

Heterophase Interfaces and Thin Films

Lesson 12

MSE 304

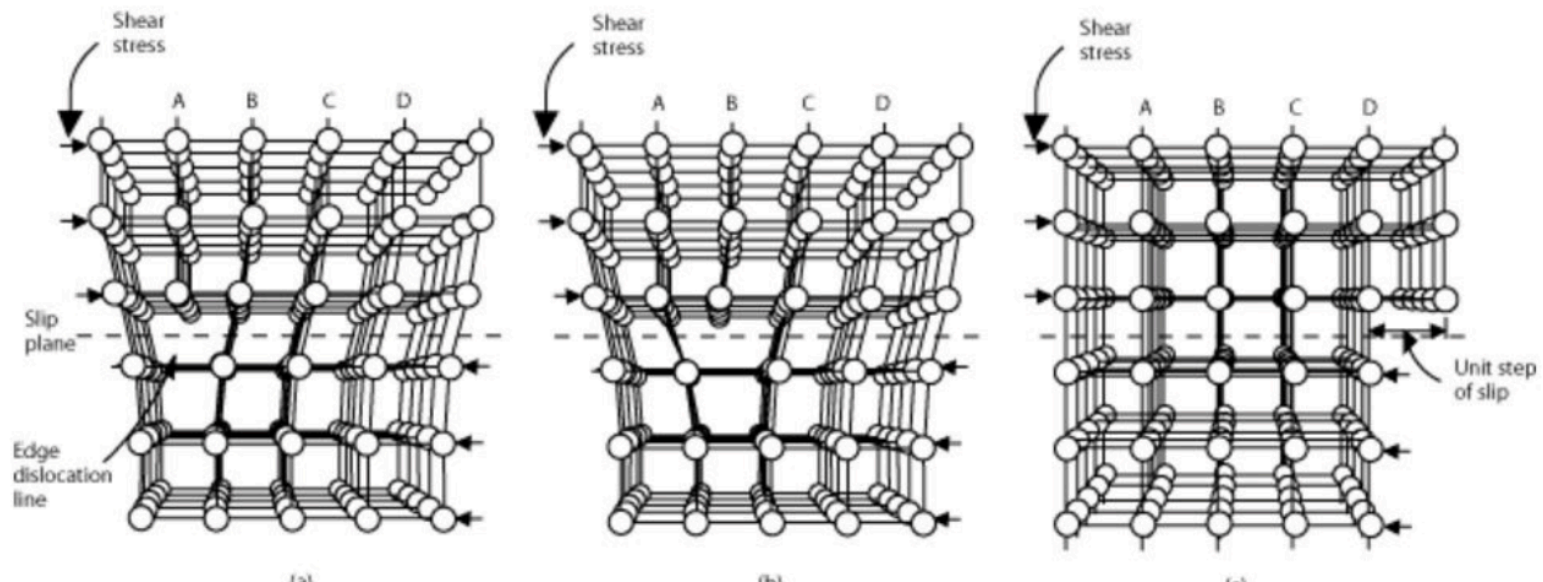
Francesco Stellacci



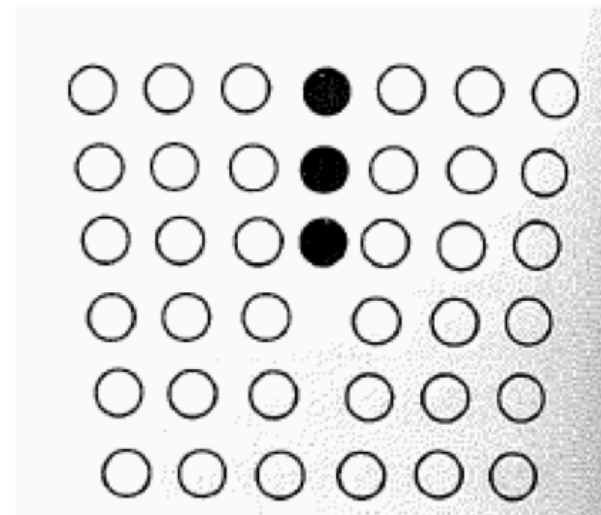
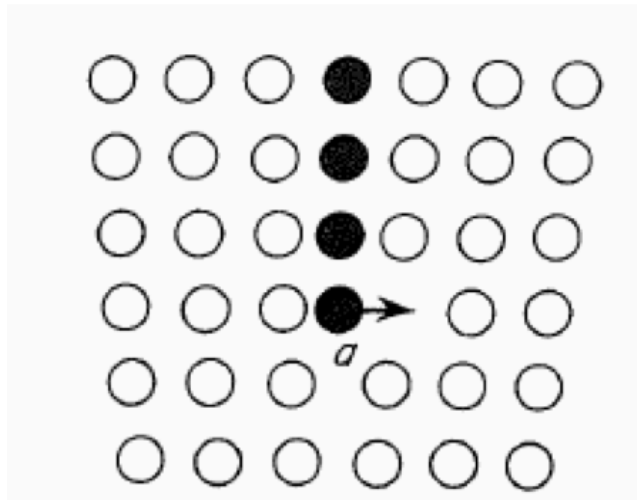
Key Topics in the Previous Class

Slip Planes in a Crystal Structure

Glide Motion of Dislocations



Climb Motion for Dislocations



Glide and Climb Motion for Dislocations

Glide vs. Climb

Glide/slip: *conservative movement within the slip plane*

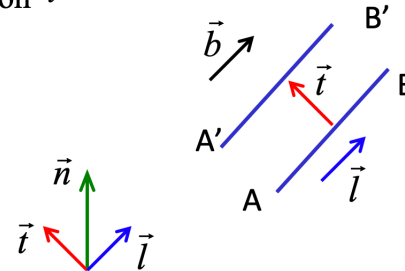
Climb: *non-conservative movement away from the slip plane*

let's consider a dislocation AB that moves to A'B' in the direction $\vec{t}$

$$\vec{n} = \vec{l} \times \vec{t} \quad \text{- surface normal}$$

$$\vec{b} \cdot \vec{n} = 0 \quad \text{- conservative motion, glide}$$

$$\left. \begin{array}{l} \vec{b} \cdot \vec{n} > 0 \quad \text{- addition of material} \\ \vec{b} \cdot \vec{n} < 0 \quad \text{- removal of material} \end{array} \right\} \text{climb}$$



Glide and Climb Motion of Dislocations

Glide vs. Climb

Glide/slip: conservative movement within the slip plane

Climb: non-conservative movement away from the slip plane

let's consider a dislocation AB that moves to A'B' in the direction $\vec{t}$

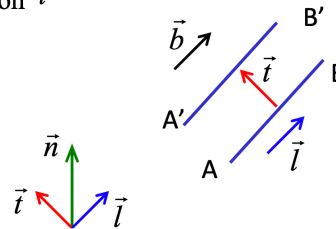
$$\vec{n} = \vec{l} \times \vec{t} \quad \text{- surface normal}$$

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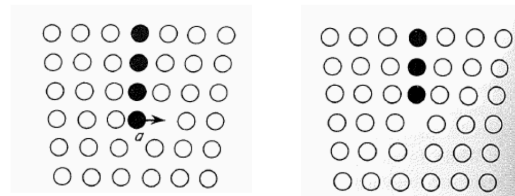
$$\vec{b} \cdot \vec{n} > 0 \quad \text{- addition of material}$$

$$\vec{b} \cdot \vec{n} < 0 \quad \text{- removal of material}$$

} climb



example:



$$\begin{array}{c} \uparrow \vec{t} \\ \otimes \vec{l} \end{array} \quad \leftarrow \vec{b} \quad \vec{n} = \vec{l} \times \vec{t} \quad \vec{b} \cdot \vec{n} < 0$$

- positive climb

crystal shrinks in direction parallel to slip plane
results from compressive strain

in general, volume change due to climb is

$$\Delta V = \vec{b} \cdot \vec{l} \times \vec{t} = \vec{b} \times \vec{l} \cdot \vec{t}$$

Glissile and Sessile Interfaces

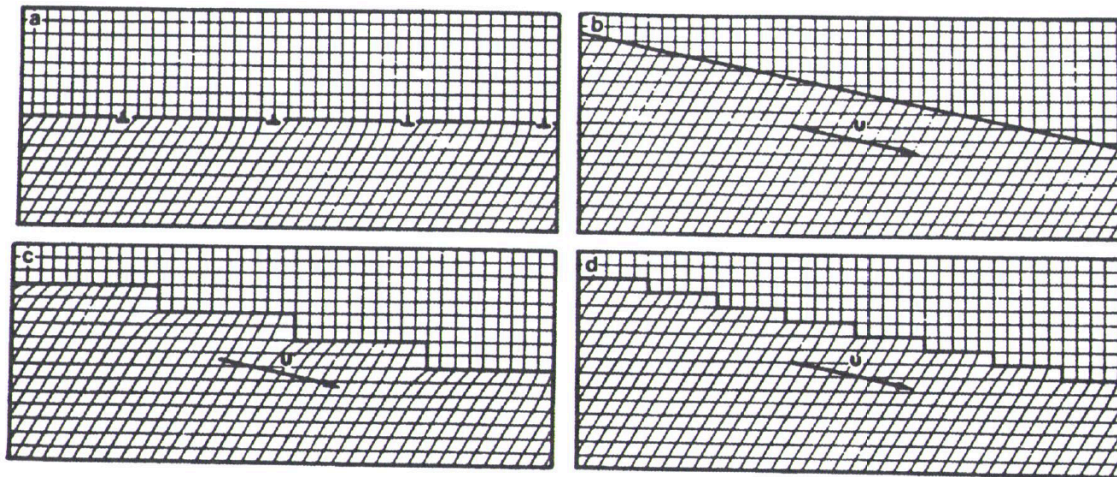


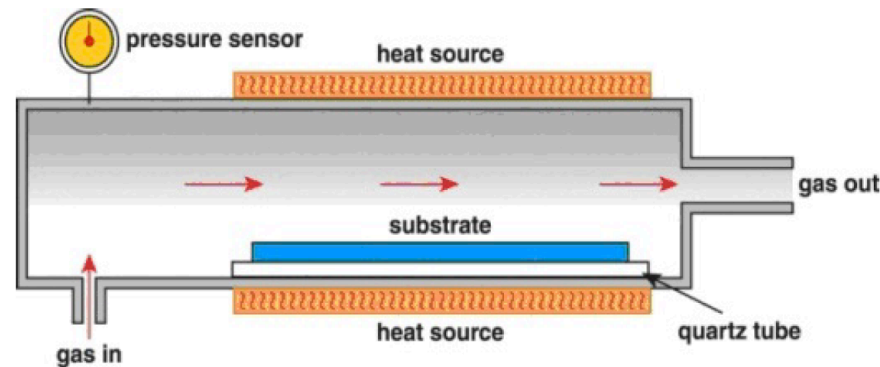
Figure 14.39. Possible interfaces for a transformation described by a shear e_{12} coupled with an expansion e_{11} in the shear plane. (a) The expansion is accommodated by a set of perfect lattice (misfit) dislocations. (b) The interface lies along an invariant line u and no dislocations are necessary. (c) The invariant line is resolved onto every other close-packed plane as in the f.c.c.–h.c.p. transformation where each ledge is an $\alpha/6\langle 112 \rangle$ partial dislocation. (d) The invariant line is resolved onto every close-packed plane as in an f.c.c.–b.c.c. transformation where each ledge contains an $\alpha/12\langle 112 \rangle$ partial dislocation. Reprinted with permission from [153] by Elsevier Science Ltd., Oxford, England.

Glissile and Sessile Interfaces

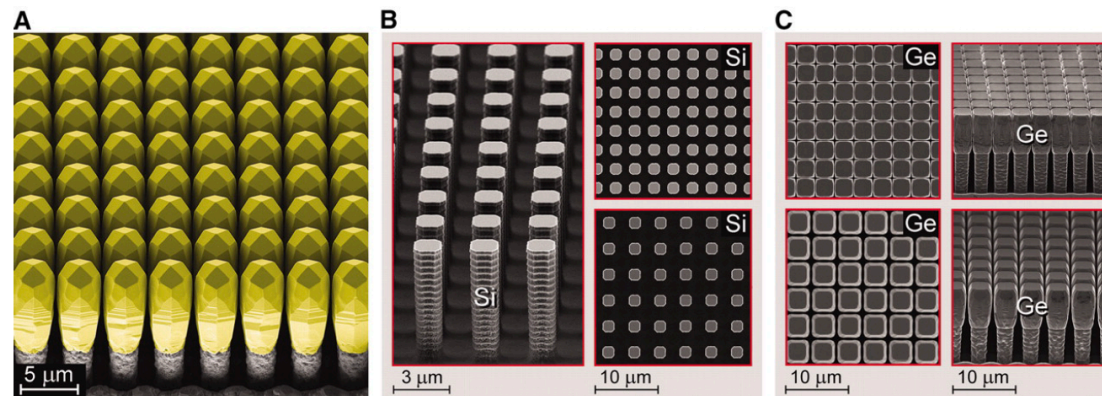
Thin Films in Modern Technology

Thin Films in Modern Technology

- Growth of thin films of materials allows extreme control of the structure of matter at the nano-scale
- On the lab. scale: molecular beam epitaxy (MBE)
 - Extremely “clean” conditions, exquisite control of all parameters
 - Batch operation, extremely slow, ultra-high vacuum
- On the industrial level: chemical vapor deposition (CVD)
 - “Dirtier” operation, but much simpler to operate

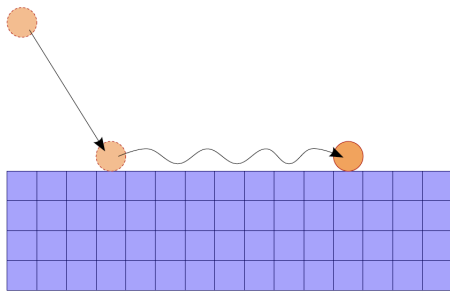


Thin Films – Morphology Control



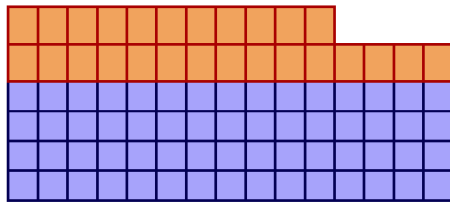
Strain-free Ge nanopillars grown by PECVD. [Falub et al., Science, 2012]

Growth Models for Thin Films

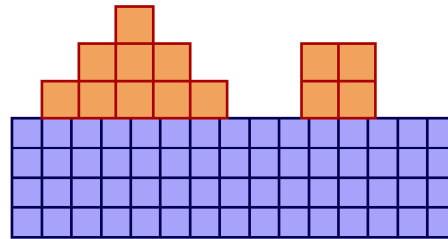


Growth Models for Thin Films

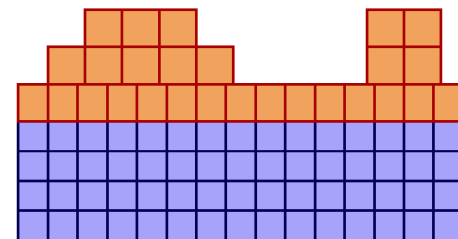
Frank-Van der Merwe



Volmer-Weber

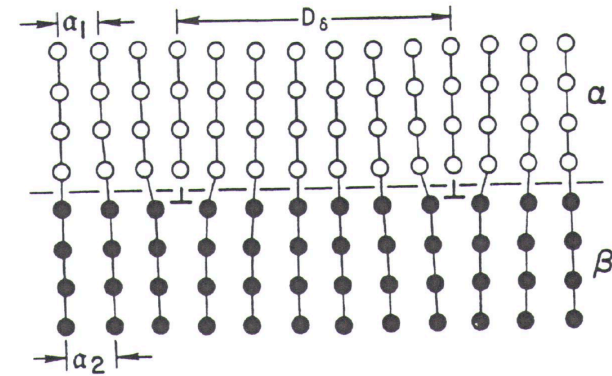
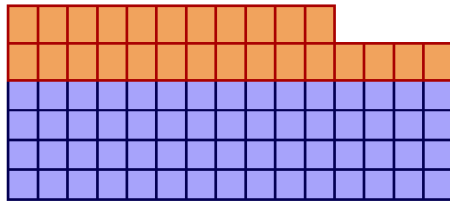


Stranski-Krastanov



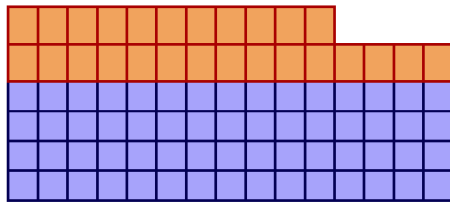
Growth Models for Thin Films

Frank-Van der Merwe

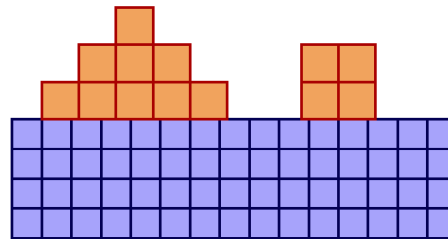


Growth Models for Thin Films: First Explanation

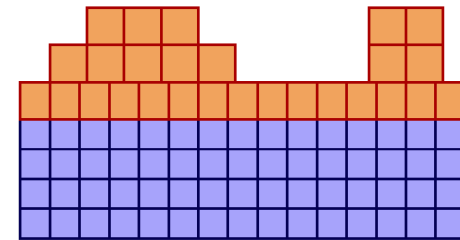
Frank-Van der Merwe



Volmer-Weber

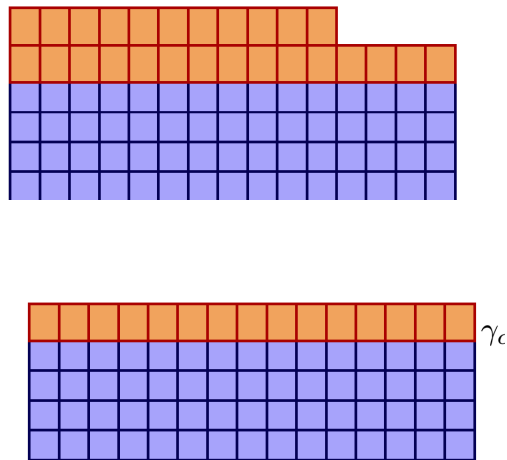


Stranski-Krastanov

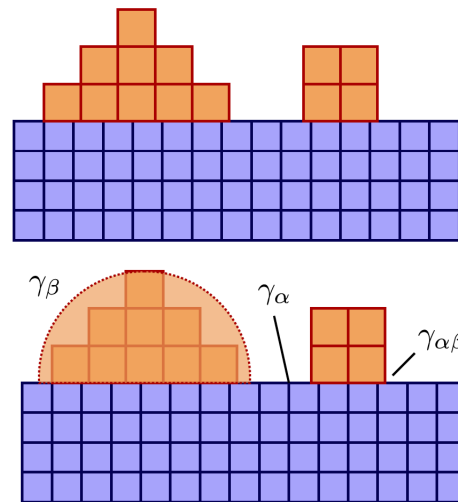


Growth Models for Thin Films: Second Explanation

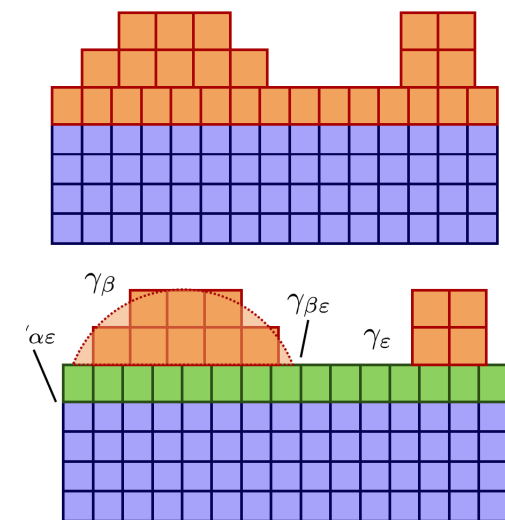
Frank-Van der Merwe



Volmer-Weber



Stranski-Krastanov



- Let's look at these from a macroscopic point of view: Recall Young's equation $\gamma_\beta \cos \theta = \gamma_\alpha - \gamma_{\alpha\beta}$
 - 3D Island (VW) growth corresponds to finite contact angle: $\gamma_{\alpha\beta} + \gamma_\beta > \gamma_\alpha$
 - Layer-by-layer (FM) growth corresponds to $\theta = 0$: $\gamma_{\alpha\beta} + \gamma_\beta \leq \gamma_\alpha$
 - Stranski-Krastanov growth is harder to explain based on macroscopic surface energies. If we consider the strained film as a different material ϵ , it must be that $\gamma_{\alpha\epsilon} + \gamma_\epsilon \leq \gamma_\alpha$, and $\gamma_{\beta\epsilon} + \gamma_\beta > \gamma_\epsilon$

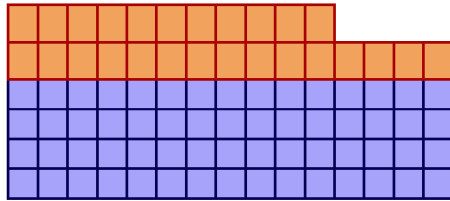
Strained and Unstrained Growth

$$E_{el} = e^2 C_5 L_f$$

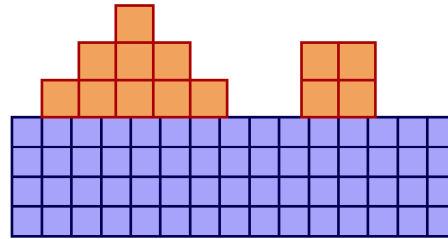
$$C_5 = 2\mu_f \frac{1 + \nu_f}{1 - \nu_f}$$

Growth Models for Thin Films: Third Explanation

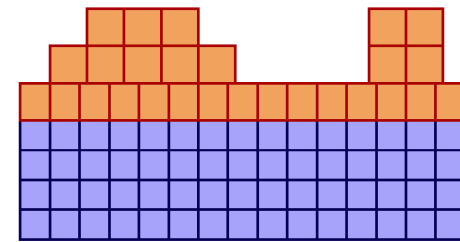
Frank-Van der Merwe



Volmer-Weber



Stranski-Krastanov



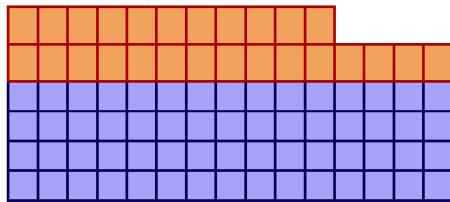
$$\mu(n) = \mu_{\infty} + [\varphi_a - \varphi'_a(n) + \varepsilon_d(n) + \varepsilon_e(n)]$$

$$\frac{d\mu}{dn} > 0$$

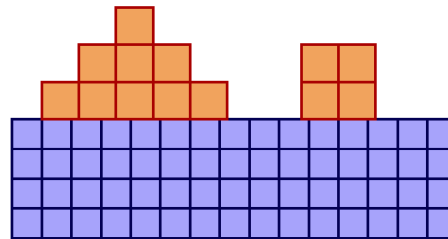
$$\frac{d\mu}{dn} < 0$$

Growth Models for Thin Films: Third Explanation

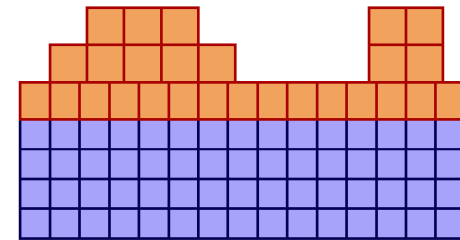
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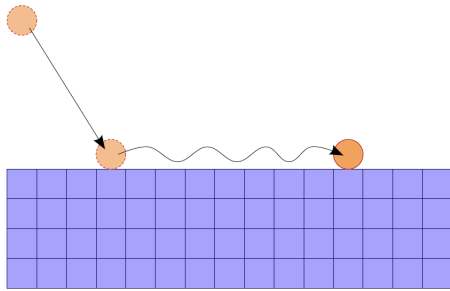
Volmer-Weber



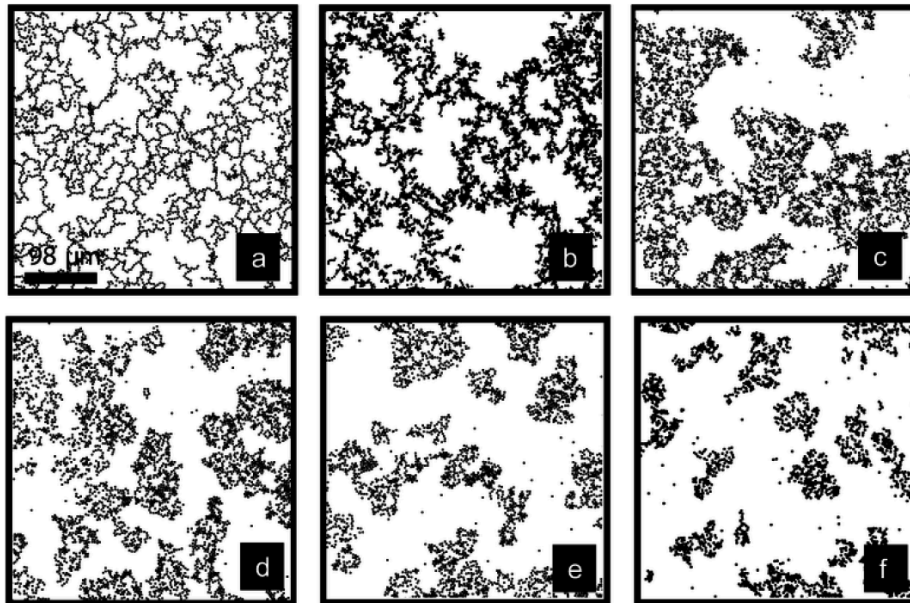
Stranski-Krastanov



Growth Models for Thin Films



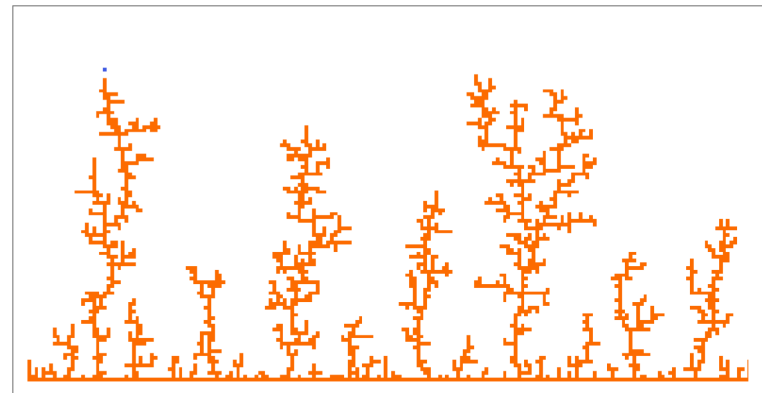
Diffusion Limited Aggregation



Flow-induced aggregation of colloids. [Massachaele et al., Soft Matter, 2011]

Diffusion Limited Aggregation

- Growth regimes discussed this far assume local equilibration between atom attachments
- The opposite case is a “stick where you hit” grow mode - strongly out of equilibrium structure
- Diffusion-limited aggregation



Conclusions
