

MSE 423 Fall 2024 – Week 12

Semi-conductors



MSE 423 Fundamentals of Solid-state Materials - Nicola Marzari (EPFL, Fall 2024)

Last week

- Fermi surfaces
- Group velocity, effective mass
- The independent-electron gas: BvK boundary conditions and the counting of states
- Particles density and energy density
- Density of states; massive vs massless bands
- Statistics of classical and quantum particles
- Probability and partition function
- Chemical potential and Fermi-Dirac distribution

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Tight-binding (LCAO for solids)

- Hamiltonian $\hat{H} = \hat{H}_{at} + \Delta \hat{U}(\vec{r})$

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Tight-binding (LCAO for solids)

- Bloch eigenstates of an ATOMIC CRYSTAL

$$\Psi_{n\vec{k}}(\vec{r}) = \sum_{\vec{R}} \exp(i\vec{k} \cdot \vec{R}) \varphi_n(\vec{r} - \vec{R})$$

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Tight-binding (LCAO for solids)

- Bloch eigenstates of a REAL CRYSTAL

$$\Psi_{n\vec{k}}(\vec{r}) = \sum_{\vec{R}} \exp(i\vec{k} \cdot \vec{R}) \phi(\vec{r} - \vec{R})$$

$$\phi(\vec{r}) = \sum_n b_n \varphi_n(\vec{r})$$

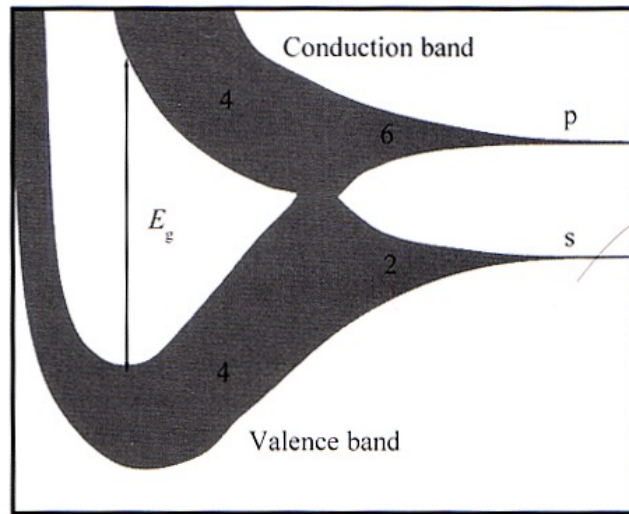
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From levels to bands

$$\varepsilon(\vec{k}) = E_m - \beta - \sum_{\substack{\text{nearest} \\ \text{neighb.}}} \gamma(\vec{R}) \cos(\vec{k} \cdot \vec{r})$$

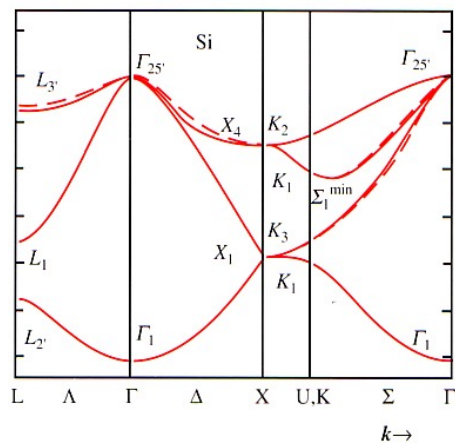
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From levels to bands



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How many bands in silicon ?



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Tight-binding vs. empirical psp

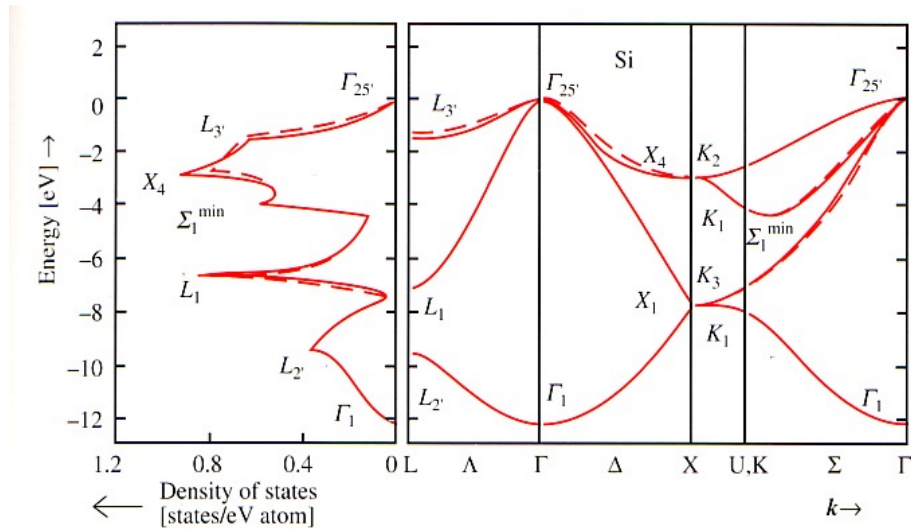


Fig. 2.24. The valence band structure and density of states (see Sect. 4.3.1 for definition) of Si calculated by the tight-binding method (*broken curves*) and by the empirical pseudopotential method (*solid lines*) [2.19]

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Tight-binding vs. empirical psp

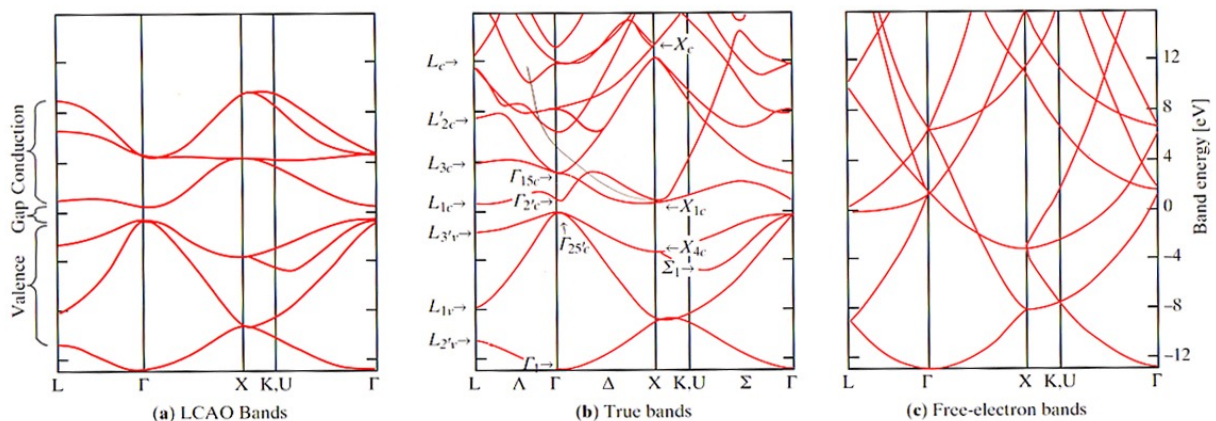
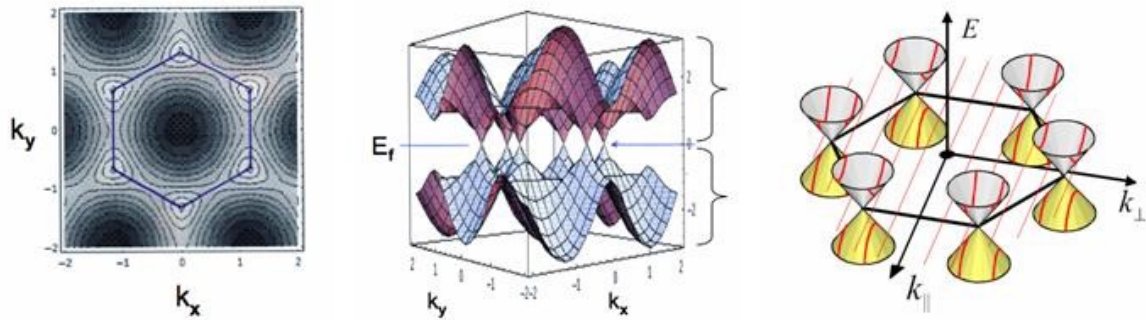


Fig. 2.25. A comparison between the band structure of Ge calculated by (a) the tight-binding method, (b) the empirical pseudopotential method, and (c) the nearly free electron model [Ref. 2.18, p. 79]

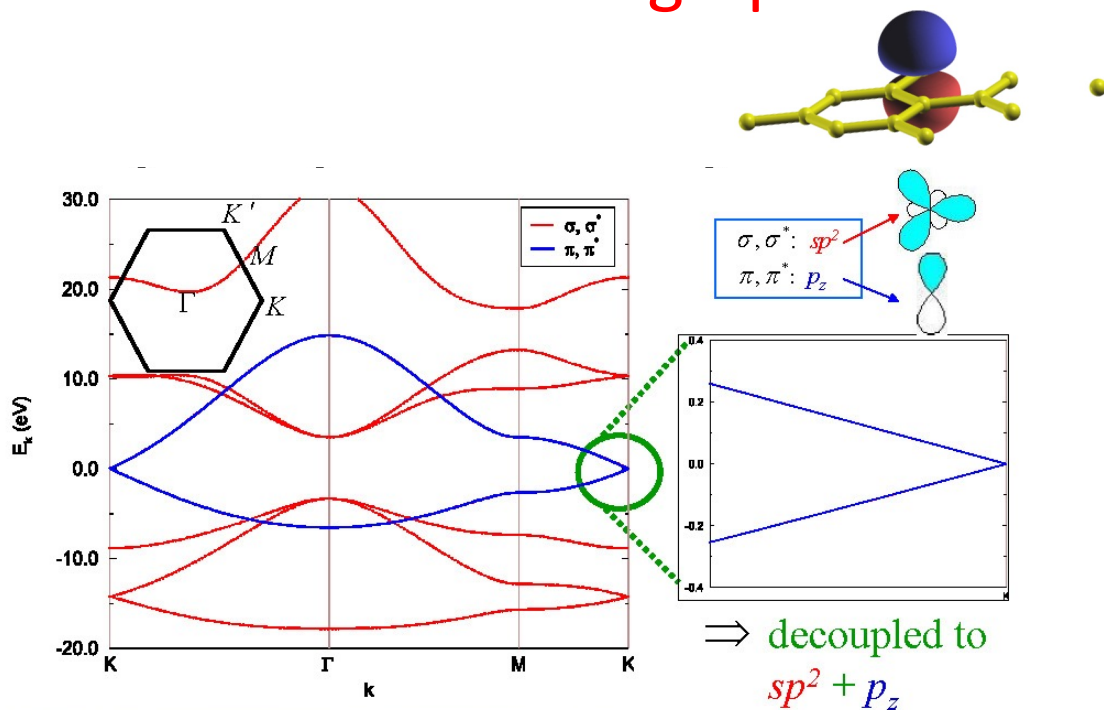
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Band structure of graphene



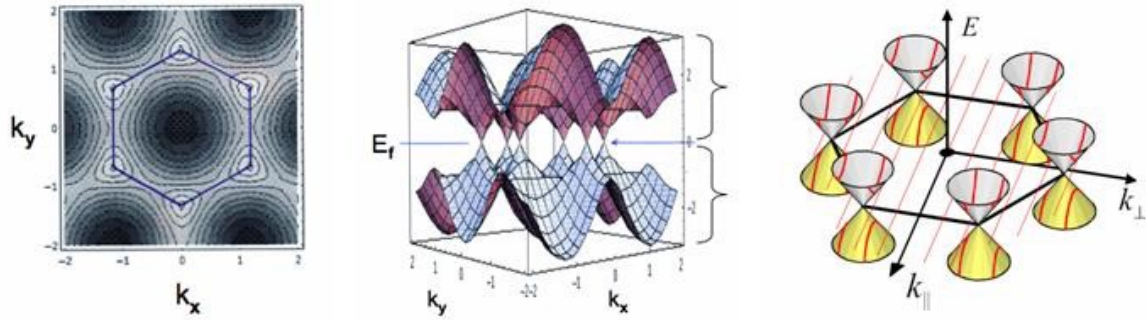
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Band structure of graphene



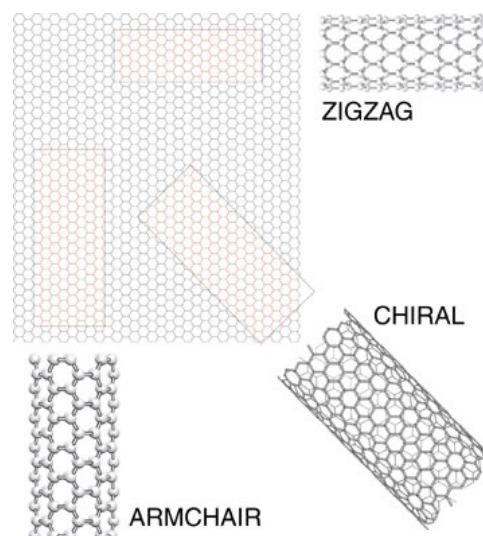
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Band structure of graphene



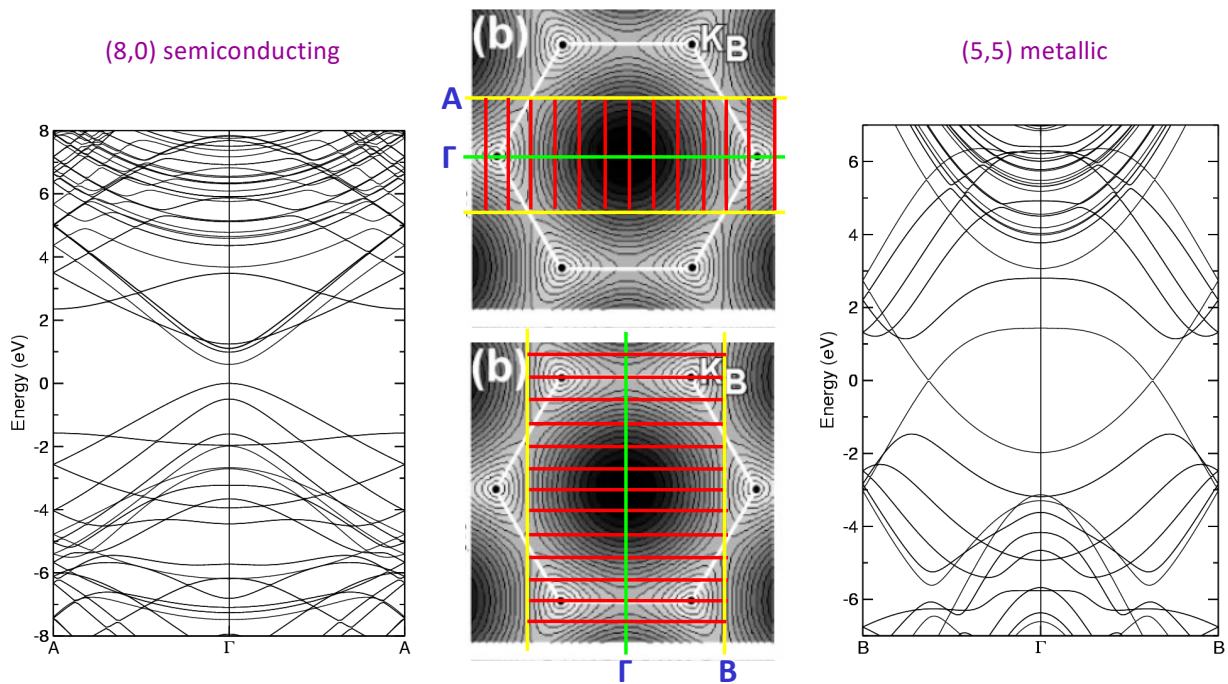
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Carbon nanotubes



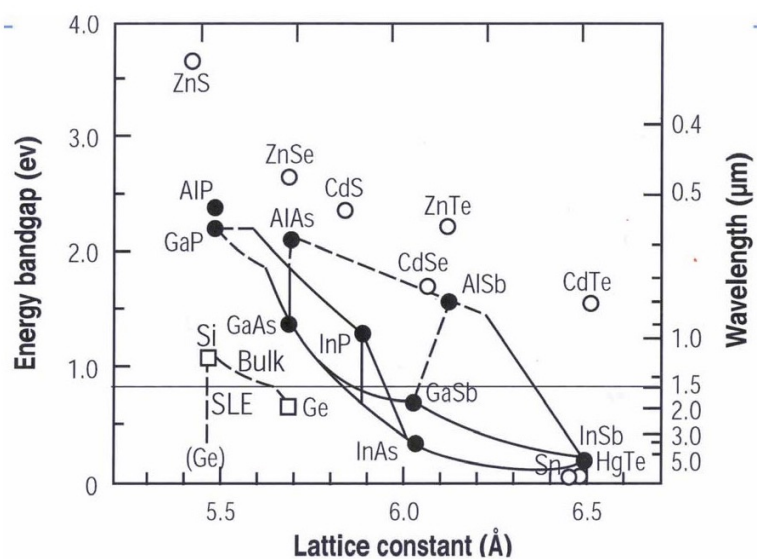
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Zone folding: Band structure of nanotubes



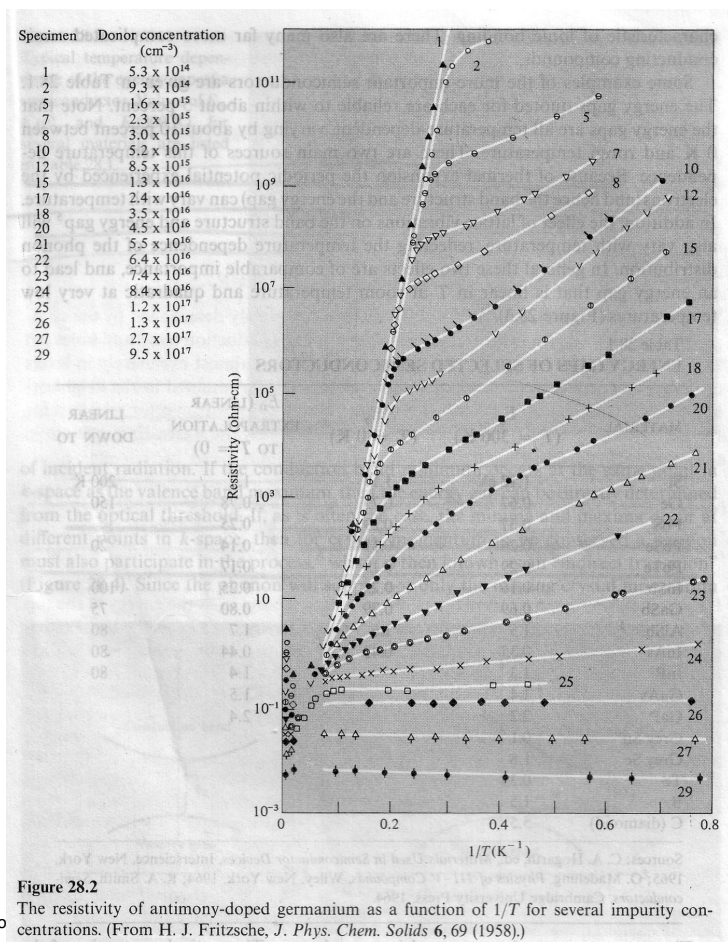
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Semiconductors



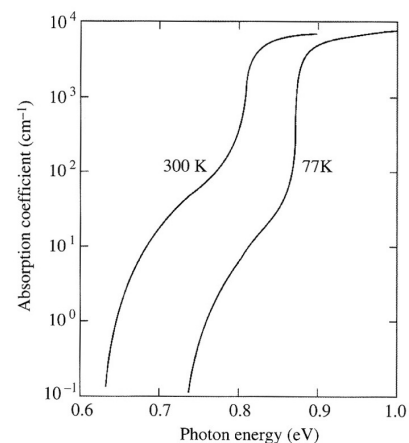
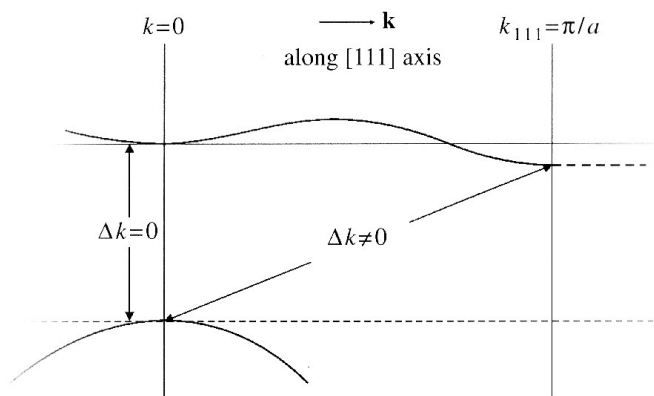
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Sb-doped Germanium



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Optical absorption in Ge



Density of carriers at thermal equilibrium

$$n_c(T) = \int_{\epsilon_c}^{\infty} d\epsilon g_c(\epsilon) \frac{1}{e^{(\epsilon-\mu)/k_B T} + 1}$$

$$p_v(T) = \int_{-\infty}^{\epsilon_v} d\epsilon g_v(\epsilon) \left(1 - \frac{1}{e^{(\epsilon-\mu)/k_B T} + 1} \right)$$

$$= \int_{-\infty}^{\epsilon_v} d\epsilon g_v(\epsilon) \left(\frac{1}{e^{(\mu-\epsilon)/k_B T} + 1} \right)$$

$$\frac{1}{e^{(\epsilon-\mu)/k_B T} + 1} \approx e^{-(\epsilon-\mu)/k_B T}, \quad \epsilon > \epsilon_c$$

$$\frac{1}{e^{(\mu-\epsilon)/k_B T} + 1} \approx e^{-(\mu-\epsilon)/k_B T}, \quad \epsilon < \epsilon_v$$

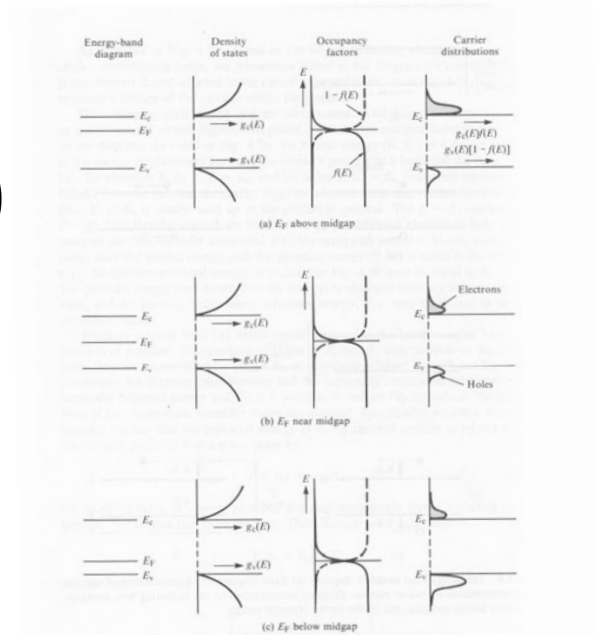


Fig. 4.7 Carrier distributions (not drawn to scale) in the respective bands when the Fermi level is positioned (a) above midgap, (b) near midgap, and (c) below midgap. Also shown in each case are coordinated sketches of the energy-band diagram, density of states, and the occupancy factors (the Fermi function and one minus the Fermi function).

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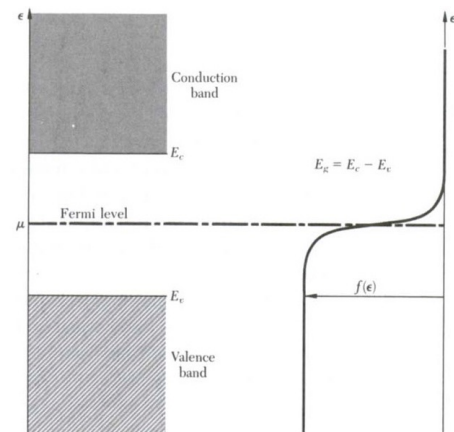
Density of carriers at thermal equilibrium (non-degenerate sc)

$$n_c(T) = \int_{\epsilon_c}^{\infty} d\epsilon g_c(\epsilon) \frac{1}{e^{(\epsilon-\mu)/k_B T} + 1}$$

$$\approx \int_{\epsilon_c}^{\infty} d\epsilon g_c(\epsilon) e^{-(\epsilon-\mu)/k_B T}$$

$$= \left\{ \int_{\epsilon_c}^{\infty} d\epsilon g_c(\epsilon) e^{-(\epsilon-\epsilon_c)/k_B T} \right\} e^{-(\epsilon_c-\mu)/k_B T}$$

$$= N_c(T) e^{-(\epsilon_c-\mu)/k_B T}$$



$$p_v(T) = \int_{-\infty}^{\epsilon_v} d\epsilon g_v(\epsilon) \left(1 - \frac{1}{e^{(\epsilon-\mu)/k_B T} + 1} \right) = \dots = P_v(T) e^{-(\mu-\epsilon_v)/k_B T}$$

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Density of available states (isotropic effective mass)

$$g_{c,v}(\epsilon) = \sqrt{2|\epsilon - \epsilon_{c,v}|} \frac{m_{c,v}^{3/2}}{\hbar^3 \pi^2}$$

$$N_c(T) = \int_{\epsilon_c}^{\infty} d\epsilon g_c(\epsilon) e^{-(\epsilon - \epsilon_c)/K_B T}$$

$$P_v(T) = \int_{-\infty}^{\epsilon_v} d\epsilon g_v(\epsilon) e^{-(\epsilon_v - \epsilon)/K_B T}$$

$$N_c(T) = \frac{1}{4} \left(\frac{2m_c k_B T}{\pi \hbar^2} \right)^{3/2} \quad N_c(T) = 2.5 \left(\frac{m_c}{m} \right)^{3/2} \left(\frac{T}{300K} \right)^{3/2} 10^{19} / cm^3$$

$$P_v(T) = \frac{1}{4} \left(\frac{2m_v k_B T}{\pi \hbar^2} \right)^{3/2} \quad P_v(T) = 2.5 \left(\frac{m_v}{m} \right)^{3/2} \left(\frac{T}{300K} \right)^{3/2} 10^{19} / cm^3$$

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Miracle! Law of Mass Action

$$n_c(T) = N_c(T) e^{-(\epsilon_c - \mu)/K_B T}$$

$$p_v(T) = P_v(T) e^{-(\mu - \epsilon_v)/K_B T}$$

$$n_c(T) p_v(T) = N_c(T) P_v(T) e^{-\frac{(\epsilon_c - \epsilon_v)}{K_B T}}$$

$$= N_c(T) P_v(T) e^{-\frac{E_{gap}}{K_B T}}$$

This is a property of the material (does not depend on doping)

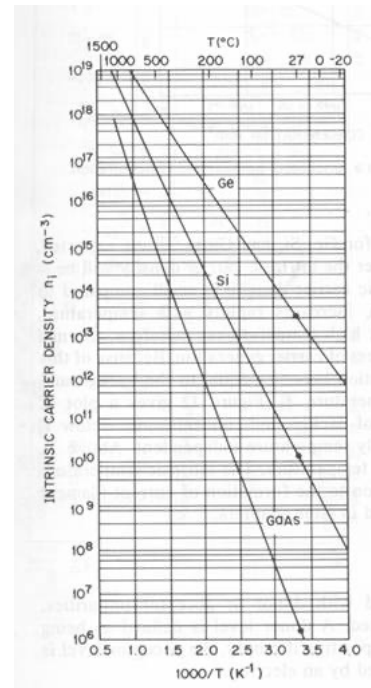
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Intrinsic case

$$n_c(T) = p_v(T) \equiv n_i(T)$$

$$n_i = \sqrt{n_c p_v} = \sqrt{N_c P_v} e^{-E_g/2k_B T}$$

$$= 2.5 \left(\frac{m_c}{m} \right)^{\frac{3}{4}} \left(\frac{m_v}{m} \right)^{\frac{3}{4}} \left(\frac{T}{300K} \right)^{\frac{3}{2}} e^{-\frac{E_g}{2K_B T}} 10^{19}/cm^3$$



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Intrinsic case

$$n_c(T) = N_c(T) e^{-(\epsilon_c - \mu)/K_B T}$$

$$p_v(T) = P_v(T) e^{-(\mu - \epsilon_v)/K_B T}$$

$$1 = \frac{n_c(T)}{p_v(T)} = \frac{N_c(T)}{P_v(T)} e^{2\mu/K_B T} e^{(2\epsilon_v + E_g)/K_B T}$$

$$\mu = \mu_i = \epsilon_v + \frac{E_g}{2} + \frac{k_B T}{2} \ln \frac{P_v(T)}{N_c(T)}$$

$$= \epsilon_v + \frac{E_g}{2} + \frac{3}{4} K_B T \ln \left(\frac{m_v}{m_c} \right)$$

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