

# THINK OUTSIDE THE BOX



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## Last week: Wave mechanics

1. Particles, fields, interactions
2. Electromagnetic waves and energy scales
3. Particle-wave duality and de Broglie's relation
4. Wavefunction as a descriptor of an electron
5. Schrödinger equation (time dependent)
6. Plane wave solves it for the free electron,  
provided the dispersion relation  $E = \hbar\omega = \frac{p^2}{2m} = \frac{\hbar^2 k^2}{2m}$   
is satisfied

# Time-dependent Schrödinger's equation

(Newton's 2<sup>nd</sup> law for quantum objects)

$$-\frac{\hbar^2}{2m} \nabla^2 \Psi(\vec{r}, t) + V(\vec{r}, t) \Psi(\vec{r}, t) = i\hbar \frac{\partial \Psi(\vec{r}, t)}{\partial t}$$



1925-onwards: E. Schrödinger (wave equation), W. Heisenberg (matrix formulation), P.A.M. Dirac (relativistic)

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## Stationary Schrödinger's Equation (I)

$$-\frac{\hbar^2}{2m} \nabla^2 \Psi(\vec{r}, t) + V(\vec{r}, \text{✱}) \Psi(\vec{r}, t) = i\hbar \frac{\partial \Psi(\vec{r}, t)}{\partial t}$$

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# Stationary Schrödinger's Equation (II)

$$\left[ -\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) \right] \varphi(\vec{r}) = E \varphi(\vec{r})$$

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From one equation to two simpler ones

$$-\frac{\hbar^2}{2m} \nabla^2 \Psi(\vec{r}, t) + V(\vec{r}, *) \Psi(\vec{r}, t) = i\hbar \frac{\partial \Psi(\vec{r}, t)}{\partial t}$$

Separation  of variables

$$\left[ -\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) \right] \varphi(\vec{r}) = E \varphi(\vec{r})$$

$$i\hbar \frac{d}{dt} f(t) = E f(t)$$

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# Stationary Schrödinger's Equation (III)

$$\left[ -\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) \right] \varphi(\vec{r}) = E \varphi(\vec{r})$$

1. It's not proven – it's postulated, and it is confirmed experimentally
2. It's an "eigenvalue" equation: it has a solution only for certain values (discrete, or continuum intervals) of  $E$
3. For those eigenvalues, the solution ("eigenstate", or "eigenfunction") is the complete descriptor of the electron in its equilibrium ground state, in a potential  $V(r)$ .
4. As with all differential equations, boundary conditions must be specified
5. Square modulus of the wavefunction = probability of finding an electron

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## Time dependence

$$i\hbar \frac{d}{dt} f(t) = E f(t) \quad \rightarrow$$

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Free particle:  $\Psi(x,t)=\varphi(x)f(t)$

$$-\frac{\hbar^2}{2m}\nabla^2\varphi(x)=E\varphi(x)$$



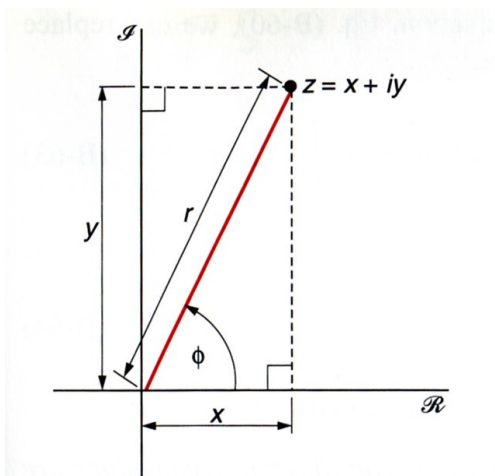
$$i\hbar\frac{d}{dt}f(t)=Ef(t)$$



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A simple differential equation



**Figure B.6. The Argand Plane.** A point in this diagram represents a complex number either in the form  $x + iy$  or  $re^{i\phi}$ .

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# Infinite Square Well (I) (particle in a 1-dim box)

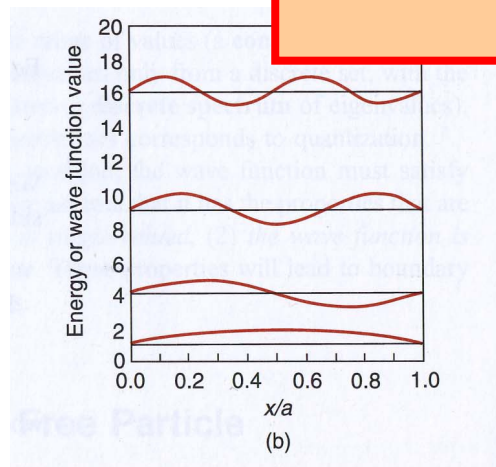
$$-\frac{\hbar^2}{2m} \frac{d^2\varphi(x)}{dx^2} = E\varphi(x)$$

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# Infinite Square Well (II)

$$\varphi(x) = C \sin\left(\frac{l\pi x}{a}\right)$$

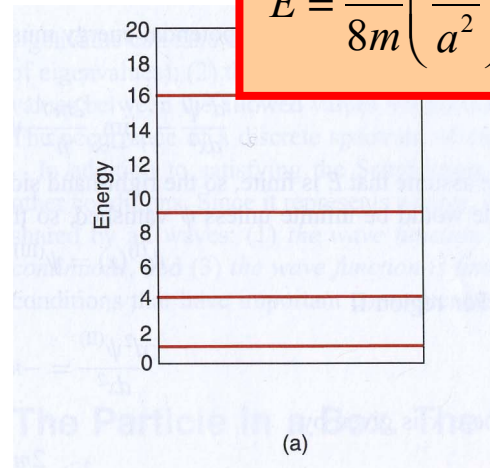


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## Infinite Square Well (III)

$$E = \frac{h^2}{8m} \left( \frac{l^2}{a^2} \right)$$

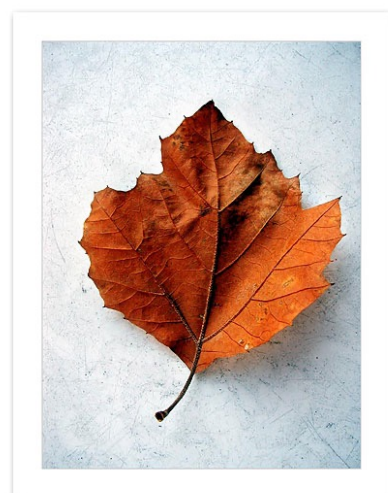
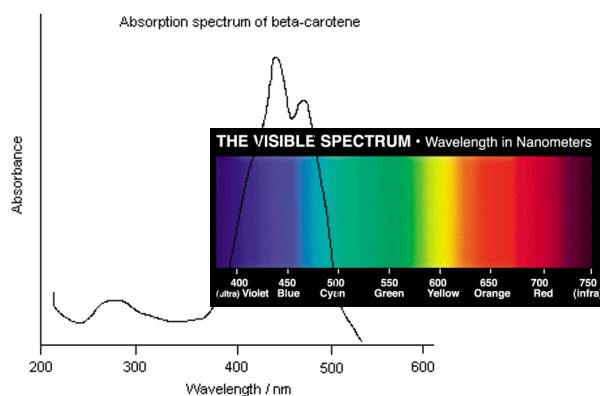
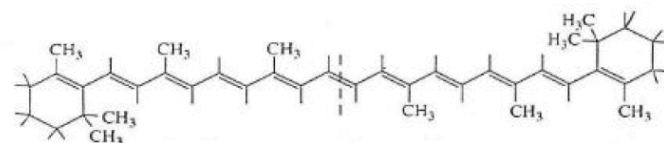


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# The power of carrots

- $\beta$ -carotene



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## Particle in a 2-dim box

$$-\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \varphi(x, y) = E \varphi(x, y)$$

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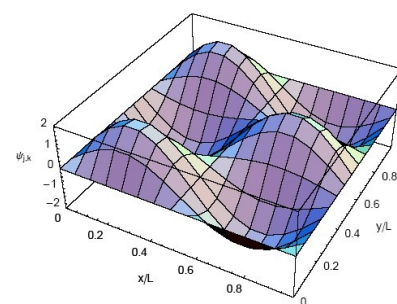
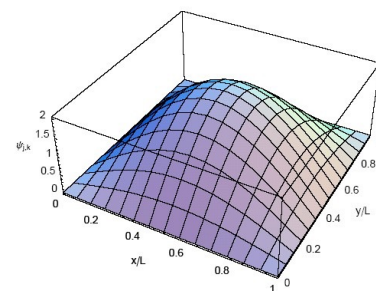
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## Particle in a 2-dim box

$$-\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \varphi(x, y) = E \varphi(x, y)$$

$$\varphi(x, y) = C \sin\left(\frac{l\pi x}{a}\right) \sin\left(\frac{m\pi y}{b}\right)$$

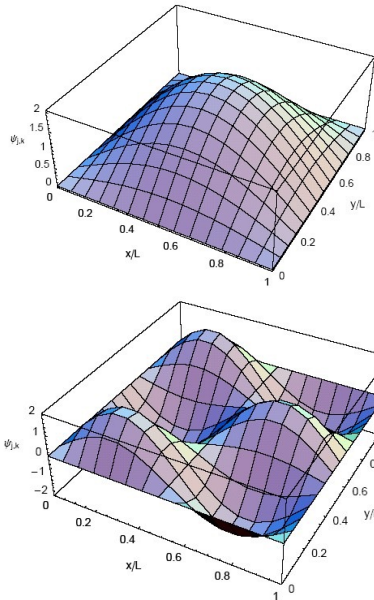
$$E = \frac{\hbar^2}{8m} \left( \frac{l^2}{a^2} + \frac{m^2}{b^2} \right)$$



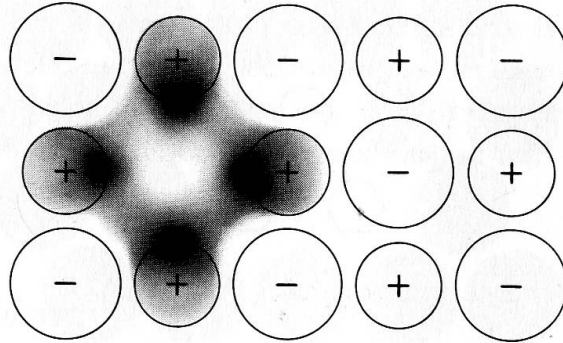
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# Particle in a 3-dim box: *Farbe* defect in halides (e<sup>-</sup> bound to a negative ion vacancy)



$$-\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \phi(x,y,z) = E \phi(x,y,z)$$



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## From Carl Zeiss to MIT

PHYSICAL REVIEW

VOLUME 120, NUMBER 6

DECEMBER 15, 1960

### Color Centers in Cesium Halide Single Crystals\*

P. AVAKIAN† AND A. SMAKULA

Laboratory for Insulation Research, Massachusetts Institute of Technology, Cambridge, Massachusetts

(Received August 12, 1960)

Color centers have been investigated in the CsCl-type alkali halides. Cesium chloride, bromide, and iodide single crystals were grown from the melt and CsCl crystals also from solution. Coloration was produced by 130-kv x rays, 3.0-Mev electrons, and by electrolysis. In CsI coloration resulted from electrolysis only. The absorption of uncolored and colored crystals has been measured from 0.175 to 3.5  $\mu$  at 25°, -78°, and -190°C. After coloration all three crystals show one strong band in the visible (near infrared for CsI) and several weaker bands at shorter and longer wavelengths, which shift with temperature change. The spectral positions in  $m\mu$  for a number of the bands at -190°C are:

|      | $\lambda_1$ | $\lambda_2$ | $\lambda_3$ | $\lambda_4$ | $\lambda_5$ | $\lambda_6$ | $\lambda_7$ | $\lambda_8$ | $\lambda_9$ | $\lambda_{10}$ |
|------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|----------------|
| CsCl | 227         | 270         | 370         | 430         | 579         | 715         | 780         | 855         | 980         |                |
| CsBr | 241         | ~270        | ~315        | 390         | 480         | 646         | (780)       | 840         | (~930)      | 1055           |
| CsI  | 270         | 330         |             | 425         | 535         | 750         |             |             | 1050        | 1185           |

The strongest band ( $\lambda_6$ ) behaves similarly to the *F* band in the NaCl-type alkali halides. The half-width of the band (0.20 to 0.23 ev at -190°C) and its increase with temperature, the shifting of the band maximum toward longer wavelengths upon warming to room temperature (by ~0.08 ev), and the conversion by bleaching with light into other bands support the assignment of this band as the *F* band. The spectral position of the band maximum approximately follows the Mollwo relation ( $\lambda_{\max} = \text{const } d^n$ , where  $d$  = interionic distance and  $n=2.5$ ).

Bleaching experiments suggest the assignment of the band  $\lambda_{10}$  as the *M* band and the bands between the *F* and *M* bands as *R* bands. The origin of the ultraviolet bands is still uncertain.

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# Molecular Limits to the Quantum Confinement Model in Diamond Clusters

T. M. Willey,<sup>1</sup> C. Bostedt,<sup>2,3,\*</sup> T. van Buuren,<sup>1</sup> J. E. Dahl,<sup>4</sup> S. G. Liu,<sup>4</sup> R. M. K. Carlson,<sup>4</sup> L. J. Terminello,<sup>1</sup> and T. Möller<sup>2,3</sup>

<sup>1</sup>Lawrence Livermore National Laboratory, Livermore, California 94550, USA

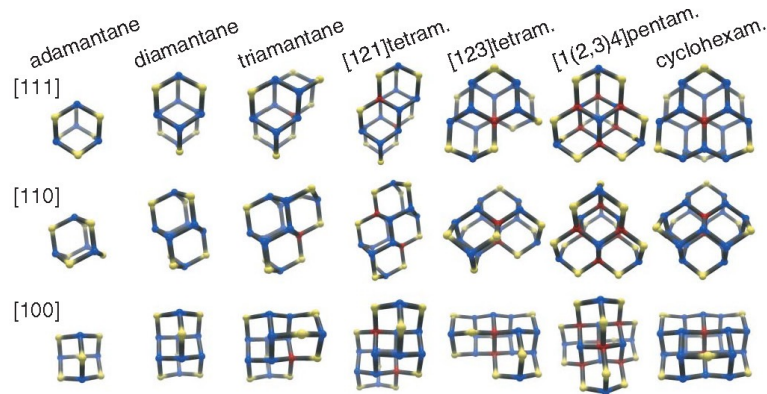
<sup>2</sup>Hamburger Synchrotronstrahlungslabor Hasylab at DESY, Hamburg, Germany

<sup>3</sup>Technische Universität Berlin, PN 3-1, Hardenbergstrasse 36, 10623 Berlin, Germany

<sup>4</sup>MolecularDiamond Technologies, Chevron, P.O. Box 1627, Richmond, California 94802, USA

(Received 13 May 2005; published 7 September 2005)

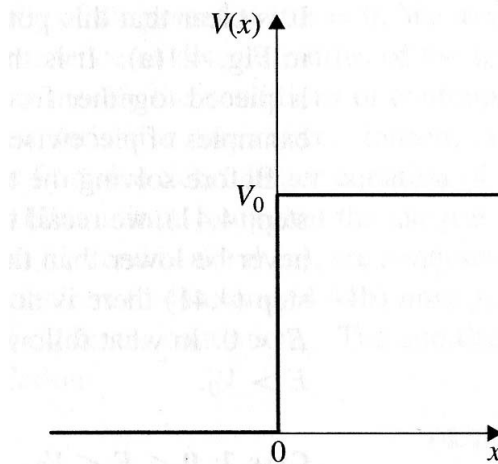
The electronic structure of monodispersed, hydrogen-passivated diamond clusters (diamondoids) in the gas phase has been studied with x-ray absorption spectroscopy. The data show that the bulk-related unoccupied states do not exhibit any quantum confinement. Additionally, density of states below the bulk absorption edge appears, consisting of features correlated to CH and CH<sub>2</sub> hydrogen surface termination, resulting in an effective redshift of the lowest unoccupied states. The results contradict the commonly used and very successful quantum confinement model for semiconductors, which predicts increasing band edge blueshifts with decreasing particle size. Our findings indicate that in the ultimate size limit for nanocrystals a more molecular description is necessary.



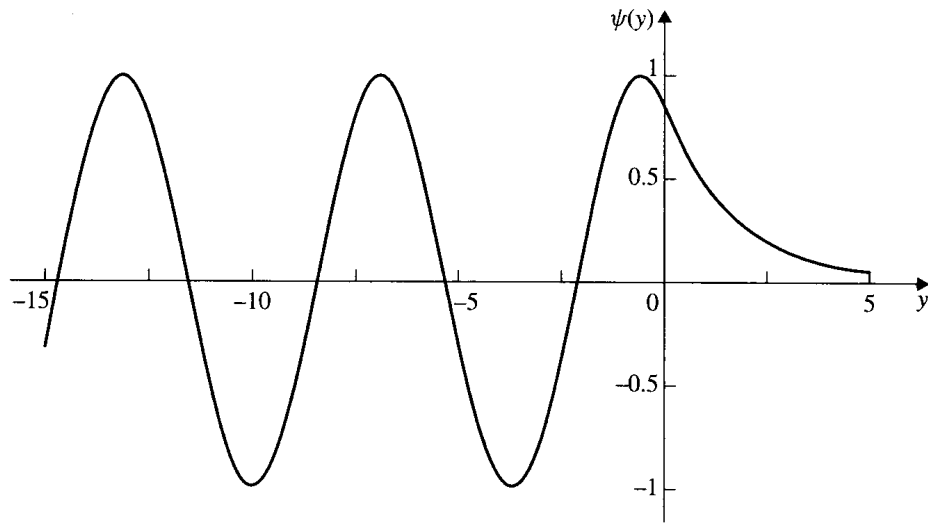
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## Metal Surfaces (I)

$$\left[ -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x) \right] \varphi(x) = E \varphi(x)$$



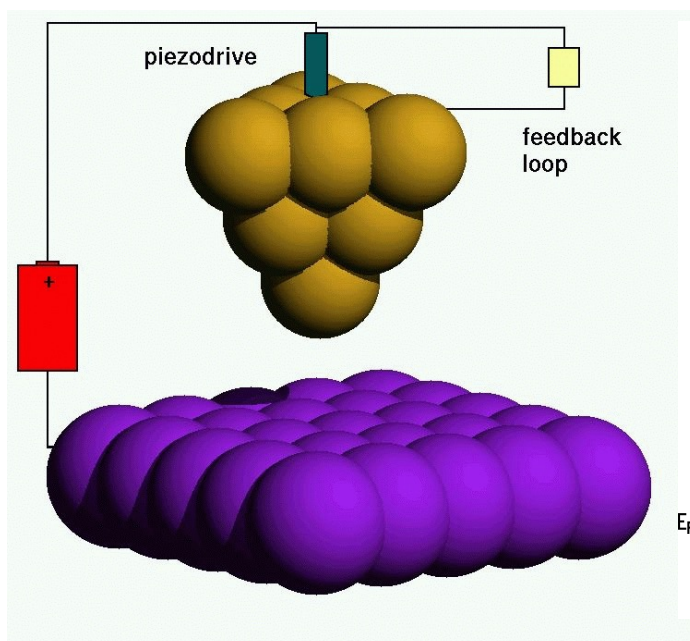
# Metal Surfaces (II)



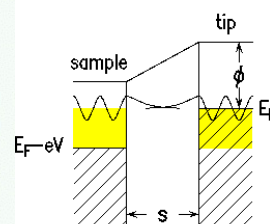
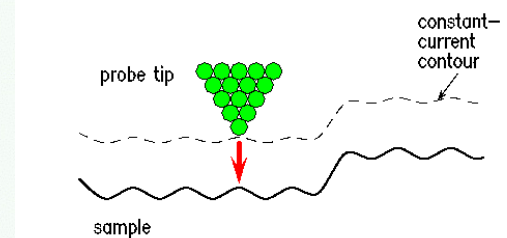
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# Scanning Tunnelling Microscopy



(G. Binnig, H. Rohrer, Ch. Gerber, E. Weibel, Phys. Rev. Lett. 49, 57 (1982)).



$$\frac{I}{V} \propto \rho e^{-2\kappa s}$$

$$\kappa = \left( \frac{2m\phi}{\hbar^2} \right)^{1/2} = 1.1 \text{ \AA}^{-1}$$

$\rho$  = density of states

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The screenshot shows the OSSCAR Quantum Mechanics website. The header includes the OSSCAR logo and navigation links like Home, Course Applications, Tutorials for Developers, Source Code, About OSSCAR, and a list of applets. The main content area is titled "1. Numerical Solution of the Schrödinger Equation for a 1D Quantum Well". It describes a notebook that solves the quantum-mechanical problem of a single rectangular one-dimensional quantum well. Below the text, there are interactive sliders for "Width" and "Depth" and a "launch binder" button. The footer mentions "Materials Cloud".

The screenshot shows a Jupyter notebook titled "Numerical Solution of the Schrödinger Equation for a One-Dimensional Quantum Well". The authors are Dou Du, Taylor James Baird and Giovanni Pizzi. The notebook includes a "Go back to index" link and a "Source code" link. The "Goals" section lists: investigate quantum confinement, learn to solve the Schrödinger equation by matrix diagonalization, and understand quantum tunneling. The "Background theory" section is followed by "Tasks and exercises" with five numbered tasks. The "Interactive visualization" section shows a plot of the wavefunction  $\psi(x)$  and probability density  $|\psi(x)|^2$  for a rectangular potential well. The plot has axes for  $x/a$  and  $\psi(x)$  and  $|\psi(x)|^2$ . Below the plot are sliders for "Width" (1.20), "Depth" (-0.20), "Zoom f." (5.00), and "Show" (Wavefunction, Probability density). A "Show all" button is also present.

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# Energy from Wavefunctions

Schrödinger equation: operator, eigenvalues

E can be obtained as an “expectation value”

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## Dirac Notation

Dirac's  $\langle \text{bra} | \text{kets} \rangle$  (elements of vector space)

Scalar product (induces a metric  $\rightarrow$  Hilbert space)

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# Physical Observables from Wavefunctions

Eigenvalue equation:

Expectation values for the operator (energy)

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## 4 concepts

- Operators
- Eigenvalues
- Eigenfunctions
- Expectation values

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# Operators: Linear, Hermitian

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Examples:  $(d/dx)$  and  $i(d/dx)$

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# Product of operators, and commutators

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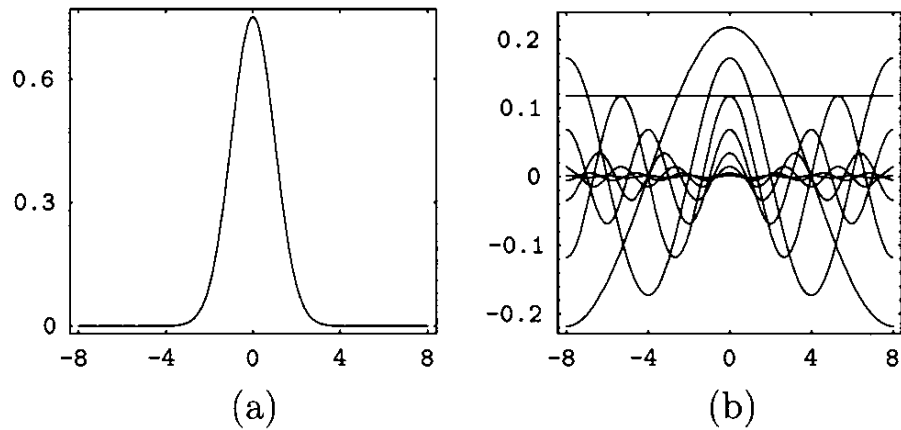
## Hermitian Operators

1. The eigenvalues of a Hermitian operator are real
2. Two eigenfunctions corresponding to different eigenvalues are orthogonal
3. The set of eigenfunctions of a Hermitian operator is complete
4. Commuting Hermitian operators have a set of common eigenfunctions

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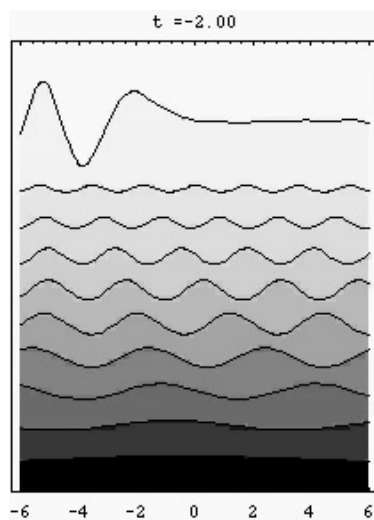
## The set of eigenfunctions of a Hermitian operator is complete



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## The set of eigenfunctions of a Hermitian operator is complete



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# Commuting Hermitian operators have a set of common eigenfunctions

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