

# Advanced Topics in Micro- and Nanomanufacturing

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# Directed assembly of nanoparticles

TOP-DOWN MEETS BOTTOM-UP

OPTICAL ASSEMBLY

MAGNETIC ASSEMBLY

ELECTRIC ASSEMBLY

CAPILLARY ASSEMBLY

# About myself



**Massimo Mastrangeli, PhD**  
Associate professor  
TU Delft, the Netherlands

Associate professor



Delft

Res. Ass. (→'17)



Stuttgart

Sen. Sci (→'15)



Bruxelles

Post-Doc (→'13)



Lausanne

PhD (→'10)

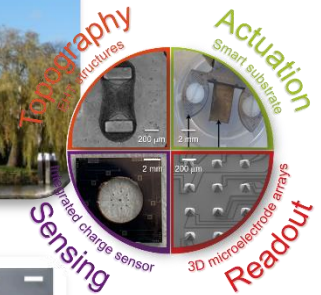
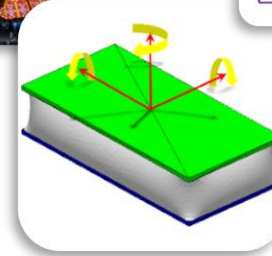
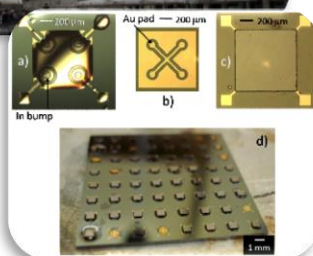


Leuven

BSc 2003  
MSc 2005



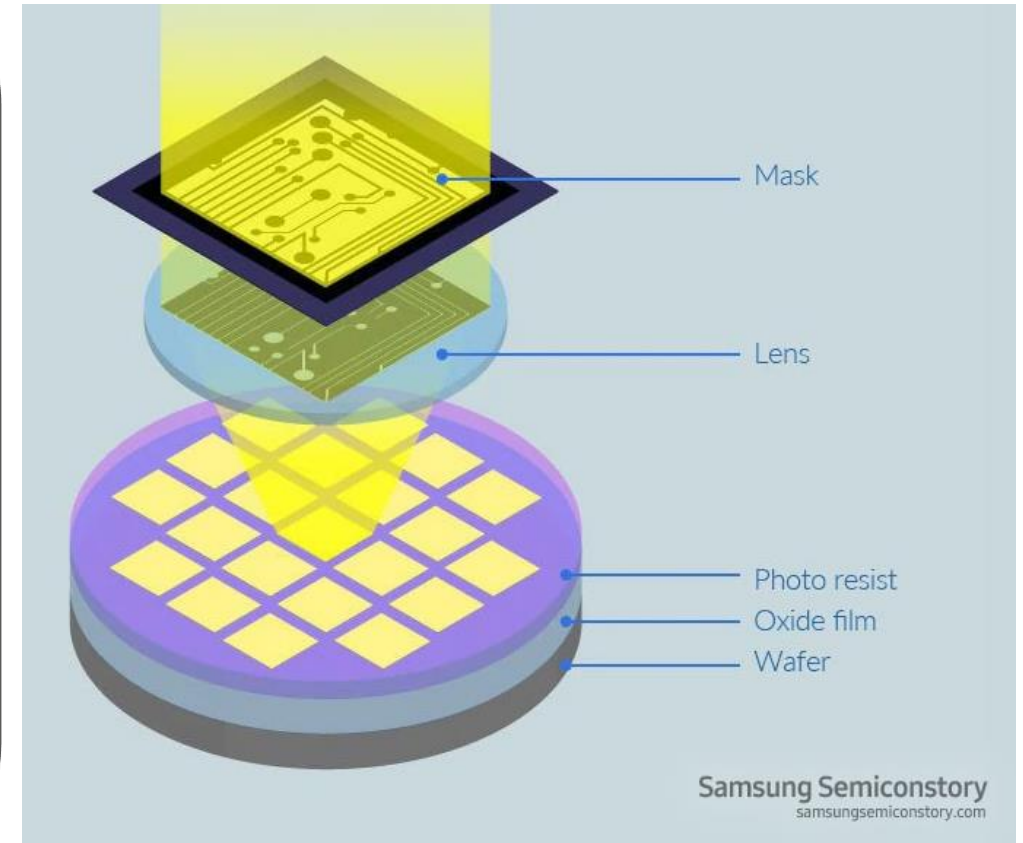
Pisa



# Beyond top-down micro/nanofabrication

## Lithography

- ✓ Ultimate resolution
- ✓ Wafer-level
- ✗ Pre-formed layers have low crystalline quality
- ✗ Integration of high-quality materials limited



# From nanoparticles to nanodevices

## Unique properties of NPs

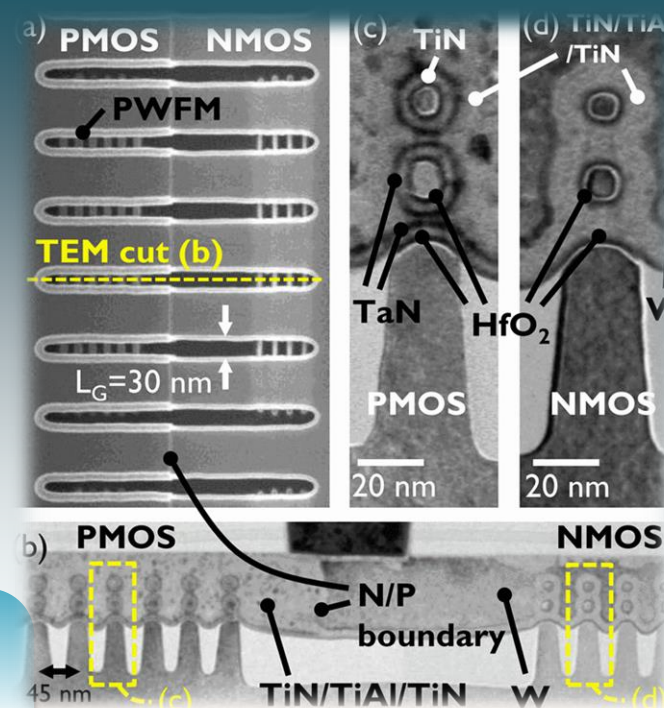
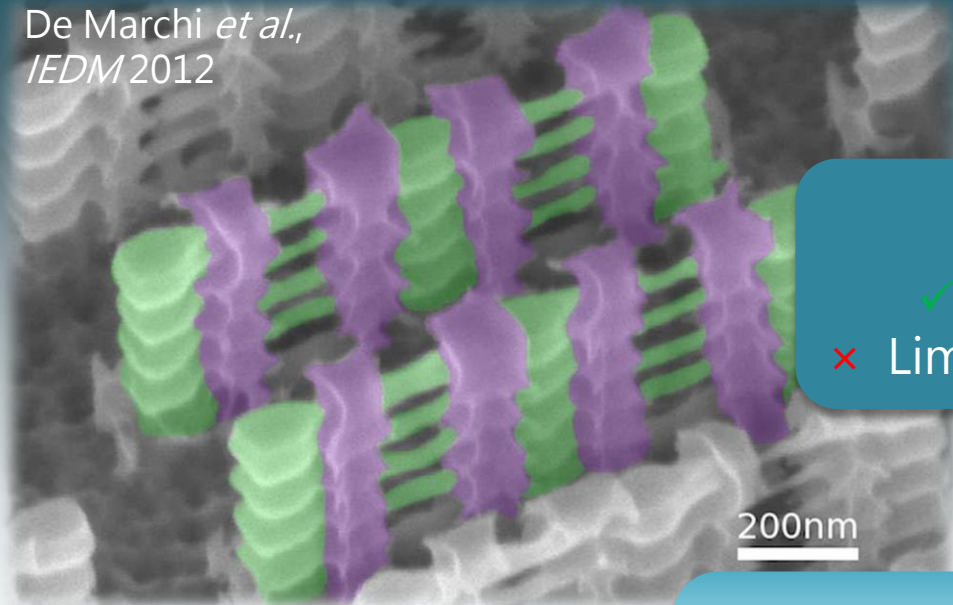
- Metal, oxide, core/shell
- Carbon-based
  - Graphene
  - Nanotubes
  - Nanodiamonds
- Quantum dots
- Nanowires



How to build devices out of nanoparticles?

## NP-based applications

- Surface-enhanced spectroscopy
  - CNT/NW-based FETs
- Sensor decoration
- Plasmonic devices
- Quantum systems
- Interconnections
  - Metamaterials



**Top-down**

- ✓ Ultimate spatial accuracy
- ✗ Limited material choice & quality

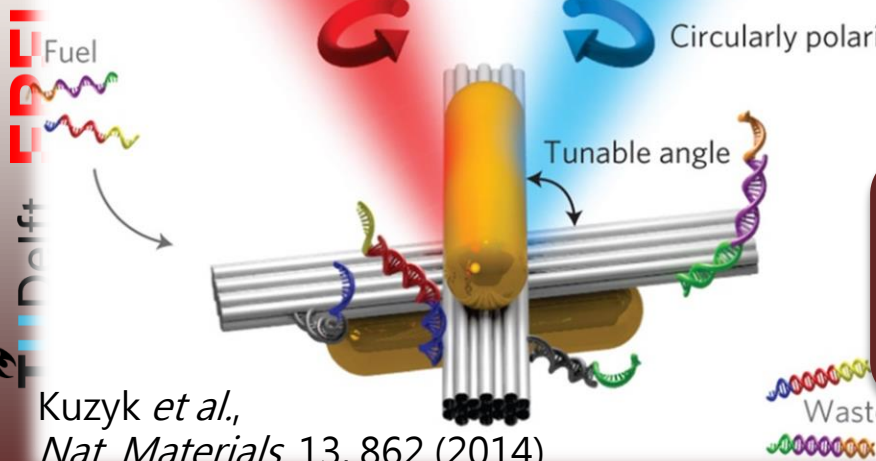
**Top-down & bottom-up?**  
Assembly of chemically synthesized NPs over lithographically patterned substrates

3D plasmonic metamolecule



Circularly polarized light

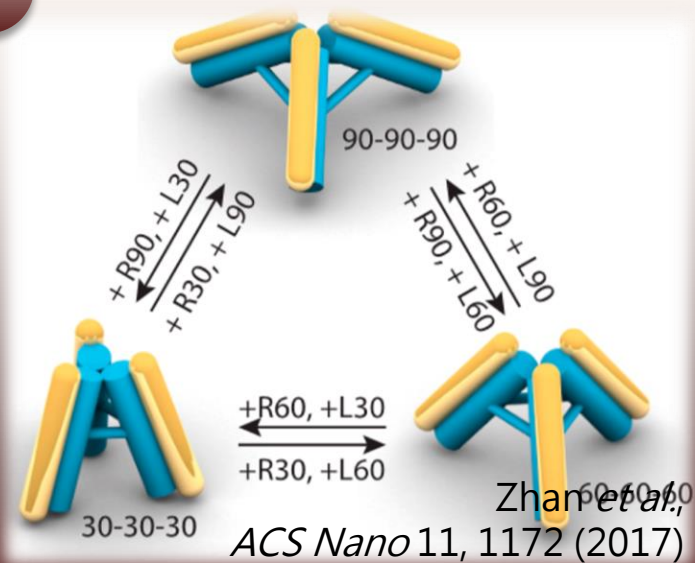
Tunable angle



✓ Superior NP quality

✗ Limited morphology control

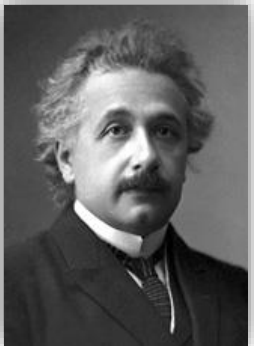
**Bottom-up**



# Eppur si muove: Diffusion

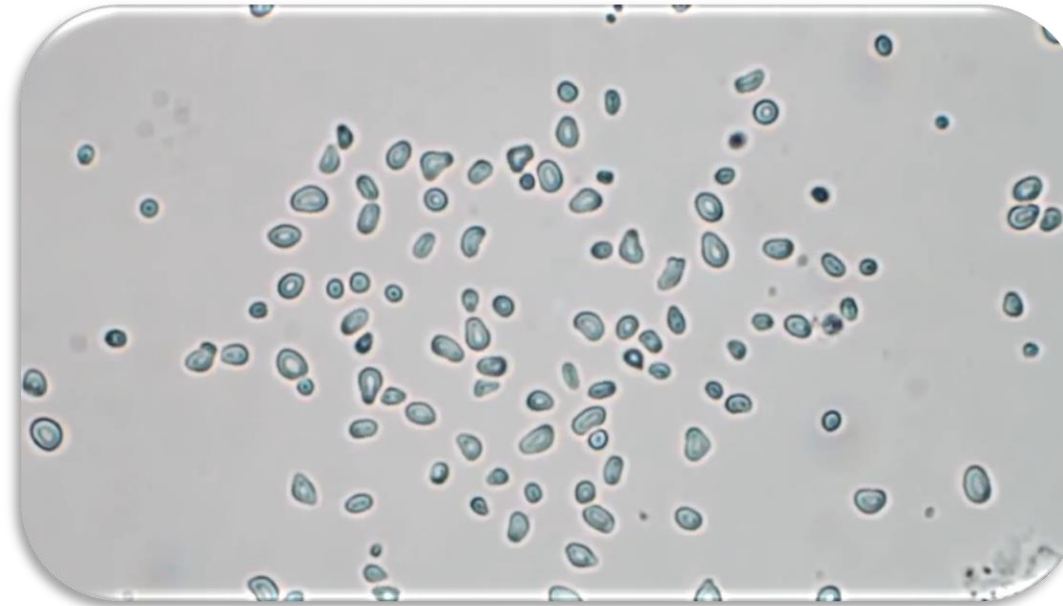


Robert Brown  
(1827)



Albert Einstein  
(1905)

$$\frac{\langle x^2 \rangle}{2t} = D = \mu k_B T = \frac{RT}{6\pi\eta r N_A}$$



Pollen grains in water

[source: Y. Y. Kiat's YouTube channel]

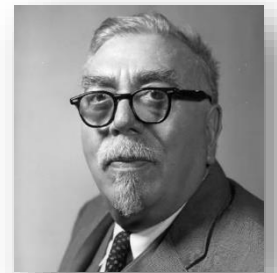
$$J = -D\nabla c \quad \text{Fick's 1st law}$$
$$\frac{\partial c}{\partial t} = D\nabla^2 c \quad \text{Fick's 2nd law}$$



Jean Perrin  
(1908)



Paul Langevin  
(1908)



Norbert Wiener  
(1923)

Diffusivity

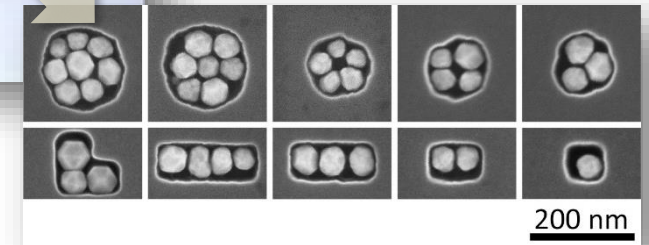
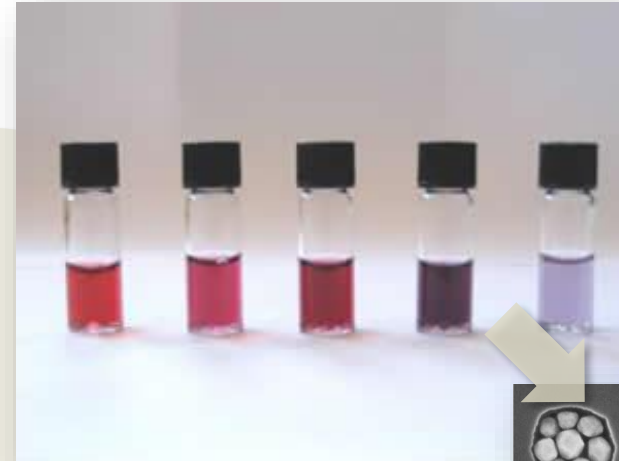
# Overwriting diffusion: fields & receptors

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- **Self-assembly:**  
organizing matter by means of interactions inherent to the components
  - Weak, short-range forces
- **Directed assembly:**  
adding biases (directed motion) and pre-set spatial targets (receptors) to coordinate the (self-)assembly of organized structures
  - Longer-range order

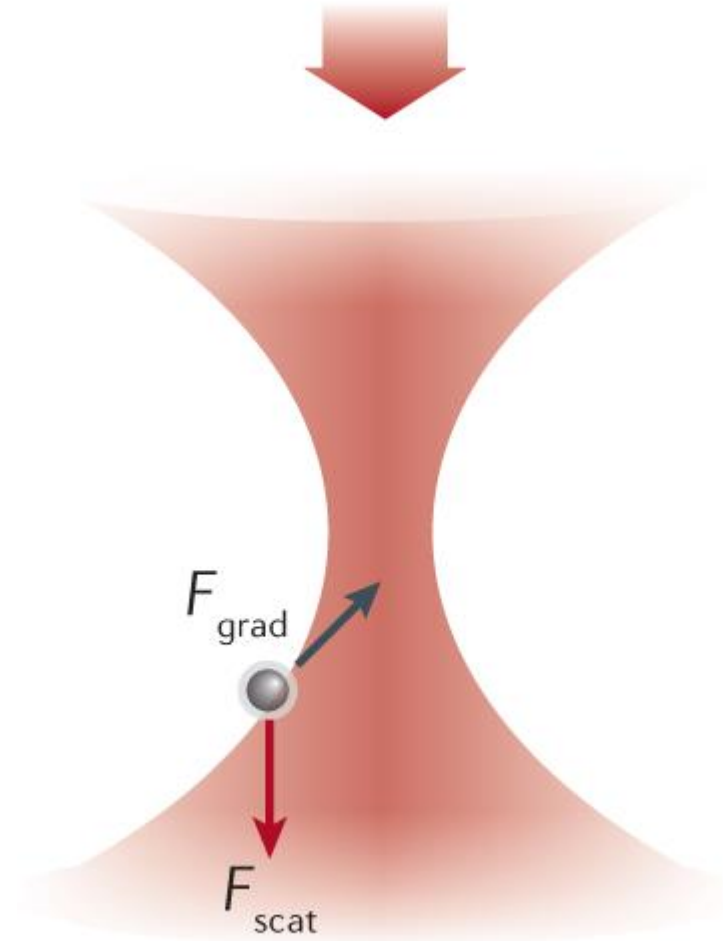
# Confinement & templating

- Dimensionality
  - From suspension... (3D)  
to substrate... (2D)  
to trap... (1D→0D)
- Degrees of freedom
  - From 6 to 0
- Non-interacting particles
  - $\Delta H \approx 0 \rightarrow \Delta G \approx -T\Delta S$

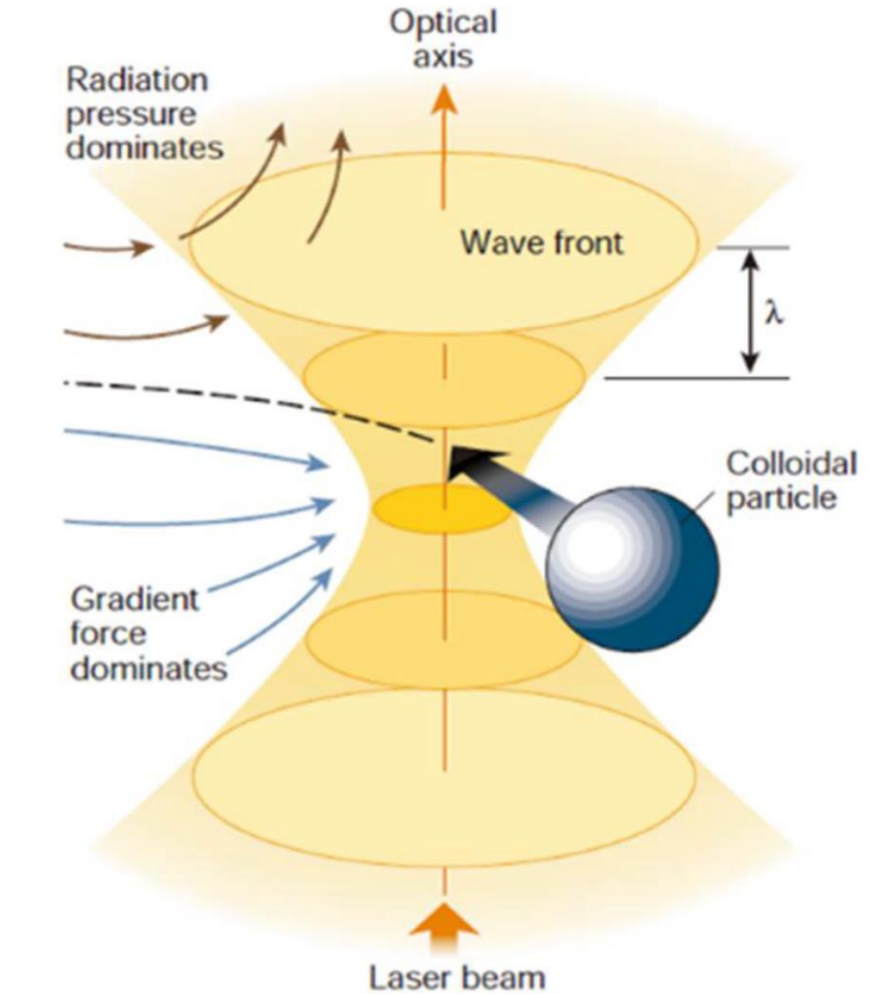


Assembly as  
spatial search  
problem

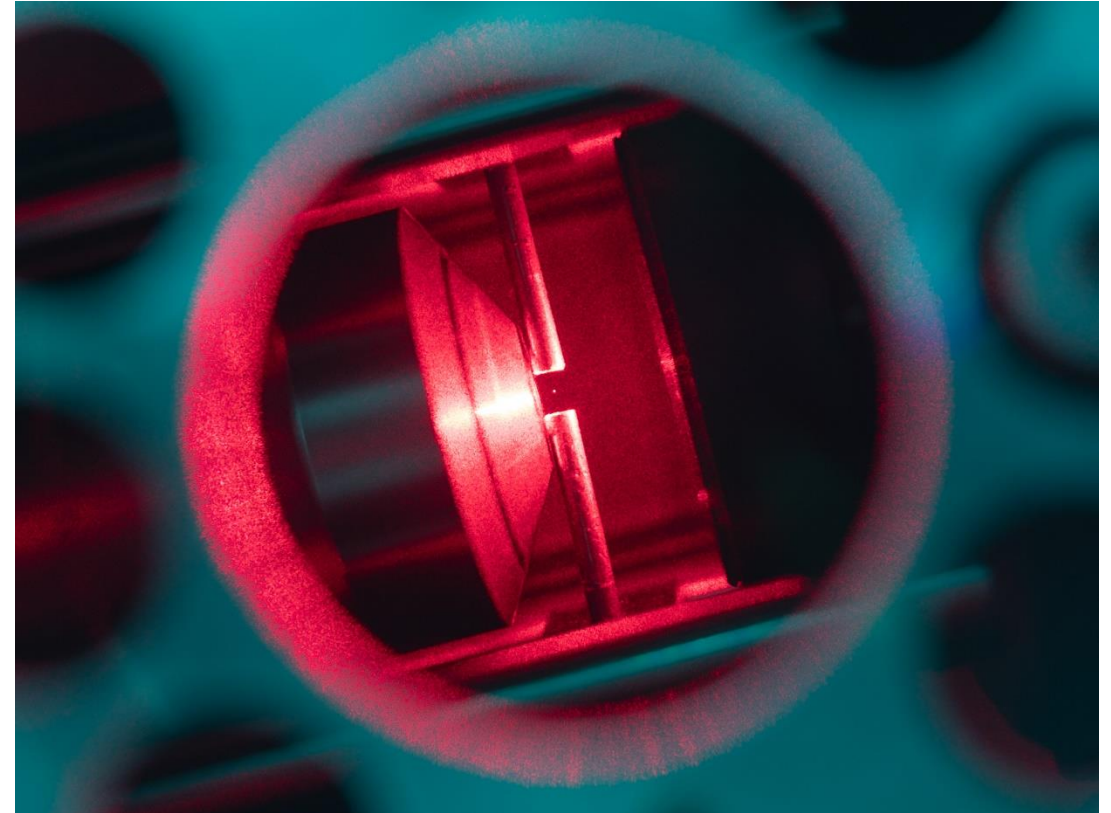
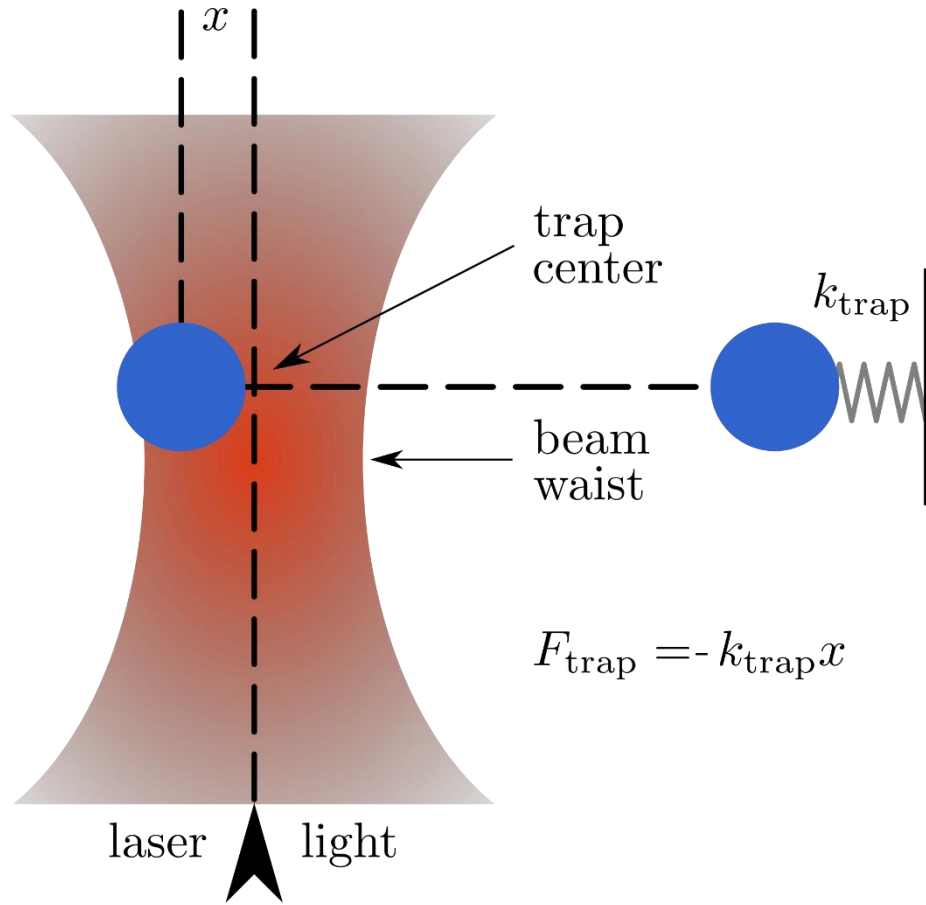
# Optical manipulation



Bustamante *et al.*,  
*Nat. Rev. Method Primers* (2021)

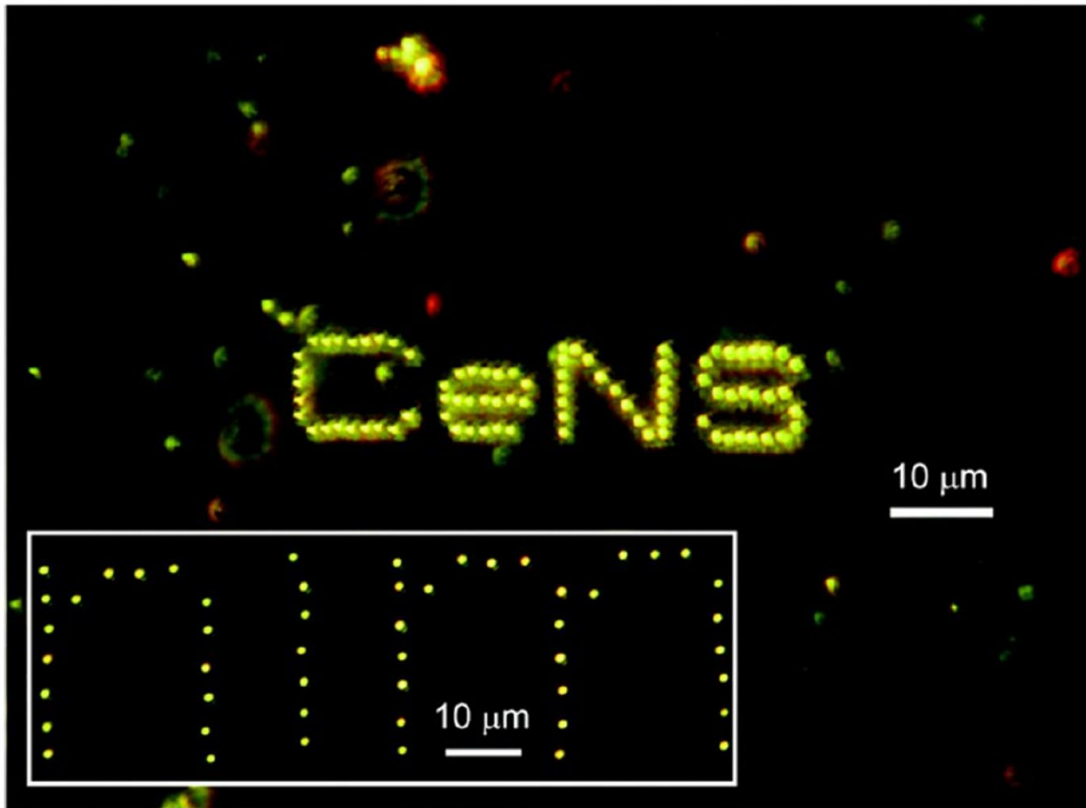


# Optical tweezing

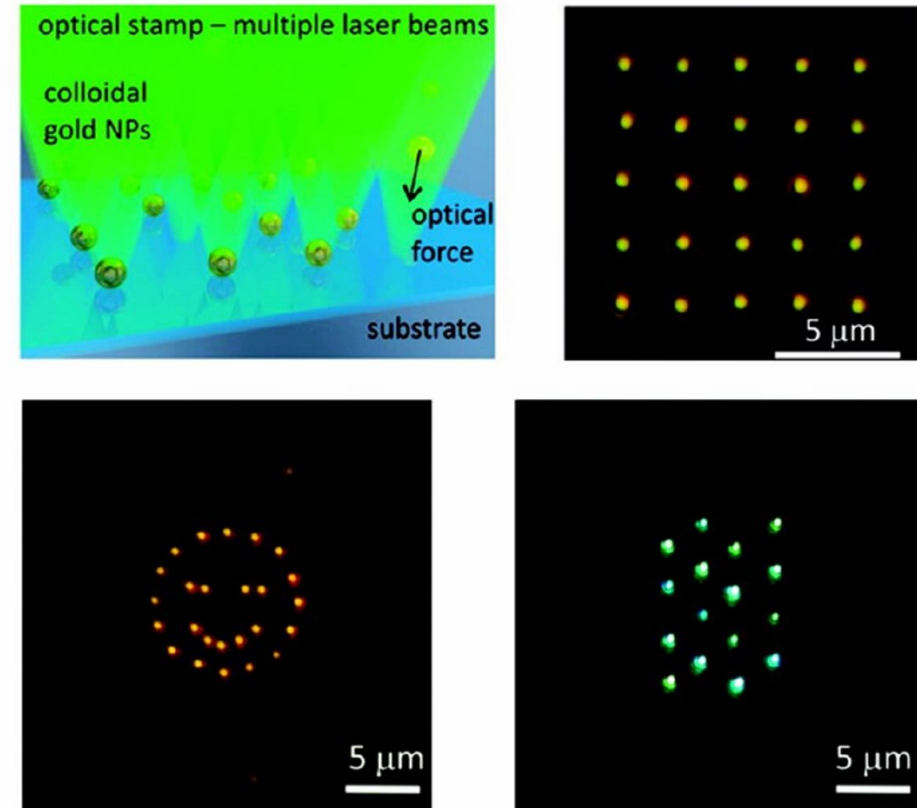


# Optical printing

(c)



(d)



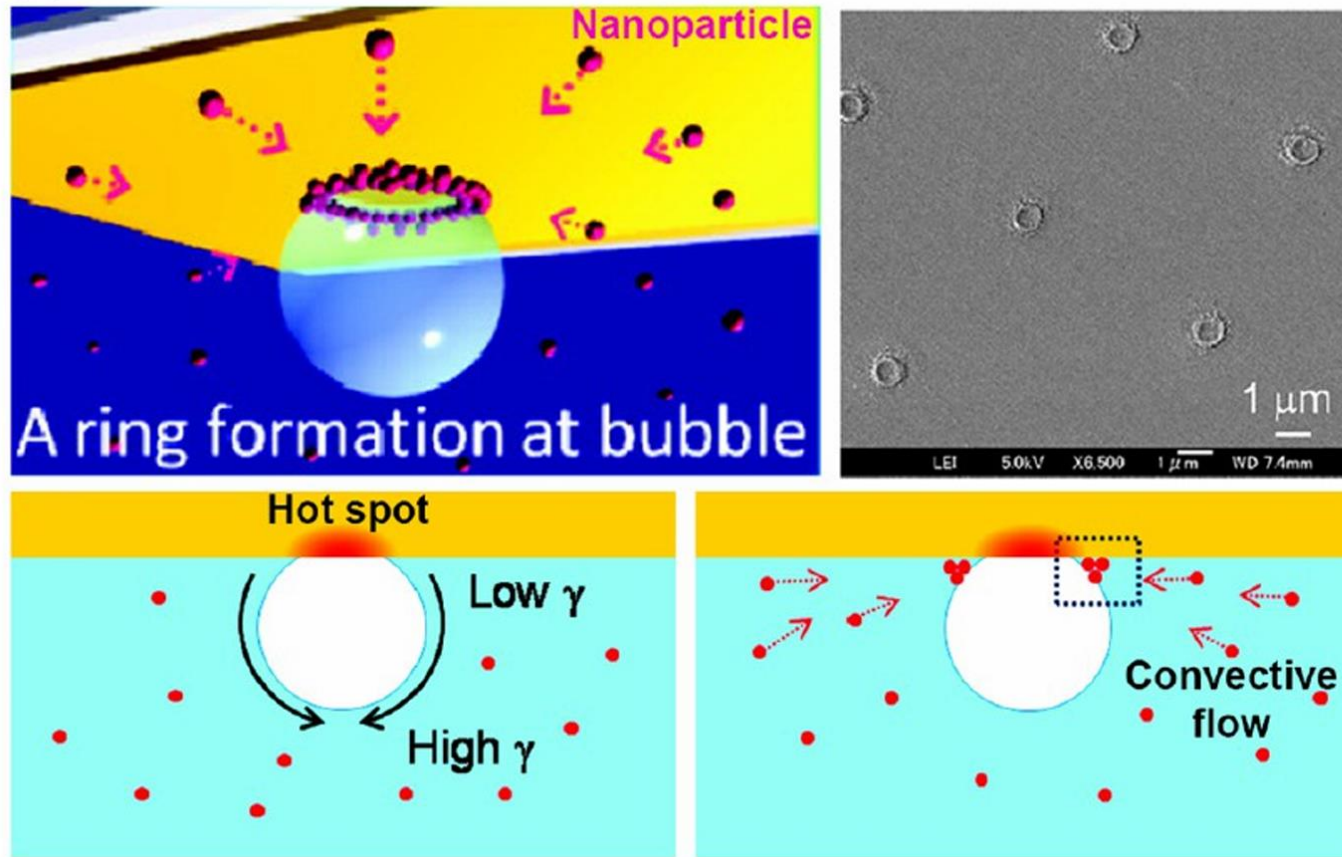
Chai *et al.*, *ACS Nano* 16, 17641-17686 (2022)

Optical assembly

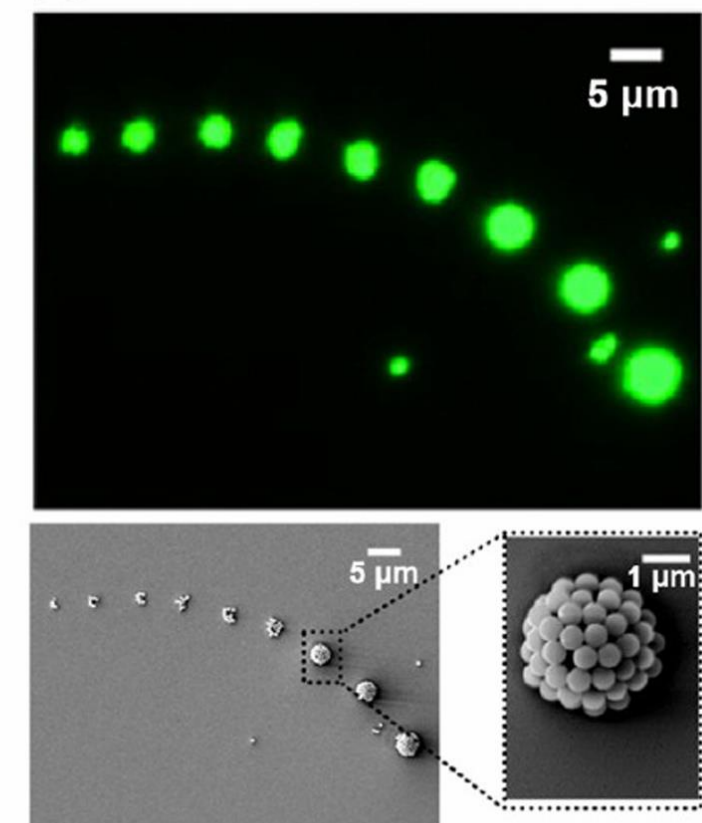
Directed assembly of nanoparticles | M. Mastrangeli, PhD – EPFL, 3 September 2025

# Bubble printing

(a)



(b)



Chai *et al.*, *ACS Nano* 16, 17641-17686 (2022)

Optical assembly

Directed assembly of nanoparticles | M. Mastrangeli, PhD – EPFL, 3 September 2025

# Magnetophoretic assembly

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- Magnetic force

$$F_{mag} = \frac{V_p(\chi_p - \chi_m)}{\mu_0} (B \cdot \nabla)B$$

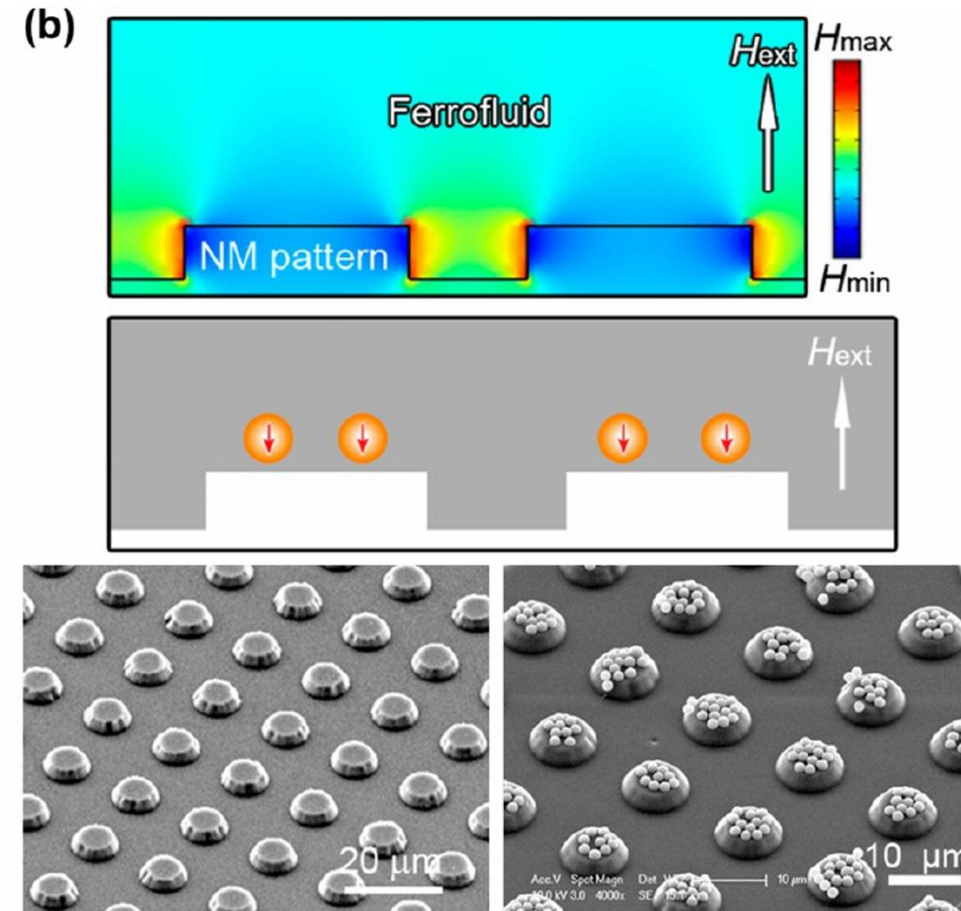
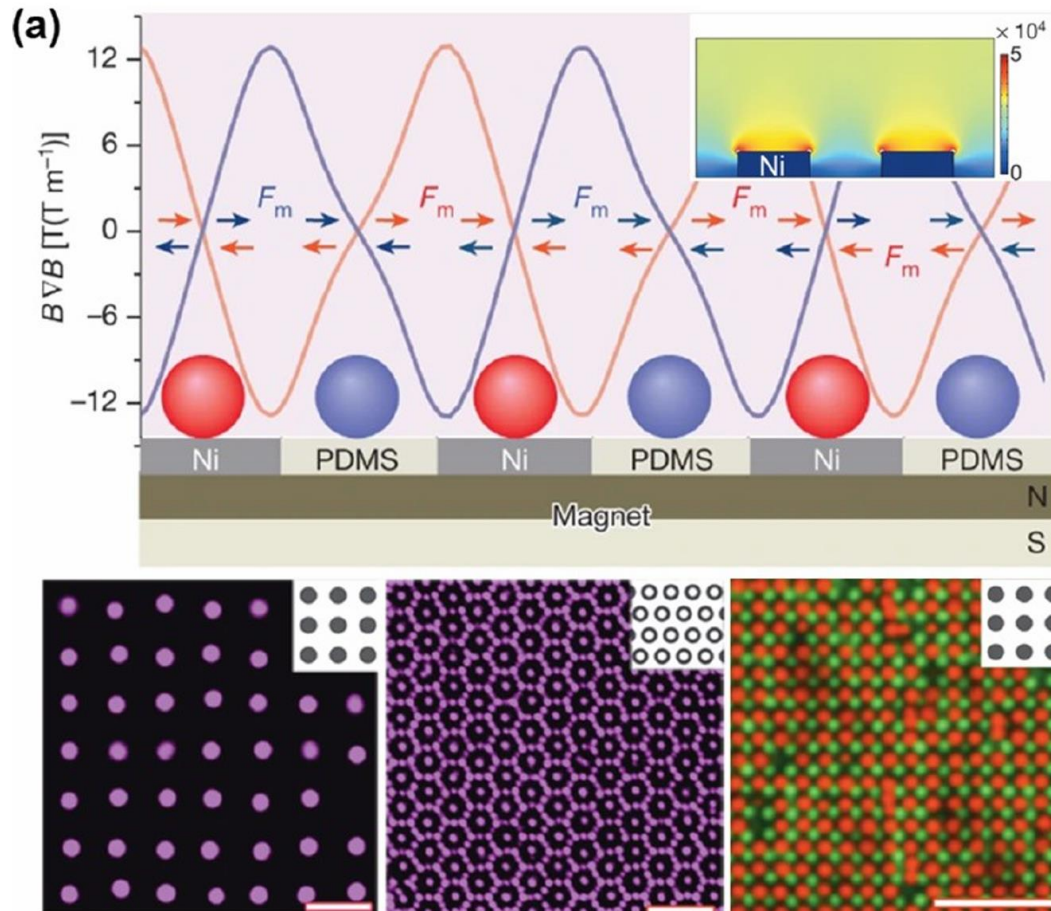
$V_p$  particle volume

$\mu_0$  free space permeability

$B$  magnetic flux density

$\chi_*$  susceptibilities

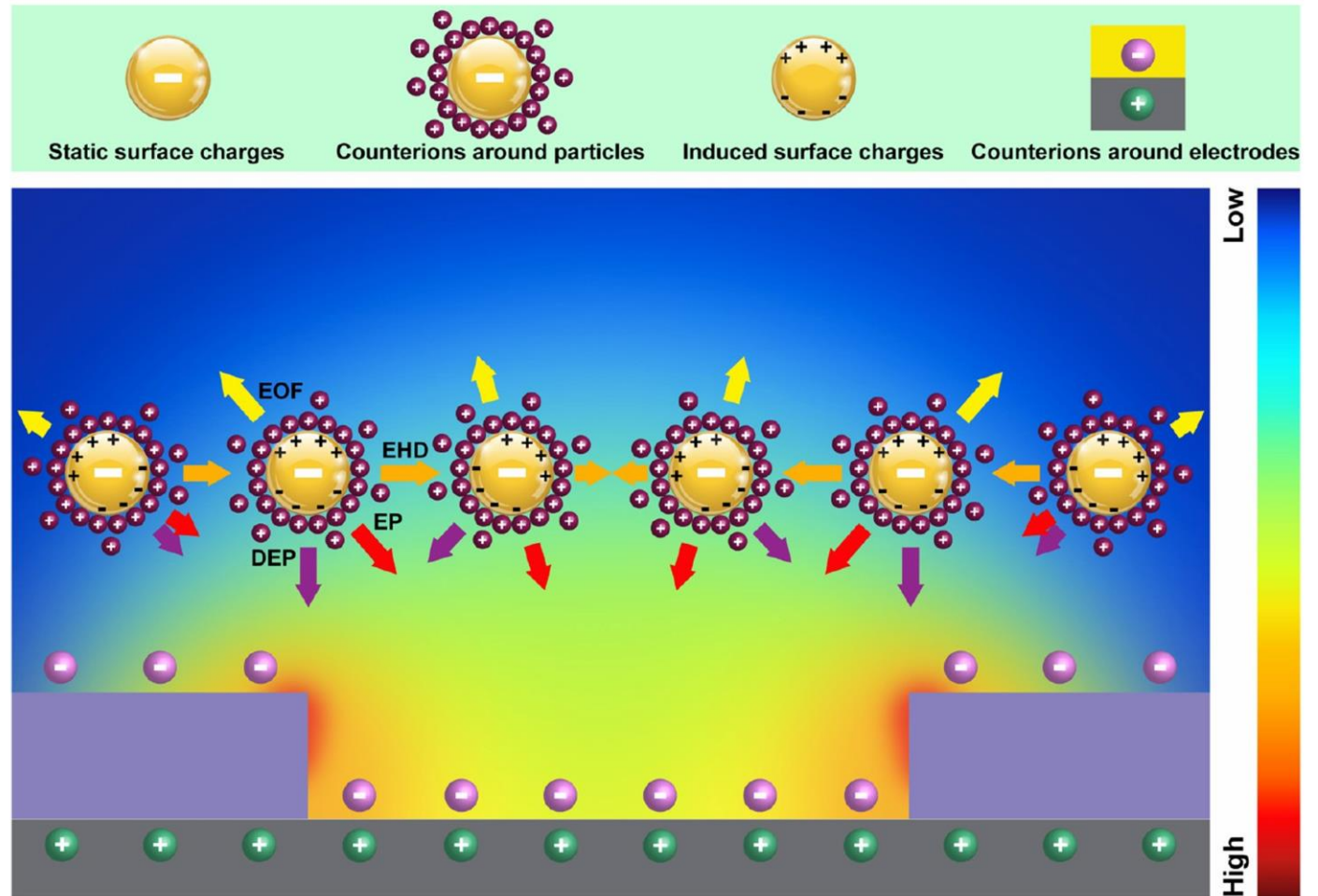
# Magnetophoretic assembly



Chai *et al.*, *ACS Nano* 16, 17641-17686 (2022)

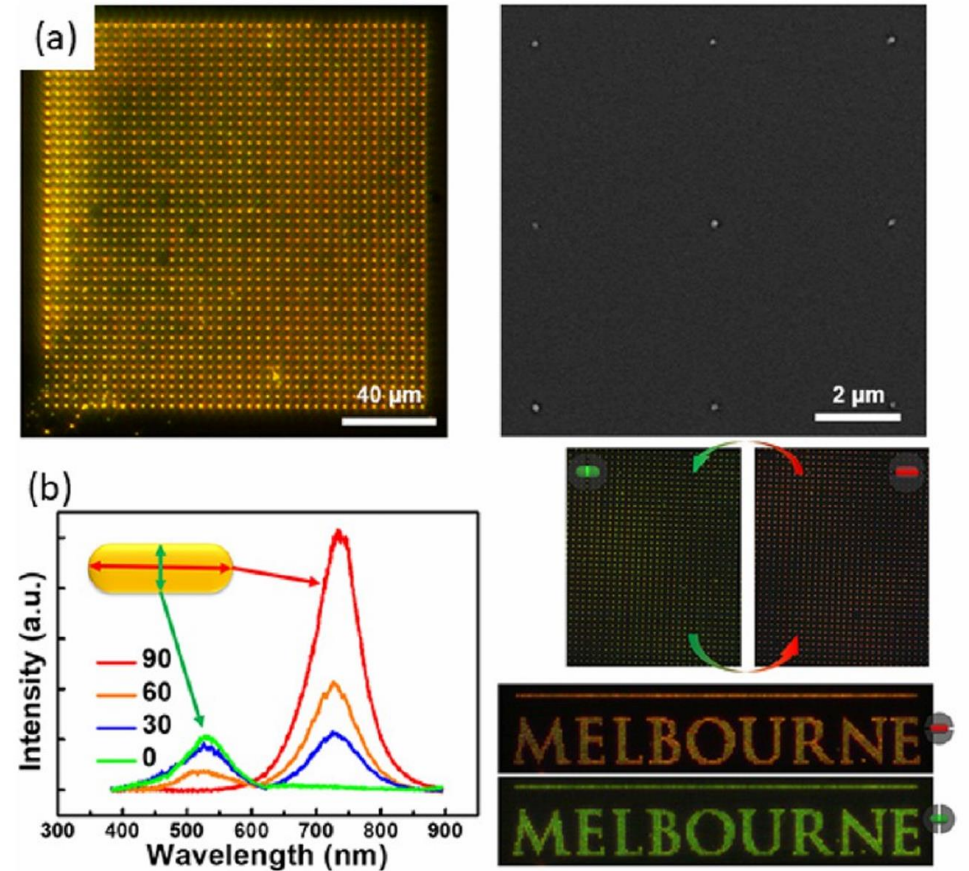
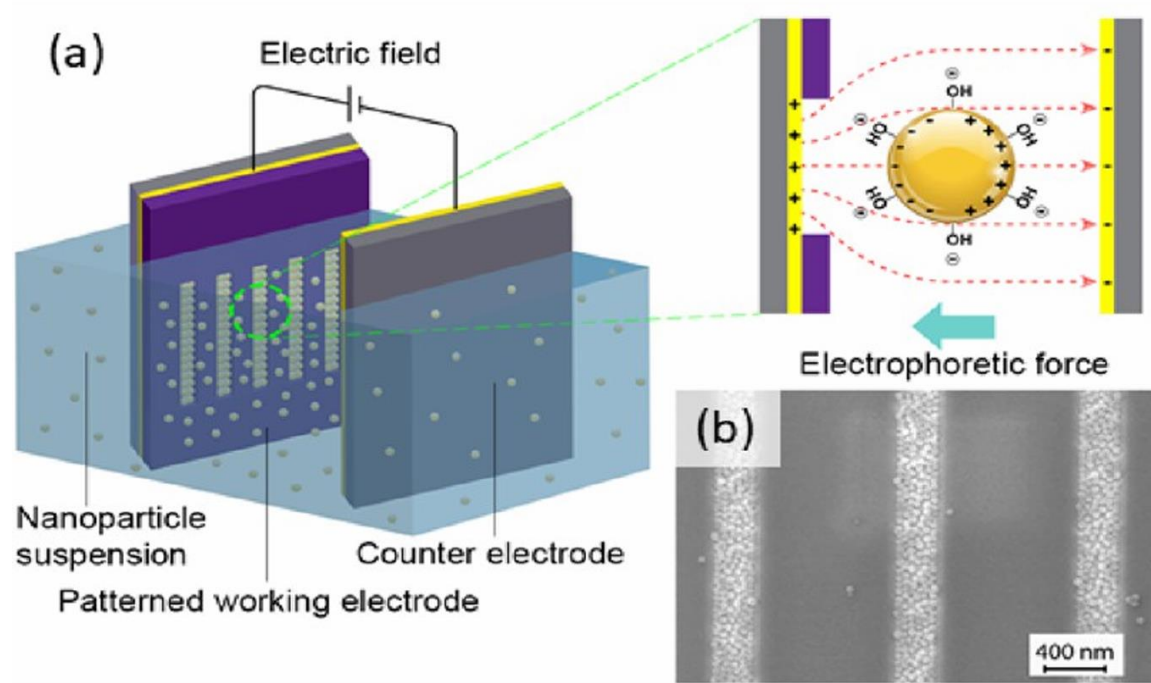
# Particles and charges

- Electric double layer (EDL)
- Induced charge (polarization)
- DC vs AC
- Fluid drag



Chai *et al.*, *ACS Nano* 16, 17641-17686 (2022)

# Electrophoretic assembly

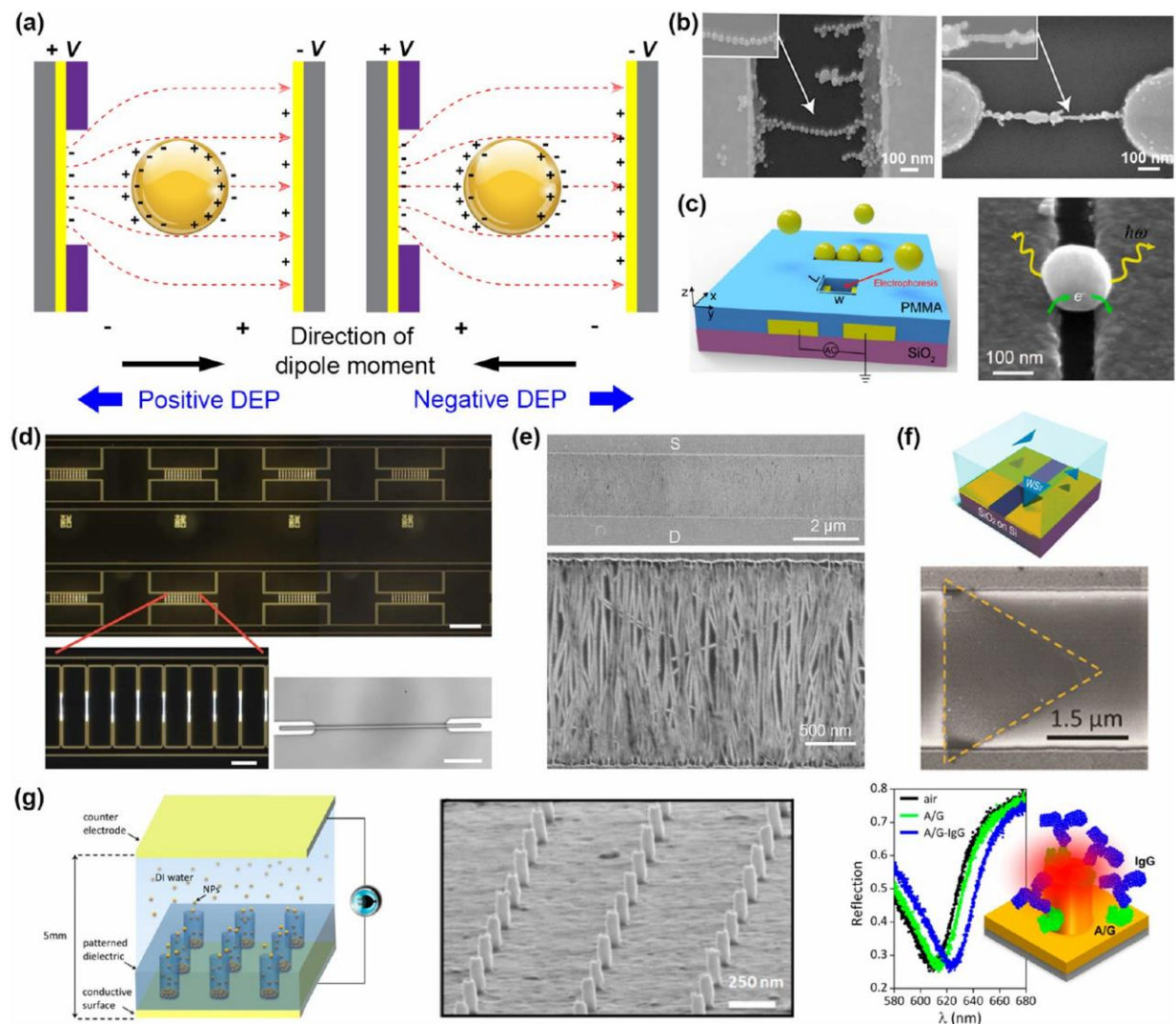


Chai *et al.*, *ACS Nano* 16, 17641-17686 (2022)

Electric assembly

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# Dielectrophoretic assembly

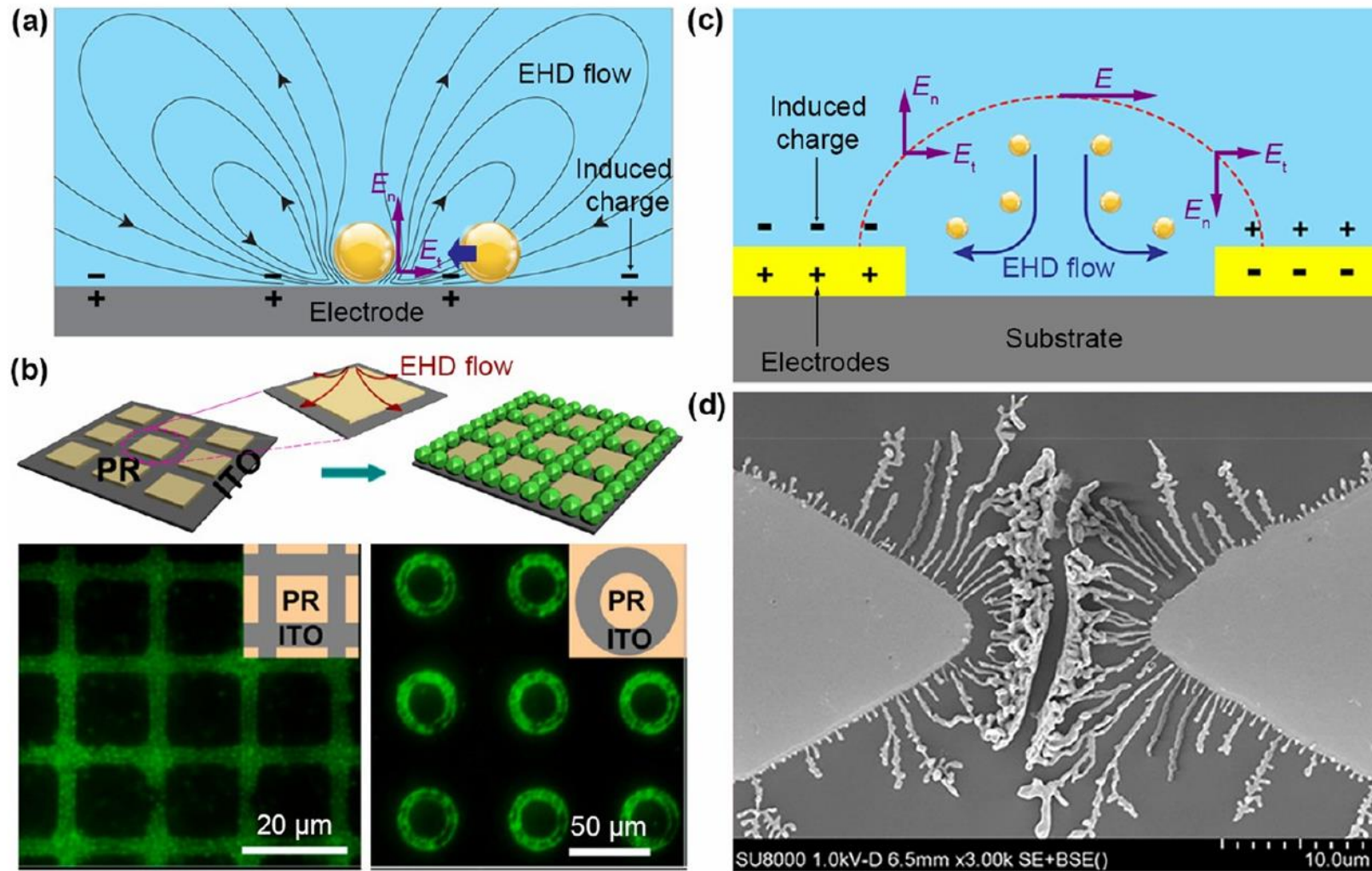


Chai *et al.*, *ACS Nano* 16, 17641-17686 (2022)

Electric assembly

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# Electrokinetic assembly

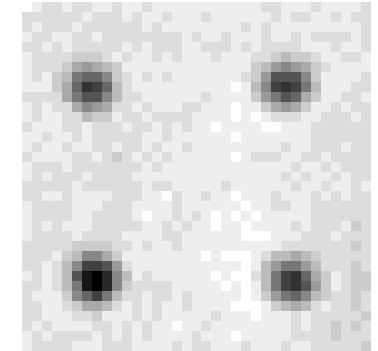
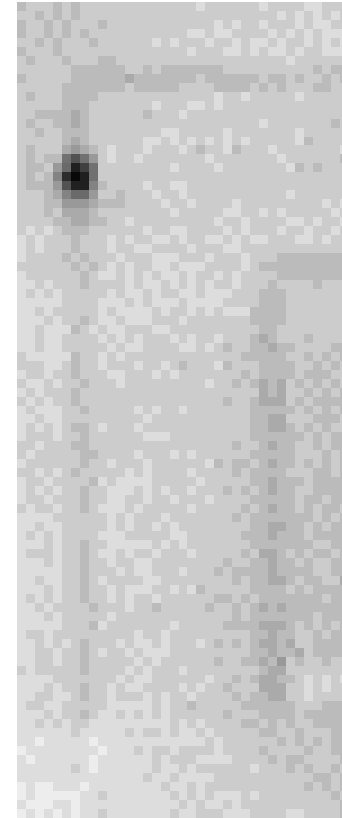
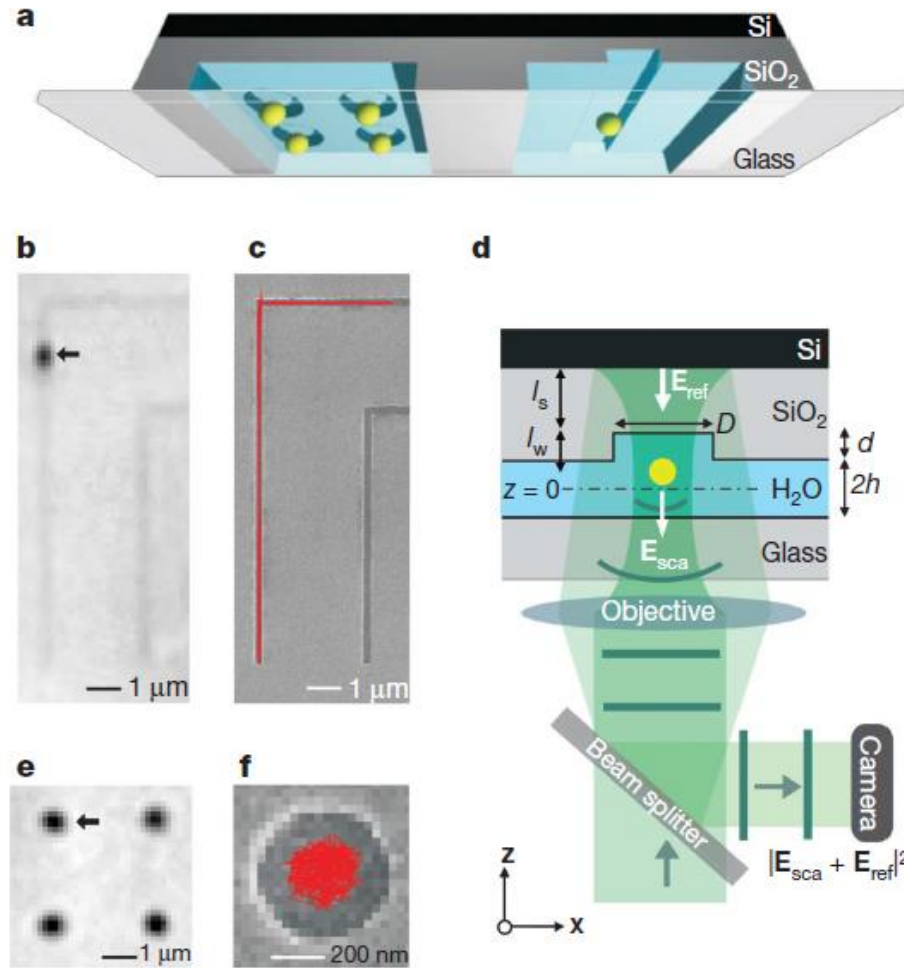


Chai *et al.*, *ACS Nano* 16, 17641-17686 (2022)

Electric assembly

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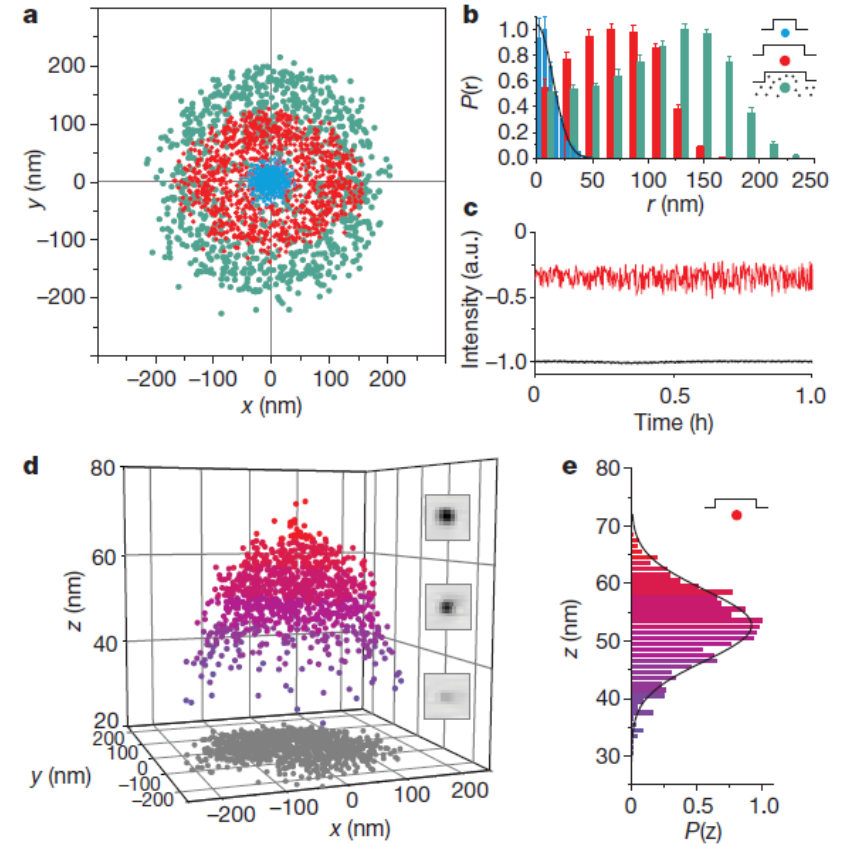
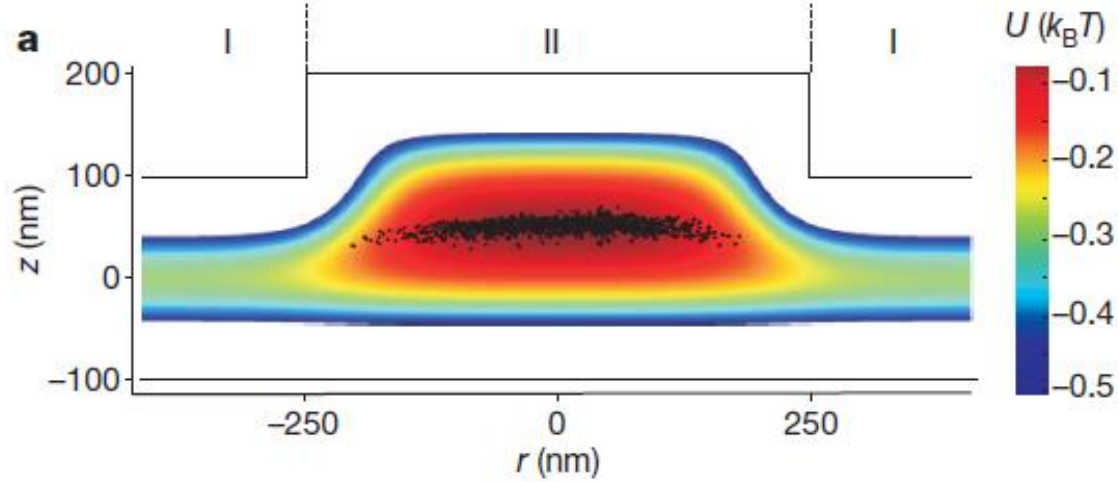
# Electro-topographical trapping



Krishnan *et al.*, *Nature* 467, 692 (2010)

Localization

# Electro-topographical trapping

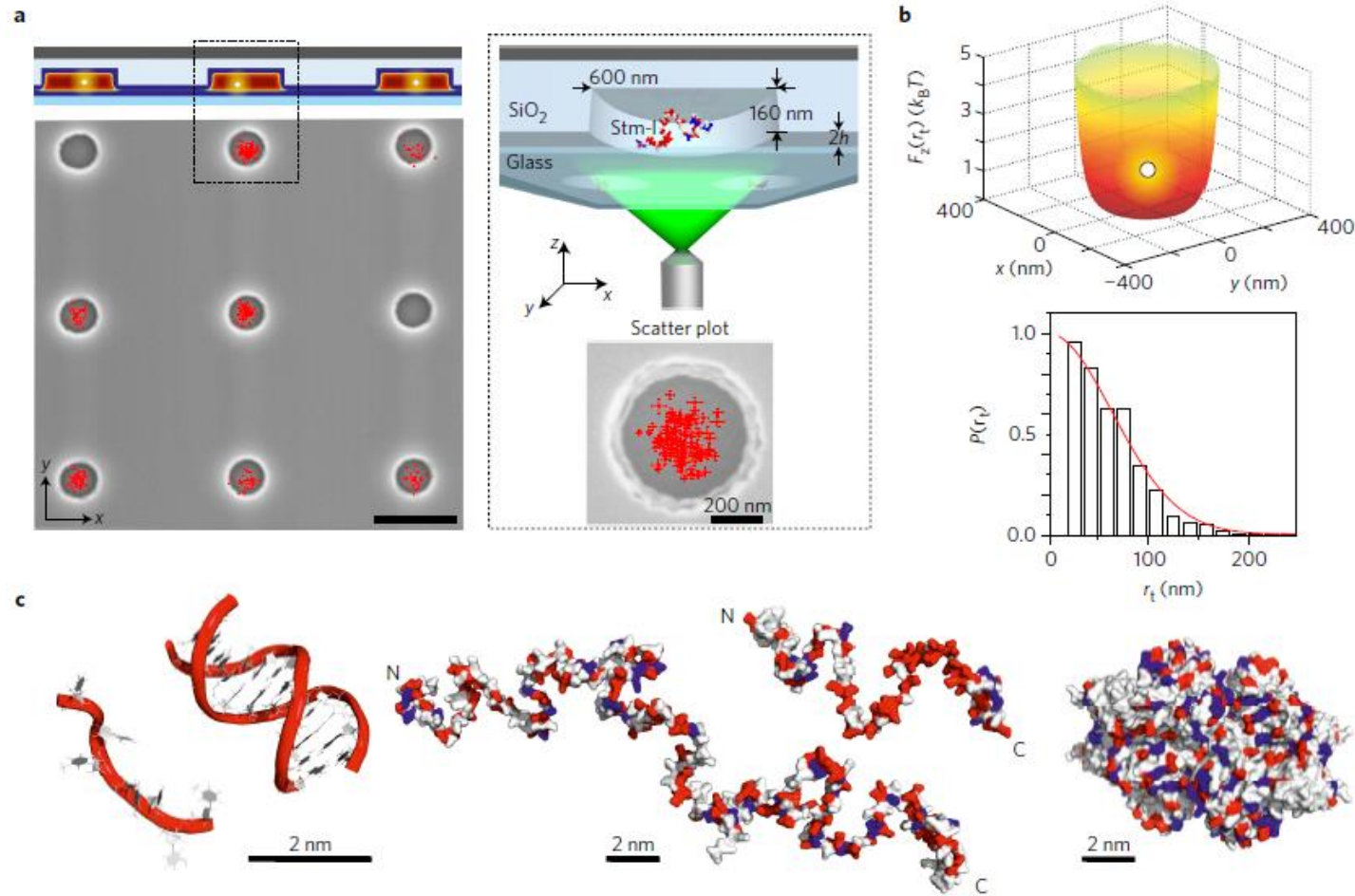


Krishnan *et al.*, *Nature* 467, 692 (2010)

Localization

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# Electro-topographical trapping

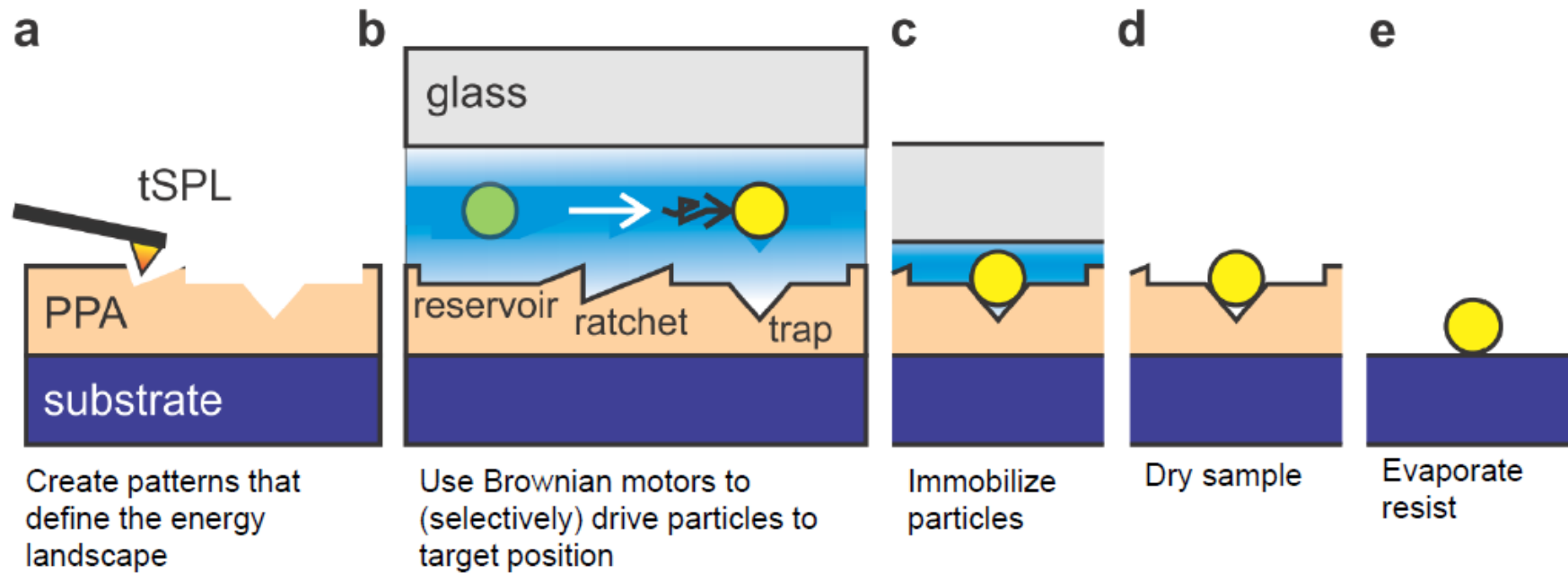


Ruggeri *et al.*, *Nat. Nanotechnol.* 12, 488 (2017)

Localization

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# Rocking Brownian motors

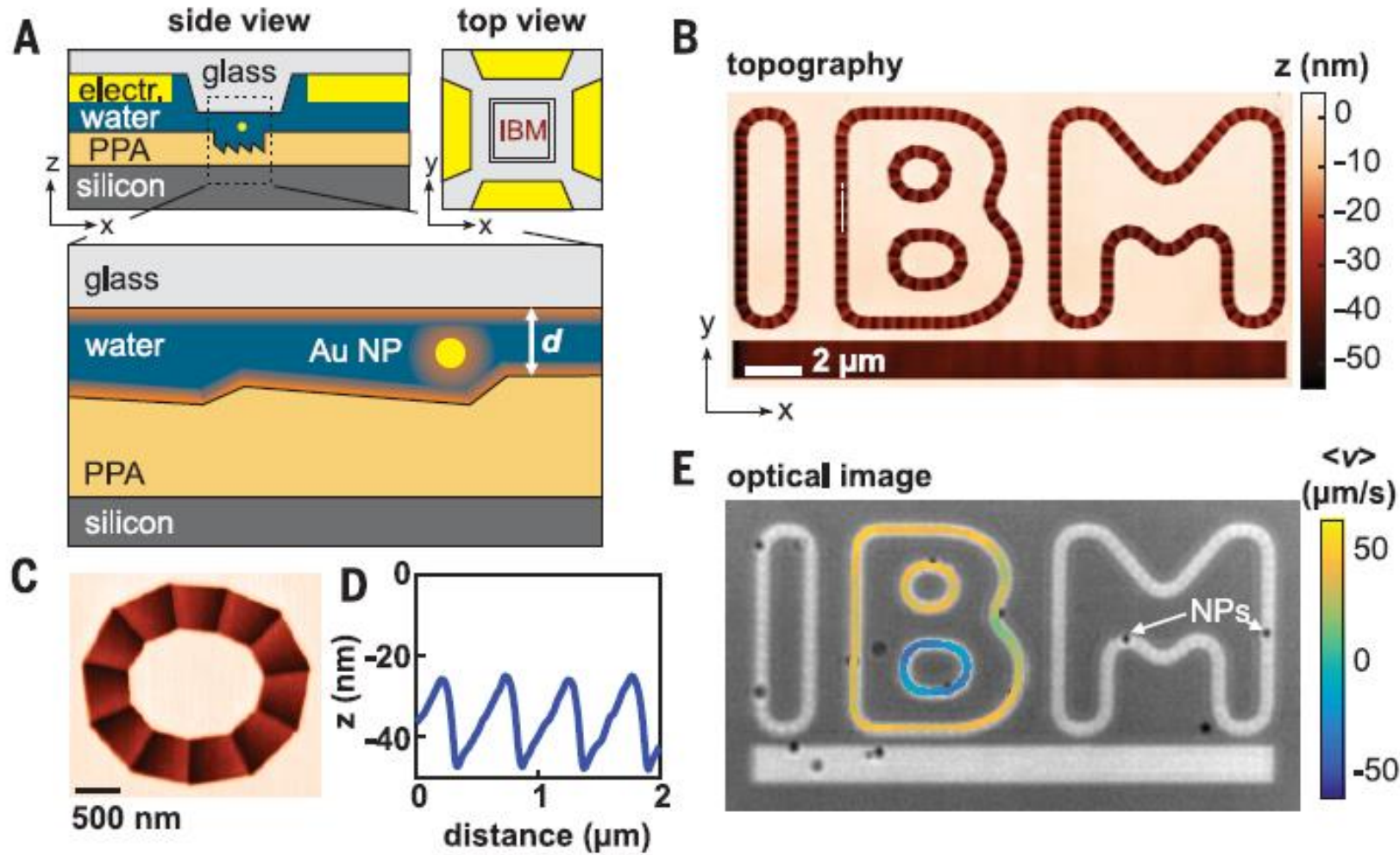


Knoll *et al.*, *TRANSDUCERS 2019*

tSPL-based topography

Directed assembly of nanoparticles | M. Mastrangeli, PhD – EPFL, 3 September 2025

# Rocking Brownian motors

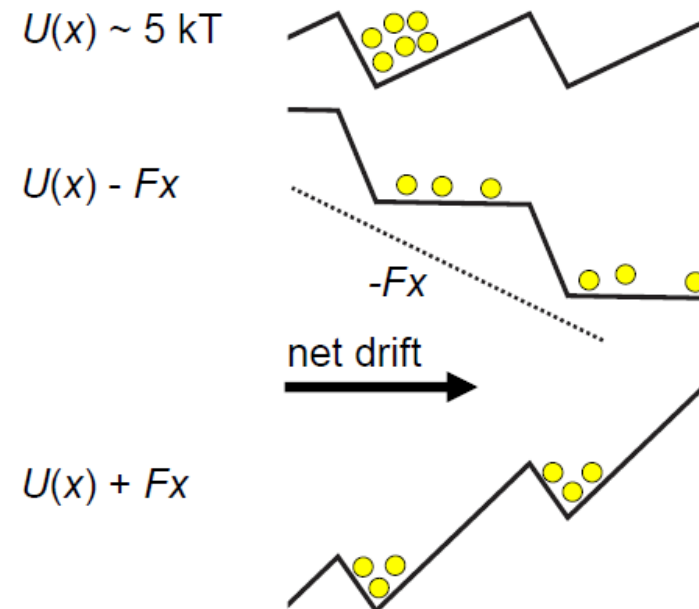
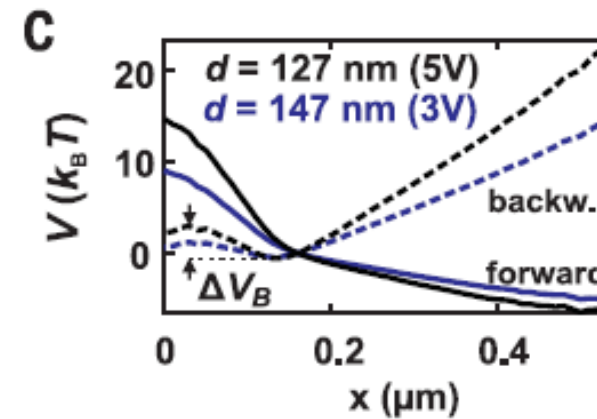
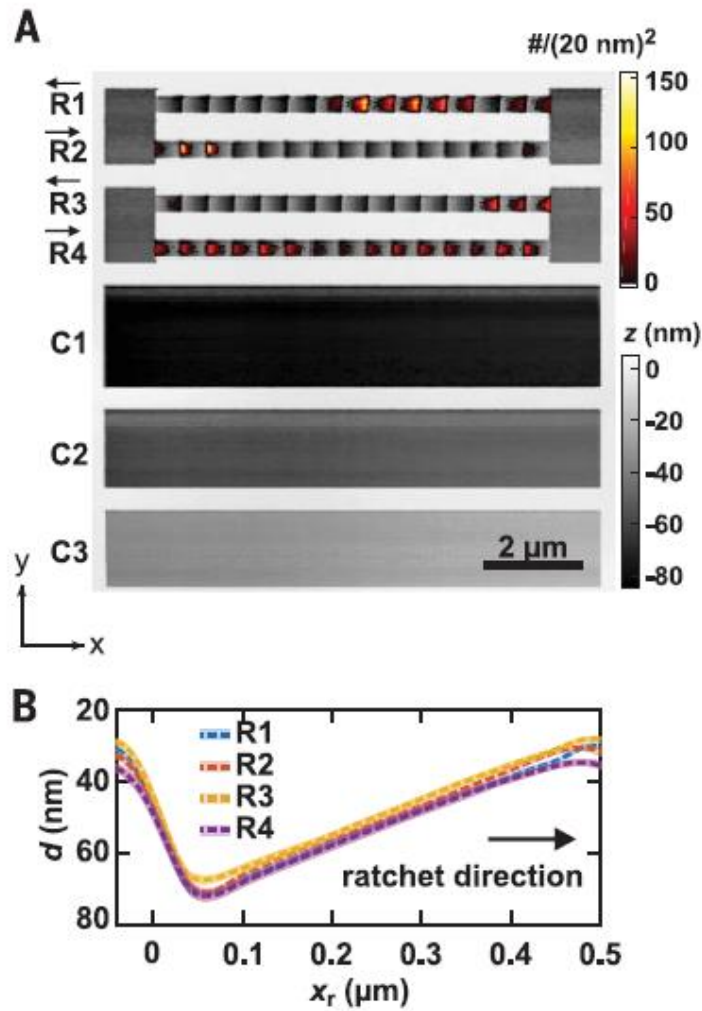


Skaug *et al.*, *Science* 359, 1505 (2018)

3D asymmetric ratchet topography

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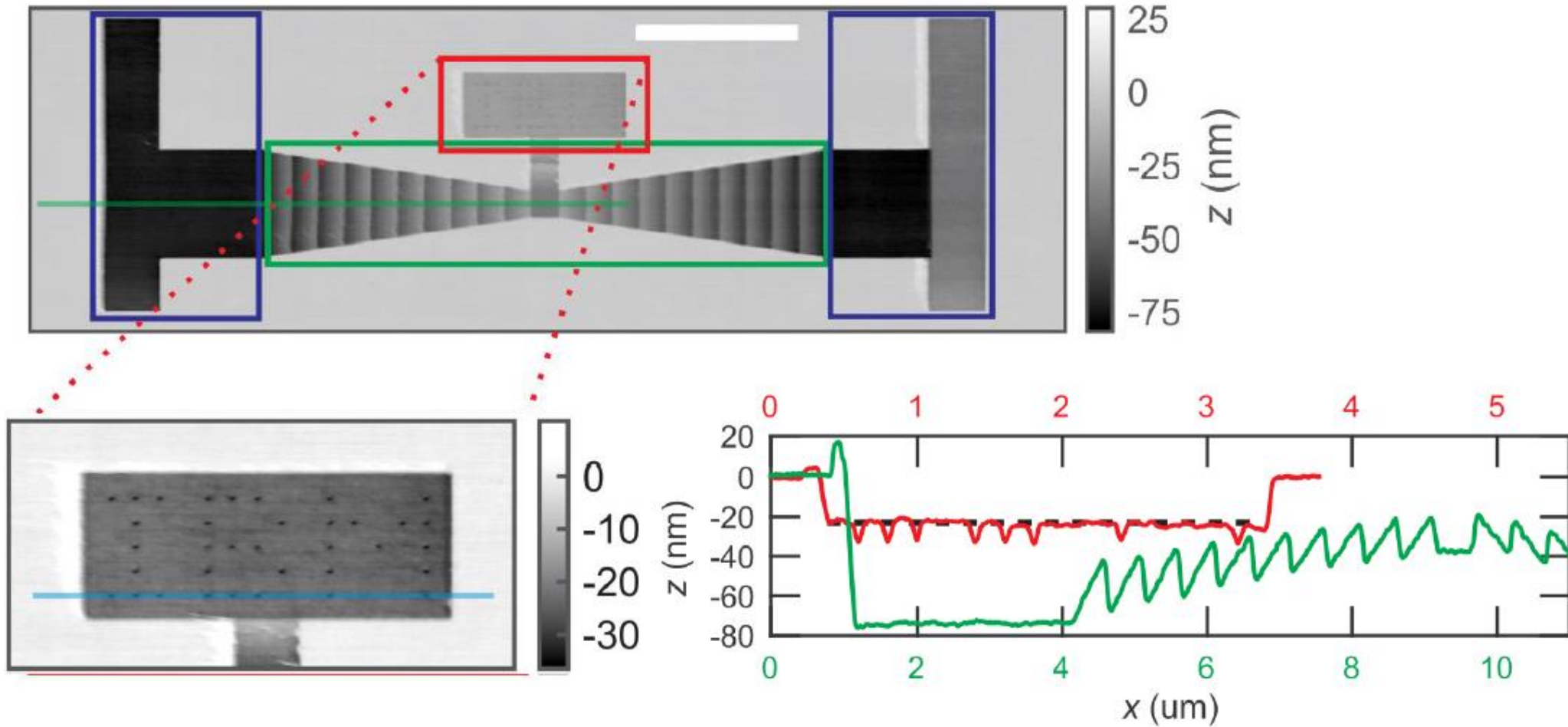
# Rocking Brownian motors



Skaug *et al.*, *Science* 359, 1505 (2018)

Working principle

# Rocking Brownian motors



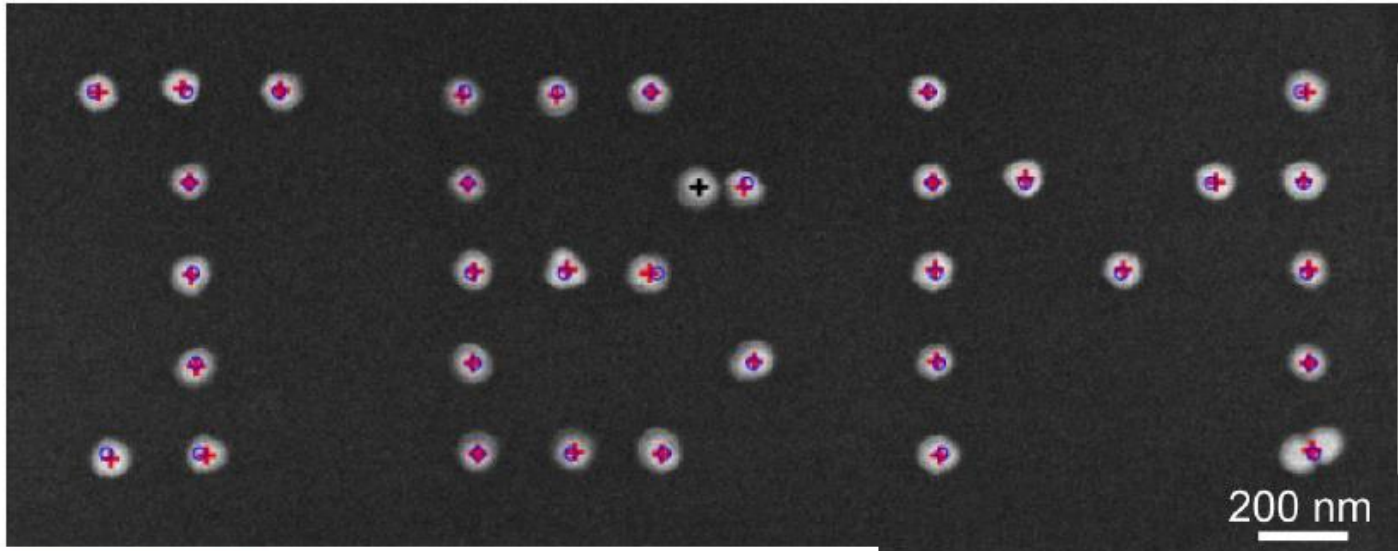
Knollet al., *TRANSDUCERS 2019*

Fringes et al., *Nano Letters* 19, 8855 (2020)

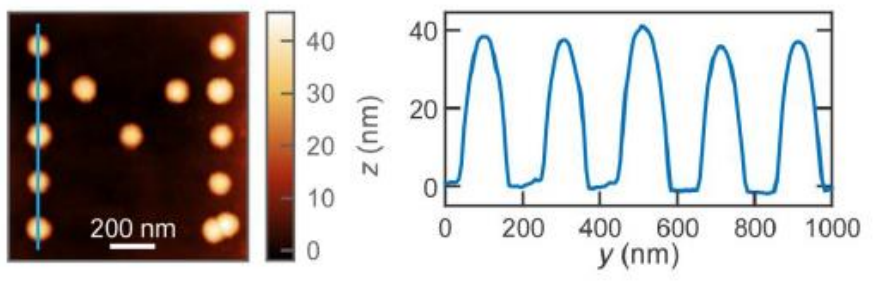
Particle placement

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# Rocking Brownian motors



- Error sources
  - Placement
  - Lithography accuracy
  - Particle motion upon resist evaporation
  - SEM distortions (non-linear drift)

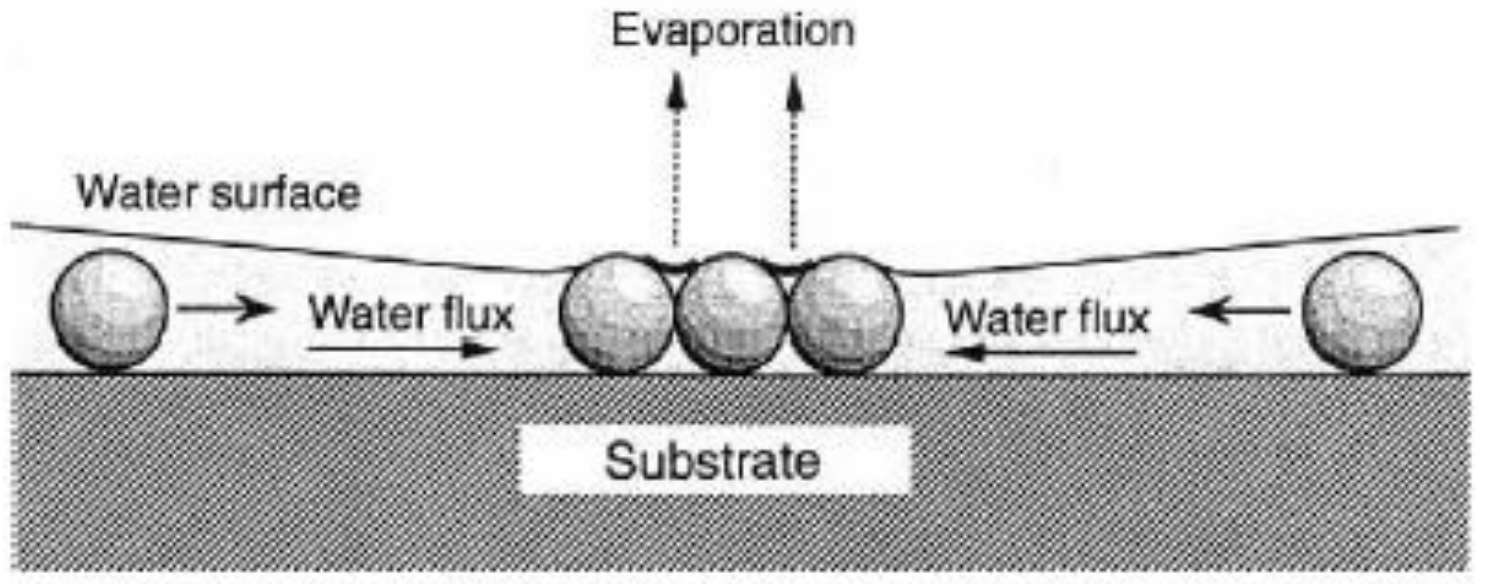


Knoll *et al.*, *TRANSDUCERS 2019*

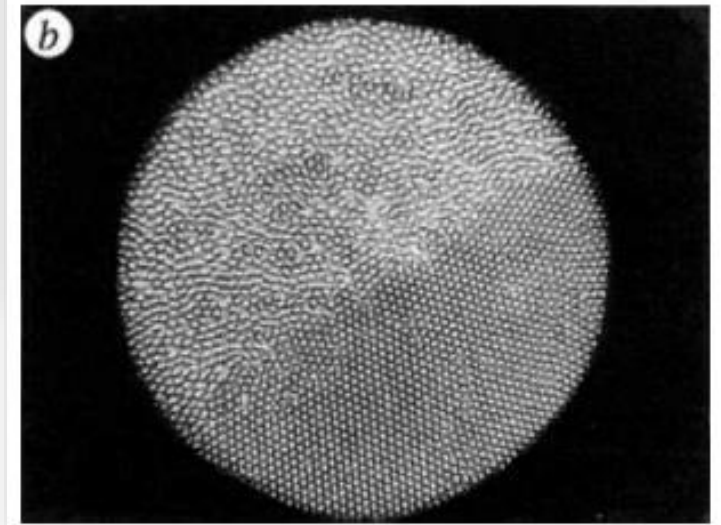
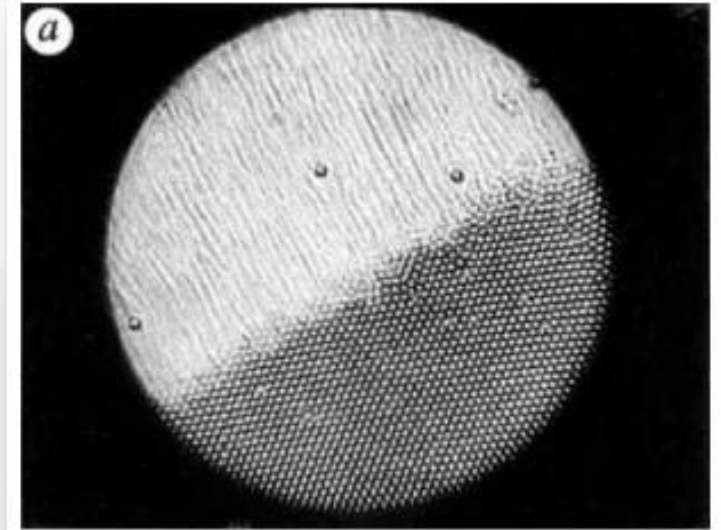
Fringes *et al.*, *Nano Letters* 19, 8855 (2020)

Localization

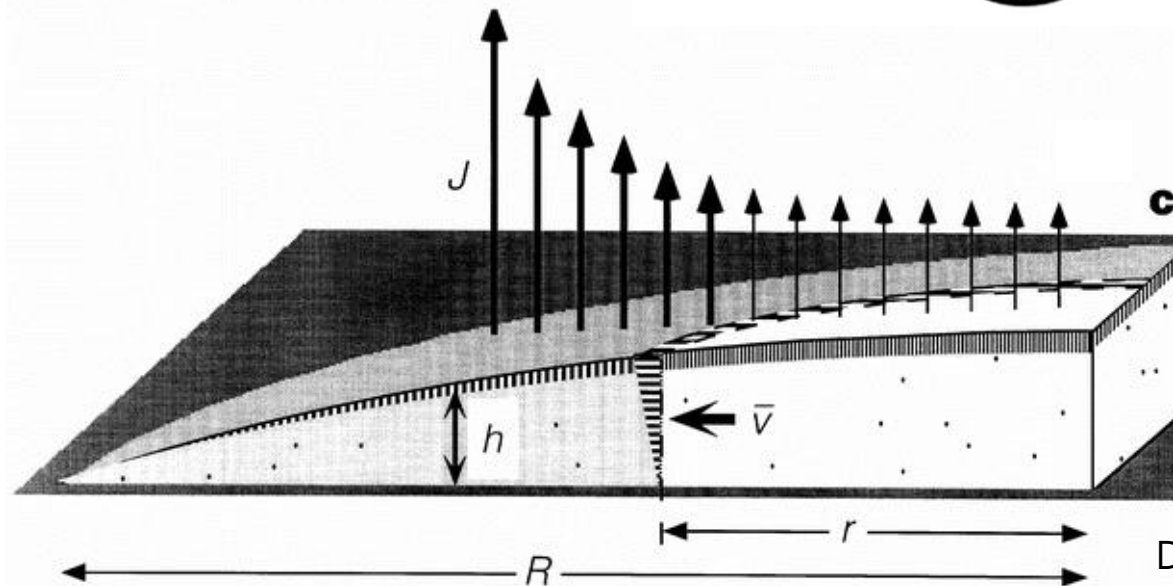
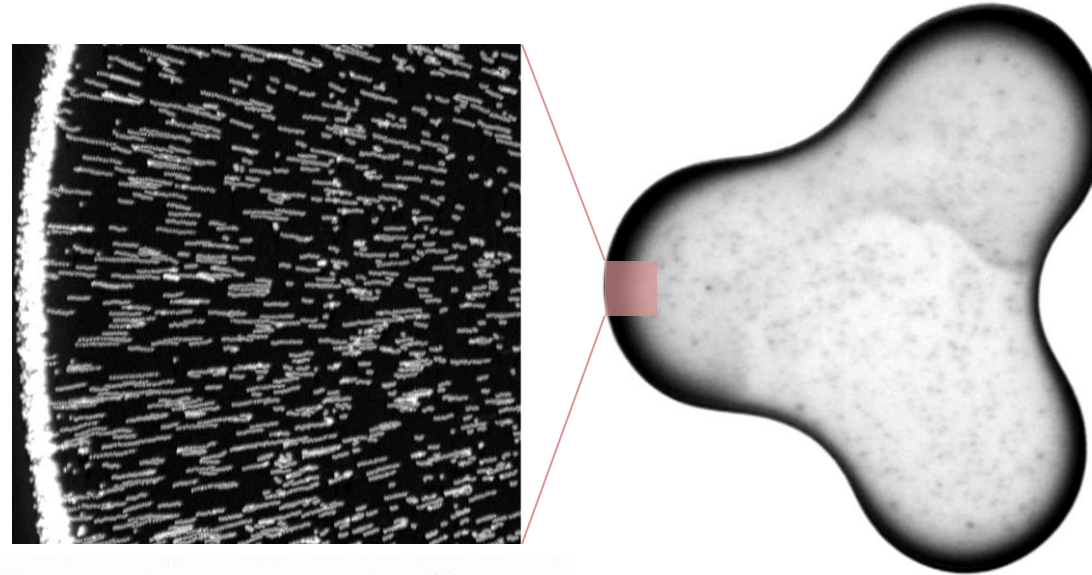
# From 3D to 2D: Capillary crystallization



Denkov *et al.*, *Nature* 361, 26 (1993)



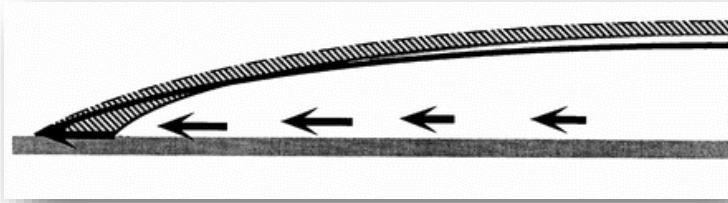
# From 3D to 1D: Coffee ring effect



Deegan *et al.*, *Nature* 389, 6653 (1997)

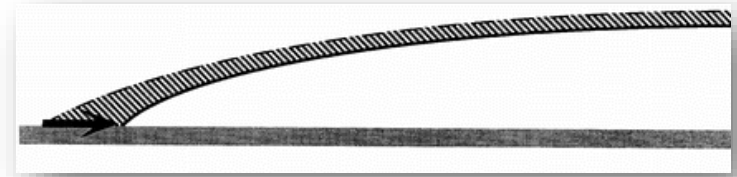
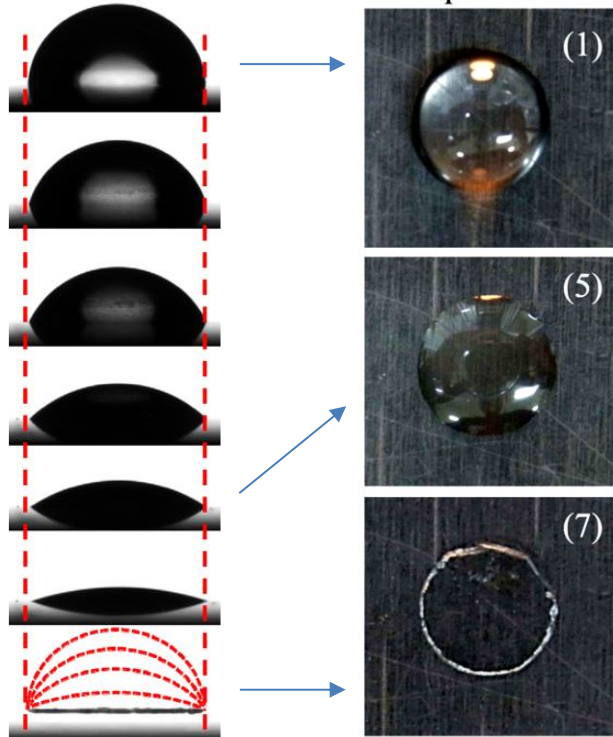
From suspension to substrate

# Drop casting



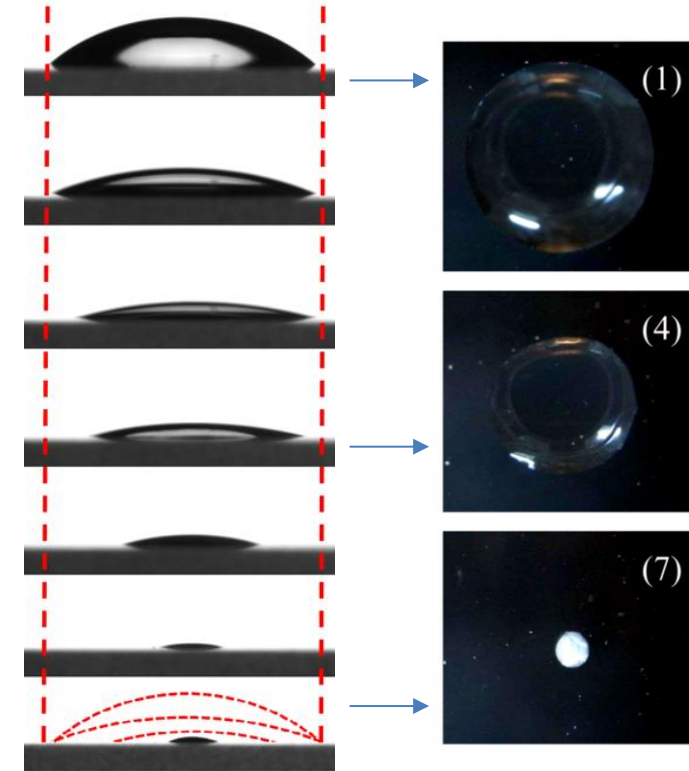
Side view

Top view



Side view

Top view

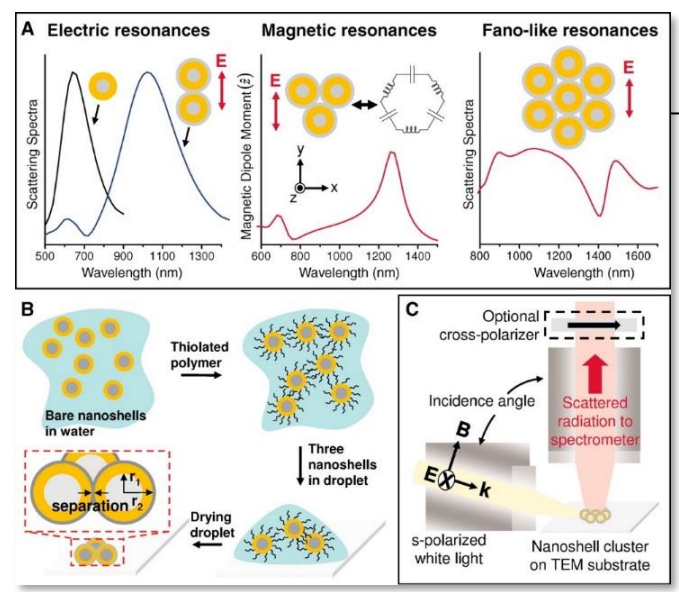


Li et al., *Langmuir* 29, 782 (2013)

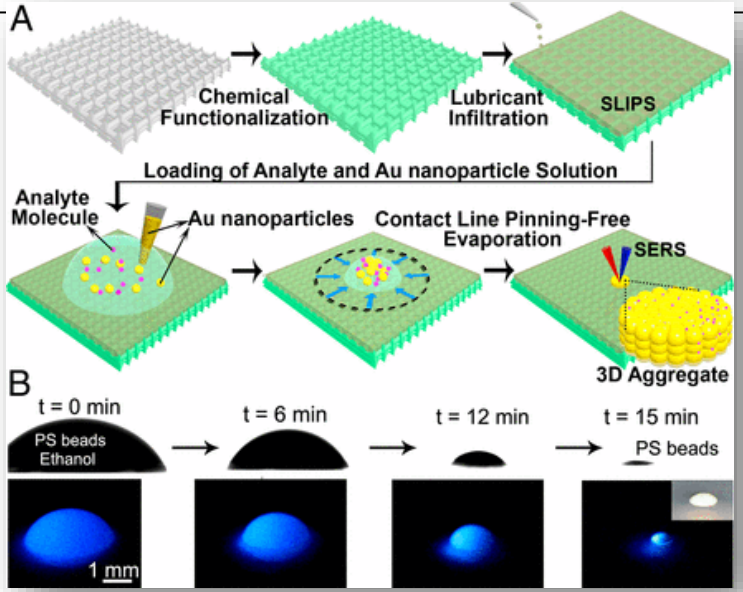
From suspension to substrate

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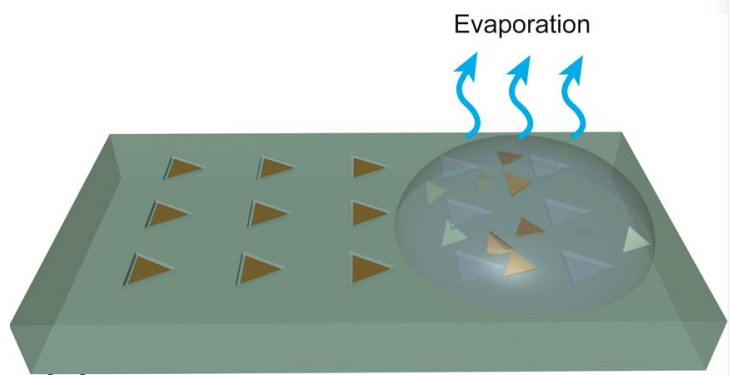
# Drop casting



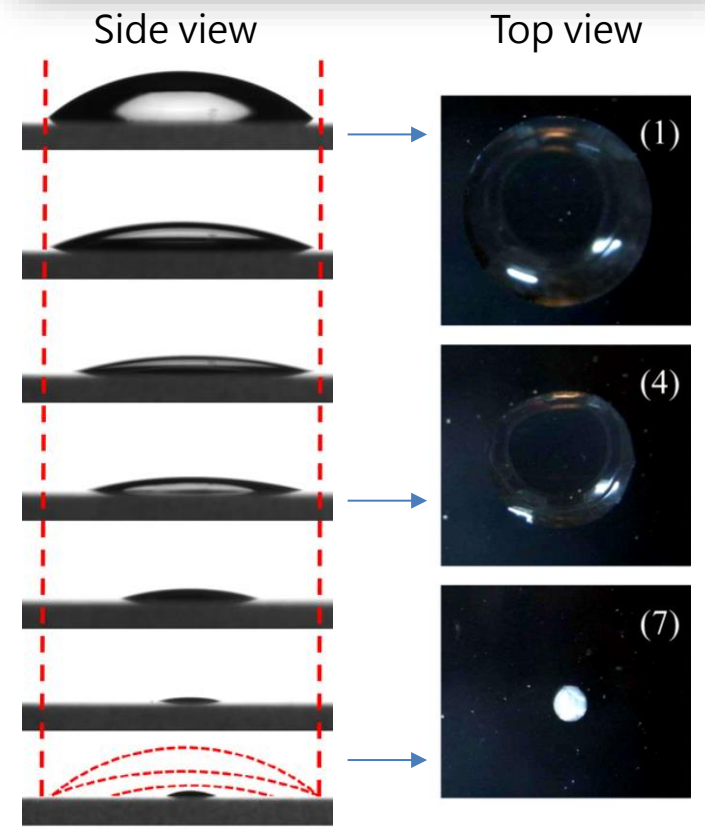
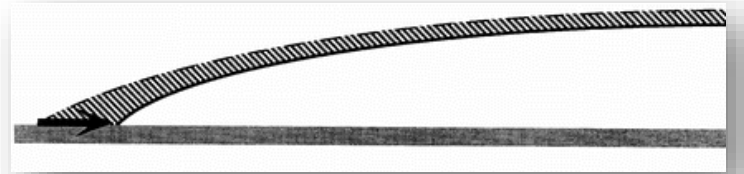
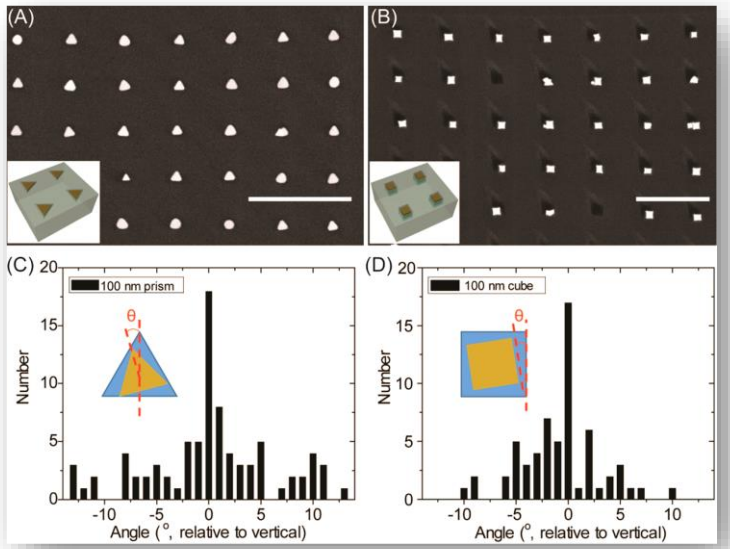
Fan *et al.*, *Science* 328, 1135 (2010)



Yang *et al.*, *PNAS* 113, 268 (2016)



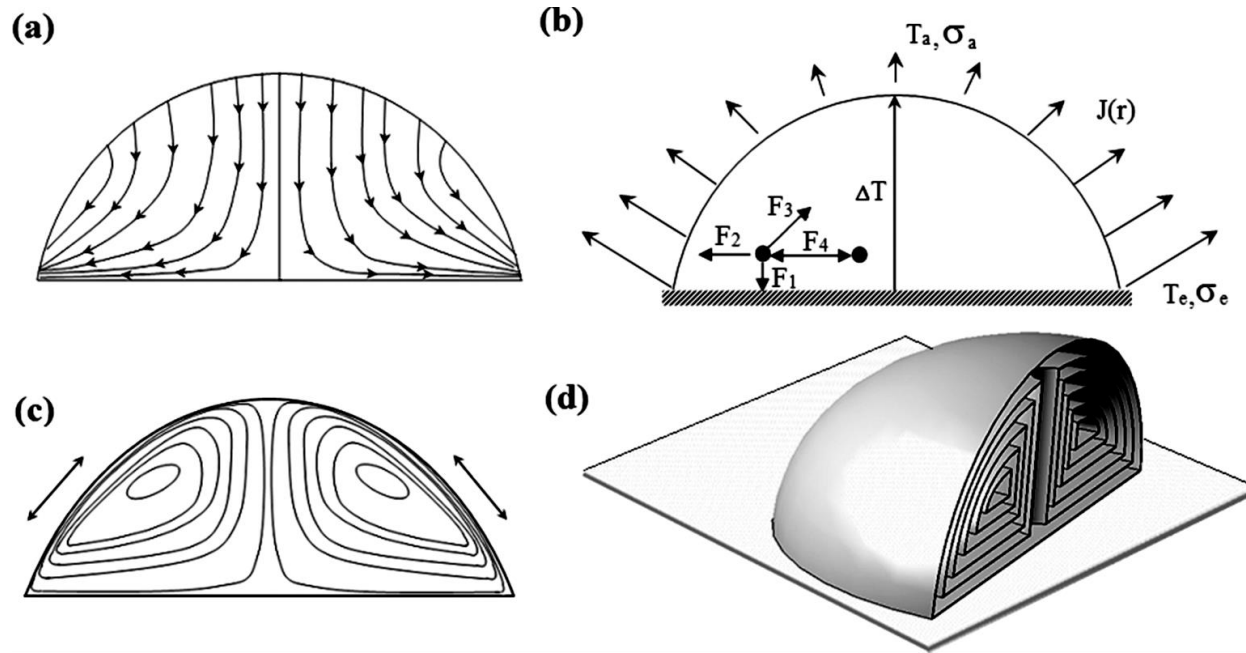
Zhou *et al.*, *Nano Lett.* 14, 2157 (2014)



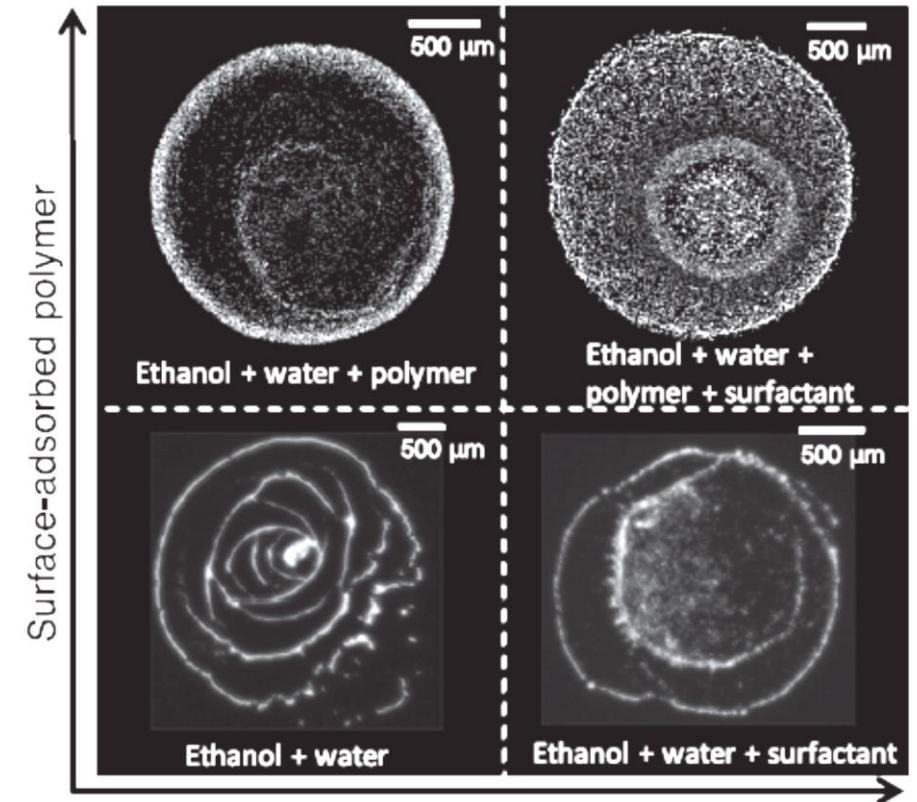
Li *et al.*, *Langmuir* 29, 782 (2013)

From suspension to substrate

# Drop casting



Thokchom *et al.*, *Langmuir* 30, 12144 (2014)



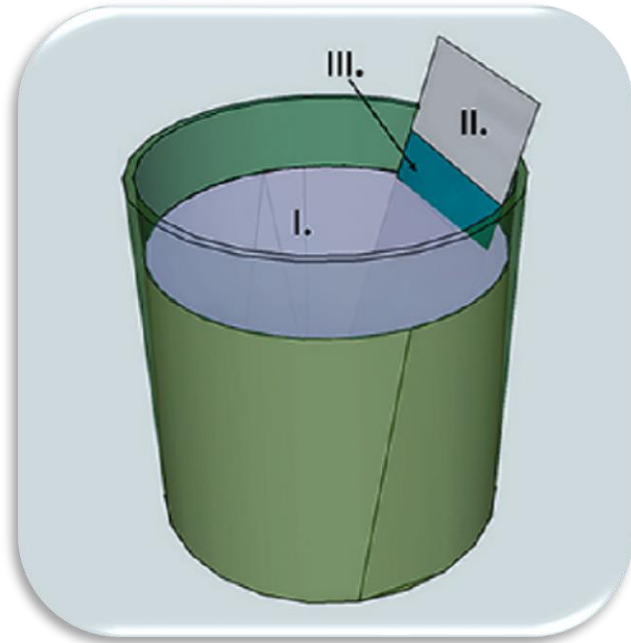
Marangoni effect driven by surfactant

Kim *et al.*, *PRL* 116, 124501 (2016)

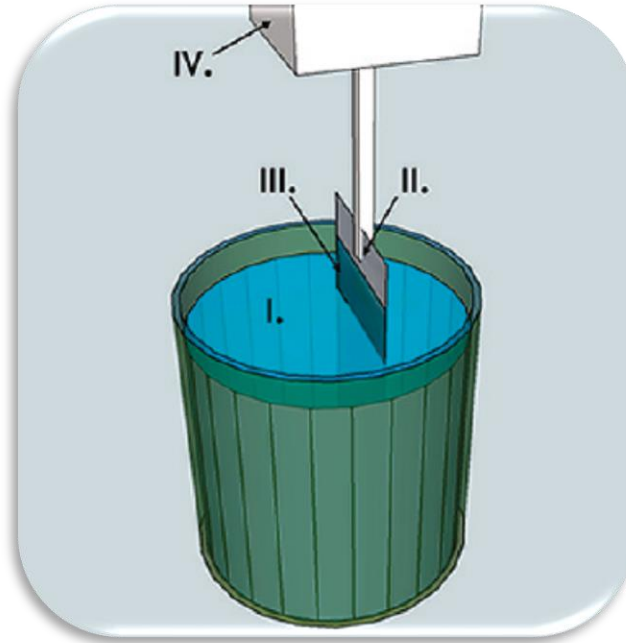
- ✓ Resource efficient
- ✗ Limited control

From suspension to substrate

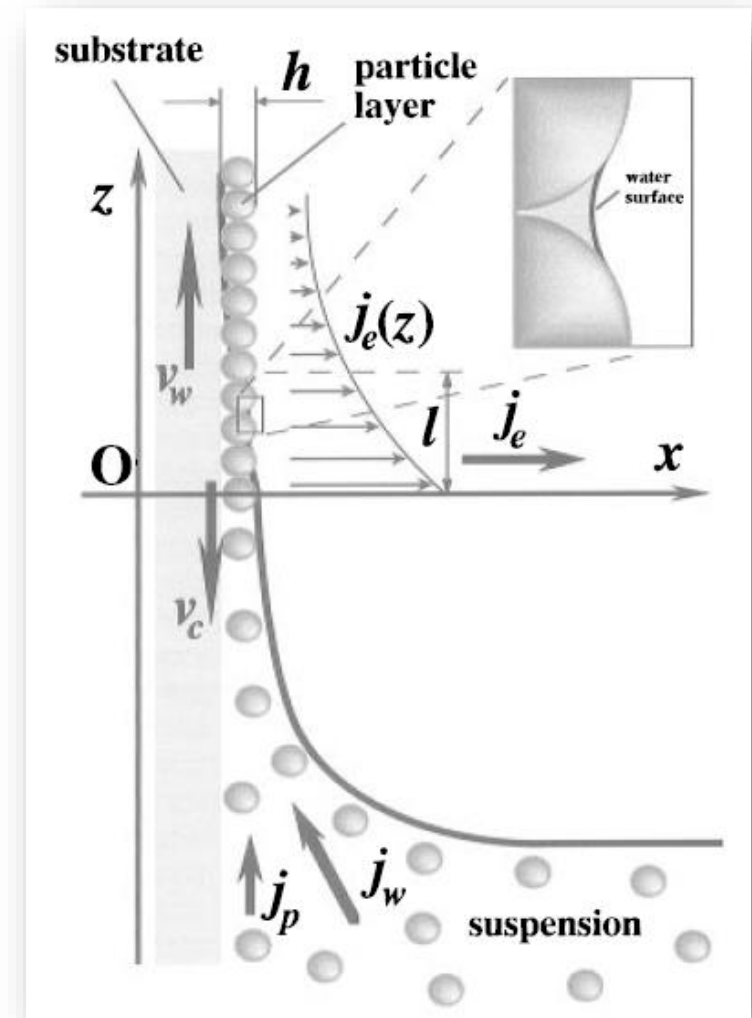
# Dip coating



Evaporation-driven



Moving substrate

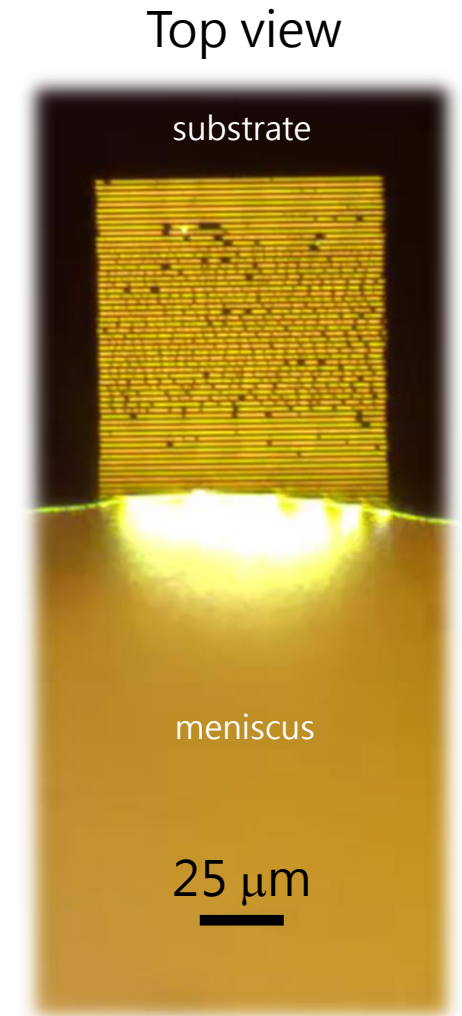
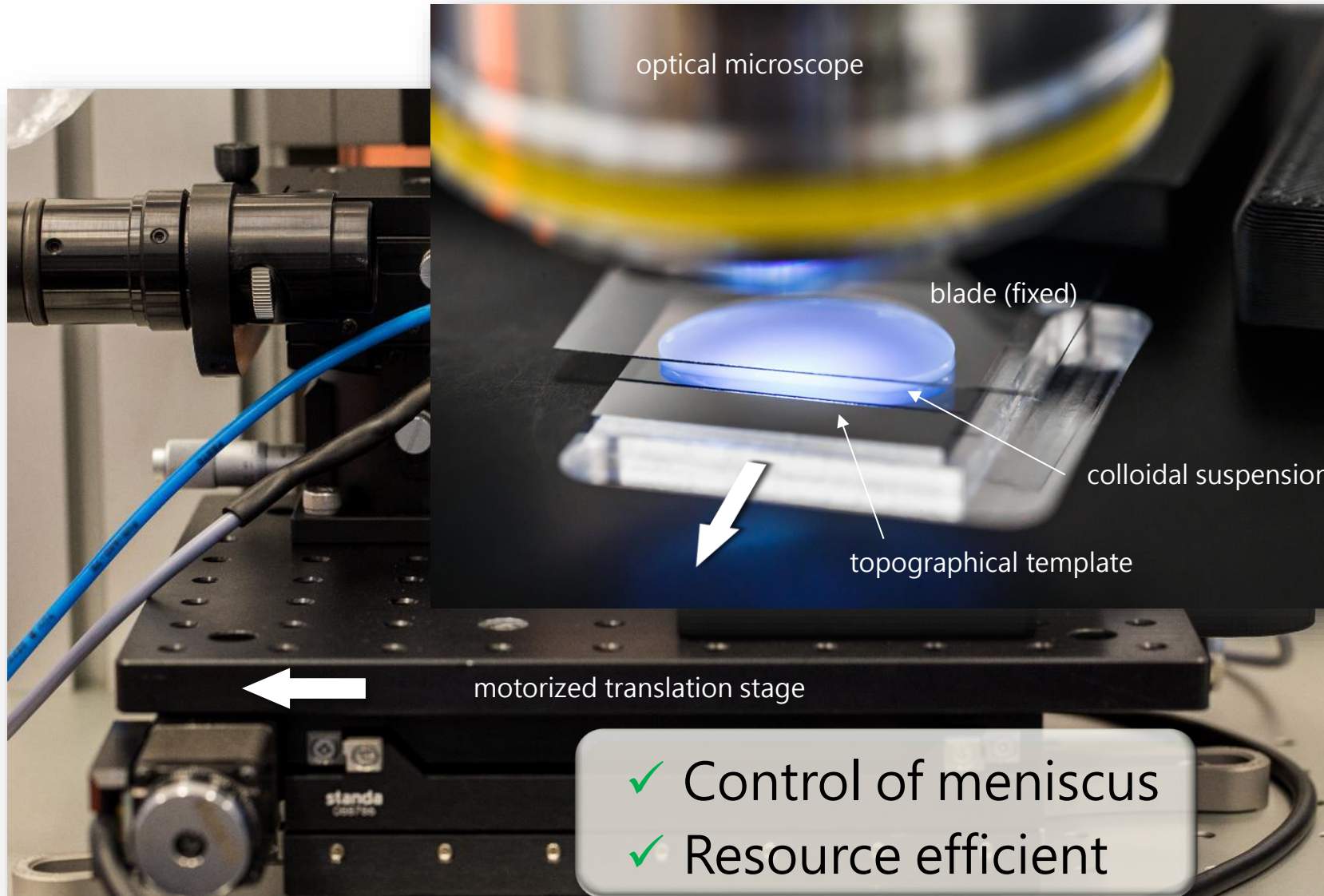


Dimitrov & Nagayama,  
*Langmuir* 12, 1303 (1996)

- ✓ Uniform deposition over large areas
- ✗ Limited control on meniscus geometry

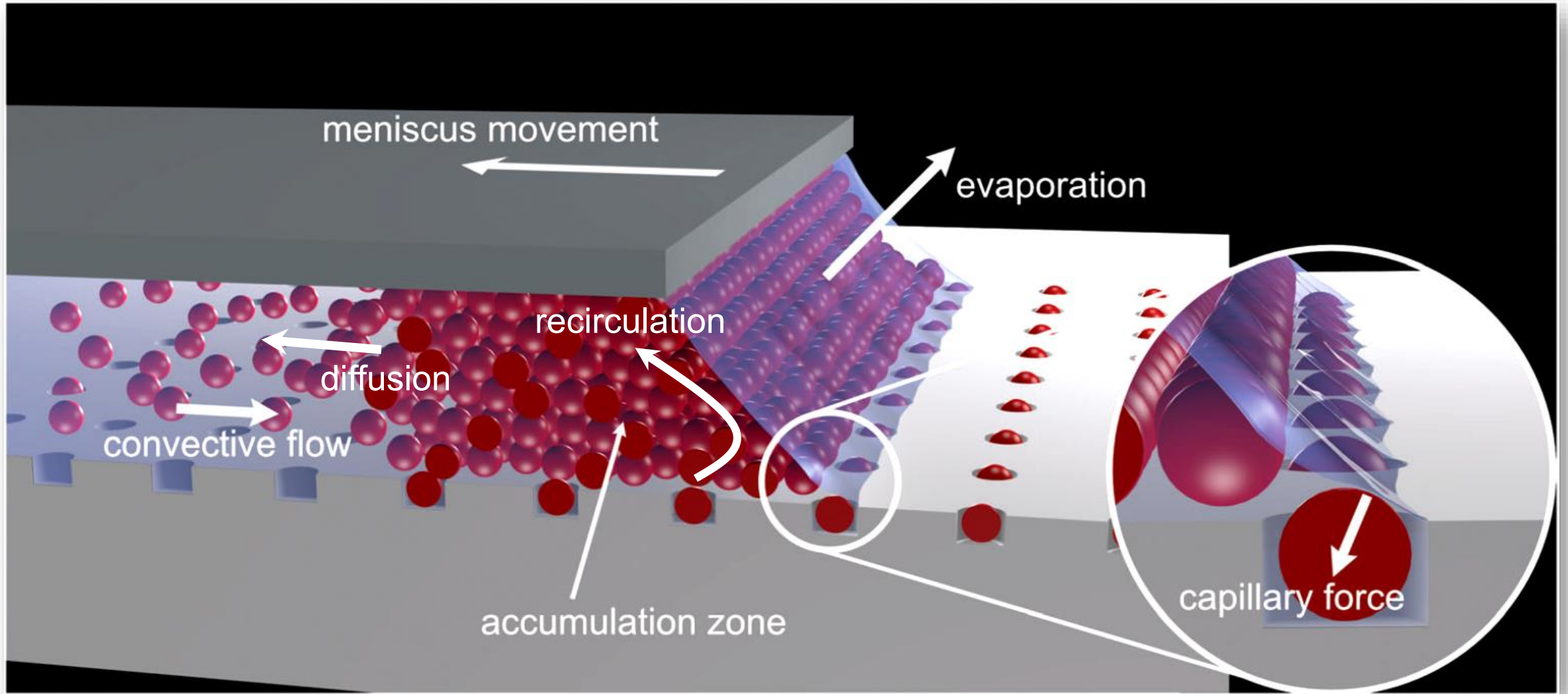
From suspension to substrate

# Blade coating



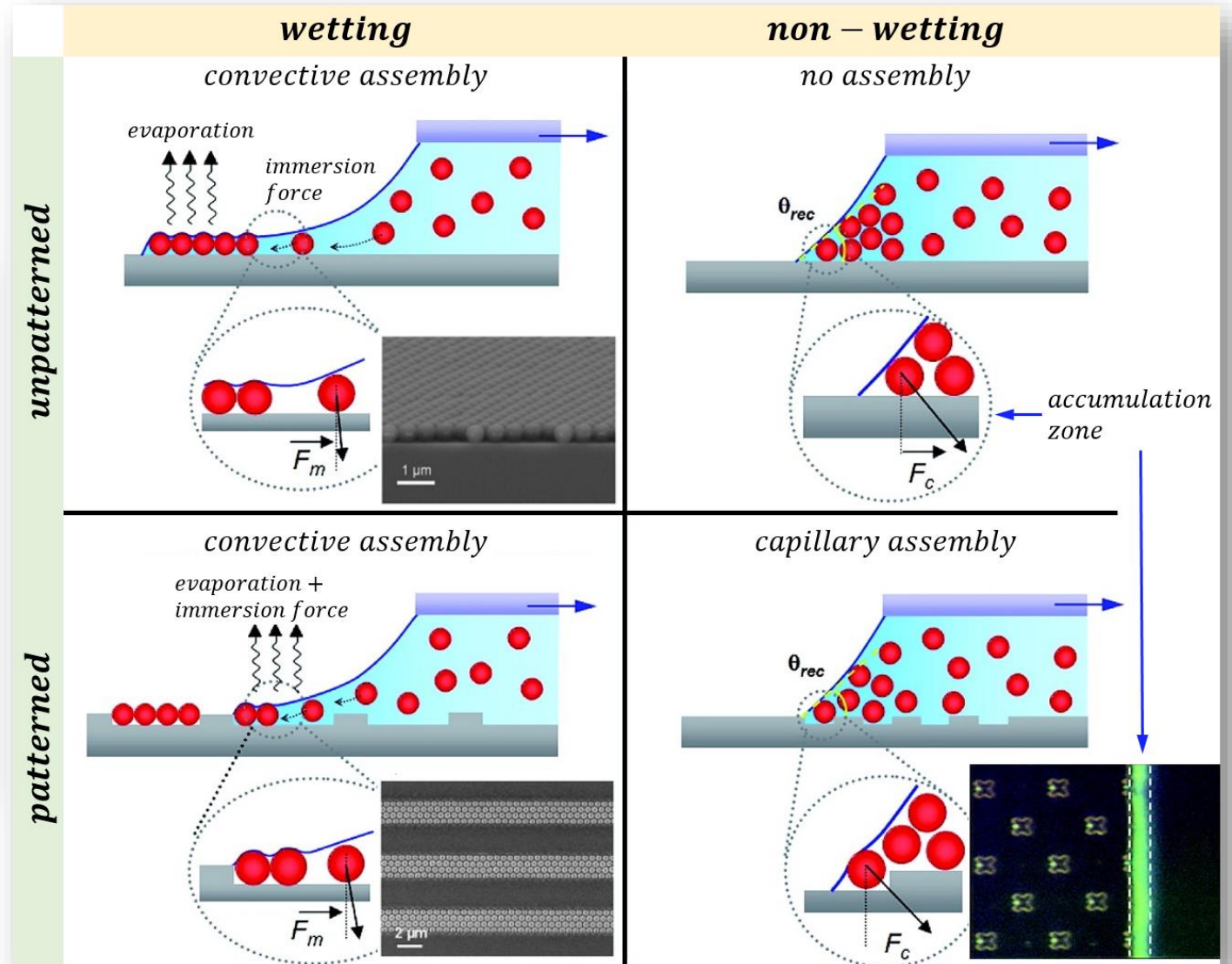
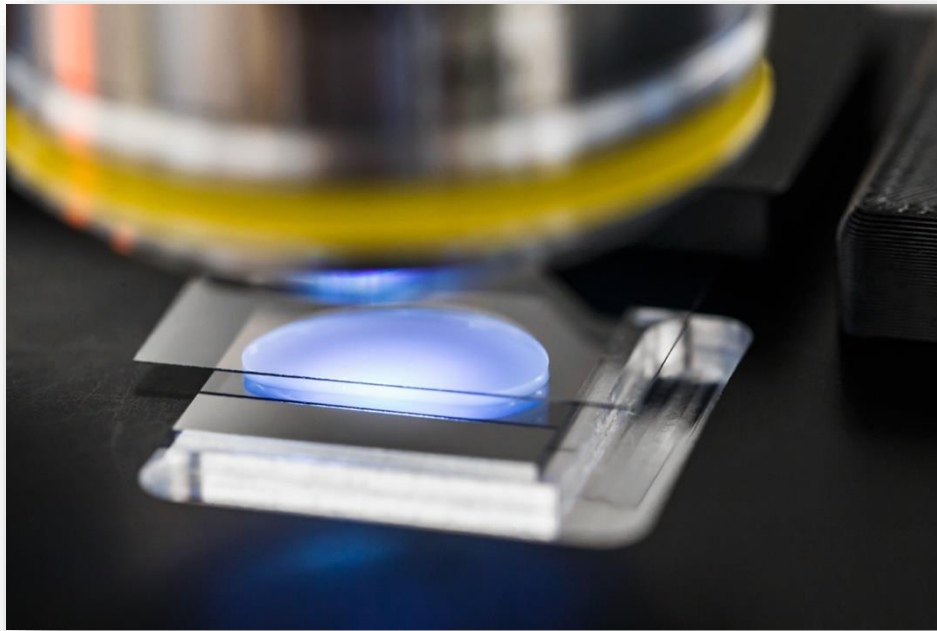
From suspension to substrate

# Blade coating



Ni *et al.*, *Soft Matter* 2018

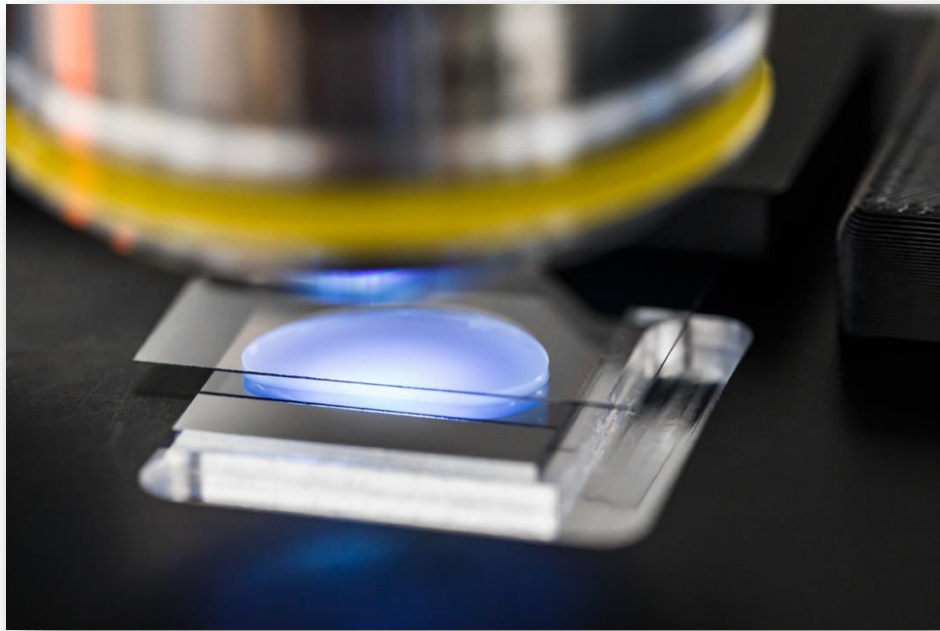
# Blade coating



Mastrangeli, *Adv. Mater.* 2015

From suspension to substrate to traps

# Top-down meets bottom-up



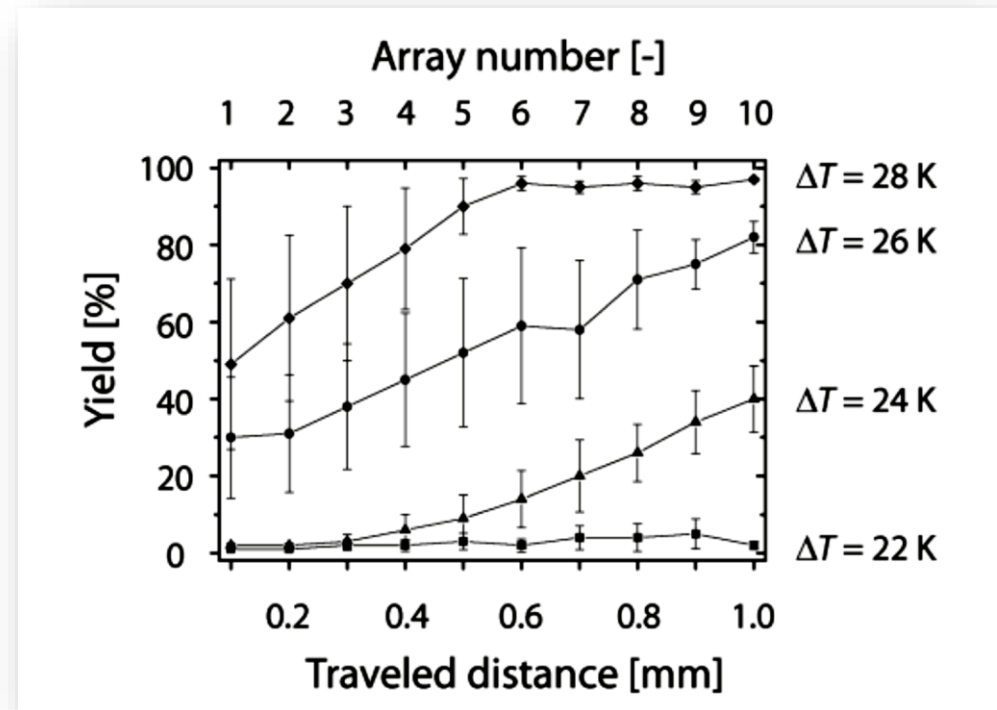
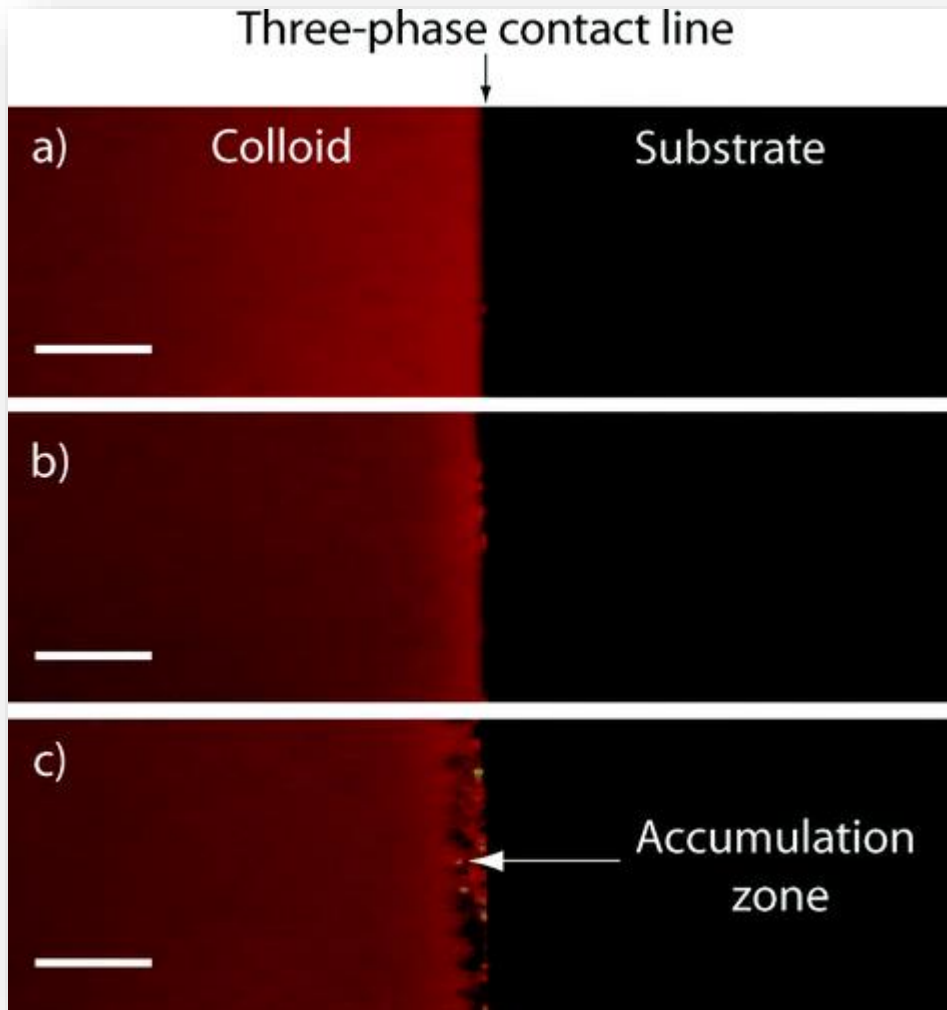
iff

- ✓ Stable colloidal suspension
- ✓ Control of substrate wetting & patterning

then

Capillary assembly  
*does not* depend  
on specificities  
of particles or substrates

# Size of accumulation zone



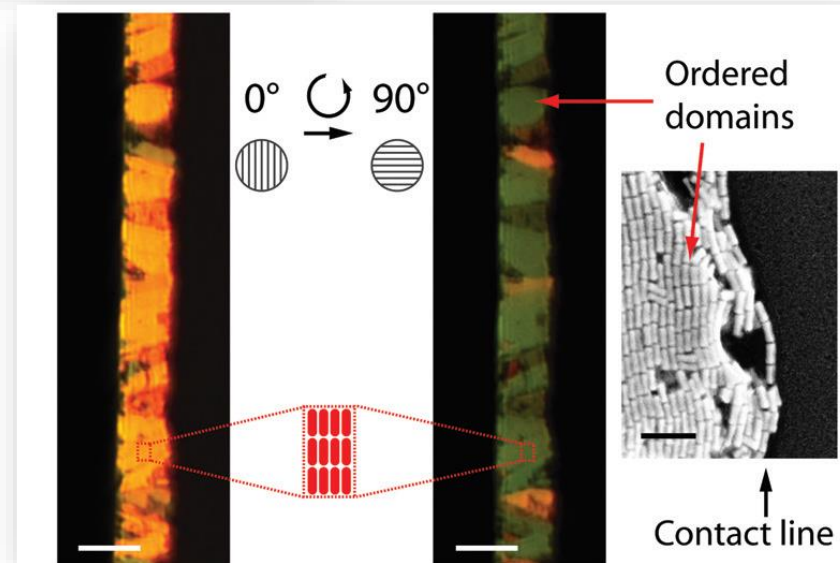
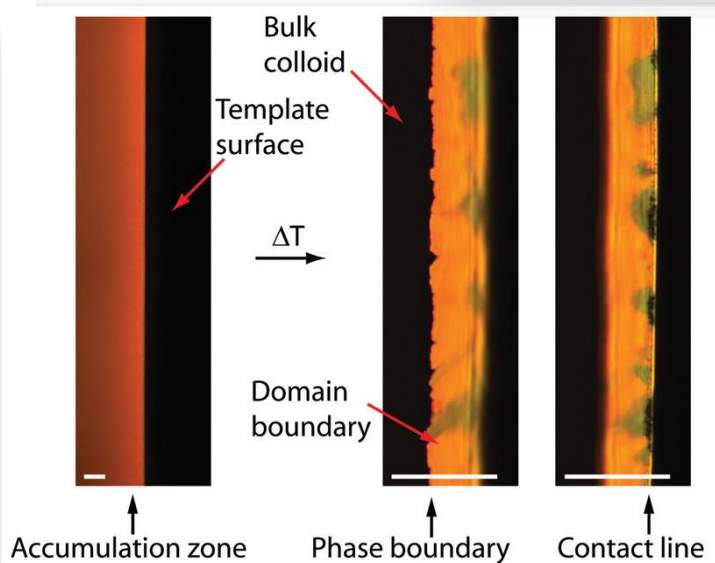
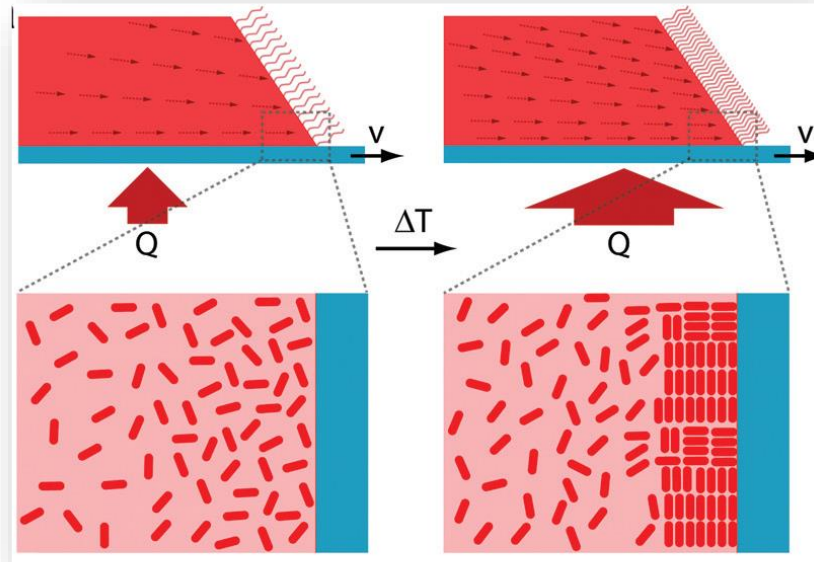
Kuemin *et al.*, *Langmuir* 27, 6305 (2011)

Prior wisdom

Directed assembly of nanoparticles | M. Mastrangeli, PhD – EPFL, 3 September 2025

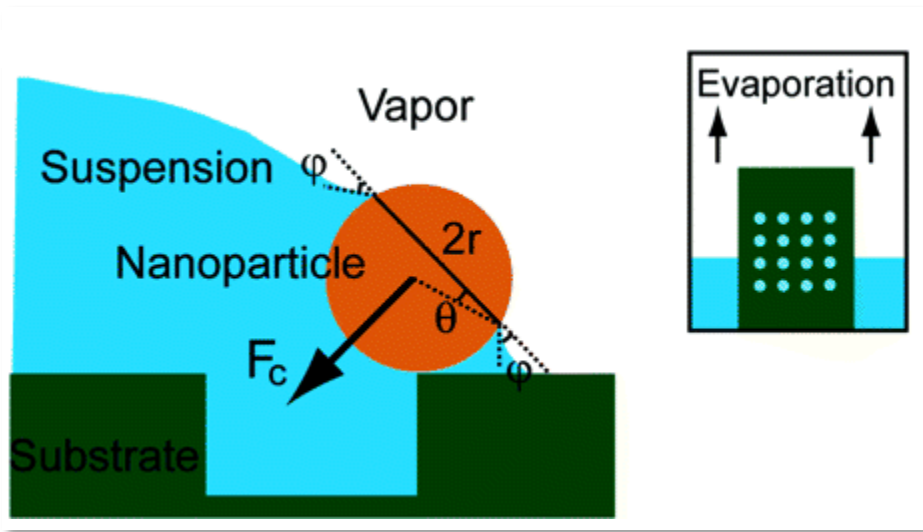
# Density-driven phase transition

Kuemin *et al.*,  
*Adv. Funct. Mater.* 22, 702 (2012)

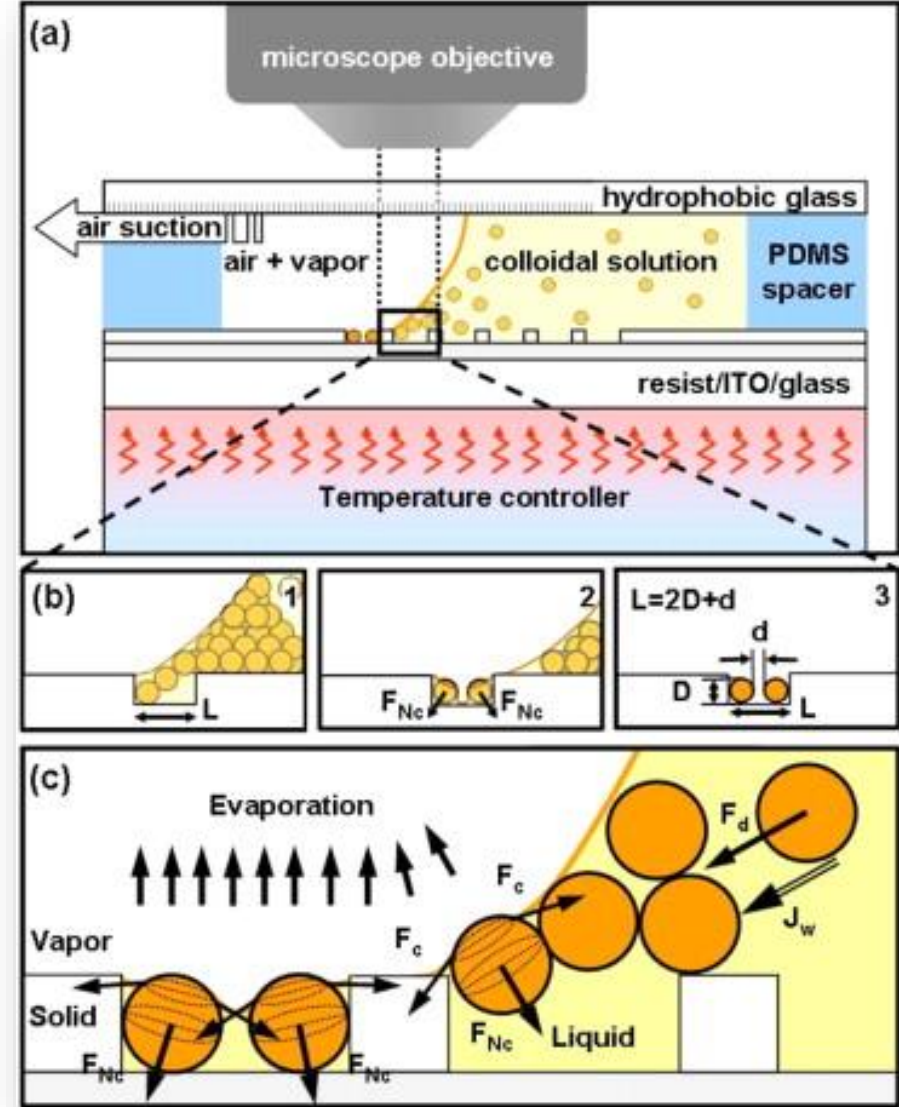


Prior wisdom

# Capillary push



Cui *et al.*, *Nano Lett.* 4, 1093 (2004)



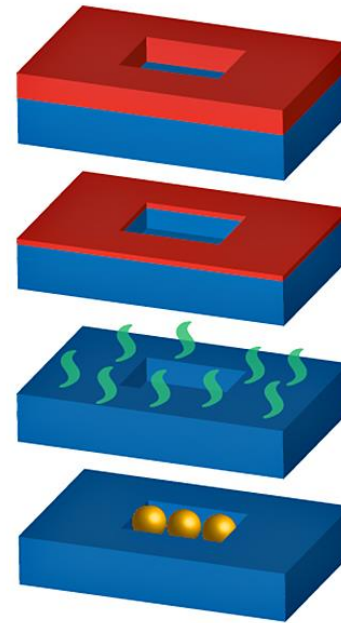
Pinedo Rivera *et al.*, *Microelectron. Eng.* 86, 1089 (2009)

Prior wisdom

# Topographical template fabrication

## EBL / RIE / Silanisation

- Features  $30\text{nm} \pm 5\text{nm}$
- Multilayer processes
- Chlorine-based RIE
- water CA:  $\sim 110^\circ$

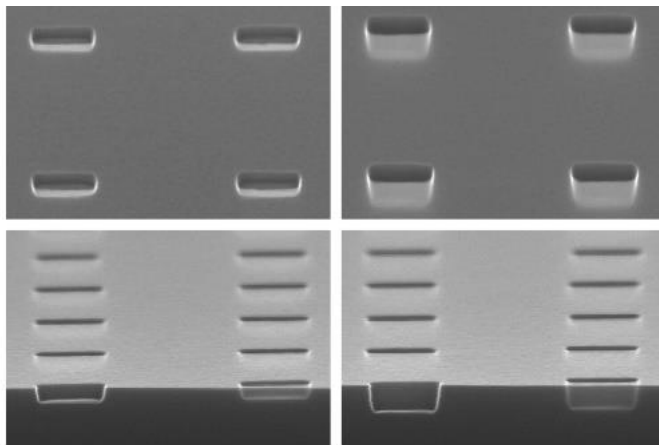


E-Beam lithography

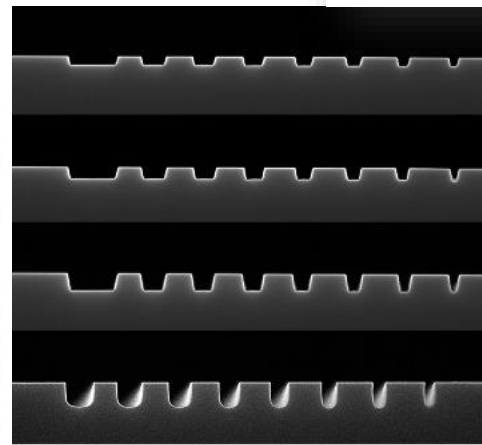
Silicon/Glass RIE

Surface silanization

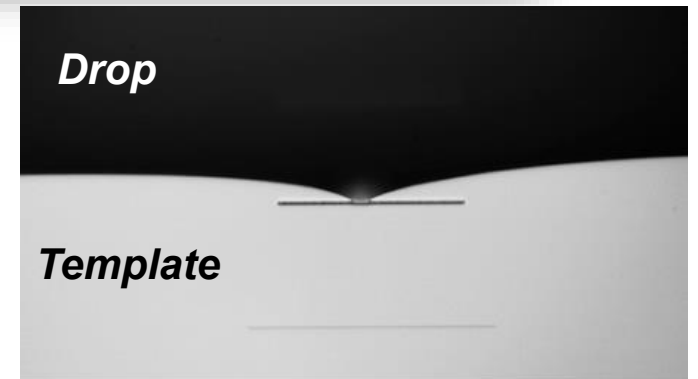
Gold NP assembly



200 nm



200 nm



Drop

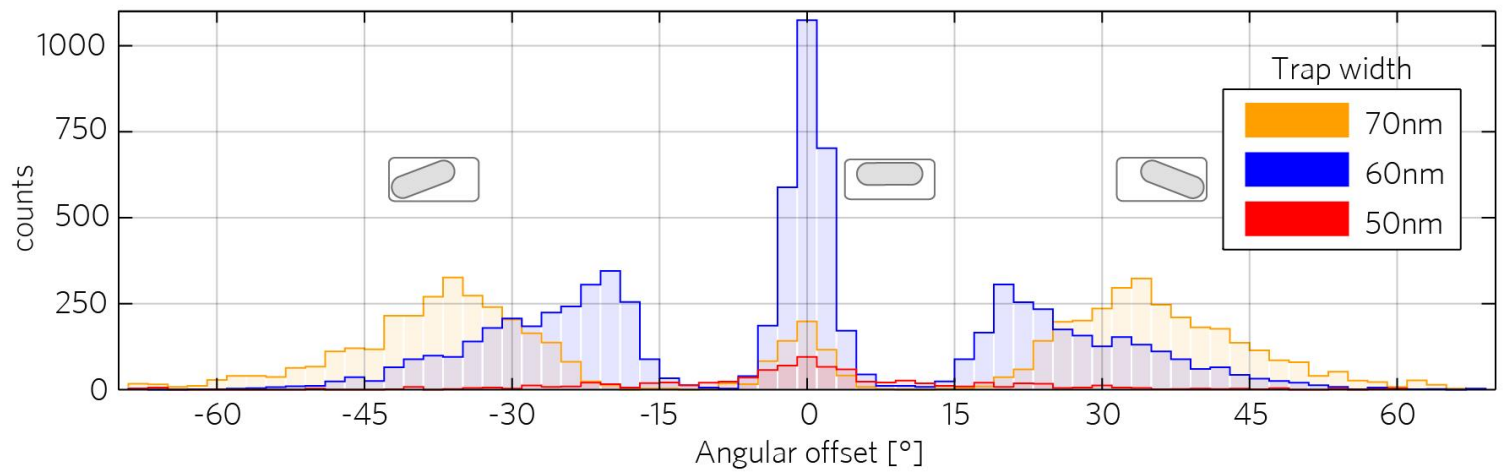
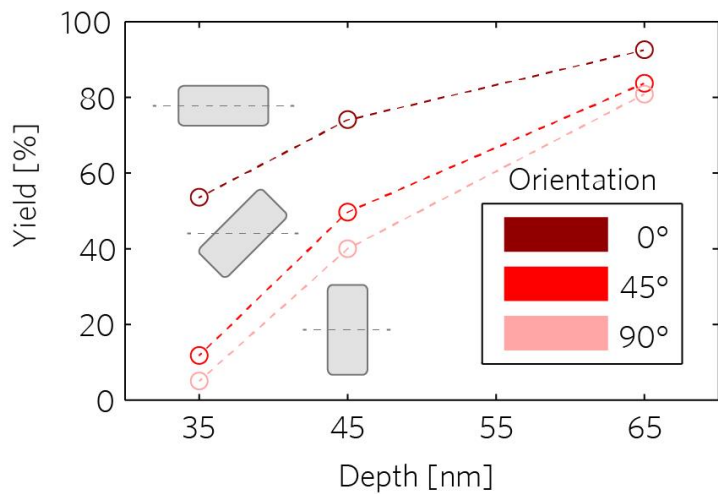
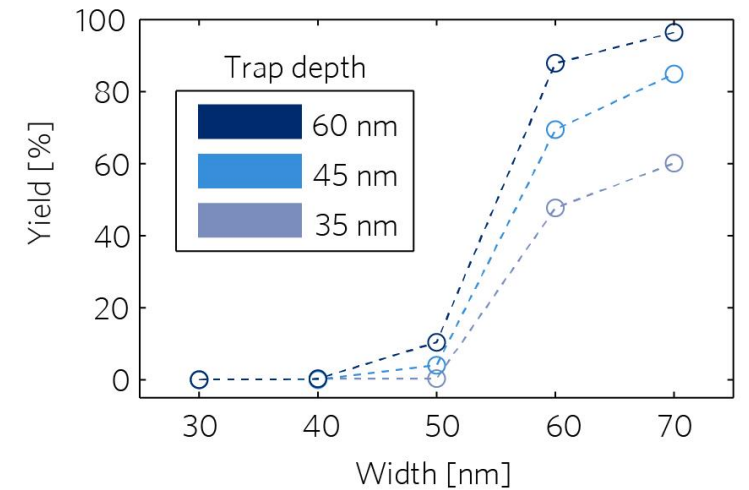
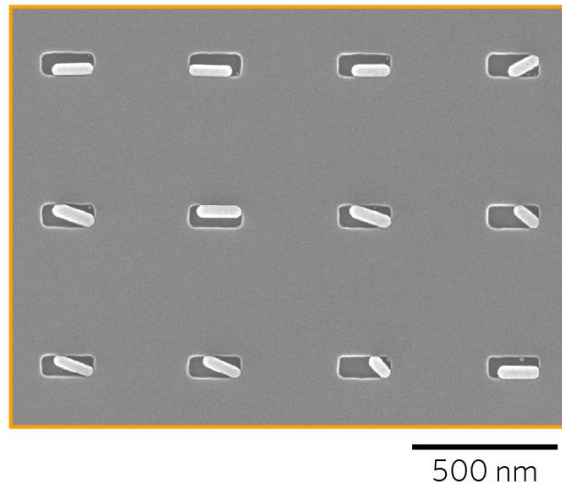
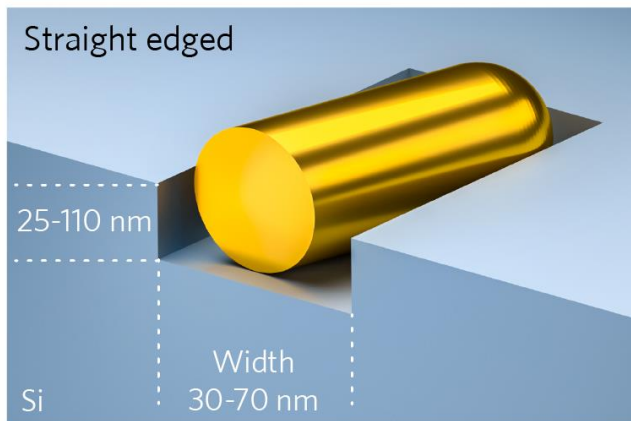
Template

20  $\mu\text{m}$

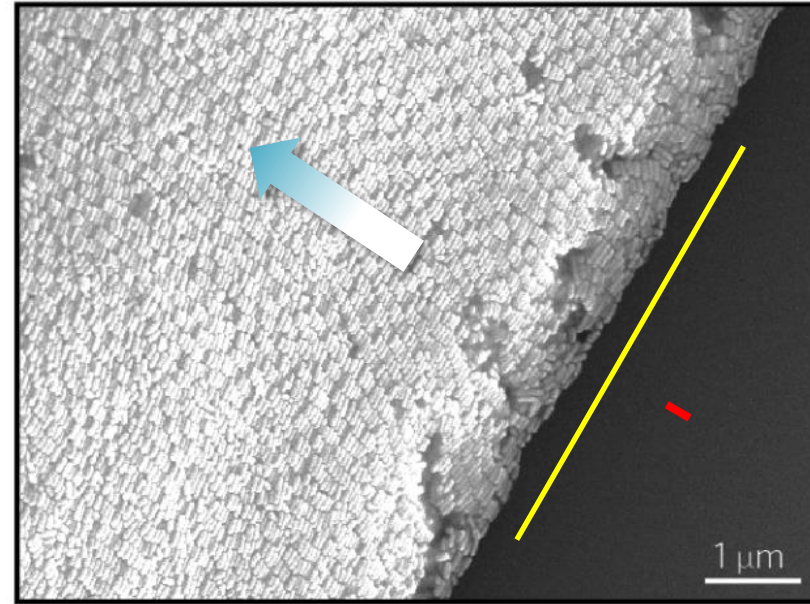
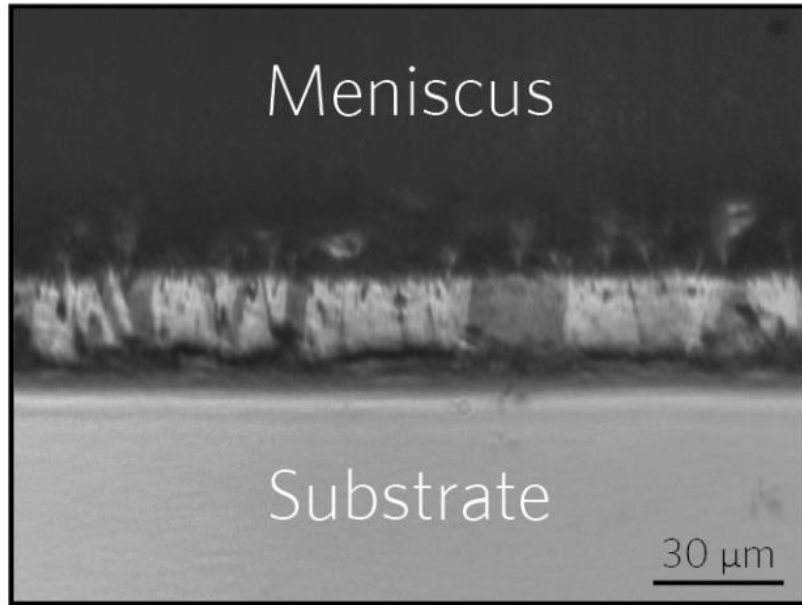
Flauraud *et al.*, *Nat. Nanotechnol.* 2016

Fabrication

# Straight-edged traps



# Particle accumulation and entropy



L. Onsager

## Density-induced phase transition

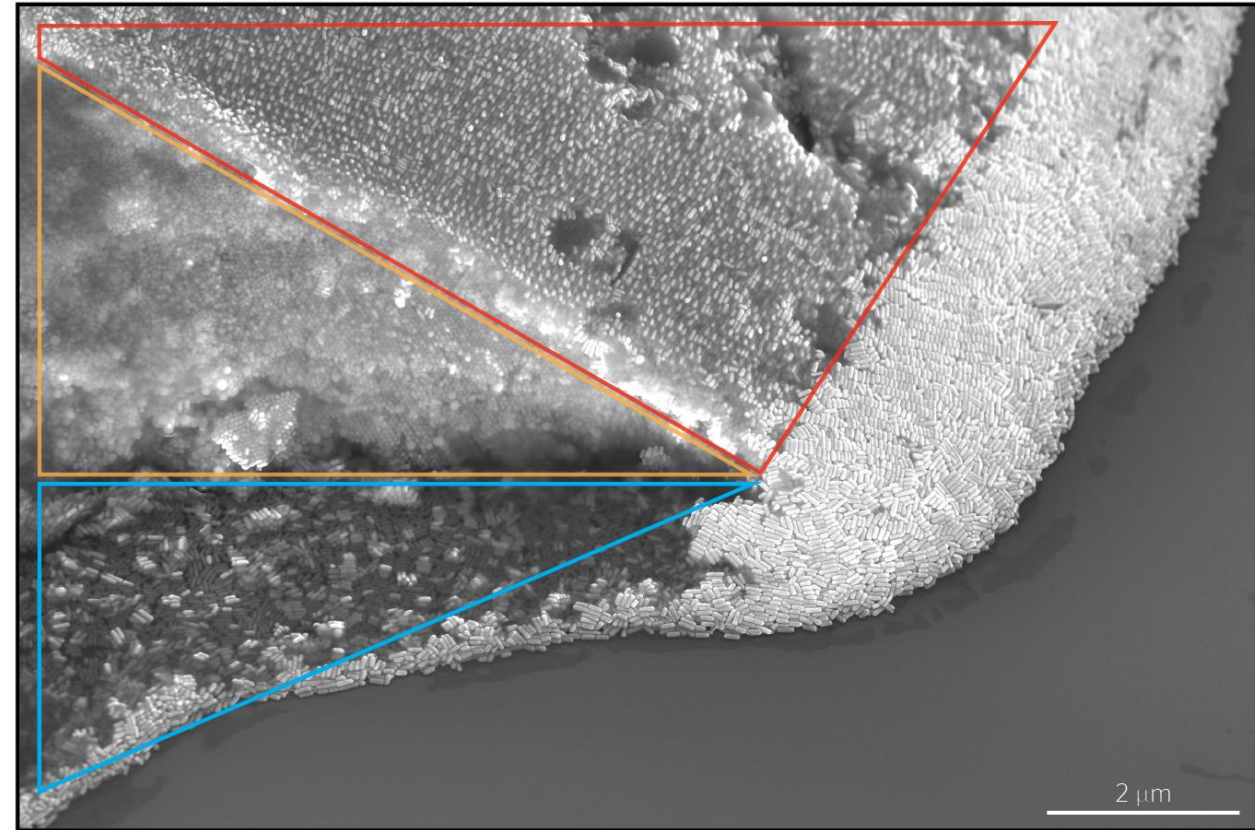
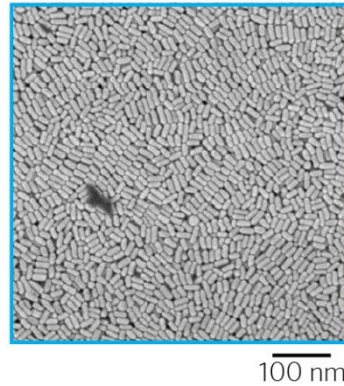
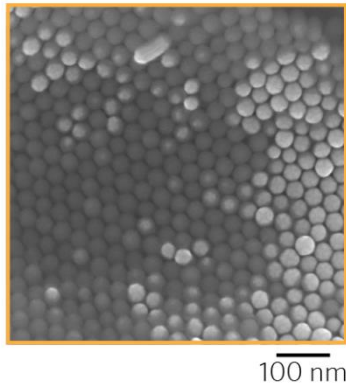
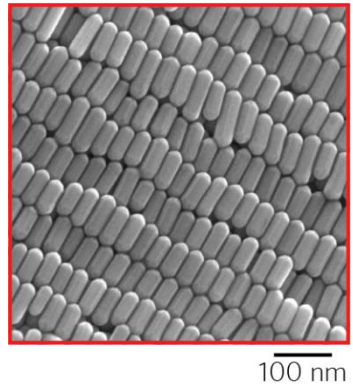
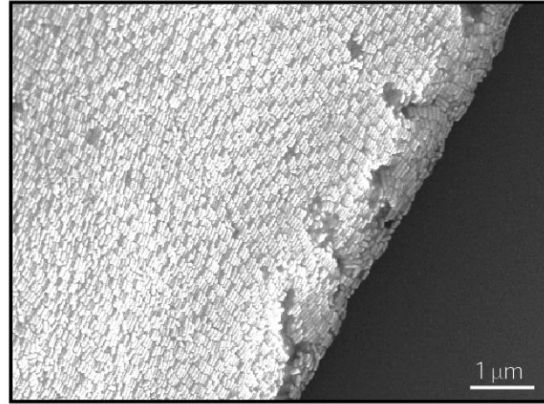
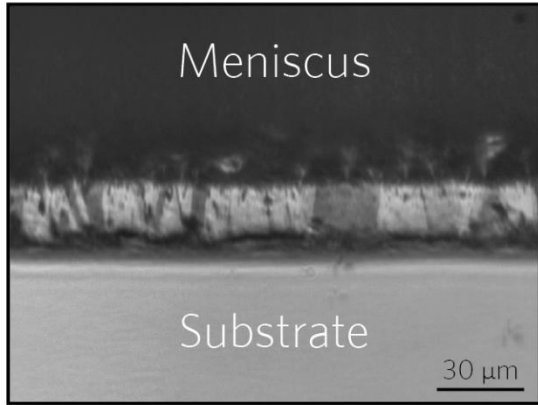
- Rotational entropy traded for translational entropy
- Net entropy gain

Flauraud *et al.*, *Nat. Nanotechnol.* 12, 73 (2017)

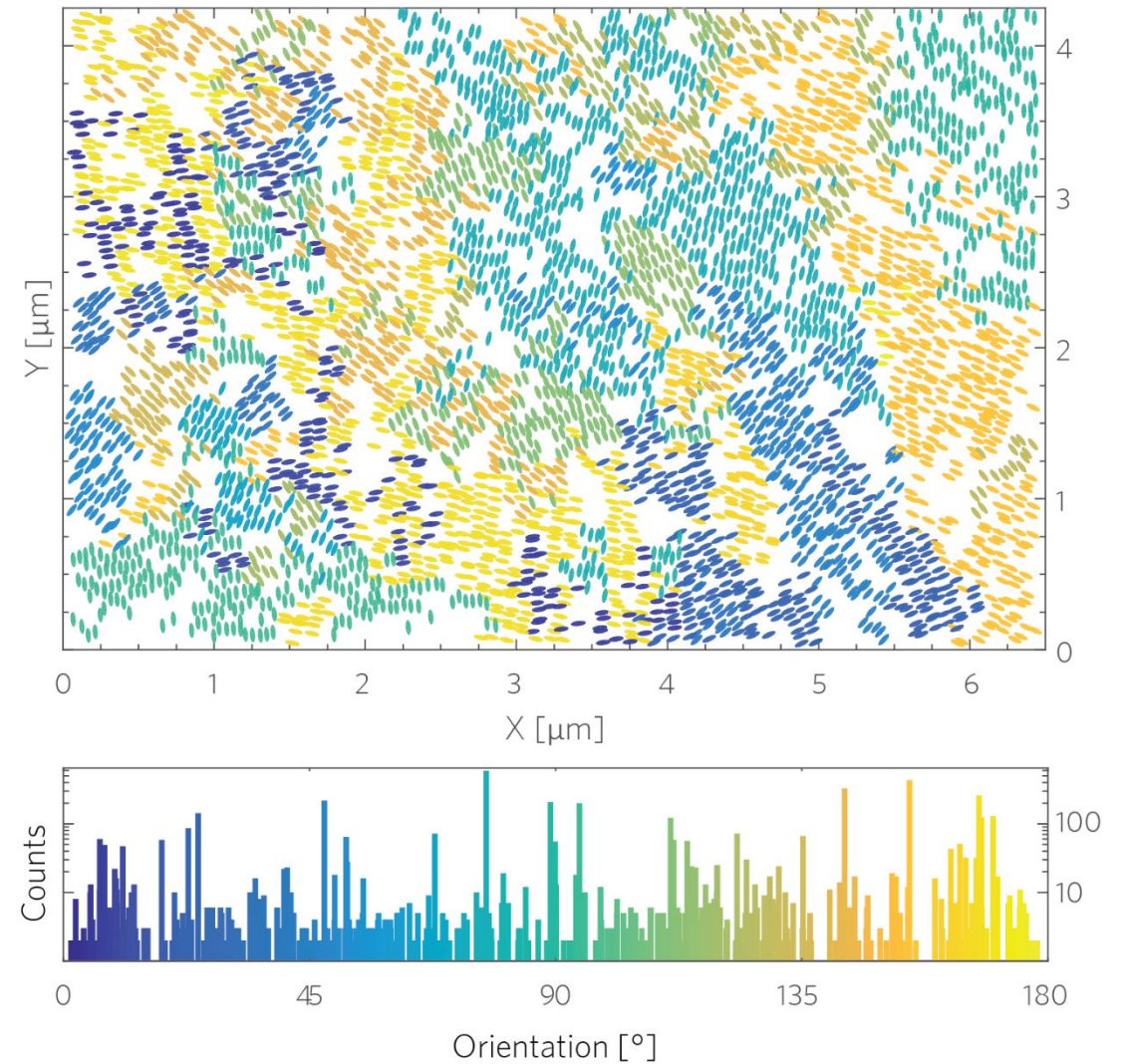
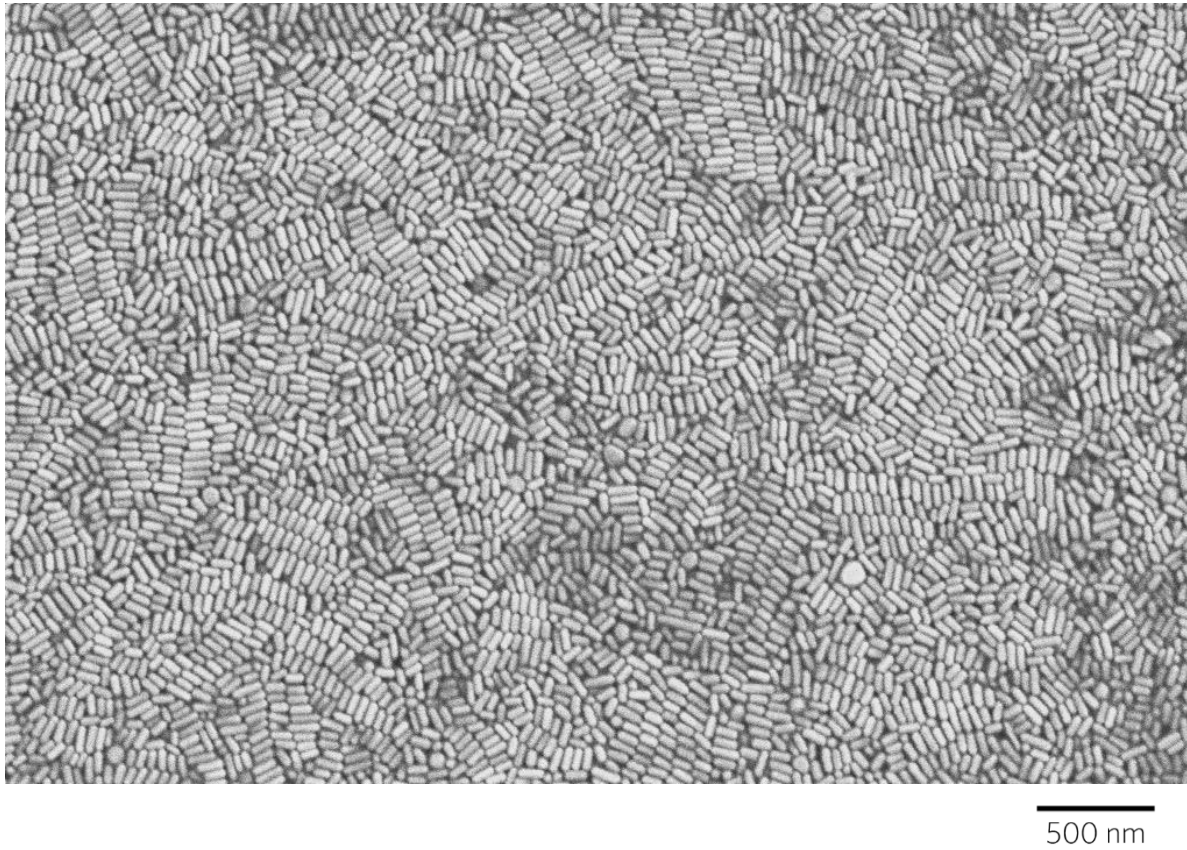
What would Lars think?

Directed assembly of nanoparticles | M. Mastrangeli, PhD – EPFL, 3 September 2025

# Insight 1: Non-uniform accumulation



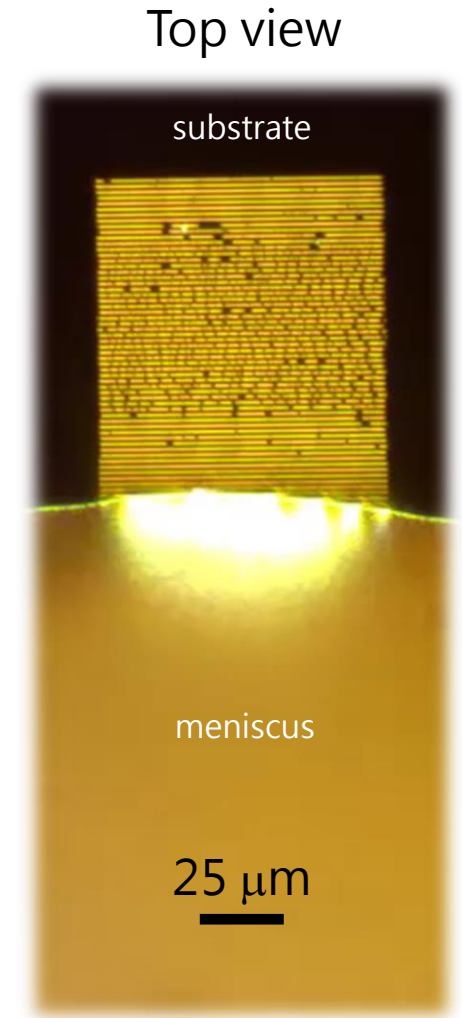
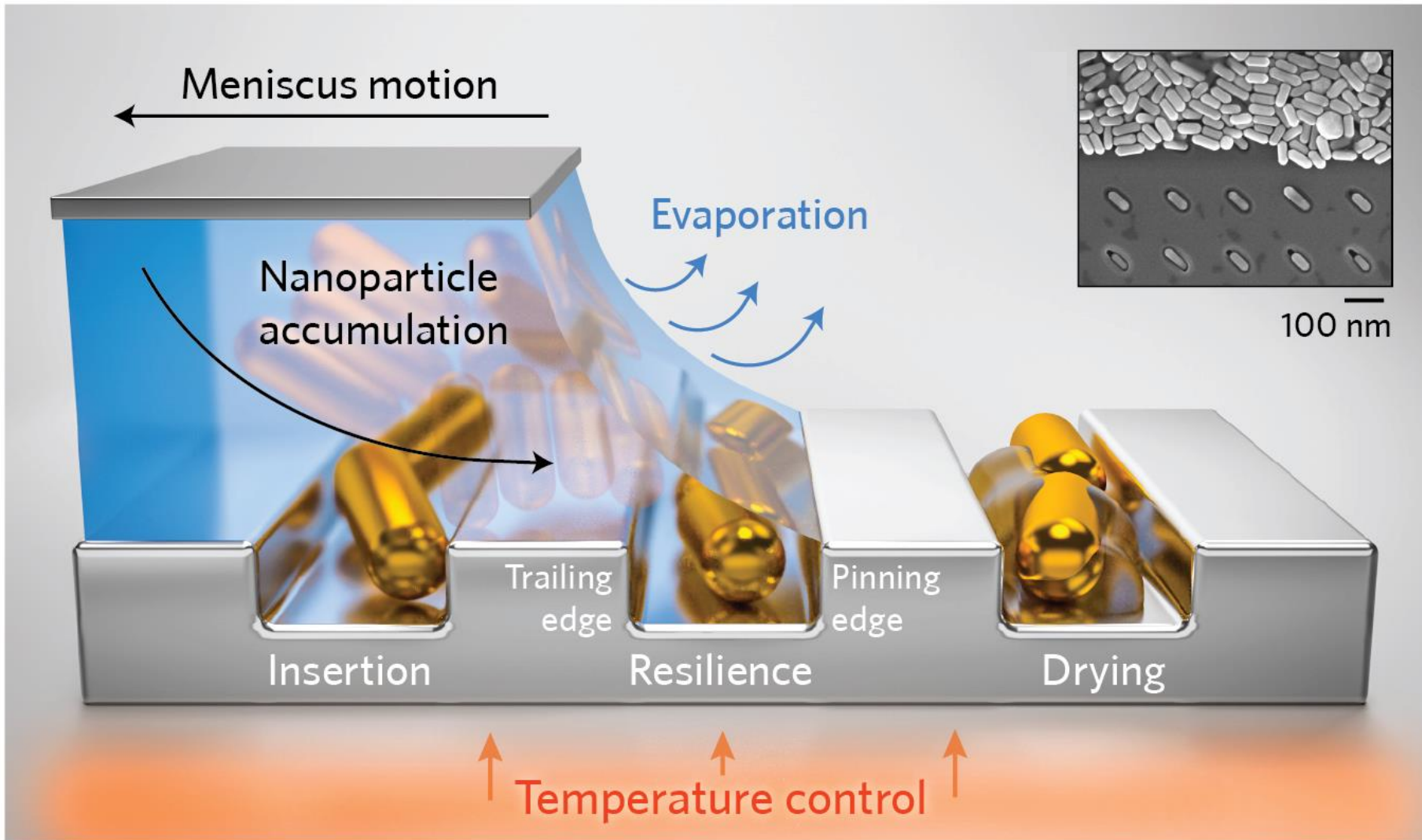
# Insight 1: Non-uniform accumulation



Flauraud *et al.*, *Nat. Nanotechnol.* 12, 73 (2017)

New insights

# Insight 2: Stages of capillary assembly



Flauraud *et al.*, *Nat. Nanotechnol.* 12, 73 (2017)

New insights

Directed assembly of nanoparticles | M. Mastrangeli, PhD – EPFL, 3 September 2025

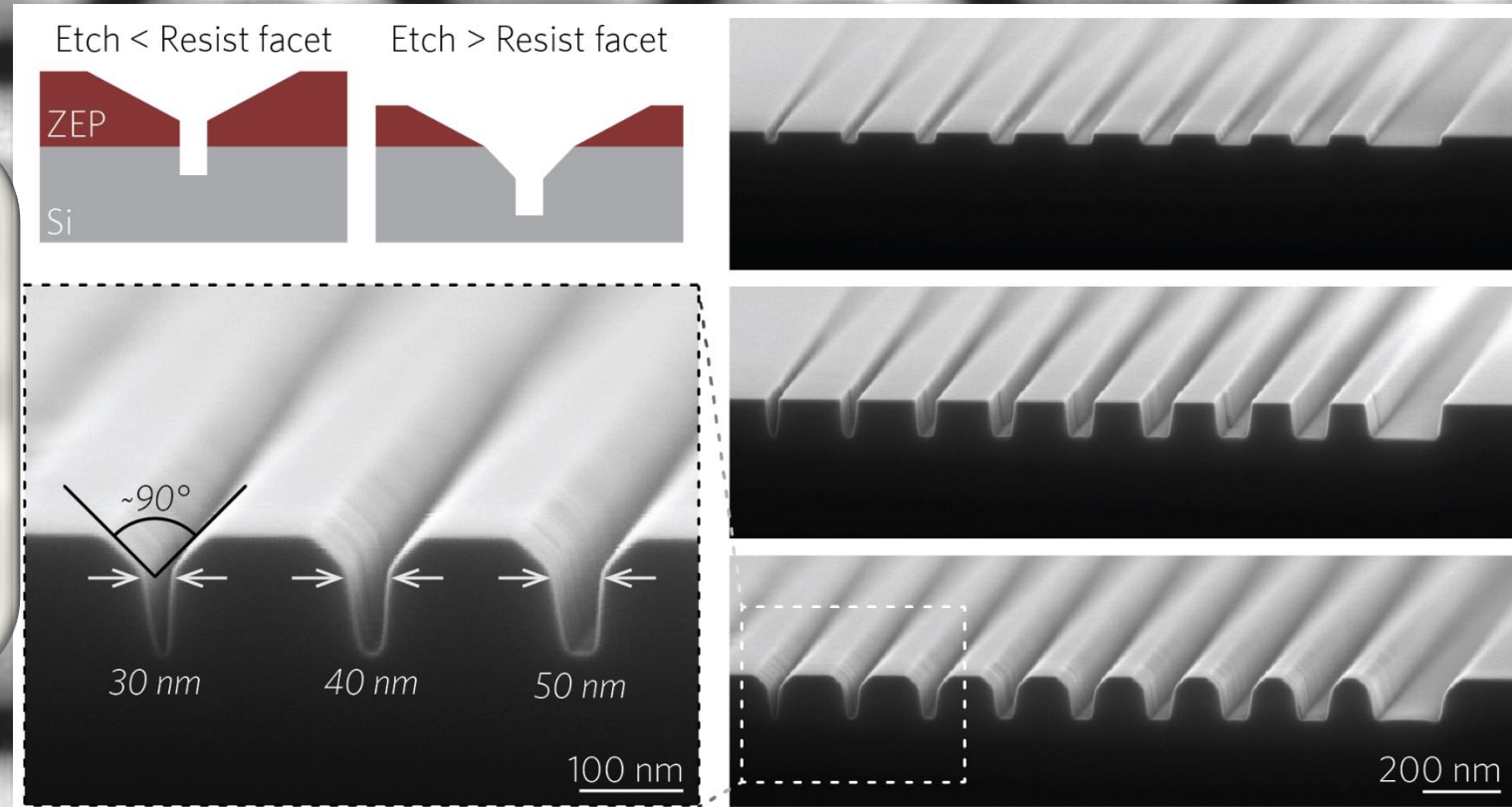
# Limitations of straight-edged traps

- Trap cross-section needs to accommodate effective NPs size...
- ...But dry NPs are smaller
- Loose positional control

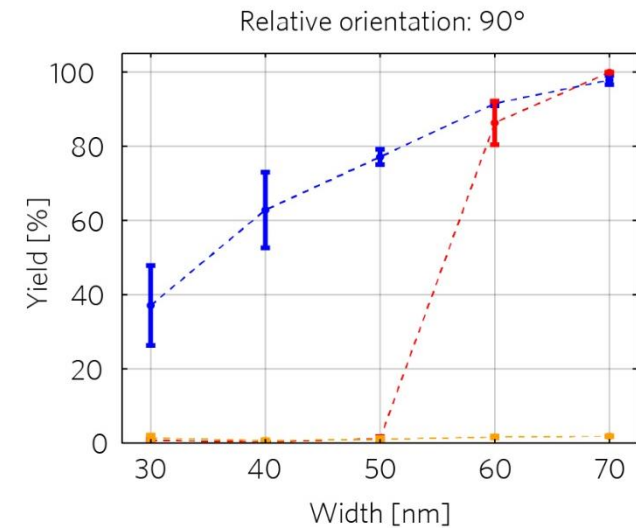
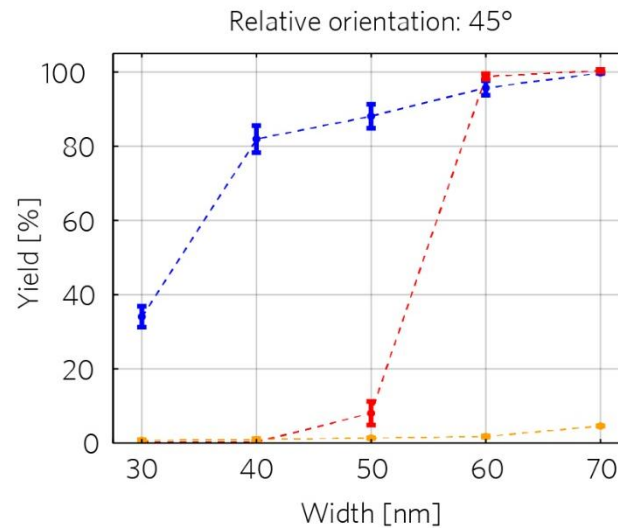
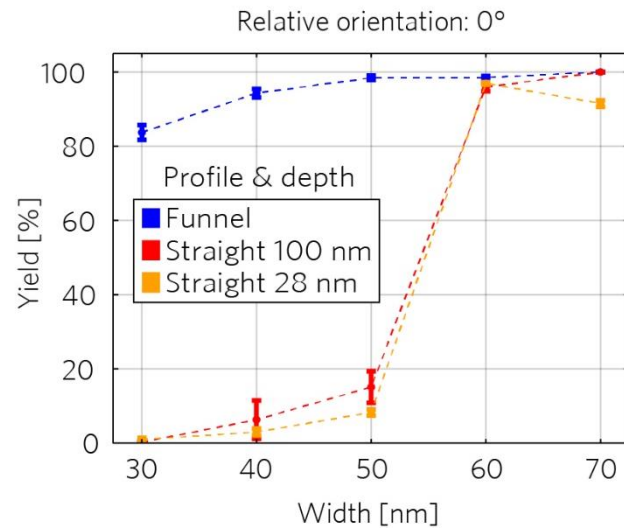
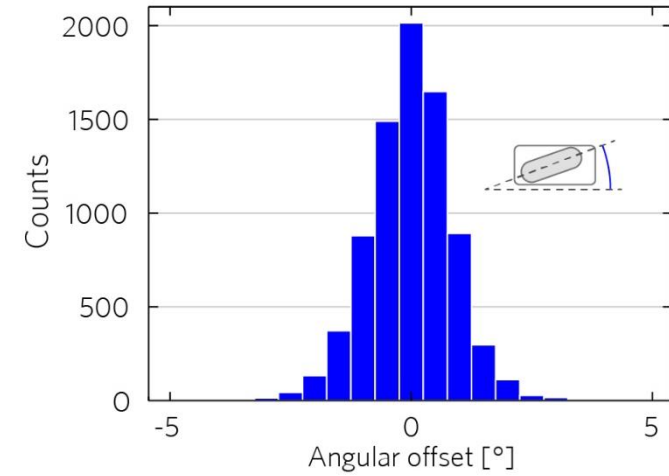
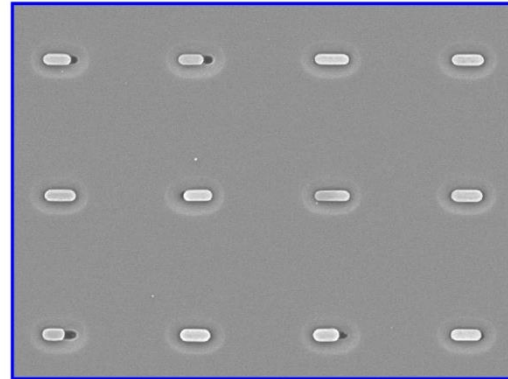
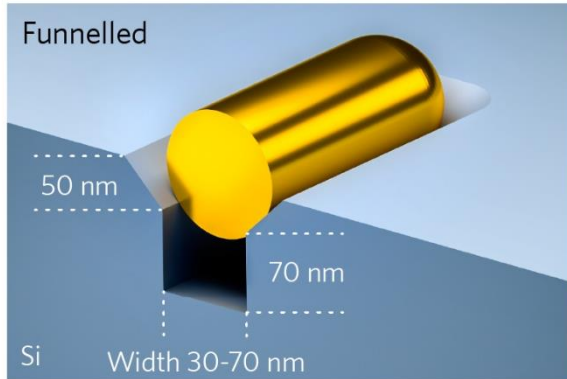
# Insight 3: 3D trap geometry

## EBL – RIE – Silanisation

- $\text{Cl}_2/\text{BCl}_3$  chemistry
- Progressive resist faceting
- Low roughness transfer to Si
- Compatible with  $\text{SiO}_2$  &  $\text{Si}_3\text{N}_4$



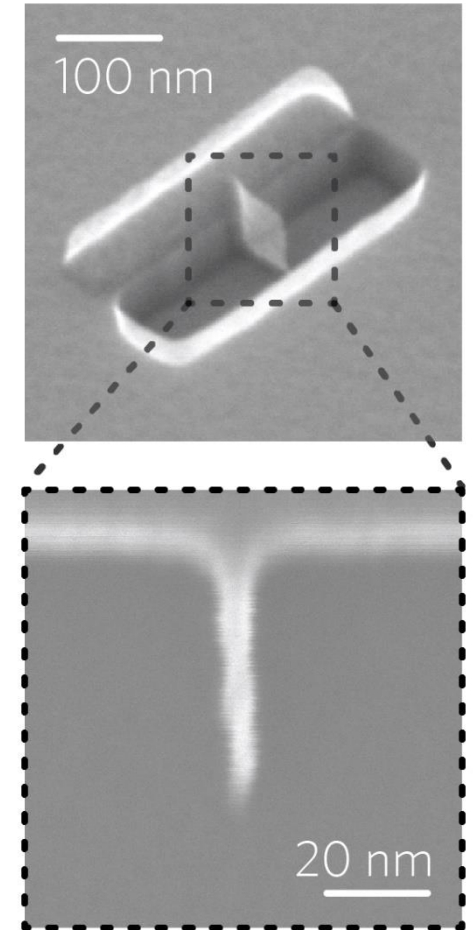
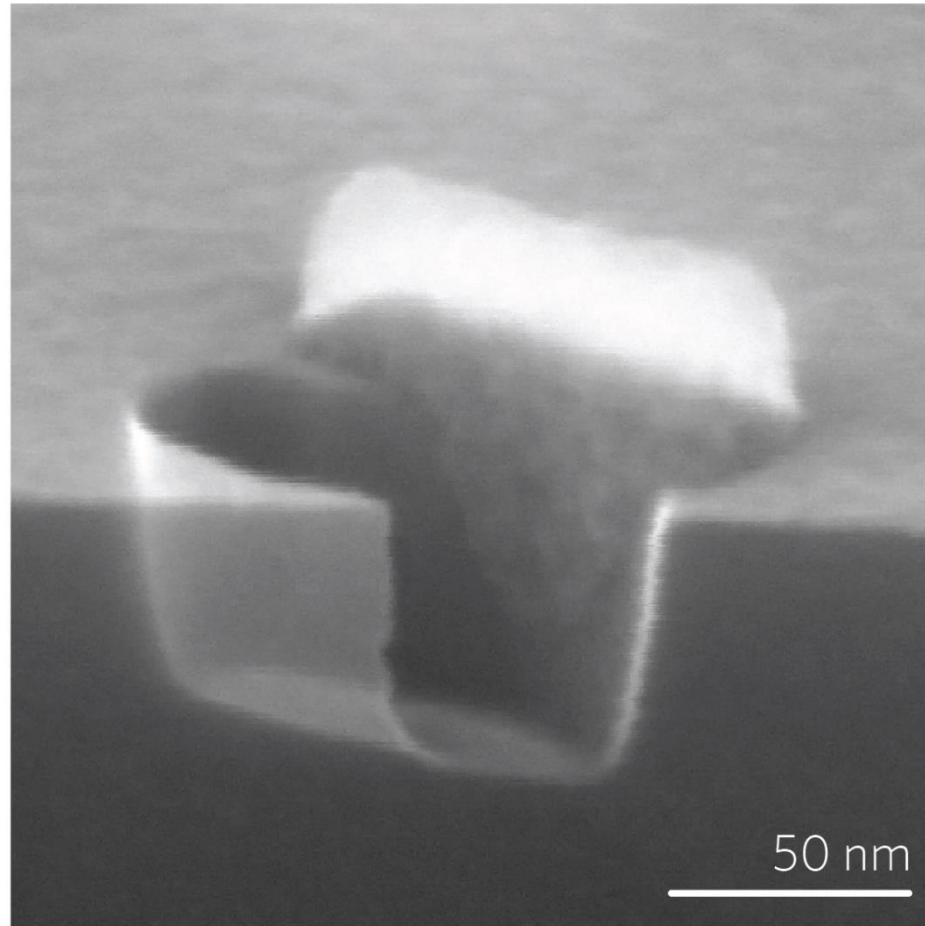
# Assembly on funneled traps



# Single auxiliary sidewall

## Auxiliary sidewall

- HSQ ( $\text{SiO}_x$ ) EBL resist
- Alignment < 10 nm
- Counteract NP removal
- Symmetry breaking



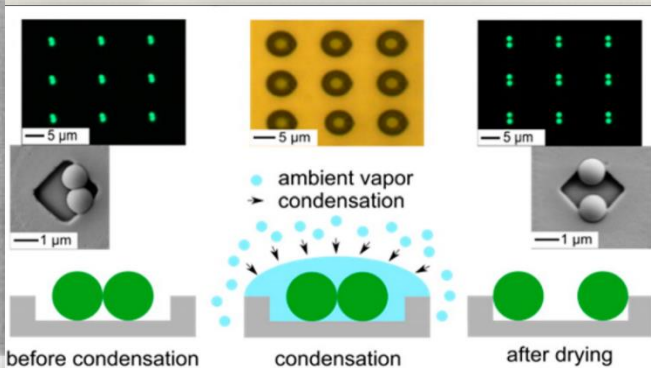
Flauraud *et al.*, *Nat. Nanotechnol.* 12, 73 (2017)

Capillary assembly of nanoparticles

Directed assembly of nanoparticles | M. Mastrangeli, PhD – EPFL, 3 September 2025

# Straight-edged traps with auxiliary sidewall

Ni et al., Langmuir 2014

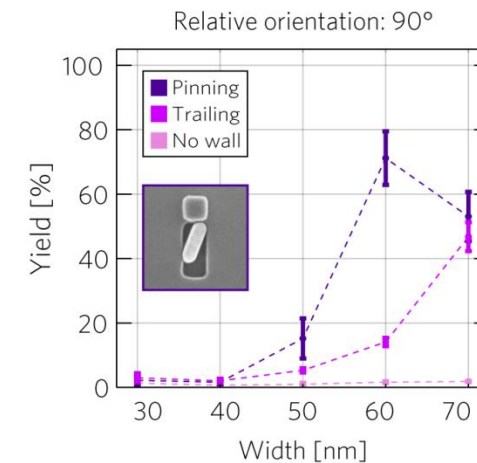
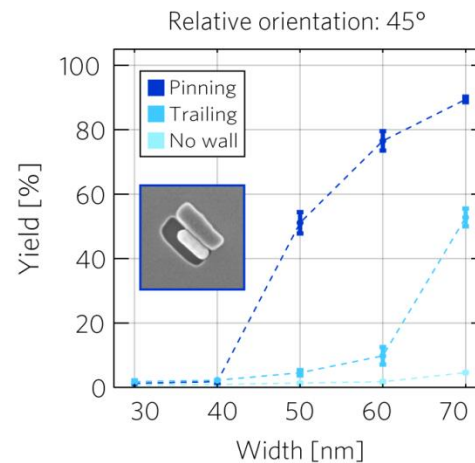
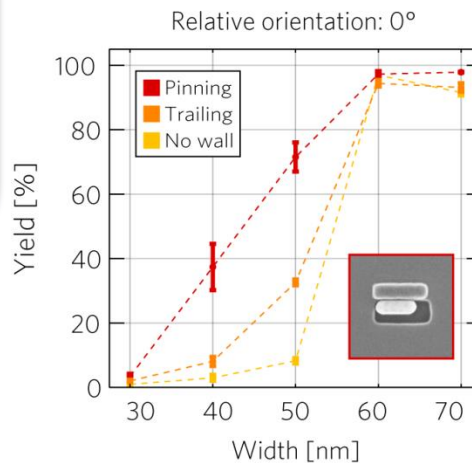
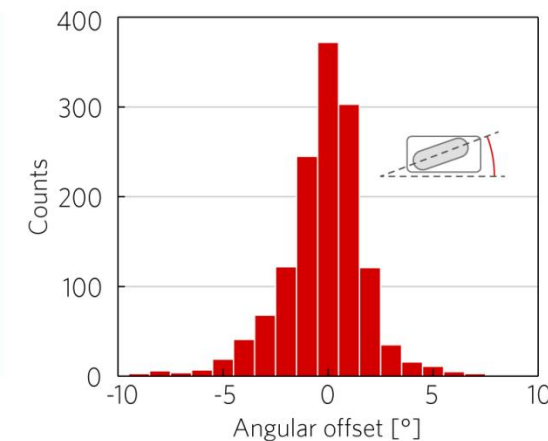
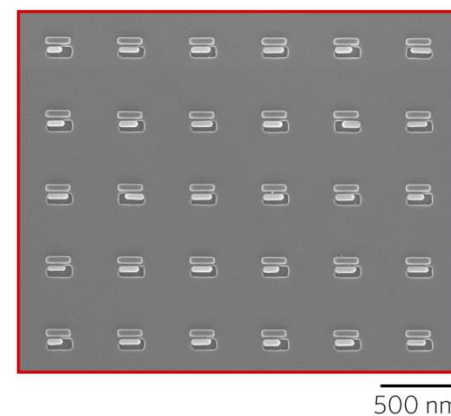
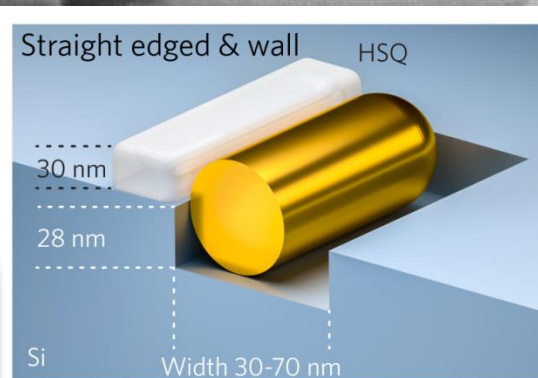


## Biased evaporation

- Deterministic positioning in loose traps

## Sidewall position

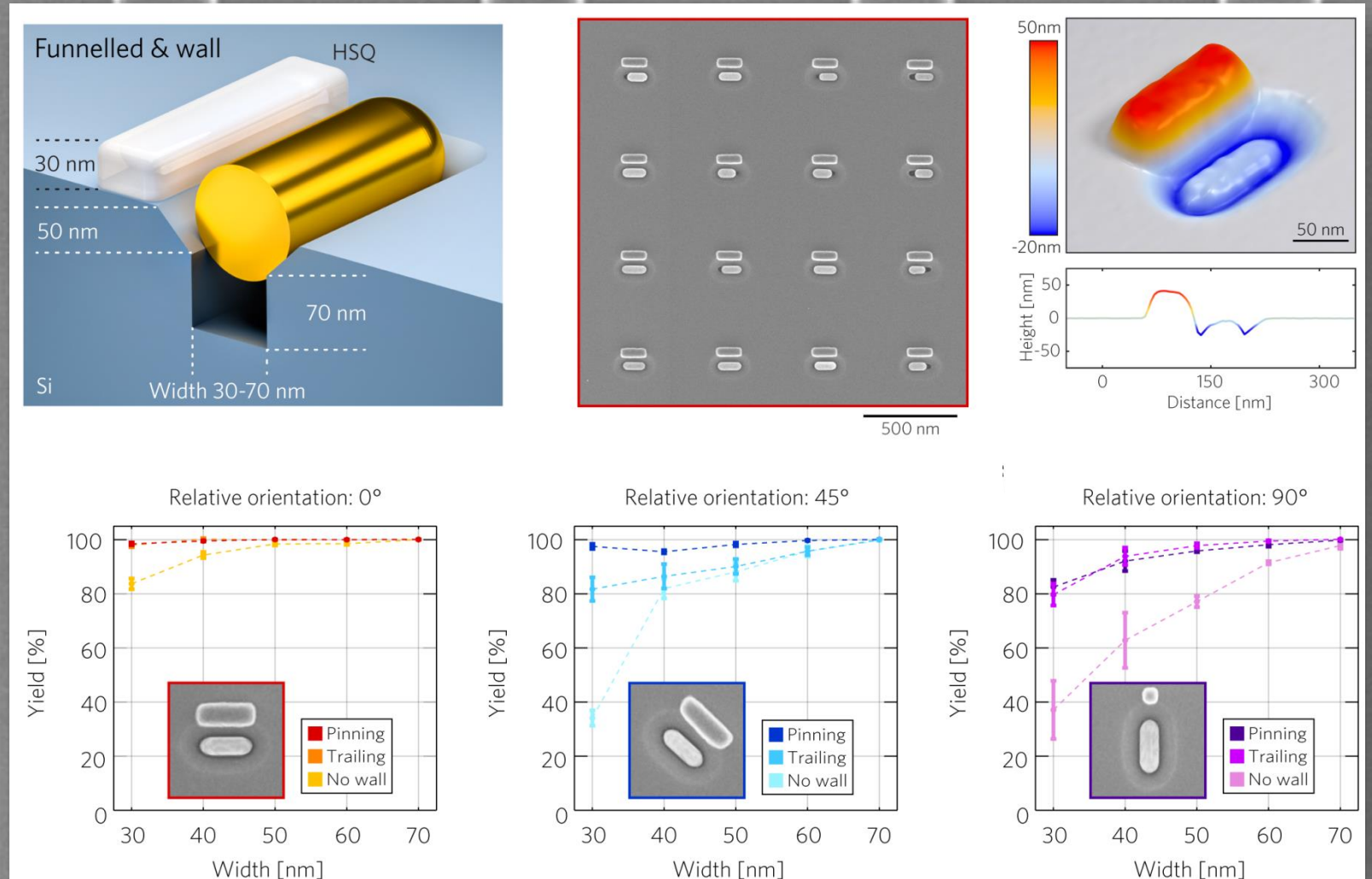
- High yield improvement



# The ideal trap

Exploits all three stages of assembly

- Up to 100% yield for all orientations
- Absolute positioning control



# Updated wisdom

## 1. Contact line

- Boundary
- Drags particles across substrate
- Last push

## 2. Accumulation

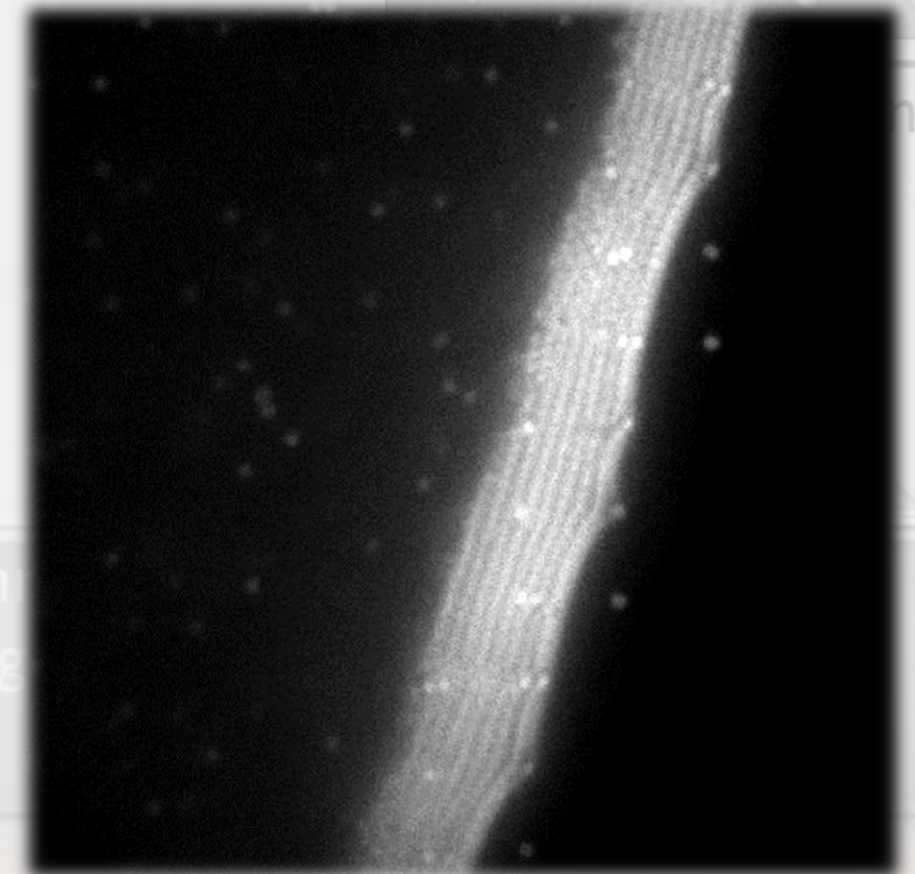
- Width facilitates injection
- Confinement dependent

## 3. Particle trajectory

- Advection, immobilization, drag
- Injection: pressure, entropy

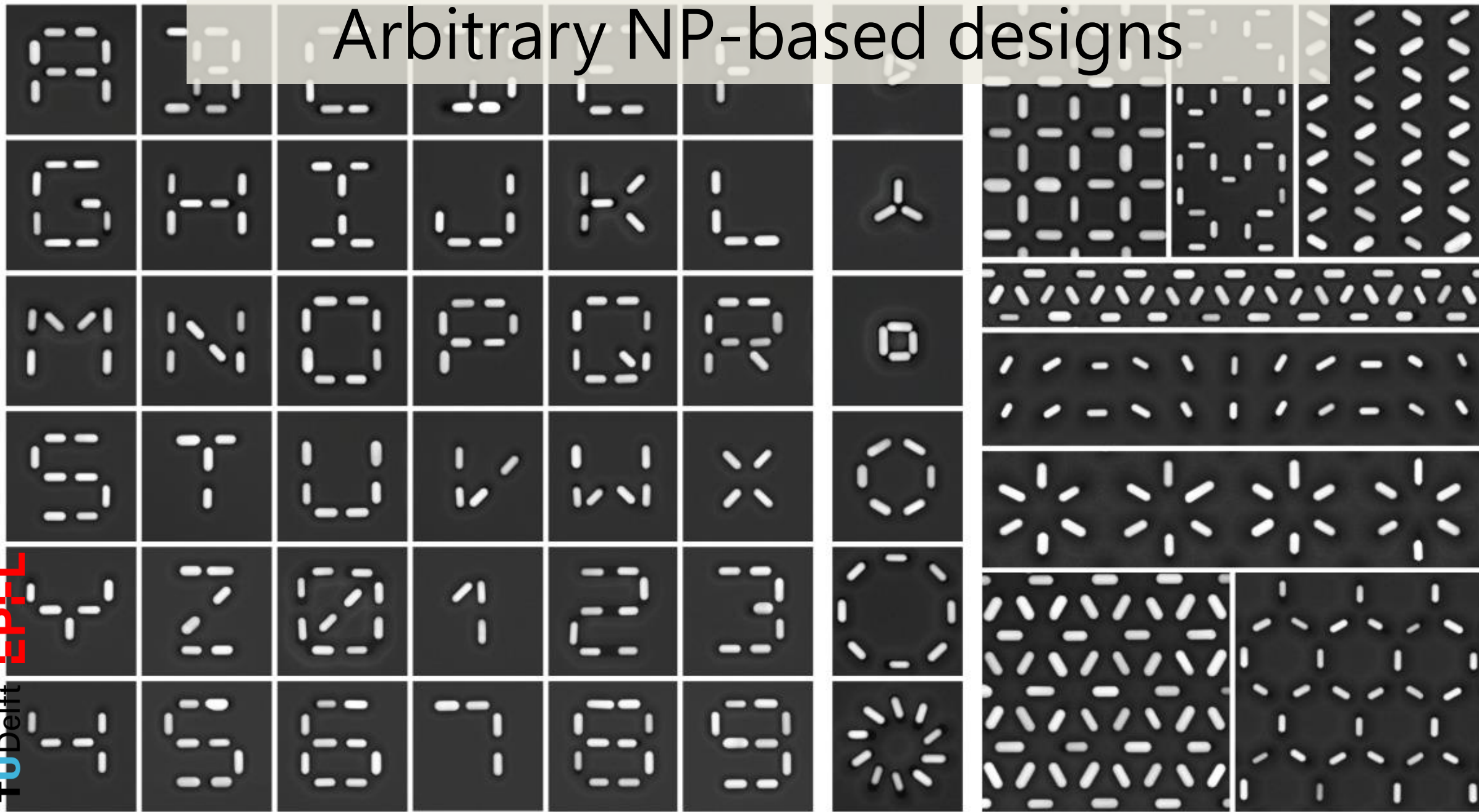
## 4. Assembly stages

- All impact assembly yield
- Tailored trap geometry

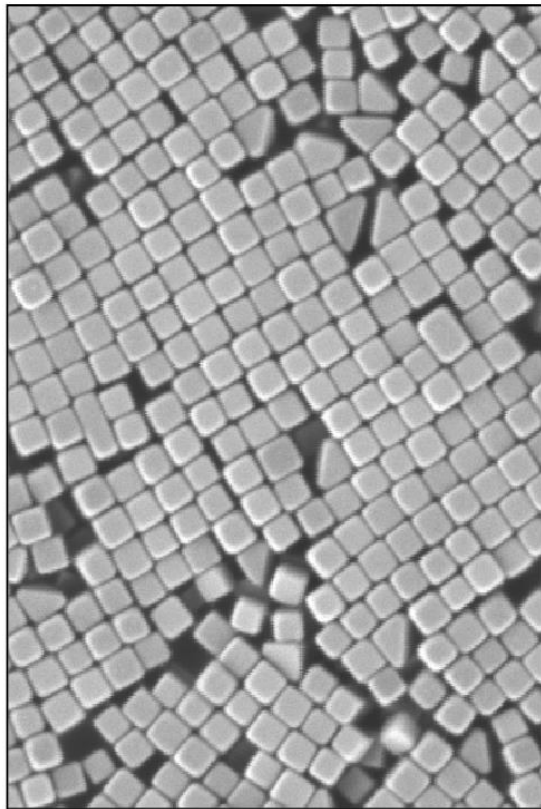


Ni *et al.*, *Farad. Discuss.* 181, 225 (2015)

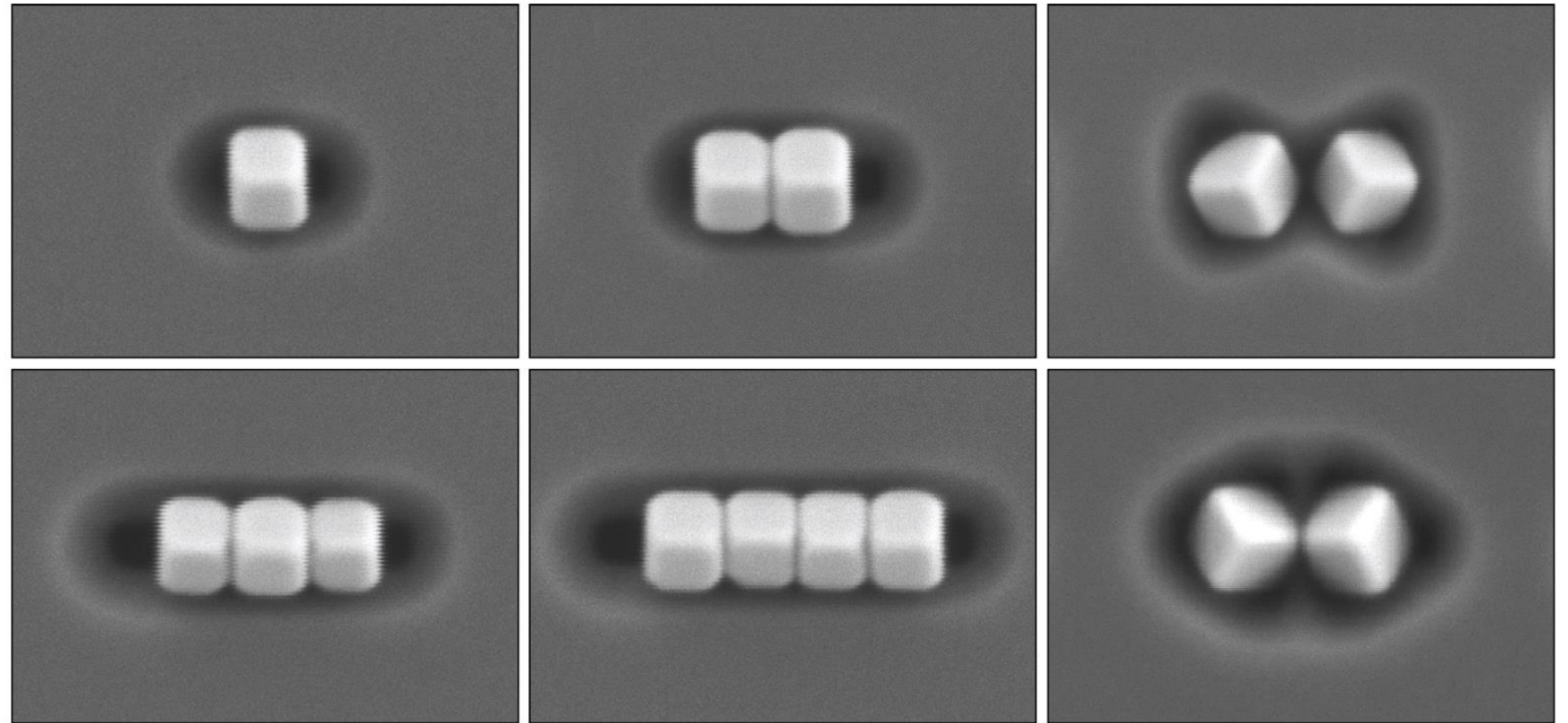
# Arbitrary NP-based designs



# 3D orientation of Ag nanocubes

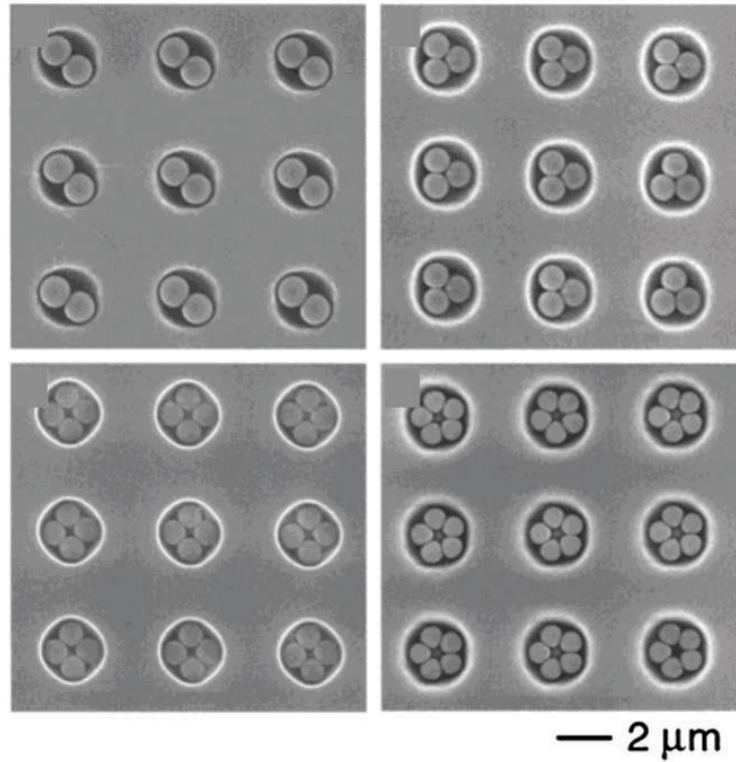


400 nm

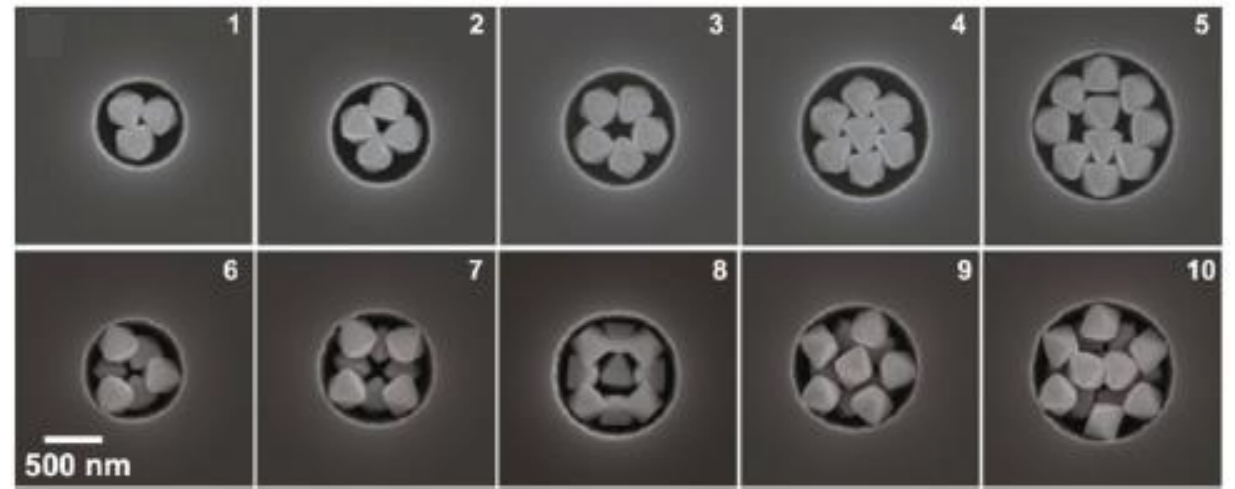


200 nm

# Trap design: Trap-to-particle ratio



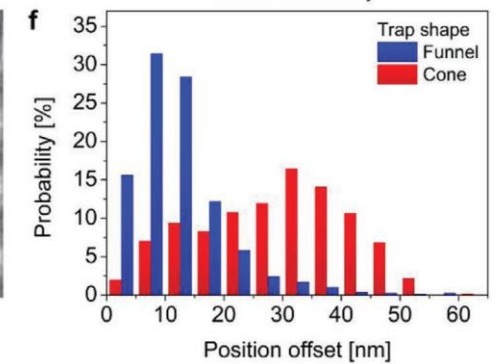
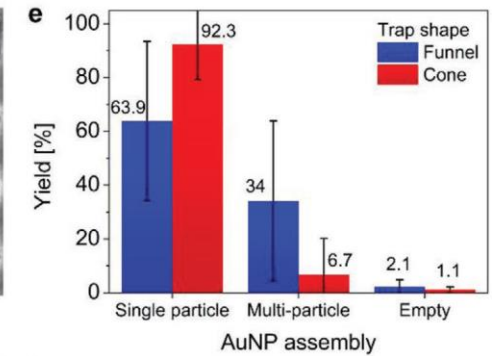
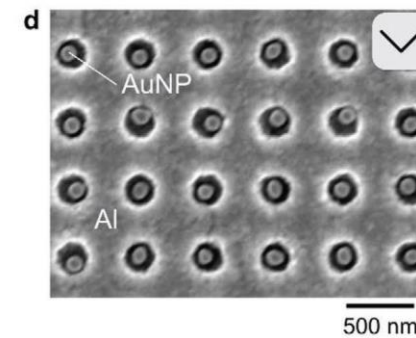
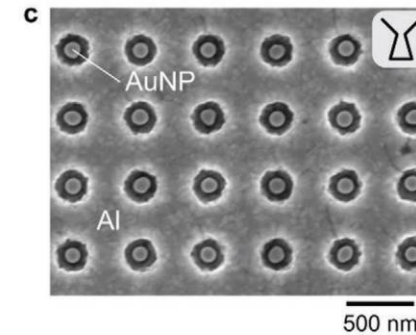
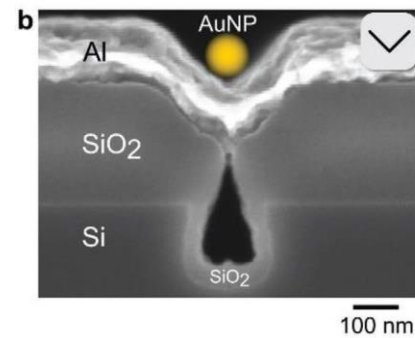
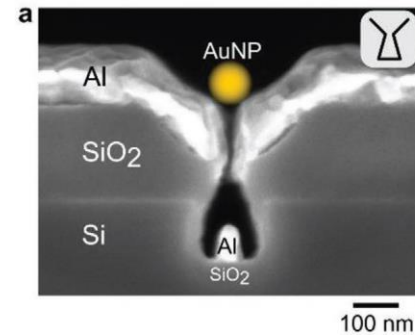
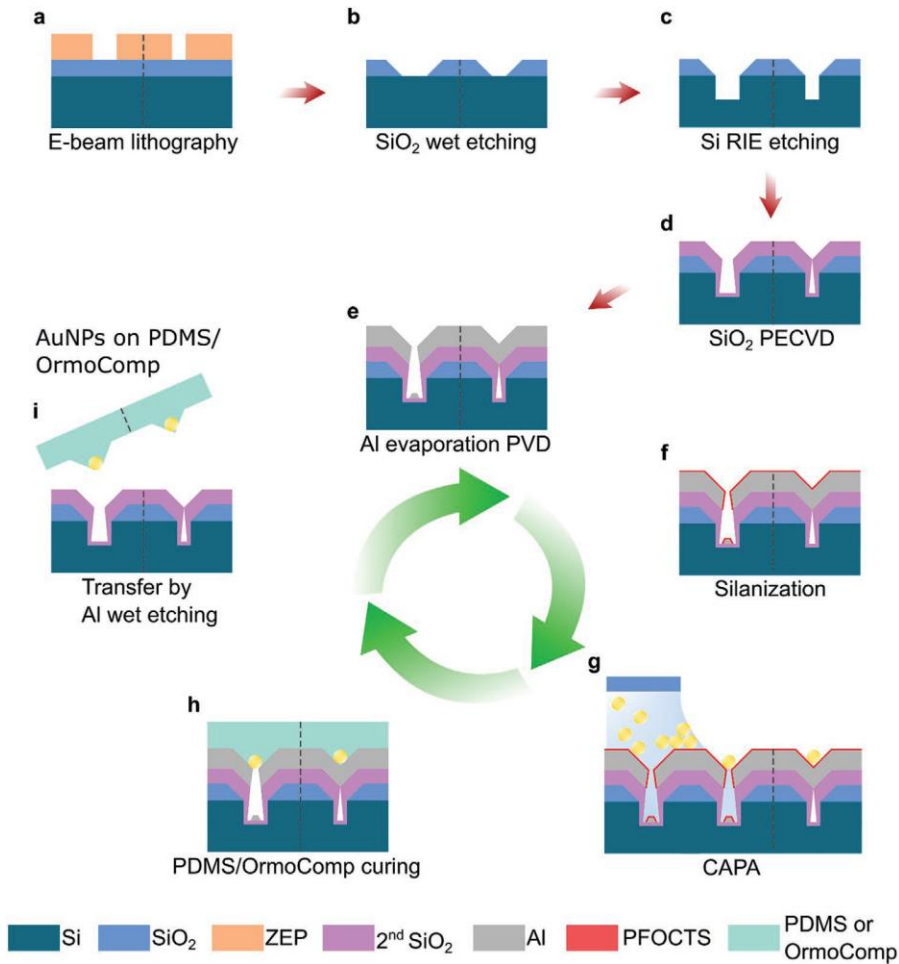
Yin *et al.*, *J. Am. Chem. Soc.* 123, 8718 (2001)



Henzie *et al.*, *Proc. Natl. Acad. Sci* 110, 6640 (2013)

Further trap designs

# Funneled traps in reusable template

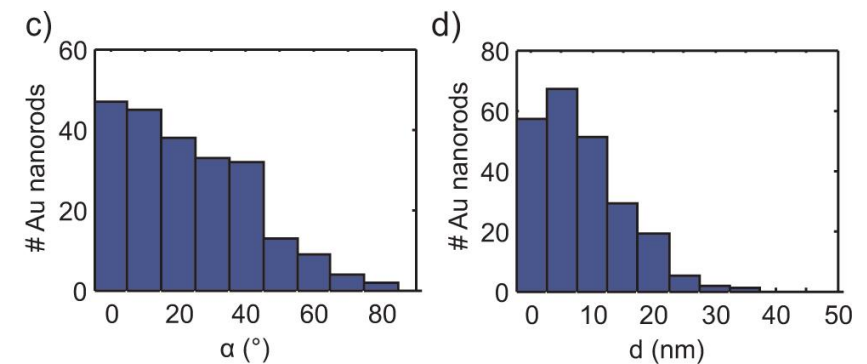
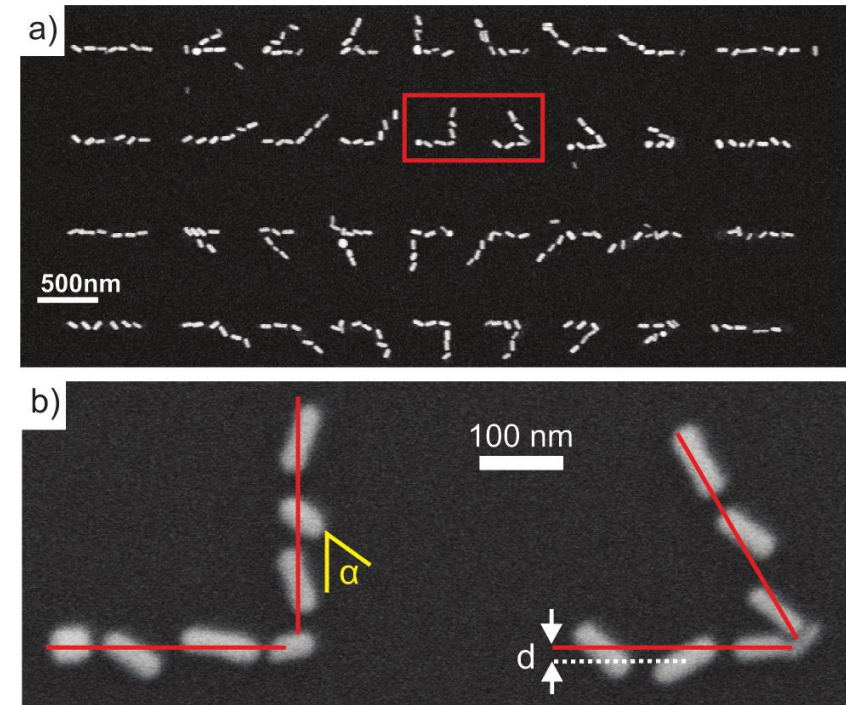
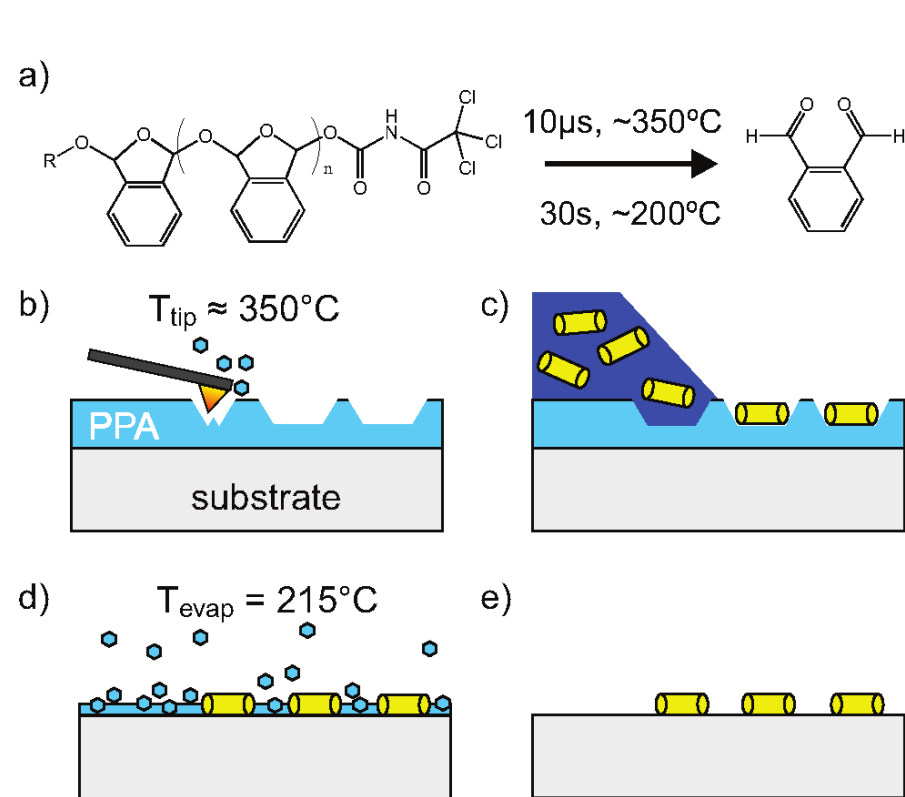


Yu *et al.*, *Part. Part. Syst. Charact.* 39, 2100288 (2022)

Reusable template

Directed assembly of nanoparticles | M. Mastrangeli, PhD – EPFL, 3 September 2025

# Trap design: t-SPL-based sculpting

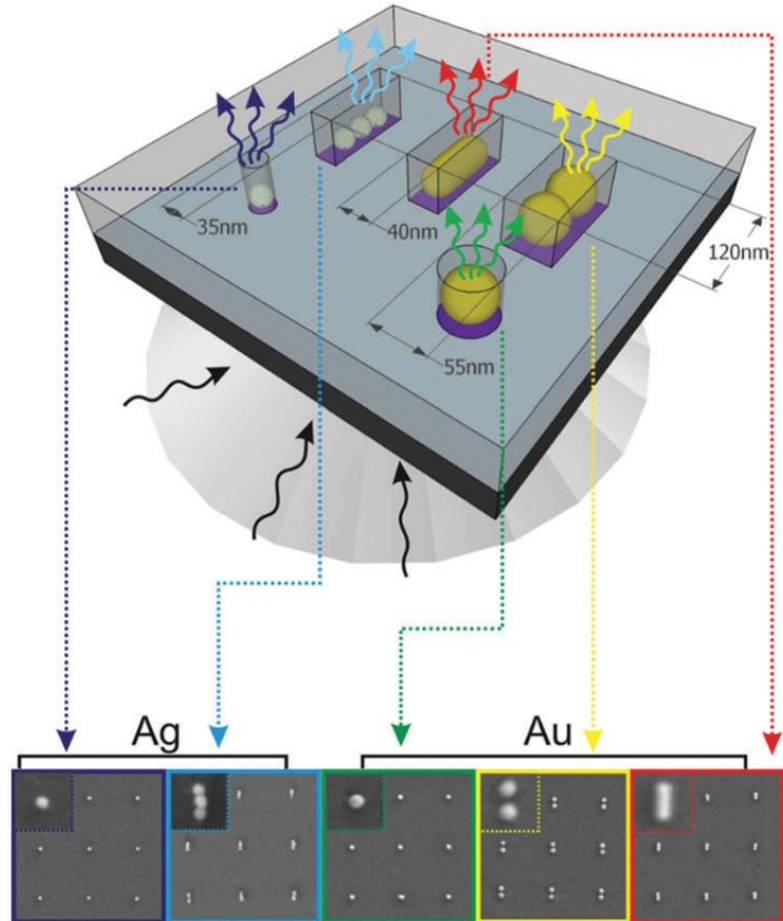
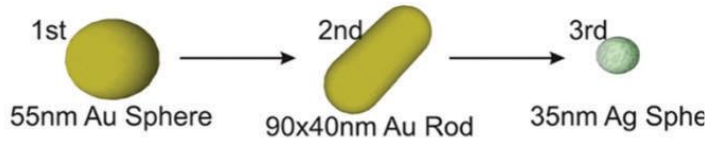


Holzner *et al.*, *Nano Letters* 11, 3957 (2011)

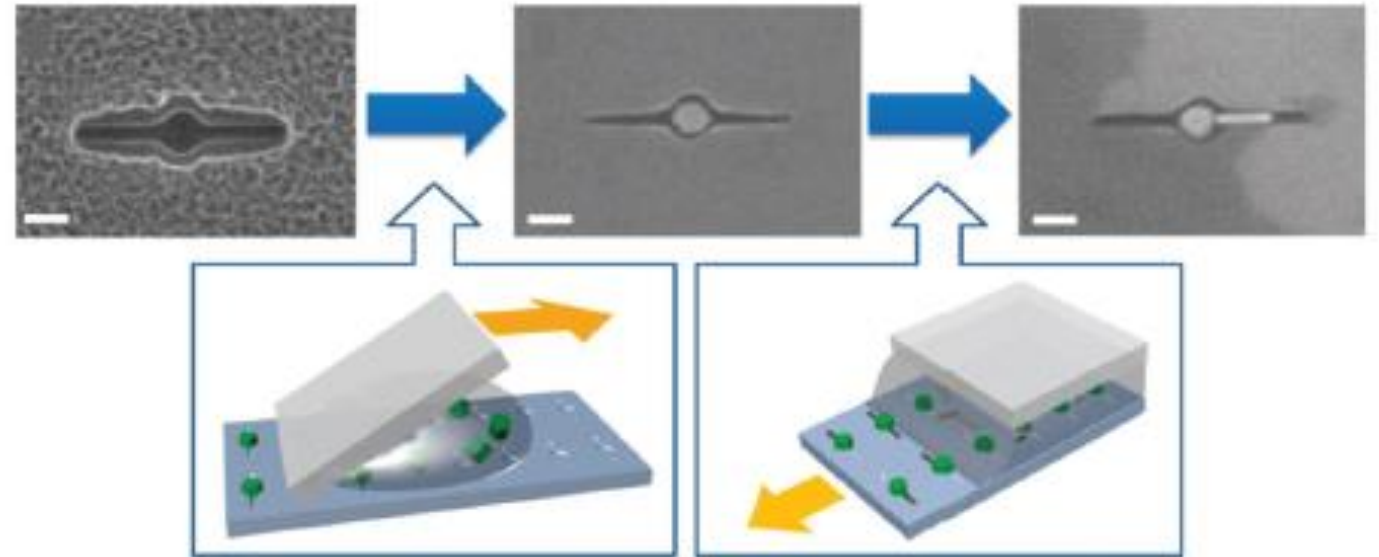
Further trap designs

Directed assembly of nanoparticles | M. Mastrangeli, PhD – EPFL, 3 September 2025

# Trap design: Shape matching



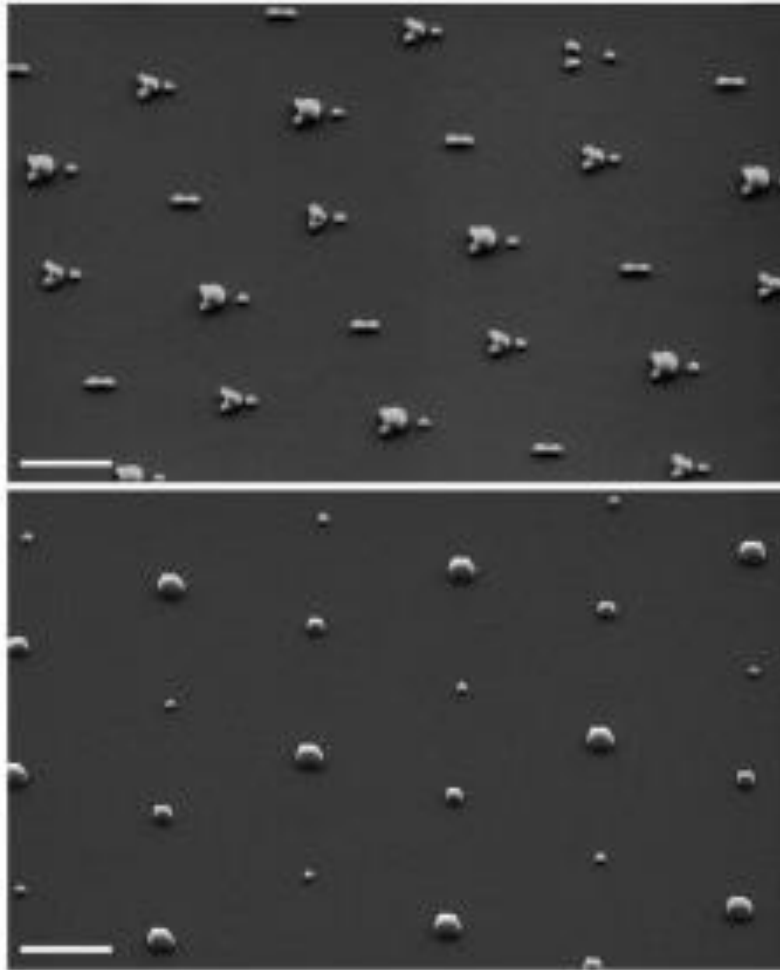
Chen & Reinhard, *Adv. Mater.* 28, 3522 (2016)



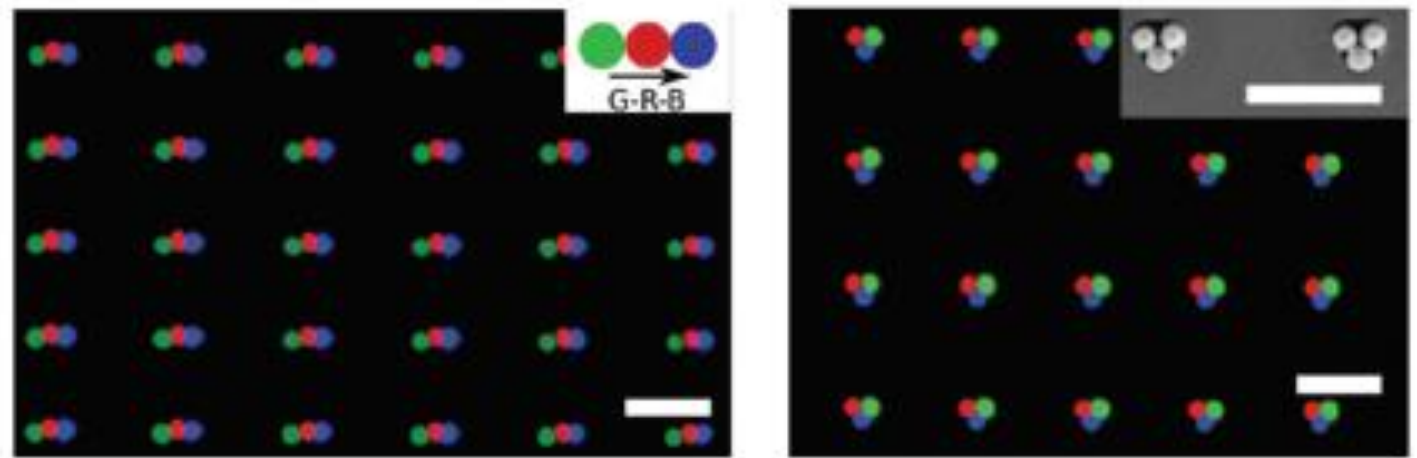
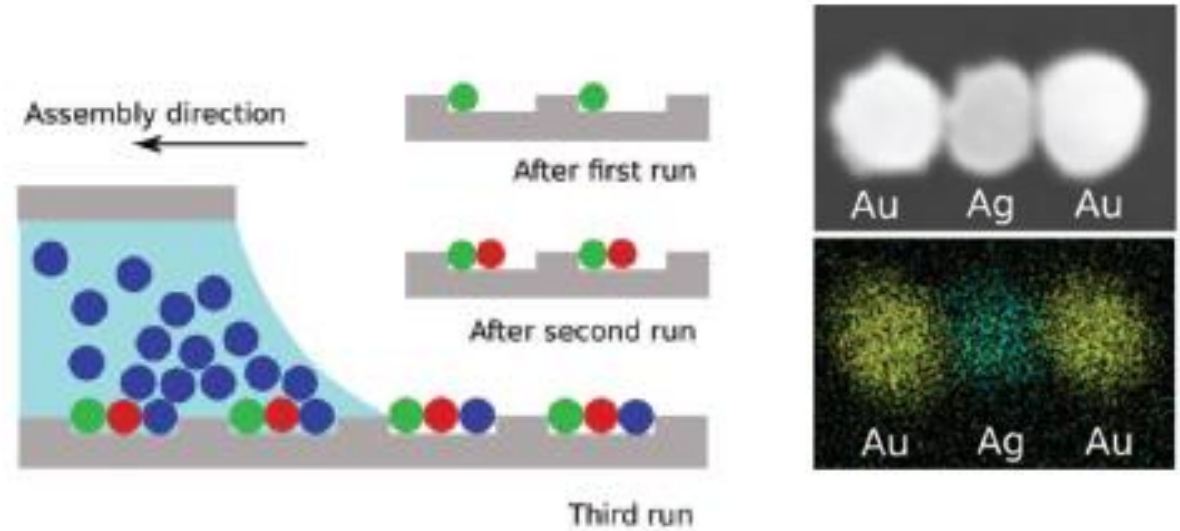
Greybush *et al.*, *ACS Nano* 9, 9482 (2014)

Further trap designs

# Trap design: Shape matching



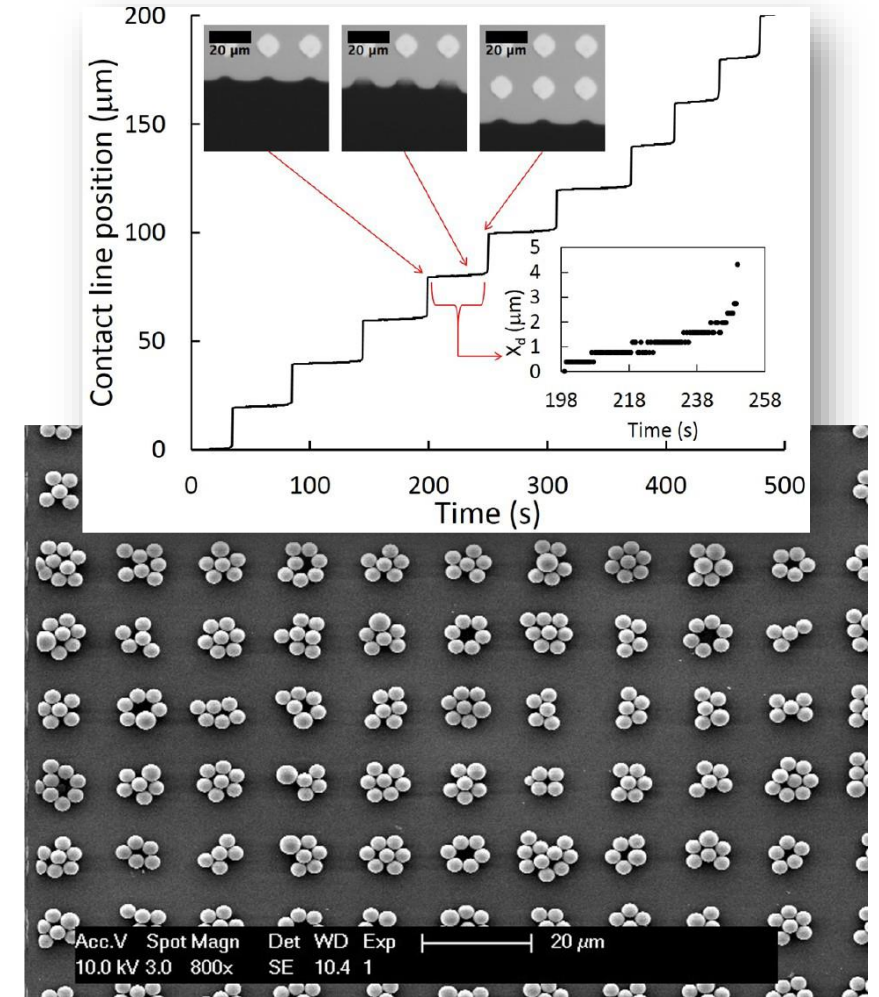
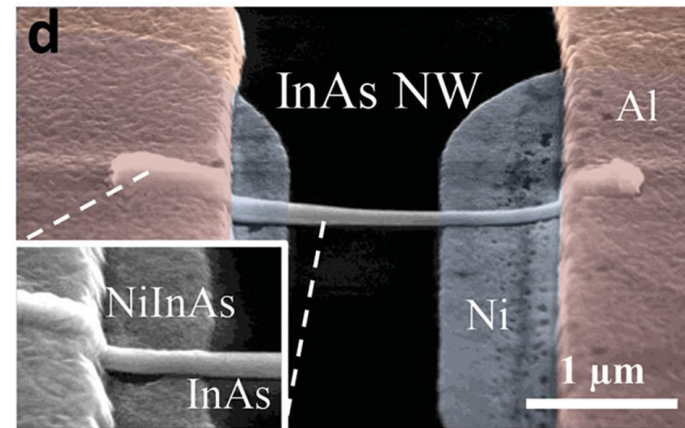
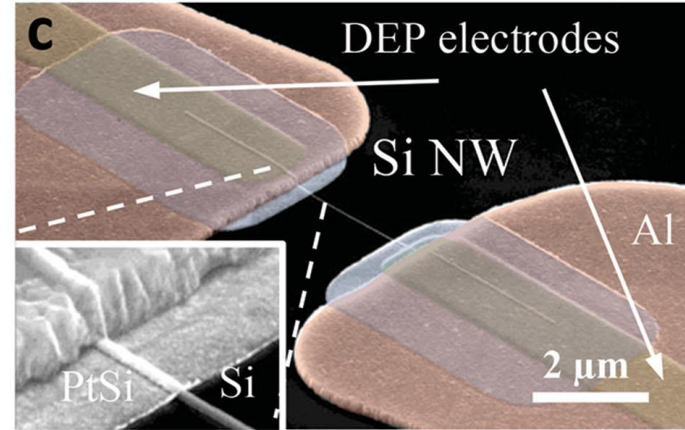
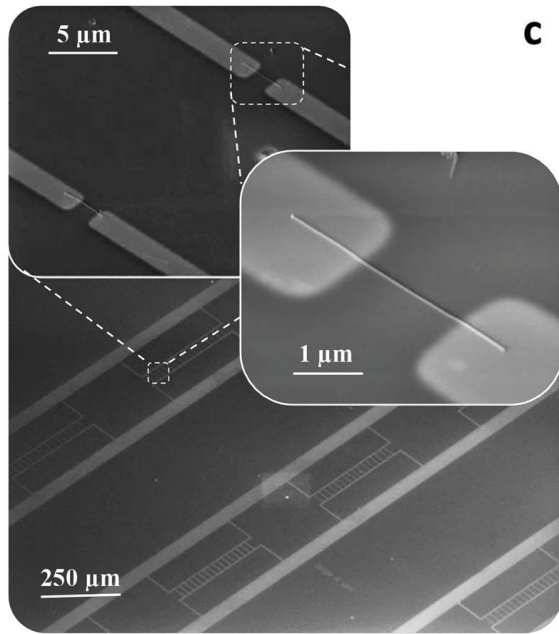
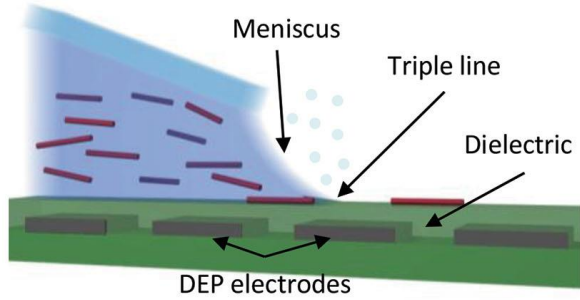
Kuemin *et al.*, *Adv. Mater.* 22, 2804 (2010)



Ni *et al.*, *Soft Matter* 2018

Further trap designs

# Non-topographical traps

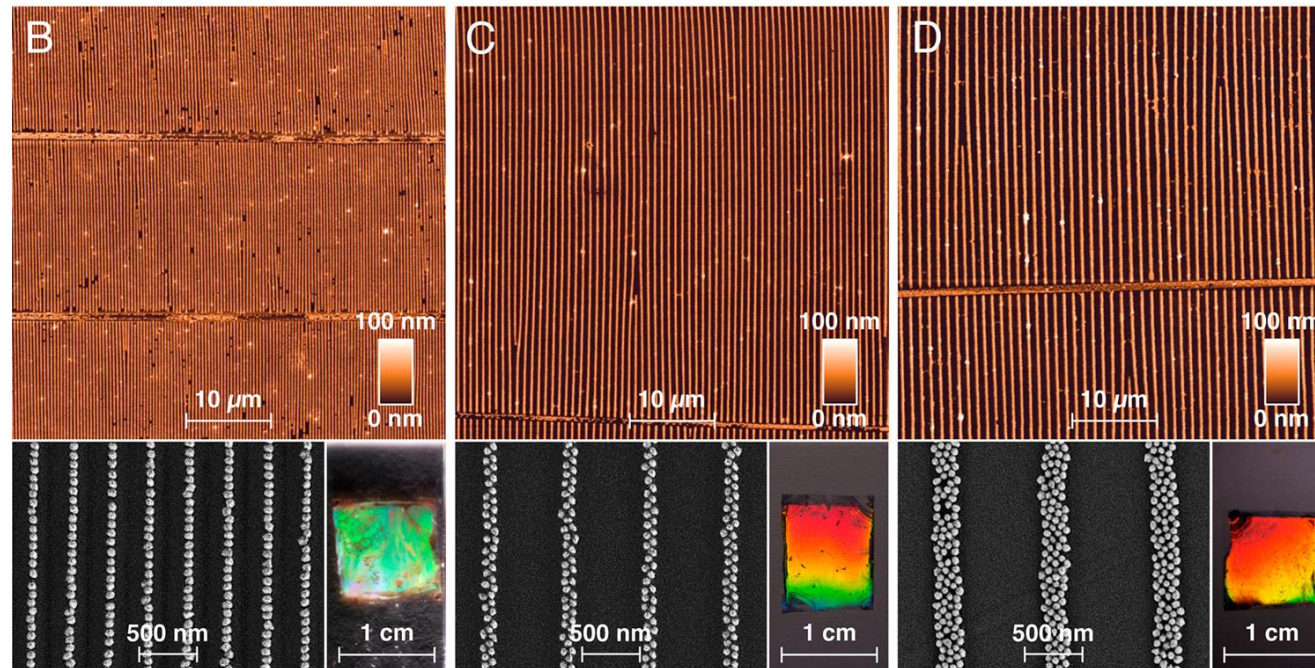
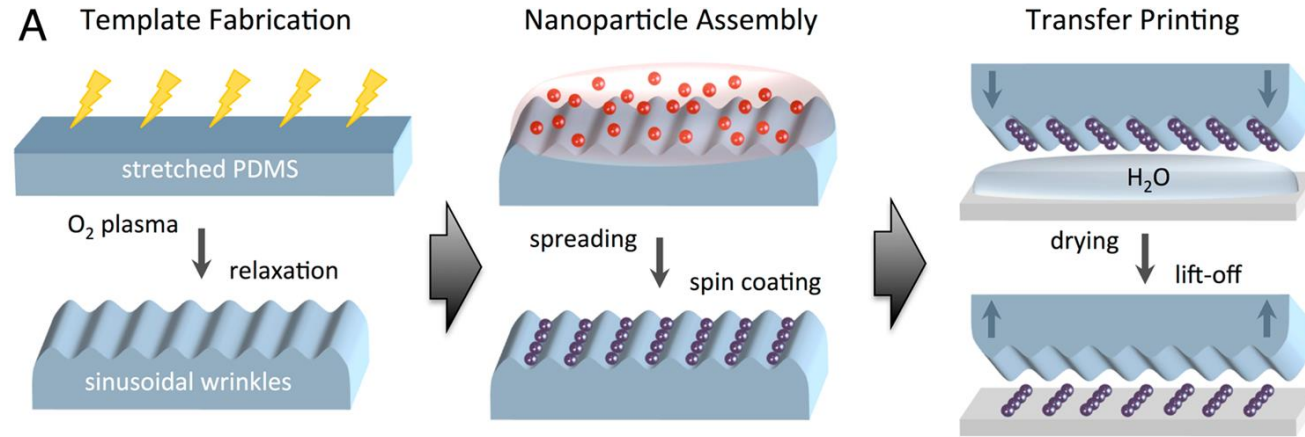


Collet *et al.*, *Adv. Mater.* 27, 1268 (2015)

Wirth *et al.*, *Langmuir* 31, 1632 (2015)

Further trap designs

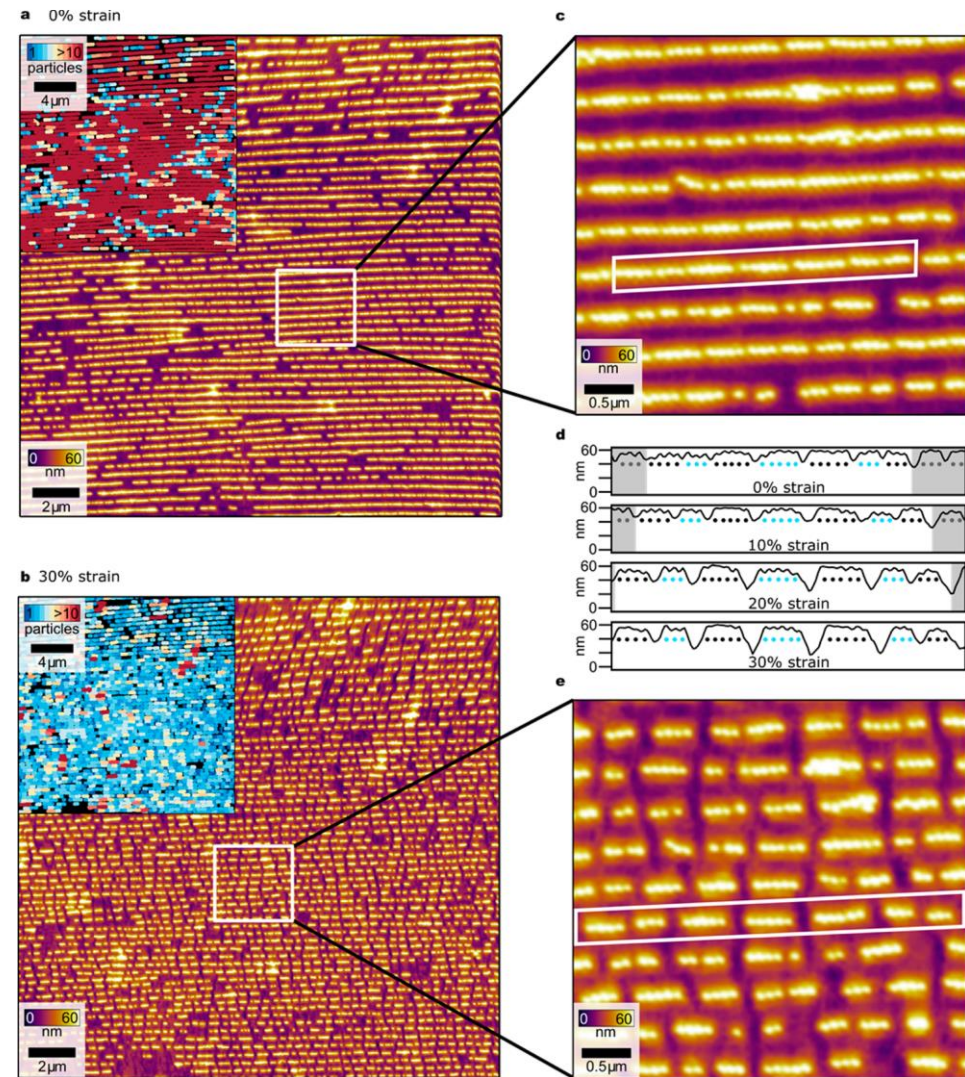
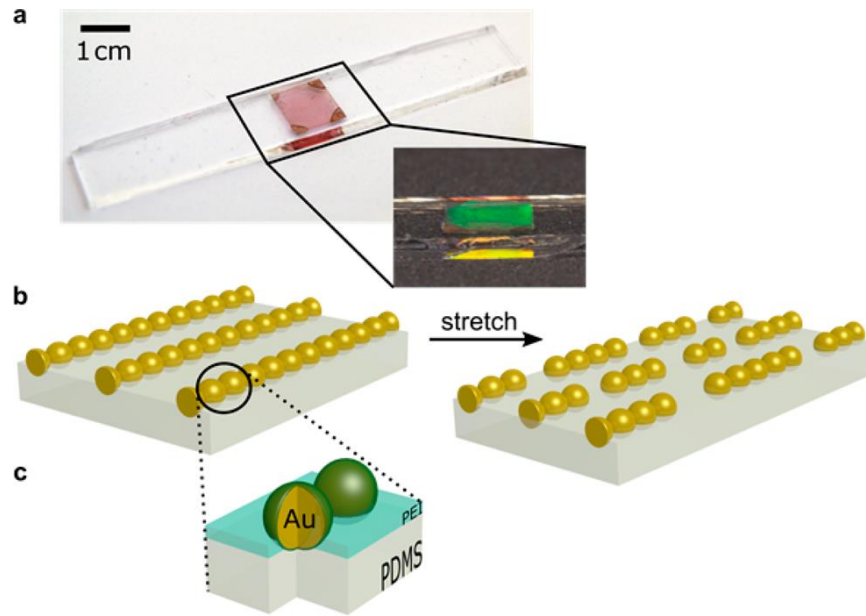
# Non-lithographical traps



Hanske *et al.*, *Nano Letters* 14, 6863 (2014)

Further trap designs

# Non-lithographical traps

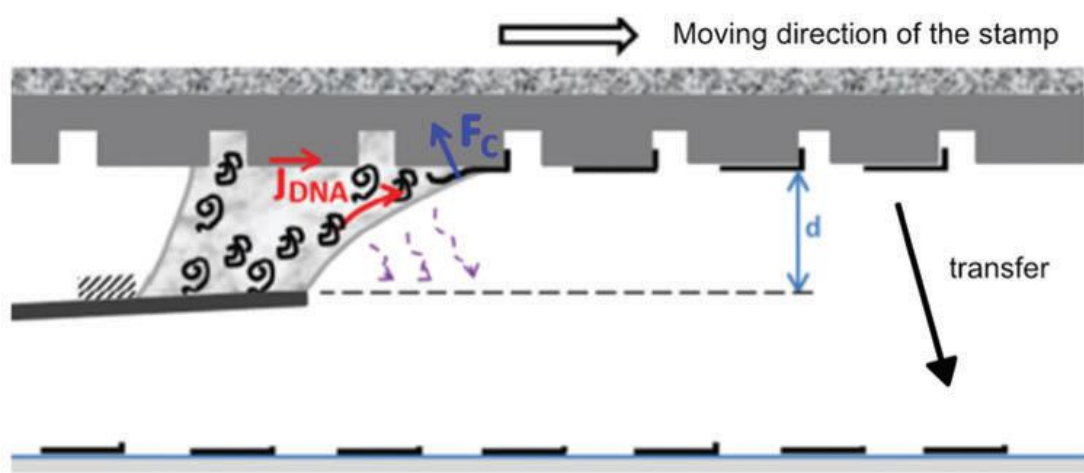


Steiner *et al.*, *ACS Nano* 11, 8871 (2017)

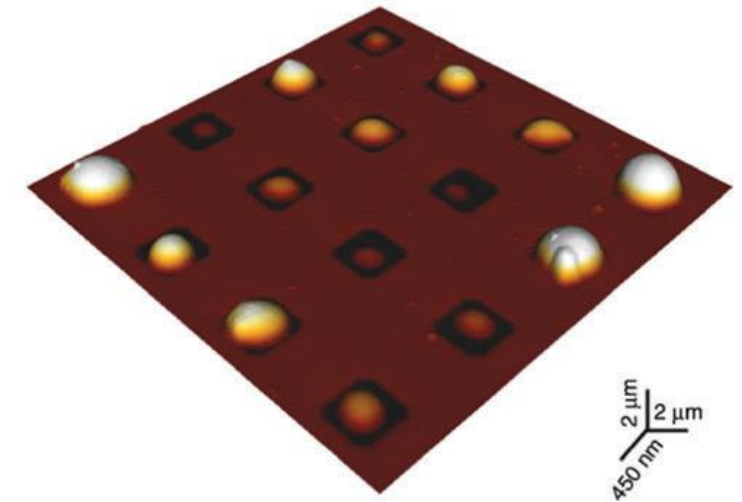
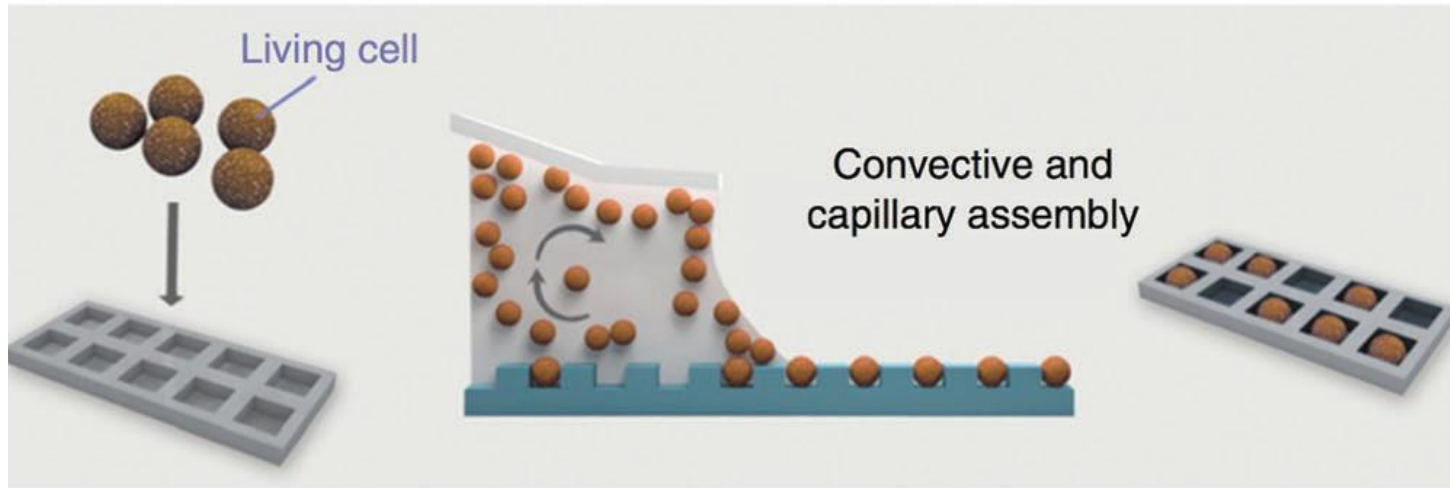
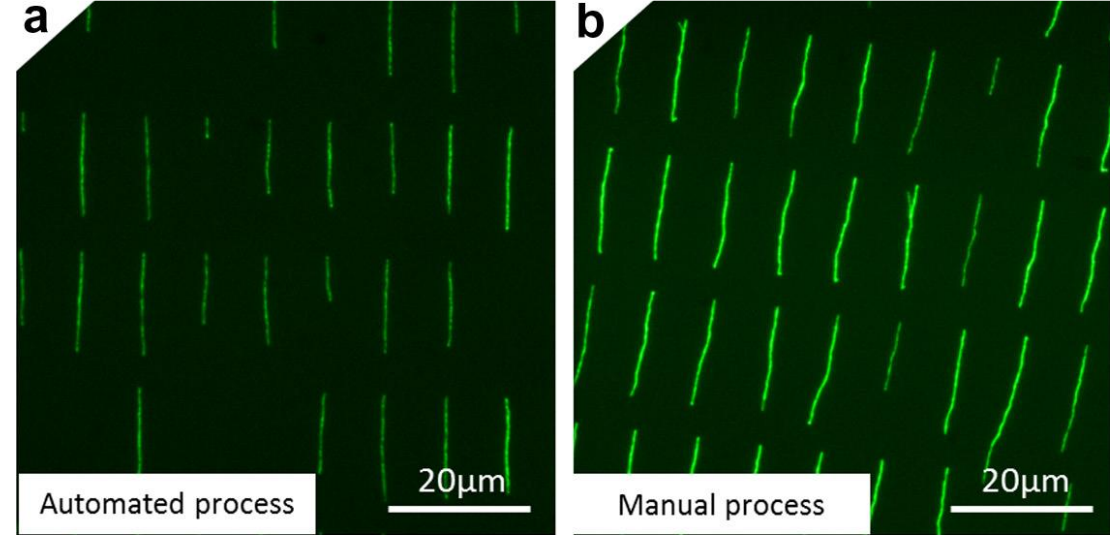
Further trap designs

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# Biomolecules & cells

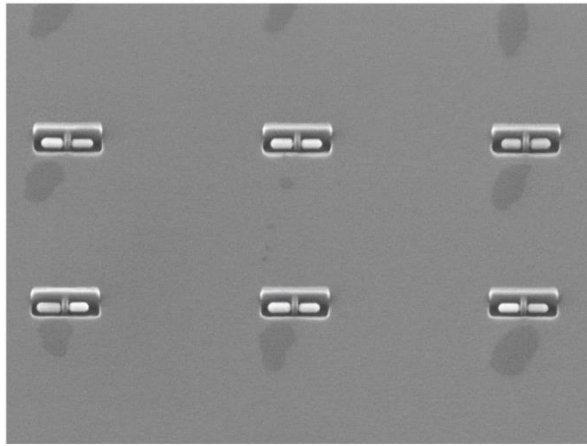


Cayron *et al.*, *Microelectron. Eng.* 135, 1 (2015)

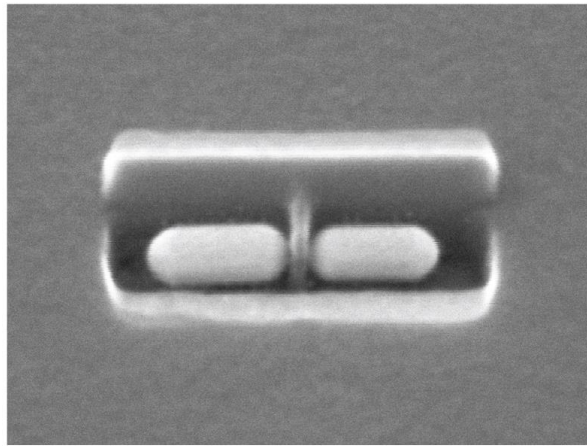


Formosa *et al.*, *Nat. Protoc.* 10, 199 (2015)

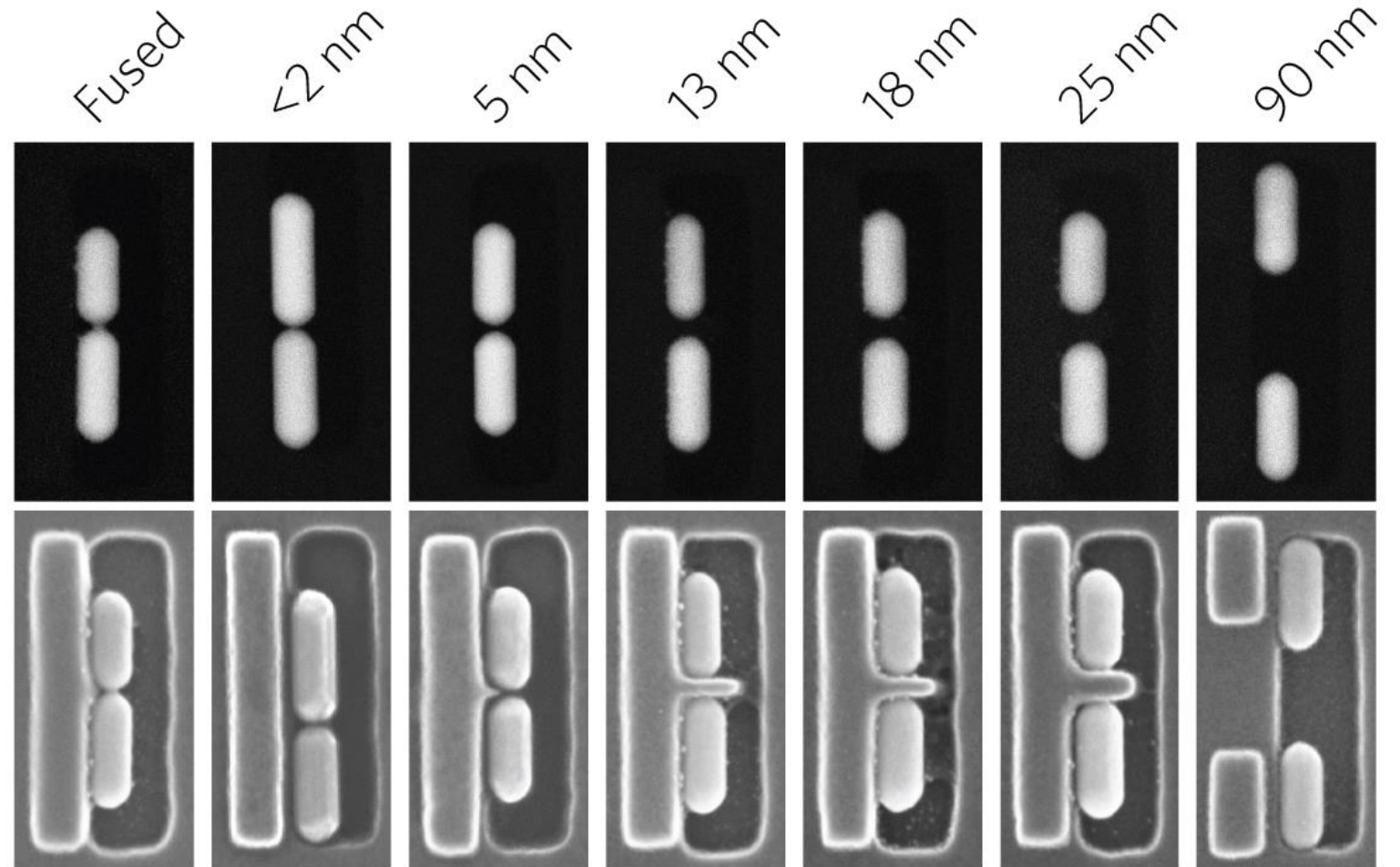
# Nanogap tuning in nanorod dimers



500 nm



100 nm



100 nm

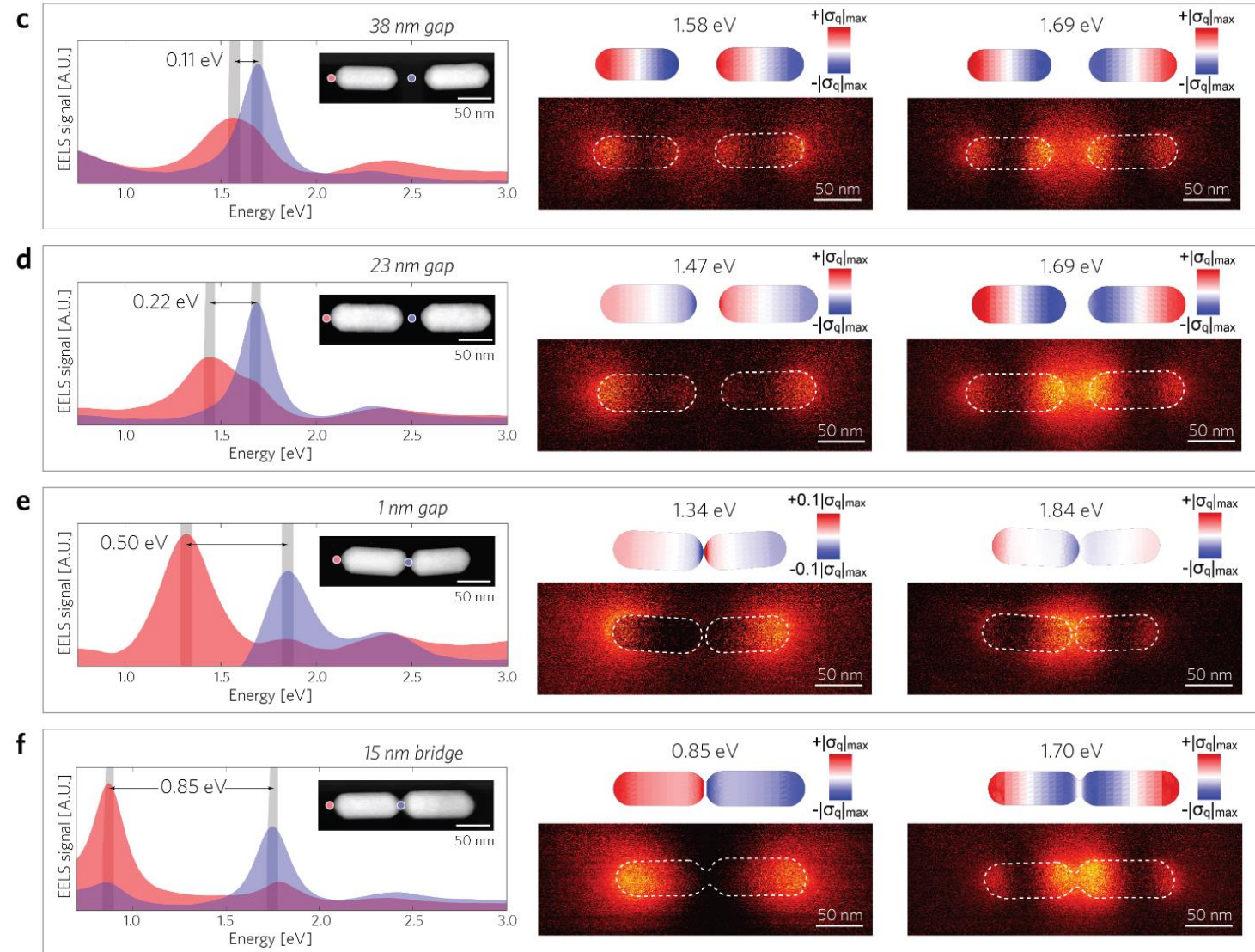
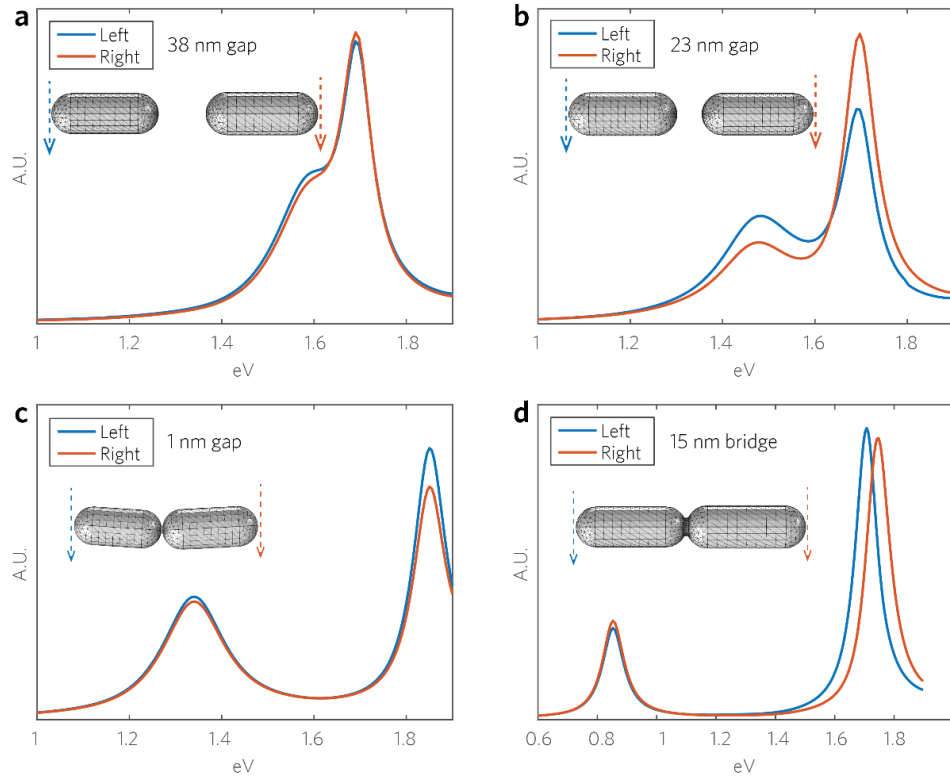
Flauraud *et al.*, *Nat. Nanotechnol.* 12, 73 (2017)

Plasmonic antennas

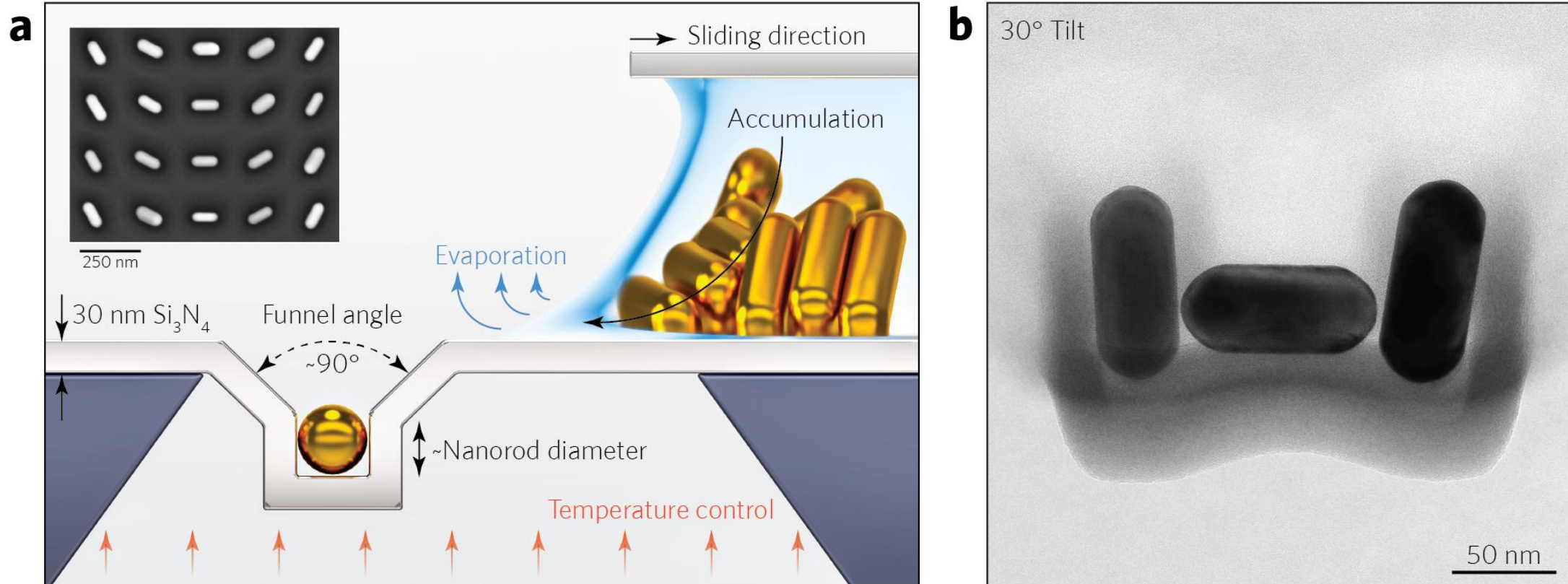
Directed assembly of nanoparticles | M. Mastrangeli, PhD – EPFL, 3 September 2025

# From weak to strong plasmonic coupling

## FEM simulations



# Plasmonic dolmens

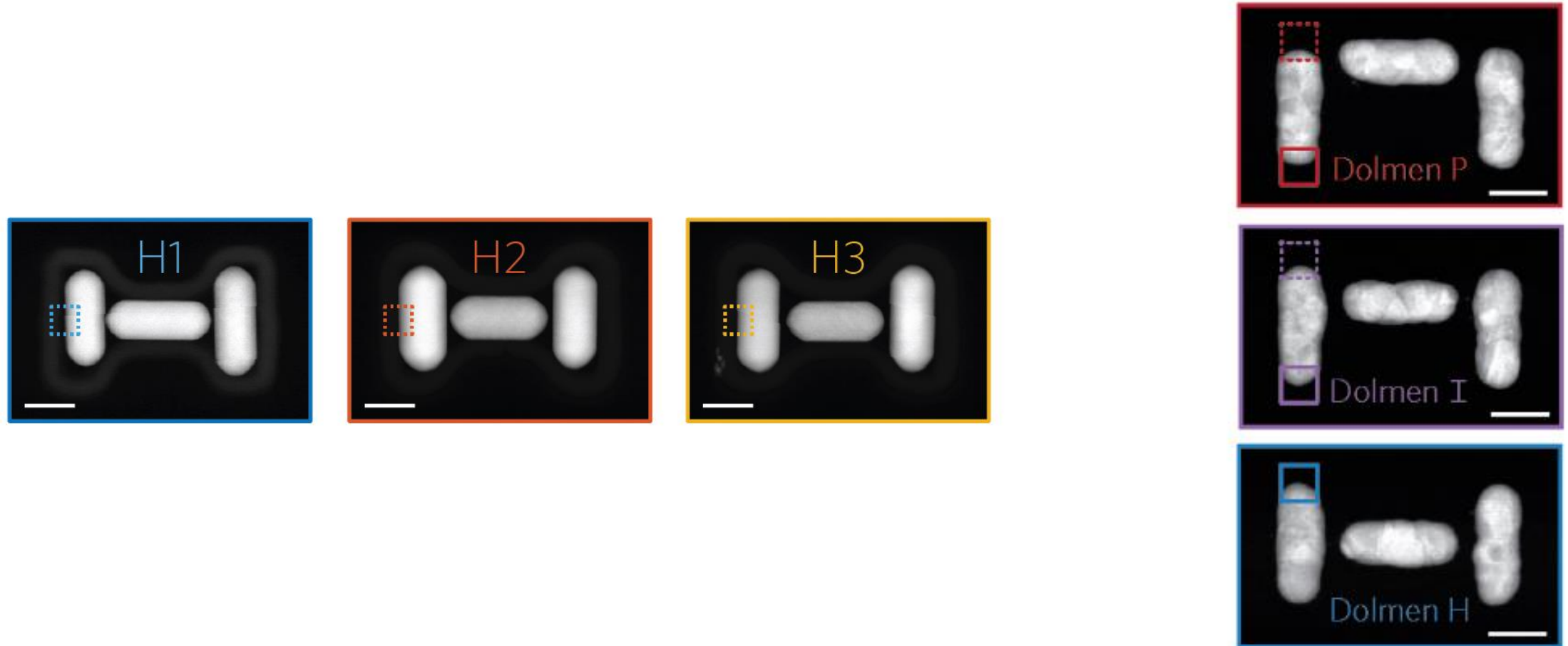


Flauraud *et al.*, *ACS Photon.* 4, 1661 (2017)

Application to plasmonics

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# Crystallinity & edge roughness

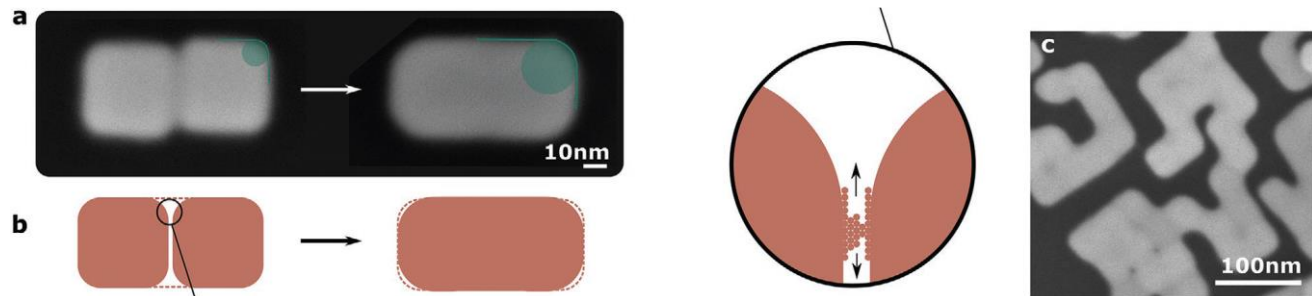
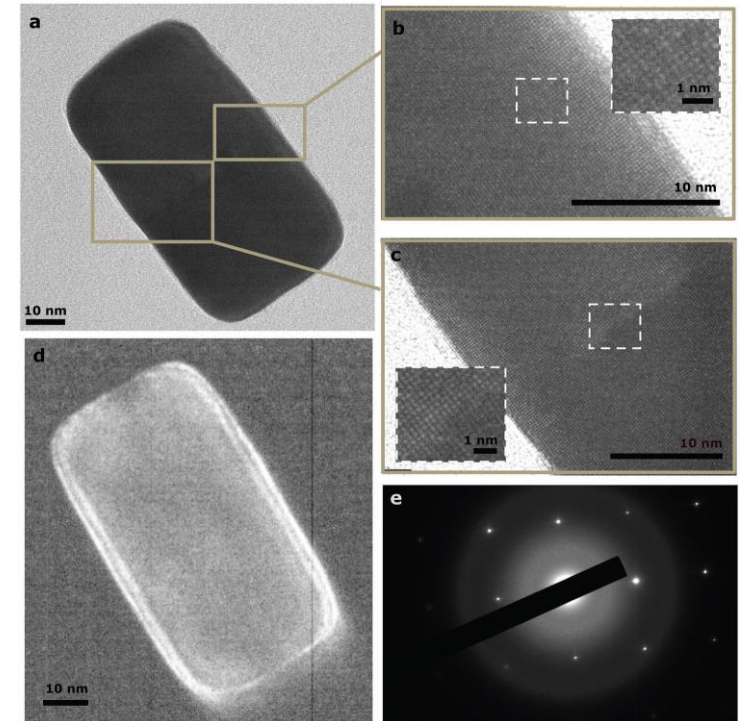
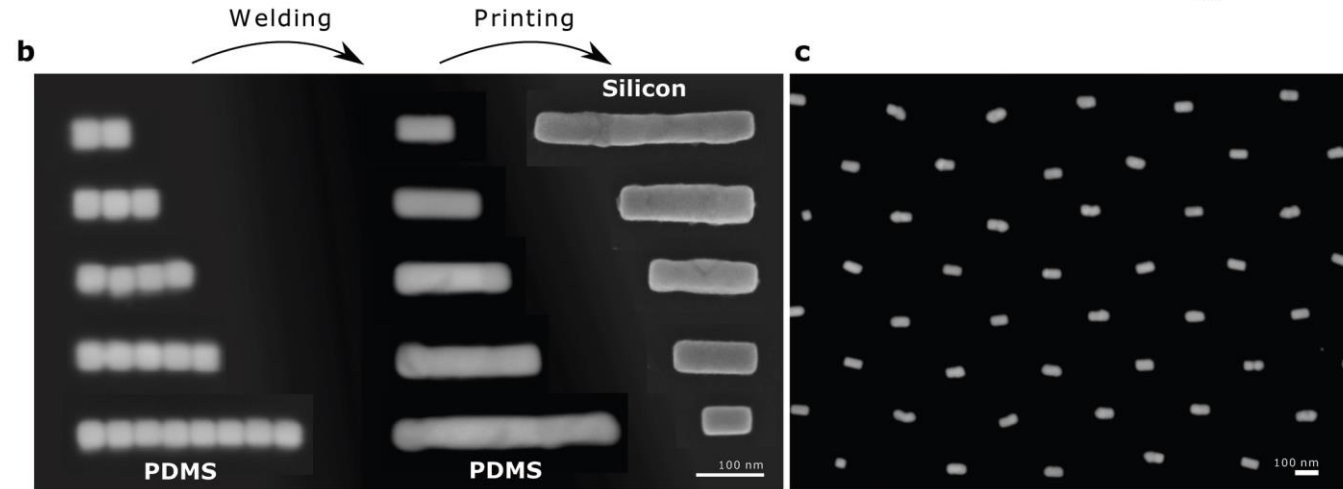
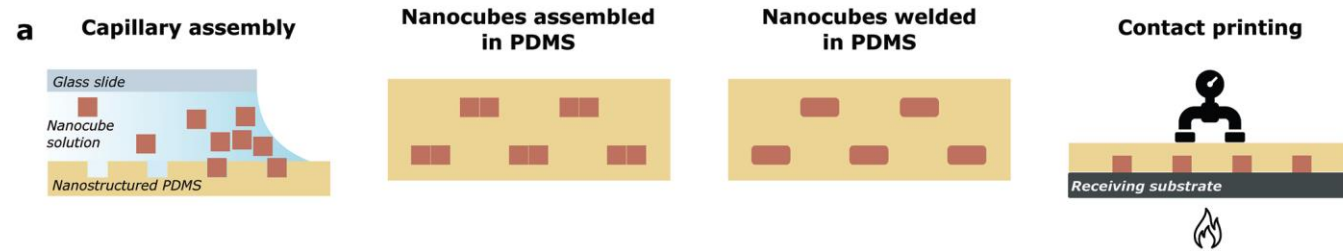


Flauraud *et al.*, *ACS Photon.* 4, 1661 (2017)

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# Monocrystals from nanocube epitaxy

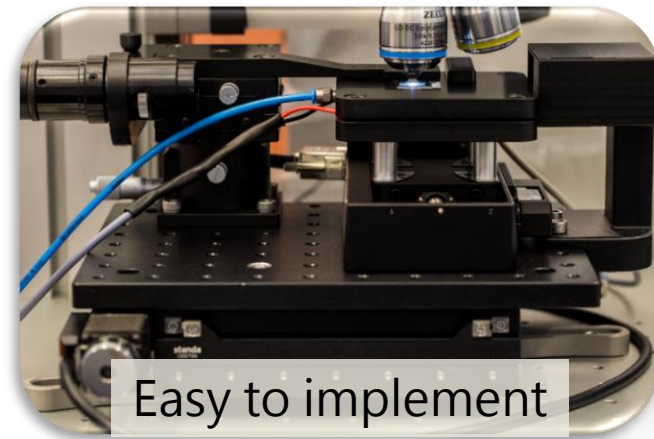


Capitaine & Sciacca, *Adv. Mater.* 34, 2200364 (2022)

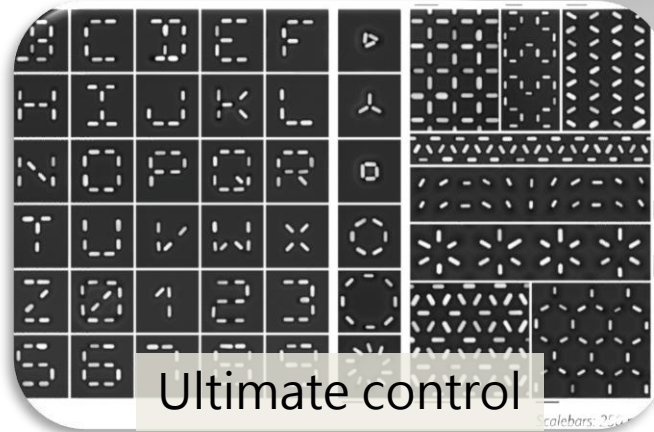
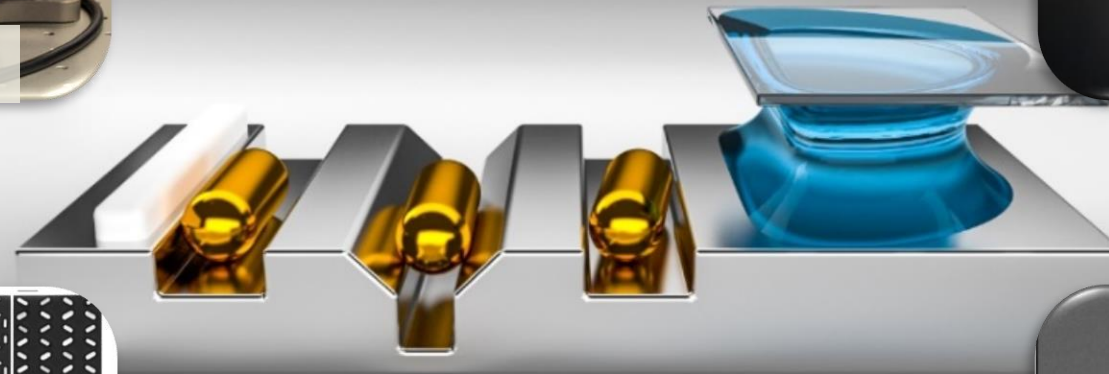
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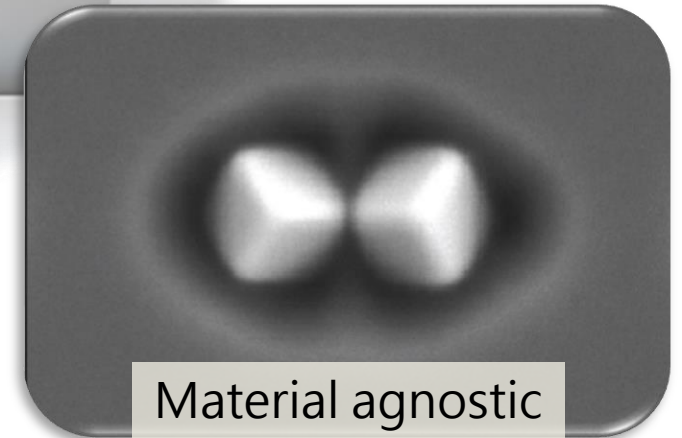
# Take home messages



Top-down patterning



Bottom-up assembly



## Conclusions