

# Fundamental notions

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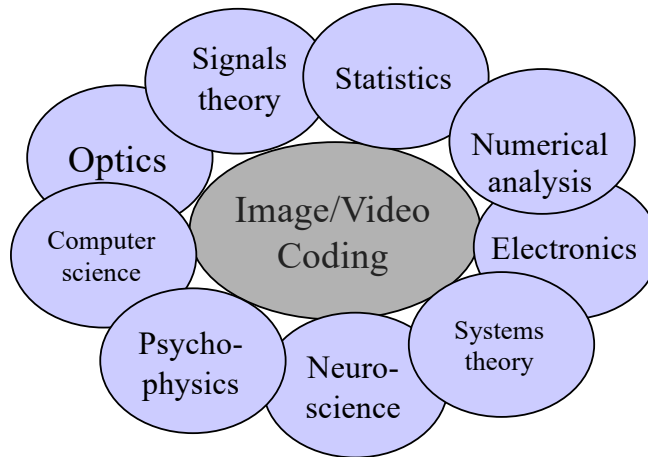
## Image and video coding

- Examples of applications
  - Digital photography
  - Digital TV / HDTV / UHD TV / 3DTV
  - DVD / Blu-ray
  - VCR, PTR, PVR
  - Video surveillance
  - Medical imaging
  - Video conferencing
  - Video streaming
  - Multimedia-enabled smartphones
  - Multimedia Personal Computers
  - Computer / Machine vision in Robotics and IoT
  - Social media
  - Metaverse: VR, AR, MR
  - Synthetic image/video using Generative AI: Deepfakes
  - ...

Image and video coding

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- Relationship between image/video coding and other disciplines

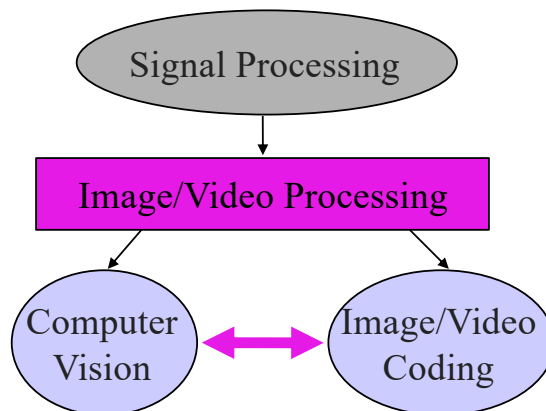


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Image and video coding

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- Relationship between Signal Processing, Image/Video Processing, Image/Video Coding and Computer Vision

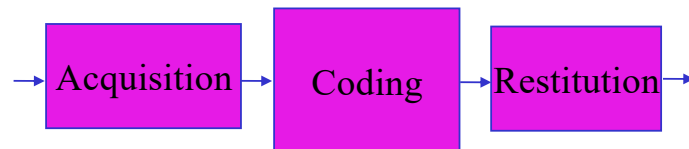


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## Systemics I/III

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- Conventional chain of image/video coding

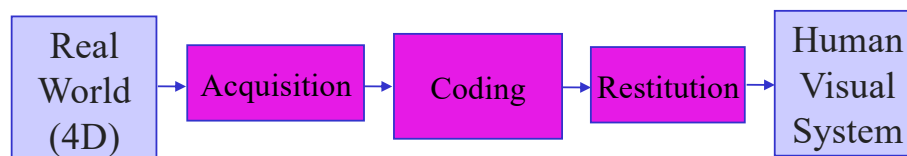


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## Systemics II/III

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- Complete chain of image/video coding

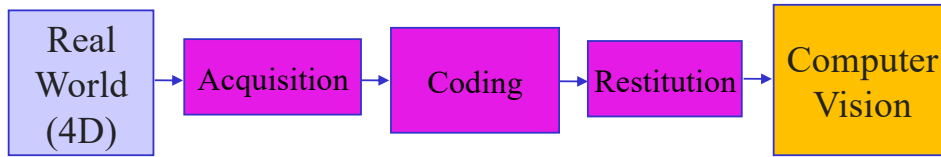


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Systemics III/III

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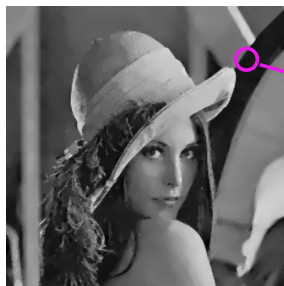
- Complete chain of image/video coding



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Digital images

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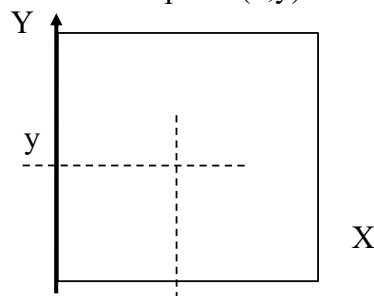


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## Canonical representation of a gray-level image

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- An image is represented as a function  $f(x, y)$  defined on a support of finite or infinite size. Variables  $x$  et  $y$  represent the spatial coordinates of a given point in the image, the value of the function (represented by a real number) defines the luminance (gray-level) associated with point  $(x, y)$



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## Canonical representation of a gray-level image

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A digital image  $s(k, l)$  of size  $K \times L$  is defined by a matrix of the same size:

$$s(k, l) = \begin{bmatrix} s(0,0) & s(0,1) & \cdots & s(0, L-2) & s(0, L-1) \\ s(1,0) & s(1,1) & \cdots & s(1, L-2) & s(1, L-1) \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ s(K-2,0) & s(K-2,1) & \cdots & s(K-2, L-2) & s(K-2, L-1) \\ s(K-1,0) & s(K-1,1) & \cdots & s(K-1, L-2) & s(K-1, L-1) \end{bmatrix}$$

Every element of the matrix, also called a pixel, is represented by a limited number of bits

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## Image/video digitization

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- Digitization is an essential step to go from a continuous (analog) to a discrete representation
- Two major components
  - Sampling
  - Quantization

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## Comparison with 1-D case

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- In principle, all theoretical developments seen in 1-D case are also valid in M-D case by means of a simple generalization
- It is however often difficult, insufficient, and even dangerous to limit such developments to simple generalization of 1-D to M-D

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# Sampling

## Sampling

- Definition
- Hypotheses
- Theory
- Practice
- Characteristics of sampled M-D signals

## Sampling - Definition

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- Periodic sampling of values of an analog signal
- Example for a 2-D signal

$$f(x, y)$$

$$s_e(x, y) = \sum_{k=-\infty}^{+\infty} \sum_{l=-\infty}^{+\infty} f(x, y) \delta(x - k\Delta x, y - l\Delta y)$$

$\Delta x$  Sampling step for dimension X

$\Delta y$  Sampling step for dimension Y

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## Sampling

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- This relationship in the frequency domain becomes:

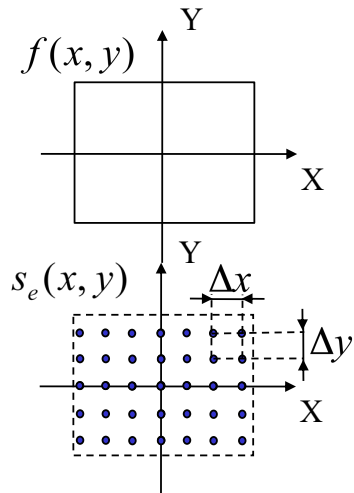
$$S_e(u, v) = \frac{1}{\Delta x \Delta y} \sum_{k=-\infty}^{+\infty} \sum_{l=-\infty}^{+\infty} F\left(u - \frac{k}{\Delta x}, v - \frac{l}{\Delta y}\right)$$

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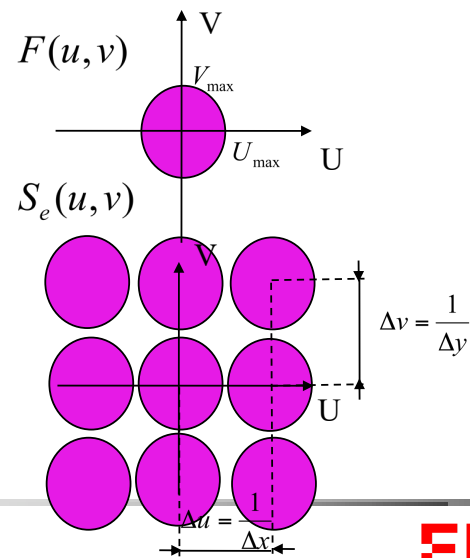
## Sampling – Graphical representation

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## • Spatial domain



## • Frequency domain



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## Sampling theorem

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- An analog signal can be perfectly reconstructed from its samples as long as the sampling frequency is at least twice the amount of the maximum frequency component present in the analog signal

$$\frac{1}{\Delta x} \geq 2U_{\max}$$

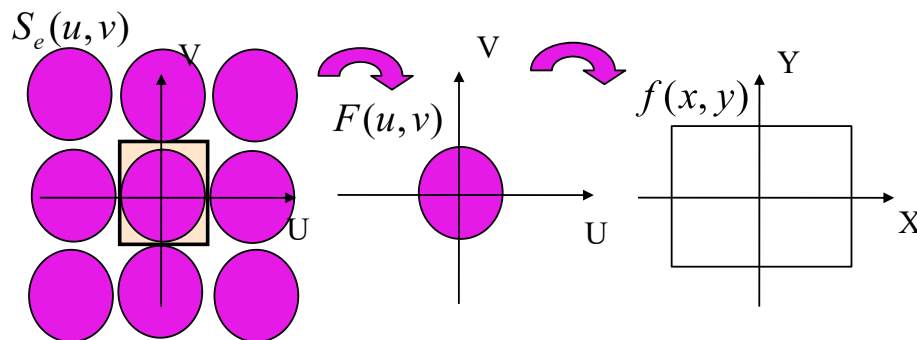
$$\frac{1}{\Delta y} \geq 2V_{\max}$$

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## Reconstruction

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- In practice, reconstruction of an analog signal from its samples is performed by making use of a low-pass filter



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## Hypotheses

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- The maximum frequency component of the analog signal is known
- Signal is stationary

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## Practice

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- Sampling filter
- Oversampling
- Sampler low-pass filtering effect

$$s_e(x_0, y_0) = \iint f(\alpha, \beta) e(x_0 - \alpha, y_0 - \beta) d\alpha d\beta$$

$$S_e(u, v) = F(u, v)E(u, v)$$

- Optical filtering

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## Sampling characteristics

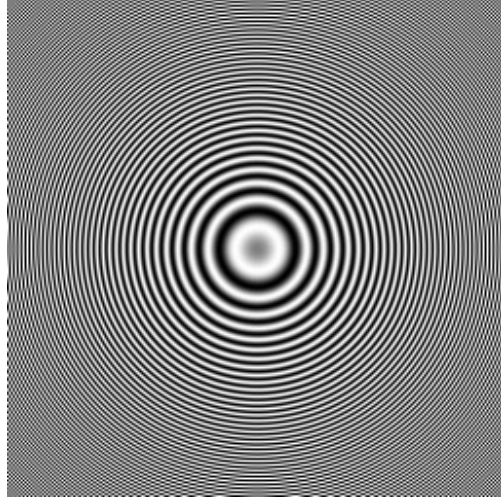
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- A sampling without proper precaution can lead to spectral overlap
- Additional frequency components appear in the reconstructed signal
- Moiré patterns

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### Moiré patterns

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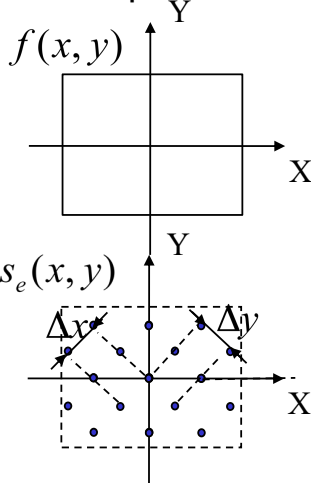


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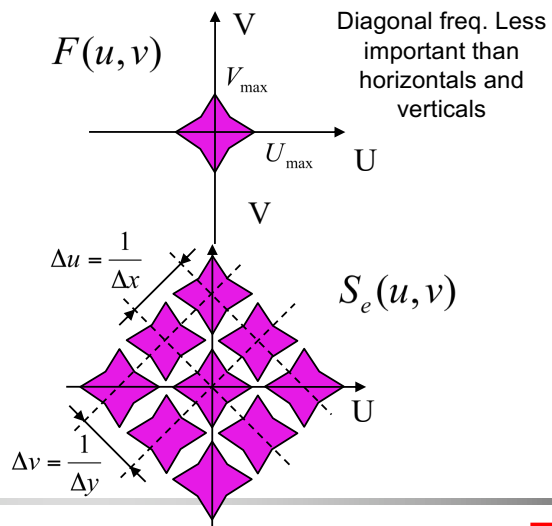
### Quincunx sampling

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- Spatial domain



- Frequency domain



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## Quantization

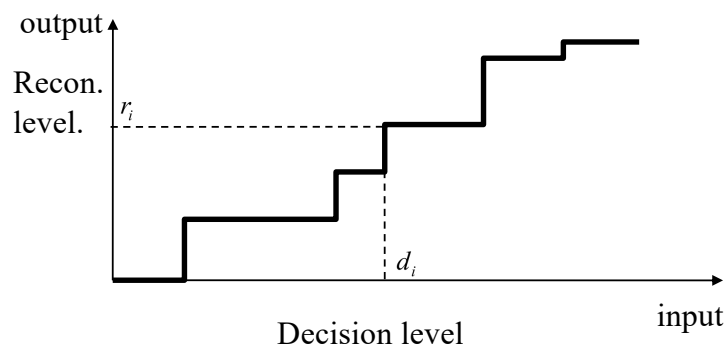
## Quantization

- Definitions
- Quantization noise
- Optimal quantization (Lloyd-Max)
- Uniform quantization
- Perceptual quantization
- Non-uniform quantization
- Compander
- Color quantization
- Vector quantization
  - [see compression](#)

## Quantization - definition

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- Projection of a signal with continuous amplitude into a set of finite number of discrete values



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## Quantization - definition

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- General formulation

$$s_q = Q(s) \text{ such that } d_i \leq s < d_{i+1} \Rightarrow s_q = r_i$$

- The problem of quantization consists in finding good values  $\{d_i\}_i$  and  $\{r_i\}_i$ , as a function of the statistics of the original signal such that one can obtain the best approximation possible

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## Quantization noise

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- Quantization noise

$$e = s - s_q = s - r_i$$

- Mean Square Error

$$\varepsilon = E[e^2] = E[(s - r_i)^2]$$

- If the probability density function of the signal is known:

$$\varepsilon = \int_D (s - r_i)^2 p_s(s) ds \quad \int_D p_s(s) ds = 1$$

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## Optimal quantization

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- For a pre-defined number of quantization levels  $N_q$ :

$$\varepsilon = \sum_{i=1}^{N_q} \int_{d_i}^{d_{i+1}} (s - r_i)^2 p_s(s) ds$$

- Optimal solution:

$$d_i = \frac{r_i + r_{i-1}}{2} \quad r_i = \frac{\int_{d_i}^{d_{i+1}} s \cdot p_s(s) ds}{\int_{d_i}^{d_{i+1}} p_s(s) ds}$$

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## Optimal quantization

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- With a large number of dense quantization levels :

$$d_{i+1} \cong \frac{D \int_{d_1}^{z_i+d_1} [p_s(s)]^{-1/3} ds}{\int_{d_1}^{d_{Nq+1}} [p_s(s)]^{-1/3} ds} + d_1$$

$$D = d_{Nq+1} - d_1$$

$$z_k = (k / N_q) \cdot D$$

$$\varepsilon \cong \frac{1}{12N_q^2} \left\{ \int_{d_1}^{d_{Nq+1}} [p_s(s)]^{1/3} ds \right\}^3$$

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## Uniform quantization

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- Hypothesis : probability density function is uniform
- Q(s) is completely defined by a single and constant quantization step size

$$\Delta = \frac{d_{Nq+1} - d_1}{N_q} \quad d_i = d_{i-1} + \Delta \quad r_i = d_i + \Delta / 2$$

- Quantization error:

$$\varepsilon = \frac{\Delta^2}{12}$$

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## Weber law

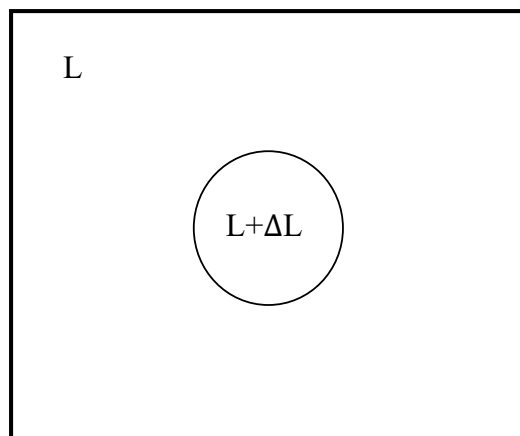
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- Human eye is more sensitive to dark gray than light gray
- Weber-Fechner experiment
- Weber constant

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## Weber experiment (1)

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## Weber law

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- Weber constant



$$C_w = \frac{\Delta L}{L} \quad C_w = 0.01 \dots 0.02$$

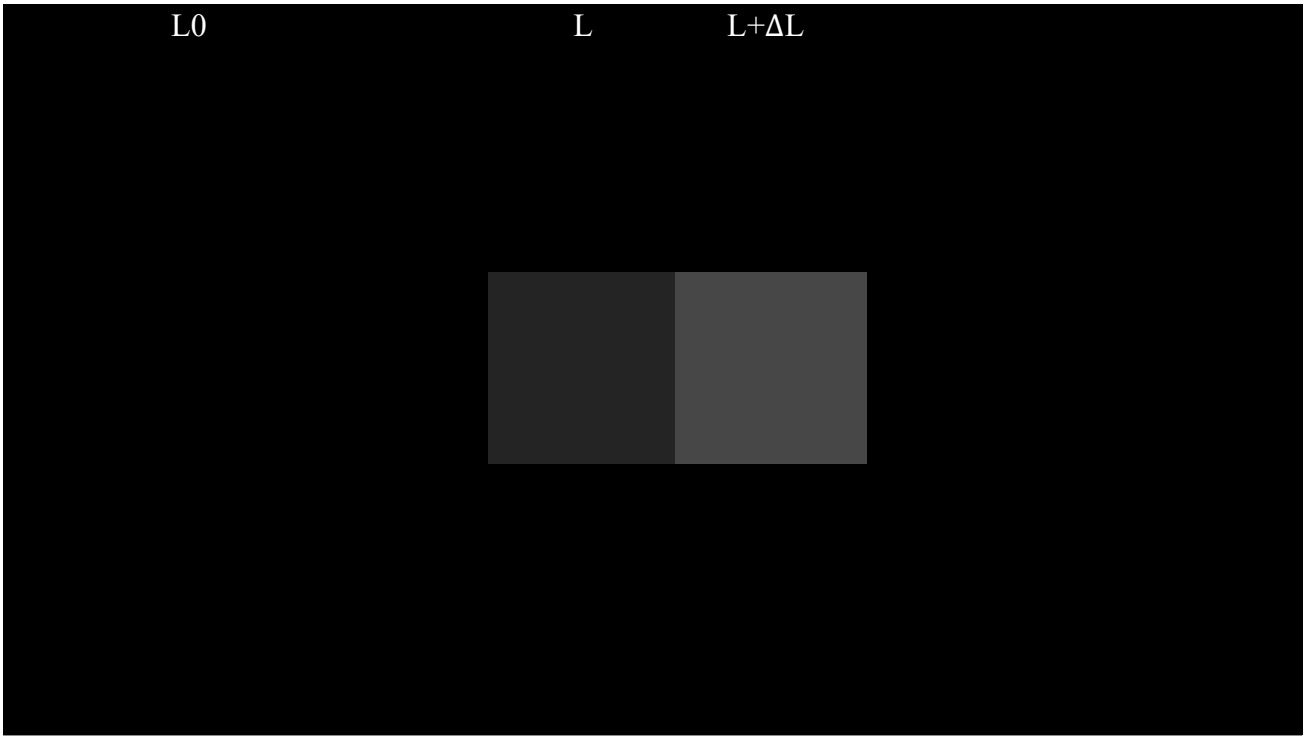
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## Weber constant

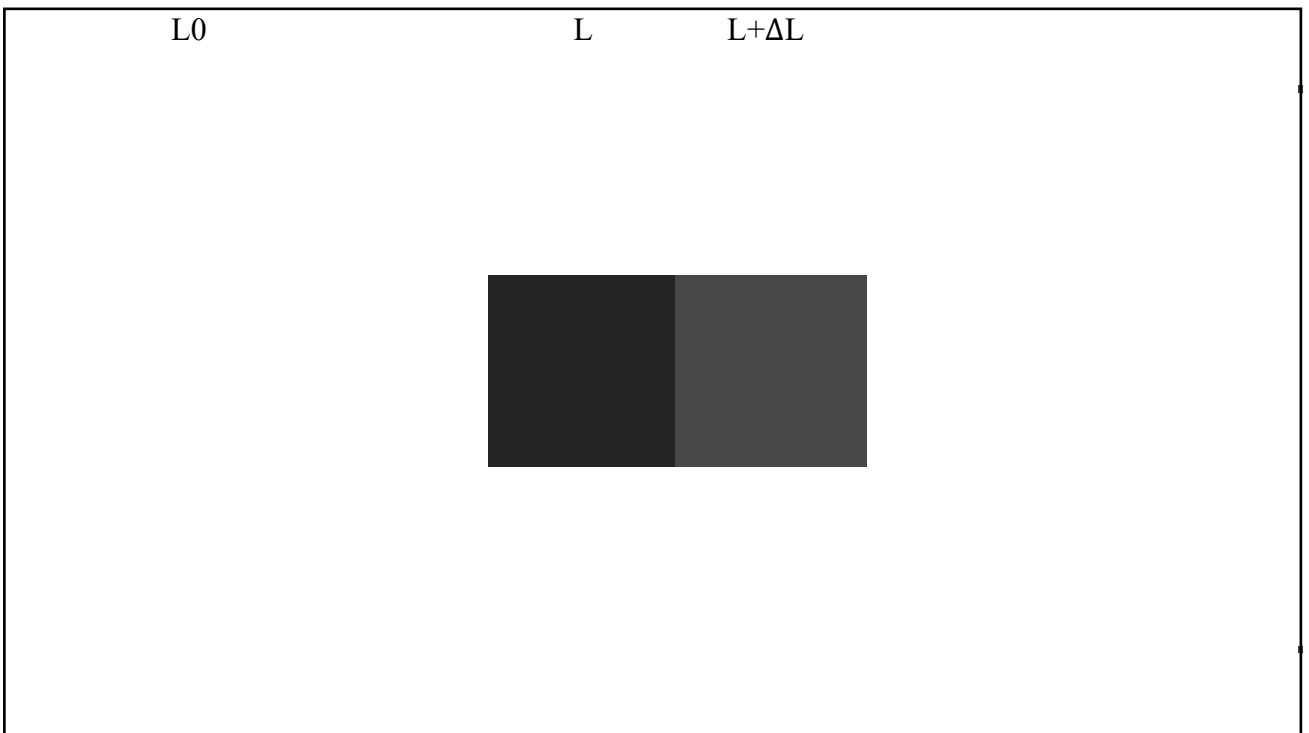
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- Depends on many parameters:
  - Observer
  - Ambient lighting
  - Background luminance
  - Type of display
  - ...

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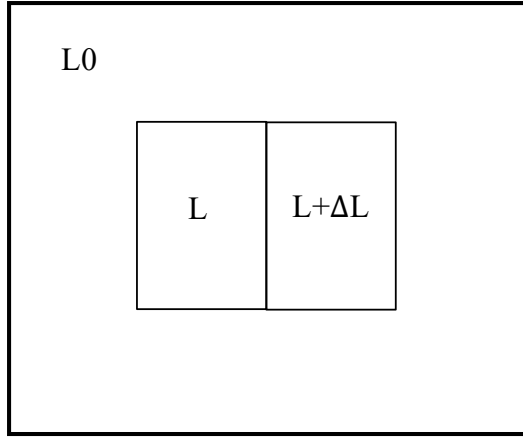
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Weber experiment (2)

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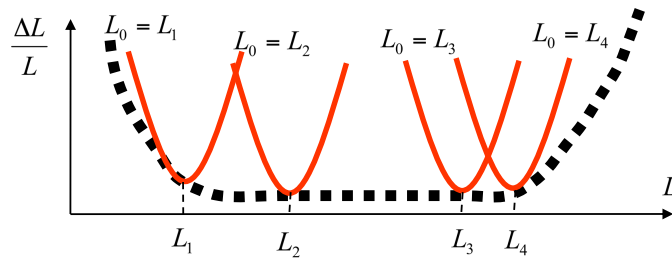


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Weber law

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- Weber constant



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## Choice of quantization step size

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- Objective metrics to predict quantization distortions
  - MSE
  - PSNR
- Visual distortions due to over-quantization
  - false contours
- Pre- and post-processing methods to reduce false contours
  - image rendering

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## False contours

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256  
quantization levels

8  
quantization levels

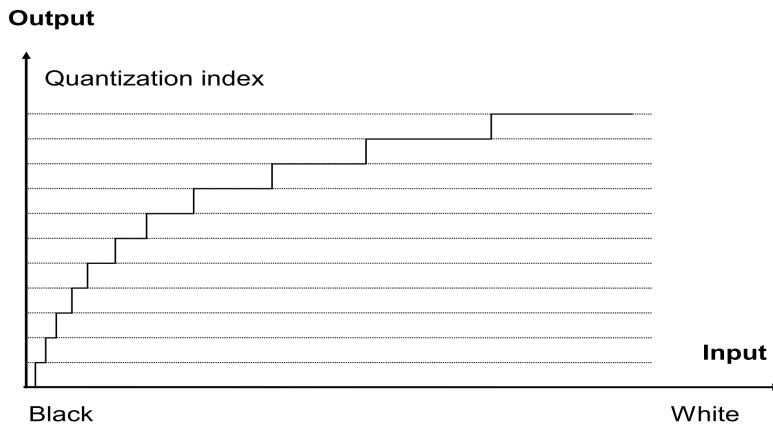


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### Non-uniform quantization

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- When the probability density function of a signal is not uniform, non-uniform quantization becomes a better choice.
- Non-uniform quantization takes better into account the non-linear properties of the human visual system

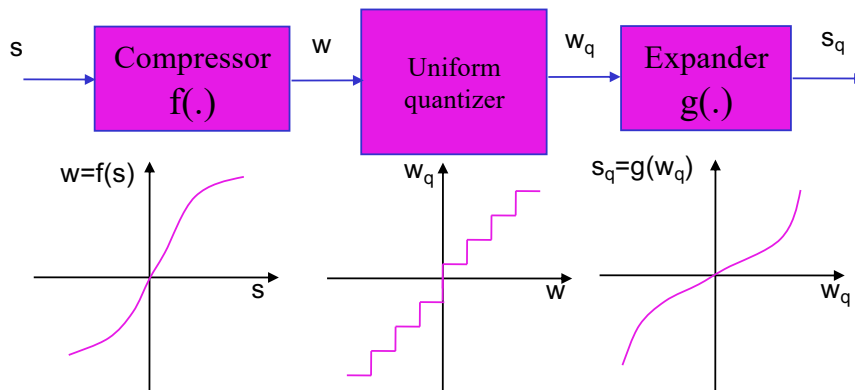


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### Compander

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- A compander is a uniform quantizer preceded and followed by non-linear transforms



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## Compander

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- When  $w \in [-a, a]$

$$f(x) = 2a \left\{ \frac{\int_{d_1}^x [p_s(s)]^{1/3} ds}{\int_{d_1}^{d_{Nq+1}} [p_s(s)]^{1/3} ds} \right\} - a$$

$$g(x) = f^{-1}(x)$$

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## Compander

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- When  $p_s(s) = p_s(-s)$

$$f(x) = a \left\{ \frac{\int_0^x [p_s(s)]^{1/3} ds}{\int_0^{d_{Nq+1}} [p_s(s)]^{1/3} ds} \right\} \text{ if } x \geq 0$$

$$f(x) = -f(-x) \text{ if } x < 0$$

$$g(x) = f^{-1}(x)$$

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## Quantization of color images

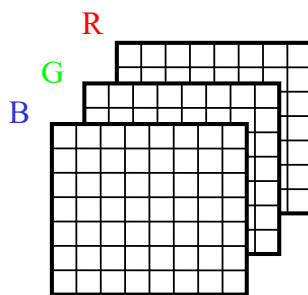
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- Each color component is quantized separately
- Some color components can be quantized (and even sampled) with different step sizes
- Alternative: Color quantization based on Look Up Tables (LUT)

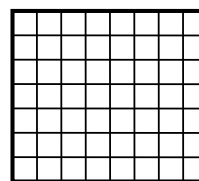
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## Look Up Table (LUT)

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True colors

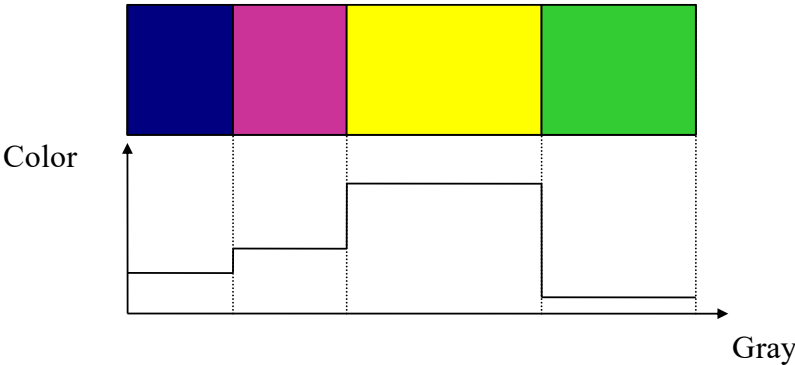


Look Up Tables

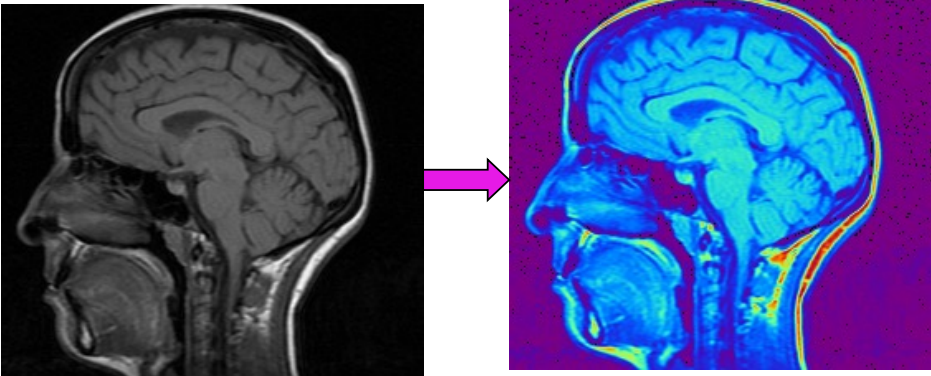
Valeur	R	G	B
0	10	10	10
1	10	20	30
2	30	100	20
...	...	...	...

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- Special LUTs



- Example



Original

False colors

# Correlation

## 2D correlation

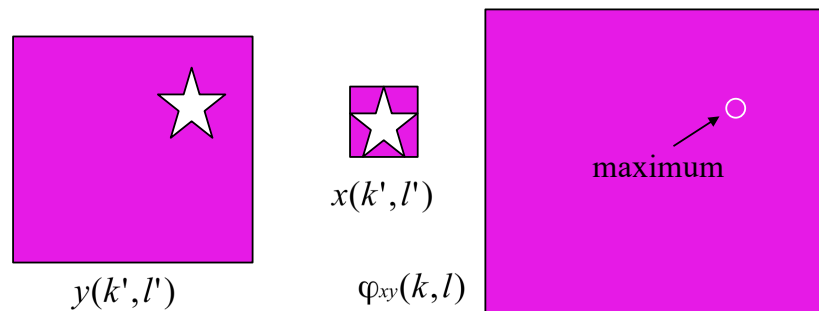
- 2D (inter)-correlation function

$$\varphi_{xy}(k, l) = \sum_{k'=-\infty}^{+\infty} \sum_{l'=-\infty}^{+\infty} x(k', l') y(k'+k, l'+l)$$

## Correlation

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- The (inter-) correlation function helps to measure the similarities between two signals
- Application example : Identify if a pattern is present in an image



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## Convolution

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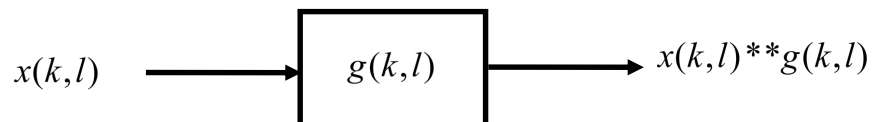
## 2D convolution

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- 2D convolution

$$x(k,l)**g(k,l) = \sum_{k'=-\infty}^{+\infty} \sum_{l'=-\infty}^{+\infty} x(k',l')g(k-k',l-l')$$

- Typical representation
  - Signal x is filtered by filter g



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## 2D convolution : properties

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- Commutativity

$$\begin{aligned} x(k,l)**g(k,l) &= \sum_{k'=-\infty}^{+\infty} \sum_{l'=-\infty}^{+\infty} x(k',l')g(k-k',l-l') \\ &= \sum_{k'=-\infty}^{+\infty} \sum_{l'=-\infty}^{+\infty} g(k',l')x(k-k',l-l') = g(k,l)**x(k,l) \end{aligned}$$

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## 2D convolution : properties

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- Associativity

$$[x(k,l)**g(k,l)]**h(k,l) = x(k,l)**[g(k,l)**h(k,l)]$$

- Distributivity

$$x(k,l)**[g(k,l) + h(k,l)] = x(k,l)**g(k,l) + x(k,l)**h(k,l)$$

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## Relationship between correlation and convolution

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- Correlation can be expressed as a convolution

$$\varphi_{xy}(k,l) = x(-k,-l)**y(k,l)$$

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- In practice, when calculating a convolution product, one has to:
  - Determine the most efficient formula
  - Determine the limits of sums
  - Resolve the border problem

- When the size of the image  $x(k,l)$  is much more important than the size of the filter  $g(k,l)$ , the following formula is more appropriate:

$$x(k,l) ** g(k,l) = \sum_{k'=-\infty}^{+\infty} \sum_{l'=-\infty}^{+\infty} g(k',l') x(k-k',l-l')$$

## Determine the limits of sums

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- Limits of sums are directly determined from the size of the filter  $g(k,l)$  :

$$x(k,l) ** g(k,l) = \sum_{k'=0}^{M_g-1} \sum_{l'=0}^{N_g-1} g(k',l')x(k-k',l-l')$$

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## Resolve the border problem

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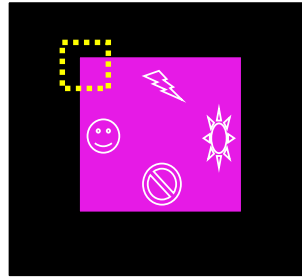
- Values of pixels outside of image  $x(k,l)$  must be determined when the filter  $g(k,l)$  only partially covers the image.
- Several approaches are possible:
  - Zero padding
  - Periodic extension
  - Mirror extension

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## Zero padding

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- Simple
- Produces strong border artifacts



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## Periodic extension

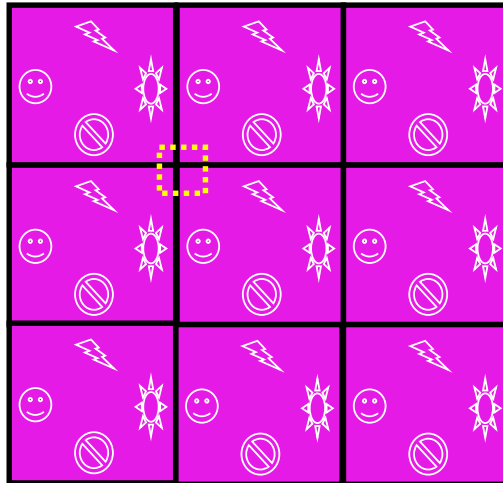
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- Simple algorithm
- Coherent with Fourier Transform approach
- Better results when compared to zero padding, if the opposite borders of the image are similar

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## Periodic extension

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## Mirror extension

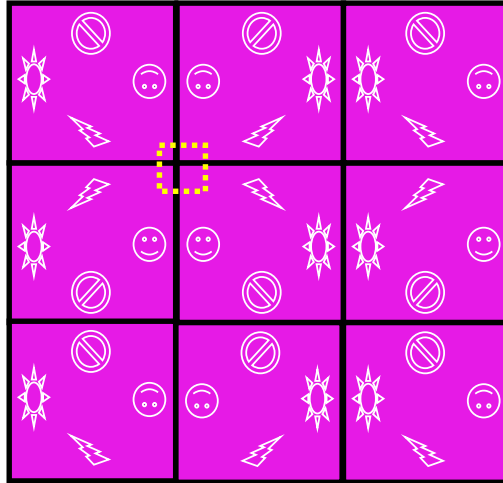
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- More complex
- Produces the least artifacts

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Mirror extension

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Z-transform  
Fourier Transform

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## 2D Z-transform (2DZT)

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- 2DZT of a 2D discrete signal  $x(k, l)$

$$X(z_1, z_2) = \sum_{k=-\infty}^{+\infty} \sum_{l=-\infty}^{+\infty} x(k, l) z_1^{-k} z_2^{-l}$$

- Inverse transform

$$x(k, l) = \frac{1}{(2\pi j)^2} \oint_{C_1} \oint_{C_2} X(z_1, z_2) z_1^{k-1} z_2^{l-1} dz_1 dz_2$$

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## 2DZT: properties

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- Linearity

$$y(k, l) = a_1 x_1(k, l) + a_2 x_2(k, l)$$

$$\Leftrightarrow$$

$$Y(z_1, z_2) = a_1 X_1(z_1, z_2) + a_2 X_2(z_1, z_2)$$

- Separability

$$x(k, l) = x_1(k) x_2(l)$$

$$\Leftrightarrow$$

$$X(z_1, z_2) = X_1(z_1) X_2(z_2)$$

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## 2DZT: properties

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- Translation

$$y(k, l) = x(k - k_0, l - l_0)$$

$$\Leftrightarrow$$

$$Y(z_1, z_2) = z_1^{-k_0} z_2^{-l_0} X(z_1, z_2)$$

- Convolution

$$y(k, l) = x(k, l) ** g(k, l)$$

$$\Leftrightarrow$$

$$Y(z_1, z_2) = X(z_1, z_2) \cdot G(z_1, z_2)$$

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## 2DZT: properties

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- Conjugate symmetry

$$y(k, l) = x^*(k, l)$$

$$\Leftrightarrow$$

$$Y(z_1, z_2) = X^*(z_1^*, z_2^*)$$

- Symmetry in spatial domain

$$y(k, l) = x(-k, -l)$$

$$\Leftrightarrow$$

$$Y(z_1, z_2) = X(z_1^{-1}, z_2^{-1})$$

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## 2D Continuous Fourier Transform (2DFT)

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- 2DFT of a discrete signal  $x(k, l)$

$$X(f, g) = \sum_{k=-\infty}^{+\infty} \sum_{l=-\infty}^{+\infty} x(k, l) e^{-j2\pi fk} e^{-j2\pi gl}$$

- Inverse transform

$$x(k, l) = \int_{-1/2}^{1/2} \int_{-1/2}^{1/2} X(f, g) e^{j2\pi fk} e^{j2\pi gl} df dg$$

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## 2DFT: properties

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- Periodicity

$$X(f + 1, g + 1) = X(f, g)$$

- Relationship to 2DZT

$$X(f, g) = X(z_1, z_2) \Big|_{z_1 = \exp(j2\pi f), z_2 = \exp(j2\pi g)}$$

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## 2DFT: properties

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- Symmetrical for all  $x(k,l)$  real

$$X(f, g) = X^*(-f, -g)$$

$$\operatorname{Re}[X(f, g)], |X(f, g)| \quad \text{Even functions}$$

$$\operatorname{Im}[X(f, g)], \arg[X(f, g)] \quad \text{Odd functions}$$

$$x(k, l) : \text{real and even} \Leftrightarrow X(f, g) : \text{real and even}$$

$$x(k, l) : \text{real and odd} \Leftrightarrow X(f, g) : \text{purely imaginary and odd}$$

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## Some definitions related to 2DFT

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- Transfer Function  $X(f, g)$

$$X(f, g) = |X(f, g)| e^{j\arg[X(f, g)]}$$

- Amplitude spectrum  $|X(f, g)|$

- Phase spectrum  $\arg[X(f, g)]$

- Energy (power) spectrum  $|X(f, g)|^2$

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## 2DFT: phase et magnitude

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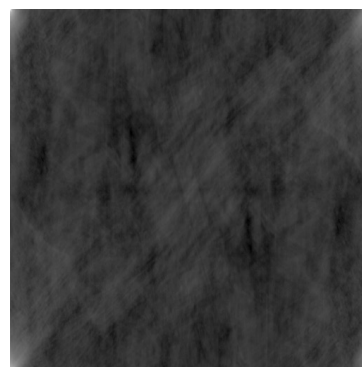
- The phase of 2DFT contains information about edges and contours of an image  
 ⇒ Image structure is very much visible in the phase of its 2DFT
- Theoretically, an image can be reconstructed from its phase or its magnitude only  
 – But for magnitude, it's more « difficult »

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## 2DFT: importance of magnitude information

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$$x'(k,l) = F^{-1} [|F[x(k,l)]|]$$



(logarithm of the intensity)

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### 2DFT: importance of phase information

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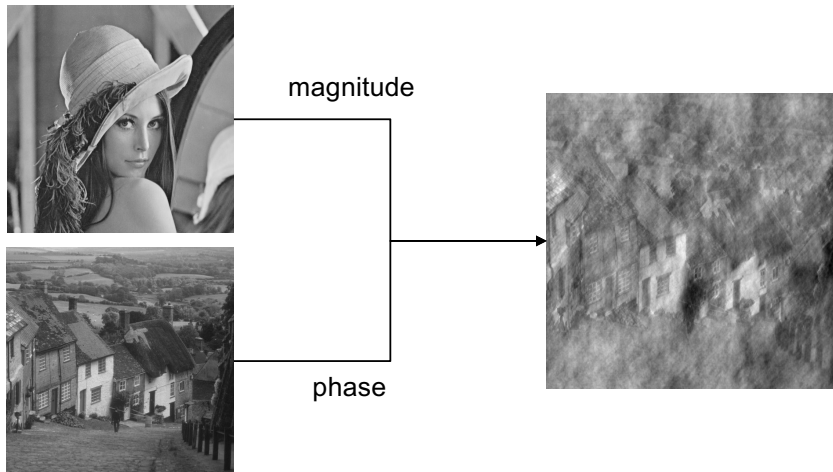
$$x'(k,l) = \text{Re} \left[ F^{-1} \left[ e^{j \arg F[x(k,l)]} \right] \right]$$



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### 2DFT: fusion of magnitude and phase

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## Discrete 2D Fourier Transform: D2DFT

81

- D2DFT is obtained by sampling in the frequency domain, the 2DFT of a discrete signal, with the following conditions:

$$f = m\Delta f \quad \text{avec} \quad \Delta f = \frac{1}{K} \quad \text{et} \quad \Delta g = \frac{1}{L}$$

$$g = n\Delta g$$

81

## D2DFT: Formula

82

- Forward transform

$$X(m, n) = \sum_{k=0}^{K-1} \sum_{l=0}^{L-1} x(k, l) \exp[-2j\pi(\frac{mk}{K} + \frac{nl}{L})]$$

- Inverse transform

$$x(k, l) = \frac{1}{KL} \sum_{m=0}^{K-1} \sum_{n=0}^{L-1} X(m, n) \exp[2j\pi(\frac{mk}{K} + \frac{nl}{L})]$$

82

## D2DFT: properties

83

- All properties of 2DFT remain valid for D2DFT
- The sum of the coefficients of a digital filter provides its frequency response at the origin:

$$X(0,0) = \sum_{k=0}^{K-1} \sum_{l=0}^{L-1} x(k,l)$$

83

## D2DFT: multiplication and circular convolution

84

- Multiplication of D2DFTs produces a circular convolution !!!

$$x(k,l) \# \# y(k,l) \xleftrightarrow{TF} X(m,n)Y(m,n)$$

$$x(k,l) \# \# y(k,l) = \sum_{m=0}^K \sum_{n=0}^L x(m,n) y((k-m) \bmod K, (l-n) \bmod L)$$

84

## D2DFT: fast transform and filtering

85

- A fast implementation of D2DFT is possible if the dimensions of the signal are in powers of 2
- Complexity:

$$O(N^2) \Rightarrow O(N \log N)$$

⇒ This represents an interest in frequency domain filtering

85

86

## 2D digital filtering

86

## 2D digital filtering

87

- In practice three approaches can be used to perform filtering operations
  - Filtering by convolution (direct method)
  - Filtering in the transform domain (Fourier)
  - Filtering by differential equations

87

## Linear filters, transfer functions, frequency responses

88

- A linear and delay invariant filter can be completely characterized by its impulse response  $h(k,l)$

$$y(k,l) = h(k,l) ** x(k,l)$$

- The transfer function  $H(z_1, z_2)$  is given by the Z-transform of  $h(k,l)$

$$Y(z_1, z_2) = H(z_1, z_2)X(z_1, z_2)$$

- The frequency response is given by:

$$H(z_1 = e^{j2\pi f}, z_2 = e^{j2\pi g})$$

88

## Stability issues in digital 2D filters

89

- A filter is stable if for any finite amplitude input signal, the output is also of finite amplitude
- Stable filter  $\Leftrightarrow$  Stable impulse response
 
$$\sum_{k=-\infty}^{+\infty} \sum_{l=-\infty}^{+\infty} |h(k,l)| < \infty$$
- Stable filter  $\Leftrightarrow$  the unit hyper-sphere ( $|z_1|=1, |z_2|=1$ ) is contained in RoC of  $H(z_1, z_2)$

89

## FIR filters

90

- $h(k,l)$  is a Finite Impulse Response (FIR) filter if it has a finite number of non-zero samples
  - Always stable
- Easy to conceive and to implement
- Very much used in practice
- Typically with odd samples in each dimension and of limited size
  - $3 \times 3, 9 \times 9, \dots, \text{max. } 19 \times 19 \text{ or } 21 \times 21$

90

## FIR filters of zero phase

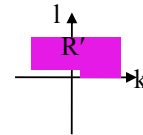
91

- In image processing phase information is very important. It should not be modified by filtering  $\Rightarrow$

$$h(k,l) = h(-k,-l) \Leftrightarrow H(f,g) = H^*(f,g)$$

- The frequency response:

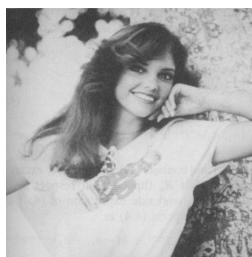
$$H(f,g) = h(0,0) + \sum_{(k,l) \in \mathbb{R}^2} 2h(k,l) \cos(2\pi fk + 2\pi gl)$$



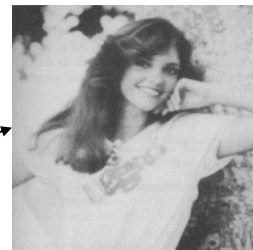
91

## FIR filters of zero phase

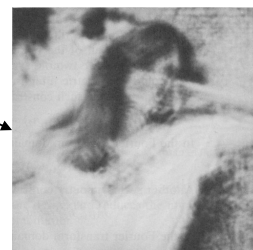
92



low-pass filter  
with zero phase



low pass filter  
with non-zero phase



92

## Filtering by convolution

93

- Easy to implement on DSPs
- Particularly suitable for FIR filters with relatively small dimensions

93

## Frequency domain filtering (Fourier)

94

- Could be used for both Finite Impulse Response (FIR) and Infinite Impulse Response (IIR) filters
- Advantageous when the size of the image is large and the filter is non-trivial
  - Extension to dimensions in powers of 2

94

Frequency domain filtering (Fourier) 95

Image

 $x(k,l)$ 

D2DFT ↓ ★

 $X(m,n)$

Filter

 $g(k,l)$ 

D2DFT ↓ ★

 $G(m,n)$


Filtered image

 $Y(m,n)$ 


D2DFT<sup>-1</sup> ↓ ★

 $y(k,l)$

$x(k,l) \overset{**}{\times} g(k,l) \overset{\times}{=} Y(m,n) \overset{\text{D2DFT}^{-1}}{\downarrow} y(k,l)$



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95

Frequency domain filtering (Fourier) 96

- The difference in size between the image and the filter is not a problem
  - the filter is extended to the same size by zero padding


Image

→


Filtre

→

Filtre



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96

## Filtering with 2D differential equations

97

- 2D differential equations are the only way to realize IIR filters

$$\sum_{(m,n) \in R_a} a(m,n)y(k-m,l-n) = \sum_{(m,n) \in R_b} b(m,n)x(k-m,l-n)$$

$R_a$  and  $R_b$  are supports of  $a(k,l)$  and  $b(k,l)$

- Additional boundary conditions are necessary to obtain a unique solution (i.e. a well-defined system)
- Not all boundary conditions result in a linear system

97

## Stability issues in IIR filters

98

- Transfert function

$$H(z_1, z_2) = \frac{\sum b(k,l)z_1^{-k}z_2^{-l}}{\sum a(k,l)z_1^{-k}z_2^{-l}} = \frac{B(z_1, z_2)}{A(z_1, z_2)}$$

– RoC should contain ( $|z_1|=1, |z_2|=1$ )

98

## FIR versus IIR filters

99

- FIR filters are always stable
- FIR filters are easier to conceive
- Zero phase FIR filters are more trivial
- IIR filters often require less mathematical operations for a similar frequency response
  - But an implementation in the Fourier domain is often equivalent in terms of complexity
- In practice IIR filters are not often used

99

## Example of filtering - A

100

$$g(k,l) = \begin{bmatrix} 0 & 1/6 & 0 \\ 1/6 & 1/3 & 1/6 \\ 0 & 1/6 & 0 \end{bmatrix}$$

100

## Example of filtering - A

101

- Frequency response :  $G(f, g)$

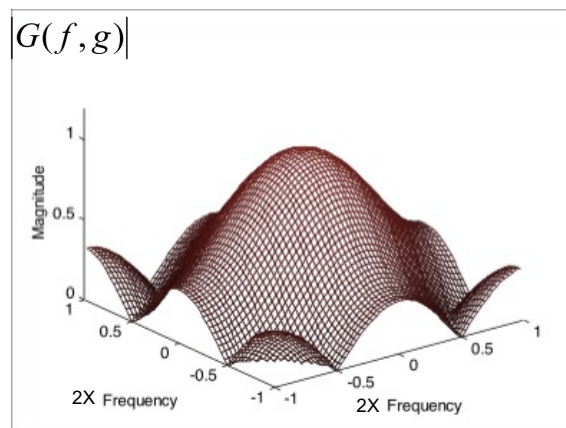
$$\begin{aligned}
 G(f, g) &= \sum_{k=-1}^1 \sum_{l=-1}^1 g(k, l) e^{-j2\pi f k} e^{-j2\pi g l} \\
 &= \frac{1}{3} + \frac{1}{6} e^{-j2\pi f} + \frac{1}{6} e^{-j2\pi g} + \frac{1}{6} e^{+j2\pi f} + \frac{1}{6} e^{+j2\pi g} \\
 &= \frac{1}{3} + \frac{1}{3} \cos(2\pi f) + \frac{1}{3} \cos(2\pi g)
 \end{aligned}$$

$$G(0, 0) = 1$$

101

## Example of filtering - A

102



102

## Example of filtering - A

103

Implementation by 2-D convolution

$$y(k,l) = 1/6[x(k,l+1) + x(k-1,l) + 2x(k,l) + x(k+1,l) + x(k,l-1)]$$

Extension of the samples in the image beyond its support domain

103

## Example of filtering - B

104

$$g(k,l) = \begin{bmatrix} 1 & -3 & 1 \\ -3 & 9 & -3 \\ 1 & -3 & 1 \end{bmatrix}$$

104

## Example of filtering - B

105

- Observation

$$g(k,l) = \begin{bmatrix} 1 & -3 & 1 \\ -3 & 9 & -3 \\ 1 & -3 & 1 \end{bmatrix} = \begin{bmatrix} -1 \\ 3 \\ -1 \end{bmatrix} \begin{bmatrix} -1 & 3 & -1 \end{bmatrix} = g_1(k) \cdot g_1(l)$$

$$g_1(k) = \begin{bmatrix} -1 & 3 & -1 \end{bmatrix}$$

105

## Example of filtering - B

106

- Frequency response

$$G(f, g) = G_1(f) \cdot G_1(g)$$

$$G_1(f) = \sum_{k=-1}^1 g_1(k) e^{-j2\pi f k}$$

$$= -e^{+j2\pi f} + 3 - e^{-j2\pi f} = 3 - 2 \cos(2\pi f)$$

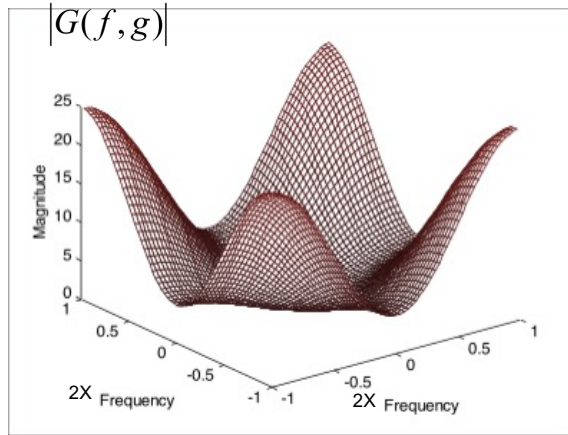
$$G(f, g) = [3 - 2 \cos(2\pi f)] \cdot [3 - 2 \cos(2\pi g)]$$

$$G(0,0) = 1$$

106

Example of filtering - B

107



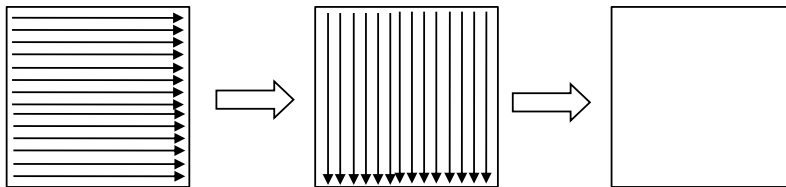
107

Example of filtering - B

108

Implementation by 1-D convolution

$$y'(k) = -x'(k - 1) + 3x'(k) - x'(k + 1)$$



Extension of the samples in the image beyond its support domain

108

## Example of filtering - C

109

$$g(k,l) = \begin{bmatrix} 1 & 1 & \dots & 1 & 1 \\ 1 & \ddots & & & 1 \\ \vdots & & \ddots & & \vdots \\ 1 & & & \ddots & 1 \\ 1 & 1 & \dots & 1 & 1 \end{bmatrix} \begin{matrix} \updownarrow \\ 15 \end{matrix}$$

$$\leftarrow 15 \rightarrow$$

109

## Example of filtering - C

110

- Observation

$$g(k,l) = \begin{bmatrix} 1 & 1 & \dots & 1 & 1 \\ 1 & \ddots & & & 1 \\ \vdots & & \ddots & & \vdots \\ 1 & & & \ddots & 1 \\ 1 & 1 & \dots & 1 & 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ \vdots \\ 1 \\ 1 \end{bmatrix} \begin{bmatrix} 1 & 1 & \dots & 1 & 1 \end{bmatrix} = g_1(k) \cdot g_1(l)$$

$$g_1(k) = \begin{bmatrix} 1 & 1 & \dots & 1 & 1 \end{bmatrix}$$

110

## Example of filtering - C

111

- Frequency response

$$G(f, g) = G_1(f) \cdot G_1(g)$$

$$G_1(f) = \sum_{k=-7}^7 g_1(k) e^{-j2\pi f k}$$

$$= 1 + 2 \sum_{n=1}^7 \cos(2\pi n f)$$

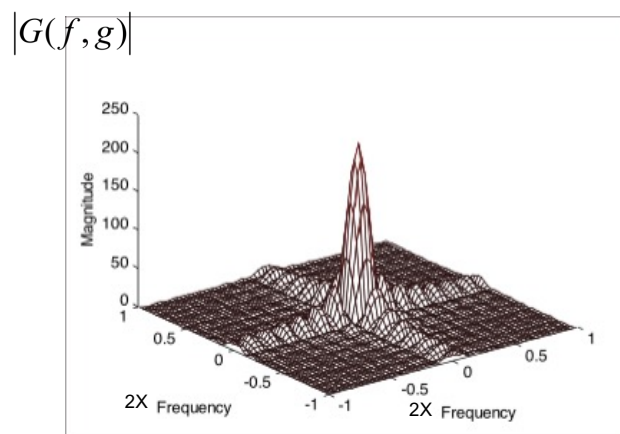
$$G(f, g) = \left[1 + 2 \sum_{n=1}^7 \cos(2\pi n f)\right] \cdot \left[1 + 2 \sum_{m=1}^7 \cos(2\pi m g)\right]$$

$$G(0,0) = 225$$

111

## Example of filtering - C

112



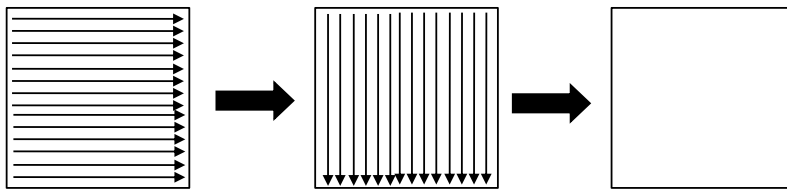
112

Example of filtering - C

113

Implementation by 1-D convolution

$$y'(k) = x'(k - 7) + \dots + x'(k) + \dots + x'(k + 7)$$

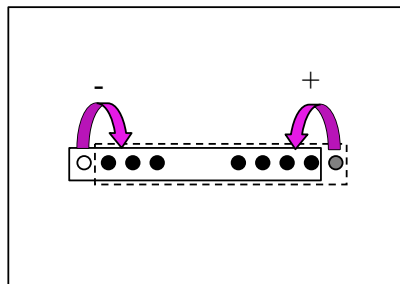


Extension of the samples in the image beyond its support domain

113

Example of filtering - C

114



Implementation by 1-D differential equations

$$y'(k + 1) = y'(k) + x'(k + 8) - x'(k - 7)$$

114

Digital filtering - original

115



115

Digital filtering - filter A

116



116

Digital filtering - filter B

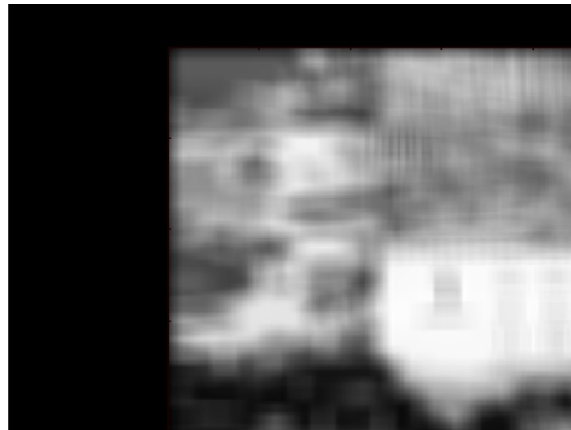
117



117

Digital filtering - filter C

118



118

## Up-sampling

119

- Up-sampling of a discrete signal is performed by interleaving a number of zeros between its samples, followed by an ideal low-pass filter
  - Insertion of zero samples produces a compaction and repetition of the spectrum of the input
  - The ideal low-pass filter isolates the main spectrum

119

## Zero order up-sampling

120

- Replacing zeros interleaving by sample repetition

$$\begin{array}{cc}
 & 1 & 1 & 2 & 2 \\
 1 & 2 & & & \\
 3 & 4 & \Rightarrow & 1 & 1 & 2 & 2 \\
 & & & 3 & 3 & 4 & 4 \\
 & & & 3 & 3 & 4 & 4
 \end{array}$$

- Similar to use of an “averaging” low-pass filter after zero interleaving

$$\begin{array}{cc}
 1 & 1 \\
 1 & 1
 \end{array}$$

120

### Zero order up-sampling

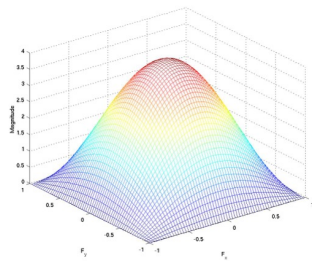
121



121

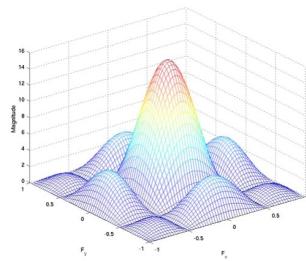
### 'averaging' low pass filter

122



2x2

$$\begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$$



4x4

$$\begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \end{bmatrix}$$

→ introduction of high frequencies!

122

## Polynomial filters of higher orders

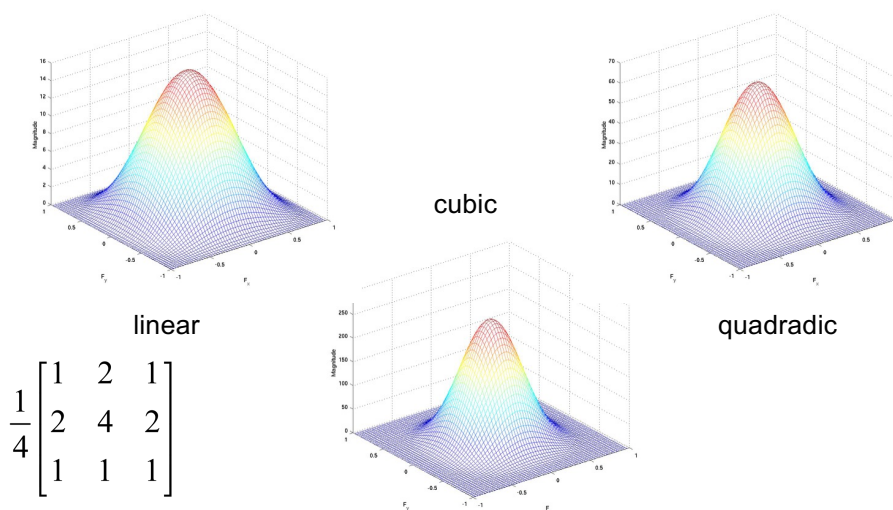
123

- Up-sampling can be improved by use of higher order polynomial interpolation functions
- This is equivalent to application of an “averaging” low-pass filter in a recursive way
- Terminology
  - linear = ‘averaging’ \*\* ‘averaging’
  - quadratic = linear \*\* ‘averaging’
  - cubic = quadratic \*\* ‘averaging’

123

## Polynomial filters driven from a 2x2 ‘averaging’

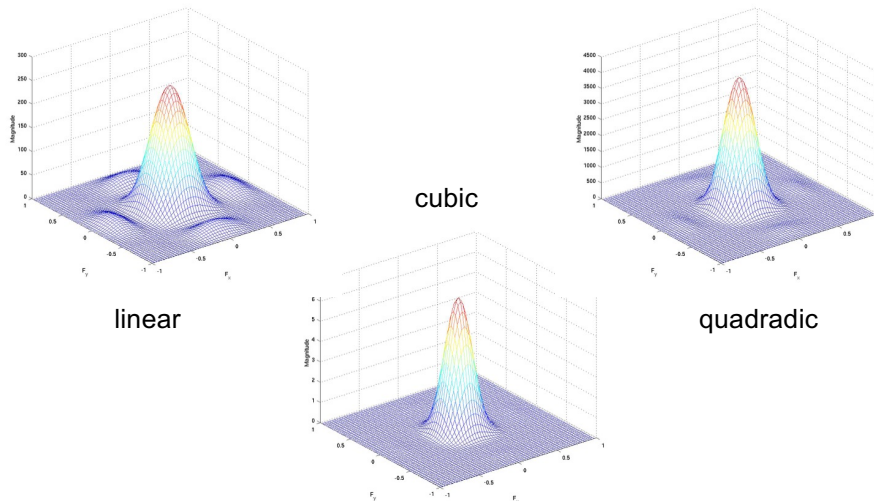
124



124

## Polynomial filters driven from a 4x4 'averaging'

125



125

## Gaussian filter

126

- When the order of a polynomial filter increases, it tends to a Gaussian filter

$$G_{\sigma}(k,l) = \frac{1}{2\sigma^2\pi} e^{-\frac{k^2+l^2}{2\sigma^2}}$$

- Gaussian filter is a separable filter

$$G_{\sigma}(k,l) = G_{\sigma}(k)G_{\sigma}(l) \quad G_{\sigma}(k) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\frac{k^2}{2\sigma^2}}$$

126

## Gaussian filter

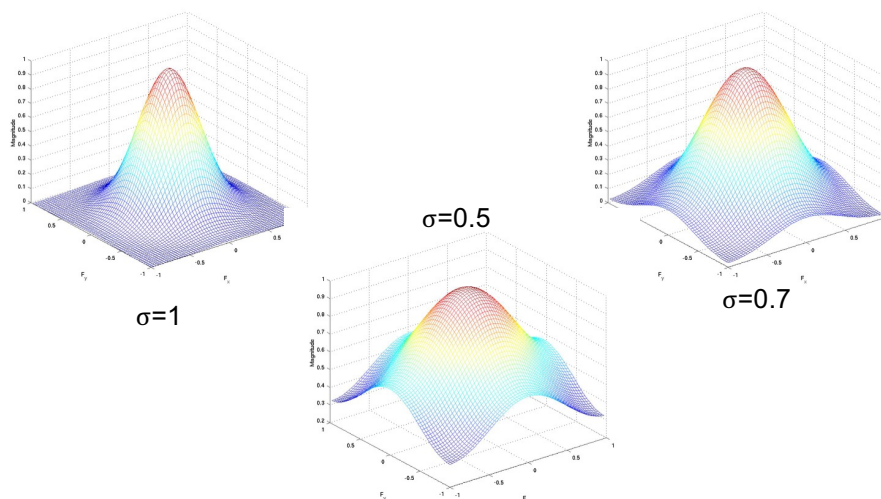
127

- In the continuous domain, the Fourier transform of a Gaussian signal is another Gaussian with an inversed standard deviation
  - Infinite support in both space and frequency domains
- In the discrete domain, the Fourier transform of a Gaussian signal is a Gaussian with spectral overlapping

127

## Gaussian filter of size 7x7

128



128

## Gaussian filter: rational approximation

129

- Coefficients of a Gaussian filter are irrational numbers
  - problem of implementation in integer arithmetics
- A rational approximation is therefore desired
  - This can be achieved by making use of a binomial distribution based on central limit theorem

129

## Gaussian filter: rational approximation

130

- 1-D case

$$b(s) = \frac{n!}{s!(n-s)!} \frac{1}{2^n}, \quad s = 0, 1, \dots, n$$

n	normalization factor	coefficients
1	2	1 1
2	4	1 2 1
3	8	1 3 3 1
4	16	1 4 6 4 1
5	32	1 5 10 10 5 1
6	64	1 6 15 20 15 6 1
7	128	1 7 21 35 35 21 7 1

- 2-D case is obtained by separable extension

130

## Laplacian: approximation

131

- Laplacian is defined as:

$$\nabla^2 f(x, y) = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2}$$

- Using a decomposition in Taylor series:

$$f(x+1) \approx f(x) + f'(x) + \frac{1}{2} f''(x)$$

one obtains  $f'(x) \approx f(x) - f(x-1)$

$$f''(x) \approx 2f(x+1) - 4f(x) + 2f(x-1)$$

131

## Laplacian: approximation

132

- The following filter is a possible approximation of Laplacian

$$\begin{bmatrix} 0 & 1 & 0 \\ 1 & -4 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

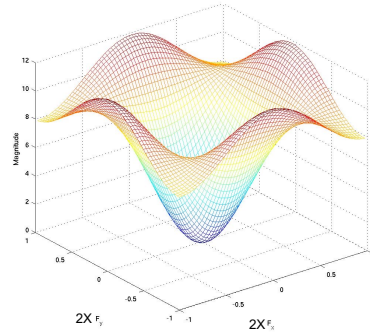
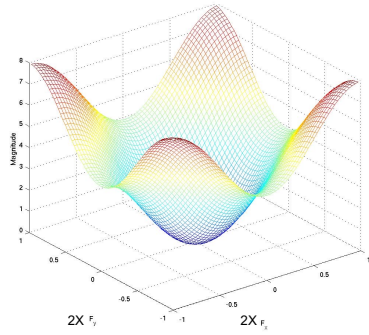
- Other approximations

$$\begin{bmatrix} 1 & 1 & 1 & -1 & 2 & -1 \\ 1 & -8 & 1 & 2 & -4 & 2 \\ 1 & 1 & 1 & -1 & 2 & -1 \end{bmatrix} \frac{4}{\alpha + 1} \begin{bmatrix} \frac{\alpha}{4} & \frac{1-\alpha}{4} & \frac{\alpha}{4} \\ \frac{1-\alpha}{4} & -1 & \frac{1-\alpha}{4} \\ \frac{\alpha}{4} & \frac{1-\alpha}{4} & \frac{\alpha}{4} \end{bmatrix}$$

132

Laplacian

133



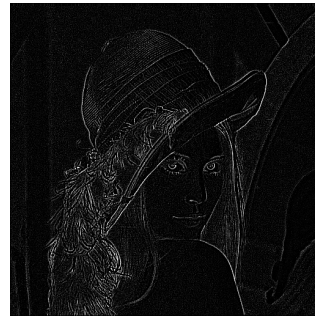
$$\begin{bmatrix} 0 & 1 & 0 \\ 1 & -4 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 1 & 1 \\ 1 & -8 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$

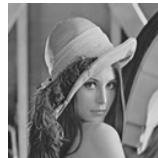
133

Laplacian

134



$$\begin{matrix} \uparrow \\ \begin{bmatrix} 0 & 1 & 0 \\ 1 & -4 & 1 \\ 0 & 1 & 0 \end{bmatrix} \end{matrix} \leftarrow$$



$$\begin{matrix} \uparrow \\ \begin{bmatrix} 1 & 1 & 1 \\ 1 & -8 & 1 \\ 1 & 1 & 1 \end{bmatrix} \end{matrix} \rightarrow$$

134

## « Sharpening »

135

- Edges in an image can be enhanced by subtracting from an original signal the result of its Laplacian filtering

$$\begin{bmatrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} - \begin{bmatrix} 0 & 1 & 0 \\ 1 & -4 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

- This would however also enhance noise

135

## « sharpening »

136



136

## Laplacian of a Gaussian

137

- To avoid problems with noise, Gaussian filtering can be applied before Laplacian

$$\Delta G_{\sigma}(k,l) = \frac{k^2 + l^2 - 2\sigma^2}{2\pi\sigma^6} e^{-\frac{k^2+l^2}{2\sigma^2}}$$

- The basic principle of “sharpening” remains valid

137

## Sharpening with Laplacian of a Gaussian

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138