

Optical methods in chemistry
or
Photon tools for chemical sciences

Session 10:

Course layout – contents overview and general structure

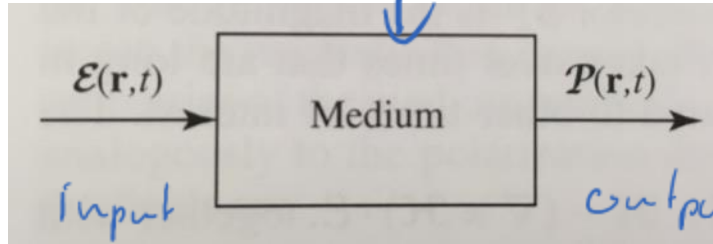
- Introduction and ray optics
- Wave optics
- Beams
- From cavities to lasers
- More lasers and optical tweezers
- From diffraction and Fourier optics
- Microscopy
- Spectroscopy
- Electromagnetic optics
- **Absorption, dispersion, and non-linear optics**
- Ultrafast lasers
- Introduction to x-rays
- X-ray diffraction and spectroscopy
- Summary

Today:

More materials properties, linear and non-linear

Electromagnetic waves in dielectric media

General



interpretation \rightarrow output response

in response to an electric field \mathcal{E}
media creates a polarization

"instantaneous" "no \vec{r} " "no direction"

But stick with linear, nondispersive, homogenous, and isotropic media right now:

$$\mathcal{E} \rightarrow [\underline{M}] \rightarrow \mathcal{P}$$

simplify to

$$\mathcal{P} = \epsilon_0 \chi \mathcal{E}$$

electric susceptibility

χ scalar

$$\mathcal{E} \rightarrow [\underline{\chi}] \rightarrow \mathcal{P}$$

$$D = \epsilon \mathcal{E} \quad / \quad \epsilon = \epsilon_0 (1 + \chi)$$

$$\epsilon = \epsilon_0 (1 + \chi)$$

\uparrow dielectric constant
"electric permittivity"³

This leads to the following Maxwell and wave equations

$$\begin{aligned}\nabla \times \mathcal{H} &= \epsilon \frac{\partial \mathcal{E}}{\partial t} \\ \nabla \times \mathcal{E} &= -\mu \frac{\partial \mathcal{H}}{\partial t} \\ \nabla \cdot \mathcal{E} &= 0 \\ \nabla \cdot \mathcal{H} &= 0.\end{aligned}$$

ϵ - dielectric constant (electric permittivity)
 μ - magnetic permeability

back to wave equation

$$\nabla^2 u - \frac{1}{c^2} \frac{\partial^2 u}{\partial t^2} = 0 \quad | \text{ in medium}$$

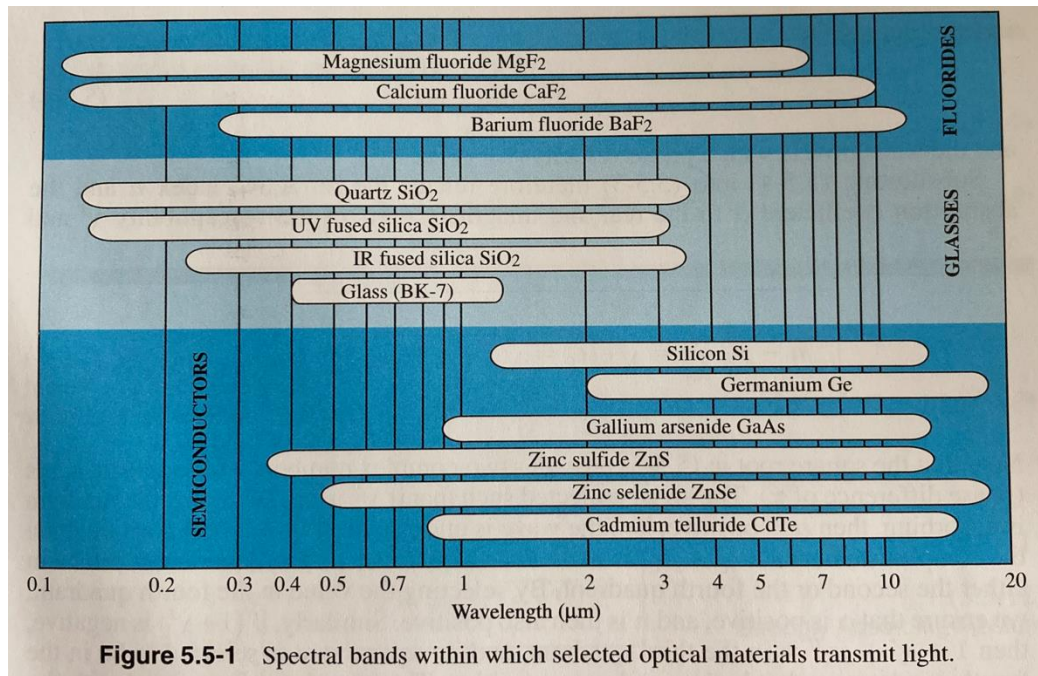
$$c = \frac{1}{\sqrt{\epsilon \mu}}$$

define $n = \frac{c_0}{c} = \sqrt{\frac{\epsilon}{\epsilon_0} \frac{\mu}{\mu_0}} = \underline{\text{refractive index!}}$

non-magnetic materials

$$n = \sqrt{\frac{\epsilon}{\epsilon_0}} = \sqrt{1 + \chi}$$

Generalized optical constant



So far:

- assumed media to be transparent
- linear interactions

But we know:

- there is absorption

(Phenomenological) Approach:

→ add a second component to refractive index

independent variable → complex number

from $n \sim \sqrt{1+\chi}$ → $\chi = \chi' + i\chi''$

} note that $\epsilon = \epsilon_0 (1+\chi)$
(permittivity)
and all others will also become complex!

Absorption: The imaginary part

Helmholtz equation $\nabla^2 u + \lambda^2 u = 0$ with complex u

now also λ complex: $\lambda = \omega \sqrt{\epsilon \mu_0} = k_0 \sqrt{1 + \chi} = \lambda_0 \sqrt{1 + \chi' + i\chi''}$

define λ as complex and separate variables

$$\lambda = \beta - i \frac{1}{2} \alpha = \lambda_0 \sqrt{1 + \chi' + i\chi''}$$

put λ into wave equation

$$A \exp(-i\lambda z)$$

$$A \underbrace{\exp(-\frac{1}{2}\alpha z)}_{\text{attenuation}} \exp(-i\beta z)$$

Envelope A attenuated by $\exp(-\frac{1}{2}\alpha z)$

\Rightarrow absorption

$\alpha > 0$ - absorption coefficient

Complex refractive index

General:

$$n - j\frac{1}{2}\frac{\alpha}{k_0} = \sqrt{\epsilon/\epsilon_0} = \sqrt{1 + \chi' + j\chi''}.$$

} Relationship between n , α , and χ

Weakly absorbing media: (glass)

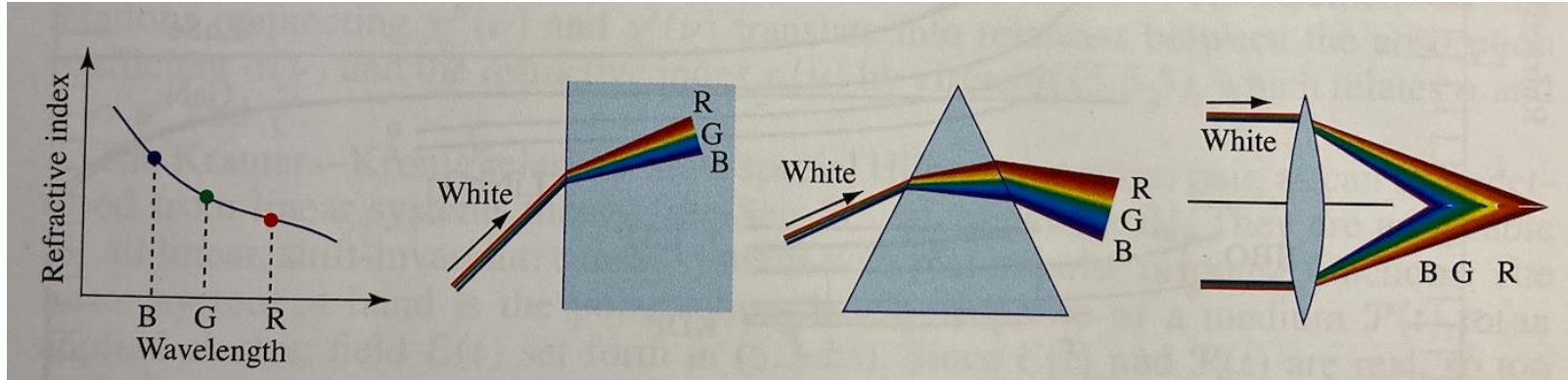
$$n \approx \sqrt{1 + \chi'}$$
$$\alpha \approx -\frac{k_0}{n}\chi''.$$

} Refractive index determined by $n = \sqrt{1 + \chi'}$
 α small (no absorption)
 $n \approx \chi'$

Dispersion: The real part

→ fct of ν (frequency)

Refractive index $f(\nu)$



Remember

$$\Sigma \rightarrow \boxed{\text{Med}} \rightarrow P$$

$$P = \epsilon_0 \chi \Sigma$$

↑

how ν -dependent

$$A = A \underbrace{\exp\left(-\frac{1}{2} \alpha z\right)}_{\text{absorption}} \underbrace{\exp(-i\beta z)}_{\text{wave propagation}}$$

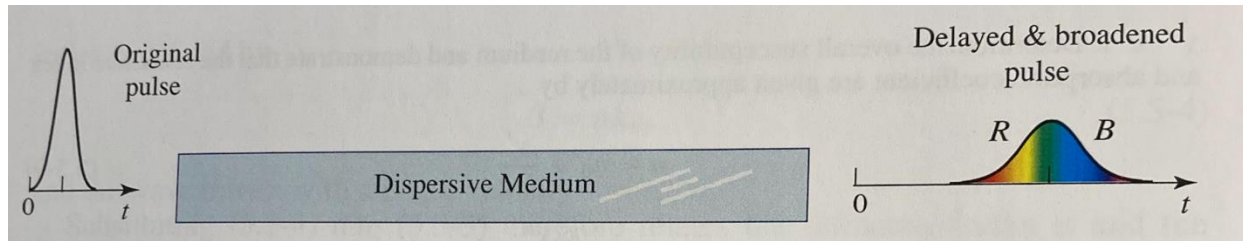
Relationship $\alpha = \beta - i\frac{1}{2}\alpha = \alpha_0 \sqrt{1 + \chi' + \chi''}$

Now $\chi \rightarrow \chi(\nu) \rightarrow$ frequency dependent

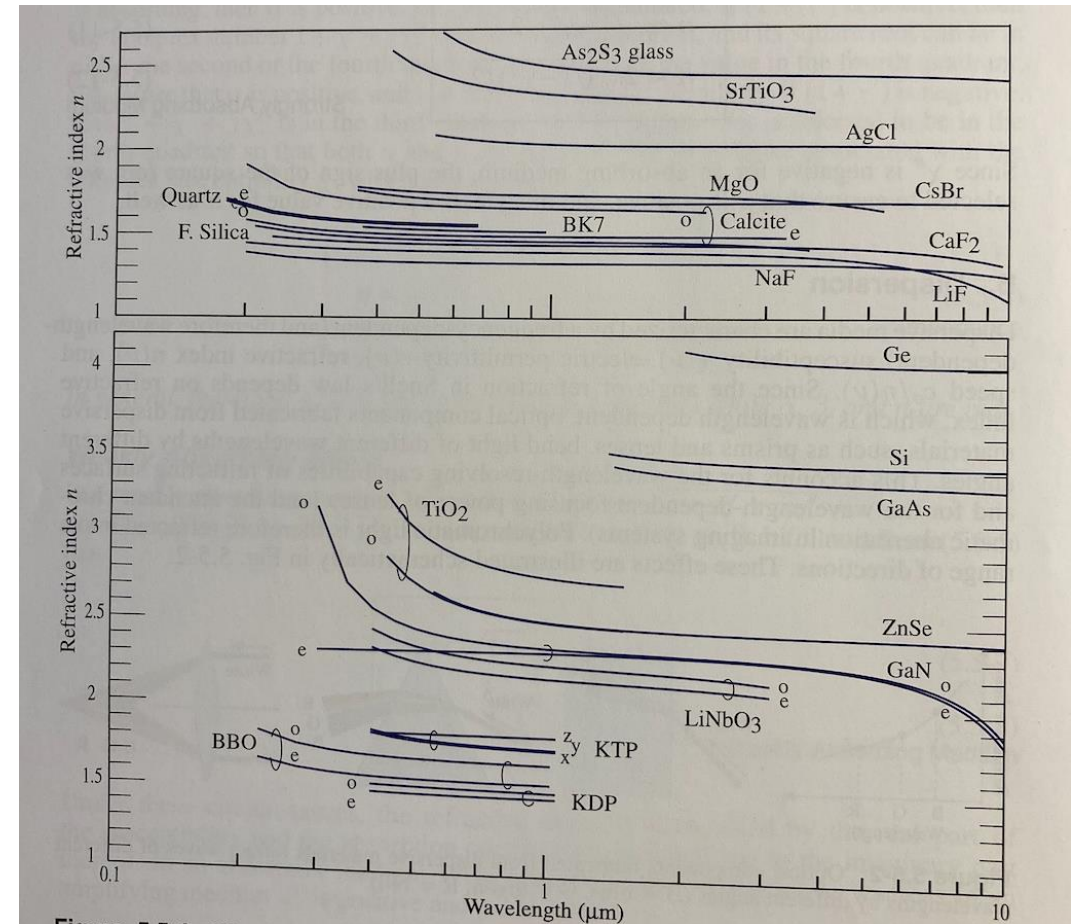
→ everything is frequency dependent

$$A = \dots \exp(-i\beta(\nu) z) \text{ is fct of } \nu$$

A short pulse in a dispersive medium



- ↓
- pulse propagation \leftrightarrow velocities
- fct of $X'(v)$
 - different colors travel at different speeds
 - arrive at different times
 - broadened



↳ wave length / frequencies
 refractive index fct of v/λ

The Kramers-Kronig Relation

$$\chi'(\nu) = \frac{2}{\pi} \int_0^{\infty} \frac{s\chi''(s)}{s^2 - \nu^2} ds$$
$$\chi''(\nu) = \frac{2}{\pi} \int_0^{\infty} \frac{\nu\chi'(s)}{\nu^2 - s^2} ds.$$

Without too much maths ...:

Absorption & dispersion are intimately related

⇔

A dispersive material is also absorptive &
vice versa

⇒ if you measure one property of the refractive index
over a significant range, you can determine the other one

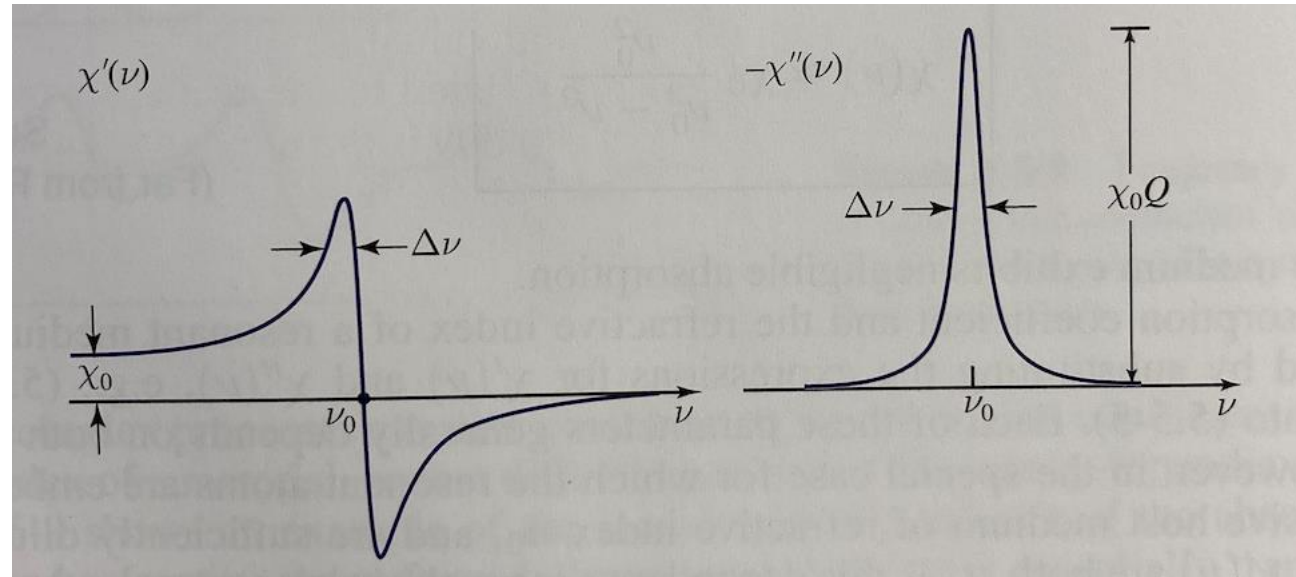
→ Relates χ' and χ''
and therefore α , β , n , ...

Resonances and refractive index

Treat in Lorentz oscillator model

→ Again relate macroscopic to microscopic behavior

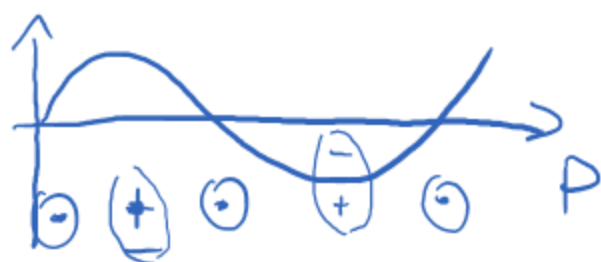
→ Remember oscillator



$$\frac{d^2x}{dt^2} + \sigma \frac{dx}{dt} + \omega_0^2 x = \frac{F}{m}$$

or polarization

$$\frac{d^2P}{dt^2} + \sigma \frac{dP}{dt} + \omega_0^2 P = \omega_0^2 \epsilon \chi_0 E$$



Coupled oscillators

zero crossing

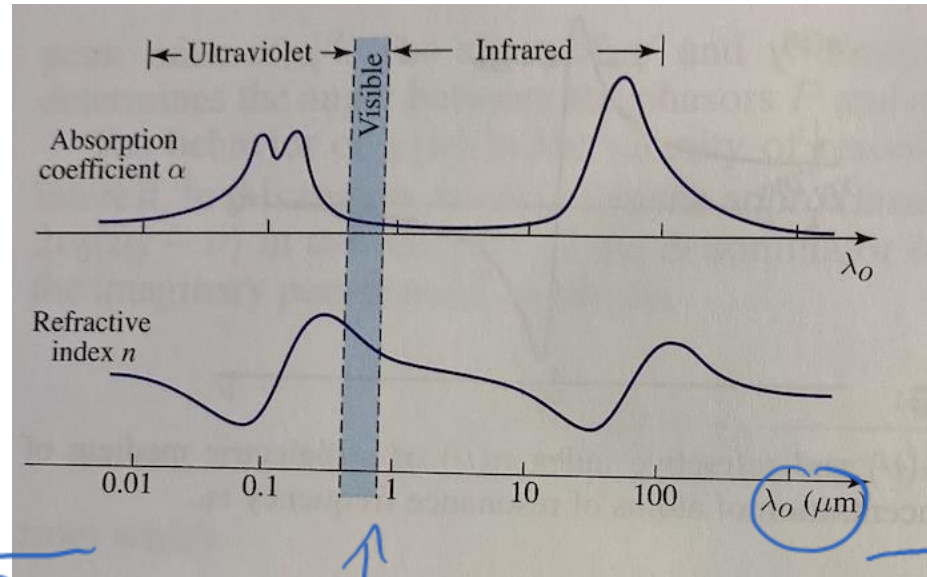
absorption resonance

low frequencies $\chi \sim \chi_0$

above resonance $\chi' \rightarrow 0, \chi'' \rightarrow 0$ ("free space")

→ no/weak ¹¹ absorption

A real optical (transparent) material



X-rays ←

↓
Core electrons

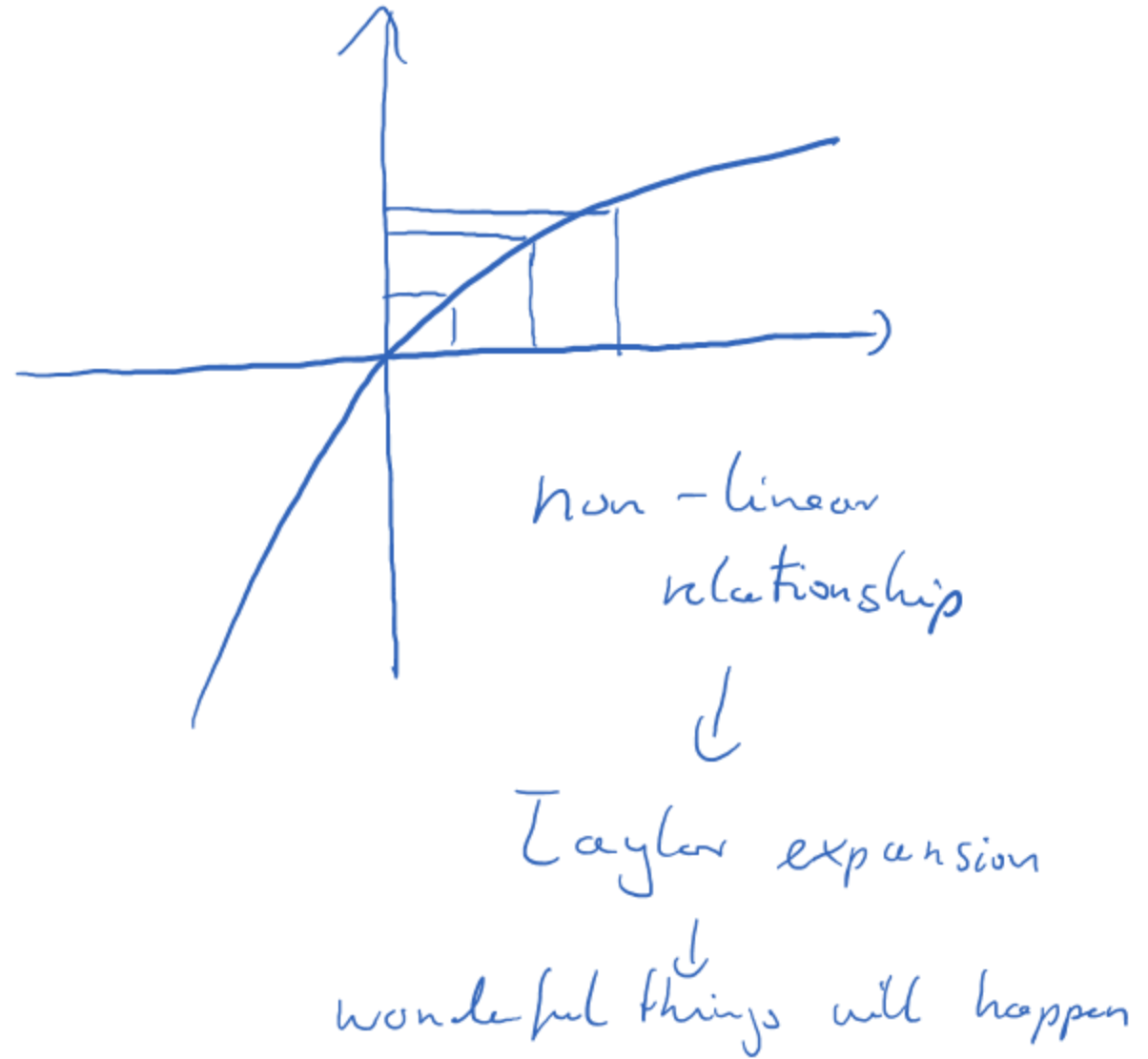
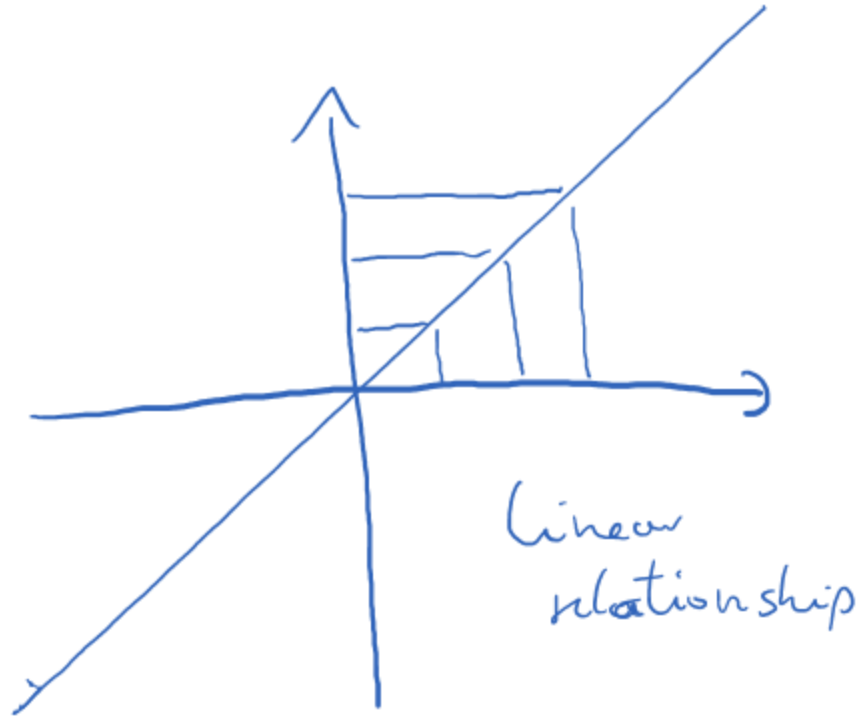
frequencies ←

↑
your
experience

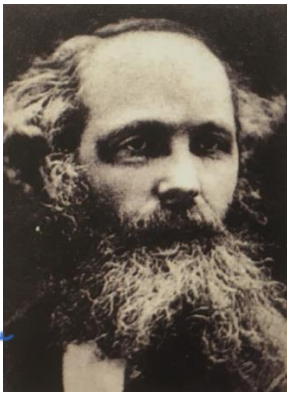
→ wavelengths

bottom line: a material is never really fully transparent
→ "simple" refractive index works only in selected regimes

From linear to non-linear



Back to formal description of light as EM wave (from Session 9)

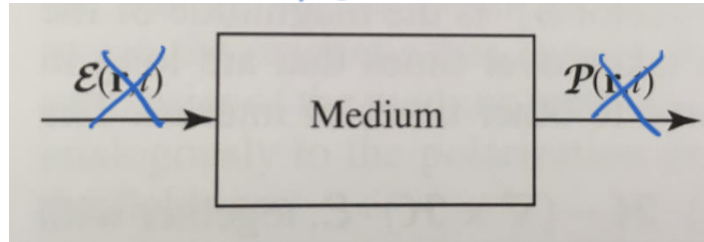


James Maxwell
1831 - 1879

Maxwell in vacuum

$$\begin{aligned} \nabla \times \mathcal{H} &= \epsilon_0 \frac{\partial \mathcal{E}}{\partial t} \\ \nabla \times \mathcal{E} &= -\mu_0 \frac{\partial \mathcal{H}}{\partial t} \\ \nabla \cdot \mathcal{E} &= 0 \\ \nabla \cdot \mathcal{H} &= 0, \end{aligned}$$

back then: dielectric, homogeneous...
medium



But stick with linear, nondispersive,
homogenous, and isotropic media right
now:

$$\mathcal{P} = \epsilon_0 \chi \mathcal{E},$$

\mathcal{P} - polarization density
 Σ dipole moments
induced by electric field
OK for most cases

Susceptibility
 $\mathcal{E} \rightarrow \chi \rightarrow \mathcal{P}$

Maxwell in dielectric media

$$\begin{aligned} \nabla \times \mathcal{H} &= \epsilon \frac{\partial \mathcal{E}}{\partial t} \\ \nabla \times \mathcal{E} &= -\mu \frac{\partial \mathcal{H}}{\partial t} \\ \nabla \cdot \mathcal{E} &= 0 \\ \nabla \cdot \mathcal{H} &= 0. \end{aligned}$$

$$\nabla^2 u - \frac{1}{c^2} \frac{\partial^2 u}{\partial t^2} = 0$$

$$n = \frac{c_0}{c} = \sqrt{\frac{\epsilon \mu}{\epsilon_0 \mu_0}}$$

non magnetic

$$n = \sqrt{\frac{\epsilon}{\epsilon_0}}$$

Generalization of susceptibility χ (still linear)

- Inhomogeneous media $\chi \rightarrow \chi(r)$ fct of r
- Anisotropic media $\chi \rightarrow \chi_{(i,j)}$ tensor
- Dispersive media $\chi \rightarrow \chi(t)$ time dependent

General:

$$E_{(r,t)} \rightarrow \boxed{\chi_{(r,t)}} \rightarrow P_{(r,t)}$$

Interpretation: Dynamic relationship between E and P

- E induces bound electrons in material to oscillate
- Time-dependent Polarization density $P(t)$
- Time-delay between $E(t)$ and $P(t)$

Classically:

describe as harmonic oscillators

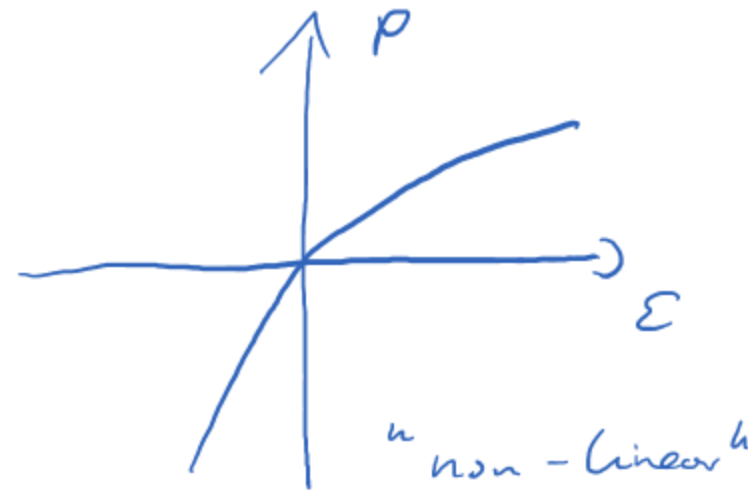
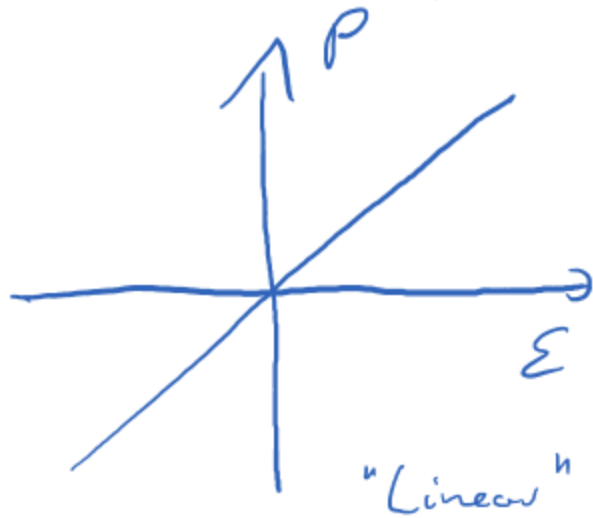
\rightarrow light induced distortion

\Downarrow
Lorentz oscillator model

Non-linear optical media

Handwaving:

- Linear: restoring force of light induced fields linear ("Hookes law applies")
- Non-linear: Light induced fields comparable to inter-atomic fields in crystal ("no more linear forces")
- (Note: fields still weak compared to intra-atomic fields – that is a later topic)



\Rightarrow optical response can change in intense fields

Expanded description of relationship between Polarization density P and Electric field E

Generally $P = \epsilon_0 \chi E$ χ - assume details unknown

Solution Taylor expansion

$$P = \epsilon_0 \left(\chi E + \chi^{(2)} E^2 + \chi^{(3)} E^3 + \dots \right)$$

\uparrow \uparrow \uparrow
Linear 2nd order 3rd order
non-linearity non-linearity

Second order non-linear optics example: Second harmonic generation

$$P = \epsilon_0 \chi E$$

$$P = \epsilon_0 (\chi E + \chi_2 E^2 + \dots)$$

$$E = E_0 \sin \omega t$$

$$P = \epsilon_0 \chi E_0 \sin \omega t + \epsilon_0 \chi_2 E_0^2 \sin^2 \omega t + \dots$$

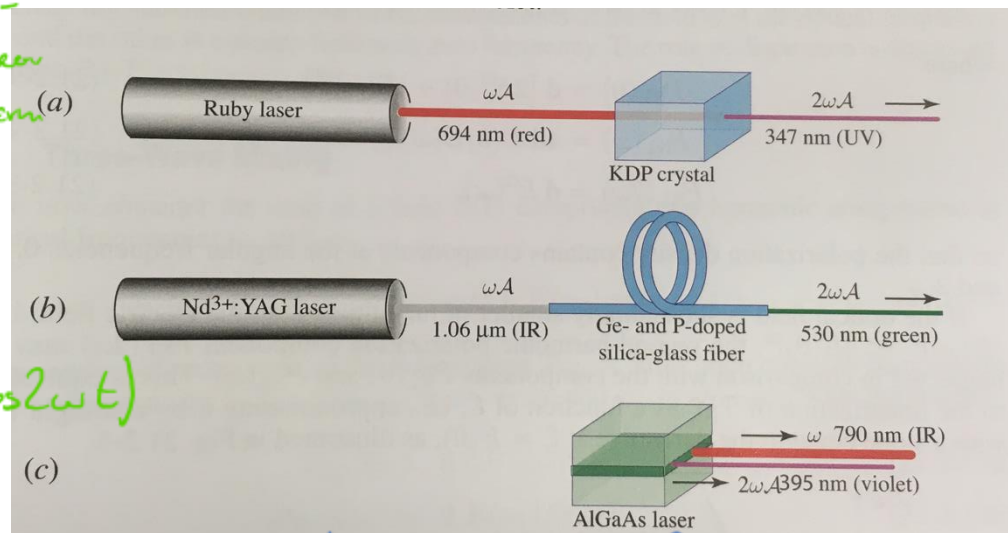
Use $\sin^2 \omega t = \frac{1}{2} (1 - \cos 2\omega t)$

= linear term

$$+ \frac{\epsilon_0 \chi_2}{2} E_0^2 (1 - \cos 2\omega t)$$

↑
new frequency component!

non-linear term



Note

you can also expand Maxwell's equations into non-linear regime

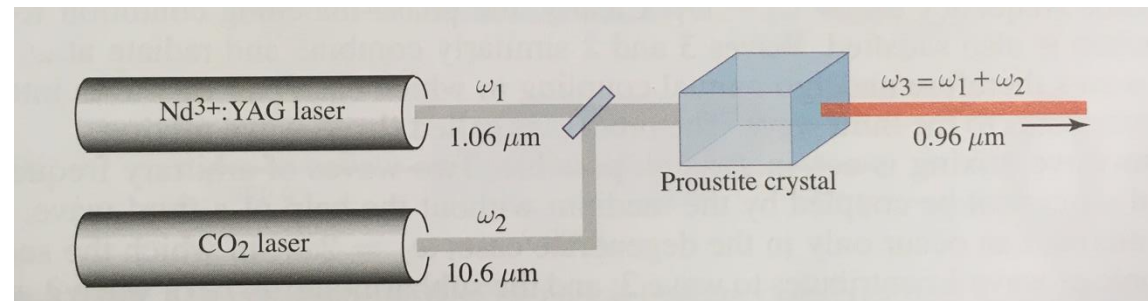
↑ use "non linear" crystals

Second order non-linear optics example: Sum frequency generation *(three wave mixing)*

$$\mathcal{E} = \mathcal{E}_{01} \sin \omega_1 t + \mathcal{E}_{02} \sin \omega_2 t \quad \text{(two frequencies / waves)}$$

Look only at squared term:

$$\epsilon_0 \chi_2 (\mathcal{E}_{01}^2 \sin^2 \omega_1 t + \mathcal{E}_{02}^2 \sin^2 \omega_2 t + 2 \mathcal{E}_{01} \mathcal{E}_{02} \sin \omega_1 t \sin \omega_2 t)$$



Second order non-linear crystal can also mix two optical waves

⇒ frequency up/down conversion

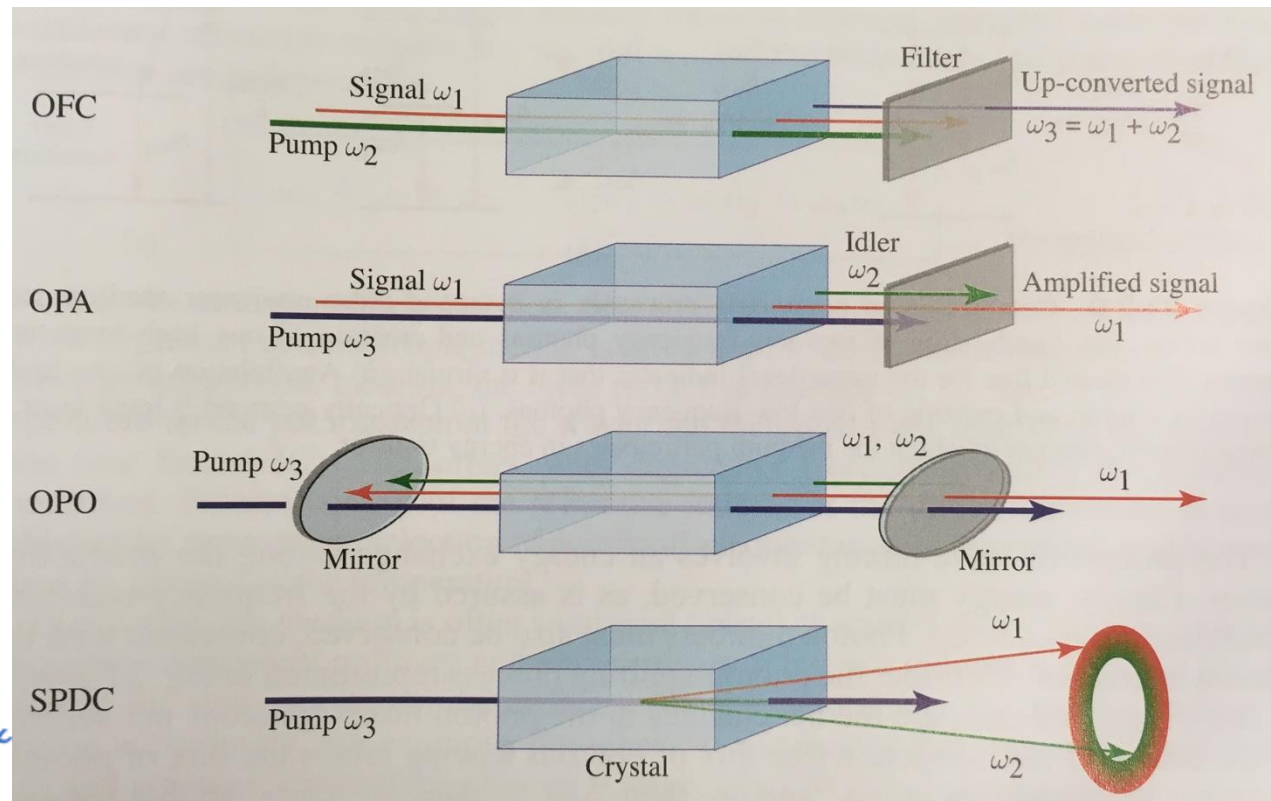
Second order non-linear optics example: Optical parametric devices

Optical frequency
Conversion

Optical parametric
amplifier

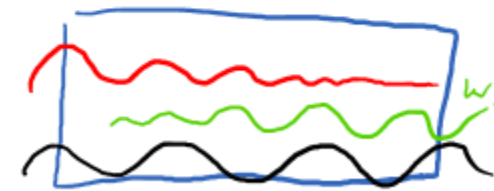
--- oscillator

Spontaneous parametric
Conversion



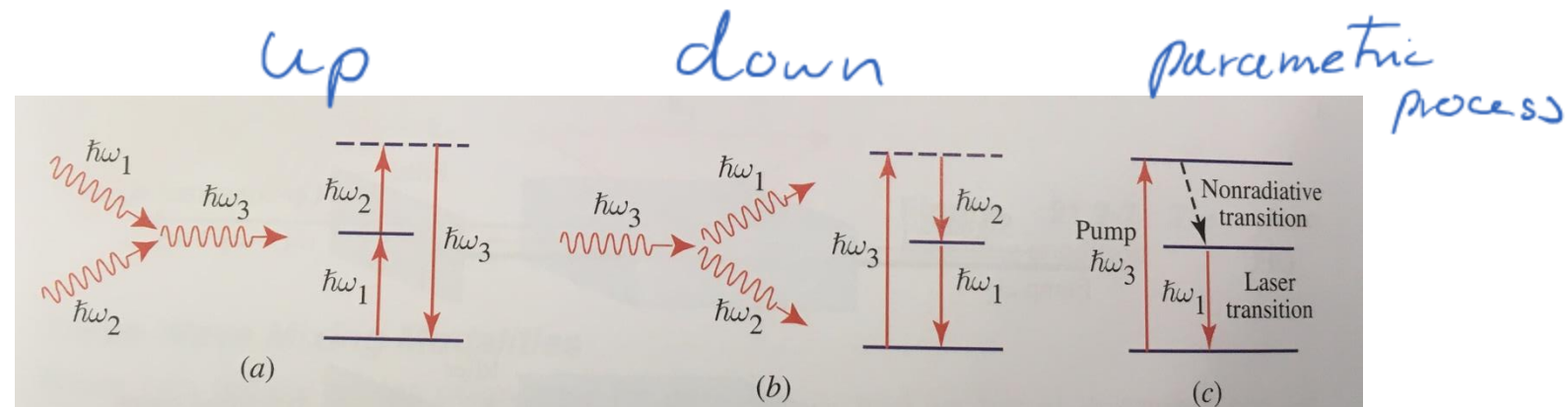
Sum frequency
Conversion

→ wave 1 & 3
interact and
create wave 2



Second order non-linear optics example: Description as photon interaction process

always maintain momentum & energy conservation



$$\hbar\omega_1 + \hbar\omega_2 = \hbar\omega_3$$
$$\hbar\vec{k}_1 + \hbar\vec{k}_2 = \hbar\vec{k}_3$$

↑
here medium
participates in
energy / moment
transfer

Third order non-linear optics example: Third-harmonic generation

↳ in principle the same with next term

↳ could also generate 3ω light but conversion efficiency low

⇓

if you want higher frequency light

then approach of 2^{nd} harmonic generation
+
frequency mixing } $2 \times$
 2^{nd} order
process

can be better

Third order non-linear optics example: Optical Kerr Effect and Self-Focusing

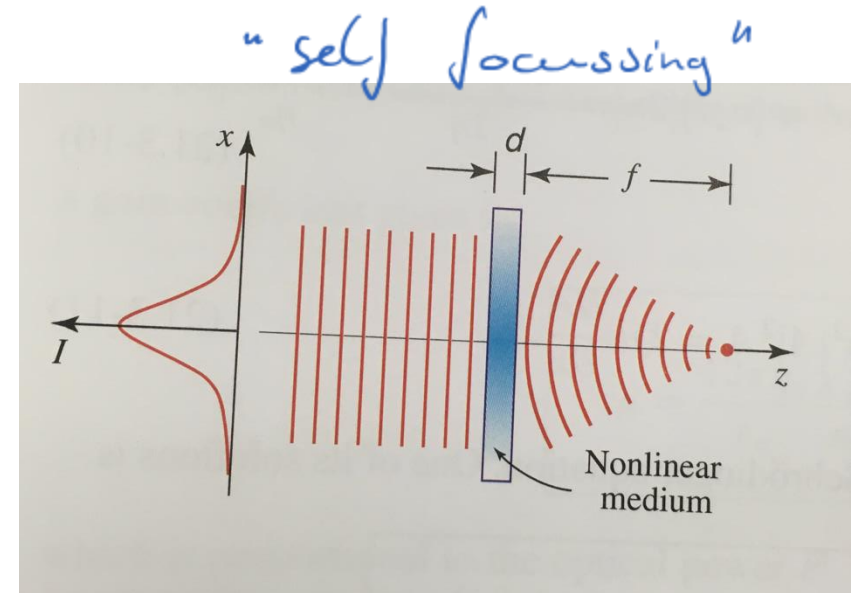
You can show that in 3rd order non-linearities
you can get intensity-dependent
refractive index

$$n(I) = n + n_2 I$$

optical
Kerr
effect

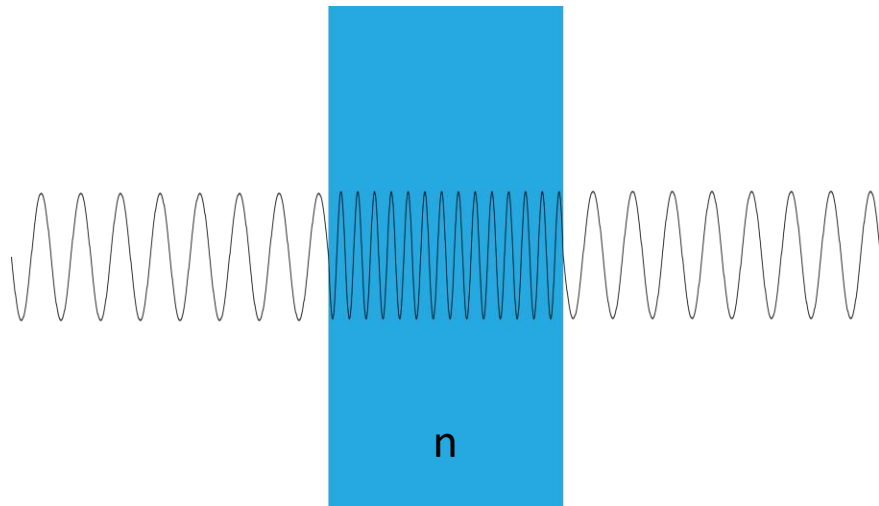
⇒ now you can use light pulse to
change optical properties itself

⇒ Gaussian beam → intensity dependent lens!

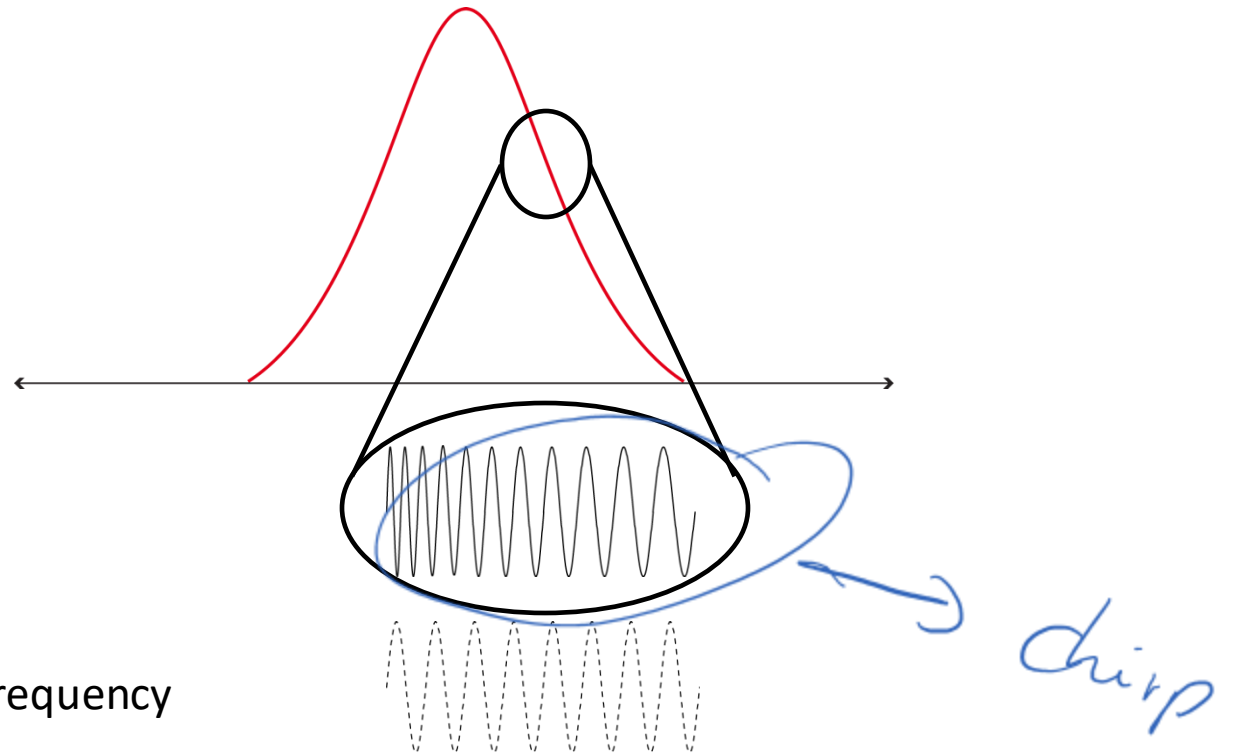


Third order non-linear optics example: Self-phase modulation

normal refractive index



time-dependent refractive index



$$\omega(t) = -\frac{d\phi(t)}{dt} ; \text{instantaneous frequency}$$

- Conceptually – consider a plane monochromatic wave
- Time-dependent index leads to time-dependent frequency
- New frequency components are generated

The end.