

**MATH 327 - TOPICS IN COMPLEX ANALYSIS
PRACTICE EXAM SOLUTIONS
TIME: 2 HOURS**

Problem 1. True or false (2 + 2 + 2 + 2 + 2 points)

For each of the following statements, decide whether it is true or false. Justify each statement you deem true with a short proof. For each claim you deem false, give an explicit counterexample. Please read the claims carefully to not miss a word!

- Let $D \subset \mathbf{C}$ be a domain. Let $(f_n)_{n \in \mathbf{N}}$ be a sequence of nowhere vanishing holomorphic functions $f_n: D \rightarrow \mathbf{C}$ converging locally uniformly to a nonconstant function $f: D \rightarrow \mathbf{C}$. Then $(1/f_n)_{n \in \mathbf{N}}$ converges locally uniformly to $1/f$ on D .
- Let $S \subset \mathbf{C}$ be a countable set. Then there is an entire function $f: \mathbf{C} \rightarrow \mathbf{C}$ whose set of zeros is exactly S .
- Let $f: \mathbf{C} \rightarrow \mathbf{C}$ be a nonconstant entire function with $f(0) = 1$ and $f'(0) = 0$. Then f has a zero.
- Let $f: \widehat{\mathbf{C}} \rightarrow \widehat{\mathbf{C}}$ be a nonconstant holomorphic function. Assume $f|_{\mathbf{C}}$ is not a polynomial. Then f has a pole or an essential singularity.
- Assume the function $f: \mathbf{C}^n \rightarrow \mathbf{C}$ is not holomorphic while $g: \mathbf{C}^n \rightarrow \mathbf{C}^n$ is, where n is at least 2. Then the composition $f \circ g$ is not holomorphic.

Solutions. a. *True (0.5 points)*. Indeed, from the lecture we know the number of zeros of the members of the sequence cannot increase unless the limit vanishes identically, which is ruled out by the nonconstancy of f . This shows $1/f$ is well-defined (**0.5 points for the proof of well-definedness**). Moreover, from the lecture we know f is holomorphic and thus continuous. These two observations yield that given any compact set $K \subset D$, there exists $R > 0$ such that $|f|_K \subset [2R, \infty)$ (**0.5 points**). By locally uniform convergence of $(f_n)_{n \in \mathbf{N}}$ to f (a consequence of the triangle inequality), up to removing finitely many members of the sequence $(f_n)_{n \in \mathbf{N}}$ we may and will assume $|f_n|_K \subset [R, \infty)$ for every $n \in \mathbf{N}$. Noting the reciprocal assignment $1/z$ is Lipschitz continuous on the complement of $B_R(0)$, say with Lipschitz constant C_R , the claim easily follows from the following simple inequality (**0.5 points**):

$$\limsup_{n \rightarrow \infty} \left| \frac{1}{f_n} - \frac{1}{f} \right|(K) \leq C_R \limsup_{n \rightarrow \infty} |f_n - f|(K) = 0.$$

b. *False (0.5 points)*. Consider the set $S := \{1/n : n \in \mathbf{N}\}$ (**0.5 points for an appropriate example**). It has an accumulation point at zero. If an entire function $f: \mathbf{C} \rightarrow \mathbf{C}$ vanishes on S , the identity theorem forces f to vanish identically. This is in conflict with the requirement of f to be nonvanishing outside S (**1 point for the correct proof that the example falsifies the claim**).

c. *False (0.5 points)*. For instance, the assignment $f(z) := e^{z^2}$ (**0.5 points for an appropriate example**) clearly satisfies all requirements, but does never vanish (**1 point for the correct proof that the example falsifies the claim**).

d. *True (0.5 points)*. Indeed, from the lecture we know that for f as given, if $f|_{\mathbf{C}} \subset \mathbf{C}$ then $f|_{\mathbf{C}}$ is a polynomial (**0.5 points**). Logically negating this statement yields if $f|_{\mathbf{C}}$ is not a polynomial, then $f(z) = \infty$ for some $z \in \mathbf{C}$ (**0.5 points**). Since such a z corresponds to an irremovable singularity of f (**0.5 points**), the claim follows.

e. *False (0.5 points)*. Take any nonholomorphic function $f: \mathbf{C}^2 \rightarrow \mathbf{C}$ (e.g. with a jump) and $g: \mathbf{C}^2 \rightarrow \mathbf{C}^2$ to be a constant function (**0.5 points for an appropriate example**). Then $f \circ g$ is constant, hence holomorphic (**1 point for the correct proof that the example falsifies the claim**).

Problem 2. Infinite products (3 + 4 + 3 points)

The Eulerian Γ -function $\Gamma: \mathbf{C} \setminus \{0, -1, -2, \dots\} \rightarrow \mathbf{C}$ is defined by

$$\Gamma(z) := \frac{e^{-\gamma z}}{z} \prod_{n=1}^{\infty} \left[1 + \frac{z}{n}\right]^{-1} e^{z/n}.$$

Here $\gamma \in \mathbf{R}$ is a normalization constant such that $\Gamma(1) = 1$.

- a. Show that the infinite product defining Γ is locally uniformly convergent on $\mathbf{C} \setminus \{-1, -2, \dots\}$. Moreover, show a constant γ with the stated properties exists. Deduce Γ is holomorphic on its domain of definition.

Hint. You can use the locally uniform convergence of the infinite product

$$\prod_{n=1}^{\infty} \left[1 + \frac{z}{n}\right] e^{-z/n}$$

on \mathbf{C} without proof. You may want to consult Problem 1.

- b. Show the identity

$$\gamma = \lim_{k \rightarrow \infty} \left[\sum_{n=1}^k \frac{1}{n} - \log k \right].$$

The limit on the right-hand side is called Euler–Mascheroni constant.

- c. Show the recursion $\Gamma(z + 1) = z \Gamma(z)$, where $z \in \mathbf{C} \setminus \{0, -1, -2, \dots\}$. Deduce Γ interpolates the factorial, viz. $\Gamma(m + 1) = m!$ for every $m \in \mathbf{N} \cup \{0\}$.

Solutions. a. The infinite product from the hint vanishes precisely on $-\mathbf{N}$. Thus, it is nonconstant (**0.5 points**). Its partial products are clearly holomorphic (**0.5 points**). Problem 1.a. combines with the hint to yield the desired locally uniform convergence of the infinite product defining Γ .

To show the existence of γ , from the previous consideration the infinite product

$$\prod_{n=1}^{\infty} \left[1 + \frac{1}{n}\right]^{-1} e^{1/n}$$

converges. It is clearly a positive real number (**0.5 points**). Setting

$$\gamma := -\log \prod_{n=1}^{\infty} \left[1 + \frac{1}{n}\right] e^{-1/n},$$

it is straightforward to check γ obeys the desired normalization property (**0.5 points**).

We turn to holomorphy of Γ . The assignment $e^{-\gamma z}/z$ depends holomorphically on $z \in \mathbf{C} \setminus \{0\}$ (**0.5 points**). The partial products from the product defining Γ are clearly holomorphic on $\mathbf{C} \setminus \{-1, -2, \dots\}$. By their locally uniform convergence, as shown in the lecture their infinite product is holomorphic on the same set, which is a domain (**0.5 points**). The product of these two terms is holomorphic on the intersection $\mathbf{C} \setminus \{0, -1, -2, \dots\}$ of their domains.

b. Thanks to the discussion from a., all limits appearing below will exist (**1 point for a short justification of all limits, exchanges, etc.**). Using a., the continuity of the logarithm, and logarithm rules (**1 point for the first three equalities**),

$$\begin{aligned} \gamma &= -\lim_{k \rightarrow \infty} \log \prod_{n=1}^k \left[1 + \frac{1}{n}\right] e^{-1/n} \\ &= -\lim_{k \rightarrow \infty} \sum_{n=1}^k \log \left[\left[\frac{n+1}{n} \right] e^{-1/n} \right] \\ &= \lim_{k \rightarrow \infty} \sum_{n=1}^k \left[\frac{1}{n} - \log(n+1) + \log n \right] \\ &= \lim_{k \rightarrow \infty} \left[\sum_{n=1}^k \frac{1}{n} - \log(k+1) \right]. \end{aligned}$$

The last identity comes from a telescopic sum (**1 point**). Since

$$\lim_{k \rightarrow \infty} [\log(k+1) - \log k] = \lim_{k \rightarrow \infty} \log \left[1 + \frac{1}{k} \right] = \log 1 = 0,$$

we can replace $\log(k+1)$ by $\log k$ in the above sum (**1 point**).

c. We start from the following identity implicitly shown in b.:

$$\gamma = \lim_{k \rightarrow \infty} \sum_{n=1}^k \left[\frac{1}{n} - \log \left[1 + \frac{1}{n} \right] \right].$$

Using this together with the definition of $\Gamma(z+1)$, we compute (**1 point**)

$$\begin{aligned} \Gamma(z+1) &= \frac{e^{-\gamma} e^{-\gamma z}}{z+1} \prod_{n=1}^{\infty} \left[1 + \frac{z+1}{n} \right]^{-1} e^{z/n} e^{1/n} \\ &= \frac{e^{-\gamma z}}{z+1} \prod_{n=1}^{\infty} \left[1 + \frac{z+1}{n} \right]^{-1} \left[1 + \frac{1}{n} \right] e^{z/n} \\ &= \frac{e^{-\gamma z}}{z+1} \prod_{n=1}^{\infty} \left[1 + \frac{z}{n+1} \right]^{-1} e^{z/n} \\ &= z \Gamma(z). \end{aligned}$$

To show the interpolation formula, we use induction on m . For $m=0$ the claim $\Gamma(1) = 1 = 0!$ holds by the selected normalization (**1 point**). The previous recursion formula and the induction hypothesis combine to yield (**1 point**)

$$\Gamma(m+1) = m \Gamma(m) = m(m-1)! = m!.$$

Problem 3. Zeros and singularities (3 + 3 + 4 points)

Let $(a_n)_{n \in \mathbf{N}}$ constitute a sequence of mutually distinct elements of \mathbf{C} without accumulation points.

- By stating a general form of it and verifying all relevant hypotheses, given any $m \in \mathbf{N}$ show there exists an entire function $g_m: \mathbf{C} \rightarrow \mathbf{C}$ with the following properties: its set of zeros is precisely $\{a_n : n \in \mathbf{N}\}$ and for every $n \in \mathbf{N}$, the multiplicity of the zero a_n is m .
- We fix three sequences $(\tilde{p}_n)_{n \in \mathbf{N}}$, $(\tilde{q}_n)_{n \in \mathbf{N}}$, and $(\tilde{r}_n)_{n \in \mathbf{N}}$ in \mathbf{C} . By stating a general form of it and verifying all relevant hypotheses, show there exists a holomorphic function $h: \mathbf{C} \setminus \{a_n : n \in \mathbf{N}\} \rightarrow \mathbf{C}$ such that for every $n \in \mathbf{N}$, it has the following principal part around the point a_n :

$$\frac{\tilde{r}_n}{z - a_n} + \frac{\tilde{q}_n}{(z - a_n)^2} + \frac{\tilde{p}_n}{(z - a_n)^3}.$$

- We fix three sequences $(p_n)_{n \in \mathbf{N}}$, $(q_n)_{n \in \mathbf{N}}$, and $(r_n)_{n \in \mathbf{N}}$ in \mathbf{C} . Construct an entire function $f: \mathbf{C} \rightarrow \mathbf{C}$ with $f(a_n) = p_n$, $f'(a_n) = q_n$, and $f''(a_n) = r_n$ for every $n \in \mathbf{N}$. In particular, show your candidate has the desired properties.

Solutions. a. Assume first the sequence $(a_n)_{n \in \mathbf{N}}$ does not contain zero. Define a sequence $(b_n)_{n \in \mathbf{N}}$ as follows: the first m elements are equal to 0, the next m elements are equal to a_2 , etc. **(0.5 points)**. Then the sequence $(b_n)_{n \in \mathbf{N}}$ is clearly discrete **(0.5 points)**. By construction, for every $n \in \mathbf{N}$ the number o_n of occurrences of a_n is exactly m ; in particular, it is finite **(0.5 points)**. The Weierstraß product theorem applies and yields the desired function. It can be taken of the form **(0.5 points)**

$$g_m(z) = \prod_{n=1}^{\infty} \left[1 - \frac{z}{b_n} \right] \exp \left[\sum_{i=1}^n \frac{1}{i} \left[\frac{z}{b_n} \right]^i \right].$$

If the sequence contains zero, one has to remove it from the above construction and eventually multiply the factor z^m to the above form of g_m **(1 point for correct discussion of zero)**.

b. As in a. the sequence $(a_n)_{n \in \mathbf{N}}$ is discrete **(1 point)**. Then by the Mittag-Leffler theorem **(1 point)** there exists a function $h: \mathbf{C} \setminus \{a_n : n \in \mathbf{N}\} \rightarrow \mathbf{C}$ with the given principal part Q_n at a_n for every $n \in \mathbf{N}$. It can be taken of the form

$$h(z) := \sum_{n=1}^{\infty} [Q_n - H_n],$$

where $H_n: \mathbf{C} \rightarrow \mathbf{C}$ is entire for every $n \in \mathbf{N}$ **(1 point)**.

c. Let g_3 denote the entire function constructed in a. for m being 3. Since the multiplicity of a_n as its zero is equal to three for every $n \in \mathbf{N}$ by construction, the Laurent series of g_3 around a_n takes the form

$$g_3(z) = c_3 (z - a_n)^3 + c_4 (z - a_n)^4 + c_5 (z - a_n)^5 + \sum_{k=4}^{\infty} c_k (z - a_n)^k,$$

where $c_3 \in \mathbf{C} \setminus \{0\}$ **(0.5 points)**. Let us define three sequences $(\tilde{p}_n)_{n \in \mathbf{N}}$, $(\tilde{q}_n)_{n \in \mathbf{N}}$, $(\tilde{r}_n)_{n \in \mathbf{N}}$ of numbers in \mathbf{C} by **(1 point)**

$$\begin{aligned} \tilde{p}_n &:= p_n, \\ \tilde{q}_n &:= q_n - \tilde{p}_n - c_3 - c_4, \\ 2\tilde{r}_n &:= r_n - 2[\tilde{p}_n + \tilde{q}_n + c_3 + c_4 + c_5]. \end{aligned}$$

Let h denote the function constructed in b. with respect to this sequence. For every $n \in \mathbf{N}$, by construction its Laurent series expansion around a_n takes the form

$$\frac{\tilde{r}_n}{z - a_n} + \frac{\tilde{q}_n}{(z - a_n)^2} + \frac{\tilde{p}_n}{(z - a_n)^3} + \sum_{k=0}^{\infty} d_k (z - a_n)^k.$$

We claim the product $f := g_3 h$ **(0.5 points)**, initially defined on $\mathbf{C} \setminus \{a_n : n \in \mathbf{N}\}$, has an entire extension which satisfies all desired properties. First, we observe f is

meromorphic with singularities precisely at $\{a_n : n \in \mathbf{N}\}$ and the following Laurent series expansion around a_n for every $n \in \mathbf{N}$ (**0.5 points**):

$$f = \tilde{p}_n + [c_4 + \tilde{p}_n + c_3 + \tilde{q}_n] (z - a_n) \\ + [c_5 + \tilde{p}_n + c_4 + \tilde{q}_n + c_3 + \tilde{r}_n] (z - a_n)^2 + \sum_{k=3}^{\infty} e_k (z - a_n)^k.$$

In particular, the singularity a_n is removable (**0.5 points**). By construction, the nonrelabeled entire extension of f satisfies all desired properties: it is holomorphic (**0.5 points**) and satisfies all pointwise requirements (**0.5 points**).

Problem 4. Picard's little theorem (3 + 4 + 3 points)

Given an open set $U \subset \mathbf{C}$, recall $\mathcal{H}(\overline{U})$ is the set of all complex functions $f: V \rightarrow \mathbf{C}$ which are holomorphic in a neighborhood $V \subset \mathbf{C}$ (possibly depending on f) of \overline{U} .

- a. State Picard's little theorem.
- b. Show there exists a function $R: \mathbf{C} \setminus \{0, 1\} \rightarrow (0, \infty)$ with the property that for every $a \in \mathbf{C} \setminus \{0, 1\}$,

$$\{f \in \mathcal{H}(\overline{B_{R(a)}}(0)) : f(0) = a, f'(0) = 1, f \text{ omits } 0 \text{ and } 1\} = \emptyset.$$

Hint. Set $R(a) := 3L(1/2, |a|)$, where L is given by Schottky's theorem.

- c. Show the statement in b. implies Picard's little theorem.

Solutions. a. Let $f: \mathbf{C} \rightarrow \mathbf{C}$ be a nonconstant (**1 point**) entire (**1 point**) function. Then f assumes all except at most one values in \mathbf{C} (**1 point**).

b. The function R from the hint is defined for every $a \in \mathbf{C} \setminus \{0\}$. Now let us fix $a \in \mathbf{C} \setminus \{0, 1\}$ and assume by contradiction that the class in question contains an element f . Since this function is holomorphic and satisfies $f(0) = a$ and $f'(0) = 1$, the Laurent series of f around zero takes the form (**1 point**)

$$f(z) = a + z + \sum_{k=2}^{\infty} a_k z^k.$$

Consider the function $g \in \mathcal{H}(\overline{B_1}(0))$ with $g(z) := f(R(a)z)$. It satisfies $g(0) = a$ and omits the values 0 and 1 (**1 point**). Schottky's theorem entails (**1 point**)

$$\sup |g|(\overline{B_{1/2}}(0)) \leq L \left[\frac{1}{2}, |a| \right] = \frac{R(a)}{3}.$$

On the other hand, by the Cauchy derivative formula we have (**1 point**)

$$R(a) = |g'(0)| = \left| \frac{1}{2\pi i} \int_{\partial B_{1/2}(0)} \frac{g(z)}{z^2} dz \right| \leq 2 \sup |g|(\overline{B_{1/2}}(0)) \leq \frac{2R(a)}{3}.$$

This contradicts the nonvanishing of R .

c. Let $f: \mathbf{C} \rightarrow \mathbf{C}$ be a nonconstant entire function. Assume to the contrary that f does not assume at least two distinct values. By an affine transformation, without loss of generality we may and will assume $f(\mathbf{C}) \cap \{0, 1\} = \emptyset$ (**1 point**). Choose $z_0 \in \mathbf{C}$ such that $f'(z_0) \neq 0$, which exists thanks to the nonconstancy of f , and set $a := f(z_0) \in \mathbf{C} \setminus \{0, 1\}$. Then the assignment $h(z) := f(z_0 + z/f'(z_0))$ is entire, satisfies $h(0) = a$ and $h'(0) = 1$, and omits the values 0 and 1 (**1 point**). This contradicts the emptiness of the class from b. (**1 point for the correct use of a.**)

Problem 5. Riemannian mapping theorem (3 + 4 + 3 points)

Let $G \subset \mathbf{C}$ be a simply connected domain which is invariant under complex conjugation, i.e. the inclusion $z \in G$ implies $\bar{z} \in G$. Let $a \in G \cap \mathbf{R}$ and let $f: G \rightarrow B_1(0)$ be a biholomorphic map such that $f(a) = 0$ and $f'(a) \in \mathbf{R}$ with $f'(a) > 0$. Lastly, define the function $g: G \rightarrow \mathbf{C}$ by

$$g(z) := \overline{f(\bar{z})}.$$

a. Show g is well-defined, holomorphic, and $g(G) = B_1(0)$.

Hint. Verify the Cauchy–Riemann equations.

b. Show g coincides with f . Deduce the identity $f(G \cap \mathbf{R}) = B_1(0) \cap \mathbf{R}$.

c. Let $\mathbf{H}_+, \mathbf{H}_- \subset \mathbf{C}$ denote the upper and lower halfplane of \mathbf{C} (without the real axis), respectively. Show either $f(G \cap \mathbf{H}_+) = B_1(0) \cap \mathbf{H}_+$ or $f(G \cap \mathbf{H}_+) = B_1(0) \cap \mathbf{H}_-$ holds.

Solutions. a. Since the function f is defined on G yet G is invariant under complex conjugation, g is well-defined (**0.5 points**).

Next, we claim holomorphy of g . We regard $f, \Re f$, and $\Im f$ as maps from \mathbf{R}^2 to \mathbf{R}^2 in the usual way through $f(x, y) := \Re f(x, y) + i \Im f(x, y)$. Doing the same for g , it follows from the definition of g that

$$g(x, y) = \Re f(x, -y) - i \Im f(x, -y).$$

In particular, g is C^1 as a map from \mathbf{R}^2 to \mathbf{R}^2 (**0.5 points**). By the chain rule, we compute the following identities for every appropriate $x, y \in \mathbf{R}^2$ (**0.5 points**):

$$\partial_1 \Re g(x, y) = \partial_1 \Re f(x, -y),$$

$$\partial_2 \Im g(x, y) = \partial_2 \Re f(x, -y),$$

$$\partial_2 \Re g(x, y) = -\partial_2 \Re f(x, -y),$$

$$\partial_1 \Im g(x, y) = -\partial_1 \Im f(x, -y).$$

In particular, since f obeys the Cauchy–Riemann equations, so does g (**0.5 points**). These observations imply holomorphy of g .

Lastly, we show $g(G) = B_1(0)$. The inclusion “ \subset ” follows since f maps G to $B_1(0)$ and the latter is invariant under complex conjugation (**0.5 points**). For the inclusion “ \supset ”, let $w \in B_1(0)$. As $\bar{w} \in B_1(0)$ and f is bijective, there exists a point $x \in G$ with $f(x) = \bar{w}$. In turn, by assumption on G the point \bar{x} belongs to G . By construction, we thus infer $g(\bar{x}) = \overline{f(x)} = w$ (**0.5 points**).

b. By a., we already know g is holomorphic. Furthermore, it clearly inherits the injectivity of f (**0.5 points**). Since $g(G) = B_1(0)$ by a. again, from the lecture we know that g is biholomorphic (**0.5 points**). Moreover, we clearly have $g(a) = \overline{f(a)} = 0$ (**0.5 points**). With the identification from a., we compute (**1 point**)

$$\begin{aligned} g'(a) &= \lim_{\substack{h \rightarrow 0, \\ h \in \mathbf{R} \setminus \{0\}}} \frac{g(a+h) - g(a)}{h} \\ &= \lim_{\substack{h \rightarrow 0, \\ h \in \mathbf{R} \setminus \{0\}}} \frac{\Re g(\Re a + h, \Im a) - \Re g(\Re a, \Im a)}{h} \\ &\quad + i \lim_{\substack{h \rightarrow 0, \\ h \in \mathbf{R} \setminus \{0\}}} \frac{\Im g(\Re a + h, \Im a) - \Im g(\Re a, \Im a)}{h} \\ &= \partial_1 \Re g(\Re a, 0) + i \partial_1 \Im g(\Re a, 0) \\ &= \partial_1 \Re f(\Re a, 0) - i \partial_1 \Im f(\Re a, 0) \\ &= \partial_1 \Re f(\Re a, 0). \end{aligned}$$

Here, we have used $\partial_1 \Im f(\Re a, 0) = 0$, as implied by an analogous computation for the real number $f'(a)$. This readily yields $g'(a) \in \mathbf{R}$ and $g'(a) > 0$ (**0.5 points**). By the uniqueness statement from the Riemannian mapping theorem, this entails the identity of the functions g and f .

We turn to the identity $f(G \cap \mathbf{R}) = B_1(0) \cap \mathbf{R}$. From the previous paragraph, we obtain $f(\bar{z}) = \overline{f(z)}$ for every $z \in G$. In particular, if $z \in G \cap \mathbf{R}$ we get $f(z) = \overline{f(z)}$, which entails $f(z) \in B_1(0) \cap \mathbf{R}$ and thus the inclusion “ \subset ” (**0.5 points**). For the

inclusion, recall from item a. that for every $w \in B_1(0) \cap \mathbf{R}$ there exists $y \in G$ such that $f(y) = w$. Complex conjugation of this identity yields $w = \overline{f(y)} = f(\overline{y})$ and therefore $f(y) = f(\overline{y})$. Bijectivity of f entails $y = \overline{y}$ and thus $y \in G \cap \mathbf{R}$, and in turn $w \in f(G \cap \mathbf{R})$ (**0.5 points**).

c. We first show $G \cap \mathbf{H}_+$ is path-connected. Indeed, since G is a domain any two points therein can be connected by a continuous curve with values in G . Since G is invariant under complex conjugation, flipping the imaginary part of such a curve every time it takes values in $G \cap \mathbf{H}_-$ yields the connecting curve can be chosen to take values in $G \cap \mathbf{H}_+$ (**0.5 points**). By a covering argument (using compactness of the curve segment and openness of G), each time this curve meets $G \cap \mathbf{R}$ it can be “pushed up” slightly to yield a resulting connecting curve passing through $G \cap \mathbf{H}_+$ (**0.5 points**).

We first claim $f(G \cap \mathbf{H}_+) \subset B_1(0) \cap \mathbf{H}_+$ or $f(G \cap \mathbf{H}_+) \subset B_1(0) \cap \mathbf{H}_-$. Assume to the contrary that this is not the case. By b. and bijectivity of f , this means there are two necessarily distinct points $y_+, y_- \in G \cap \mathbf{H}_+$ such that $f(y_+) \in B_1(0) \cap \mathbf{H}_+$ and $f(y_-) \in B_1(0) \cap \mathbf{H}_-$. Since $G \cap \mathbf{H}_+$ is path-connected, there exists a continuous curve $\gamma: [0, 1] \rightarrow G \cap \mathbf{H}_+$ from y_+ to y_- . By the mean value theorem, there exists $t \in (0, 1)$ such that $f \circ \gamma(t) \in B_1(0) \cap \mathbf{R}$ (**0.5 points**). At such a point, by b. it follows $\gamma(t) \in G \cap \mathbf{R}$. This contradicts the fact that γ never leaves \mathbf{H}_+ (**0.5 points**).

An analogous argument as above yields the inclusion $f(G \cap \mathbf{H}_-) \subset B_1(0) \cap \mathbf{H}_+$ or $f(G \cap \mathbf{H}_-) \subset B_1(0) \cap \mathbf{H}_-$. A totality argument forces equalities (**1 point**).

Problem 6. Möbius transformations (3 + 4 + 3 points)

Let $f: \widehat{\mathbf{C}} \rightarrow \widehat{\mathbf{C}}$ be a Möbius transformation. That is, there are constants $a, b, c, d \in \mathbf{C}$ with $ad - bc \neq 0$ such that for every $z \in \widehat{\mathbf{C}}$,

$$f(z) = \begin{cases} \frac{a}{c} & \text{if } z = \infty, \\ \infty & \text{if } z = -\frac{d}{c}, \\ \frac{az + b}{cz + d} & \text{otherwise.} \end{cases}$$

- a. Show f is the identity if and only if $a = d$ and $b = c = 0$.

Hint. Insert appropriate arguments $z \in \widehat{\mathbf{C}}$.

- b. Show f has exactly one or exactly two fixed points unless it is the identity. Give an example of a Möbius transformation with exactly one and exactly two fixed points, respectively.

- c. Show for every $p, q \in \widehat{\mathbf{C}}$ there exists a Möbius transformation $f: \widehat{\mathbf{C}} \rightarrow \widehat{\mathbf{C}}$ such that $f(p) = q$.

Hint. First consider a specific choice for p . Why is this sufficient?

Solutions. a. It is evident that if $a = d$ and $b = c = 0$, which also rules out the vanishing of a and d by the constraint $ad - bc \neq 0$ (**0.5 points**), then f is the identity map (**0.5 point**).

Conversely, suppose $f(z) = z$ for every $z \in \widehat{\mathbf{C}}$. First, the constraint $ad - bc \neq 0$ (**0.5 points for the careful check of the constraint throughout**) rules out the case $a = c = 0$, hence $a \neq 0$ or $c \neq 0$. The identity $f(\infty) = \infty$ then forces $c = 0$ (**0.5 points**). Second, the constraint $ad - bc \neq 0$ rules out the case $b = d = 0$, hence $b \neq 0$ or $d \neq 0$. The identity $f(0) = 0$ then forces $b = 0$ (**0.5 points**). Third, in turn the constraint translates into $ad \neq 0$, which forces $a \neq 0$ and $d \neq 0$. The identity $f(1) = 1$ then forces $1 = a/d$, or equivalently $a = d$ (**0.5 points**).

b. Assume f is not the identity map. Suppose first ∞ is a fixed point of f . As in a., this forces $c = 0$ (**0.5 points**). The constraint $ad - bc \neq 0$ rules out the vanishing of d . This means that every fixed point $z \in \mathbf{C}$ obeys $dz = az + b$ after an elementary algebraic manipulation, or equivalently $(a - d)z = -b$. If $a = d$, this equation would force $b = 0$ and hence f was the identity map by a., which has been excluded (**0.5 points**). Hence, necessarily $a \neq d$. This discussion yields exactly two fixed points ∞ and $-b/(a - d)$ of f (**0.5 points**).

Now we suppose ∞ is not a fixed point of f . This forces $c \neq 0$ (**0.5 points**). By our hypothesis, the fixed points z of f can only lie in \mathbf{C} and necessarily satisfy the following identity (**0.5 points**):

$$\frac{az + b}{cz + d} = z.$$

Since $z \neq \infty$, this forces $cz + d \neq 0$. The above equation becomes

$$az + b = (cz + d)z.$$

This forms a quadratic equation with leading coefficient $c \neq 0$. By the fundamental theorem of algebra, it has either one or two complex solutions z (**0.5 points**).

An example with exactly one fixed point, namely ∞ , is the translation $z + 1$ (**0.5 points for a valid example**). An example with exactly two fixed points, namely 0 and ∞ , is the scaling $2z$ (**0.5 points for a valid example**). In both cases, the determinant constraint $ad - bc \neq 0$ holds.

c. Given $q \in \widehat{\mathbf{C}}$, we first construct a Möbius transformation $f: \widehat{\mathbf{C}} \rightarrow \widehat{\mathbf{C}}$ such that $f(0) = q$. If $q = 0$ we can simply take the identity map (**0.5 points**). If $q \in \mathbf{C} \setminus \{0\}$ we can e.g. take $a = d = 1$, $b = q$, and $c = 2/q$ above (**0.5 points for an appropriate choice of the coefficients**). If $q = \infty$ we can take $a = b = c = 1$ and $d = 0$ above (**0.5 points for an appropriate choice of the coefficients**). In all these cases, the determinant constraint $ad - bc \neq 0$ holds.

Now let $p, q \in \widehat{\mathbf{C}}$ be arbitrary. By the previous paragraph, there exists a Möbius transformation $f: \widehat{\mathbf{C}} \rightarrow \widehat{\mathbf{C}}$ with $f(0) = q$ (**0.5 points**). Moreover, there exists a Möbius transformation $h: \widehat{\mathbf{C}} \rightarrow \widehat{\mathbf{C}}$ with $h(0) = p$. As the class of Möbius transformations forms

a group with respect to composition, the inverse g of h is a Möbius transformation with $g(p) = 0$ (**0.5 points**). Again using the previous group structure, the composition $f \circ g$ is thus a Möbius transformation with $f \circ g(p) = f(0) = q$ (**0.5 points**).