

## Gluing arguments.

### GLUING

By *gluing* we mean the process of pasting a collection of data that are identified on open subsets. In what follows  $I$  means an indexing set and  $i, j, k$  denotes generic elements of this set.

The following is a purely topological argument.

**Lemma.** *Let  $(U_i)$  be a collection of topological spaces with  $U_{ij}$  being an open set of  $U_i$  for each  $i$ , with  $U_{ii} = U_i$ . Suppose furthermore that we have isomorphisms  $\varphi_{ji}: U_{ij} \rightarrow U_{ji}$  satisfying the cocycle condition: for all  $i, j, k$  we have*

$$\varphi_{kj} \circ \varphi_{ji} = \varphi_{ki}.$$

*Then there exists a topological space  $X$  with open embeddings  $\psi_i: U_i \rightarrow X$  such that*

- (1)  $\bigcup_i \psi_i(U_i) = X$
- (2)  $\psi_i(U_{ij}) = \psi_j(U_{ji}) = \psi_i(U_i) \cap \psi_j(U_j)$
- (3)  $\psi_i \varphi_{ij} = \psi_j$ .

*This topological space is unique up to unique isomorphism because it satisfies the following universal property. A map from  $f: X \rightarrow Y$  is the same as a collection of maps from  $f_i: U_i \rightarrow Y$  such that  $f_i \varphi_{ij} = f_j$ .*

*Proof.* We take the the quotient of

$$\bigsqcup U_i$$

using the equivalence relation given by  $x_i \in U_i$  is the same as  $x_j \in U_j$  if and only if  $x_i \in U_{ij}$  and  $\varphi_{ji}(x_i) = x_j$ . This is an equivalence relation due to the cocycle condition. Denote by  $\psi_i: U_i \rightarrow X$  the natural maps. Conditions (1)-(2)-(3) now hold by construction, and  $\psi_i$  is injective.

We endow the quotient with the following topology: a set  $V$  is open if and only if  $\psi_i^{-1}(V)$  is open in  $U_i$  for all  $i$ . Therefore we see that  $\psi_i$  is an open embedding, indeed  $\psi_j^{-1} \psi_i(U_i) = \varphi_{ji}(U_{ij})$  is open in  $U_j$ .  $\square$

Now we can extend the gluing argument to locally ringed spaces.

**Lemma.** *Let  $(U_i, \mathcal{O}_{U_i})$  be a collection of locally ringed spaces with  $U_{ij}$  being an open set of  $U_i$  for each  $i$ , with  $U_{ii} = U_i$ . Suppose furthermore that we have isomorphisms of locally ringed spaces  $\varphi_{ji}: (U_{ij}, \mathcal{O}_{U_i|U_{ij}}) \rightarrow (U_{ji}, \mathcal{O}_{U_j|U_{ji}})$  satisfying the cocycle condition: for all  $i, j, k$  we have*

$$\varphi_{kj} \circ \varphi_{ji} = \varphi_{ki}.$$

*Then there exists a ringed space  $(X, \mathcal{O}_X)$  with open embeddings  $\psi_i: U_i \rightarrow X$  such that*

- (1)  $\bigcup_i \psi_i(U_i) = X$
- (2)  $\psi_i(U_{ij}) = \psi_j(U_{ji}) = \psi_i(U_i) \cap \psi_j(U_j)$
- (3)  $\psi_i \varphi_{ij} = \psi_j$ .

This locally ringed space is unique up to unique isomorphism because it satisfies the following universal property. A map from  $f: (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  of locally ringed spaces is the same as a collection of maps from  $f_i: (U_i, \mathcal{O}_{U_i}) \rightarrow (Y, \mathcal{O}_Y)$  such that  $f_i \varphi_{ij} = f_j$ .

*Proof.* Let  $X$  be the topological space constructed in the last lemma. Namely a map  $f: X \rightarrow Y$  is the same as a collection of maps  $f_i: U_i \rightarrow Y$  with  $f_i \varphi_{ij} = f_j$ . The bijection is given by  $f \mapsto f \circ \psi_i$ . Note that in the data we have isomorphisms in  $\text{Sh}(U_{ij})$

$$\varphi_{ij}^\sharp: \mathcal{O}_{U_i|U_{ij}} \rightarrow \varphi_{ij*} \mathcal{O}_{U_j|U_{ij}}.$$

If we apply  $\psi_{i*}$  we get isomorphisms in  $\text{Sh}(\psi_i(U_{ij})) = \text{Sh}(\psi_j(U_{ji}))$

$$\psi_{i*}(\varphi_{ij}^\sharp): \psi_{i*} \mathcal{O}_{U_i|U_{ij}} \rightarrow \psi_{i*} \varphi_{ij*} \mathcal{O}_{U_j|U_{ij}} = \psi_{j*} \mathcal{O}_{U_j|U_{ji}}.$$

Denote this isomorphisms by  $\sigma_{ij}$ . These isomorphisms will satisfy the cocycle condition.

Now  $(\psi_{i*} \mathcal{O}_{U_i})$  together with  $(\sigma_{ij})$  is a collection of sheaves on the opens  $\psi_i(U_i)$  of  $X$  and isomorphisms as in the *gluing sheaves exercise*. Therefore, let  $\mathcal{O}_X$  be the sheaf of rings on  $X$  which is the gluing of the preceding data. As for the universal property, on the topological side it follows from the last lemma. On the sheaves side, a map

$$f^\flat: f^* \mathcal{O}_Y \rightarrow \mathcal{O}_X$$

is the same by universal property of  $\mathcal{O}_X$  as a gluing (see the exercise about gluing sheaves) as a collection of maps

$$f_i^\flat: f^* \mathcal{O}_Y \rightarrow \psi_{i*} \mathcal{O}_{U_i}$$

with  $\sigma_{ij} f_i^\flat = f_j^\flat$ . But by adjunction this is the same as a collection of maps

$$f_i^* \mathcal{O}_Y = (f \circ \psi_i)^* \mathcal{O}_Y \rightarrow \mathcal{O}_{U_i}$$

compatible with  $\varphi_{ij}$ 's which is what is in the sheaf data of a ringed spaces map  $f_i: (U_i, \mathcal{O}_{U_i}) \rightarrow (Y, \mathcal{O}_Y)$  such that  $f_i \varphi_{ij} = f_j$ .  $\square$

**Remark.** If each  $(U_i, \mathcal{O}_{U_i})$  is a scheme, then  $(X, \mathcal{O}_X)$  is a scheme.

**Remark.** Any scheme is a gluing of affine schemes. Namely, by hypothesis a scheme  $(X, \mathcal{O}_X)$  is a locally ringed space such that there are open subsets  $U_i$  with isomorphisms

$$\varphi_i: (U_i, \mathcal{O}_{U_i}) \rightarrow \text{Spec}(A_i).$$

Therefore  $(X, \mathcal{O}_X)$  is the gluing of  $\text{Spec}(A_i)$  with cocycles  $\varphi_{ij} = \varphi_i \varphi_j^{-1}$ . In particular to define a map  $f: X \rightarrow Y$  to another scheme  $Y$  is the same as defining a collection of maps from  $f_i: \text{Spec}(A_i) \rightarrow Y$  which  $f_i \varphi_{ij} = f_j$ .

## COVERING BY AFFINE SCHEMES

In a scheme  $(X, \mathcal{O}_X)$  the intersection of two affine opens need not to be affine. However the following lemma holds.

**Lemma.** *Let  $U$  and  $V$  two open affines of a scheme  $X$ . Say that  $\phi: \text{Spec}(A) \rightarrow U$  and  $\psi: \text{Spec}(B) \rightarrow V$  are isomorphisms. Then there exists a covering of  $U \cap V$  by open affines such that the intersection of each of this open affines*

is affine. More precisely, there exists elements  $f_i$  and  $g_i$  in  $A$  and  $B$  respectively with

$$U \cap V = \bigcup \phi(D(f_i)) = \bigcup \psi(D(g_i)).$$

*Proof.* It suffices to show that for every point  $x \in U \cap V$  there is  $f \in A$  and  $g \in B$  with

$$\phi(D(f)) = \psi(D(g)).$$

Let  $x \in \phi(D(f)) \subset U \cap V$ . Let  $g \in B$  with

$$\psi^{-1}(x) \in D(g) \subset \psi^{-1}(\phi(D(f))) \subset \text{Spec}(B).$$

Therefore  $\phi^{-1}\psi$  induces a map of rings  $B \rightarrow A_f$  which send  $g$  to an element  $g'/f^n \in A_f$ . It follows that  $\phi(D(fg')) = \psi(D(g))$ . □

This can be useful in some gluing arguments.

*Example.* We want to show that  $\text{Spec}(A_{red}) \rightarrow \text{Spec}(A)$  is the reduction in the category of schemes using a “gluing type argument”. We proceed in three steps.

- (1) For  $X \rightarrow \text{Spec}(A)$  with  $X$  affine, it follows by duality between affine schemes and rings that it holds for affine schemes.
- (2) Suppose that  $X$  is a scheme which admits an affine open cover  $(U_i)$  with intersections being affine. From a map  $X \rightarrow \text{Spec}(A)$  we get a collection of maps  $U_i \rightarrow \text{Spec}(A)$  by restriction. By the preceding point we have a unique morphism  $f_i: U_i \rightarrow \text{Spec}(A_{red})$  with the desired property. The intersection of  $U_i$  and  $U_j$  being affine by hypothesis, the two maps  $f_i$  and  $f_j$  necessarily agree on  $U_i \cap U_j$  by unicity in the universal property. Therefore there is a unique map  $f: X \rightarrow \text{Spec}(A_{red})$  satisfying the requirement.
- (3) Now for a general scheme  $X$ , we can cover it by affine schemes. They will intersect in a scheme which satisfies the requirement of (2). Therefore we get a collection of map  $f_i: U_i \rightarrow \text{Spec}(A_{red})$  which will agree on  $U_i \cap U_j$  by the universal property showed in (2).