



# ME-446: Liquid-gas interfacial heat and mass transfer

## Boiling: Homogeneous Nucleation

Zhengmao Lu

Energy Transport Advances  
Laboratory

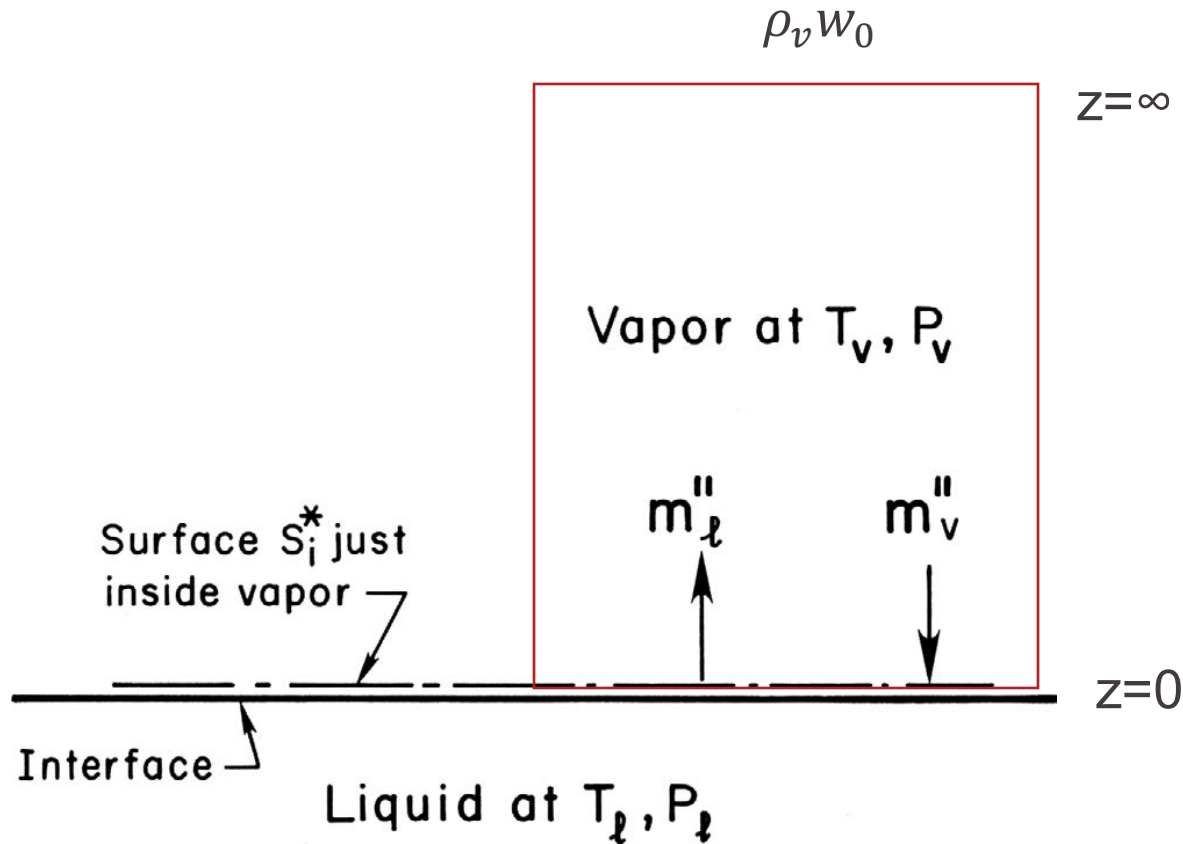
EPFL Mechanical Engineering

2025 Fall Semester

Photo Credit: Trougnouf

- Velocity distribution function
- Relationship between macroscopic properties and velocity distribution
- Evaporation kinetics (Schrage equation)

$$q''_{evap} = \left( \frac{2\hat{\sigma}}{2 - \hat{\sigma}} \right) h_{lv} (2\pi R)^{-\frac{1}{2}} \left( \frac{P_l}{\sqrt{T_l}} - \frac{P_v}{\sqrt{T_v}} \right) \approx \left( \frac{2\hat{\sigma}}{2 - \hat{\sigma}} \right) \frac{h_{lv}}{\sqrt{2\pi RT_l}} (P_l - P_v)$$



Mass balance

$$m''_l - m''_v = \rho_v w_0$$

$$\begin{aligned} m''_l &= \hat{\sigma} m''_e + (1 - \hat{\sigma}) m''_v \\ &= \hat{\sigma} \frac{P_l}{RT_l} \left( \frac{k_B T_l}{2\pi m} \right)^{\frac{1}{2}} + (1 - \hat{\sigma}) m''_v(w_0) \end{aligned}$$

$$\hat{\sigma} \frac{P_l}{RT_l} \left( \frac{k_B T_l}{2\pi m} \right)^{\frac{1}{2}} - \hat{\sigma} m''_v(w_0) = \rho_v w_0$$

$$m''_v(w_0) = \rho_v \int_{-\infty}^0 \left( \frac{m}{2\pi k_B T_v} \right)^{\frac{1}{2}} \exp\left( -\frac{m(w - w_0)^2}{2k_B T_v} \right) w dw$$

Solve for  $w_0$

$$q''_{evap} = \rho_v w_0 h_{lv}$$



cooking



(nuclear) power plant



immersion cooling

# Evaporation vs Boiling

Evaporation



Boiling



Bubble nucleation

# Intended Learning Objectives Today

- Analyze the free energy of vapor embryo (Thermodynamics)
  - Understand the derivation of bubble growth kinetics at small sizes
- 
- Reading materials: **Carey**, Chapter 5.2, 5.3

# Formation of a Vapor Embryo (Homogeneous Nucleation)

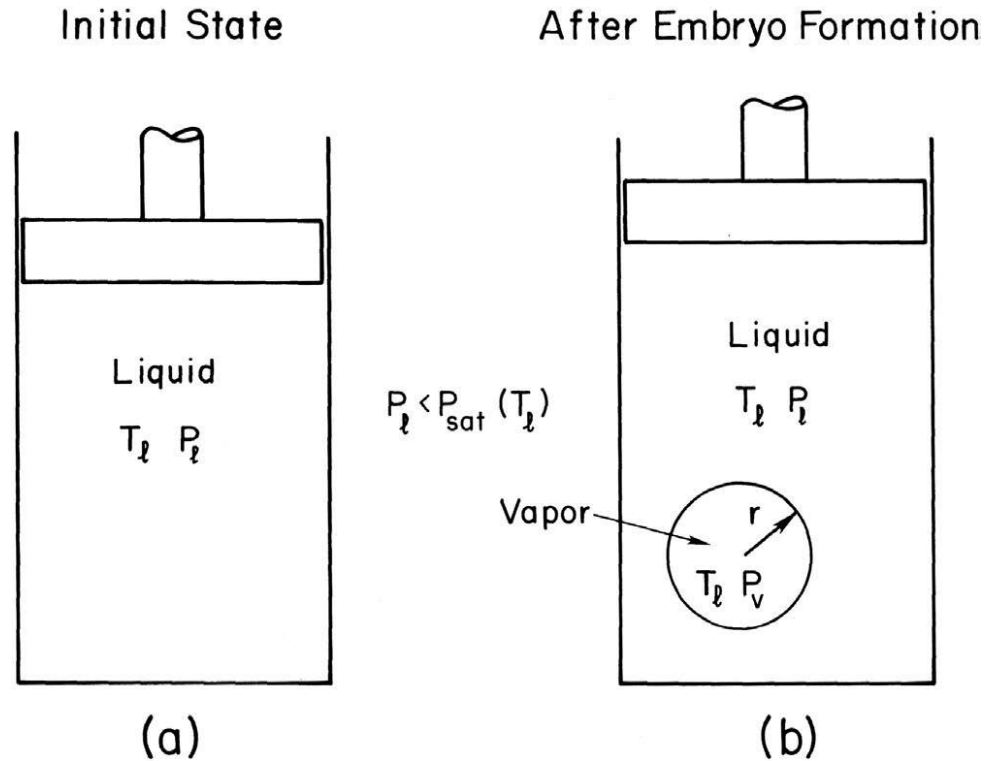


Figure 5.7 in Carey

Vapor bubble in bulk liquid at constant temperature and pressure

Gibbs free energy analysis

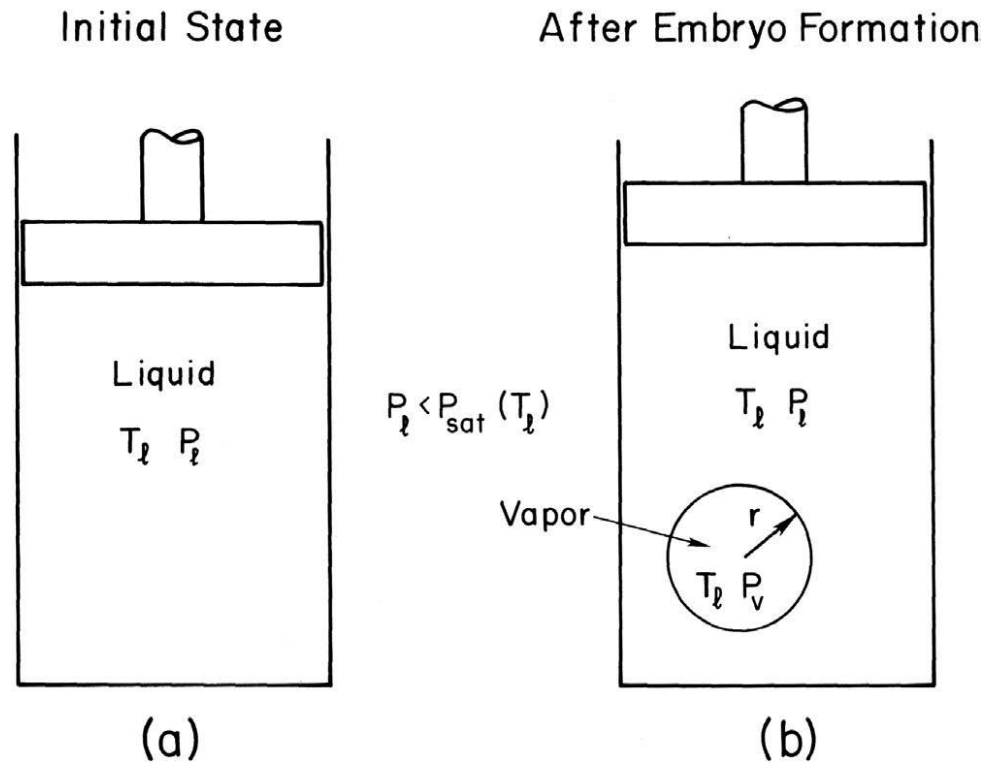
$$G = G_l + G_v + G_i$$

(b) – (a)

$$\Delta G_l = -\hat{N}_v \hat{g}_l$$

$$\Delta G_v = \hat{N}_v \hat{g}_v + (P_l - P_v)V_v$$

$$\Delta G_i = 4\pi r^2 \sigma_{lv}$$



$$\Delta G = \hat{N}_v(\hat{g}_v - \hat{g}_l) + (P_l - P_v)V_v + 4\pi r^2 \sigma_{lv}$$

Assuming the system reaches equilibrium when  $r = r_e$

$$\hat{g}_v = \hat{g}_l$$

$$P_v - P_l = 2\sigma_{lv}/r_e$$

$$\Delta G_e = \frac{4}{3}\pi r_e^2 \sigma_{lv}$$

# Gibbs Free Energy Change

$$\Delta G = \hat{N}_v(\hat{g}_v - \hat{g}_l) + (P_l - P_v)V_v + 4\pi r^2 \sigma_{lv}$$

Considering  $T_l$  and  $P_l$  as fixed values, assuming mechanical equilibrium is always satisfied

$$P_v = P_l + \frac{2\sigma_{lv}}{r} \text{ is a function of } r$$

With ideal gas law,  $\hat{N}_v = P_v V_v / k_B T_l$  is also a function of  $r$

$\Delta G$  can be considered as a function of  $r$ , to which we can apply Taylor expansion near  $r_e$

$$\begin{aligned} \frac{d\Delta G}{dr} &= \hat{N}_v \left( \frac{\partial \hat{g}_v}{\partial P_v} \right)_{T_l} \frac{dP_v}{dr} + \frac{d\hat{N}_v}{dr} (\hat{g}_v - \hat{g}_l) - \frac{dP_v}{dr} V_v + 4\pi r^2 (P_l - P_v) + 8\pi r \sigma_{lv} \\ &= \cancel{\hat{N}_v \hat{v}_v} \frac{dP_v}{dr} + \frac{d\hat{N}_v}{dr} (\hat{g}_v - \hat{g}_l) - \cancel{\frac{dP_v}{dr} V_v} + 4\pi r^2 \left( \frac{2\sigma_{lv}}{r} + P_l - P_v \right) \end{aligned}$$

$$\text{At } r = r_e, \frac{d\Delta G}{dr} = 0$$

# Gibbs Free Energy Change

$$\frac{d\Delta G}{dr} = \frac{d\hat{N}_v}{dr} (\hat{g}_v - \hat{g}_l) + 4\pi r^2 \left( \frac{2\sigma_{lv}}{r} + P_l - P_v \right)$$

$$\text{At } r = r_e, \quad \frac{d^2\Delta G}{dr^2} = -\frac{8\pi\sigma_{lv}}{3} \left( 2 + \frac{1}{1 + \frac{2\sigma_{lv}}{r_e P_l}} \right)$$

Homework

$$\Delta G = \Delta G_e - \frac{8\pi\sigma_{lv}}{3} \left( 2 + \frac{1}{1 + \frac{2\sigma_{lv}}{r_e P_l}} \right) (r - r_e)^2 + \dots$$

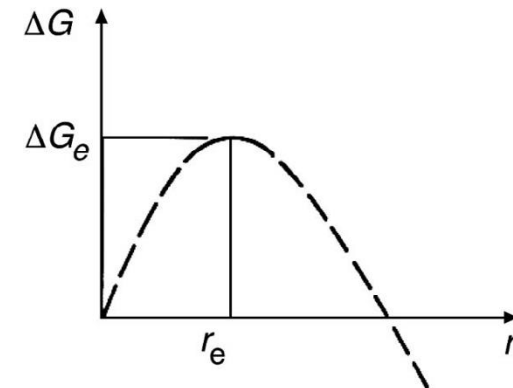


Figure 5.9 in Carey

# Gibbs Free Energy Change

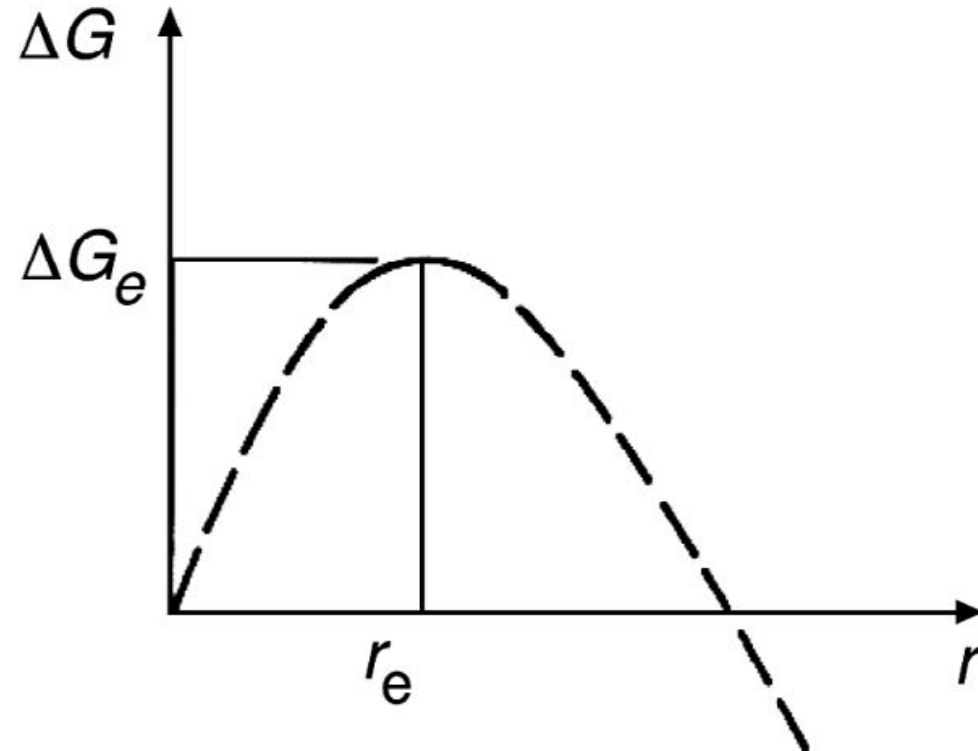


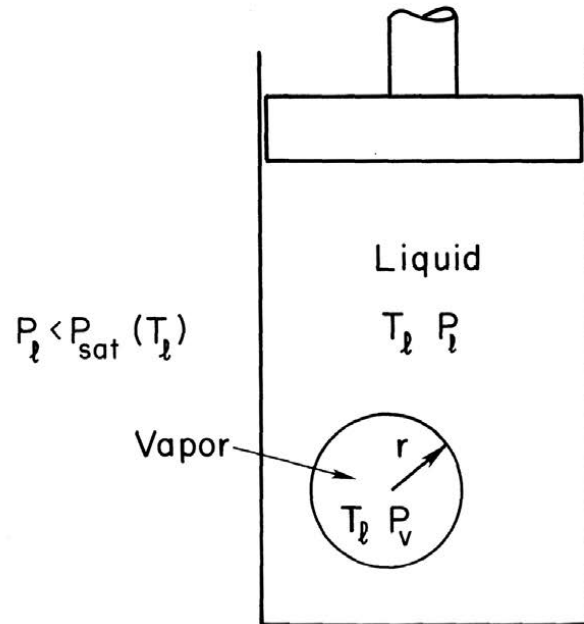
Figure 5.9 in Carey

Density fluctuation in superheated liquid produces bubbles of random  $r$

If  $r < r_e$ , the bubble collapses  
If  $r > r_e$ , the bubble grows

How do we determine  $r_e$

After Embryo Formation



$$g_{sat,l}(T_l, P_{sat}) = g_{sat,v}(T_l, P_{sat}) = g_{sat}$$

$$dg = v dP - S dT$$

$$g_v - g_{sat} = \int_{P_{sat}}^{P_v} v_v dP = \int_{P_{sat}}^{P_v} \frac{RT_l}{P} dP = RT_l \ln\left(\frac{P_v}{P_{sat}}\right)$$

$$g_l - g_{sat} = \int_{P_{sat}}^{P_l} v_l dP = v_l(P_l - P_{sat})$$

In equilibrium

$$g_v = g_l$$

$$\Rightarrow P_{ve} = P_{sat} \exp\left[\frac{v_l(P_l - P_{sat})}{RT_l}\right]$$

$$r_e = \frac{2\sigma}{P_{ve} - P_l} = \frac{2\sigma}{P_{sat} \exp\left[\frac{v_l(P_l - P_{sat})}{RT_l}\right] - P_l}$$

# Embryo Size Distribution

Consider the number of embryos consisting of  $n$  molecules per unit volume  $N_n$

Assume 
$$N_n = \rho_{N,l} \exp \left[ -\frac{\Delta G(r)}{k_B T_l} \right]$$

$\rho_{N,L}$  can be understood as the number of liquid molecules per unit volume ( $\Delta G = 0$  corresponds to the liquid phase)

For an embryo of size  $n$ , define  $j_{ne}$  as the evaporating molecular flux and  $j_{nc}$  as the condensing molecular flux [ $\text{m}^{-2}\text{s}^{-1}$ ]

For equilibrium distribution of  $N_n$  
$$N_n A_n j_{ne} = N_{n+1} A_{n+1} j_{(n+1)c}$$

$A_n$  and  $A_{n+1}$  are the interfacial areas of  $n$  and  $n+1$  molecule embryos, respectively

$$N_n A_n j_{ne} = N_{n+1} A_{n+1} j_{(n+1)c}$$

The rate at which  $n$  molecule embryos  $\rightarrow$   $n+1$  molecule embryos through evaporation is the same as  $n+1$  molecule embryos  $\rightarrow$   $n$  molecule embryos through condensation  
No net exchange between two size groups

In superheated liquid, equilibrium is not necessarily satisfied

Consider the excess rate of  $n$  molecule embryos  $\rightarrow$   $n+1$  molecule

$$J_n = N_n^* A_n j_{ne} - N_{n+1}^* A_{n+1} j_{(n+1)c} = N_n A_n j_{ne} \left( \frac{N_n^*}{N_n} \right) - N_{n+1} A_{n+1} j_{(n+1)c} \left( \frac{N_{n+1}^*}{N_{n+1}} \right)$$

$$J_n = N_n A_n j_{ne} \left( \frac{N_n^*}{N_n} - \frac{N_{n+1}^*}{N_{n+1}} \right) = -N_n A_n j_{ne} \frac{\partial \left( \frac{N^*}{N} \right)}{\partial n}$$

Treating  $n$  as a continuous variable

# Embryo Size Distribution

$$\frac{\partial N_n^*}{\partial t} = J_{n-1} - J_n$$

$$J_n = -N_n A_n j_{ne} \frac{\partial \left( \frac{N^*}{N} \right)}{\partial n}$$

Assuming a steady non-equilibrium condition  $\frac{\partial N_n^*}{\partial t} = 0$

$$\frac{\partial N_n^*}{\partial t} = 0 \Rightarrow J = \text{const}$$

Steady stream of embryos growing progressively in size

# Embryo Size Distribution

At equilibrium, when  $r > r_e$ , bubbles grow exponentially, so no embryos for  $r > r_e$

$$N^* \rightarrow 0 \text{ as } n \rightarrow n_e \quad n_e \hat{v}_v = \frac{4}{3} \pi r_e^3$$

For very small embryos, phase change barely occurs, so things are near-equilibrium

$$N^*/N \rightarrow 1 \text{ as } n \rightarrow 0$$

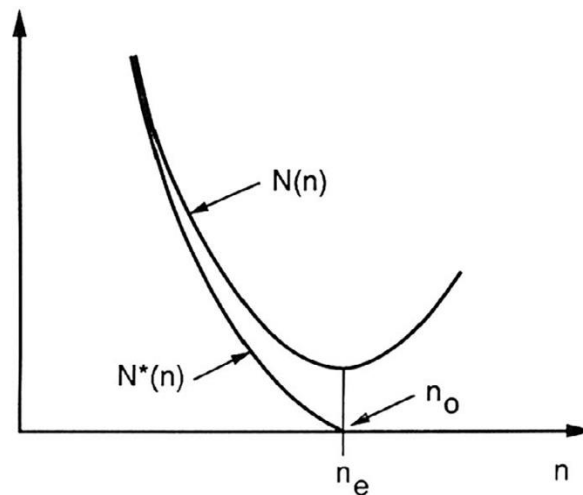


FIGURE 5.10 Carey

$$J = -N_n A_n j_{ne} \frac{\partial \left( \frac{N^*}{N} \right)}{\partial n}$$

$$\frac{\partial \left( \frac{N^*(n)}{N(n)} \right)}{\partial n} = -J [N(n) A(n) j_e(n)]^{-1}$$

$$\frac{N^*(n)}{N(n)} = -J \int_{n_e}^n [N(n') A(n') j_e(n')]^{-1} dn' \quad N^*/N \rightarrow 0 \text{ as } n \rightarrow n_e$$

$$J = \frac{N^*(n)}{N(n)} \left( \int_n^{n_e} [N(n') A(n') j_e(n')]^{-1} dn' \right)^{-1} \quad \text{True for any } n$$

$$J = \left( \int_0^{n_e} [N(n) A(n) j_e(n)]^{-1} dn \right)^{-1} \quad N^*/N \rightarrow 1 \text{ as } n \rightarrow 0$$

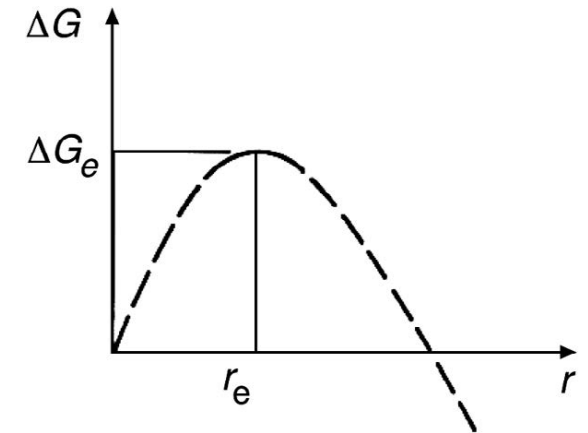
$$J = \left( \int_0^{n_e} [N(n)A(n)j_e(n)]^{-1} dn \right)^{-1}$$

$$N(n) = \rho_{N,l} \exp \left[ -\frac{\Delta G(r)}{k_B T_l} \right] \quad \text{has a sharp minimum at } r_e \text{ or } n_e$$

$[N(n)A(n)j_e(n)]^{-1}$  is only significantly greater than zero near  $n = n_e$

Therefore, we approximate  $j_e(n) = j_e(n_e) = \frac{P_{ve}}{\sqrt{2\pi m k_B T_l}}$  One-way M-B flux from last week

$$J \approx \frac{P_{ve}}{\sqrt{2\pi m k_B T_l}} \left( \int_0^{n_e} [N(n)A(n)]^{-1} dn \right)^{-1} \approx \frac{P_{ve}}{\sqrt{2\pi m k_B T_l}} \left( \int_0^{\infty} [N(n)A(n)]^{-1} dn \right)^{-1}$$



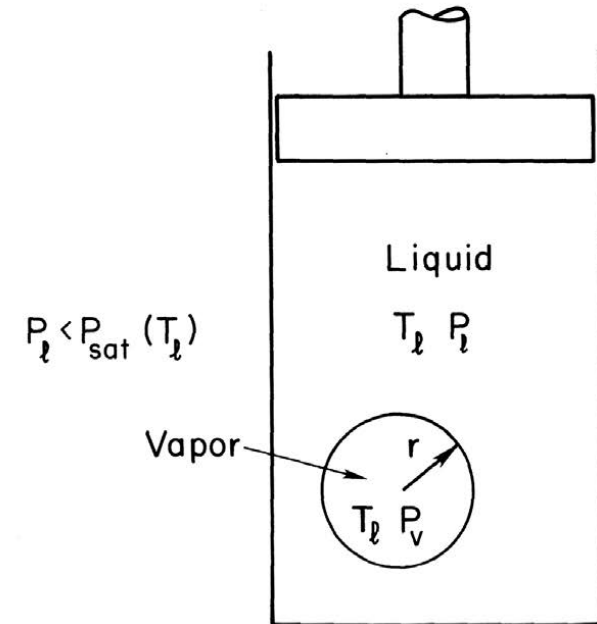
$$J \approx \frac{P_{ve}}{\sqrt{2\pi mk_B T_l}} \left( \int_0^\infty [N(n)A(n)]^{-1} dn \right)^{-1}$$

$$N(n) = \rho_{N,l} \exp \left[ -\frac{\Delta G(r)}{k_B T_l} \right] \quad A(n) = 4\pi r^2$$

$$nk_B T_l = \left( P_l + \frac{2\sigma_{lv}}{r} \right) \frac{4}{3} \pi r^3$$

$$J \approx \frac{3\rho_{N,l}}{2 - P_l/P_{ve}} \left( \frac{k_B T_l}{2\pi m} \right)^{1/2} \left( \int_0^\infty \exp \left[ \frac{\Delta G(r)}{k_B T_l} \right] dr \right)^{-1}$$

After Embryo Formation



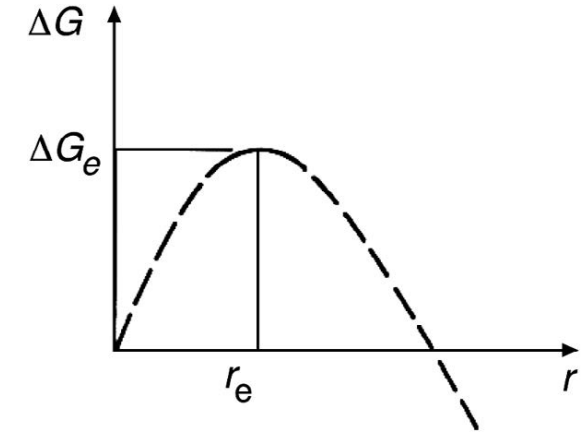
# Embryo Size Distribution

$$J \approx \frac{3\rho_{N,l}}{2 - P_l/P_{ve}} \left( \frac{k_B T_l}{2\pi m} \right)^{1/2} \left( \int_0^\infty \exp \left[ \frac{\Delta G(r)}{k_B T_l} \right] dr \right)^{-1}$$

$$\Delta G \approx \Delta G_e - \left( \frac{4\pi\sigma_{lv}}{3} \right) \left( 2 + \frac{P_l}{P_{ve}} \right) (r - r_e)^2$$

$$J = \rho_{N,l} \left[ \frac{6\sigma_{lv}}{\pi m \left( 2 - \frac{P_l}{P_{ve}} \right)} \right]^{1/2} \exp \left( -\frac{4\pi r_e^2 \sigma_{lv}}{3k_B T_l} \right) \approx \rho_{N,l} \left[ \frac{3\sigma_{lv}}{\pi m} \right]^{1/2} \exp \left( -\frac{4\pi r_e^2 \sigma_{lv}}{3k_B T_l} \right)$$

$$P_{ve} = P_{sat} \exp \left[ \frac{v_l(P_l - P_{sat})}{RT_l} \right] \quad r_e = \frac{2\sigma_{lv}}{P_{ve} - P_l}$$



# Physical Meaning of $J$

$J$  represents the rate at which embryo bubbles grow from  $n$  to  $n + 1$  molecules per unit volume [ $\text{m}^{-3}\text{s}^{-1}$ ]

This includes the rate at which bubbles of the critical size are generated

Higher  $J$  implies higher probability of nucleation

(Homogeneous case)

$$J = \rho_{N,l} \left[ \frac{6\sigma_{lv}}{\pi m \left( 2 - \frac{P_l}{P_{ve}} \right)} \right]^{1/2} \exp \left( - \frac{4\pi r_e^2 \sigma_{lv}}{3k_B T_l} \right)$$

increases sharply with temperature

There exists narrow range of temperature below which nucleation does not occur, and above which it occurs almost immediately.

$$10^{12} \text{ m}^{-3} \text{ s}^{-1} = 10^{-6} \mu\text{m}^{-3} \text{ s}^{-1}$$

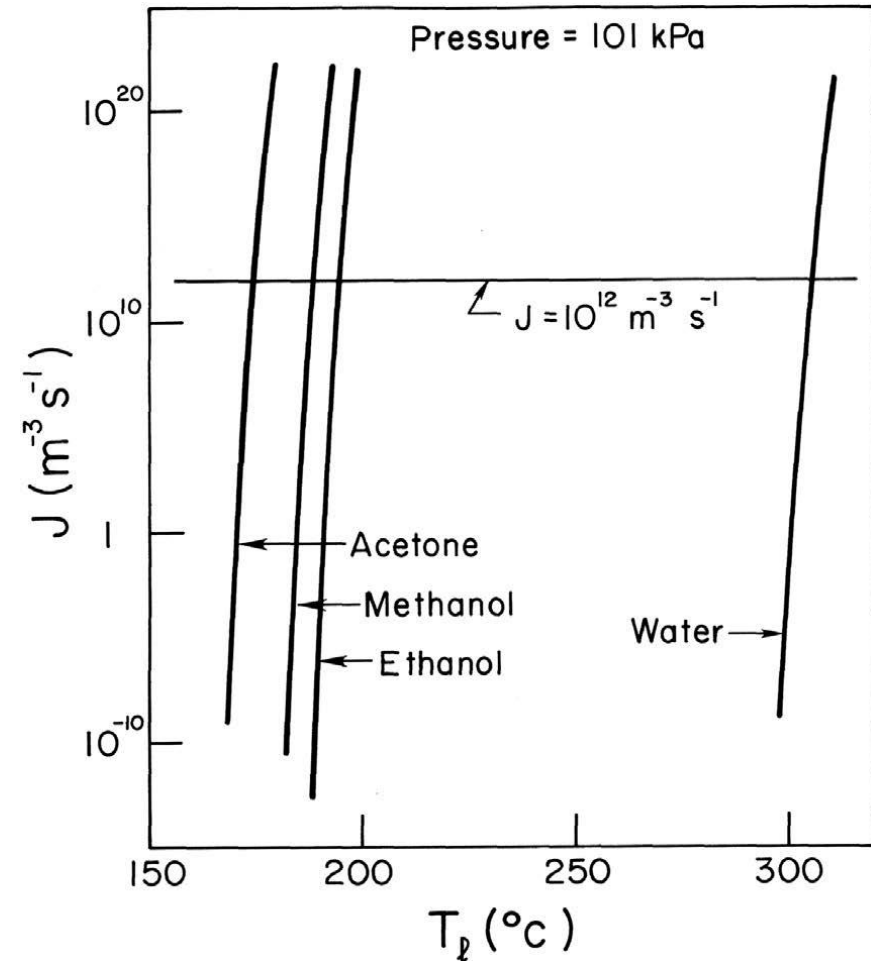


FIGURE 5.12, Carey

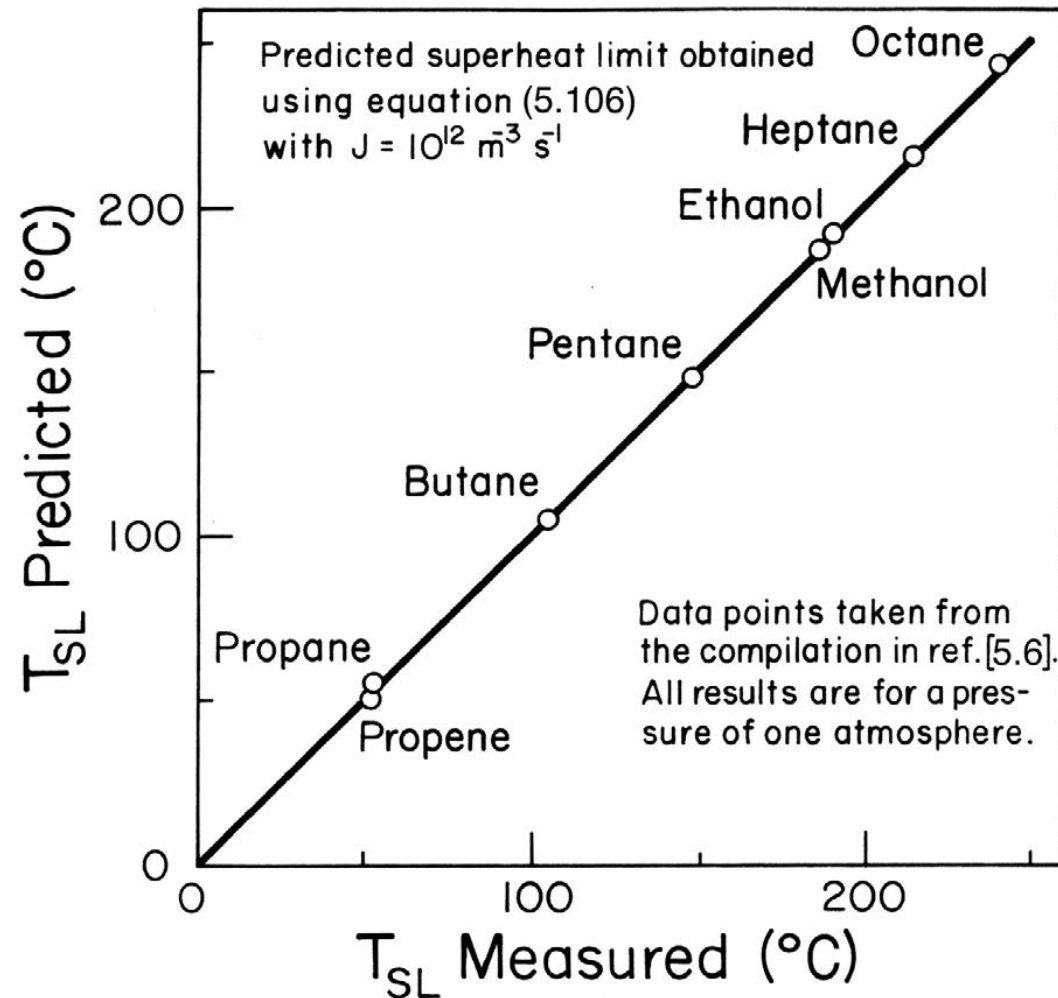


FIGURE 5.13

Great agreement was found for low surface tension liquids

For water, the predicted superheat limit is about 300 °C while the measured one is 250-280 °C

When homogeneous nucleation does occur, vapor is generated at an extremely rapid rate

# Intended Learning Objectives Today

- Analyze the free energy of vapor embryo (Thermodynamics)
- Understand the derivation of bubble growth kinetics at small sizes
  - Reading materials: **Carey**, Chapter 5.2, 5.3