

Post-Hartree-Fock Methods

Methods use a Hartree-Fock calculation as starting point and try to improve the HF results by taking account of **electron correlation**:

- Configuration Interaction (CI)
- Many Body Perturbation Theory (Møller-Plesset (MPn))

1

Magnitude of Correlation Contributions

Example: Methane CH₄ (6-311G* Basis set)

	Total Energy	
Hartree-Fock	-40.202409 au	
exact	-40.372946 au	
E _{corr}	-0.170537 au	→ -107.0 kcal/mol
	(0.4%)	

Typical estimate of electron correlation energies:

~ 100kJ/mol for a localized electron pair

General: < 1% of total energy

2

Many-Body Perturbation Theory (MBPT)

Idea:

For the case electron correlation effects are relatively small, the Hartree-Fock solutions Φ_i^{HF} and E_i^{HF} are already close approximations to the exact solutions Ψ_i^{exact} and E_i^{exact} .

=> correlation effects can be considered as perturbation to the HF solution and treated via perturbation theory

Given:

$$\hat{H}^{(0)}\Phi_i^{(0)} = E_i^{(0)}\Phi_i^{(0)}$$

$\hat{H}^{(0)}$ zeroth order Hamiltonian with
 $\Phi_i^{(0)}$ eigenfunctions and
 $E_i^{(0)}$ eigenvalues

→ complete set of orthonormal (eigen)functions $i = 0, 1, 2, 3, \dots, \infty$

Exact Hamiltonian \hat{H} can be partitioned into:

$$\hat{H} = \hat{H}^{(0)} + \lambda\hat{H}' = \hat{H}^{(0)} + \lambda V$$

with $V \ll \hat{H}^{(0)}$

and $0 \leq \lambda \leq 1$

for $\lambda = 0$ $\hat{H} = \hat{H}^{(0)}$ for $\lambda = 1$ $\hat{H} = \hat{H}$

3

Rayleigh-Schrödinger Perturbation Theory

Let's consider the non-degenerate ground state of a time-independent system:

$$\hat{H} = \hat{H}^{(0)} + \lambda\hat{H}'$$

→ λ can be varied smoothly from the unperturbed ($\lambda = 0$) to the fully perturbed ($\lambda = 1$) case

Schrödinger Equation for the perturbed system:

$$\hat{H}\Psi(\lambda) = E(\lambda)\Psi(\lambda)$$

Special notation for $\lambda = 0$ and $i = 0$:

$$\begin{aligned} \hat{H}^{(0)} &= H_0 \\ \Psi_0(\lambda = 0) &= \Psi_0^{(0)} = \Phi_0 \\ E_0(\lambda = 0) &= E_0^{(0)} = E_0 \end{aligned}$$

4

Expansion of Ψ and E in powers of λ

$$E_i = \lambda^0 E_i^{(0)} + \lambda^1 E_i^{(1)} + \lambda^2 E_i^{(2)} + \dots$$

$$|\Psi_i\rangle = \lambda^0 |\Psi_i^{(0)}\rangle + \lambda^1 |\Psi_i^{(1)}\rangle + \lambda^2 |\Psi_i^{(2)}\rangle + \dots$$

$$H |\Psi_i\rangle = E_i |\Psi_i\rangle$$

Series does not necessarily converge!

- Indices (0),(1),(2)..(n): refer to the unperturbed system (0th order correction), the 1st order correction, 2nd order correction...nth order correction, respectively → MP2, MP3, MP4 etc...
- Will concentrate on improving ground state wavefunction and energy $i = 0$
=> will leave out index i

Normalization condition: intermediate normalization

overlap of perturbed wfc with unperturbed wfc chosen to be 1!

$$\langle \Psi | \Phi \rangle = 1$$

$$\langle \Psi^{(0)} + \lambda^1 \Psi^{(1)} + \lambda^2 \Psi^{(2)} \dots | \Phi \rangle = 1$$

$$\langle \Psi^{(0)} | \Phi \rangle + \lambda^1 \langle \Psi^{(1)} | \Phi \rangle + \lambda^2 \langle \Psi^{(2)} | \Phi \rangle + \dots = 1$$

$\langle \Psi^{(i \neq 0)} | \Phi \rangle = 0$

All corrections are orthogonal to the unperturbed solution

5

n-th order Perturbation Equations

$$(\mathcal{H}_0 + \lambda \mathcal{H}')(\lambda^0 \Psi^{(0)} + \lambda^1 \Psi^{(1)} + \lambda^2 \Psi^{(2)} + \dots) =$$

$$(\lambda^0 E^{(0)} + \lambda^1 E^{(1)} + \lambda^2 E^{(2)} + \dots)(\lambda^0 \Psi^{(0)} + \lambda^1 \Psi^{(1)} + \lambda^2 \Psi^{(2)} + \dots)$$

Collect terms with same power in λ :

$$\lambda^0 : \quad \mathcal{H}_0 \Psi^{(0)} = E^{(0)} \Psi^{(0)}$$

$$\lambda^1 : \quad \mathcal{H}_0 \Psi^{(1)} + \mathcal{H}' \Psi^{(0)} = E^{(0)} \Psi^{(1)} + E^{(1)} \Psi^{(0)}$$

$$\lambda^2 : \quad \mathcal{H}_0 \Psi^{(2)} + \mathcal{H}' \Psi^{(1)} = E^{(0)} \Psi^{(2)} + E^{(1)} \Psi^{(1)} + E^{(2)} \Psi^{(0)}$$

...

$$\lambda^n : \quad \mathcal{H}_0 \Psi^{(n)} + \mathcal{H}' \Psi^{(n-1)} = \sum_{j=0}^n E^{(j)} \Psi^{(n-j)}$$

$\Psi^{(n)}, E^{(n)}$: n-th order correction to the wavefunction and to the energy

6

Rayleigh-Schrödinger Perturbation Formulae

1st order perturbation:

$$\left(\hat{H}_0 - E^{(0)}\right)\Psi^{(1)} + \left(\hat{H}' - E^{(1)}\right)\Psi^{(0)} = 0$$

For improving ground-state solution Φ_0, E_0 :

$$\left(\hat{H}_0 - E_0\right)\Psi^{(1)} + \left(\hat{H}' - E^{(1)}\right)\Phi_0 = 0$$

Contains 2 unknowns

General solution: expand wavefunction correction in complete set of unperturbed wavefunctions:

$$\Psi^{(1)} = \sum_{i=0}^{\infty} c_i \Phi_i$$

If we introduce this Ansatz for the wavefunction in the equation above, we obtain:

$$E^{(1)} = \langle \Phi_0 | \mathcal{H}' | \Phi_0 \rangle$$

1st order correction to the energy

7

Quiz XIII: 1st order correction

- 1) Derive the expression for the 1st order correction to the energy starting from the equation gathering the λ^1 terms. Hint: multiply from the left with Φ_0 and integrate over all space.
- 2) Determine the expansion coefficients c_j for the first order correction to the wavefunction $\Psi^{(1)}$. Hint: multiply from the left with the basis function Φ_j ($j \neq 0$) and integrate over all space.
- 3) Why is $c_0 = 0$?

8

By multiplying on the left with a given Φ_j and integrating, we obtain the coefficients c_j for the 1st order correction to the wavefunction:

$$\Psi^{(1)} = \sum_{i=0}^{\infty} c_i \Phi_i \quad \text{with} \quad c_j = \frac{\langle \Phi_j | \mathcal{H}' | \Phi_0 \rangle}{E_0 - E_j} \quad \text{1st order correction to the wavefunction}$$

In addition, from the normalization condition we get $c_0 = 0$.

2nd order perturbation:

$$\hat{H}_0 \Psi^{(2)} + \hat{H}' \Psi^{(1)} = E_0 \Psi^{(2)} + E^{(1)} \Psi^{(1)} + E^{(2)} \Phi_0$$

2 unknowns

Expansion of the 2nd order correction to the wavefunction:

$$\Psi^{(2)} = \sum_i d_i \Phi_i \quad c_0 = d_0 = 0$$

11

2nd order correction to the energy:

$$E^{(2)} = \langle \Phi_0 | H' | \Psi^{(1)} \rangle$$

$$E^{(2)} = \sum_i c_i \langle \Phi_0 | \mathcal{H}' | \Phi_i \rangle = \sum_{i \neq 0} \frac{\langle \Phi_0 | \mathcal{H}' | \Phi_i \rangle \langle \Phi_i | \mathcal{H}' | \Phi_0 \rangle}{E_0 - E_i}$$

2nd order correction to the wfct:

$$d_j = \sum_{i \neq 0} \frac{\langle \Phi_j | \mathcal{H}' | \Phi_i \rangle \langle \Phi_i | \mathcal{H}' | \Phi_0 \rangle}{(E_0 - E_j)(E_0 - E_i)} - \frac{\langle \Phi_j | \mathcal{H}' | \Phi_0 \rangle \langle \Phi_0 | \mathcal{H}' | \Phi_j \rangle}{(E_0 - E_j)^2}$$

nth order correction to the energy:

$$E^{(n)} = \langle \Phi_0 | H' | \Psi^{(n-1)} \rangle$$

12

Møller-Plesset Perturbation Theory

unperturbed system:

$$\mathcal{H}^0 |\Psi^{(0)}\rangle = E_0^{(0)} |\Psi^{(0)}\rangle$$

\mathcal{H}^0 : Hartree-Fock Hamiltonian

$$\mathcal{H}^0 = \sum_i^N \hat{f}(i) \quad \hat{f}(i) = \hat{h}(i) + \sum_j^N \hat{J}_j - \hat{K}_j$$



Christian Møller (1904-1980) Milton S. Plesset (1908-1991)

perturbation:

$$\begin{aligned} \mathcal{V}' &= \mathcal{H} - \mathcal{H}^0 = \left(\sum_i^N \hat{h}(i) + \sum_{i=1}^N \sum_{j>i}^N \frac{1}{r_{ij}} \right) - \sum_i^N \hat{f}(i) \\ &= \left(\sum_i^N \hat{h}(i) + \sum_{i=1}^N \sum_{j>i}^N \frac{1}{r_{ij}} \right) - \left(\sum_i^N \hat{h}(i) + \sum_i^N \hat{v}_{HF}(i) \right) \\ &= \sum_{i=1}^N \sum_{j>i}^N \frac{1}{r_{ij}} - \sum_i^N \hat{v}_{HF}(i) \end{aligned}$$

Difference between instantaneous and average e-e interaction: 'fluctuation potential'

→ total e-e repulsion minus Hartree-Fock e-repulsion

13

Quiz XIV: Møller-Plesset

- 1) What are the basis functions Φ_i in Møller-Plesset theory?
- 2) What is the 0th order energy?
- 3) What is the 1st order correction to the energy?

14

Note that:

$$\langle \Phi_0 | \sum_{i < j}^N \hat{v}_{ij} | \Phi_0 \rangle = \frac{1}{2} \langle \Phi_0 | \sum_{i,j}^N \hat{v}_{ij}^{HF} | \Phi_0 \rangle \equiv \langle \mathbf{V}_{ee} \rangle$$

(the sum of the Fock operators counts the electron-electron repulsion twice!)

0th order energy:
(sum of HF eigenvalues)

$$E^{(0)} = \sum_i^N \langle \phi_i | \hat{F}_i | \phi_i \rangle = \sum_i^N \varepsilon_i^{\text{HF}}$$

1st order energy:
(correction for double counting electron-electron interaction)

$$\begin{aligned} E^{(1)} &= \langle \Phi_0 | \hat{\mathcal{H}}' | \Phi_0 \rangle = \langle \Phi_0 | \sum_{i < j}^N \hat{v}_{ij} | \Phi_0 \rangle - \langle \Phi_0 | \sum_{i,j=1}^N \hat{v}_{ij}^{HF} | \Phi_0 \rangle \\ &= \langle \mathbf{V}_{ee} \rangle - 2 \langle \mathbf{V}_{ee} \rangle = -\langle \mathbf{V}_{ee} \rangle \end{aligned}$$

$$\text{MP0 : } E(\text{MP0}) = \sum_a^N \varepsilon_a^{\text{HF}}$$

$$\text{MP1 : } E(\text{MP0}) + E(\text{MP1}) = E(\text{HF})$$

Note: First nontrivial energy correction at second order MP2 !

16

Expansion of the perturbed wavefunction in doubly excited Slater determinants:

2nd order correction to the energy:

$$E^{(2)} = \sum_{a < b}^{\text{occ.}} \sum_{r < s}^{\text{virt.}} \frac{\langle \Phi_0 | \hat{\mathcal{H}}' | \Phi_{ab}^{rs} \rangle \langle \Phi_{ab}^{rs} | \hat{\mathcal{H}}' | \Phi_0 \rangle}{E_0 - E_{ab}^{rs}}$$

17

Slater-Condon Rules

1. Identical Determinants: If the determinants are identical, then

$$\langle \Phi_1 | \hat{H} | \Phi_1 \rangle = \sum_m^N \langle m | \hat{h} | m \rangle + \sum_{m>n}^N \langle mn || mn \rangle \quad (5.9)$$

2. Determinants that Differ by One Spin Orbital:

$$\begin{aligned} |\Phi_1\rangle &= |\dots mn \dots\rangle \\ |\Phi_2\rangle &= |\dots pn \dots\rangle \\ \langle \Phi_1 | \hat{H} | \Phi_2 \rangle &= \langle m | \hat{h} | p \rangle + \sum_n^N \langle mn || pn \rangle \end{aligned} \quad (5.10)$$

3. Determinants that Differ by Two Spin Orbitals:

$$\begin{aligned} |\Phi_1\rangle &= |\dots mn \dots\rangle \\ |\Phi_2\rangle &= |\dots pq \dots\rangle \\ \langle \Phi_1 | \hat{H} | \Phi_2 \rangle &= \langle mn || pq \rangle \end{aligned} \quad (5.11)$$

4. Determinants that Differ by More than Two Spin Orbitals:

$$\begin{aligned} |\Phi_1\rangle &= |\dots mno \dots\rangle \\ |\Phi_2\rangle &= |\dots pqr \dots\rangle \\ \langle \Phi_1 | \hat{H} | \Phi_2 \rangle &= 0 \end{aligned} \quad (5.12)$$

18

Expansion of the perturbed wavefunction in doubly excited Slater determinants:

2nd order correction to the energy:

$$E^{(2)} = \sum_{a<b}^{\text{occ.}} \sum_{r<s}^{\text{virt.}} \frac{\langle \Phi_0 | \hat{\mathcal{H}}' | \Phi_{ab}^{rs} \rangle \langle \Phi_{ab}^{rs} | \hat{\mathcal{H}}' | \Phi_0 \rangle}{E_0 - E_{ab}^{rs}}$$

$$E(\text{MP2}) = \sum_{a<b}^{\text{occ.}} \sum_{r<s}^{\text{virt.}} \frac{[\langle \phi_a \phi_b | \hat{v} | \phi_r \phi_s \rangle - \langle \phi_a \phi_b | \hat{v} | \phi_s \phi_r \rangle]^2}{(\varepsilon_a + \varepsilon_b - \varepsilon_r - \varepsilon_s)}$$

→ similar expressions can be derived for the nth order correction to the energy and to the wavefunction

19