

## Solutions – week 6

See the *blow-up document on Moodle for detailed solutions of exercise 1 and 2.*

**Exercise 1.** *Blow-ups.* Let  $R$  be a ring and  $I \subset R$  an ideal. We define the blow-up of  $\text{Spec}(R)$  at  $V(I)$  to be the map ( $I^0 = R$ )

$$b: \text{Bl}_I = \text{Proj}\left(\bigoplus_{n \geq 0} I^n\right) \rightarrow \text{Spec}(R).$$

The *exceptional divisor* of the blow-up is the closed subscheme of  $\text{Proj}\left(\bigoplus_{n \geq 0} I^n\right)$

$$E = V_+\left(\bigoplus_{n \geq 0} I^{n+1}\right).$$

- (1) Show that  $b$  defines an isomorphism of schemes

$$b: \text{Bl}_I \setminus E \rightarrow \text{Spec}(R) \setminus V(I).$$

- (2) Let  $A$  be a ring,  $R = A[x_0, \dots, x_n]$  and  $I = (x_0, \dots, x_n)$ . Show that  $E \cong \mathbb{P}_A^n$ .

**Remark.** Let us introduce a bit of intuition. Points (1) and (2) subsume the key philosophy of blow-ups. First of all a blow-up is a map which is an isomorphism outside of a the fiber closed subscheme  $V(I)$ . We will see later that in nice cases  $I/I^2$  is to be interpreted as the *conormal bundle* of  $V(I)$  in  $\text{Spec}(R)$  (*i.e.* tangent vectors going out of  $V(I)$ ). Therefore  $E$  can be interpreted as the projective space of the vector space of directions outside of  $V(I)$ . Meaning that for each direction going outside of  $V(I)$ , there is a corresponding point in  $E$ . For example, in the actual computation above, the exceptional divisor  $E$  is the space of lines through the origin in  $\mathbb{A}^{n+1}$ , *i.e.*  $\mathbb{P}^n$ .

- (3) *Standard blow-up charts.* Consider the same setting as in the last item. Show that  $\text{Bl}_I$  can be identified as the scheme

$$V_+(x_i Y_j - x_j Y_i)$$

inside  $\mathbb{A}_A^{n+1} \times \mathbb{P}_A^n = \text{Proj}(A[x_0, \dots, x_n] \otimes_A A[Y_0, \dots, Y_n])$  where the grading is taken to be the  $Y$ -grading.

**Remark.** See here for a representation of the blow-up at  $(x_0, x_1)$  of  $\mathbb{A}^2$  using the standard charts. The projection to the  $x, y$ -plane is a bijection outside of the pre-image of the origin which is a line.

**Exercise 2.** *Strict transforms.* Let  $R$  be a ring. Let  $I$  be an ideal and  $b: \text{Bl}_I \rightarrow \text{Spec}(R)$  the blow-up at the ideal  $I$ . Let  $J \subset R$  be another ideal. We define the *strict transform of  $V(J)$*  to be the blow-up of  $V((I + J)/J)$  in  $\text{Spec}(R/J)$ .

- (1) Show that  $\text{St}_J$  can be identified with be the closed subscheme of  $\text{Bl}_I$

$$V_+ \left( \bigoplus_n I^n \cap J \right).$$

- (2) Show that  $b$  induces an isomorphism

$$b: \text{St}_J \setminus E \rightarrow V(J) \setminus V(I).$$

- (3) *Resolving a singularity.* Let  $k$  be a field. Compute the strict transform with  $R = k[x_0, x_1]$ , the ideal  $I = (x_0, x_1)$  and  $J = (x_1^2 - (x_0^3 + x_0^2))$ . Use the standard blow-up charts. Show that this strict transform is regular.

**Remarks.** The equation of the last item is the equation of a *nodal curve* which is a type of singular curve. See here for a representation. The tangent space at the origin has dimension 2, which is why the curve is not regular. Since the blow-up at a point replaces a point by “directions out of the point”, it is no surprise that blowing up a node at its nodal point removes the singularity.

**Exercise 3.** *Examples and computations of blow-ups.* Let  $k$  be an algebraically closed field. You can use the following.

Let  $A = k[x_1, \dots, x_n]/(f)$ . Denote by  $\partial_i f$  the derivative of  $f$  with respect to  $x_i$ . Then

$$\text{Spec}(A) \text{ is regular} \iff V(f, \partial_1 f, \dots, \partial_n f) = \emptyset.$$

Moreover  $V(f, \partial_1 f, \dots, \partial_n f)$  consists exactly of the non-regular points of  $\text{Spec}(A)$ .

- (0) Let  $R$  be a ring. Show that if  $I = (f_0, \dots, f_n)$  is generated by a regular sequence then  $\text{Bl}_I = V_+(X_i f_j - X_j f_i)$  in  $\mathbb{P}_R^n = \mathbb{P}_{\mathbb{Z}}^n \times \text{Spec}(R)$ . (Use the lemmas in the blow-ups document from moodle)
- (1) Show that blow-up of  $(x^2, y)$  in  $\text{Spec}(k[x, y])$  is not regular. What are the regular points?<sup>1</sup>
- (2) Show that  $X = \text{Spec}(k[x, y, z, w]/(xy - zw))$  is not regular. What are the regular points?
- (3) Show that blow-ups of  $X$  at  $(x, y, z, w)$  and  $(x, z)$  are regular. We denote these blow-ups by  $X_1 \rightarrow X$  and  $X_2 \rightarrow X$ .  
*Remark.* This is another example where blow-ups resolves (=removes) singularities.
- (4) Compute fibers of  $(x, y, z, w)$  of  $X_1 \rightarrow X$  and  $X_2 \rightarrow X$ .

*Solution key.* This was an hand-in exercise a previous year so solutions are credited to students who wrote them.

(1)(Joel) Let  $A = k[x, y]$ ,  $I = (x^2, y^2)$  and  $R = A/I$ . Consider the map  $\phi : R[Z, W] \rightarrow \bigoplus_{n \geq 0} I^n$  which sends  $Z \rightarrow x^2$  and  $W \rightarrow y^2$  in degree one. Then  $\ker \phi = (Zy - Wx)$ , so  $\text{Bl} \cong \text{Proj } R[Z, W]/(Zy^2 - Wx^2)$ . Next, we

<sup>1</sup>This investigation can be used to show that this blow-up is normal.

show that the blow-up is not normal. Consider the affine chart  $U_W$  where  $W \neq 0$ , which is given by  $\text{Spec } k[x, y, z]/(zy^2 - x^2) =: \text{Spec } A$ , where  $z = \frac{z}{W}$ . Then  $\frac{zy}{x} \in \text{Frac}(A)$ , and  $(\frac{zy}{x})^2 = \frac{z \cdot zy^2}{x^2} = \frac{zx^2}{x^2} = z$ . Hence,  $\frac{zy}{x}$  is a root of the monic polynomial  $P(t) = t^2 - z$  with coefficients in  $A$ . Now  $\frac{zy}{x} \notin A_{((x,y))}$ , as  $x$  is not inverted in the localization, and the field of fractions of  $A_{((x,y))}$  is the same as for  $A$ , we see that the blow-up is not normal, therefore, not regular.

**(2)(Julie)** Let  $g = xy - zw \in k[x, y, z, w]$ . By the criterion provided in the statement of the exercise, the set of non-regular points in

$$\text{Spec} \left( \frac{k[x, y, z, w]}{(xy - zw)} \right)$$

is given by

$$V(g, \partial_x g, \partial_y g, \partial_z g, \partial_w g) = V(xy - zw, y, x, -w, -z) = V(x, y, z, w) = \{(x, y, z, w)\},$$

where the last equality holds by maximality of  $(x, y, z, w)$  in  $k[x, y, z, w]$ . Hence, all points of  $X$  are regular except for  $(x, y, z, w)$  (corresponding to the origin in  $V(xy - zw) \subseteq \mathbb{A}_k^4$ ).

**(3)(Maxence)** Consider  $R = k[x, y, z, w]$ . Let  $I = (x, y, z, w)$ ,  $I' = (x, z)$  and  $J = (xy - zw)$ . We consider the strict transform  $\text{St}_J$  (resp.  $\text{St}'_J$ ) of  $V(J) = X$  at  $I$  (resp.  $I'$ ) in  $\mathbb{A}_k^4$ . We denote these schemes as respectively  $X_1$  and  $X_2$ . We know that  $X_1$  (resp.  $X_2$ ) is the closed subscheme  $V_+(\bigoplus_n I^n \cap J)$  of  $\text{Bl}_I$  (resp. the closed subscheme  $V_+(\bigoplus_n I'^n \cap J)$  of  $\text{Bl}_{I'}$ ).

Notice that  $\text{Bl}_I = \text{Proj}(R[X, Y, Z, W]/\tilde{I})$  and  $\text{Bl}_{I'} = \text{Proj}(R[X, Z]/\tilde{I}')$  where

$$\tilde{I} = (yX - xY, zX - xZ, wX - xW, yZ - zY, yW - wY, zW - wZ) \text{ and } \tilde{I}' = (zX - xZ).$$

So, the preimage of the ideal  $\bigoplus_n I^n \cap J$  by the natural surjection is given by the ideal  $K = \tilde{I} + (xy - zw, xY - zW, XY - ZW)$ . Indeed, it must be generated in  $R[X, Y, Z, W]$  by homogeneous polynomials with degree less or equal to 2 with respect to the variables  $X, Y, Z, W$  whose image is sent to the generator of  $J$  which has degree 2. These generators are enough since every elements  $f$  in  $I^n$  has monomials of at least degree  $n$  and if  $f \in J$ , then  $f = g \cdot (xy - zw)$ . Since  $xy - zw$  is of degree 2, the polynomial  $g$  must be of degree  $n - 2$ , hence  $g \in I^{n-2}$ . So for every element in  $I^n \cap J$  with  $n \geq 3$  can be reach using generators of  $K$ . In the same way the preimage of  $\bigoplus_n I'^n \cap J$  by the natural surjection is the ideal  $K' = \tilde{I}' + (xy - zw, yX - wZ)$ .

That is,

$$X_1 = \text{Proj}(R[X, Y, Z, W]/K) \text{ and } X_2 = \text{Proj}(R[X, Z]/K').$$

For  $X_1$  on  $D_+(X)$ , we have  $\mathcal{O}_{X_1}(D_+(X)) = k[x, s_1, s_2, s_3]/(s_1 - s_2s_3)$  by simplifying the equations of  $K$ . And by the criterion, the affine open subset  $D_+(X)$  of  $X_1$  is regular. The same result holds for  $D_+(Y), D_+(Z)$  and  $D_+(W)$  by symetry of the variables. Hence  $X_1$  is regular.

For  $X_2$  on  $D_+(X)$ , we have  $\mathcal{O}_{X_2}(D_+(X)) = k[x, w, s]$  by simplifying equations of  $K'$ , and so  $D_+(X) = \mathbb{A}_k^3$  which is regular. The same result holds for  $D_+(Z)$  by symetry. Hence  $X_2$  is regular.

(4)(Maxence) We want to compute the fiber of  $f_1 : X_1 \rightarrow X$  and  $f_2 : X_2 \rightarrow X$  over  $(x, y, z, w)$ .

First, the residue field of  $(x, y, z, w) \in X$  is simply  $k$  by exactness of localization, so for  $i = 1, 2$ , we need to compute the fibred product  $X_i \times_X \text{Spec}(k)$ . Hence, if we denote  $A = k[x, y, z, w](xy - zw)$  we have

$$X_1 \times_X \text{Spec}(k) = \text{Proj} \left( \frac{A[X, Y, Z, W]}{K} \otimes_A k \right) \text{ and } X_2 \times_X \text{Spec}(k) = \text{Proj} \left( \frac{A[X, Z]'}{K} \otimes_A k \right).$$

Looking at these tensor products, by using  $A$ -linearity all relations given by  $K$  vanish except  $XY - ZW = 0$  in the residue field of  $(x, y, z, w)$  by its definition. The same holds for  $K'$  but here all its relations vanish.

It yields that

$$X_1 \times_X \text{Spec}(k) = \text{Proj}(k[X, Y, Z, W]/(XY - ZW)) = \mathbb{P}_k^1 \times_{\text{Spec}(k)} \mathbb{P}_k^1$$

and

$$X_2 \times_X \text{Spec}(k) = \text{Proj}(k[X, Z]) = \mathbb{P}_k^1.$$

□

**Exercise 4.** *Introduction to derivations.* Let  $R$  be a ring and  $R \rightarrow A$  an  $R$ -algebra. Let  $M$  be an  $A$ -module.

**Definition.** An  $R$ -linear derivation is a map of  $A$ -modules

$$d: A \rightarrow M$$

such that for all  $f, g \in A$

$$d(fg) = fd(g) + gd(f) \quad (\text{Leibniz rule})$$

We denote this set by  $\text{Der}_R(A, M)$ .

- (1) Show that  $d(r) = 0$  for any  $r \in R$ .
- (2) Consider  $A \oplus M$  as a ring with multiplication

$$(a, m)(a', m') = (aa', am' + a'm)$$

Denote this ring by  $A \oplus_0 M$ . Show that there is a one to one correspondence between  $\text{Der}_R(A, M)$  and morphisms of  $R$ -algebras morphisms

$$A \rightarrow A \oplus_0 M$$

that are sections of the projection  $A \oplus_0 M \rightarrow A$ . Why these maps are all uninteresting if we forget about nilpotents?

- (3) Show that  $A[\epsilon] := A[t]/t^2$  is isomorphic to  $A \oplus_0 A$ .
- (4) Let  $X \rightarrow \text{Spec}(k)$  a scheme over a field  $k$ . Let  $x : \text{Spec}(k) \rightarrow X$  be a  $k$ -rational point. We see  $k(x)$  as an  $\mathcal{O}_{X,x}$ -algebra by the quotient map. Show that there are identifications

$$\text{Der}_k(\mathcal{O}_{X,x}, k(x)) \cong \text{Vect}_k(\mathfrak{m}_x/\mathfrak{m}_x^2, k(x)) \cong \text{Sch}_{k,x}(\text{Spec}(k[\epsilon], X))$$

where  $\text{Sch}_{k,x}(\text{Spec}(k[\epsilon], X))$  denotes  $k$ -schemes maps<sup>2</sup> that sends the point of  $\text{Spec}(k[\epsilon])$  to  $x$

- Solution Key.* (1) We have  $d(1) = d(1^2) = 2d(1)$  implying that  $d(1) = 0$ . Now using  $R$ -linearity  $d(r) = rd(1) = 0$  for any  $r \in R$ .
- (2-3) Inspection from the definition of the law. Note that  $0 \oplus M$  is a square zero ideal. So all maps corresponding to derivations by the correspondence are identified when taking the reduction (are all  $A_{red} \rightarrow A_{red}$ )
- (4) First we note that as  $x$  is a  $k$ -rational point we have a  $k$ -algebra section of the surjection  $\mathcal{O}_{X,x} \rightarrow k(x)$ . Using this, we may write

$$\mathcal{O}_{X,x} = k(x) \oplus \mathfrak{m}_x$$

as a direct sum of  $k$ -vector spaces. Note that a  $k$ -derivation  $d: \mathcal{O}_{X,x} \rightarrow k(x)$  will have to send the first component to zero by the first point of the exercise. Note also that if  $f, g \in \mathfrak{m}_x$  then  $d(fg) = f(x)d(g) + g(x)d(f) = 0$ , because we have  $f(x) = g(x) = 0$ . Therefore, any derivation necessarily factors through  $\mathfrak{m}_x/\mathfrak{m}_x^2$ . It is therefore also sufficient for a  $k$ -derivation  $\mathcal{O}_{X,x} \rightarrow k(x)$  to define a  $k$ -linear map  $\mathfrak{m}_x/\mathfrak{m}_x^2 \rightarrow k(x)$ . This shows the first isomorphism.

For the last one, note that a  $k$ -scheme morphism from  $\text{Spec}(k[\epsilon])$  to  $X$  sending the point to  $x$  is equivalent to the data of a local  $k$ -algebra map  $\mathcal{O}_{X,x} \rightarrow k[\epsilon]$ . Projecting to  $k\epsilon$  and then using the identification  $k \cong k(x)$ , it defines a derivation  $\mathcal{O}_{X,x} \rightarrow k(x)$ . The other way around, given  $d: \mathcal{O}_{X,x} \rightarrow k(x)$ , we define a  $k$ -algebra map  $\mathcal{O}_{X,x} \rightarrow k[\epsilon]$  by  $(\text{ev}_x, d)$ .

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<sup>2</sup>meaning that the composition  $\text{Spec}(k[\epsilon]) \rightarrow X \rightarrow \text{Spec}(k)$  is the one associated to  $\text{Spec}(k[\epsilon]) \rightarrow \text{Spec}(k)$  being the inclusion.