

**Exercise 1.** Do the following:

- (1) Calculate the Smith normal form of the following matrix over  $\mathbb{Z}$ .

$$\begin{pmatrix} 1 & 9 & 1 \\ -2 & -6 & 0 \\ 2 & -8 & 2 \\ -1 & 1 & 5 \end{pmatrix}$$

- (2) (i) Find a direct sum of cyclic  $\mathbb{Z}$ -modules isomorphic to the  $\mathbb{Z}$ -module  $M$  with generators  $e_1, e_2, e_3, e_4$  and relations

$$\begin{aligned} e_1 - 2e_2 + 2e_3 - e_4 &= 0 \\ 9e_1 - 6e_2 - 8e_3 + e_4 &= 0 \\ e_1 + 2e_3 + 5e_4 &= 0 \end{aligned}$$

[*Hint/Remark:* By definition,  $M$  is the quotient of the free  $\mathbb{Z}$ -module on 4 generators  $\bigoplus_{i=1}^4 \mathbb{Z}e_i$  by the submodule generated by  $e_1 - 2e_2 + 2e_3 - e_4$ ,  $9e_1 - 6e_2 - 8e_3 + e_4$  and  $e_1 + 2e_3 + 5e_4$ . Notice that in the quotient,  $e_1, \dots, e_4$  then satisfy exactly these relations.]

- (ii) Explicitly give 'nice' generators of  $M$ , in terms of the original generators  $e_1, e_2, e_3, e_4$ . Here,  $f_1, \dots, f_s$  are 'nice' generators if the relations they satisfy are generated by relations of the form  $m_i f_i = 0$ , where  $m_1, \dots, m_s \in \mathbb{Z}$  are integers.

*Proof.* (1) We follow the algorithm for using row and column operations to produce the Smith normal form of a matrix.

*Step 1a:* Ensure that the  $(1, 1)^{\text{th}}$  entry is the principal generator for the ideal generated by the entries of the first row and column. In this case it is already true, so we move on.

*Step 1b:* Use that property to remove all other entries in the first column by adding a multiple of the first row to subsequent rows. Then remove all other entries in the first row by adding a multiple of the first column to later columns:

$$\begin{pmatrix} 1 & 9 & 1 \\ -2 & -6 & 0 \\ 2 & -8 & 2 \\ -1 & 1 & 5 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 9 & 1 \\ 0 & 12 & 2 \\ 0 & -26 & 0 \\ 0 & 10 & 6 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 & 0 \\ 0 & 12 & 2 \\ 0 & -26 & 0 \\ 0 & 10 & 6 \end{pmatrix}$$

*Step 2a:* Ensure the  $(2, 2)^{\text{th}}$  entry is the principal generator for the ideal generated by the second row and column. In this case we must swap the second and third columns.

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 12 & 2 \\ 0 & -26 & 0 \\ 0 & 10 & 6 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 12 \\ 0 & 0 & -26 \\ 0 & 6 & 10 \end{pmatrix}$$

*Step 2b:* Remove other non-zero entries in the second row and column.

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 12 \\ 0 & 0 & -26 \\ 0 & 6 & 10 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 12 \\ 0 & 0 & -26 \\ 0 & 0 & -26 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & -26 \\ 0 & 0 & -26 \end{pmatrix}$$

Step 3: Tidy up the resulting matrix to obtain Smith normal form:

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & -26 \\ 0 & 0 & -26 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & -26 \\ 0 & 0 & 0 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 26 \\ 0 & 0 & 0 \end{pmatrix}$$

- (2) (i) In terms of the generators  $e_1, \dots, e_4$  of  $M$  given in the exercise the surjection  $\mathbb{Z}^4 \rightarrow M$  defined by these generators has kernel  $K$  spanned by

$$\begin{pmatrix} 1 \\ -2 \\ 2 \\ -1 \end{pmatrix}, \begin{pmatrix} 9 \\ -6 \\ -8 \\ 1 \end{pmatrix} \text{ and } \begin{pmatrix} 1 \\ 0 \\ 2 \\ 5 \end{pmatrix}.$$

So  $K$  is the image of the linear map  $\mathbb{Z}^3 \rightarrow \mathbb{Z}^4$  given by the matrix

$$\begin{pmatrix} 1 & 9 & 1 \\ -2 & -6 & 0 \\ 2 & -8 & 2 \\ -1 & 1 & 5 \end{pmatrix}$$

As discussed in section 4.1 of the lecture notes, multiplying a matrix to the left and right with invertible matrices doesn't change the isomorphism type of the cokernel. Hence  $M$  is isomorphic to the cokernel of the Smith normal form of the above matrix, i.e.

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 26 \\ 0 & 0 & 0 \end{pmatrix}$$

The cokernel of this matrix is  $\overbrace{\mathbb{Z}/\mathbb{Z}}^{=0} \oplus \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/26\mathbb{Z} \oplus \mathbb{Z}$ , so we obtain

$$M \cong \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/26\mathbb{Z} \oplus \mathbb{Z}.$$

- (ii) We want to find the elements of  $M$  which correspond to the canonical generators of  $\mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/26\mathbb{Z} \oplus \mathbb{Z}$  (i.e. the vectors with precisely one component equal to 1 and 0's everywhere else). Write

$$A := \begin{pmatrix} 1 & 9 & 1 \\ -2 & -6 & 0 \\ 2 & -8 & 2 \\ -1 & 1 & 5 \end{pmatrix}, \quad D := \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 26 \\ 0 & 0 & 0 \end{pmatrix}$$

We have found invertible matrices  $P \in \text{GL}_4(\mathbb{Z})$  and  $Q \in \text{GL}_3(\mathbb{Z})$  such that

$$PAQ = D$$

We can rephrase this as a commutative diagram

$$\begin{array}{ccc} \mathbb{Z}^3 & \xrightarrow{f_A} & \mathbb{Z}^4 \\ f_Q \uparrow & & \downarrow f_P \\ \mathbb{Z}^3 & \xrightarrow{f_D} & \mathbb{Z}^4 \end{array}$$

where  $f_B$  denotes the linear map associated to the matrix  $B$ . We then have that  $f_P$  induces an isomorphism

$$\overline{f_P} : M = \text{coker}(f_A) \rightarrow \text{coker}(f_D)$$

However, it is clear that a nice basis for  $\text{coker}(f_D)$  is given by the classes of  $(e_2, \dots, e_4)$ , so a nice basis for  $M = \text{coker}(f_A)$  is given by the classes of

$$(f_{P^{-1}}(e_2), f_{P^{-1}}(e_3), f_{P^{-1}}(e_4))$$

Thus, we simply have to compute  $P^{-1}$  (i.e. the inverse of the operations we did on the rows) and take the last three columns of this matrix as this nice basis.

Thus we have to find  $P$ , and for this we need to keep track of the *line* operations we performed on  $A$  to find the Smith normal form. By revisiting the solution of (1), this gives

$$P = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & -1 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & -3 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 & 0 \\ 2 & 1 & 0 & 0 \\ -2 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 \end{pmatrix}$$

so

$$P^{-1} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ -2 & 1 & 0 & 0 \\ 2 & 0 & 1 & 0 \\ -1 & 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 3 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ -2 & 1 & 0 & 0 \\ 2 & 0 & 1 & 0 \\ -1 & 3 & 1 & 1 \end{pmatrix}.$$

Thus, a nice basis is given by the images of  $f_1 := e_2 + 3e_4$ ,  $f_2 := e_3 + e_4$  and  $f_3 = e_4$ . In  $M$ , they satisfy the relations  $2f_1 = 0$ ,  $26f_2 = 0$  (and  $f_3$  satisfies no non-trivial relation).

□

**Exercise 2.** Let  $R = \mathbb{Q}[x]$ . Find a direct sum of cyclic  $R$ -modules isomorphic to the  $R$ -module with generators  $e_1, e_2$  and relations

$$\begin{aligned} x^2 e_1 + (x+1)e_2 &= 0 \\ (x^3 + 2x + 1)e_1 + (x^2 - 1)e_2 &= 0 \end{aligned}$$

*Proof.* As before, we get a homomorphism  $R^2 \rightarrow M$  with kernel  $K$ , which is given by the image of the map  $R^2 \rightarrow R^2$  defined by the matrix

$$\begin{pmatrix} x^2 & x^3 + 2x + 1 \\ x + 1 & x^2 - 1 \end{pmatrix}$$

We put this into Smith normal form. We have that the ideal  $(x^2, x+1) = 1$  and  $1 \times x^2 + (1-x)(1+x) = 1$ . The first step in the algorithm therefore tells us to multiply from the left by the matrix

$$\begin{pmatrix} 1 & 1-x \\ -(x+1) & x^2 \end{pmatrix}.$$

We get

$$\begin{pmatrix} 1 & 1-x \\ -(x+1) & x^2 \end{pmatrix} \begin{pmatrix} x^2 & x^3 + 2x + 1 \\ x+1 & x^2 - 1 \end{pmatrix} = \begin{pmatrix} 1 & 3x + x^2 \\ 0 & -(3x^2 + 3x + x^3 + 1) \end{pmatrix}$$

By an elementary column operation this gives:

$$\begin{pmatrix} 1 & 0 \\ 0 & -(x+1)^3 \end{pmatrix}$$

So this means that there is a different set of generators  $f_1$  and  $f_2$  of  $M$  that satisfies the relations:  $f_1 = 0$  and  $(x+1)^3 f_2 = 0$ , hence:

$$M \cong \mathbb{Q}[x]/(x+1)^3$$

□

**Exercise 3.** Give an example of an infinitely generated  $\mathbb{Z}$ -module which is *not* an (infinite) direct sum of copies of  $\mathbb{Z}$  and  $\mathbb{Z}/n\mathbb{Z}$  for various choices of  $n$ .

*Proof.* We claim that an example is given by  $\mathbb{Q}$  as a  $\mathbb{Z}$ -module. Indeed, assume for sake of contradiction that  $\mathbb{Q} \cong \mathbb{Z}^{\oplus I} \oplus \bigoplus_i \mathbb{Z}/n_i$  for some set  $I$  and some  $n_i \geq 2$ . Since  $\mathbb{Q}$  is torsion-free we see that the sum of  $\mathbb{Z}/n_i$  is empty. To prove that  $\mathbb{Q}$  is not a free module, we observe that every two cyclic (isomorphic to  $\mathbb{Z}$ ) submodules of  $\mathbb{Q}$  intersect. Indeed, let  $p_1/q_1$  and  $p_2/q_2$  be two rational number belonging to two different cyclic modules. Then  $p_1 p_2 = q_1 p_2 \cdot p_1/q_1 = p_1 q_2 \cdot p_2/q_2$  is an element in the intersection. Therefore, if  $\mathbb{Q}$  is free, then it must be generated by a single element, i.e.  $\mathbb{Q} \cong \mathbb{Z}$ , which of course is a contradiction.

An other way to show that  $\mathbb{Q} \not\cong \mathbb{Z}^{\oplus I}$  for any  $I$ , is to notice that the endomorphism  $(\cdot 2) : a \mapsto 2a$  is surjective on  $\mathbb{Q}$ , but not on  $\mathbb{Z}^{\oplus I}$ .

□

**Exercise 4.** Let  $R = \mathbb{Z}[x]$  and consider the matrix  $A = \begin{pmatrix} 2 & x \\ 0 & 0 \end{pmatrix} \in \text{Mat}_{2 \times 2}(R)$ .

- (1) Show that  $A$  is not equivalent to a diagonal matrix. The equivalence that we consider here is the one introduced in the lectures, that is, up to left or right multiplication by an invertible matrix.
- (2) Show that the cokernel of the map  $A : R^{\oplus 2} \rightarrow R^{\oplus 2}$  is isomorphic to a direct sum of cyclic  $R$ -modules, but is not isomorphic to an  $R$ -module of the form  $R^{\oplus m} \oplus \bigoplus_{i=1}^n R/(a_i)$  where  $a_1, \dots, a_n \in R \setminus \{0\}$ .
- (3) Show that  $(2, x)$  is not isomorphic to a direct sum of cyclic  $R$ -modules.

*Proof.* (1) We will show that  $A$  is not equivalent to a diagonal matrix. Suppose that

$A' = \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix}$  is equivalent to  $A$ . Then  $\text{rank}(A') = \text{rank}(A) = 1$  and therefore  $\lambda_i = 0$

for  $i = 1$  or  $i = 2$ . By multiplying from the left and the right by the matrix  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , we may assume that  $\lambda_2 = 0$  (and denote  $\lambda = \lambda_1$  from now on). Then there exists invertible matrices  $S = \begin{pmatrix} s_{11} & s_{12} \\ s_{21} & s_{22} \end{pmatrix}$  and  $T = \begin{pmatrix} t_{11} & t_{12} \\ t_{21} & t_{22} \end{pmatrix}$  such that  $SA = A'T$ , i.e.

$$\begin{pmatrix} 2s_{11} & xs_{11} \\ 2s_{21} & xs_{21} \end{pmatrix} = \begin{pmatrix} \lambda t_{11} & \lambda t_{12} \\ 0 & 0 \end{pmatrix}$$

Since  $\mathbb{Z}[x]$  is a UFD, the equality  $2s_{11} = \lambda t_{11}$  and  $xs_{11} = \lambda t_{12}$  implies that there exists some  $t' \in \mathbb{Z}[x]$  such that  $t_{11} = 2t'$  and  $t_{12} = xt'$ . Since the units of  $\mathbb{Z}[x]$  are precisely  $\pm 1$ , we obtain  $\pm 1 = \det(T) = t_{11}t_{22} - t_{12}t_{21} = 2t't_{22} - xt't_{21}$ . This implies that the ideal  $(2, x)$  contains 1, a contradiction.

- (2) Let  $M$  be the cokernel of  $A : \mathbb{Z}[x]^2 \rightarrow \mathbb{Z}[x]^2$ . It is straightforward to see that  $M \cong \mathbb{Z}[x]/(2, x) \oplus \mathbb{Z}[x]$ , which is a direct sum of cyclic  $R$ -modules. Suppose by contradiction that there exist  $a_1, \dots, a_n \in \mathbb{Z}[x] \setminus \{0\}$  and  $m \geq 0$  such that

$$\mathbb{Z}[x]/(2, x) \oplus \mathbb{Z}[x] \cong (\mathbb{Z}[x])^{\oplus m} \oplus \bigoplus_{i=1}^n \mathbb{Z}[x]/(a_i).$$

Then the torsion-submodules of the LHS and RHS must be isomorphic, i.e.

$$\mathbb{Z}[x]/(2, x) \cong \bigoplus_{i=1}^n \mathbb{Z}[x]/(a_i).$$

But thus the annihilators of the LHS and the RHS must agree. For the LHS the annihilator is  $(2, x)$ , while for the RHS it is  $\bigcap_{i=1}^n (a_i)$ . But as  $\mathbb{Z}[x]$  is a UFD, the latter is a principal ideal (generated by the least common multiple of the  $a_i$ 's), while the former isn't principal. This is the desired contradiction.

- (3) Suppose by contradiction that  $\varphi : (2, x) \xrightarrow{\cong} \bigoplus_{i \in I} M_i$  is an isomorphism, where  $\{M_i\}_{i \in I}$  is a family of cyclic  $R$ -modules. For all  $i \in I$ , let  $f_i \in (2, x)$  be such that  $\varphi(f_i)$  is a generator of  $M_i$ . Then  $f_i f_j$  is in the intersection  $\varphi^{-1}(M_i) \cap \varphi^{-1}(M_j)$ , while the intersection  $M_i \cap M_j$  inside  $\bigoplus_{i=1}^n M_i$  is equal to 0. Therefore all but one of the  $M_i$ 's must be trivial. But then  $(2, x)$  is principal, which is a contradiction as well.  $\square$

**Exercise 5.** Show that an exact sequence

$$0 \longrightarrow M \longrightarrow N \longrightarrow L \longrightarrow 0$$

of  $R$ -modules induces an exact sequence

$$0 \longrightarrow \text{Tors}(M) \longrightarrow \text{Tors}(N) \longrightarrow \text{Tors}(L) ,$$

but not necessarily an exact sequence

$$0 \longrightarrow \text{Tors}(M) \longrightarrow \text{Tors}(N) \longrightarrow \text{Tors}(L) \longrightarrow 0 .$$

*Proof.* It is clear that any homomorphism  $\phi$  takes torsion to torsion, hence the sequence is well defined. Since restriction of an injection obviously is injective it is sufficient to check exactness in the middle.

Let  $f : M \rightarrow N$  and  $g : N \rightarrow L$  be the morphisms in question. Since  $g \circ f = 0$ , the same is true for the restriction to any submodules. Let  $n \in \text{Ker}(\text{Tors}(g))$ , there exists an  $m \in M$  such that  $f(m) = n$ , we need to show that  $m \in \text{Tors}(M)$ . Since there exists  $r \in R$  not zero-divisor such that  $0 = rn = f(rm)$  we have  $rm \in \text{Ker}(f)$ , but  $f$  is injective. Hence  $rm = 0$  and  $m \in \text{Tors}(M)$ .

We have a surjection of  $\mathbb{Z}$ -modules  $\mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z}$ , but it does not induce a surjection on torsion submodules.  $\square$

**Exercise 6.** Let  $M \in \text{Mat}(n \times n, k)$  for a field  $k$ . Show that there is a basis with respect to which  $M$  is block diagonal with blocks of the form

$$\begin{pmatrix} 0 & 0 & \dots & 0 & a_0 \\ 1 & 0 & \ddots & 0 & a_1 \\ 0 & \ddots & \ddots & \ddots & \vdots \\ 0 & 0 & \ddots & 0 & a_{d-2} \\ 0 & 0 & \dots & 1 & a_{d-1} \end{pmatrix}$$

*Hint:*  $M$  acts naturally on some  $n$ -dimensional  $k$ -vector space  $V$ . Consider  $V$  as a  $k[x]$ -module via  $f \cdot v = f(M)(v)$  and use the classification of finitely generated modules over a PID.

*Proof.* As  $k$  is a field,  $k[x]$  is a PID. Also,  $V$  is finite dimensional over  $k$ , so it is finitely generated (by a  $k$ -basis) over  $k[x]$ . Therefore the structure theorem says that  $V \cong k[x]^{\oplus l} \oplus \bigoplus_{i=0}^m k[x]/(f_i)$  for some monic polynomials  $f_i$  of degree  $d_i$ . As  $V$  is finite dimensional over  $k \subset k[x]$ , and  $k[x]$  itself is not, we see that  $l = 0$ . Decompose  $V$  into  $\bigoplus_{i=0}^m V_i$  where  $V_i \cong k[x]/(f_i)$ , noting that  $V_i$  is  $d_i$ -dimensional as a  $k$ -vector space. Note that  $M$  preserves each  $V_i$  as it is a sub- $k[x]$ -module of  $V$ . Thus if we choose a basis of  $V$  which is a union of bases of the  $V_i$ , the matrix of  $\phi$  is block diagonal with blocks corresponding to the  $V_i$ . We now show that if we choose these bases in a particular way, we get the required form.

The action of  $M$  on  $V_i$  corresponds under this isomorphism to the  $k$ -linear map "multiplication by  $x$ " on  $k[x]/(f_i)$ . We choose the basis of  $V_i$  to be the elements which correspond via the isomorphism to the elements  $\{1, x, \dots, x^{d_i-1}\}$  of  $k[x]/(f_i)$ . It is clear that these span, and are linearly independent. If we define  $a_i$  by  $f_i(x) = x^{d_i} - \sum_{j=0}^{d_i-1} a_j x^j$  then the matrix of the linear map given by multiplication by  $x$  on  $k[x]/(f_i)$  has the required form.  $\square$