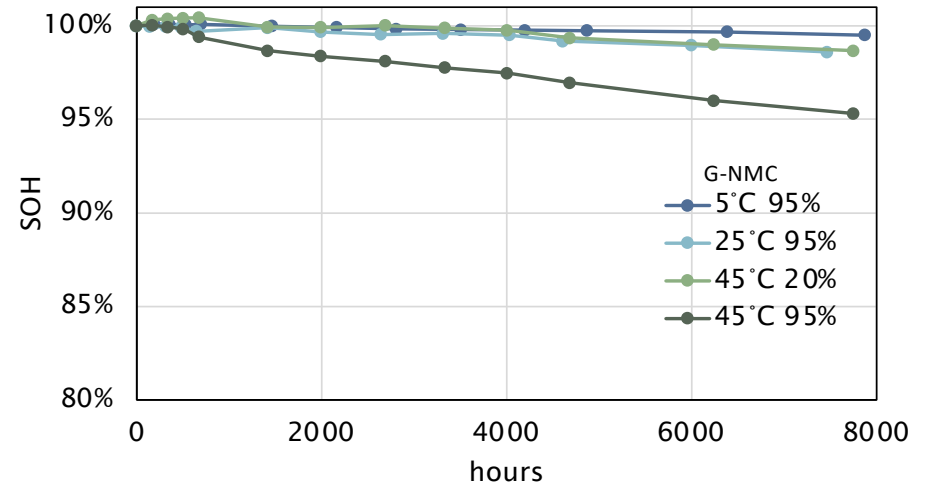
Cyclic aging

Decrease in available capacity due to use (charge/discharge)



Calendric aging

Regardless of use
Relevant for storage/non-use



Electrochemical Characterization

Performance @ different
operating conditions

Charge-Discharge
Cycling

Rate Capabilities
Testing

Diffusion coefficients and
thermodynamic properties

Galvanostatic
Intermittent
Titration Technique
(GITT)

Efficiency of charge transfer
processes and the extent of
side reactions

Coulombic
Efficiency
Measurements
(CE)

Incremental
Capacity Analysis
(dQ/dV)

Phase transitions and
electrochemical processes

Electrochemical
Impedance
Spectroscopy (EIS)

Identification of elementary
losses processes

Long-term Cycling

Degradation and
remaining useful life

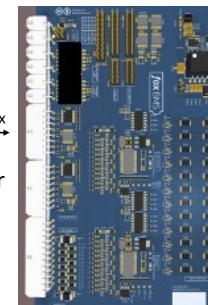
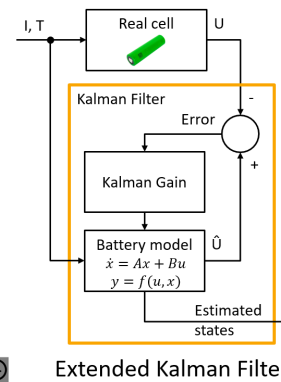
From Theory to Reality

Research focus and core competences

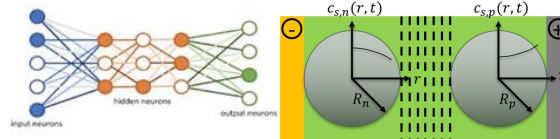
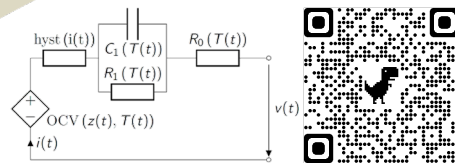
4 Battery management and cloud



3 Algorithm creation and Hardware implementation

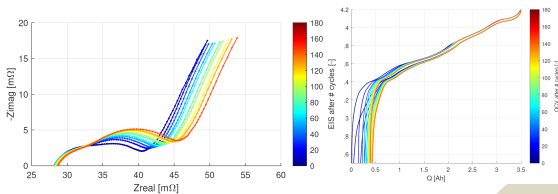


2 Battery Modelling



Single Particle Model (SPM) with electrolyte dynamics

1 Battery & BMS Testing



From Research to Products

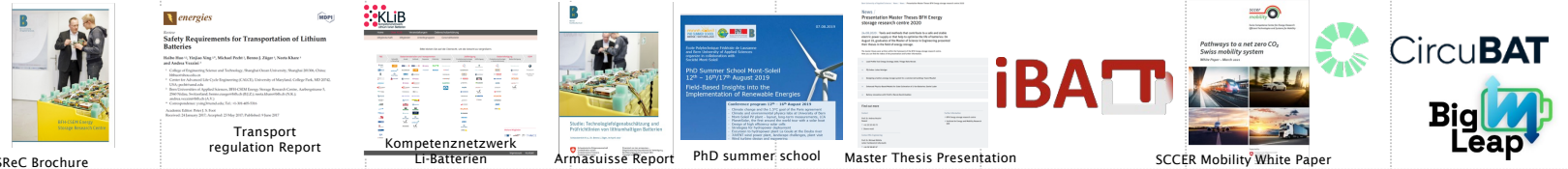
Industry/ Startups



Demonstration R&D program



Communication KTT



Funding/ Investment



Applied Research



Infrastructure



2015

2016

2017

2018

2019

2020

2021

2022

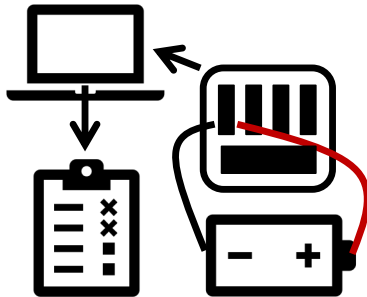
2023/24

Li-ion battery models

Battery Testing

Battery Modelling

Battery State Functions



Research Projects



Schweizerische Eidgenossenschaft
Confédération suisse
Confederazione Svizzera
Confederaziun svizra

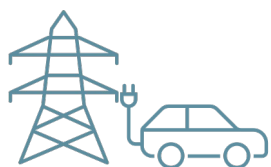
Swiss Federal Office of Energy SFOE

Flagship
unterstützt von



Schweizerische Eidgenossenschaft
Confédération suisse
Confederazione Svizzera
Confederaziun svizra

Innosuisse – Schweizerische Agentur
für Innovationsförderung



STORE



CIRCUBAT
2021-2025



Swiss eBus plus
2021-2025



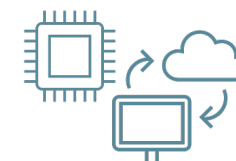
BIENE
2022-2026



MWCharger
CIS4BET
2021-2025



GENESIS
2020-2023



Research interests:

Experimental testing and modelling for BMS algorithms

Operational strategies for improving performance and service life



ADAPTRES
2024-2027



OPEN SESAME – BAT-ReUse
2020-2023 2024-2027

Circubat

CircuBAT aims to close the lithium-ion battery value chain by transitioning from a linear to a circular model.

OBJECTIVES :

Develop a circular economy model for lithium-ion batteries in the mobility sector in Switzerland.

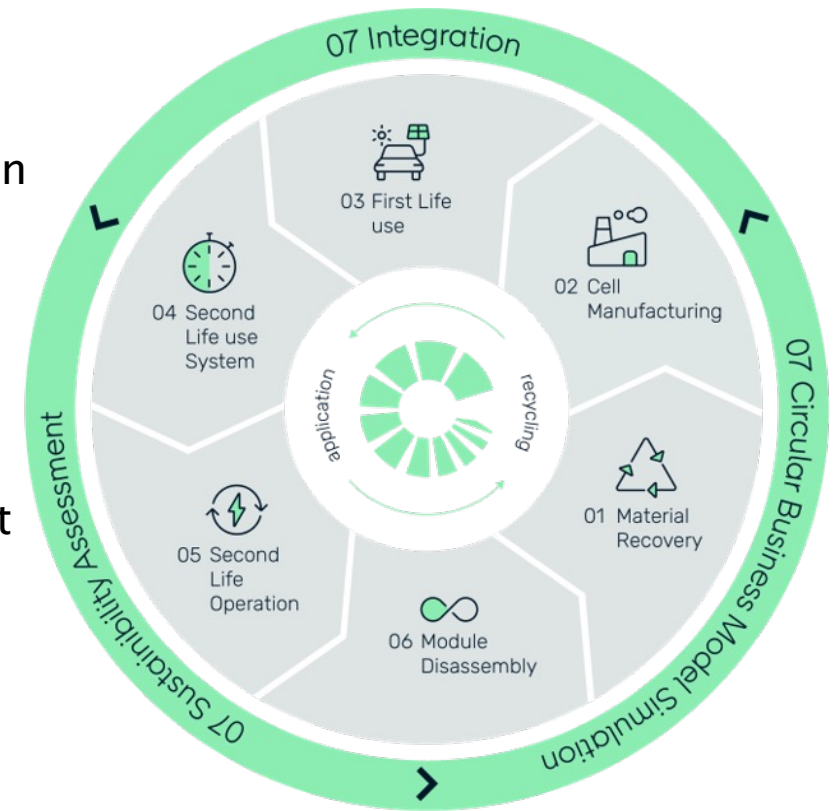
Develop solutions to improve the life cycle assessment of batteries.

Create a second use for energy storage devices to facilitate the energy transition.

Preserve valuable resources.

24 industry partners

11 research groups from 7 research institutes



Research Partners



AI solutions – prediction of remaining useful life

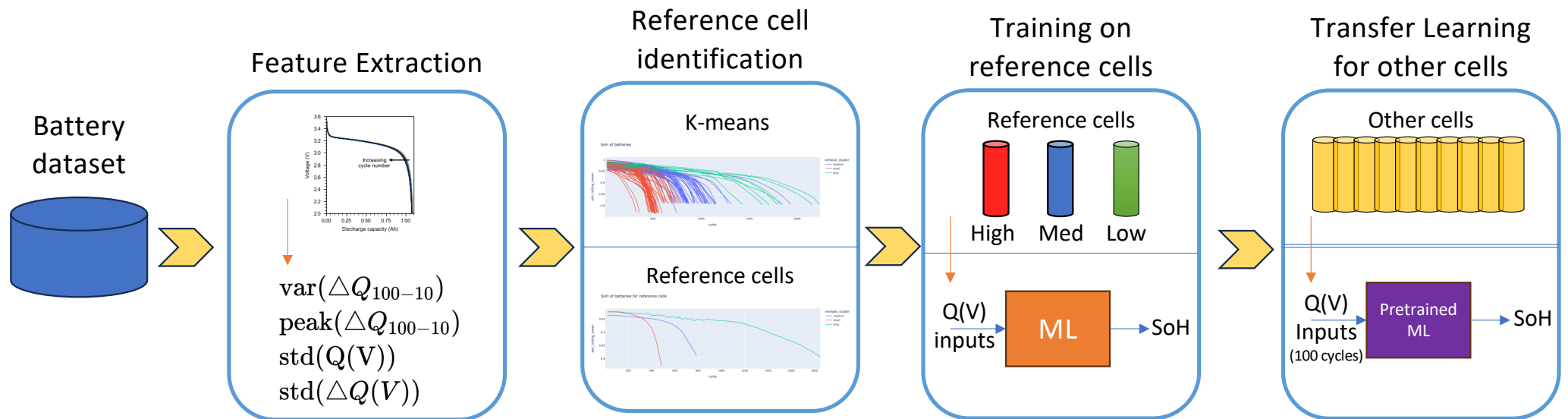
General Approach:

Key parameters extraction

Data sets for complete life cycles

Model training on reference cases


Implementation of the method for other cells



*Slide content: Circubat Project, Deniz Ira and Prof. Dr. Priscilla Caliendo

Berner Fachhochschule | Haute école spécialisée bernoise | Bern University of Applied Sciences

Biene – Battery Manager for asset optimization

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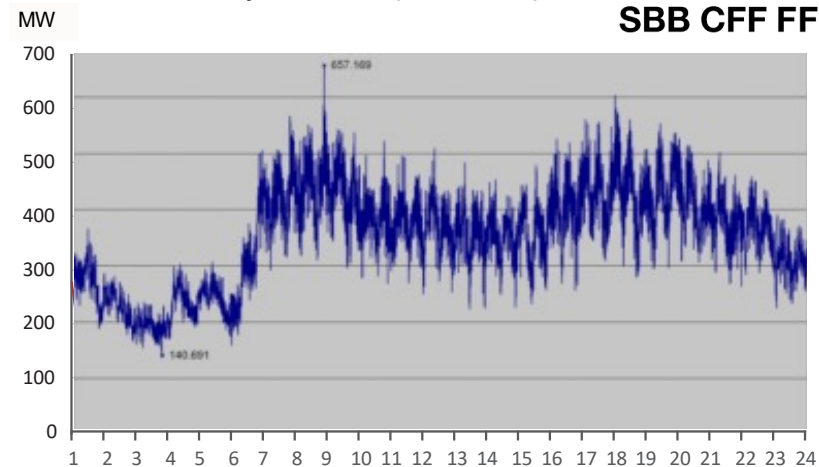
Swiss Federal Office of Energy SFOE



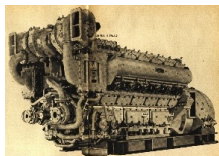
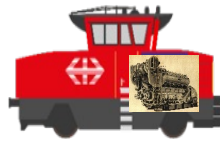
Replacement of diesel cargo vehicles: 11 million litres/year
Total capacity: >100 MWh
Development of a battery manager to fully exploit the potential of the batteries



1 day at SBB (16.7 Hz)



Biene – Added value



To compare:



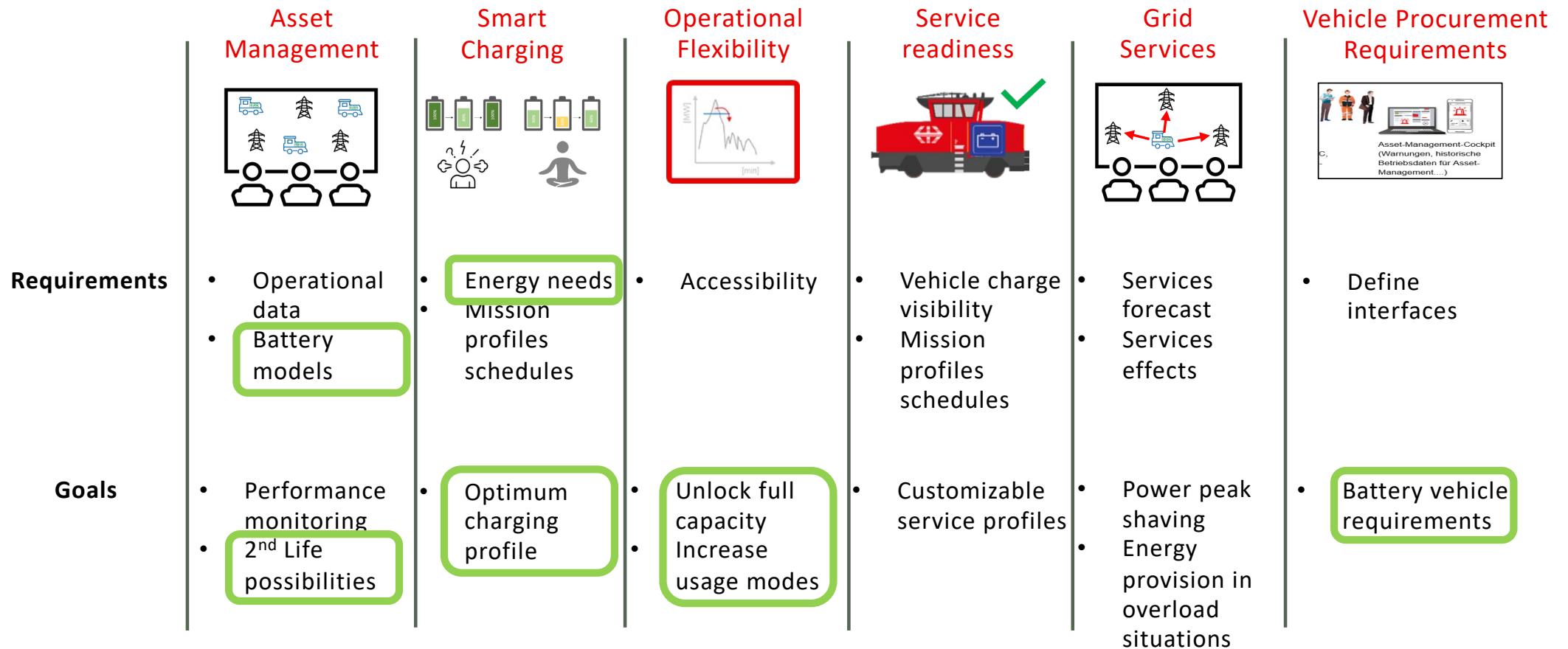
60 MW,
>1 h
(neue 16.7Hz Turbine & Generator)



42 MW,
>1.4 h

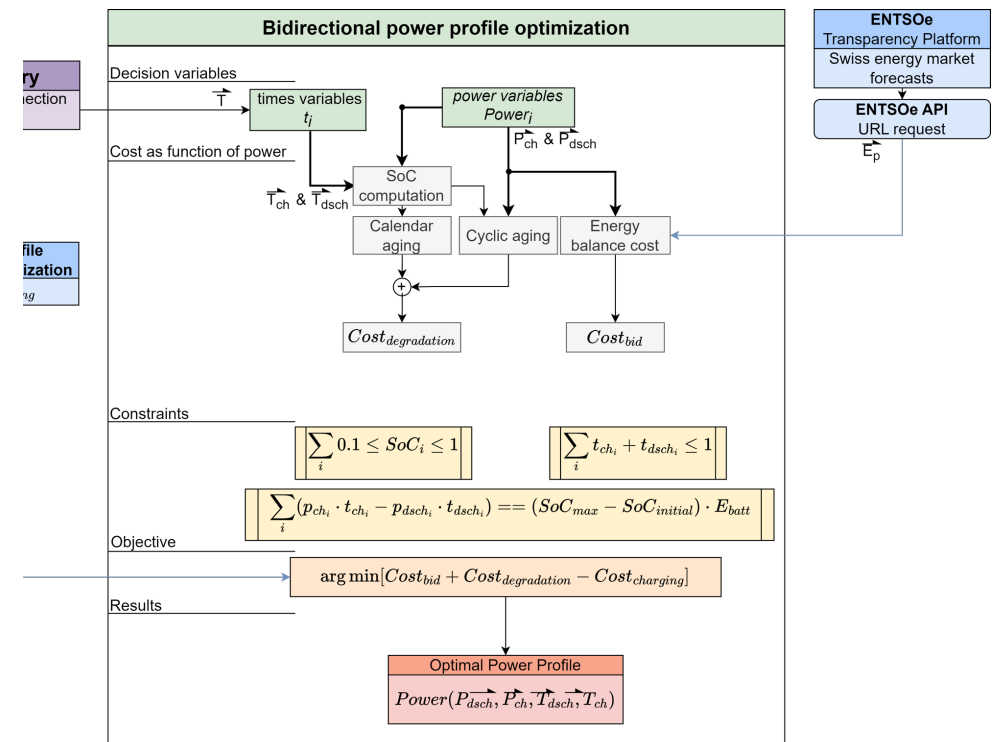
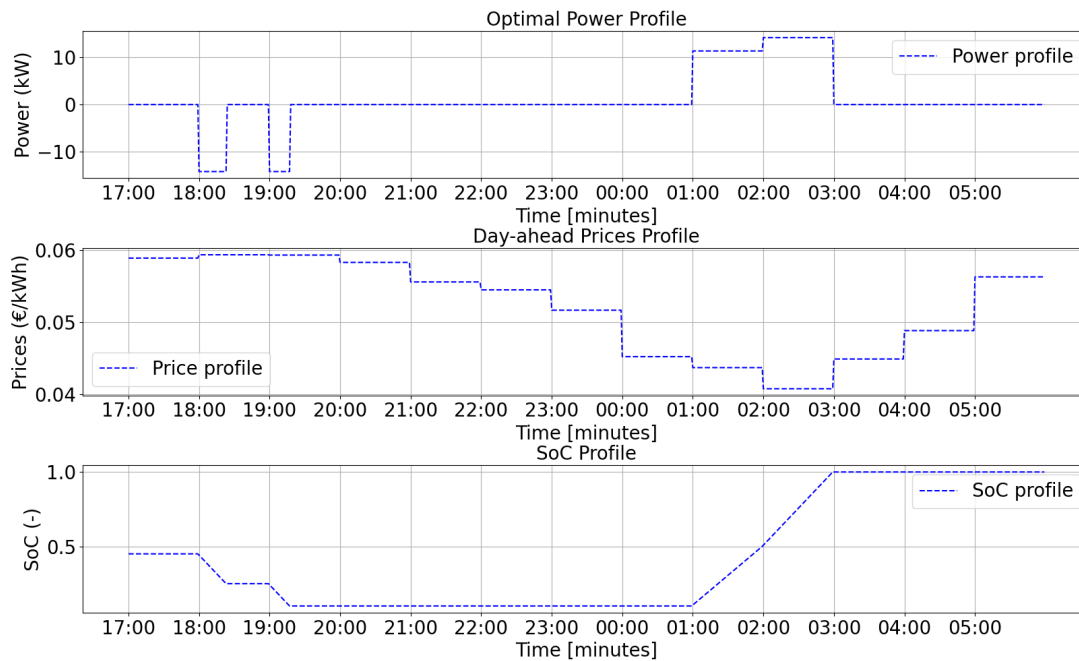
- Battery capacity available in the grid: > 60 MWh

Biene - Overview



STORE - Control Strategy Algorithm STORE

Optimization of bidirectional power profile based on flexible energy tariff and battery degradation



Energy storage system optimization

Bi-directional Charger Control Strategy

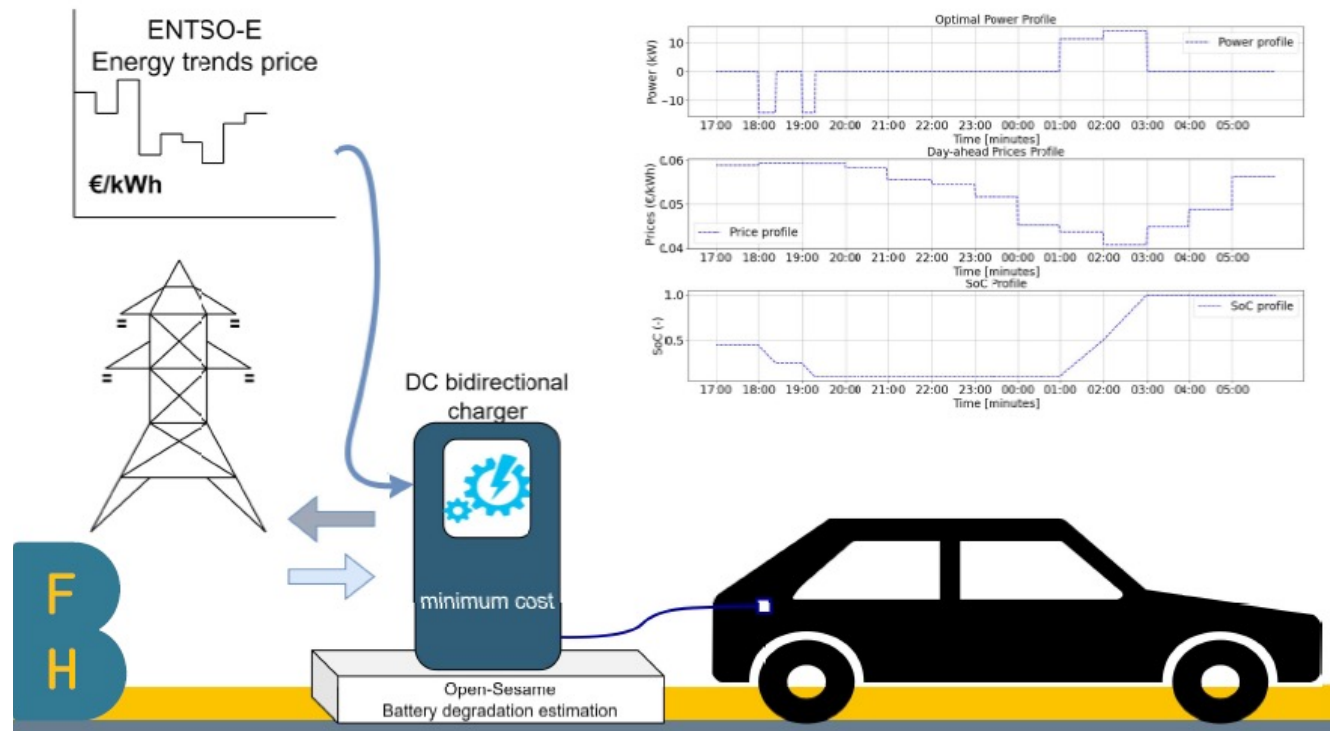
Usage pattern

Day ahead price fluctuation

Open-Sesame battery degradation

Maximize to Return Of Investment (ROI)

Charging Strategy development



*Master Thesis project of Bastien Binz



SwissTrolley plus

Swiss eBus plus



Conclusion:

- Battery capacity: 15% increase
- Energy consumption for comfort: ~30% less

With 2 major advantages:

- The **optimised battery size and charging infrastructure** will help **ensure significantly longer service life**.
- Additional innovations make **everyday operation even more flexible**.



Challenges

Battery weight

High investment costs

Seasonal difference in available energy

Charging times

Opportunities

Fleet management

Energy optimisation (correction of driving habits)

Regenerative braking

Low maintenance costs



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Thank you for your
attention!

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BFH-Zentrum Energiespeicherung