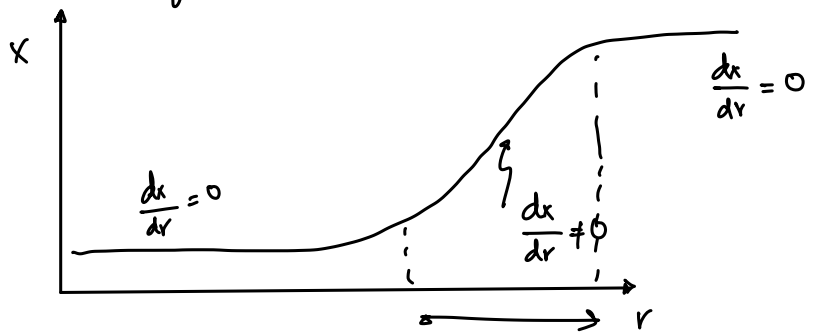


$$G = \int_V \left\{ g(x) + \frac{1}{2} \sum_{\alpha\beta} K_{\alpha\beta}(x) \frac{\partial x}{\partial r_\alpha} \frac{\partial x}{\partial r_\beta} \right\} \frac{d\vec{r}}{\Omega}$$

$$\boxed{K_{\alpha\beta}(x) = m_{\alpha,\beta}(x) - \bar{n}_{\alpha\beta}} \longrightarrow \text{Gradient energy coefficient.}$$

"Penalizes concentration profiles with large concentration gradients".



Transition region between two different compositions cost energy.

* GRADIENT ENERGY COEFFICIENT:

Gradient energy penalty:

$$\sum_{\alpha\beta} K_{\alpha\beta} \left(\frac{\partial x}{\partial r_\alpha} \right) \left(\frac{\partial x}{\partial r_\beta} \right) = \begin{bmatrix} \frac{\partial x}{\partial r_1} & \frac{\partial x}{\partial r_2} & \frac{\partial x}{\partial r_3} \end{bmatrix} \begin{pmatrix} K_{11} & K_{12} & K_{13} \\ K_{21} & K_{22} & K_{23} \\ K_{31} & K_{32} & K_{33} \end{pmatrix} \begin{bmatrix} \frac{\partial x}{\partial r_1} \\ \frac{\partial x}{\partial r_2} \\ \frac{\partial x}{\partial r_3} \end{bmatrix}$$

Some symmetries of the gradient energy coefficient:

$$K_{12} \frac{\partial x}{\partial r_1} \frac{\partial x}{\partial r_2} = K_{21} \frac{\partial x}{\partial r_2} \frac{\partial x}{\partial r_1} \Rightarrow K_{12} = K_{21} \Rightarrow K \text{ is symmetric matrix.}$$

The gradient energy term should be true $\rightarrow$ (a) should be a penalty and should not "lower" the energy of the system.

$$\begin{bmatrix} \frac{\partial x}{\partial r_1} & \frac{\partial x}{\partial r_2} & \frac{\partial x}{\partial r_3} \end{bmatrix} K \begin{bmatrix} \frac{\partial x}{\partial r_1} \\ \frac{\partial x}{\partial r_2} \\ \frac{\partial x}{\partial r_3} \end{bmatrix} \geq 0 \quad \forall (\vec{\nabla} x) \Rightarrow K \text{ is positive definite} \\ \Rightarrow \text{all eigenvalues are positive.}$$

If the material is isotropic:

$$K = k \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

NEXT STEPS: - What is the eq^m concentration profile $x(\vec{r})$?
 - What is the equivalent chemical potential μ ?

At eq^m:

$G[x(\vec{r})]$ → total free energy that is a functional of the concentration profile will be minimized at eq^m

$G[x(\vec{r})]$ → put in a function $x(\vec{r})$ → get out a number

We want to minimize $G[x(\vec{r})]$ with respect to all possible concentration distributions.

subject to the constraint that $\int_V \frac{x(\vec{r})}{V} d\vec{r} = N_{\text{tot}}$ [the # of atoms in the system is fixed]

* LAGRANGE MULTIPLIERS (Quick REMINDER):

Say we want to minimize $f(x)$ under the constraint that $g(x) = 0$

Define: $\mathcal{L}(x, \lambda) = f(x) - \lambda \cdot g(x)$

Instead of minimizing $f(x)$ subject to a constraint, we can instead find the stationary points of $\mathcal{L}(x, \lambda)$. Which is equivalent to finding values of x and λ such that

$$\frac{\partial \mathcal{L}}{\partial x} = 0 \quad \text{and} \quad \frac{\partial \mathcal{L}}{\partial \lambda} = 0$$

$$\textcircled{a} \quad \left[\frac{\partial f}{\partial x} - \lambda \frac{\partial g}{\partial x} = 0 \quad \text{and} \quad g = 0 \right] \Rightarrow \text{2 equations in 2 unknowns can be solved for } x_0 \text{ \& } \lambda_0$$

Often constraints of interest are of the form:

$$g(x) = c \Rightarrow [g(x) - c = 0]$$

$$\mathcal{L} = f(x) - \lambda (g(x) - c) \Rightarrow \frac{\partial \mathcal{L}}{\partial x} = \frac{\partial f(x)}{\partial x} - \lambda \frac{\partial g}{\partial x} = 0 \quad \text{and} \quad g(x) - c = 0$$

Let the value of x that minimizes $\mathcal{L}$ subject to the constraint $g(x) - c = 0$ be denoted x^*

In general $x^*(c)$ as the optimal value will change with the precise value of the constant c

$$\boxed{\mathcal{L}(x, \lambda, c) = f(x) - \lambda (g(x) - c)}$$

$$\textcircled{a} \quad x = x^*(c), \lambda = \lambda^*(c)$$

$$g(x^*(c)) = c \Rightarrow \boxed{\mathcal{L}(x^*(c), \lambda^*(c), c) = f(x^*(c))}$$

$$\frac{df(x^*(c))}{dc} = \frac{d}{dc} (\mathcal{L}(x^*(c), \lambda^*(c), c)) = \frac{\partial \mathcal{L}}{\partial x} \bigg|_{x^*, \lambda^*} \frac{dx^*}{dc} + \frac{\partial \mathcal{L}}{\partial \lambda} \bigg|_{x^*, \lambda^*} \frac{d\lambda^*}{dc} + \frac{\partial \mathcal{L}}{\partial c} \bigg|_{x^*, \lambda^*} \frac{dc}{dc}$$

Since we evaluate @ the minimum.

$$\frac{df}{dc} = \frac{\partial \mathcal{L}}{\partial c} = \frac{\partial}{\partial c} [f - \lambda(g-c)] = \lambda$$

$$\boxed{\frac{df}{dc} = \lambda}$$

* CIRCLING BACK TO OUR MINIMIZATION PROBLEM:

$$\text{minimize } G[x(\vec{r})] = \int_V \left\{ g(x) + \frac{1}{2} \sum_{\alpha} \sum_{\beta} k_{\alpha\beta}(x) \frac{\partial x}{\partial r_{\alpha}} \frac{\partial x}{\partial r_{\beta}} \right\} d\vec{r} \quad \text{subject to the constraint } \int_V \frac{x(\vec{r})}{\Omega} d\vec{r} = N_{\text{tot}}$$

define the Lagrangian:

$$\mathcal{L} = G - \lambda \left(\int_V \frac{x(\vec{r})}{\Omega} d\vec{r} - N \right)$$

$$\lambda = \frac{\partial G}{\partial N} = \mu$$

↑
chemical potential!!

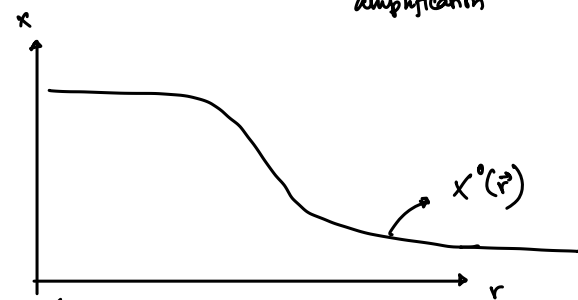
We will variationally minimize $\mathcal{L}$:

Let $x^0(\vec{r})$ be the concentration profile that minimizes $\mathcal{L}$

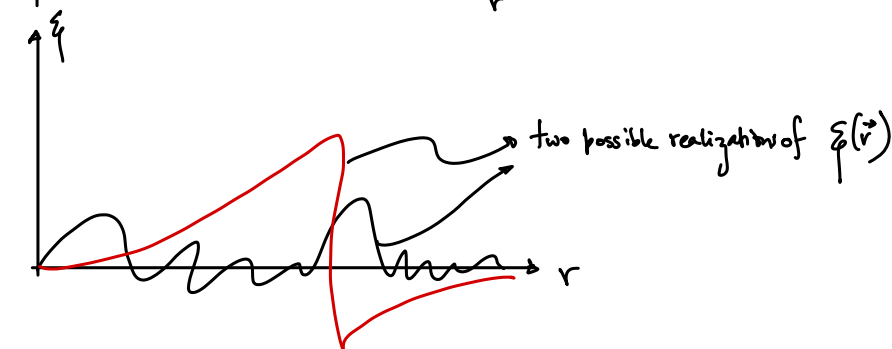
$$x(\vec{r}) = x^0(\vec{r}) + \epsilon \xi(\vec{r})$$

↑
amplification

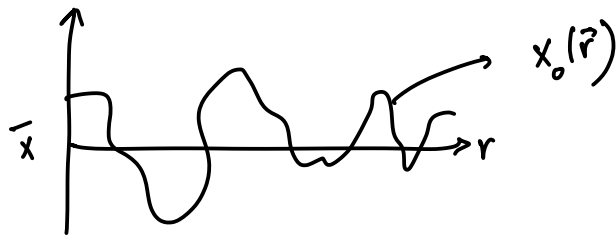
some function that is zero at the boundaries and acts as a "perturbation" on top of $x^0(\vec{r})$



OPTIMAL CONCENTRATION PROFILE



RECALL:



we seek a solution

$x(\vec{r}, t)$ to describe microstructure evolution as

Total free energy of the system:

$$G[x(\vec{r})] = \int_V \left\{ g(x(\vec{r})) + \sum_{\alpha\beta} K_{\alpha\beta}(x(\vec{r})) \frac{\partial x}{\partial r_\alpha} \frac{\partial x}{\partial r_\beta} \right\} \frac{d\vec{r}}{\Omega}$$

Constraint: $\int_V \frac{x(\vec{r})}{\Omega} d\vec{r} = N$

$$\mathcal{L} = G[x(\vec{r})] - \mu \left(\int_V \frac{x(\vec{r})}{\Omega} d\vec{r} - N \right)$$

We will variationally minimize $\mathcal{L}$

To keep the math simple we will variationally minimize $\mathcal{L}$ in $\mathcal{D}$:

$$\mathcal{L} = \int_V [x^0(r) + \epsilon \xi(r)] - \mu \int_V (x^0(r) + \epsilon \xi(r)) \frac{dr}{\Omega} + \mu N$$

$$\mathcal{L} = \int_V \left[g(x^0 + \epsilon \xi) + k \left(\frac{\partial(x^0 + \epsilon \xi)}{\partial r} \right)^2 - \mu(\epsilon \xi) \right] \frac{dr}{\Omega} + \underbrace{\mu N - \mu \int_V x^0 \frac{dr}{\Omega}}_{N} \quad \begin{array}{l} \text{these are equal and will} \\ \text{cancel.} \end{array}$$

$$\mathcal{L} = \int_V \left[g(x^0 + \epsilon \xi) + k \left(\frac{\partial(x^0 + \epsilon \xi)}{\partial r} \right)^2 - \mu(\epsilon \xi) \right] \frac{dr}{\Omega}$$

neglect concentration dependence.

$\mathcal{L}$ is extremized when:

$$\left. \frac{\partial \mathcal{L}}{\partial \epsilon} \right|_{\epsilon=0} = 0$$

$$\frac{\partial \mathcal{L}}{\partial \epsilon} = \int_V \left[\frac{\partial g}{\partial x} \xi + 2k \frac{\partial(x^0 + \epsilon \xi)}{\partial r} \cdot \frac{\partial}{\partial \epsilon} \left(\frac{\partial(x^0 + \epsilon \xi)}{\partial r} \right) - \mu \xi \right] \frac{dr}{\Omega}$$

$$\begin{aligned} & \frac{\partial}{\partial \epsilon} \left(\frac{\partial x^0}{\partial r} \right) + \frac{\partial}{\partial \epsilon} \left[\epsilon \frac{\partial \xi}{\partial r} \right] \\ &= \left(\frac{\partial \xi}{\partial r} \right) \end{aligned}$$

$$\left. \frac{\partial \mathcal{L}}{\partial \epsilon} \right|_{\epsilon=0} = \int_V \left[\left(\frac{\partial g}{\partial x} \right) \xi + 2k \frac{\partial x^0}{\partial r} \frac{\partial \xi}{\partial r} - \mu \xi \right] \frac{dr}{\Omega} = 0$$

Integration by parts to
turn $\frac{\partial \xi}{\partial r}$ into ξ

$$\int_V 2k \underbrace{\frac{\partial x_0}{\partial r}}_v \underbrace{\frac{\partial \xi}{\partial r}}_{u'} \frac{dr}{\Omega} = \left[2\xi \left(\frac{\partial x_0}{\partial r} \right) k \right]_{r_1}^{r_2} - \int_V 2k \xi \frac{\partial^2 x_0}{\partial r^2} dr$$

edges of our 1D Domain
 $\xi @ \text{edges} = 0$

$$\left. \frac{\partial \mathcal{L}}{\partial \xi} \right|_{\xi=0} = \int_V \xi \left[\frac{\partial g}{\partial x} - 2k \frac{\partial^2 x_0}{\partial r^2} - \mu \right] \frac{dr}{\Omega} = 0$$

Recall ξ can be ANY function
 $\Rightarrow$ for the integrand to be zero

$$\boxed{\mu = \frac{\partial g}{\partial x} - 2k \frac{\partial^2 x_0}{\partial r^2}}$$

for an interstitial solid solution:

$$\mu = \frac{\partial g}{\partial x} \text{ usually}$$

we now have an EXTRA CONTRIBUTION due to the gradient energy term.

EVOLUTION EQUATION:

$$J = -L \nabla \mu.$$

$$\mu = \frac{\partial g}{\partial x} - 2k \frac{\partial^2 x_0}{\partial r^2}$$

$$\Rightarrow J = -L \nabla \left(\frac{\partial g}{\partial x} - 2k \frac{\partial^2 x_0}{\partial r^2} \right) =$$

$$\boxed{J = -L \frac{\partial^2 g}{\partial x^2} \cdot \nabla x + 2Lk \nabla^3 x}$$

Compare with
 $\Leftrightarrow$

$$\boxed{J = -L \frac{\partial^2 g}{\partial x^2} \nabla x}$$

Substitute J into Fick's II Law:

$$\frac{\partial c}{\partial t} = -\nabla J$$

$$\left[\frac{\partial c}{\partial t} = L \frac{\partial^2 g}{\partial x^2} \nabla^2 x - 2LK \nabla^4 x \right]$$

$$c = \frac{x}{-2}$$

$$\frac{\partial c}{\partial t} = L \underbrace{\Omega \frac{\partial^2 g}{\partial x^2}}_D \frac{\partial^2 c}{\partial r^2} - \underbrace{2LK \Omega}_M \frac{\partial^4 c}{\partial r^4}$$

$$\left[\frac{\partial c}{\partial t} = D \frac{\partial^2 c}{\partial r^2} - M \frac{\partial^4 c}{\partial r^4} \right]$$

⑦ defining $\Delta c = c(r, t) - \bar{c}$

$$\left[\frac{\partial \Delta c}{\partial t} = D \frac{\partial^2 \Delta c}{\partial r^2} - M \frac{\partial^4 \Delta c}{\partial r^4} \right]$$

Define the Fourier transform of $\Delta c \rightarrow \Delta \tilde{c}(k, t)$

Fourier transform both sides of the equation.

$$\mathcal{F}\left\{\frac{\partial \Delta c}{\partial t}\right\} = \frac{\partial \Delta \tilde{c}(k, t)}{\partial t}$$

$$\mathcal{F}\left\{\frac{\partial^2 \Delta c}{\partial r^2}\right\} = (-ik)^2 \Delta \tilde{c}(k, t) = -k^2 \Delta \tilde{c}(k, t)$$

$$\mathcal{F}\left\{\frac{\partial^4 \Delta c}{\partial r^4}\right\} = (-ik)^4 \Delta \tilde{c}(k, t) = k^4 \Delta \tilde{c}(k, t)$$

$$\Rightarrow \left[\frac{\partial \Delta \tilde{c}}{\partial t} = (-k^2 D - k^4 M) \Delta \tilde{c} \right]$$

solution: $\Delta \tilde{c}(k, t) = \Delta \tilde{c}(k, t=0) \exp\left(-\left(k^2 D + k^4 M\right)t\right)$

Fourier transform of the initial concentration profile.

$$\Delta c(r, t) = \mathcal{F}^{-1}\left\{\Delta \tilde{c}(k, t)\right\} = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \Delta \tilde{c}(k, t=0) \exp\left(-\left(k^2 D + k^4 M\right)t\right) \exp(-ikr) dk$$

Assume:

- ① $\frac{\partial^2 g}{\partial x^2} = \text{constant} \rightarrow$ makes our analysis only valid for small concentration fluctuations.
- ② $K \rightarrow \text{constant}$.
- ③ $L \rightarrow \text{constant}$.
- ④ Ω independent of concentration.

or equivalently:

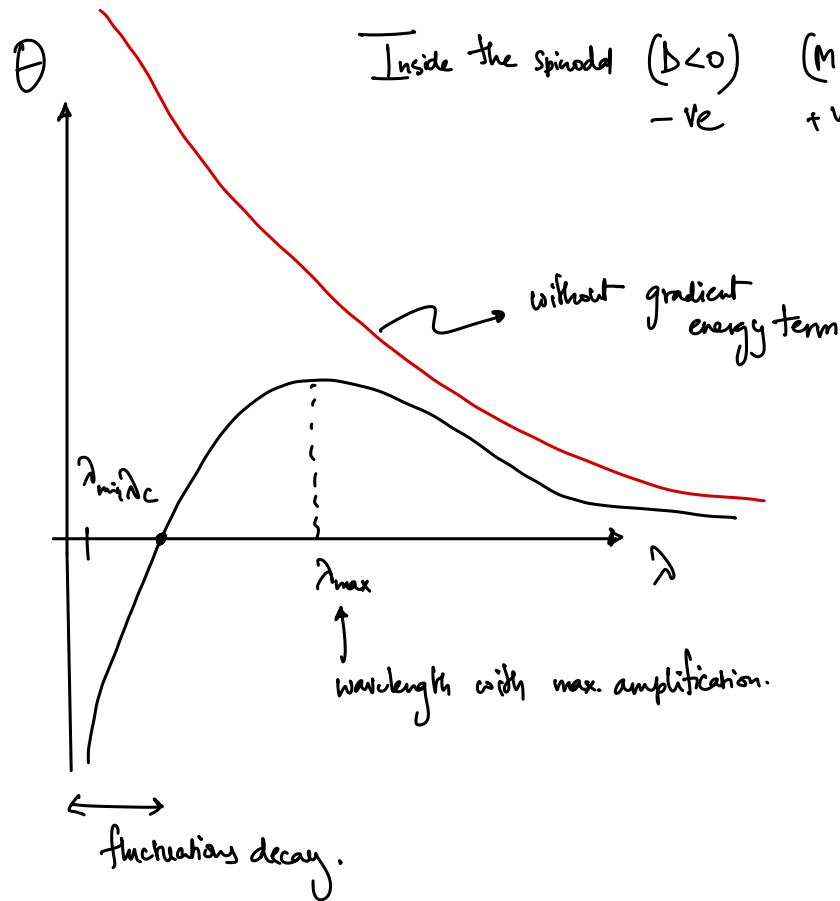
$$\Delta C(r, t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \underbrace{[A(k) \cos(kr) + B(k) \sin(kr)]}_{\text{Spatial dependence}} \underbrace{\exp\left(\underbrace{(-k^2 D - k^4 M)}_{\text{time dependence}} t\right) dk}_{\text{time dependence.}}$$

Amplification factor:

$$\Theta = -(k^2 D + k^4 M) = -\left[\frac{4\pi^2 D}{\lambda^2} + \frac{16\pi^4 M}{\lambda^4} \right]$$

Outside the spinodal +ve +ve

Inside the spinodal ($D < 0$) ($M > 0$)
-ve +ve.

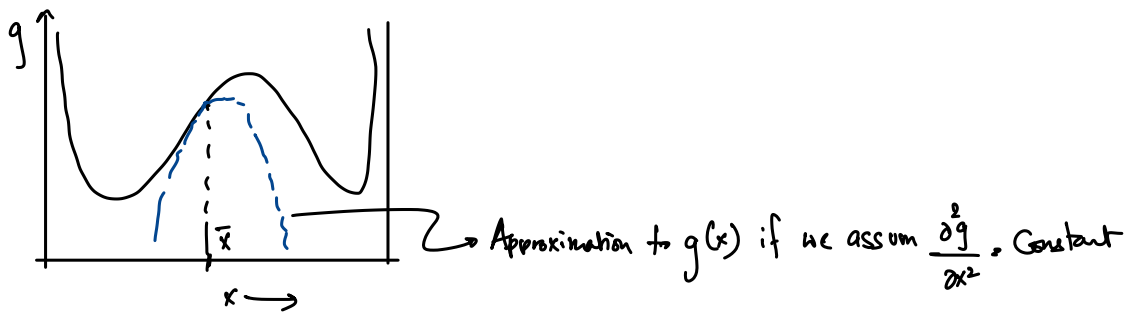


- $\lambda_{\max}$: fastest growing wavelength $\rightarrow$ results in a characteristic periodicity within the microstructure.
 $\sim 100 \text{ \AA}$
- $\lambda < \lambda_c$: very short wave lengths decay.
gradients are too large for short $\lambda \Rightarrow$ high free energy penalty.
- Analysis only valid for early stages of spinodal decomposition:

$$\boxed{\frac{\partial C}{\partial t} = D \frac{\partial^2 C}{\partial x^2} - 2LK\Omega \frac{\partial^4 C}{\partial x^4}}$$

LINEARIZED CANN - HILLIARD EQUATION.

We assumed $D, L, \frac{\partial^2 g}{\partial x^2}$, are all constant.



only valid when (Δx) is within the range where this approximation holds.

Non-LINEAR CARPENTER-HILLIARD EQUATION is typically solved numerically:

mobility coefficients: $L \sim x(1-x)f(x)$
 $g(x) \neq k(x)$