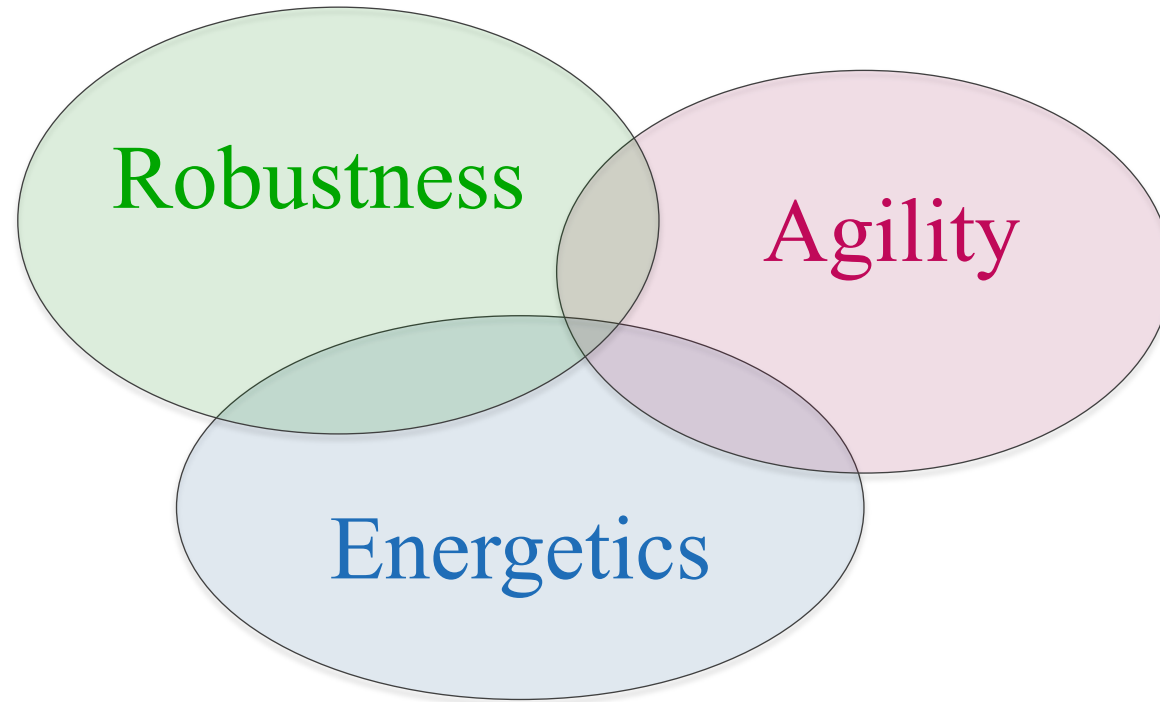


Legged Locomotion: Trajectory Optimization, Machine Learning, and Bio-Inspired Control

Guillaume Bellegarda

Locomotion Goals



...in stochastic environments (variability)



Robustness
unknown variability

Agility

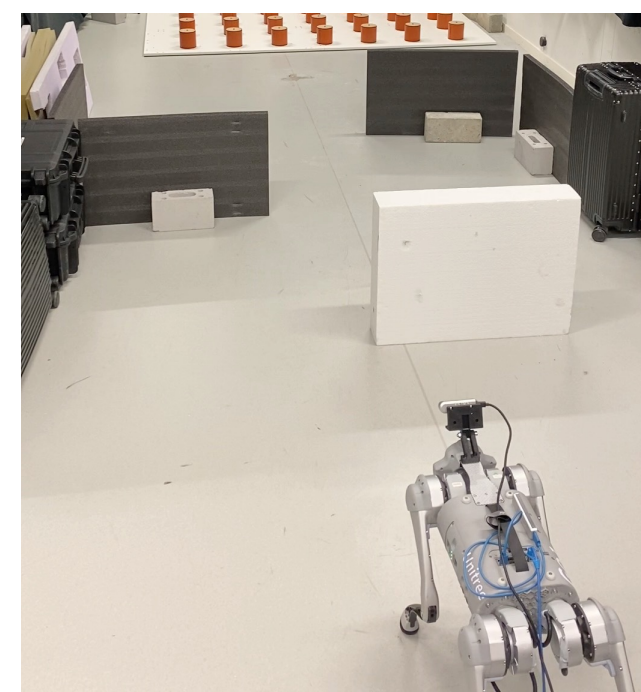
Energetics

G. Bellegarda, A. Ijspeert. “CPG-RL: Learning Central Pattern Generators for Quadruped Locomotion,” RA-L 2022

G. Bellegarda, Y. Chen, Z. Liu, Q. Nguyen. “Robust High-Speed Running for Quadruped Robots via Deep Reinforcement Learning,” IROS 2022

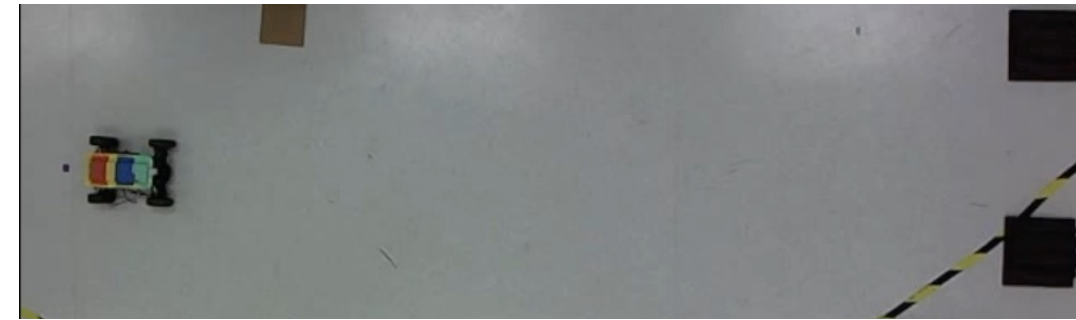


Robustness
unknown variability



Agility
known variability

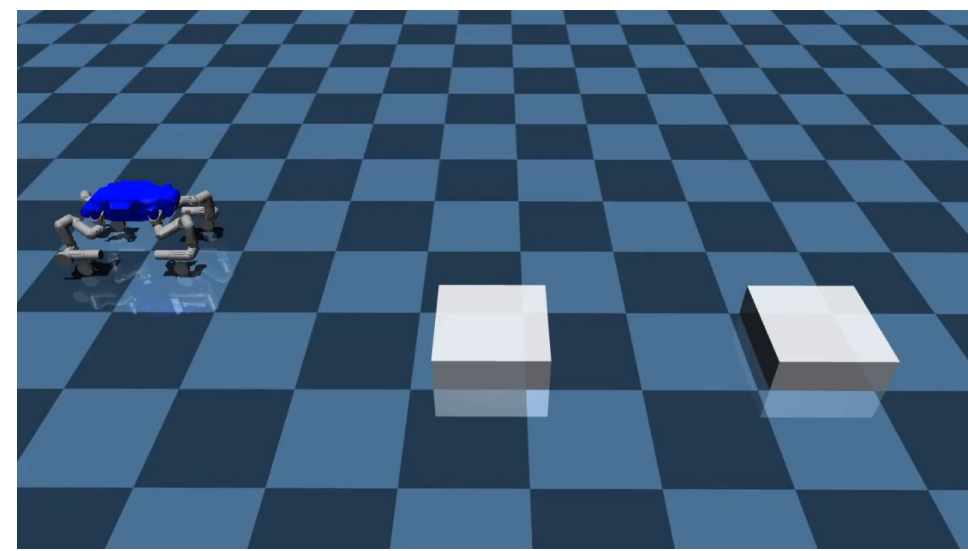
Energetics



Robustness
unknown variability

Agility
known variability

Energetics
as efficient as practical



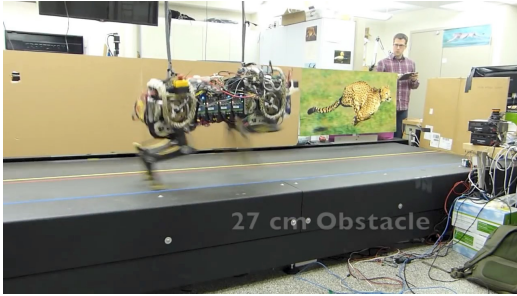
G. Bellegarda, K. Byl. “Trajectory Optimization for a Wheel-Legged System for Dynamic Maneuvers that Allow for Wheel Slip,” CDC 2019.

G. Bellegarda, K. Byl. “Design and Evaluation of Skating Motions for a Dexterous Quadruped,” ICRA 2018.

Robotics Approaches

Model-based methods

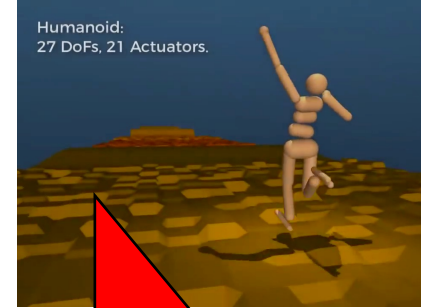
i.e. trajectory optimization, model-predictive control (MPC), Central Pattern Generators (CPGs)



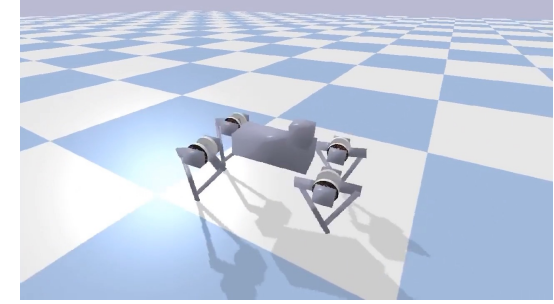
[Park et al. 2017]



[Boston Dynamics 2017]



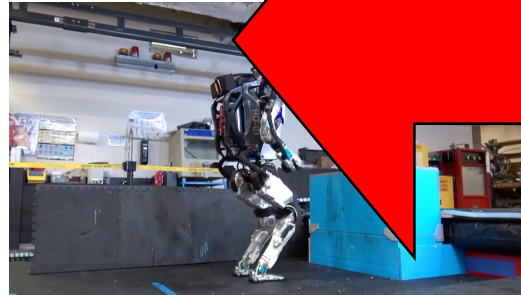
[Tan et al. 2017]



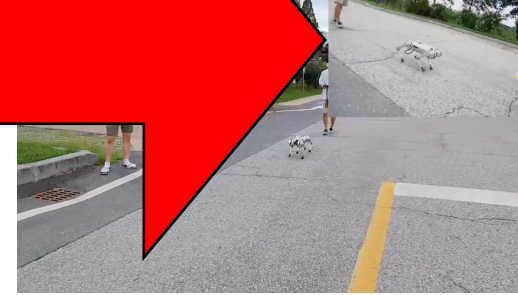
[Tan et al. 2018]



[Kim et al. 2019]



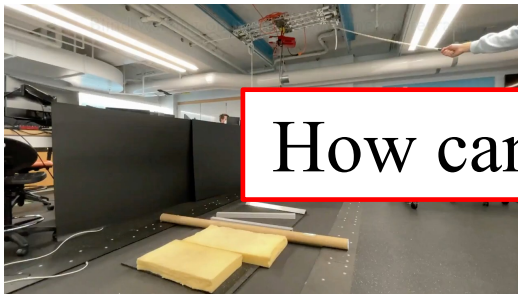
[Boston Dynamics 2018]



[Ji et al. 2022]



[Hwangbo et al. 2019]



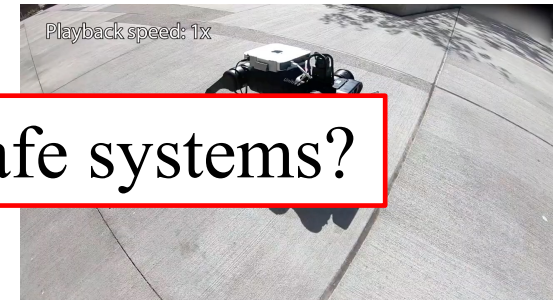
[Xiong et al. 2021]



[DRC 2015]



[Lee et al. 2020]



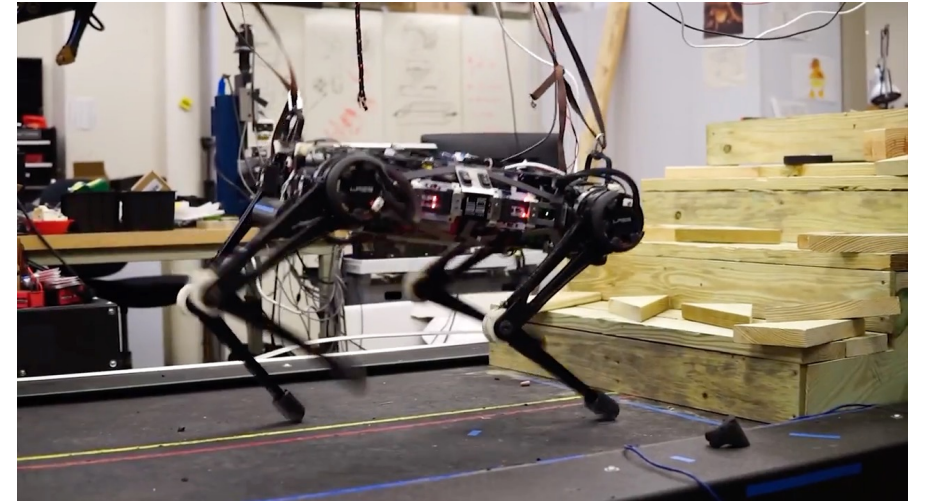
[Yang et al. 2021]

How can we build **generalizable**, agile, robust, and safe systems?

Goals for Today

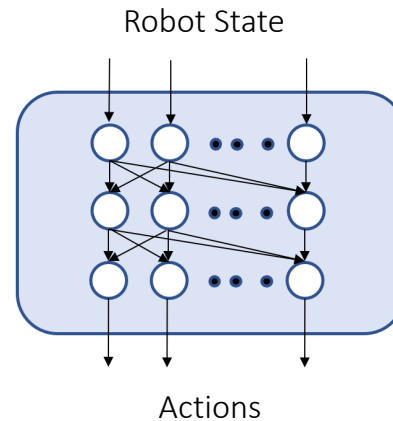
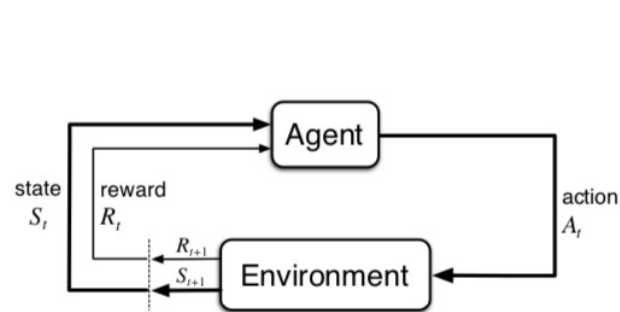
1. Insights into formulating trajectory optimization (model-predictive control) problems

$$\begin{aligned} \min_{\mathbf{x}, \mathbf{u}} \quad & \sum_{i=0}^{k-1} \|\mathbf{x}_{i+1} - \mathbf{x}_{i+1, \text{ref}}\|_{\mathbf{Q}_i} + \|\mathbf{u}_i\|_{\mathbf{R}_i} \\ \text{subject to} \quad & \mathbf{x}_{i+1} = \mathbf{A}_i \mathbf{x}_i + \mathbf{B}_i \mathbf{u}_i, i = 0 \dots k-1 \\ & \underline{\mathbf{c}}_i \leq \mathbf{C}_i \mathbf{u}_i \leq \bar{\mathbf{c}}_i, i = 0 \dots k-1 \\ & \mathbf{D}_i \mathbf{u}_i = 0, i = 0 \dots k-1 \end{aligned}$$



Goals for Today

1. Insights into formulating trajectory optimization (model-predictive control) problems
2. Insights into training control policies with deep reinforcement learning



Outline

I. Motivation and Goals

II. Model-Predictive Control vs. Reinforcement Learning (same?)

III. Model-based methods

I. Background on RoboSimian and designing skating motions

II. Trajectory optimization allowing wheel slip

IV. Learning-based methods

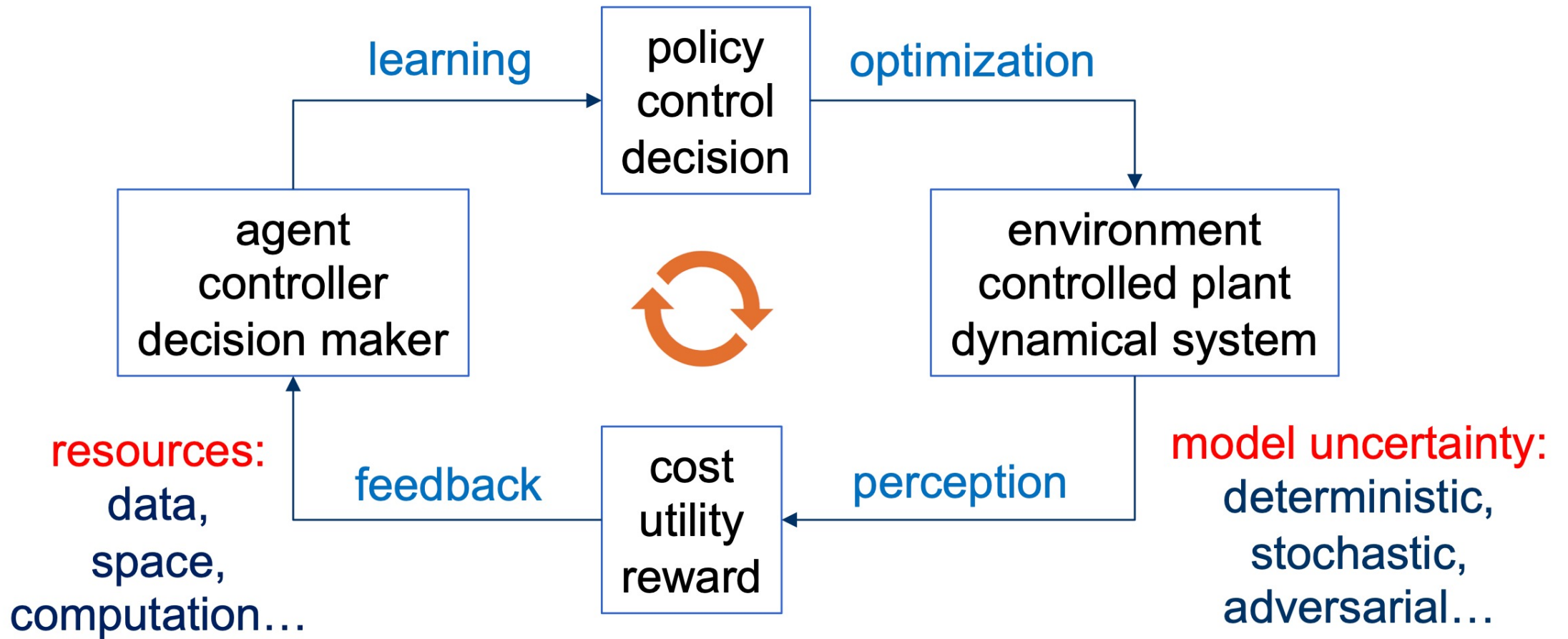
I. Action space in reinforcement learning [RoboSimian, A1]

II. Augmenting motion planning with deep reinforcement learning [A1]

V. Bio-inspired learning

VI. Conclusion

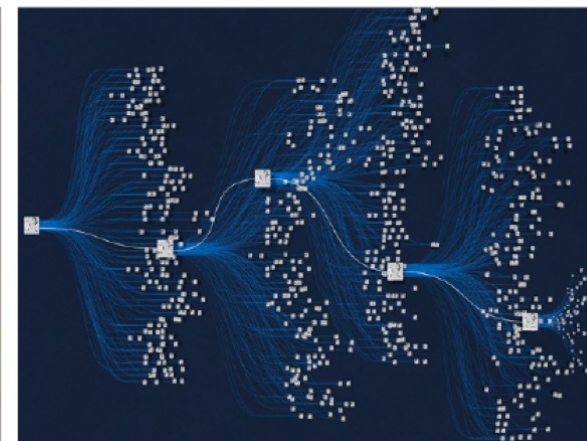
Common Setting: Closed-Loop Autonomous System



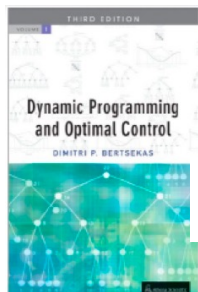
Reinforcement Learning Challenges

In RL, an agent learns by interacting with an environment

- unknown or changing environments
- delayed rewards or feedback
- enormous state and action space
- nonconvexity



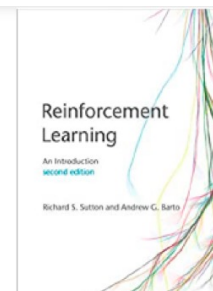
Terminology: State Space Model



Optimal Control

environment
controlled plant
dynamical system

Reinforcement Learning



State and Control space: $\mathcal{S}, \mathcal{U}$

State: $x_k \in \mathcal{S}, k = 0, 1, \dots$

Control: $u_k \in \mathcal{U}, k = 0, 1, \dots$

Dynamical System:

$$\begin{aligned} x_{k+1} &= f(x_k, u_k) \\ x_{k+1} &= f(x_k, u_k, w_k) \end{aligned}$$

stochastic

Output/observation (feature):

$$y_k = h(x_k, u_k) + n_k$$

stochastic

State and Action space: $\mathcal{S}, \mathcal{A}$

State: $s_t \in \mathcal{S}, t = 0, 1, \dots$

Action: $a_t \in \mathcal{A}, t = 0, 1, \dots$

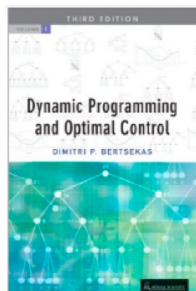
MDP Transition (or simulation):

$$\mathcal{T}_{ijk} = p(s_{t+1} = i \mid s_t = j, a_t = k)$$

Observation (feature):

$$p(o_t \mid s_t)$$

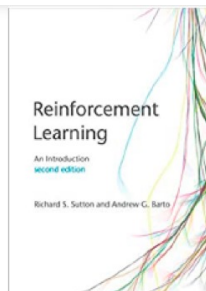
Terminology: Optimization Objective



Optimal Control

policy
control
decision

Reinforcement Learning



Cost: $g(x_k, u_k) \in \mathbb{R}$

Total cost function:

$$J(x_0; u_0, \dots, u_N) = \sum_{k=0}^N g(x_k, u_k)$$

Control law: $u(x_k); u^*(x_k)$

$$x_{k+1} = f(x_k, u(x_k))$$

$$u_{k+1} = u(x_{k+1})$$

Value function (minimal cost to go):

$$J^*(x_0) = \min_{u(\cdot)} \sum_{k=0}^N g(x_k, u(x_k, k))$$

Reward: $r(s_t, a_t) \in \mathbb{R}$

Total reward (return):

$$J(s_1; a_1, \dots, a_T) = \frac{1}{T} \sum_{t=1}^T \mathbb{E}[r(s_t, a_t)]$$

Policy: $\pi(a_t | s_t); \pi^*(a_t | s_t)$

$$p((s_{t+1}, a_{t+1}) | (s_t, a_t)) =$$
$$p(s_{t+1} | s_t, a_t) \pi(a_{t+1} | s_{t+1})$$

Value function (maximal return):

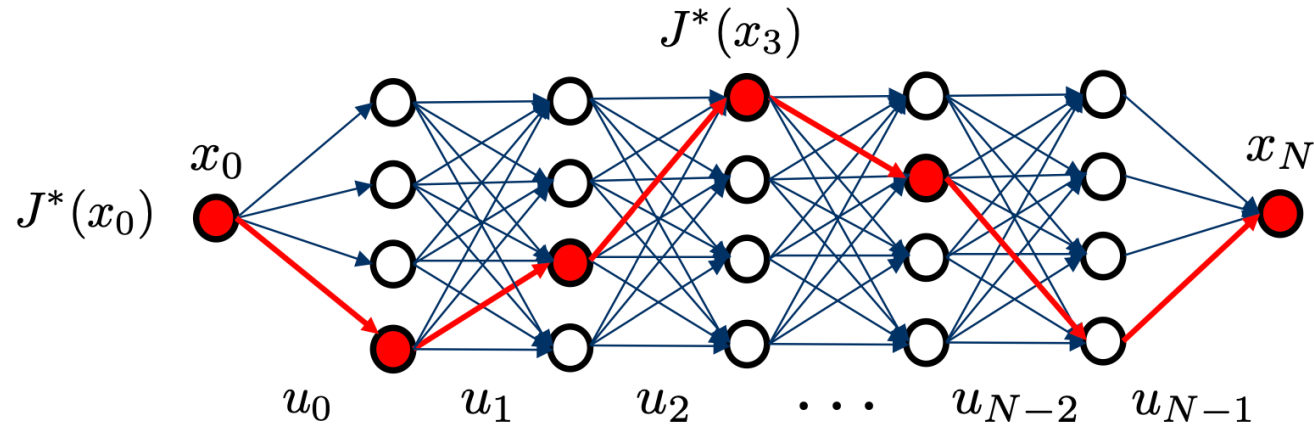
$$V^*(s_1) = \max_{\pi(\cdot)} \frac{1}{T} \sum_{t=1}^T \mathbb{E}_{\pi}[r(s_t, a_t)]$$

Principle of (Path) Optimality

Dido of Carthage..., Euler, Lagrange, Newton, Hamilton, Jacobi, Pontryagin, Bellman, Ford, Kalman

850 BC

1960 AC



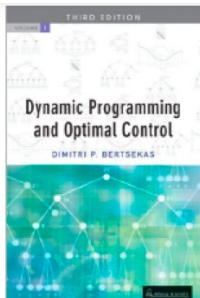
Principle of Optimality (Richard Bellman'54):

An optimal path has the property that any subsequent portion is optimal.

Dynamical Programming: A “Fixed-Point” Type Algorithm

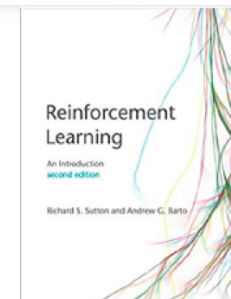
$$J^*(x_k) = \min_{u_k} \left[g(x_k, u_k) + \underbrace{J^*(f(x_k, u_k))}_{x_{k+1}} \right], \quad \forall x_k$$

Terminology: Optimization Objective



Optimal Control

Reinforcement Learning



Value function and Q-function:

$$J^*(x_k) = \min_{u_k} \underbrace{[g(x_k, u_k) + J^*(f(x_k, u_k))]}_{Q(x_k, u_k)}, \quad \forall x_k \quad V^*(s_t) = \max_{\pi} \mathbb{E}_{\pi} \left[\underbrace{p(s_{t+1} \mid s_t, a_t)}_{\mathcal{T}} \underbrace{[r(s_t, a_t) + V^*(s_{t+1})]}_{Q(s_t, a_t)} \right], \quad \forall s_t$$

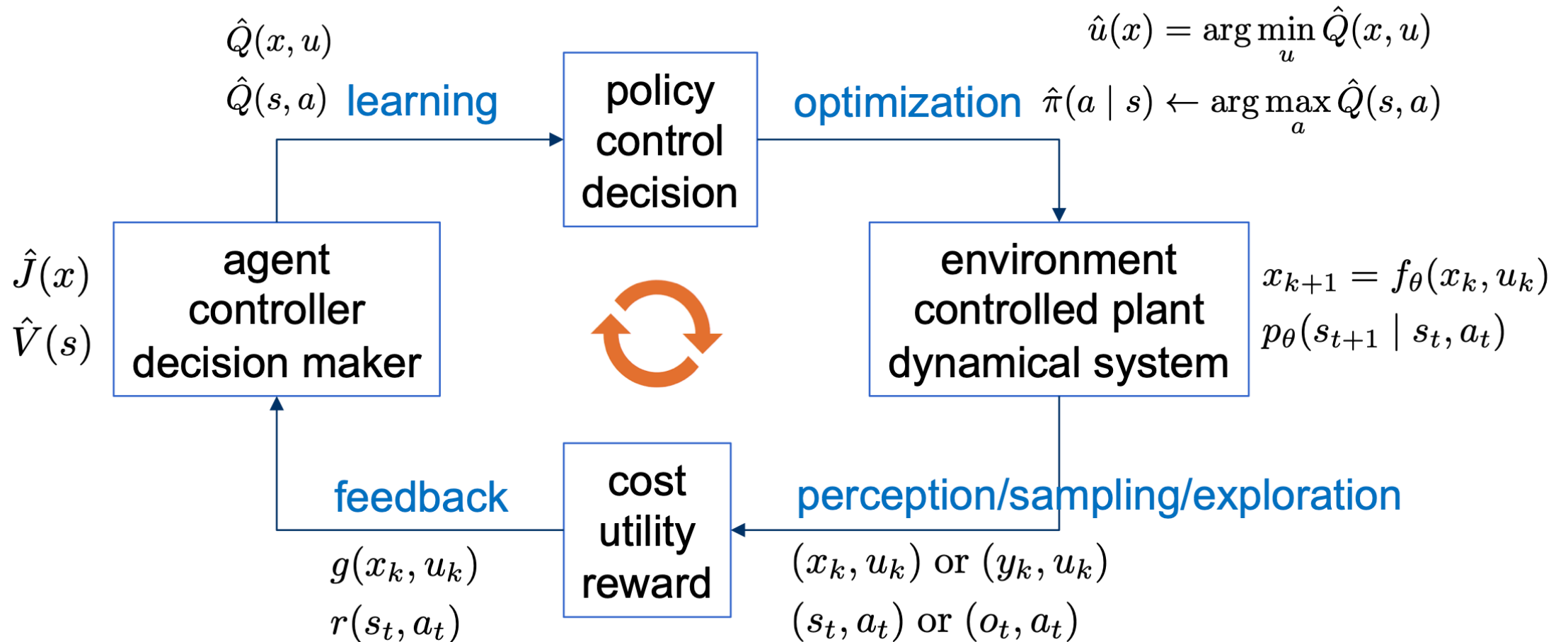
(many, many, many different ways to learn and solve them, depending on...)

Given the value or Q-function, the optimal control/policy and path:

$$\begin{aligned} u_k^* &= \arg \min_{u_k} \underbrace{[g(x_k^*, u_k) + J^*(f(x_k^*, u_k))]}_{Q(x_k^*, u_k)}, & \pi^*(s_t) &= \arg \max_{a_t} Q(s_t, a_t) \\ x_{k+1}^* &= f(x_k^*, u_k^*) & p(s_{t+1} \mid s_t, \pi^*(s_t)) & \end{aligned}$$

*In practice, states can be replaced by observations or “**features**” to relate to control or action.*

Closed-Loop Autonomous System (Formal)



From Principle to Computation

What to Compute, and How?

	Optimal Control	Reinforcement Learning
Optimal value function:	$J^*(x),$	$V^*(s)$
Optimal Q-function:	$Q^*(x, u),$	$Q^*(s, a)$
Optimal control/policy:	$u^*(x),$	$\pi^*(a \mid s) \quad (\text{or } u^*(y), \pi^*(a \mid o))$
System/model identification:	$f^*(x, u),$	$p^*(s_{t+1} \mid s_t, a_t)$

Closed-form versus numerical solution (simulation & optimization)

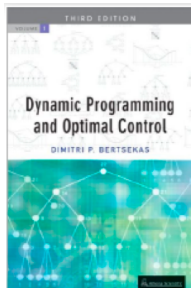
LQR: $J^*(x_k) = \min_{u_k} [x_k^T Q x_k + u_k^T R u_k + J^*(A x_{k+1} + B u_k)]$

The Riccati equation (Kalman Filter '60):

$$K_k = -(\bar{R} + \bar{B}^T V_{k+1} \bar{B})^{-1} \bar{B}^T V_{k+1} \bar{A} \quad (48)$$

$$V_k = \bar{Q} + \bar{A}^T V_{k+1} \bar{A} - \bar{A}^T V_{k+1} \bar{B} (\bar{R} + \bar{B}^T V_{k+1} \bar{B})^{-1} \bar{B}^T V_{k+1} \bar{A} \quad (49)$$

Control vs. Learning



Optimal Control

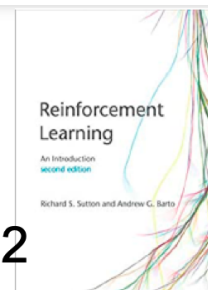
- LQR
- Parallel parking
- Chained form systems
- Mechanical systems...

Conditions & Assumptions

- clear model class/uncertainty
- clear cost function
- low to moderate dimension
- continuous state/time...

Reinforcement Learning

- Backgammon: Tesauro, 1992
- Chess: Deep Blue, 1997
- Go: Alpha Go, 2017
- Video games, robots...



Conditions & Assumptions

- unknown models (but can sample)
- uncertain, long-horizon return
- large-scale, high-dimensional
- discrete state/time...

*Solutions that work for **a broad class of problems** v.s. **a few (important) instances***

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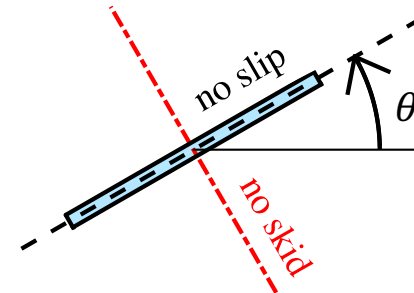
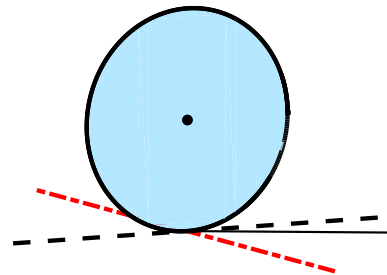
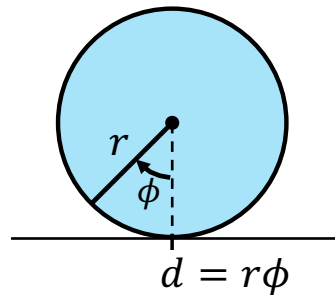
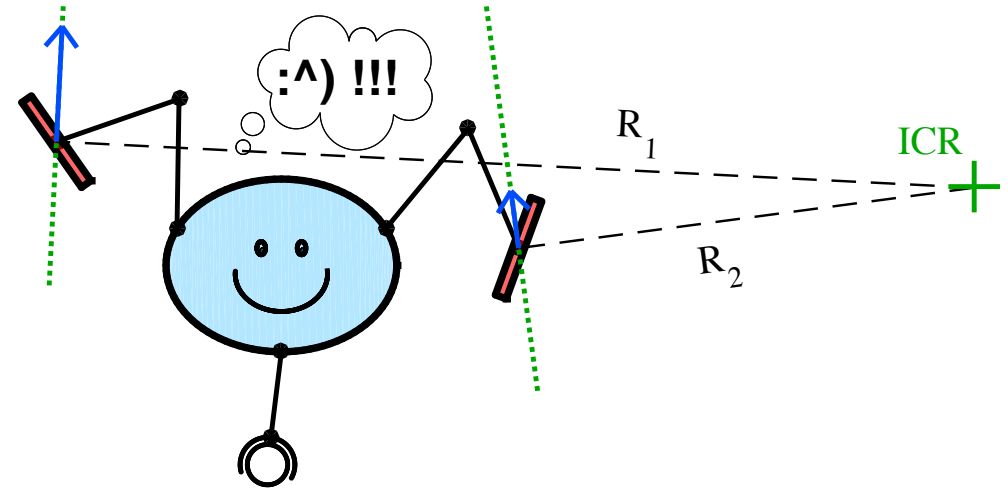
JPL's RoboSimian: Dexterous Quadruped

- Four identical limbs, each with 7 degrees of freedom (DOFs)
- Joint motor speeds peak at 1 (rad/s)
 - RoboSimian is stable, but very slow
- Passive single-wheel skate mounted at each forearm
 - Increase efficiency, speed
- 6 actuated DOFs available to set 6-DOF pose of each skate
- No sensing available at skate contact

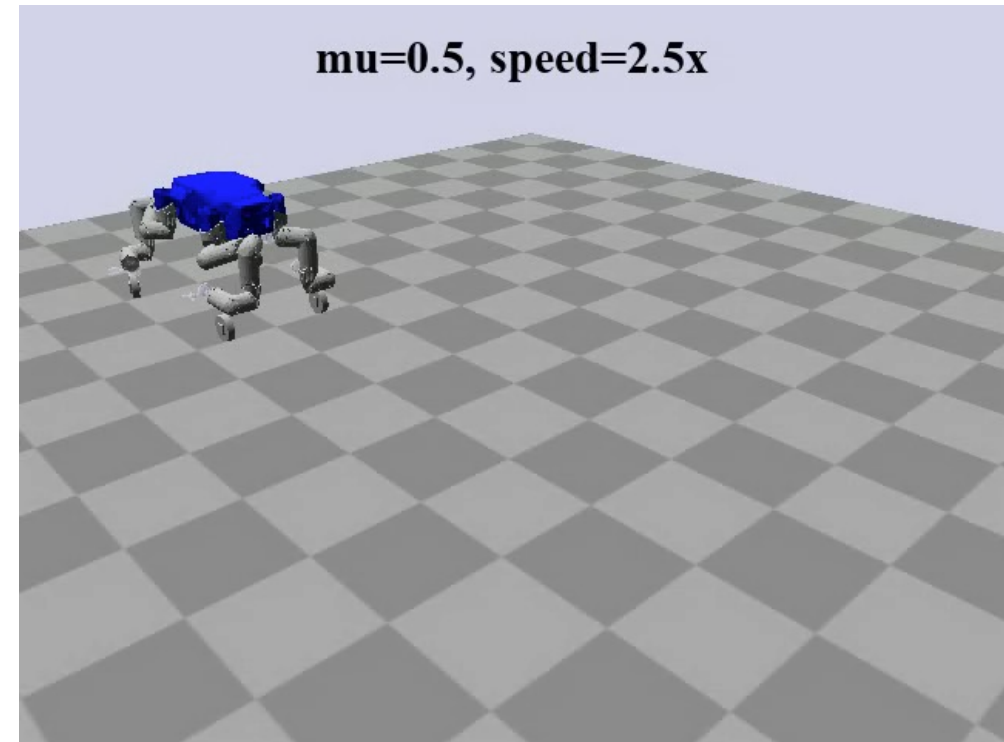
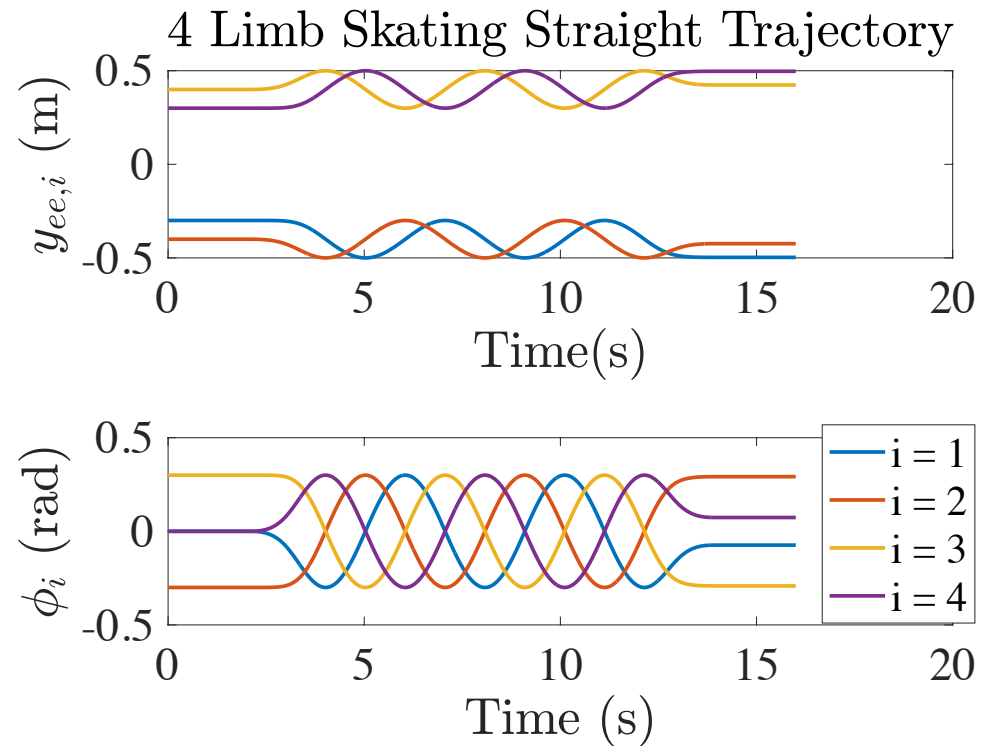


Modeling: Skating Kinematics

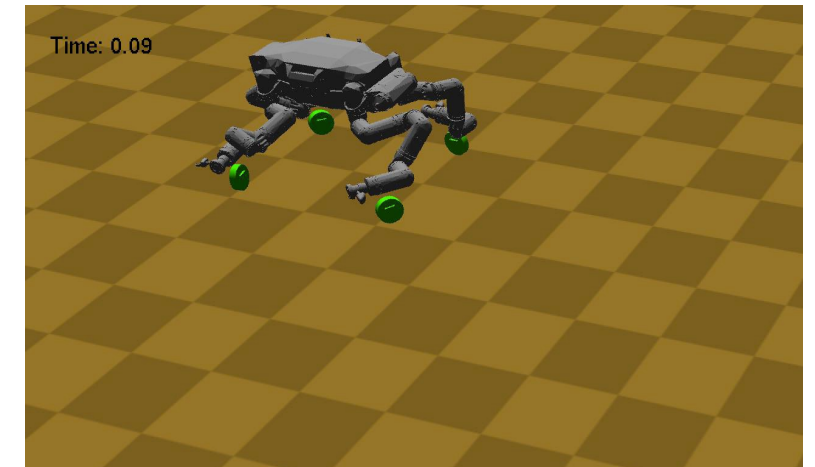
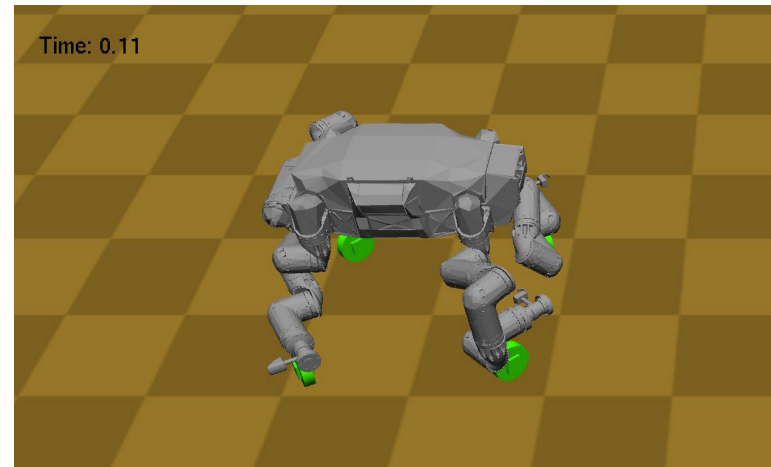
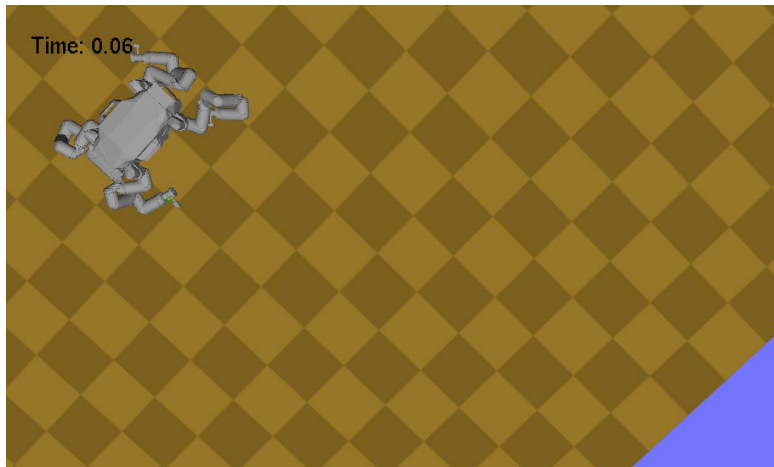
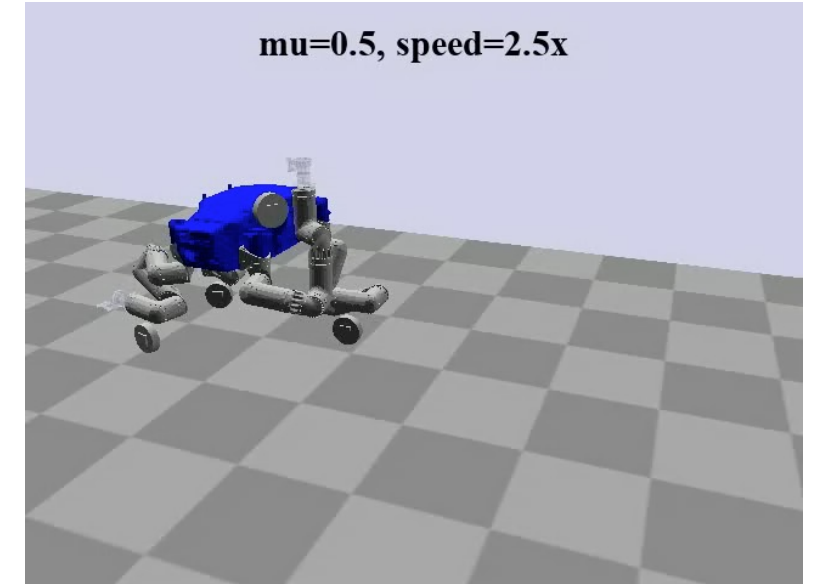
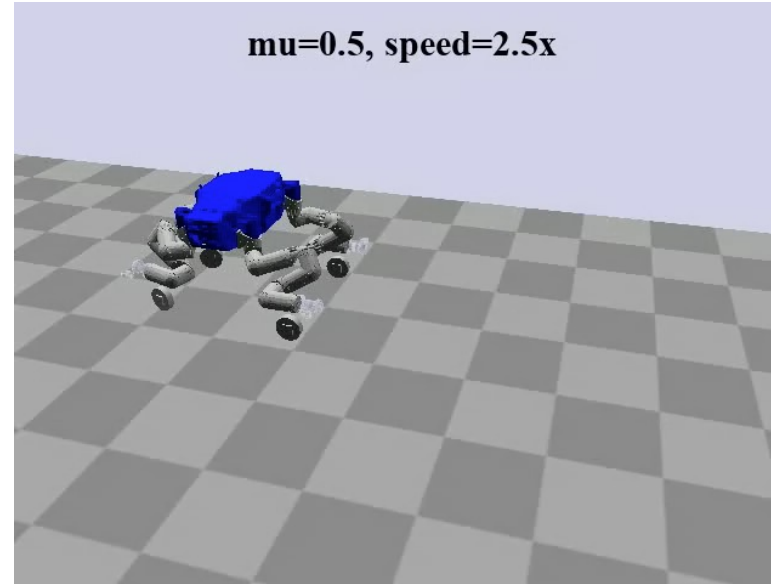
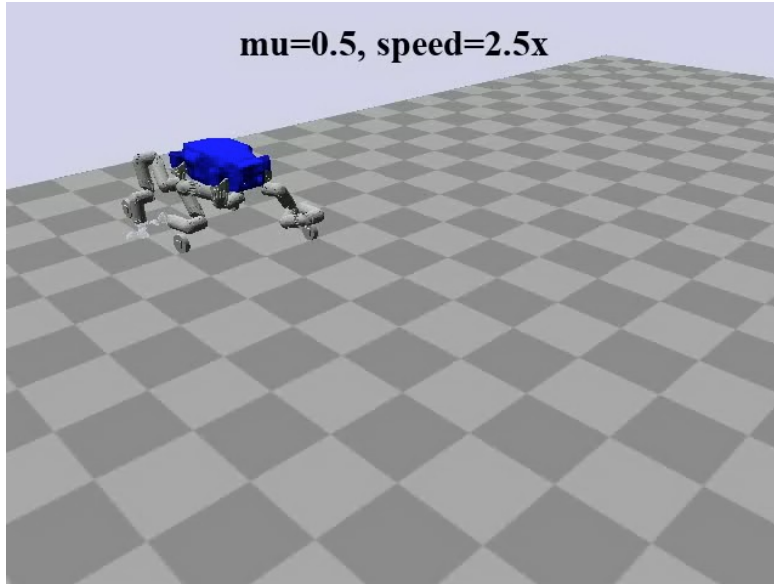
- Assumptions:
 - No skid at wheel axis
 - Free rolling perpendicular to no-skid axis (no-slip)
 - Wheel can rotate freely about a point contact with the ground



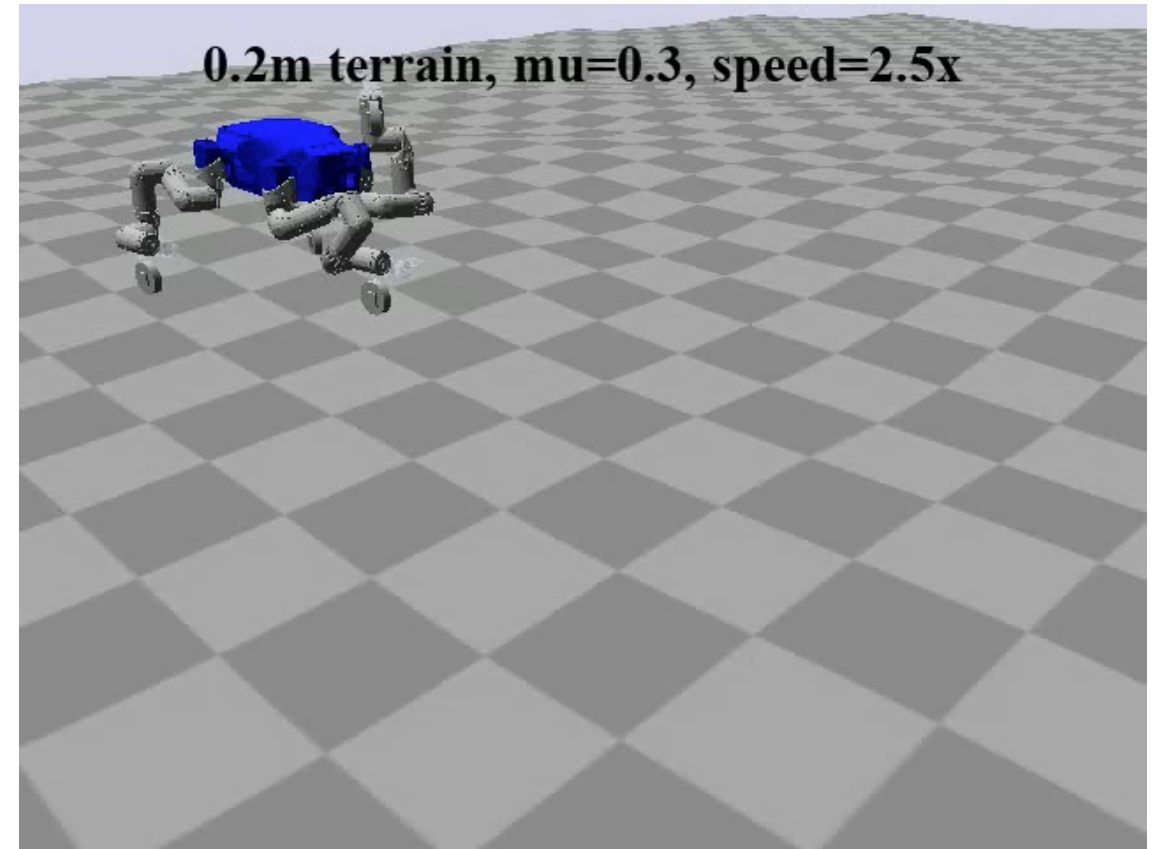
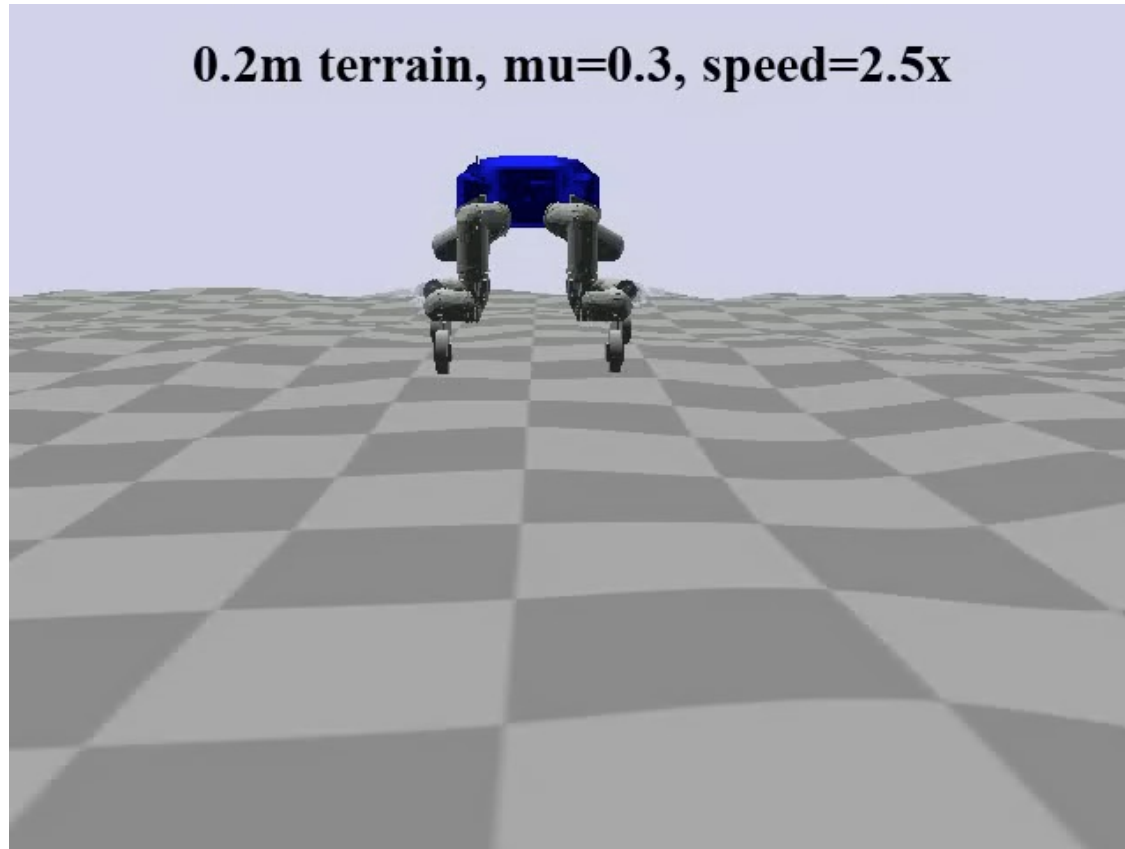
Designing Skating Motions for a Dexterous Quadruped



Other Agile Trajectories



Skating on 0.2 (m) “Rough” Terrain



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Trajectory Optimization

minimize	$J(t_0, t_F, x(t_0), x(t_F)) + \int_{t_0}^{t_F} w(\tau, x(\tau), u(\tau)) d\tau$	
subject to	$\dot{x}(t) = f(t, x(t), u(t))$	System Dynamics
	$h(t, x(t), u(t)) \leq 0$	Path Constraint
	$g(t_0, t_F, x(t_0), x(t_F)) \leq 0$	Boundary Constraint
	$x_{low} \leq x(t) \leq x_{upp}$	Path Bound on State
	$u_{low} \leq u(t) \leq u_{upp}$	Path Bound on Control
	$t_{low} \leq t_0 < t_F \leq t_{upp}$	Bounds on Initial and Final Times
	$x_{0,low} \leq x(t_0) \leq x_{0,upp}$	Bound on Initial State
	$x_{F,low} \leq x(t_F) \leq x_{F,upp}$	Bound on Final State

Direct Collocation

$$\begin{array}{ll}
 \underset{t_0, t_F, x(t), u(t)}{\text{minimize}} & J(t_0, t_F, x(t_0), x(t_F)) + \int_{t_0}^{t_F} w(\tau, x(\tau), u(\tau)) d\tau \\
 \text{subject to} & \dot{x}(t) = f(t, x(t), u(t)) \quad \text{System Dynamics} \\
 & h(t, x(t), u(t)) \leq 0 \quad \text{Path Constraint} \\
 & g(t_0, t_F, x(t_0), x(t_F)) \leq 0 \quad \text{Boundary Constraint} \\
 & x_{low} \leq x(t) \leq x_{upp} \quad \text{Path Bound on State} \\
 & u_{low} \leq u(t) \leq u_{upp} \quad \text{Path Bound on Control} \\
 & t_{low} \leq t_0 < t_F \leq t_{upp} \quad \text{Bounds on Initial and Final Times} \\
 & x_{0,low} \leq x(t_0) \leq x_{0,upp} \quad \text{Bound on Initial State} \\
 & x_{F,low} \leq x(t_F) \leq x_{F,upp} \quad \text{Bound on Final State}
 \end{array}$$



$$\begin{array}{ll}
 \underset{x_k, u_k; \ k=1 \dots N}{\text{minimize}} & J(x_N) + h \sum_{k=1}^N w(x_k, u_k) \\
 \text{subject to} & d(x_k, u_k, x_{k+1}, u_{k+1}) = 0, \ k = 1, \dots, N-1 \\
 & \phi(x_k, u_k) = 0, \ k = 1, \dots, N \\
 & \psi(x_k, u_k) \geq 0, \ k = 1, \dots, N
 \end{array}$$

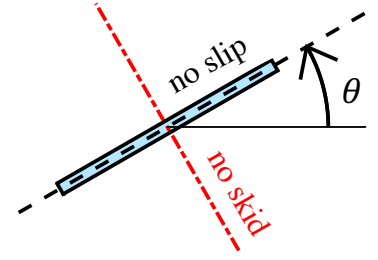
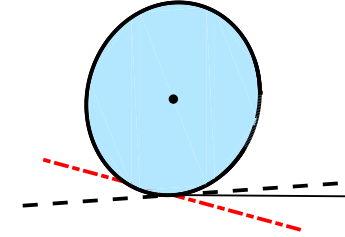
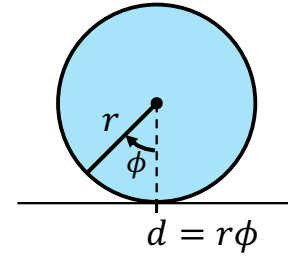
Backward Euler integration:

$$d(x_k, u_k, x_{k+1}, u_{k+1}) := x_{k+1} - (x_k + hf(x_{k+1}, u_{k+1}))$$

Wheel Model?

- Non-slip constraints can be violated!
- Account for slip, plan agile motions
- Existing wheel/tire models

- i.e. Pacejka, Fiala, LuGre, Linear, Combined Slip, CarSim (?)

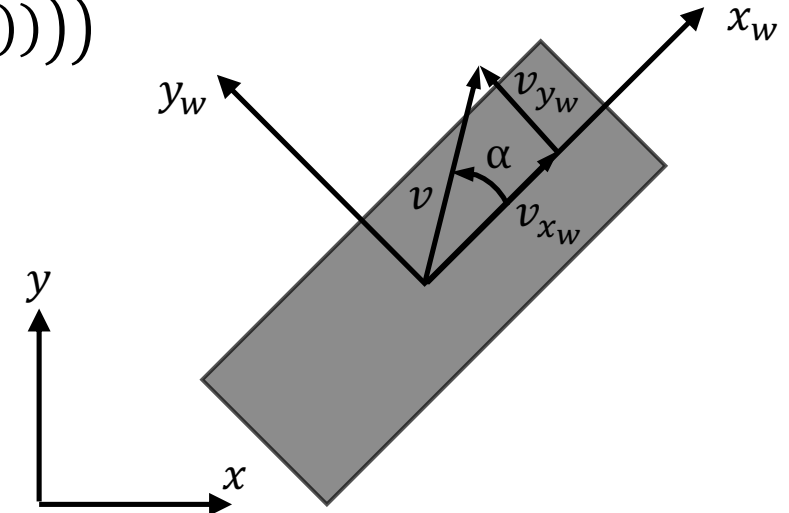


$$F_y = D \sin\left(C \tan^{-1}\left(B\alpha - E(B\alpha - \tan^{-1}(B\alpha))\right)\right)$$

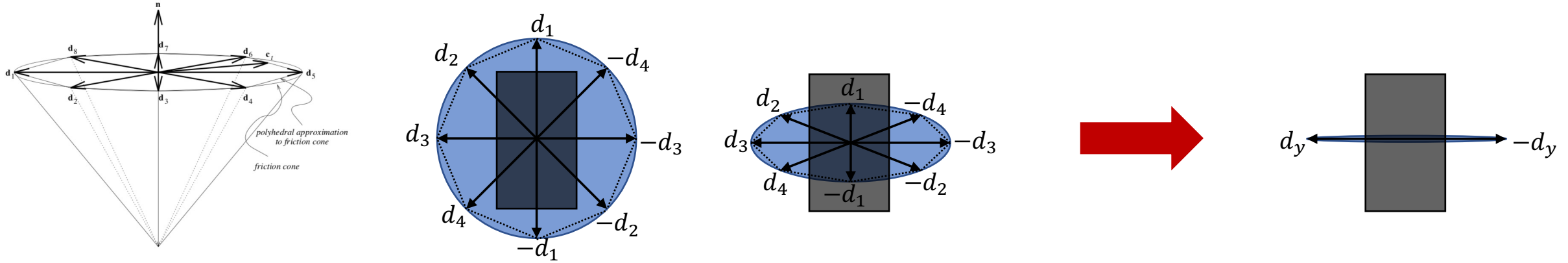
- Slip angle α is difficult for optimization

$$\alpha = \tan^{-1}\left(\frac{v_{y_w}}{v_{x_w}}\right)$$

- Many works thus use different models for planning and control



Friction as a Linear Complementarity Problem (LCP)



- Polyhedral friction cone/triangle: $\mathcal{F}(q) = \{ F_n n + D\beta \mid F_n \geq 0, \beta \geq 0, e^T \beta \leq \mu F_n \}$

- Inequalities:

$$\gamma e + D^T v^{k+1} \geq 0, \quad \beta \geq 0$$

$$\mu F_n - e^T \beta \geq 0, \quad \gamma \geq 0$$

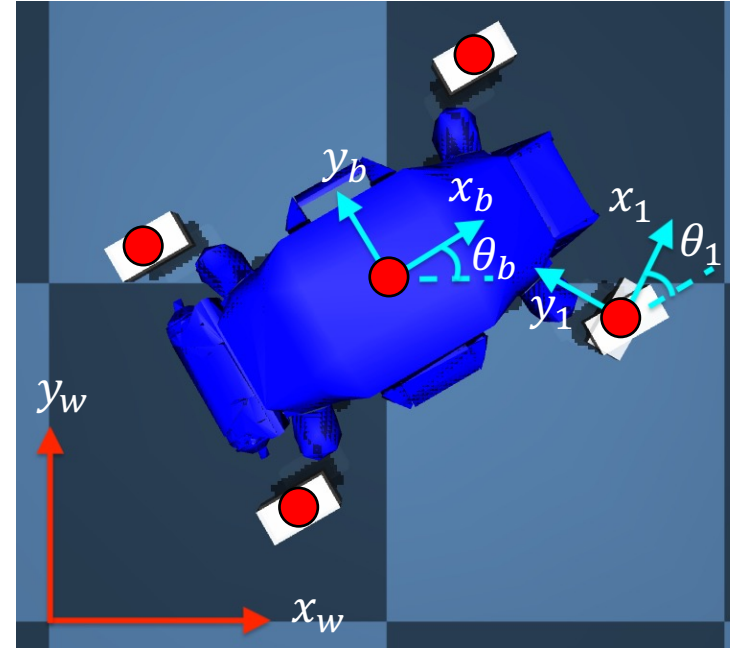
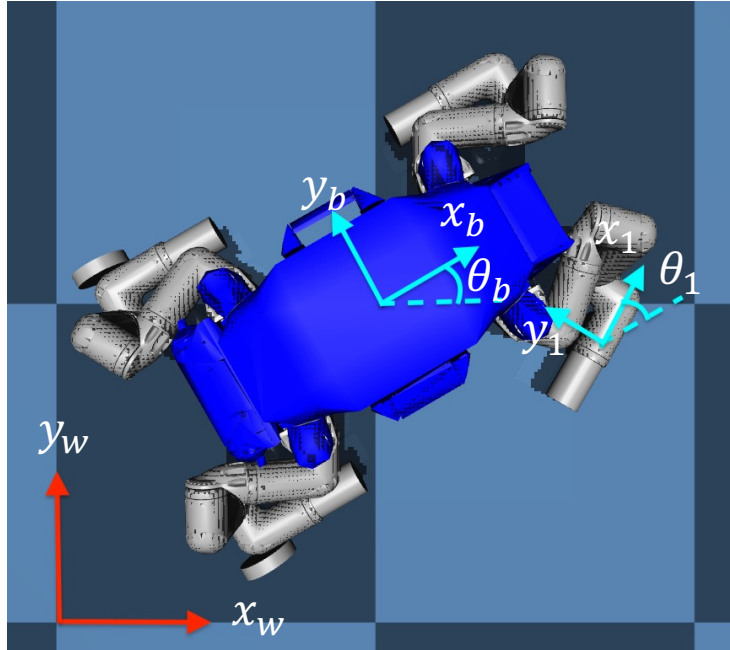
- Complementarity conditions:

$$(\gamma e + D^T v^{k+1})^T \beta = 0$$

$$(\mu F_n - e^T \beta) \gamma = 0$$

- F_n is the magnitude of the normal contact force
- D is a matrix of direction vectors
- $\beta \in \mathbb{R}^j$ is a vector of weights
- $e = [1, 1, \dots, 1]^T \in \mathbb{R}^j$
- v^{k+1} is the global 2D planar velocity vector of the contact point at the end of the next time step
- γ is a scalar roughly equal to the magnitude of the relative tangential velocity at a contact

Additional Modeling Details



- Body has point mass m_b and inertia J_b , and each skate has point mass m_i and inertia J_i
- Each floating skate's coordinates are relative to the body frame
- $q = [x_b, y_b, z_b, \theta_b, x_i, y_i, z_i, \theta_i]^T \in \mathbb{R}^{20}$ where $i \in \{1, 2, 3, 4\}$
- $u = [u_{x_i}, u_{y_i}, u_{z_i}, u_{\theta_i}]^T \in \mathbb{R}^{16}$ where $i \in \{1, 2, 3, 4\}$, since we can set arbitrary configurations with inverse kinematics

Trajectory Optimization Framework

find $q, \dot{q}, u, F_n, F_{fric}$ at discrete timesteps $k = 1 \dots N$
subject to minimize cost J

- State Constraints:
 $\phi(q, \dot{q}, u, F_n) = 0$
 $\psi(q, \dot{q}, u, F_n) \geq 0$
- Dynamics Constraints:
 $M(q)\ddot{q} + C(q, \dot{q})\dot{q} + G(q)$
 $+ A(q)^T \lambda = B(q)u + F_n + J(q)^T F_{fric}$
- Friction Constraints (for each contact i):
 $\gamma e + D^T v^{k+1} \geq 0, \quad \beta \geq 0$
 $\mu F_{n_i} - e^T \beta \geq 0, \quad \gamma \geq 0$
 $(\gamma e + D^T v^{k+1})^T \beta = 0$
 $(\mu F_{n_i} - e^T \beta) \gamma = 0$
 $F_{fric_i} = D \beta$
- ZMP Constraints (RoboSimian Only)
- Gait Constraints (RoboSimian Only)

Trajectory Optimization Framework

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$$(\gamma e + D^T v^{k+1})^T \beta = 0$$

$$(\mu F_{n_i} - e^T \beta) \gamma = 0$$

$$F_{fric_i} = D\beta$$

- ZMP Constraints (RoboSimian Only)

- Gait Constraints (RoboSimian Only)

End Location Power

$$J = J_F(q_N, \dot{q}_N) + h \sum_{k=1}^N \sqrt{(\dot{q}_k^T U_k)^2 + \epsilon}$$

with

- $U = [0, 0, 0, 0, u_{x_i}, u_{y_i}, u_{z_i}, u_{\theta_i}]^T \in \mathbb{R}^{20}$ for $i \in \{1, 2, 3, 4\}$
- $\epsilon = 10^{-6}$ is a regularization term to help smooth the cost function
- $h = \Delta t$ is the time interval between time steps
- $J_F(q_N, \dot{q}_N)$ is a distance measure to a set of goal coordinates, such as:

$$J_F = \alpha_x (x_g - x_N)^2 + \alpha_y (y_g - y_N)^2 + \alpha_\theta (\theta_g - \theta_N)^2$$

Trajectory Optimization Framework

find $q, \dot{q}, u, F_n, F_{fric}$ at discrete timesteps $k = 1 \dots N$
 subject to minimize cost J

- State Constraints:

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$$\mu F_{n_i} - e^T \beta \geq 0, \quad \gamma \geq 0$$

$$(\gamma e + D^T v^{k+1})^T \beta = 0$$

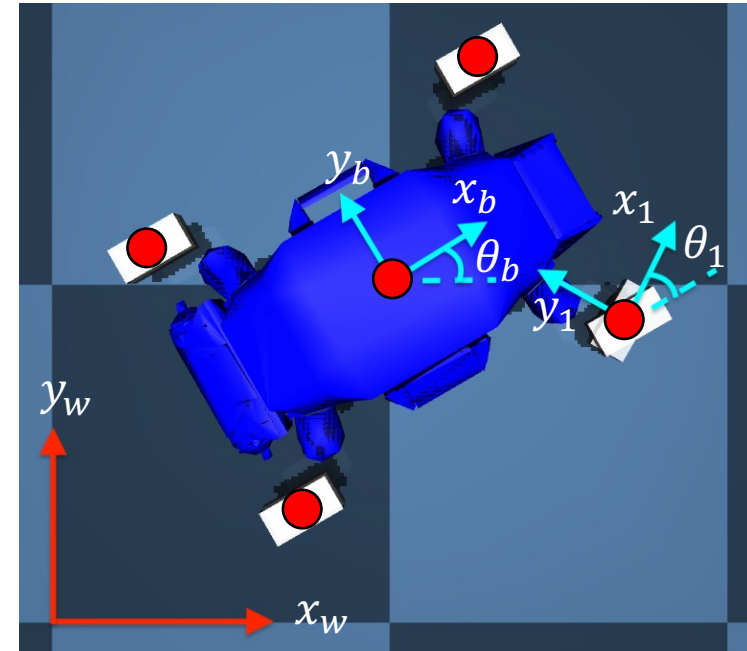
$$(\mu F_{n_i} - e^T \beta) \gamma = 0$$

$$F_{fric_i} = D \beta$$

- ZMP Constraints (RoboSimian Only)

- Gait Constraints (RoboSimian Only)

- $q, \dot{q}$ are bounded by ranges for placing each skate with inverse kinematics, as well as by joint limits
- u is bounded explicitly, as well as implicitly by $\dot{q}$ ranges
- Known contact sequence, where $F_n \geq 0$ for each skate in contact, and $F_n = 0$ for skates not in contact



Trajectory Optimization Framework

find $q, \dot{q}, u, F_n, F_{fric}$ at discrete timesteps $k = 1 \dots N$
 subject to minimize cost J

- State Constraints:

$$\phi(q, \dot{q}, u, F_n) = 0$$

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- Dynamics Constraints:

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$$(\gamma e + D^T v^{k+1})^T \beta = 0$$

$$(\mu F_{n_i} - e^T \beta) \gamma = 0$$

$$F_{fric_i} = D \beta$$

- ZMP Constraints (RoboSimian Only)

- Gait Constraints (RoboSimian Only)

$$q_{k+1} = q_k + h\dot{q}_{k+1}$$

$$\dot{q}_{k+1} = \dot{q}_k + h\ddot{q}_{k+1}$$

with

$$\ddot{q}_{k+1} = M_{k+1}^{-1} (B_{k+1} u_{k+1} + F_{n_{k+1}} + J_{k+1}^T F_{fric_{k+1}} - C_{k+1} \dot{q}_{k+1} - G_{k+1})$$

Trajectory Optimization Framework

find $q, \dot{q}, u, F_n, F_{fric}$ at discrete timesteps $k = 1 \dots N$
 subject to minimize cost J

- State Constraints:

$$\phi(q, \dot{q}, u, F_n) = 0$$

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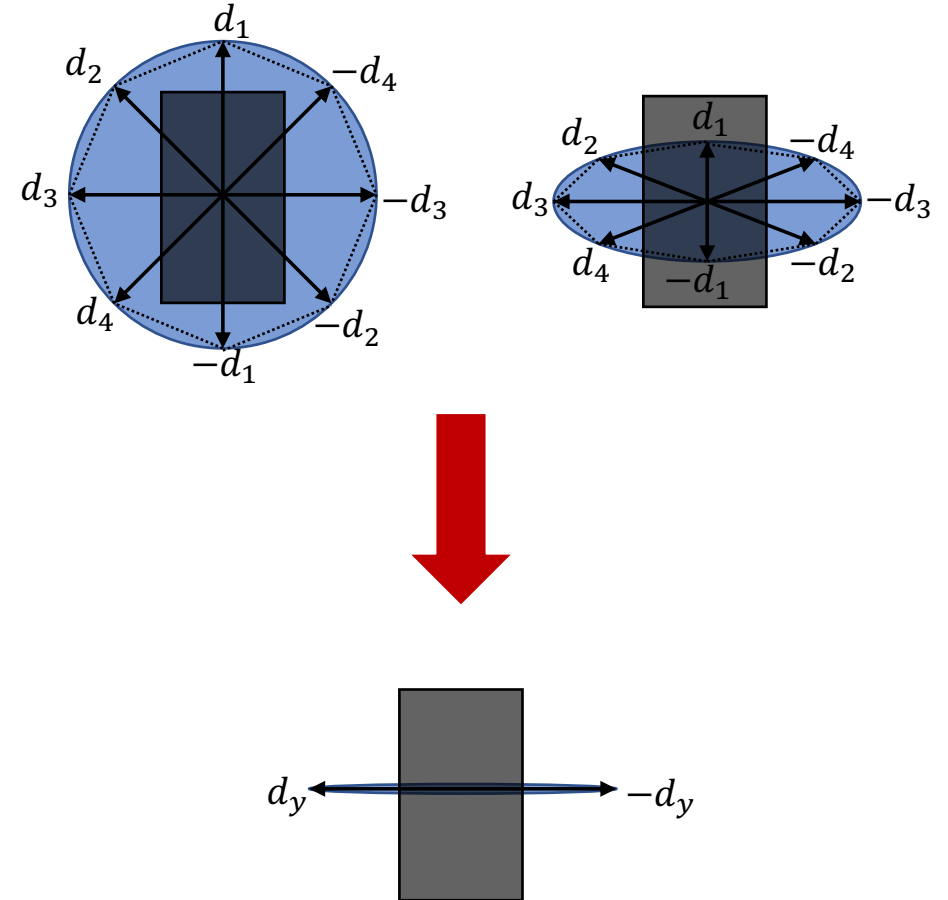
$$(\gamma e + D^T v^{k+1})^T \beta = 0$$

$$(\mu F_{n_i} - e^T \beta) \gamma = 0$$

$$F_{fric_i} = D \beta$$

- ZMP Constraints (RoboSimian Only)

- Gait Constraints (RoboSimian Only)



G. Bellegarda and K. Byl. “Trajectory Optimization for a Wheel-Legged System for Dynamic Maneuvers that Allow for Wheel Slip,” CDC 2019

G. Bellegarda and K. Byl. “Versatile Trajectory Optimization Using a LCP Wheel Model for Dynamic Vehicle Maneuvers,” ICRA 2020

Trajectory Optimization Framework

find $q, \dot{q}, u, F_n, F_{fric}$ at discrete timesteps $k = 1 \dots N$
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- State Constraints:

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$$\psi(q, \dot{q}, u, F_n) \geq 0$$

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$$(\gamma e + D^T v^{k+1})^T \beta = 0$$

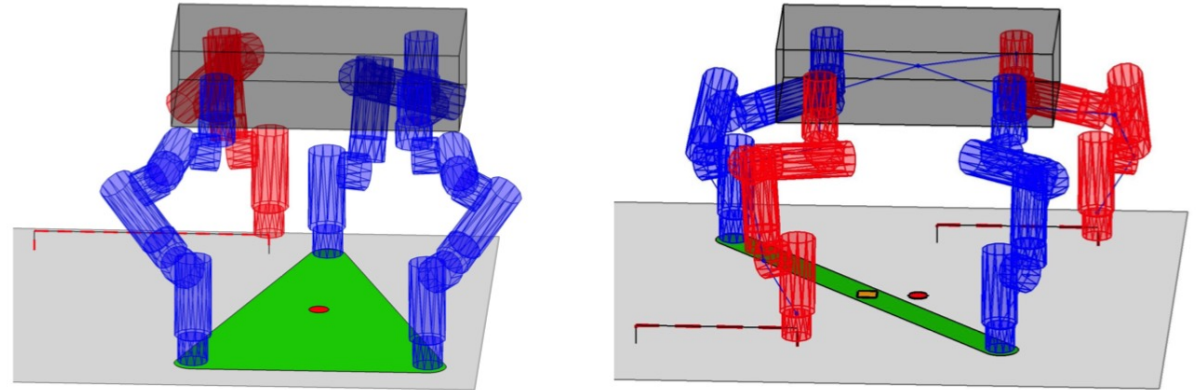
$$(\mu F_{n_i} - e^T \beta) \gamma = 0$$

$$F_{fric_i} = D\beta$$

- ZMP Constraints (RoboSimian Only)

- Gait Constraints (RoboSimian Only)

Zero-Moment Point (ZMP): point on ground where the sum of all moments of the active forces is equal to zero



Trajectory Optimization Framework

find $q, \dot{q}, u, F_n, F_{fric}$ at discrete timesteps $k = 1 \dots N$
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$$F_{fric_i} = D \beta$$

- ZMP Constraints (RoboSimian Only)

- Gait Constraints (RoboSimian Only)

Static Trotting Gait

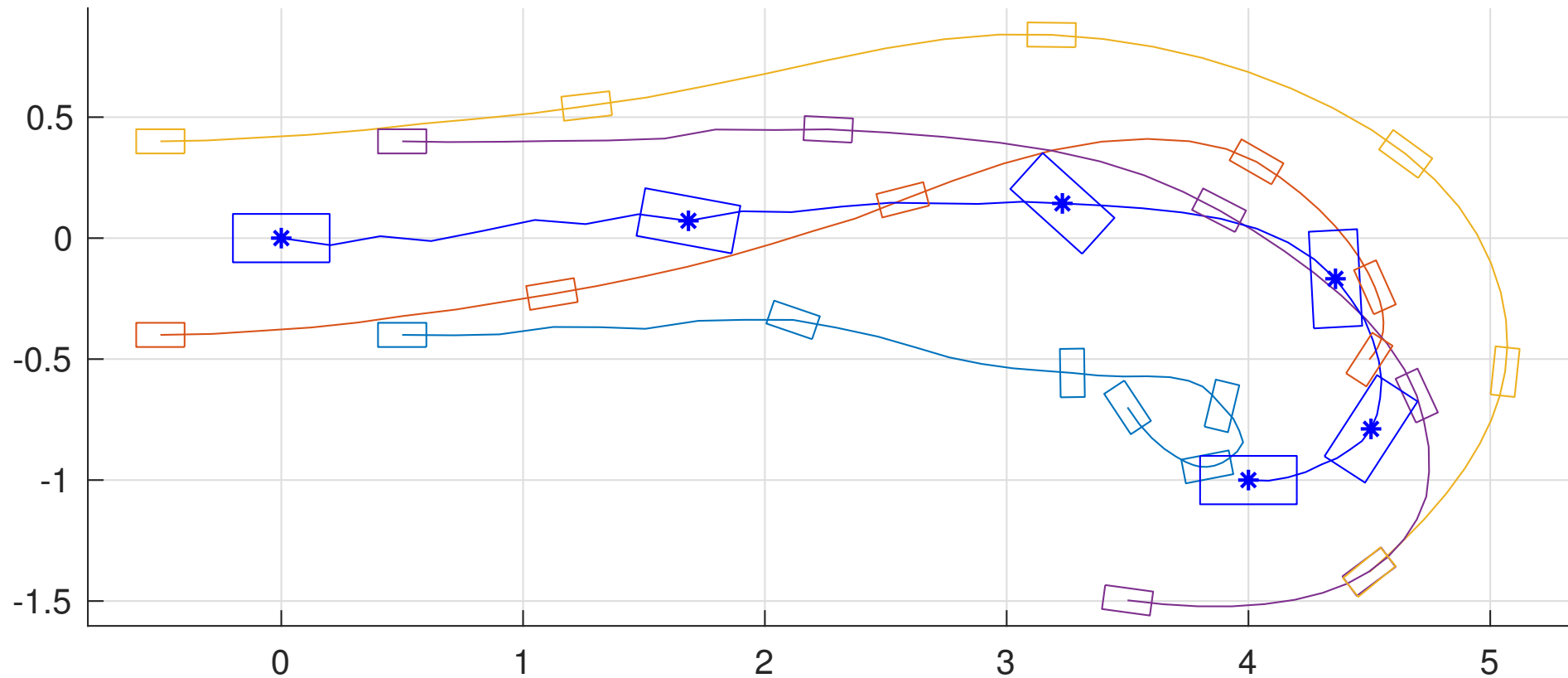
LH					
LF					
RF					
RH					

Static Walking Gait

LH							
LF							
RF							
RH							

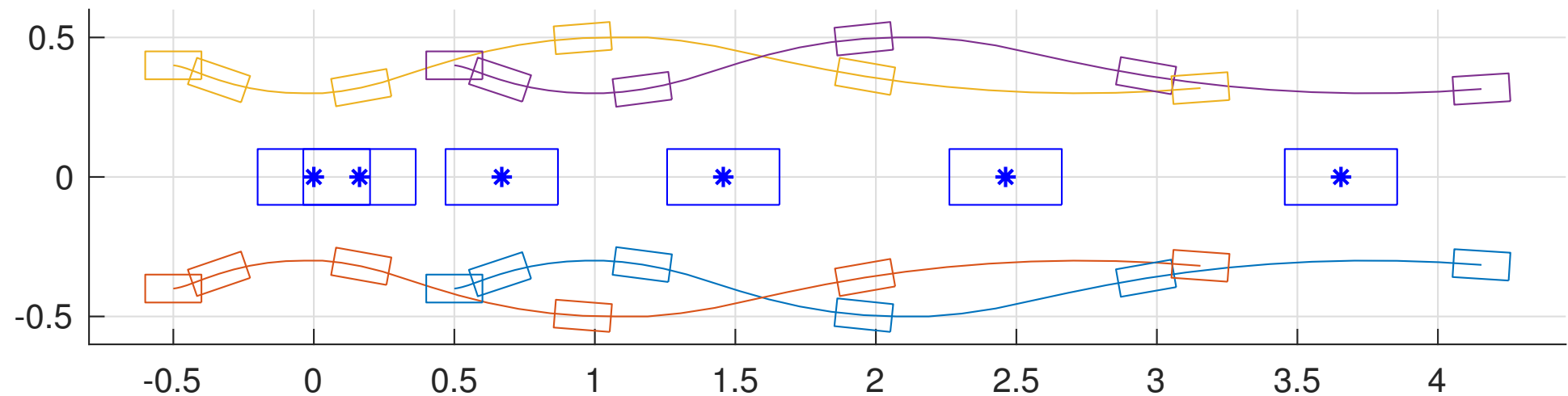
Dynamic Parking Maneuver

- Goal: “park” at $(x_b, y_b, \theta_b) = (4, -1, -\pi)$, initialized at $(0,0,0)$ with $\dot{x}_b = 4$ (m/s)

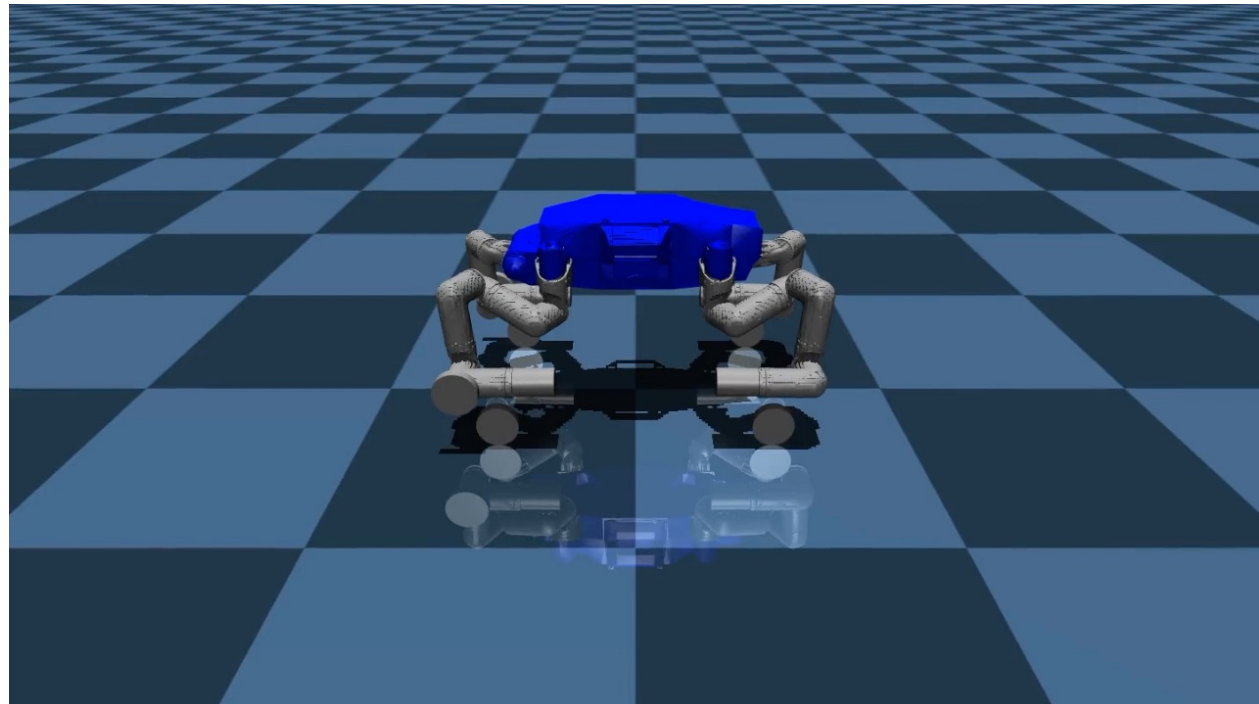
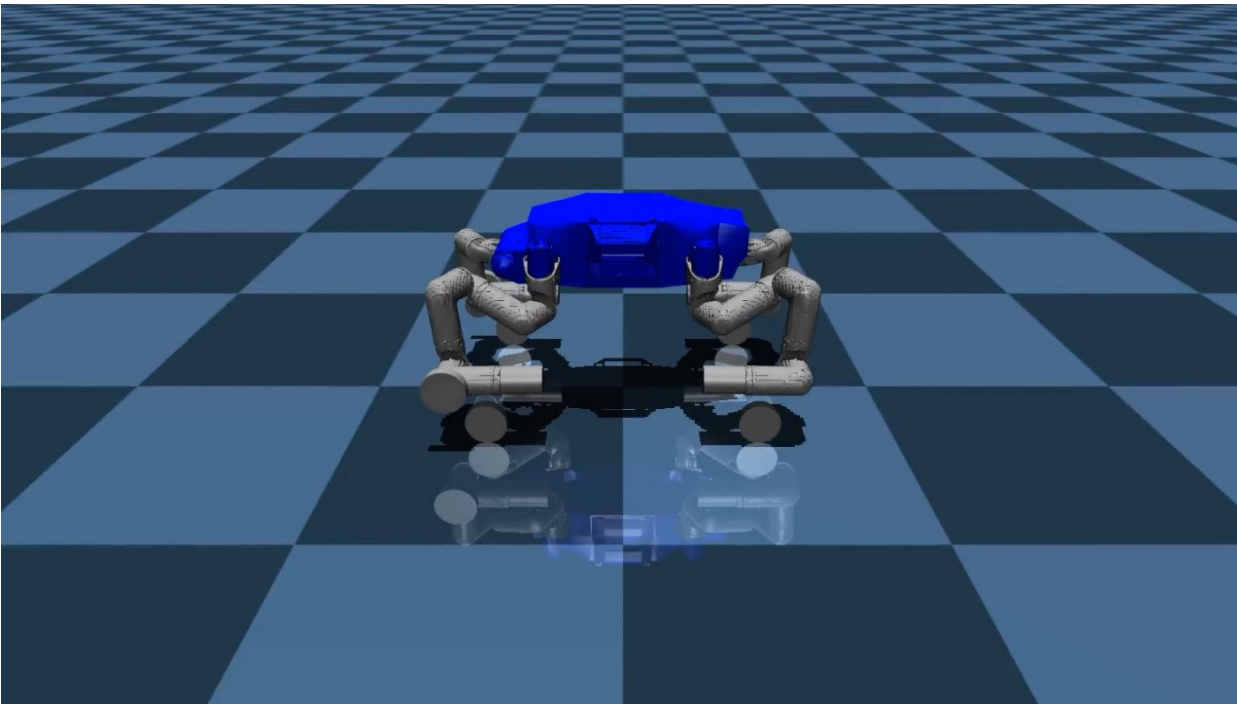


Energy-Efficient Forward Locomotion

- Maximize final body position in the x-direction, while minimizing energy use

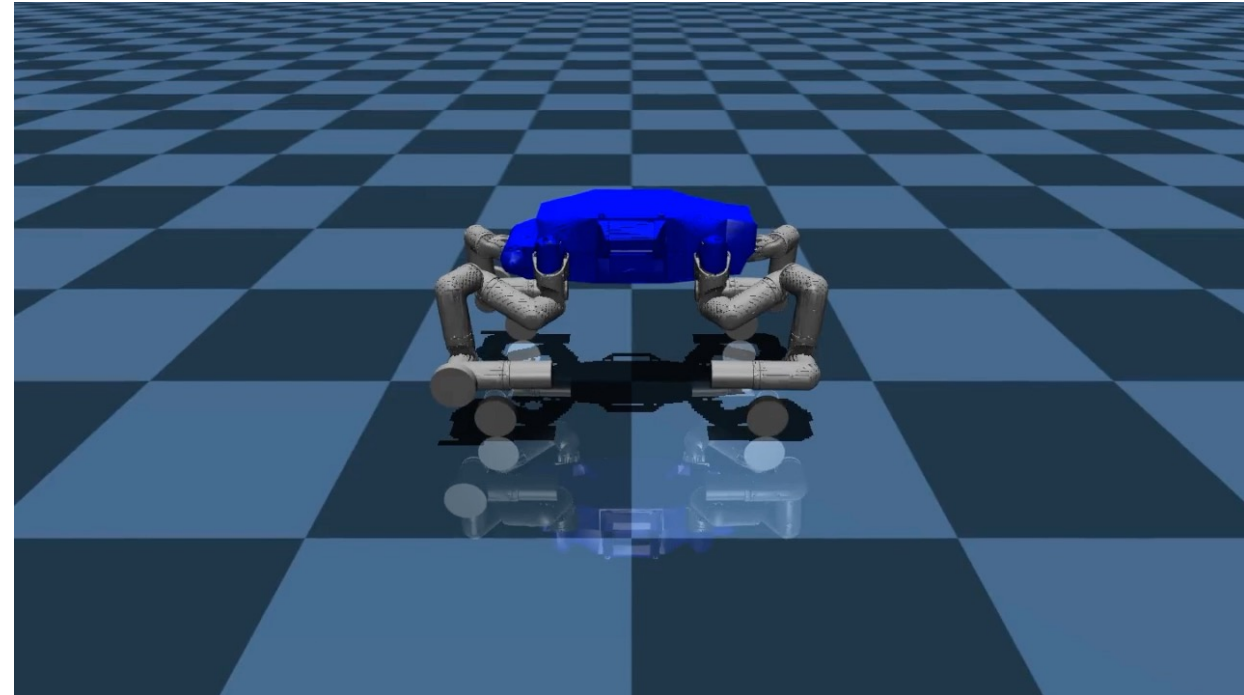
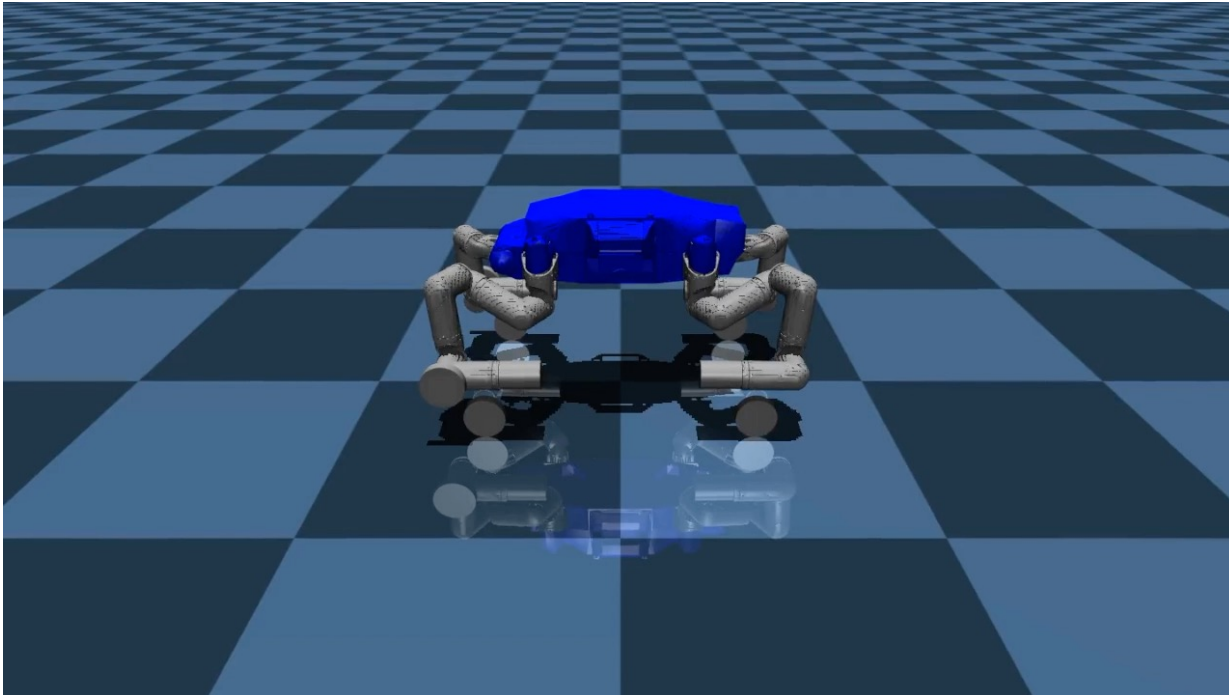


Hybrid Rolling-Walking/Trotting (Animation!)



Tracking Hybrid Rolling-Walking/Trotting

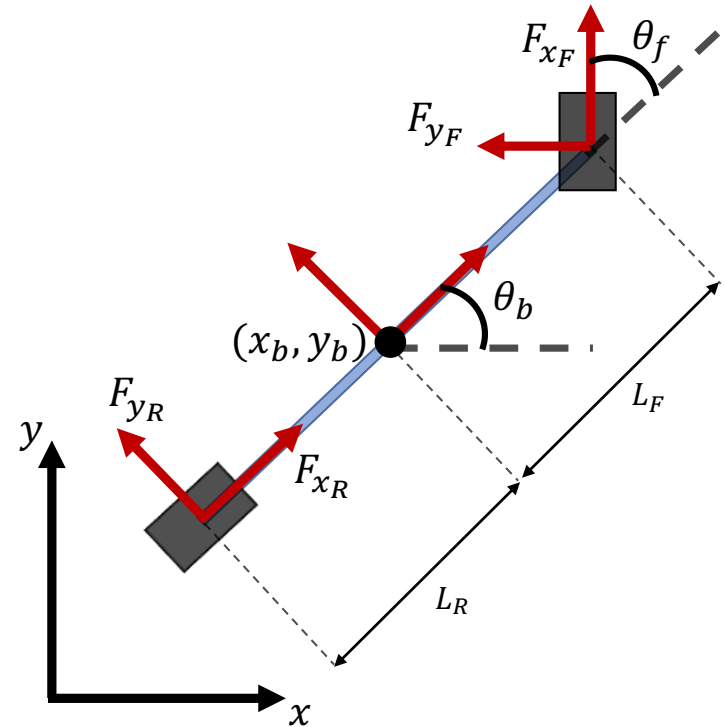
- Currently: calculate inverse kinematics along trajectories, joint PD control
- Dynamics mismatch due to RoboSimian's heavy limbs
- Need a whole-body controller for better tracking



Applying the Optimization Framework to a Model Car

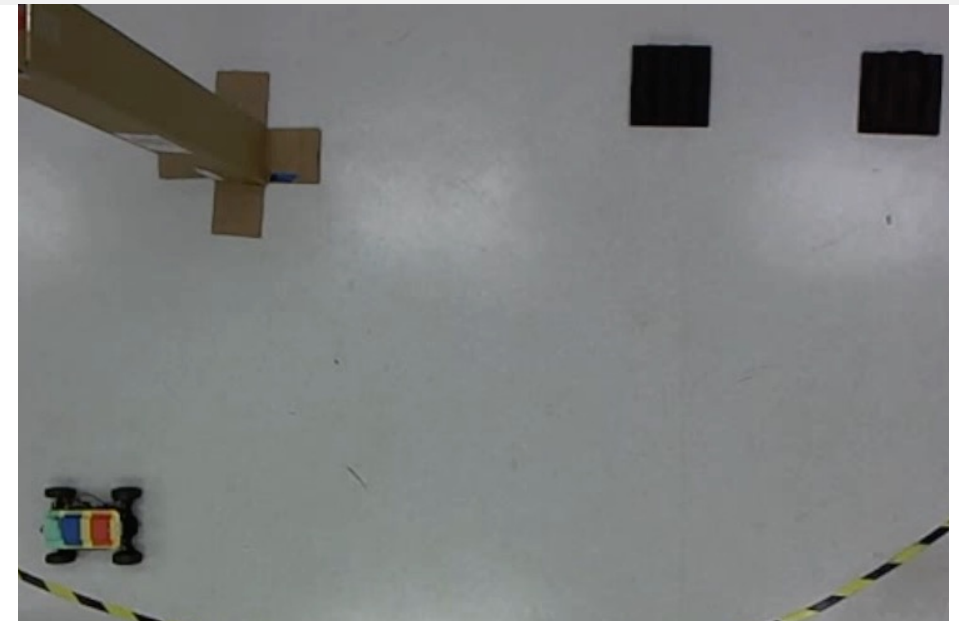
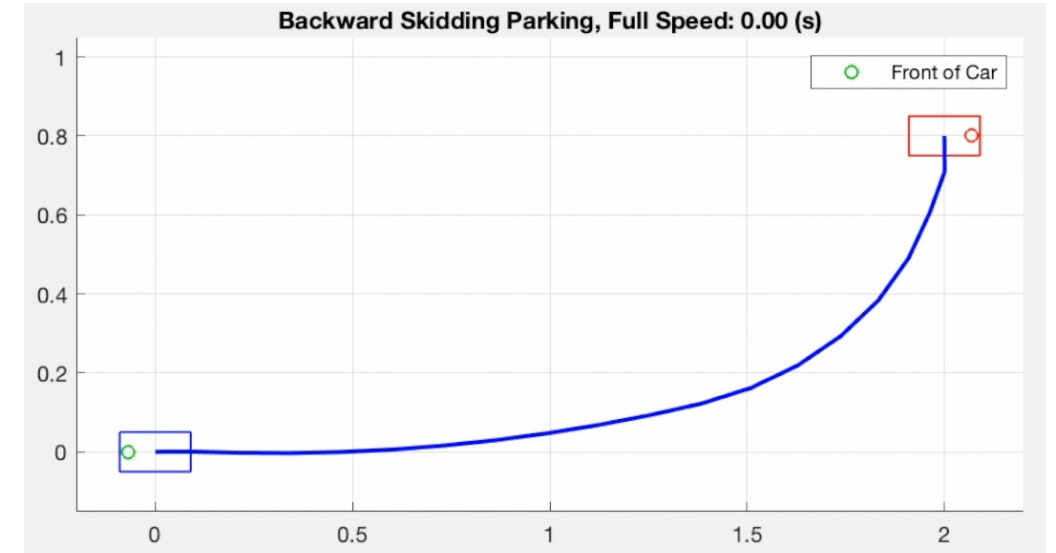
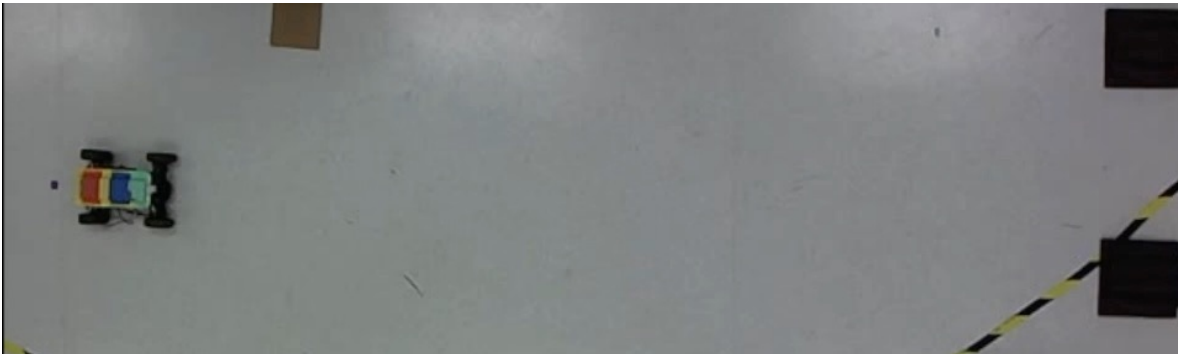
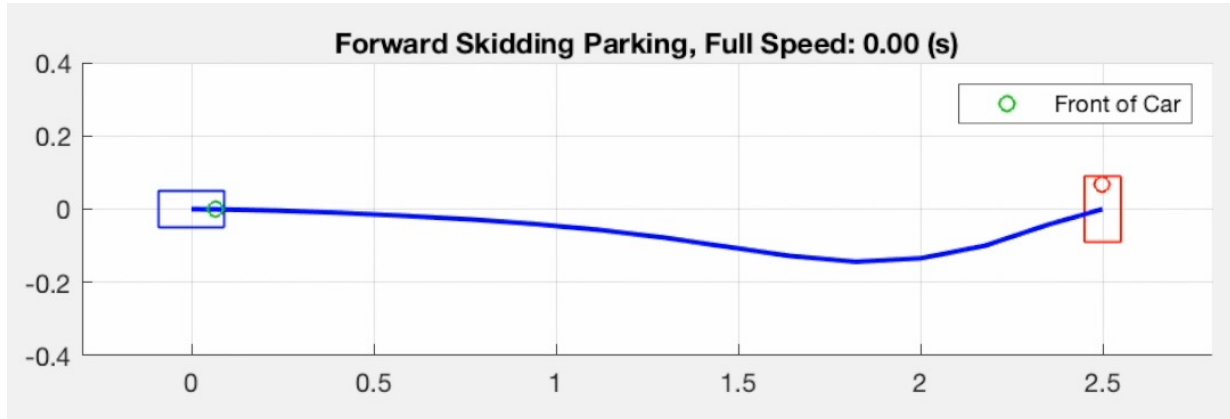
find $q, \dot{q}, u, F_n, F_{fric}$ at discrete timesteps $k = 1 \dots N$
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- ZMP Constraints (RoboSimian Only)
- Gait Constraints (RoboSimian Only)



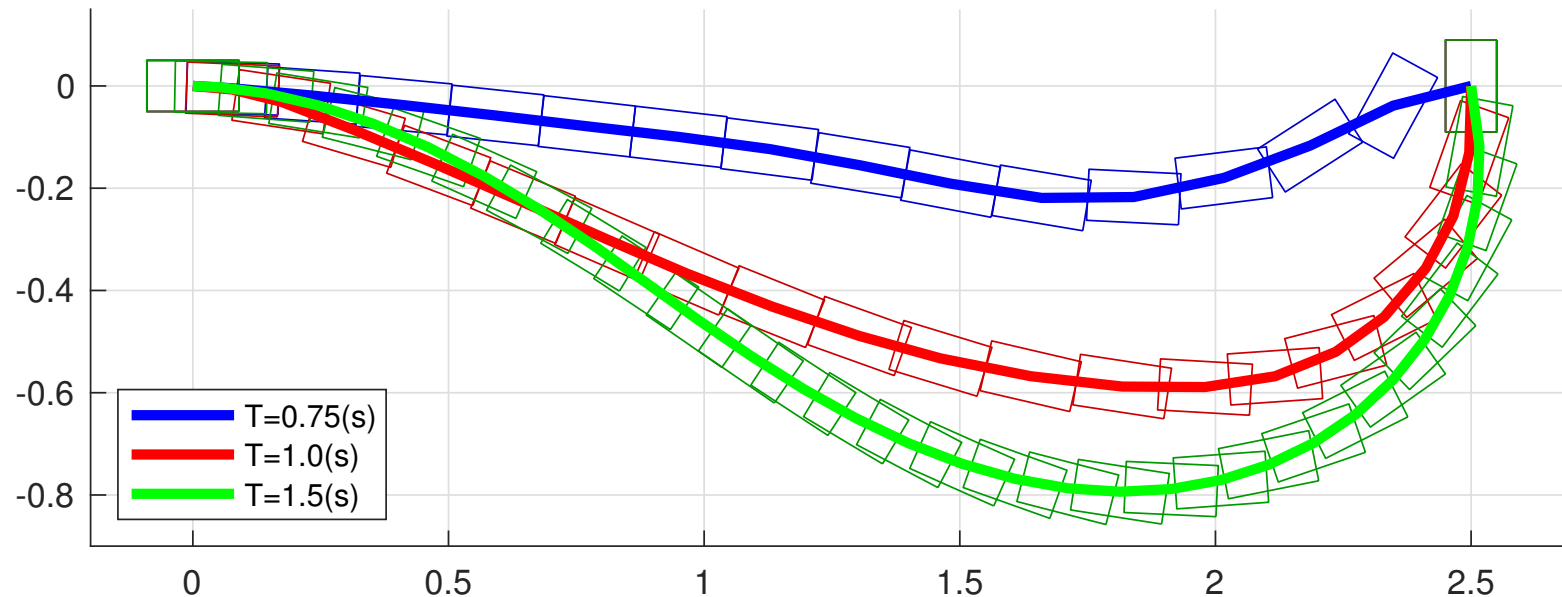
Dynamic Parking Maneuvers

- Park at $(x_b, y_b, \theta_b) = (2.5, 0, \frac{\pi}{2})$, in 0.75 (s)
- Park at $(x_b, y_b, \theta_b) = (2, 0.8, 0)$, in 1.0 (s)



Framework Versatility

- Task is still to “park” at $(x_b, y_b, \theta_b) = (2.5, 0, \frac{\pi}{2})$
- By varying the time horizon, the framework naturally discovers trajectories that either exploit (short time horizons), or avoid slipping



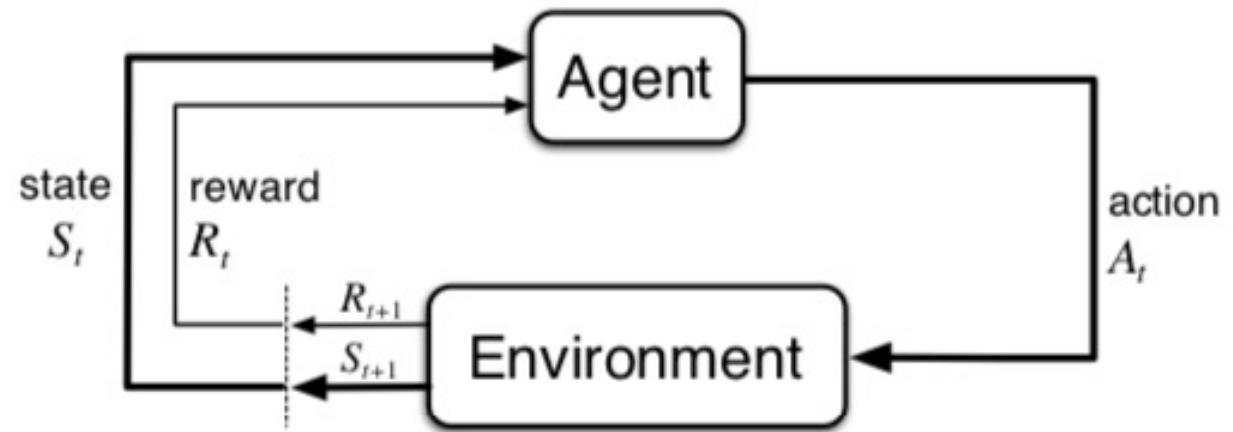
Outline

- I. Motivation and Goals
- II. Model-Predictive Control vs. Reinforcement Learning (same?)
- III. Model-based methods
 - I. Background on RoboSimian and designing skating motions
 - II. Trajectory optimization allowing wheel slip
- IV. Learning-based methods
 - I. Action space in reinforcement learning [RoboSimian, A1]
 - II. Augmenting motion planning with deep reinforcement learning [A1]
- V. Bio-inspired learning
- VI. Conclusion

Reinforcement Learning as a Markov Decision Process (MDP)

An MDP is defined by:

- Set of states S
- Set of actions A
- Transition function $P(s' | s, a)$
- Reward function $R(s, a, s')$
- Start state s_0
- Discount factor γ
- Horizon H



R. Sutton and A. Barto. Introduction to Reinforcement Learning. MIT Press 1998

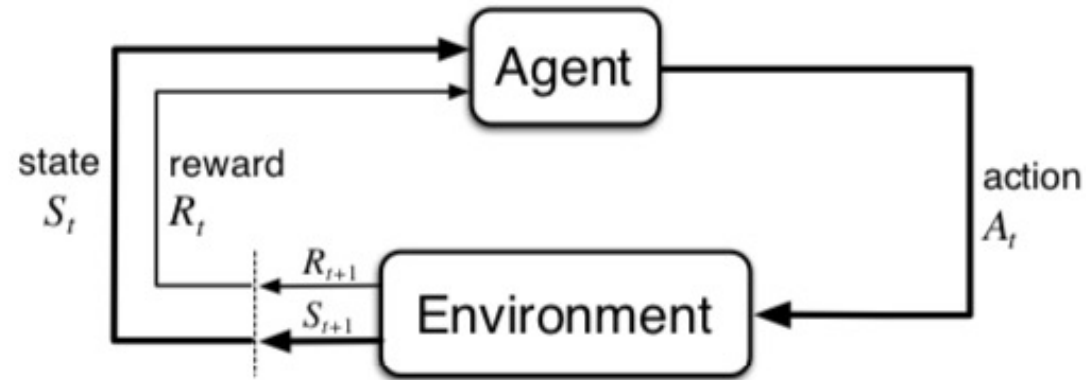
Deep RL Bootcamp. Berkeley CA, August 2017

S. Levine. Deep Reinforcement Learning Course. <https://rail.eecs.berkeley.edu/deeprlcourse/>

Reinforcement Learning as a Markov Decision Process (MDP)

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- Transition function $P(s' | s, a)$
- Reward function $R(s, a, s')$
- Start state s_0
- Discount factor γ
- Horizon H



- Return over a trajectory $\tau = (s_0, a_0, s_1, a_1, \dots)$

$$R(\tau) = \sum_{t=0}^{\infty} \gamma^t r_t$$

- Policy $\pi(a_t | s_t)$ maps from states s_t to actions a_t (Goal: find policy maximizing above return)
- Value function: $V^\pi(s) = \mathbb{E}_{\tau \sim \pi}[R(\tau) | s_0 = s]$
- Action-value function: $Q^\pi(s, a) = \mathbb{E}_{\tau \sim \pi}[R(\tau) | s_0 = s, a_0 = a]$
- Advantage function: $A^\pi(s, a) = Q^\pi(s, a) - V^\pi(s)$

Many Existing Tools for Reinforcement Learning

- RL algorithm implementations
 - stable-baselines3 <https://github.com/DLR-RM/stable-baselines3>
 - ray[rllib] <https://github.com/ray-project/ray>
 - spinningup <https://github.com/openai/spinningup>
 - tianshou <https://github.com/thu-ml/tianshou/>
 - ... many others!
- Physics simulators
 - pybullet <https://github.com/bulletphysics/bullet3>
 - MuJoCo <https://mujoco.org>
 - RaiSim <https://raisim.com>
 - Isaac-Gym <https://developer.nvidia.com/isaac-gym>
 - ... and others!

Reinforcement Learning Considerations

Algorithm

- On/off policy
- Hyperparameters
- Network architecture
- Random seeds/trials

...implementation
dependent!

MDP Design Decisions

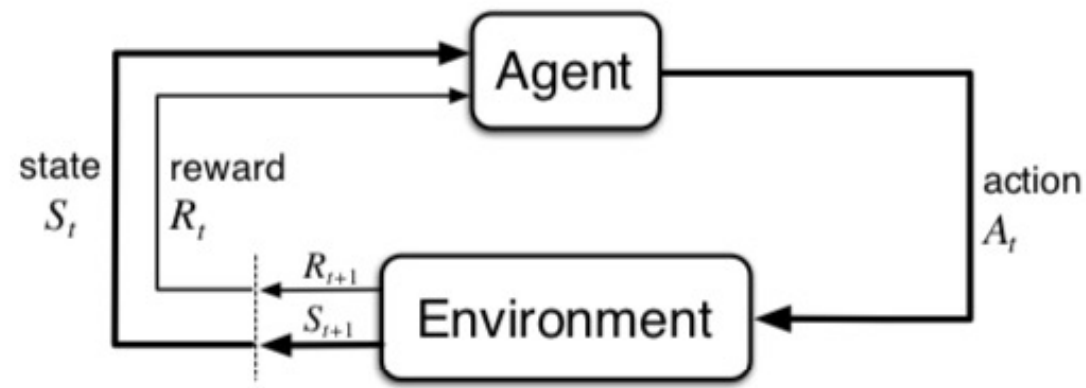
- Observation space
- Action space
- Reward function

Environment Parameters

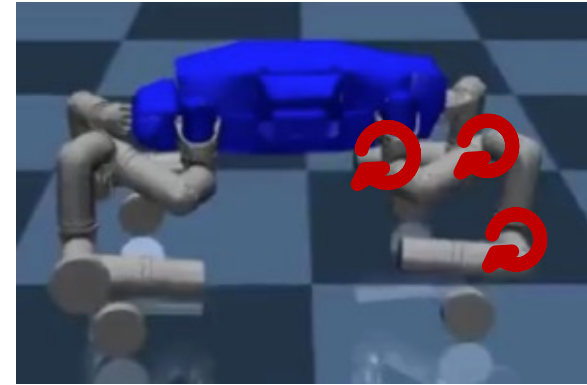
- Simulator dynamics
- Control gains –
joint/Cartesian
- Control/environment
time step
- Noise, latency

State/Action/Reward Space?

s_t ? i.e.
-body (z, r, p, y)
-body velocities
-joint states



r_t ? i.e.
-body linear velocity
-energy penalty

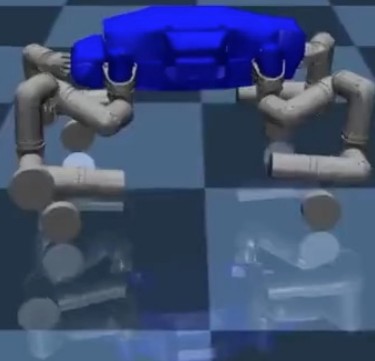


a_t ?
-motor positions/torques

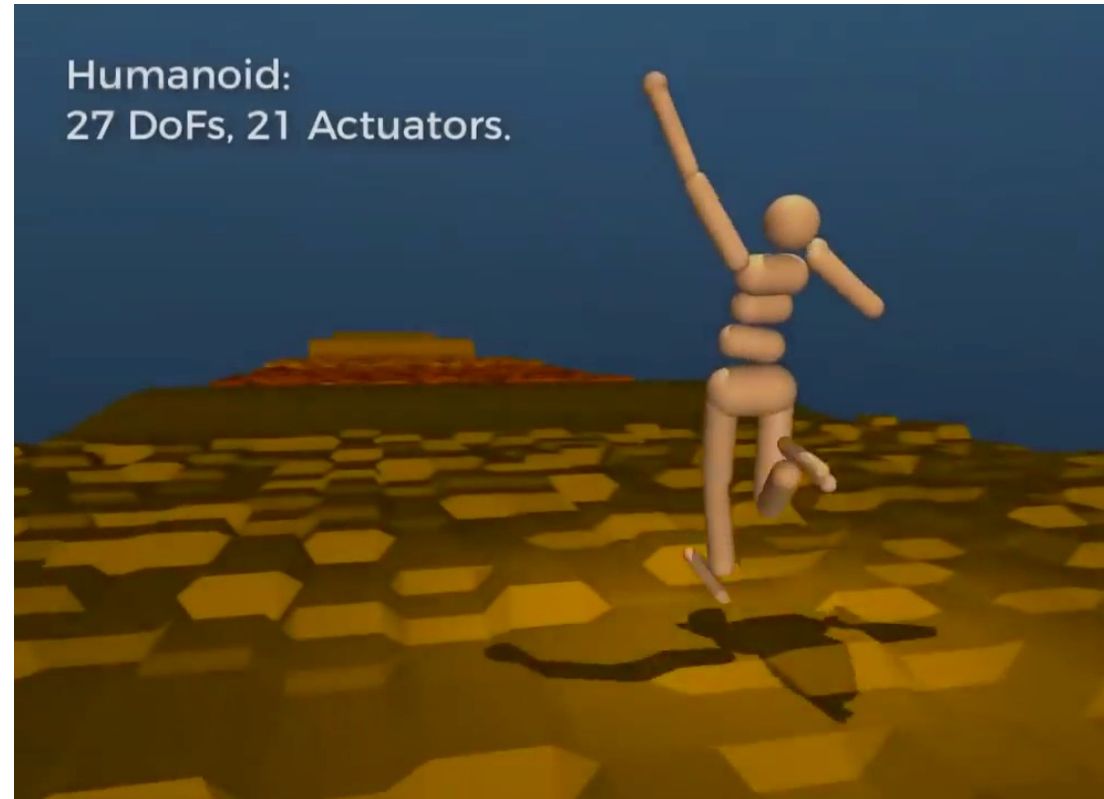
Training in Joint Space (PPO)

Action Space: $a_t = \tau_{1...N}$

Policy after training 1 million time steps in joint space,
rewarding forward velocity



Humanoid:
27 DoFs, 21 Actuators.



N. Heess et al. Emergence of Locomotion Behaviours in Rich Environments. *arXiv:1707.02286*, 2017

J. Schulman et al. Proximal policy optimization algorithms. *arXiv:1707.06347*, 2017

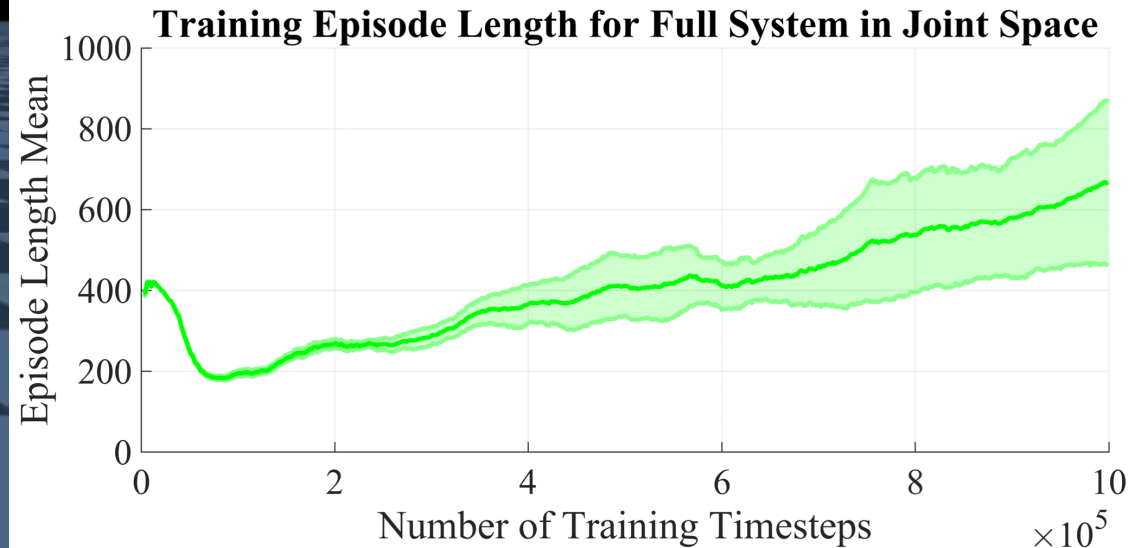
G. Bellegarda and K. Byl. “Training in Task Space to Speed Up and Guide Reinforcement Learning,” IROS 2019

Training in Joint Space (PPO)

Action Space: $a_t = \tau_{1...N}$

- Agent is essentially forced to learn forward and inverse kinematics!

Policy after training 1 million time steps in joint space,
rewarding forward velocity



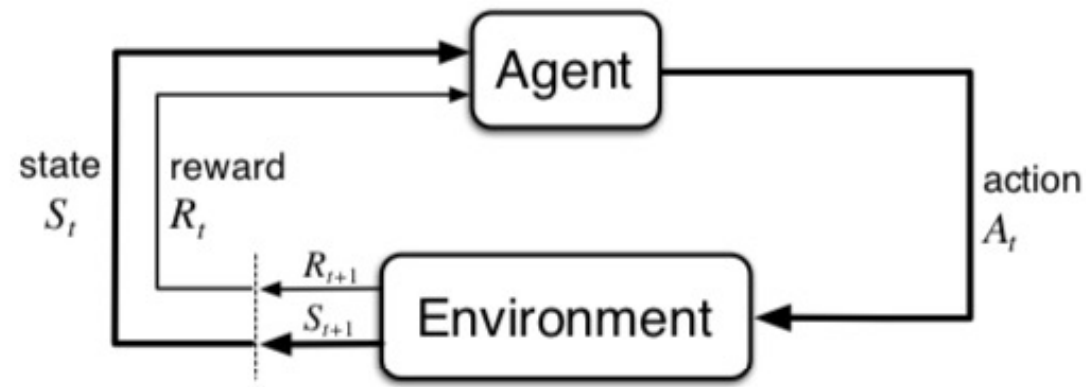
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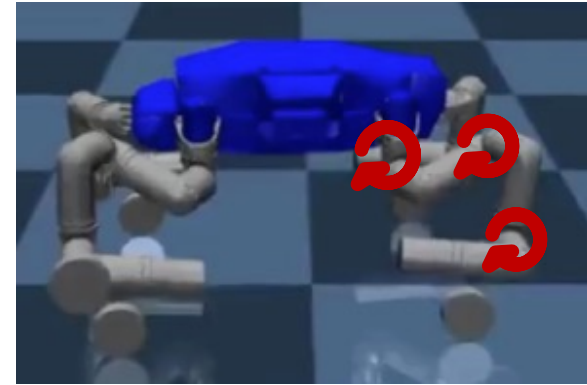
G. Bellegarda and K. Byl. “Training in Task Space to Speed Up and Guide Reinforcement Learning,” IROS 2019

State/Action/Reward Space?

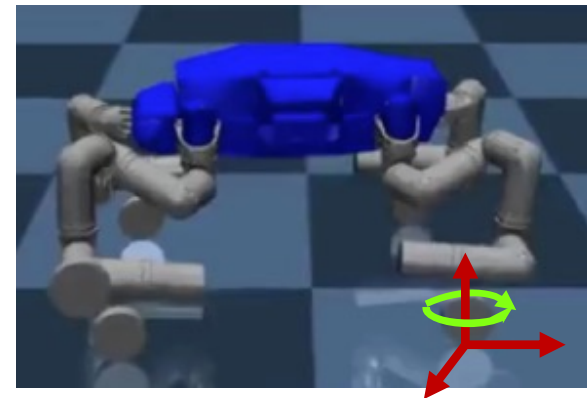
s_t ? i.e.
-body (z, r, p, y)
-body velocities
-joint states

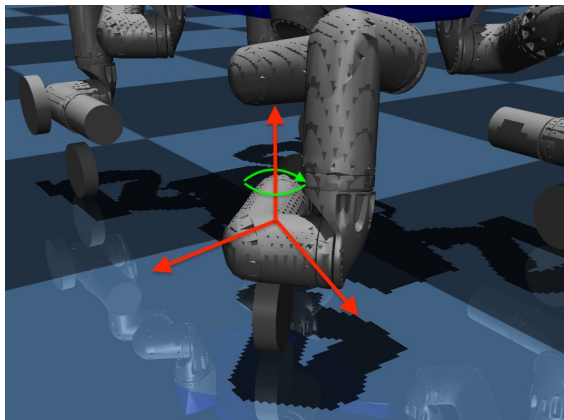


r_t ? i.e.
-body linear velocity
-energy penalty



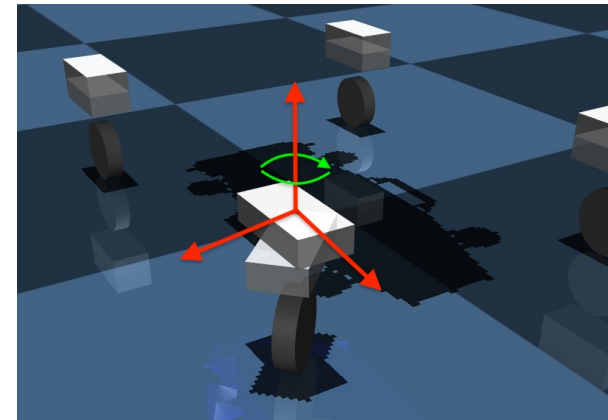
a_t ?
-motor positions/torques
-Cartesian coordinates



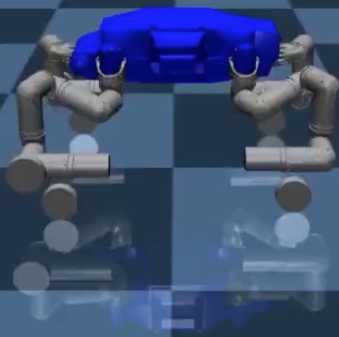


Training in Task Space (PPO)

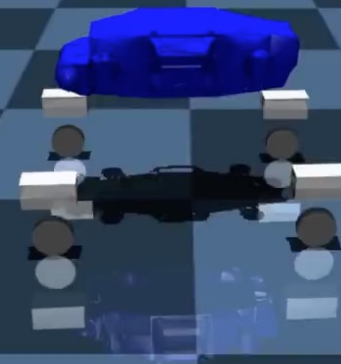
Action Space: $a_t = [y_{ee_i}, \phi_{ee_i}]$ for $i \in \{1, 2, 3, 4\}$



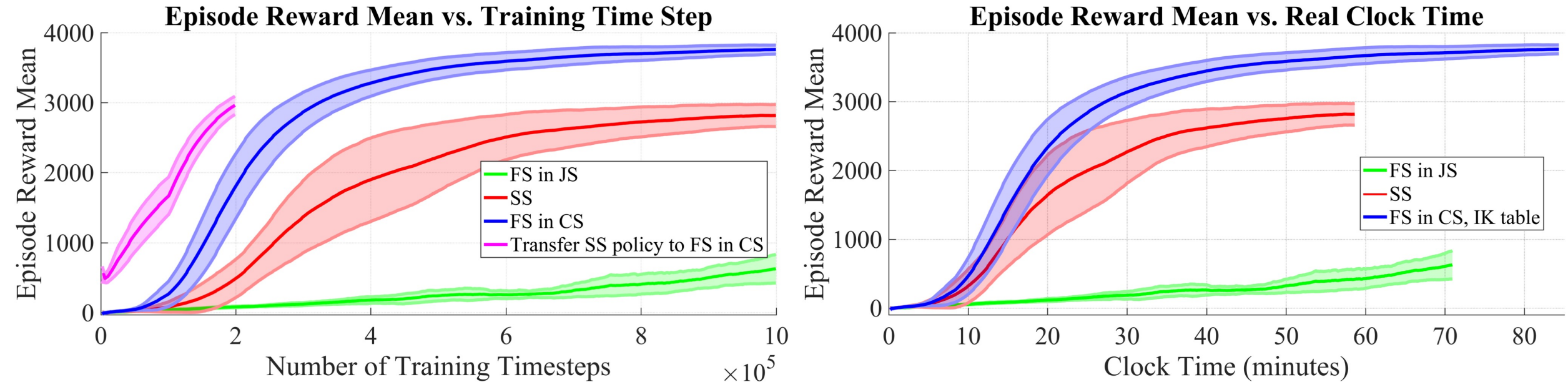
Policy after training 1 million time steps in Cartesian space,
rewarding forward velocity



Policy after training 1 million time steps in Cartesian space,
rewarding forward velocity

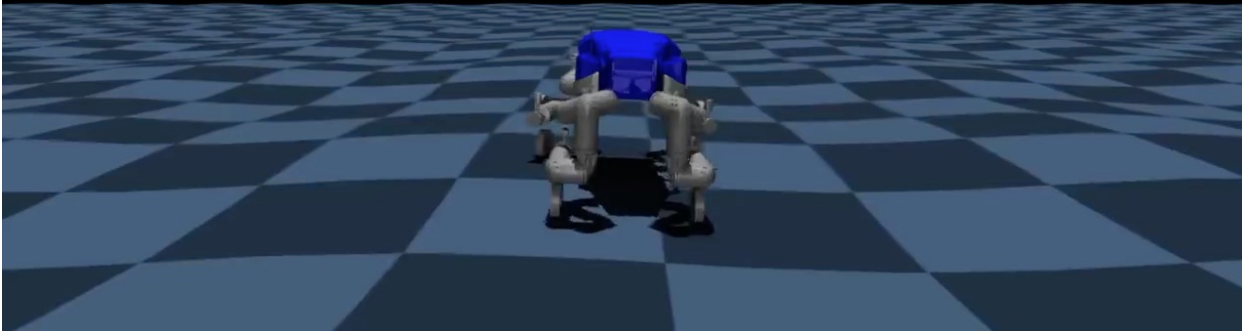


Training in Joint Space vs. Task Space

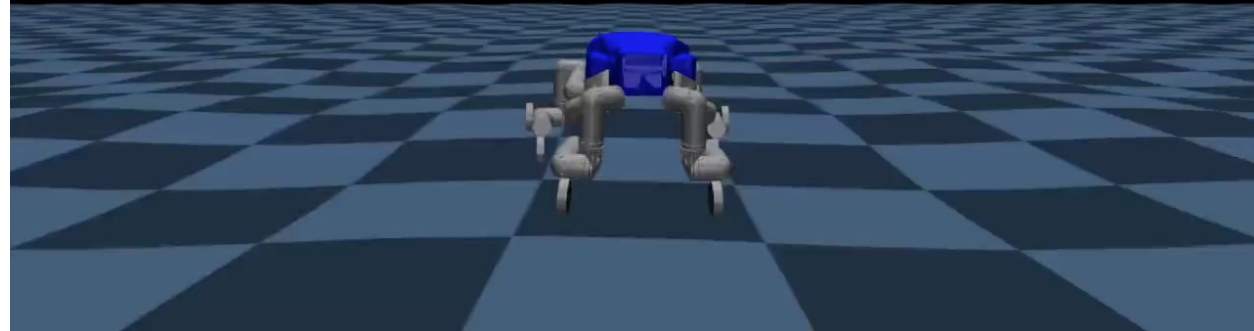


Hand-designed Trajectory vs. Trained Policy over uneven terrain with varying coefficients of friction

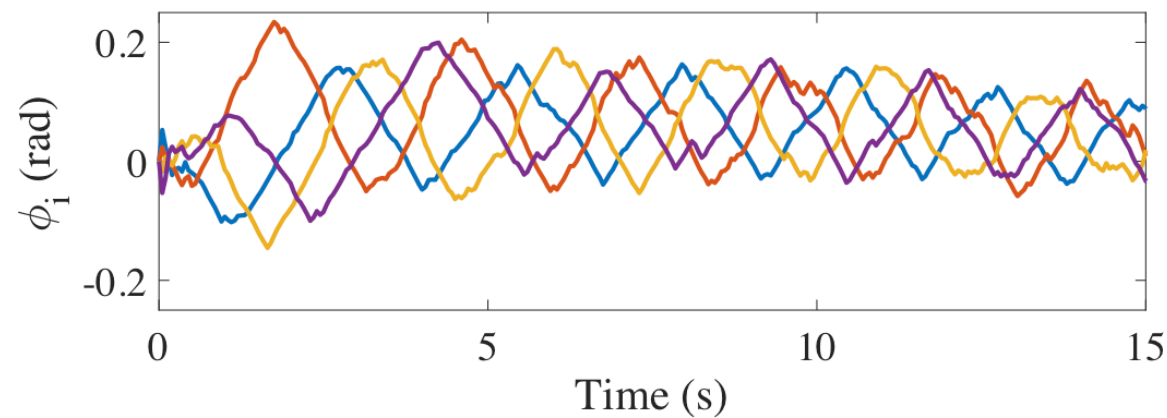
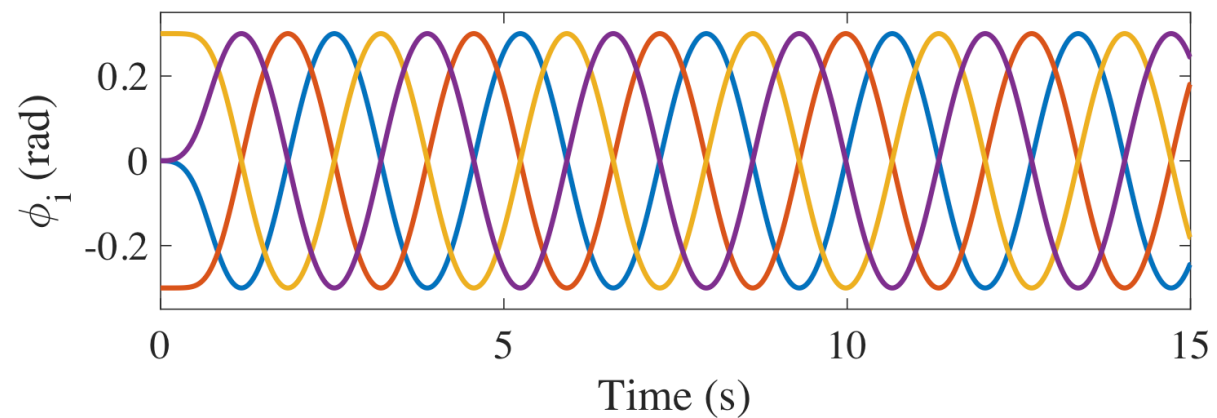
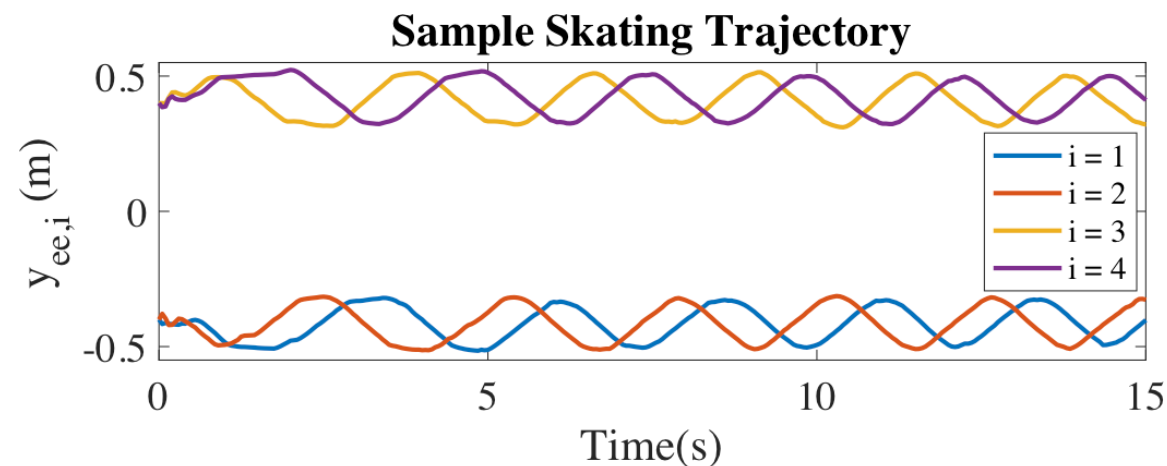
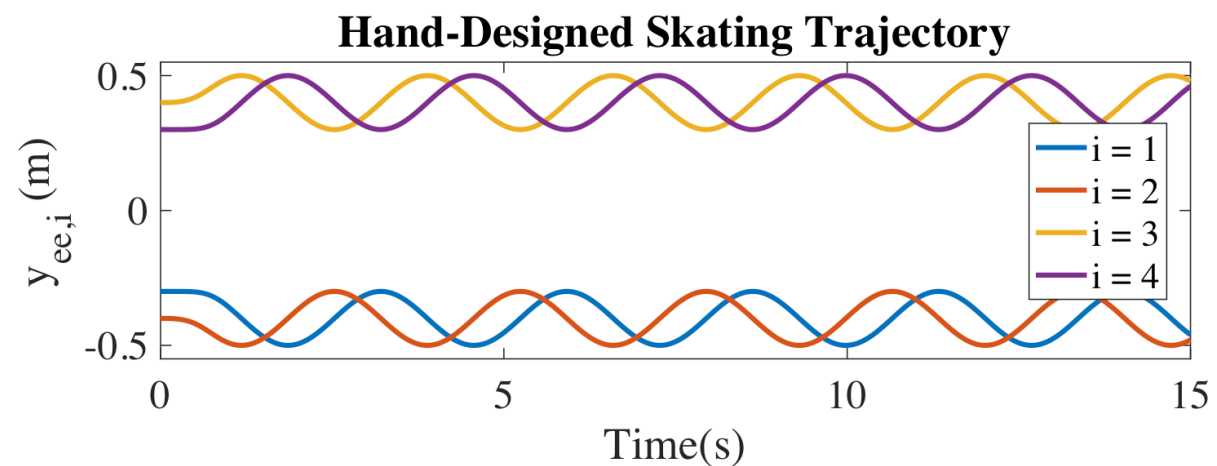
**Hand-designed trajectory to locomote Robosimian 5 meters forwards to (5,0)
executed over uneven terrain with varying coefficients of friction**



**Policy trained 2 million time steps to locomote Robosimian to (5,0)
executed over uneven terrain with varying coefficients of friction**

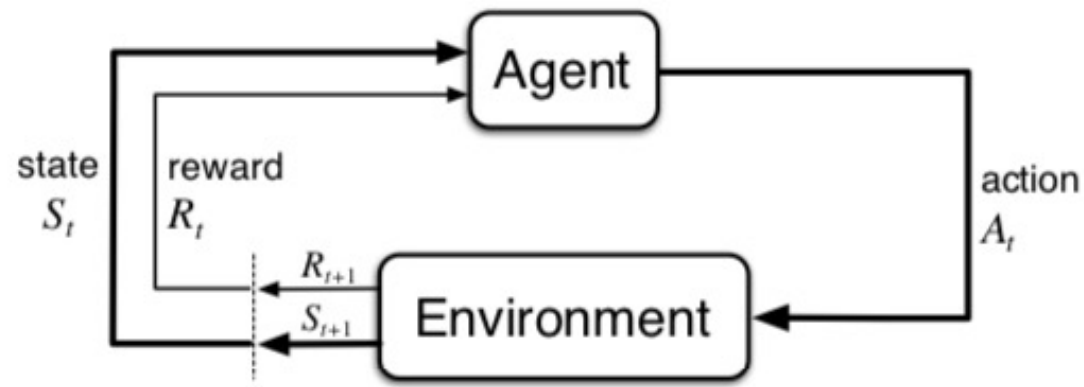


Hand-designed Trajectory vs. Trained Policy

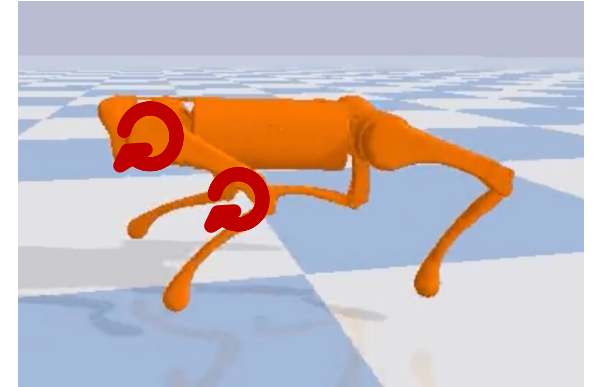


State/Action/Reward Space: A1

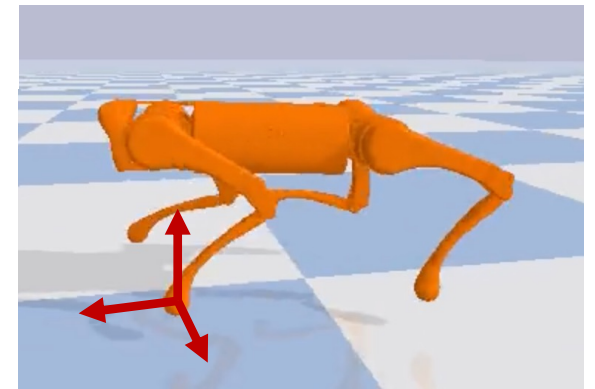
s_t ? i.e.
-body (z, r, p, y)
-body velocities
-joint states



r_t ? i.e.
-body linear velocity
-energy penalty

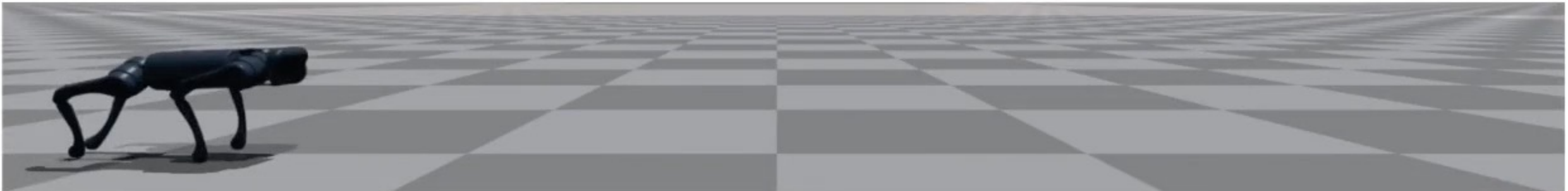
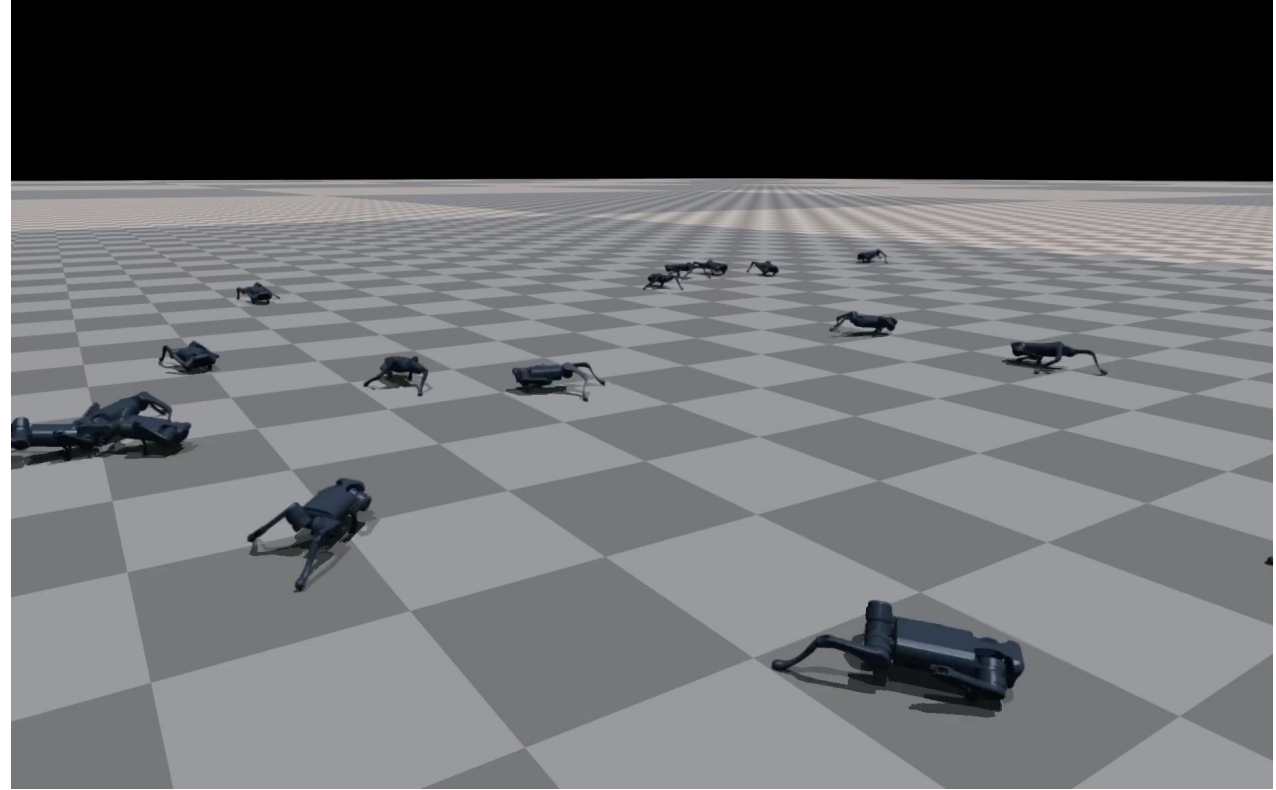
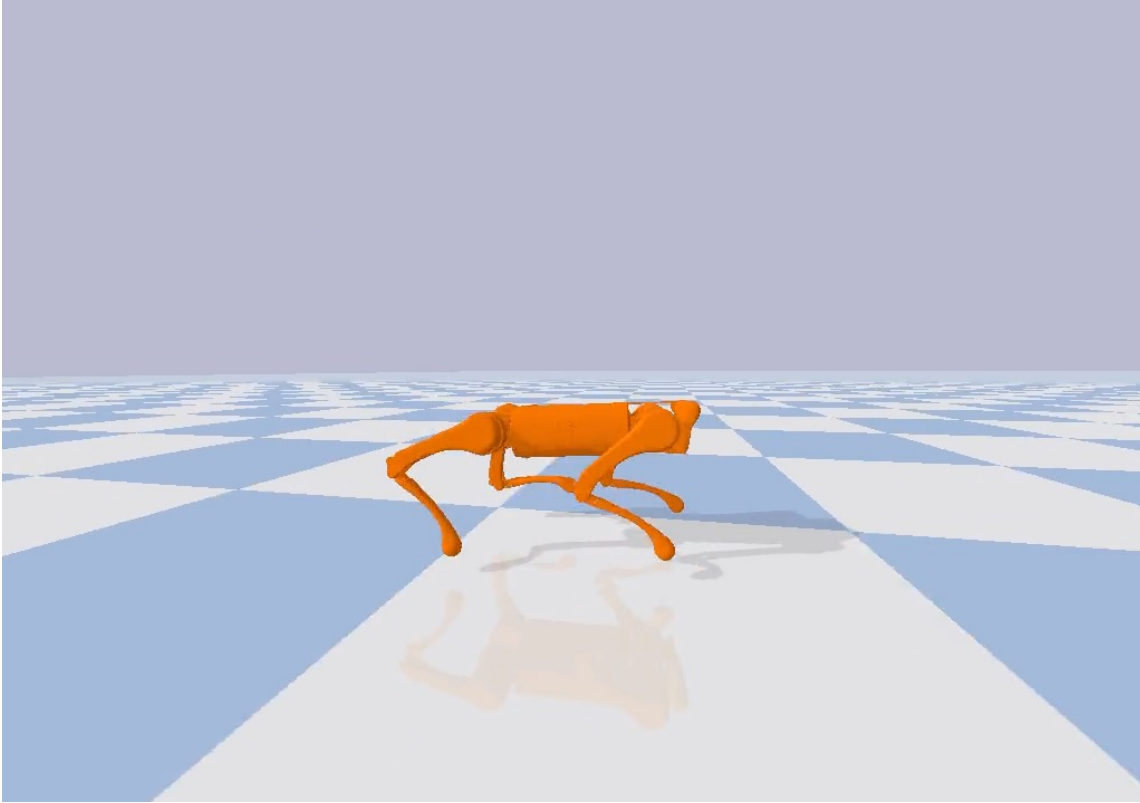


a_t ?
-motor positions/torques
-Cartesian PD



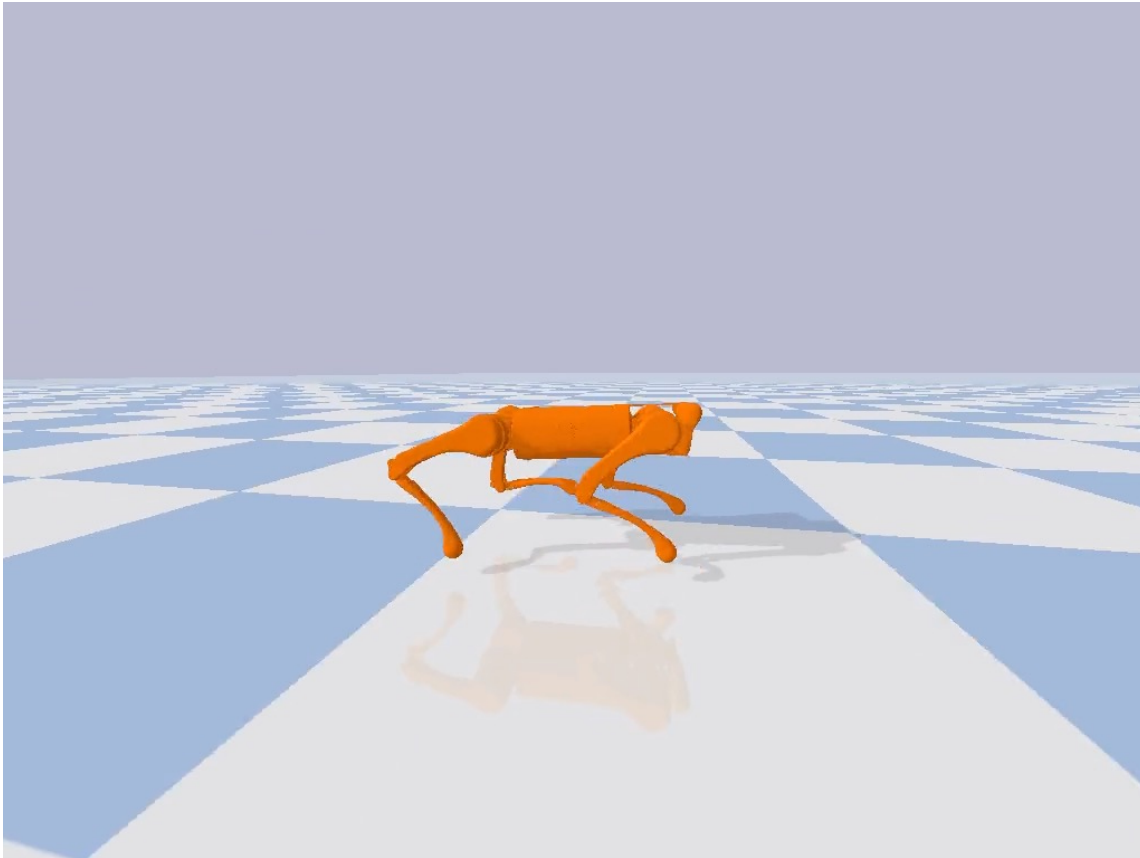
Learning in Joint Space (PPO)

Action Space: $a_t = q_{1...N}$

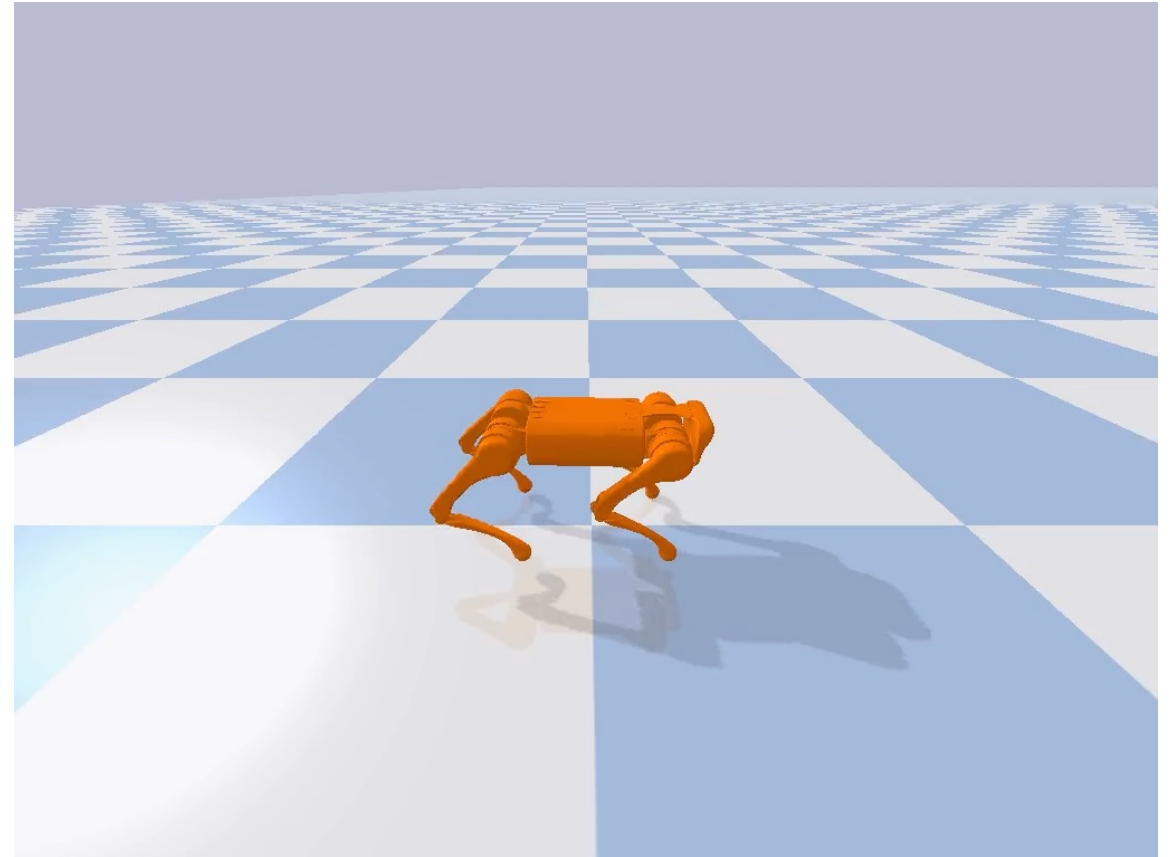


Position Control vs. Cartesian PD Control (PPO)

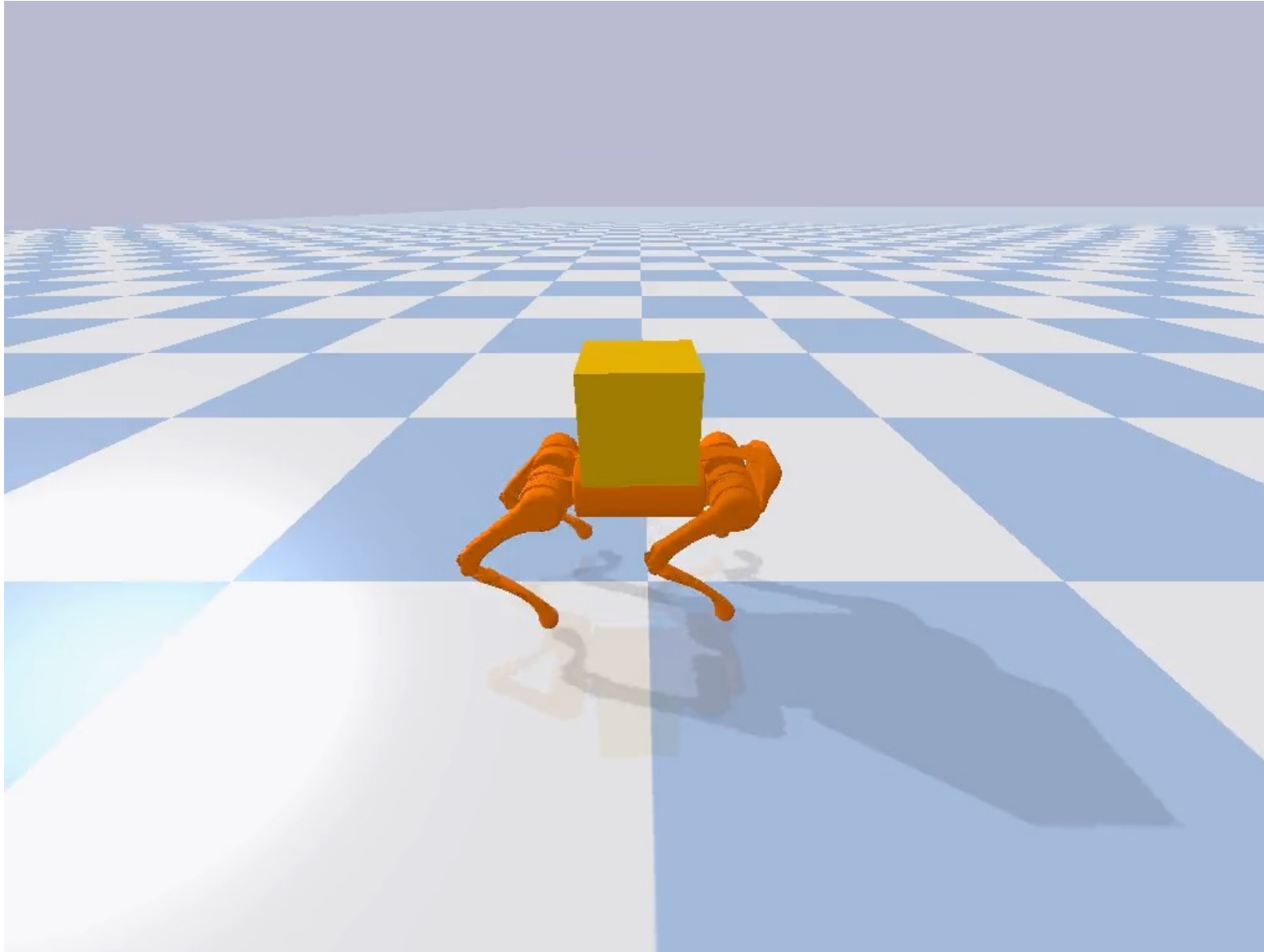
Action Space: $a_t = q_{1...N}$



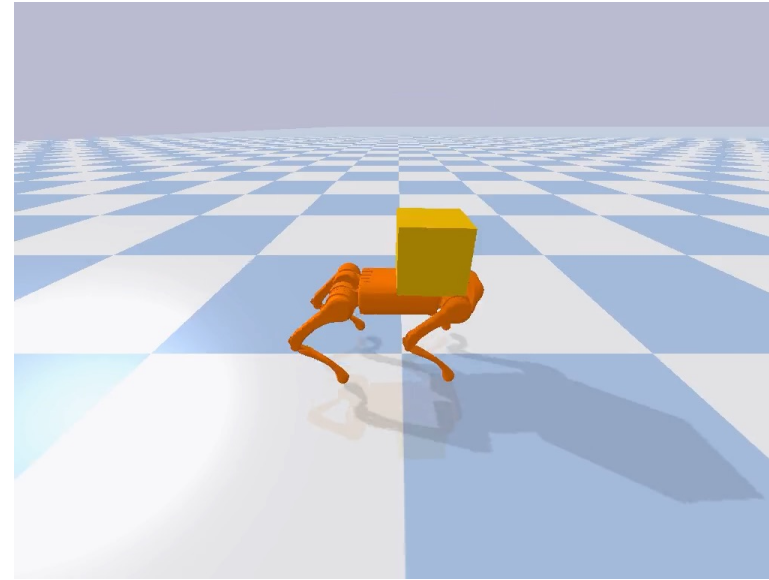
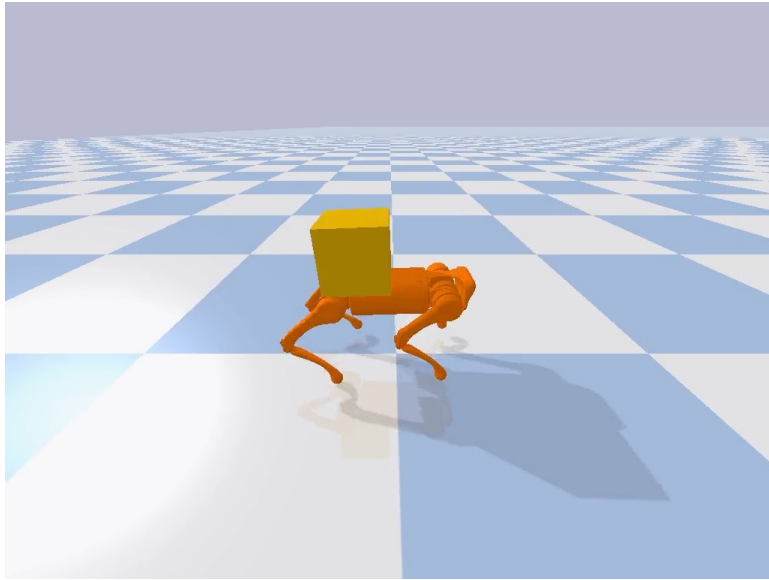
Action Space: $a_t = [x_{ee_i}, y_{ee_i}, z_{ee_i}]$



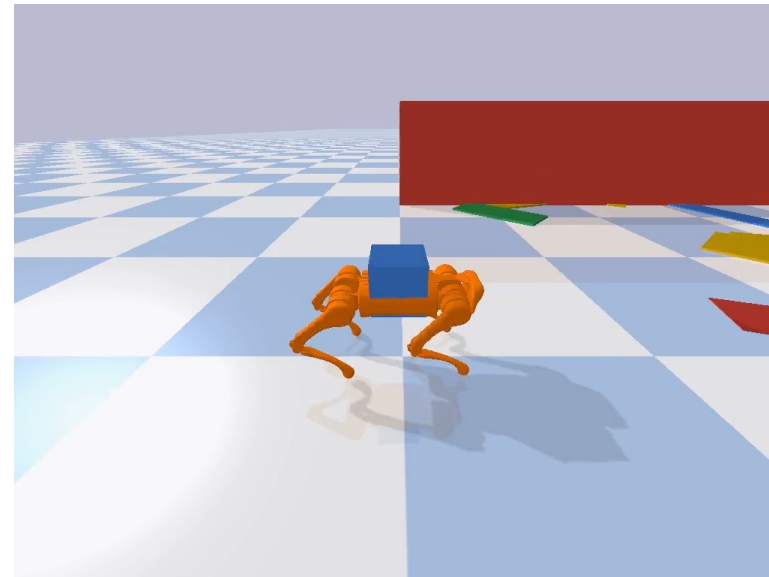
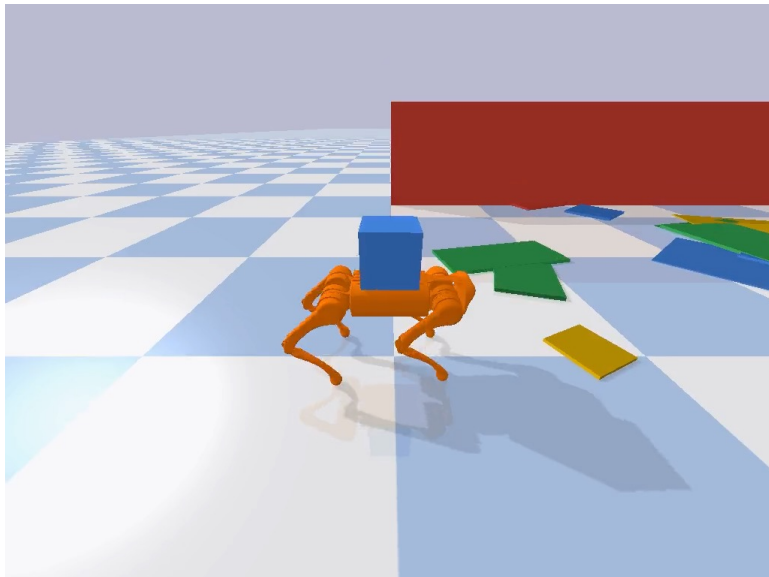
Robust to disturbances (10kg load, and 20% body mass/inertia variability)



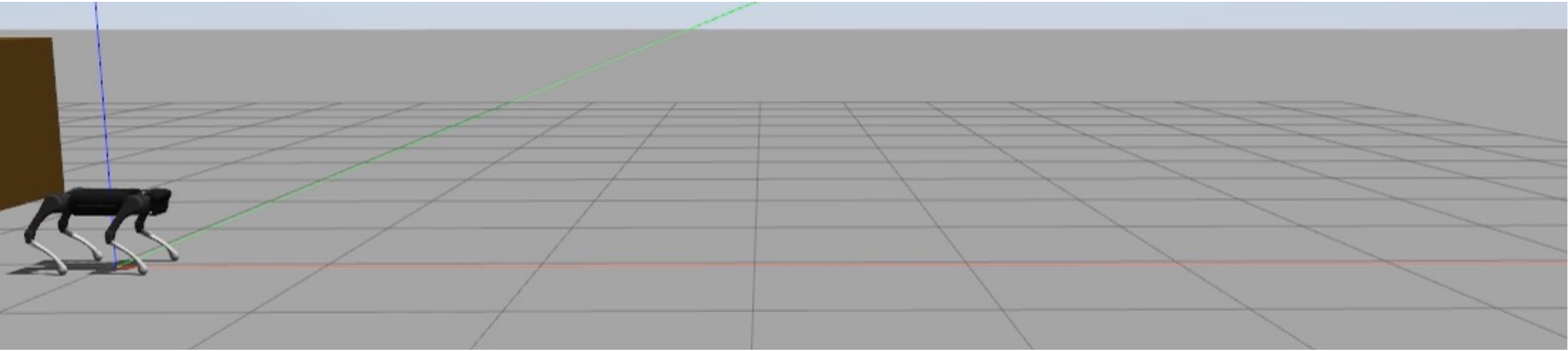
Robust to disturbances (10kg load, and 20% body mass/inertia variability)



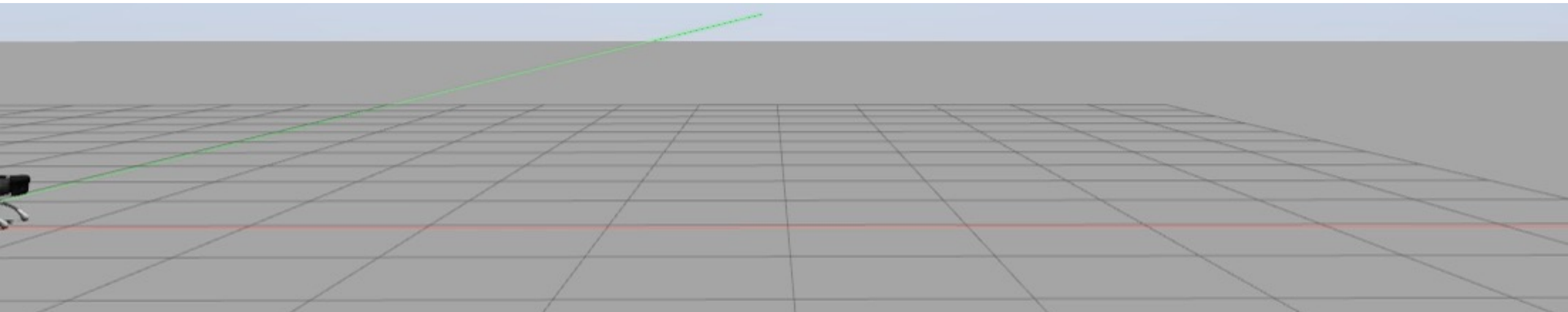
Robust to disturbances (6kg load, rough terrain up to 0.04m in height)



Running at 4 m/s



Running at 3.5 m/s with a 10kg load



Sim-to-Real Additions

- Reward function terms:

Name	Formula	Weight
Velocity reward	$1 - v_{base} - v_{des} $	0.1
Feet swing reward	$\sum_{i=0}^3 (t_i^k - t_i^{k-1} - 0.5)$	0.2
Energy penalty	$\int_t^{t+1} \boldsymbol{\tau} \cdot \dot{\mathbf{q}} dt$	-0.008
Orientation penalty	$\ \boldsymbol{\omega} - (0, 0, 0, 1)\ $	-0.1
Lateral drift penalty	$ y $	-0.1
Height penalty	$ z - 0.3 $	-0.1

- Dynamics randomization:
 - Terrain randomization, observation noise

Parameter	Lower Bound	Upper Bound
Mass (each body link)	80%	120%
Added mass	0 kg	5 kg
Added mass base offset	$[-0.15, -0.05, -0.05] \text{ m}$	$[0.15, 0.05, 0.05] \text{ m}$
Coefficient of friction	0.5	1

Experimental Results



Running with a 5kg Load



Running Over Different Terrains



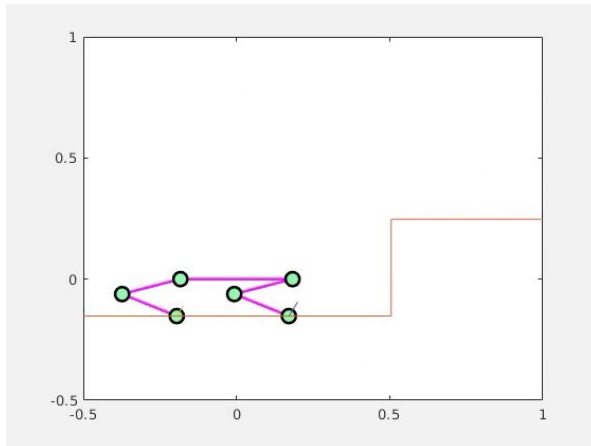
Outline

- I. Motivation and Goals
- II. Model-Predictive Control vs. Reinforcement Learning (same?)
- III. Model-based methods
 - I. Background on RoboSimian and designing skating motions
 - II. Trajectory optimization allowing wheel slip
- IV. Learning-based methods
 - I. Action space in reinforcement learning [RoboSimian, A1]
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- V. Bio-inspired learning
- VI. Conclusion

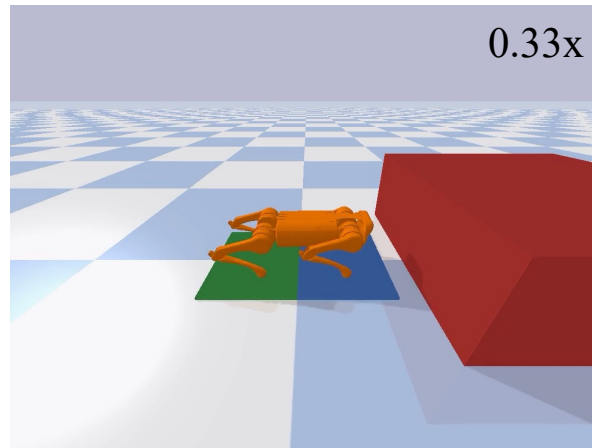
How can we combine trajectory optimization and deep learning?

- Use learning to improve trajectory optimization

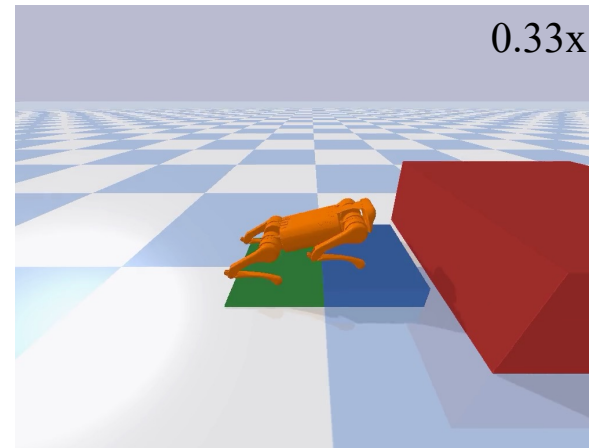
Feedforward trajectory optimization



Nonlinear trajectory optimization
generates jumping motions

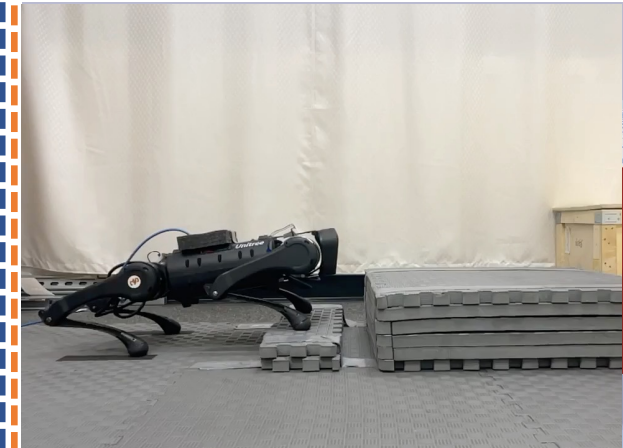


Feedforward execution



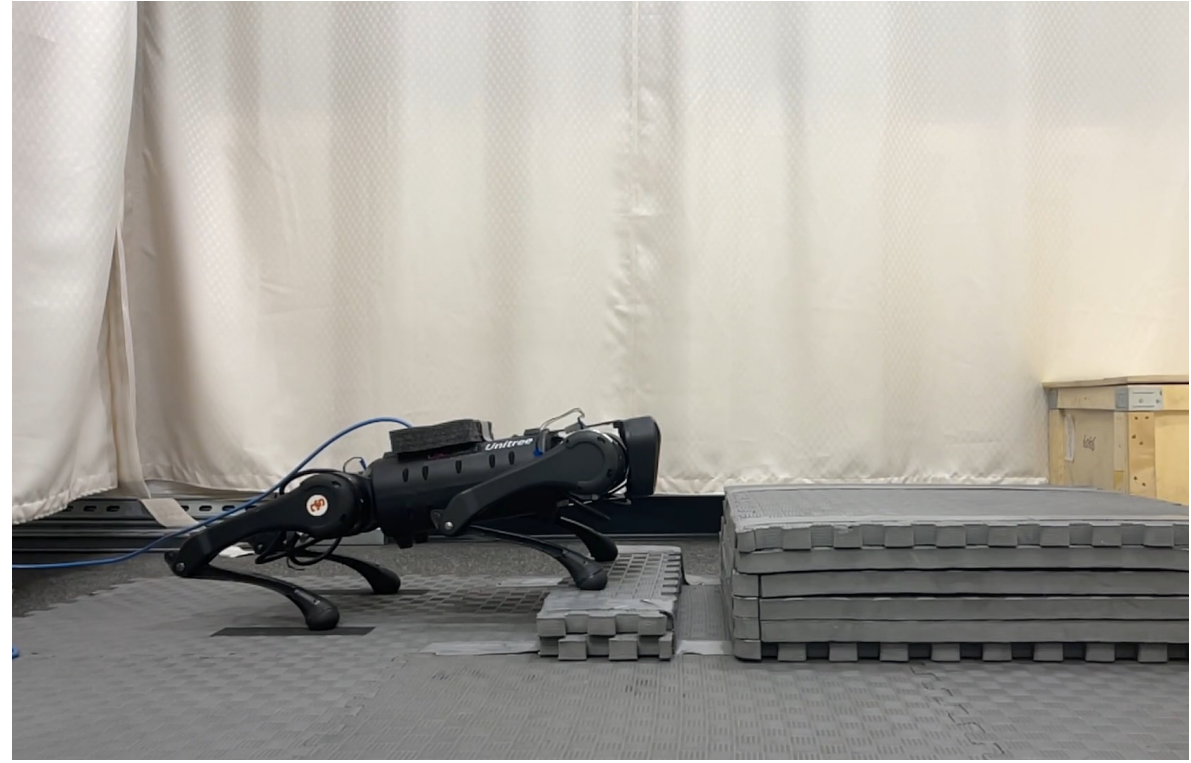
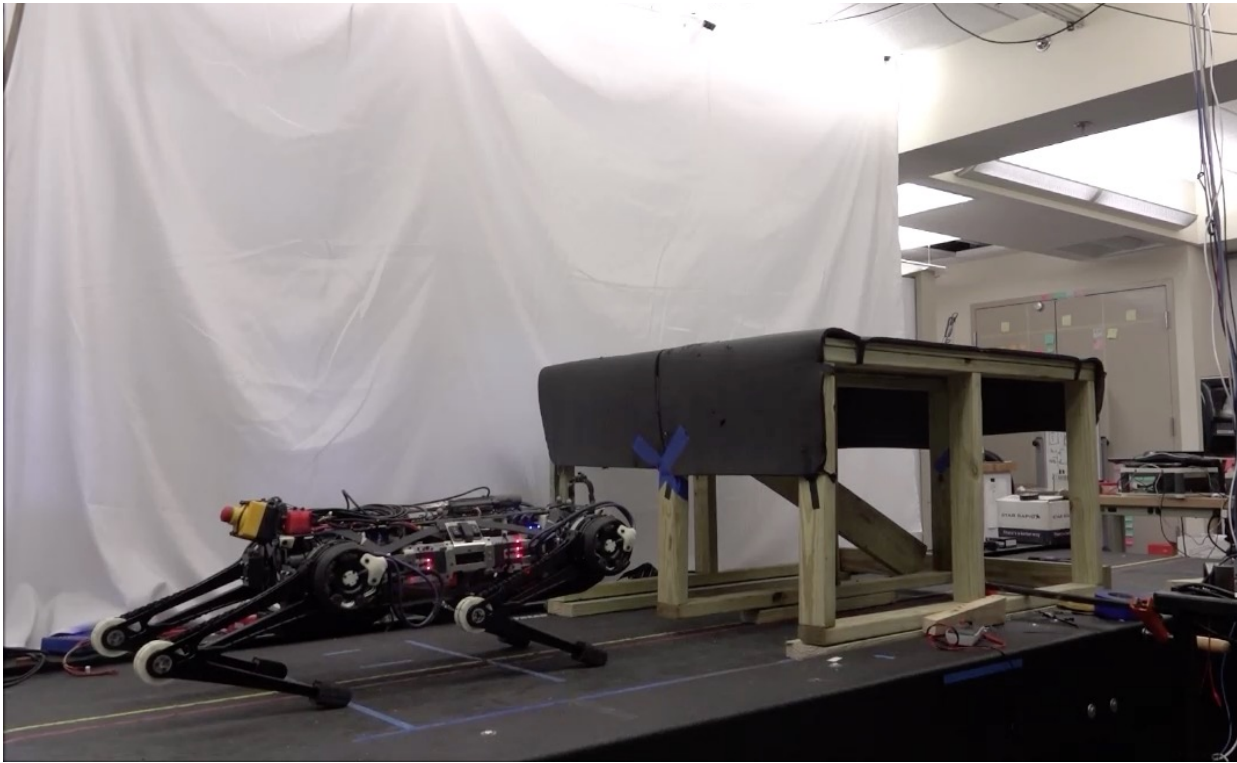
Feedforward execution **with
disturbances** – doesn't work!

TO + Learning

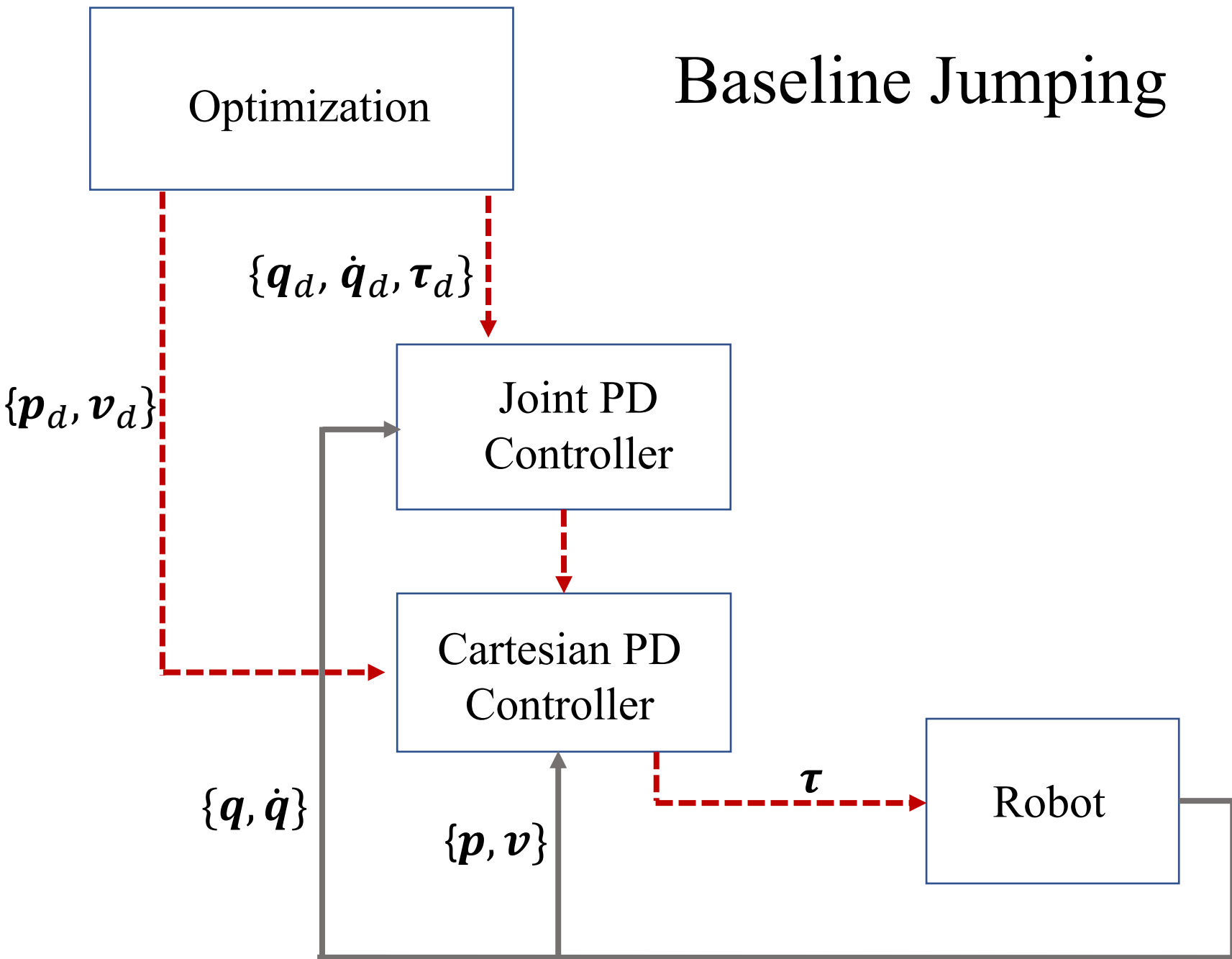


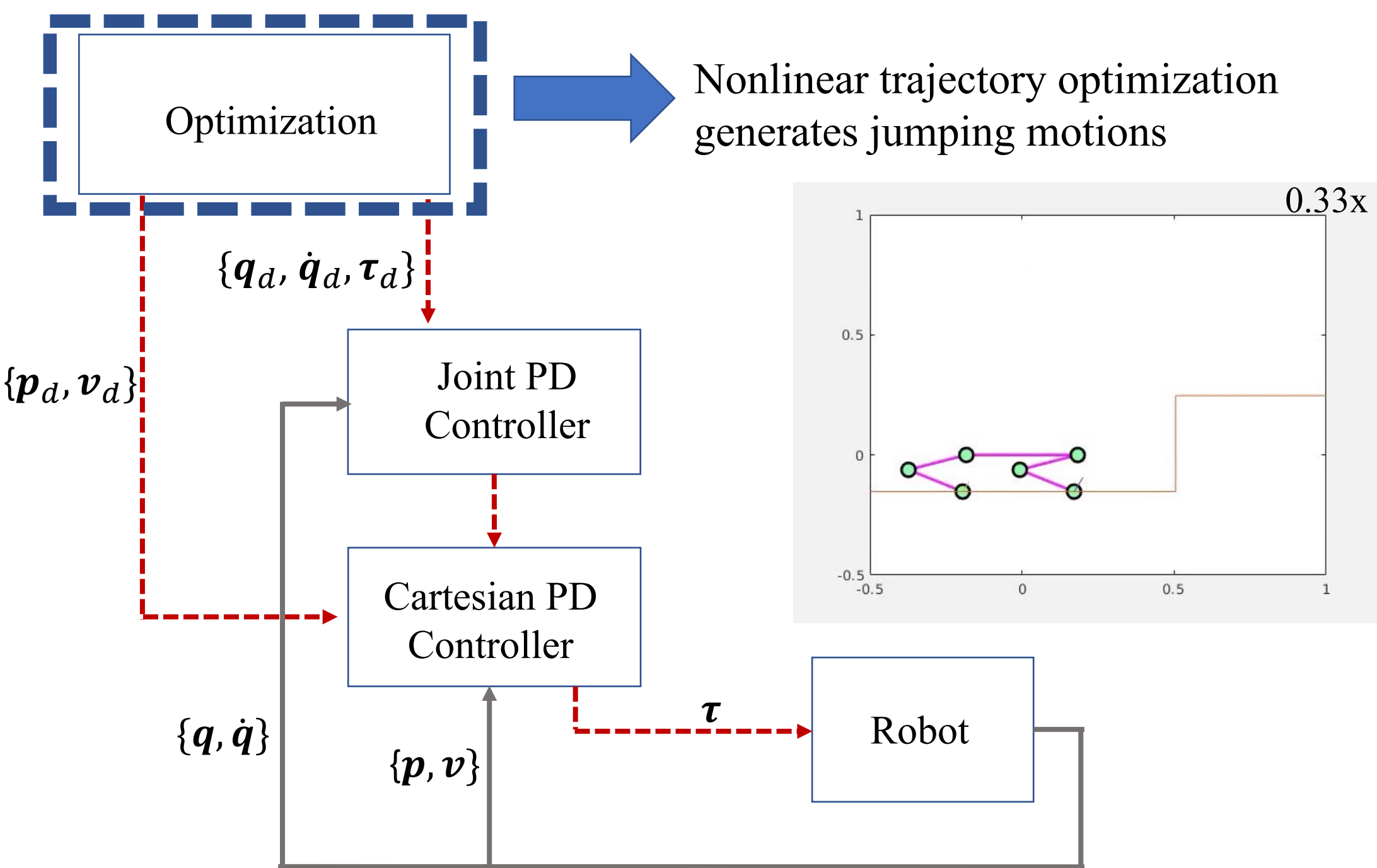
Our method:
Trajectory Optimization + DRL

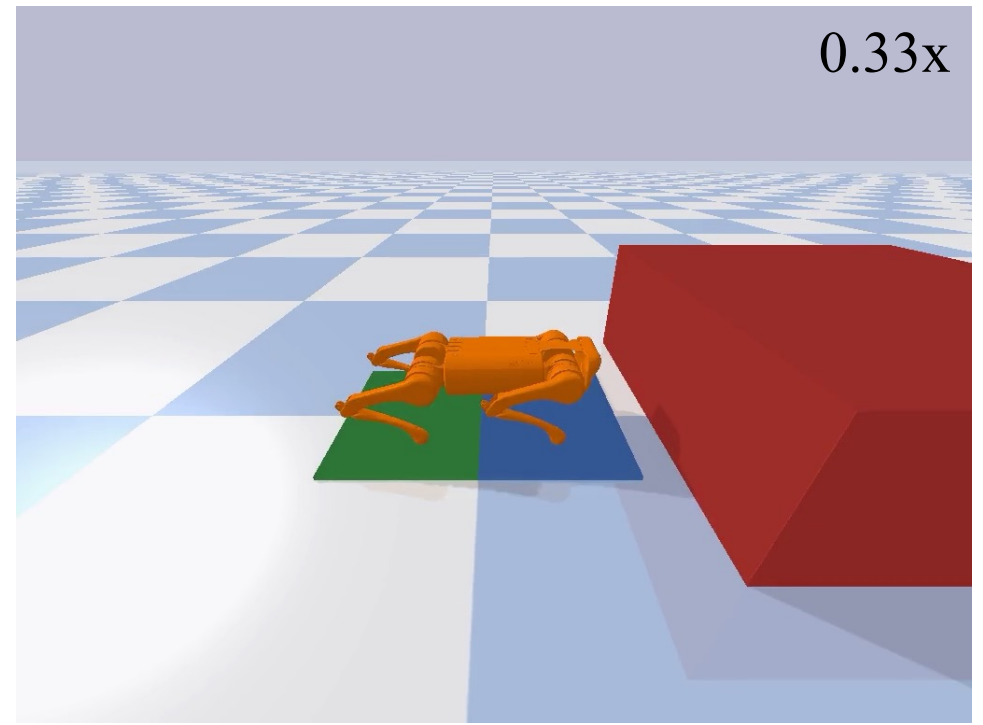
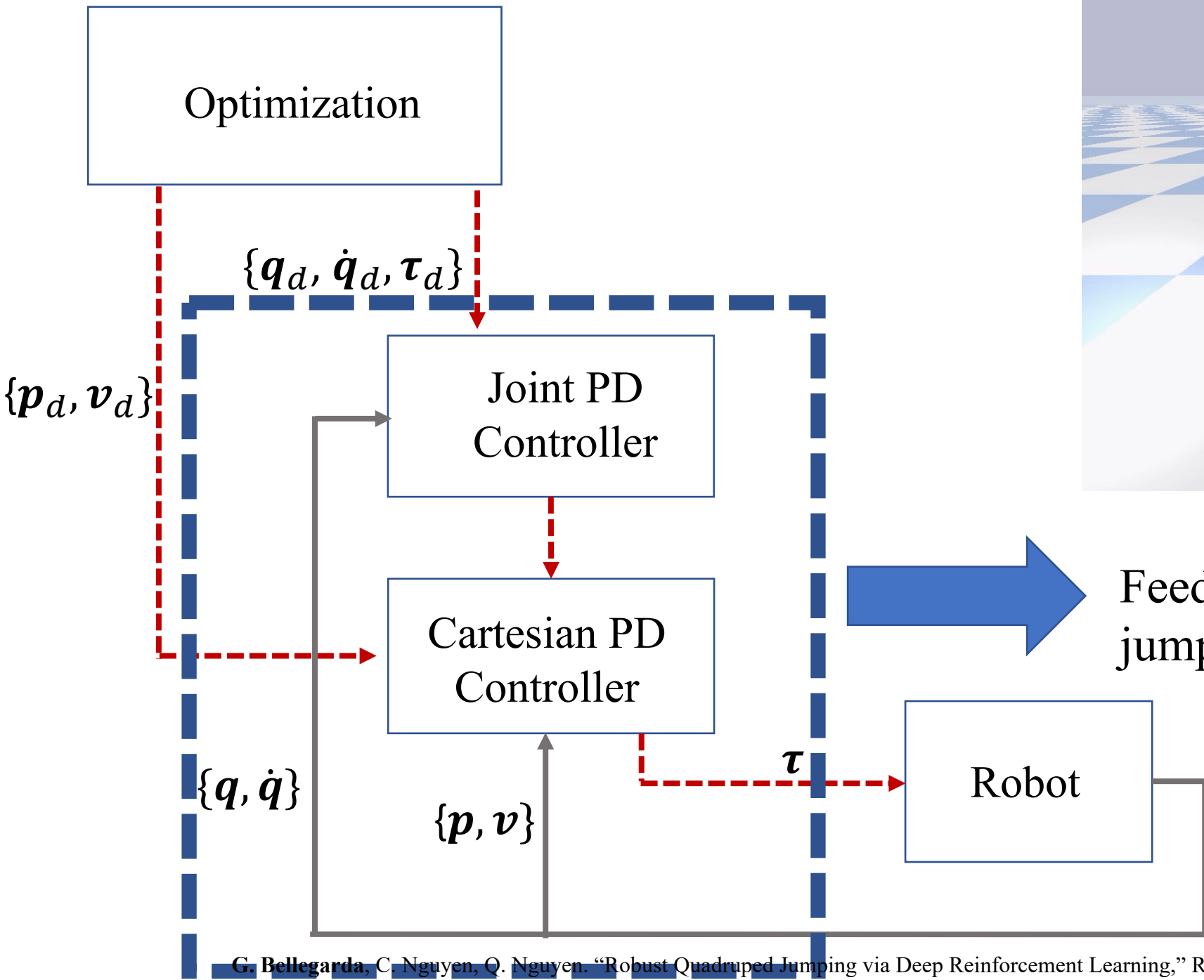
Jumping Task (Robust?)



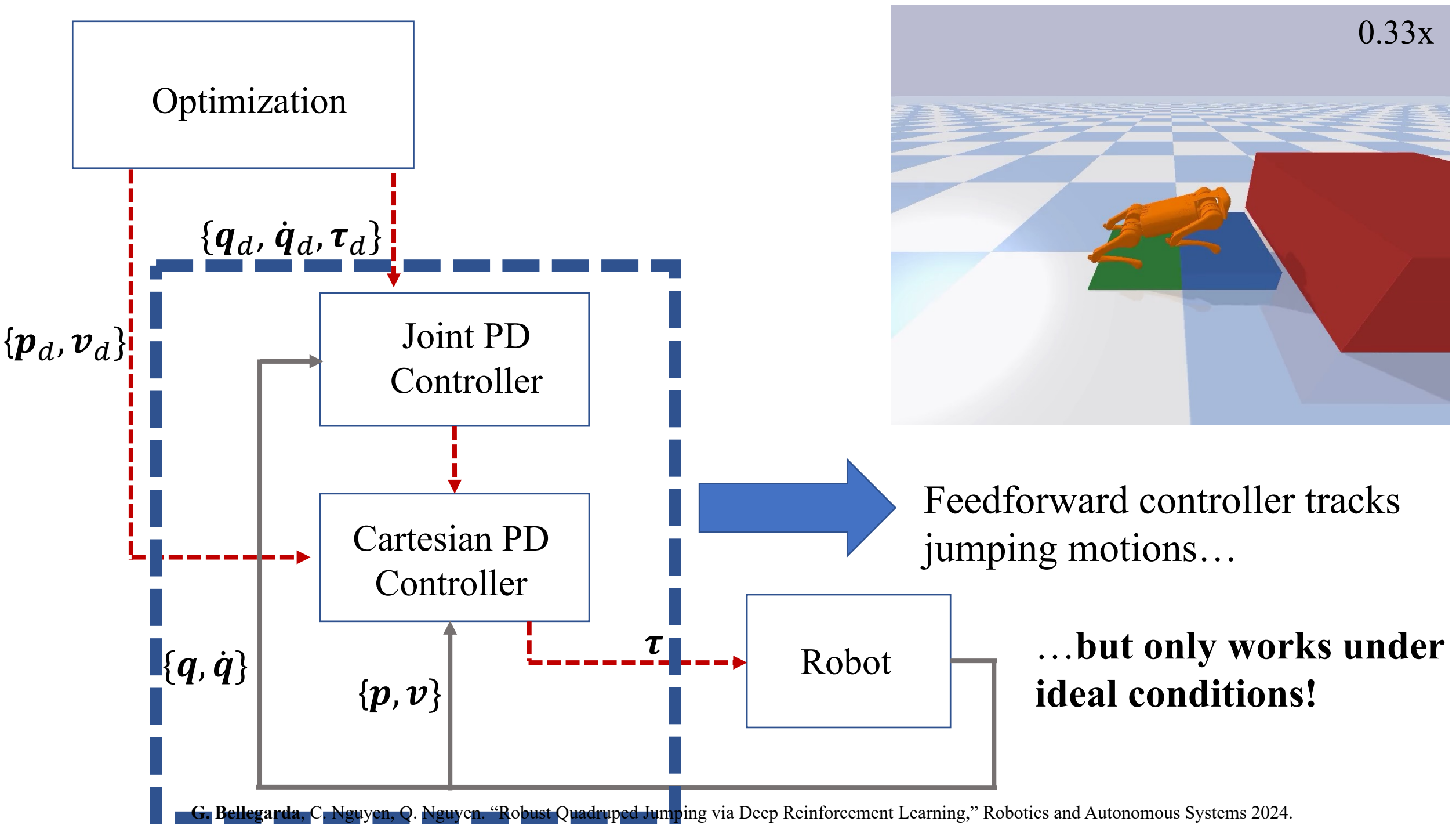
Baseline Jumping





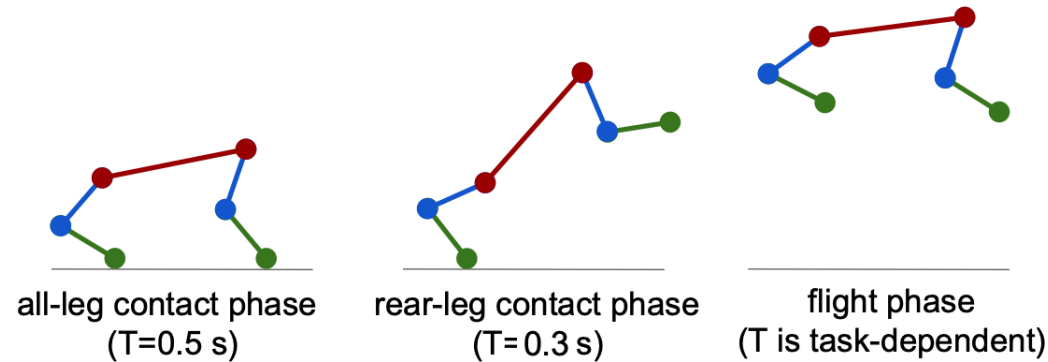


Feedforward controller tracks jumping motions...

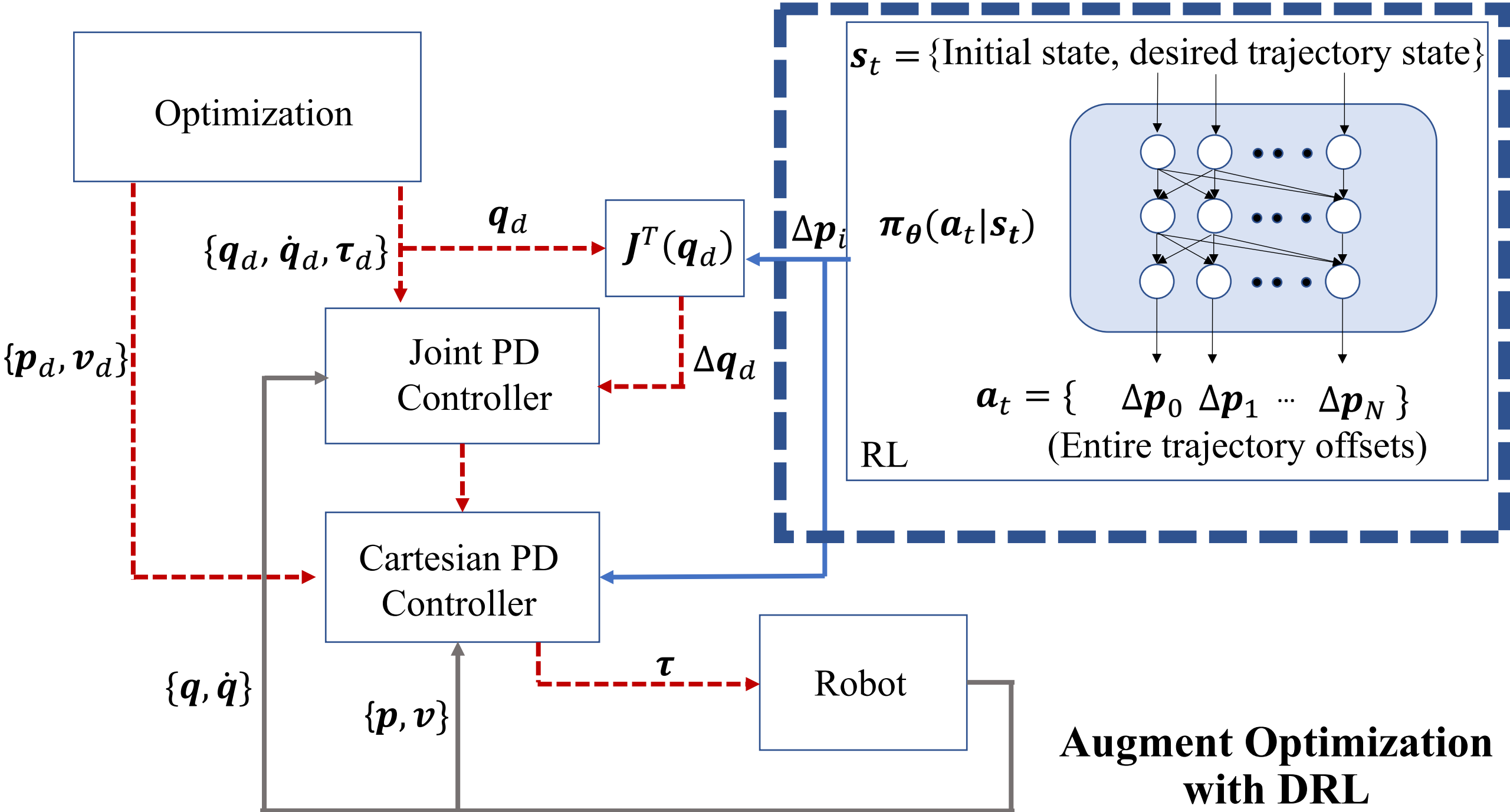


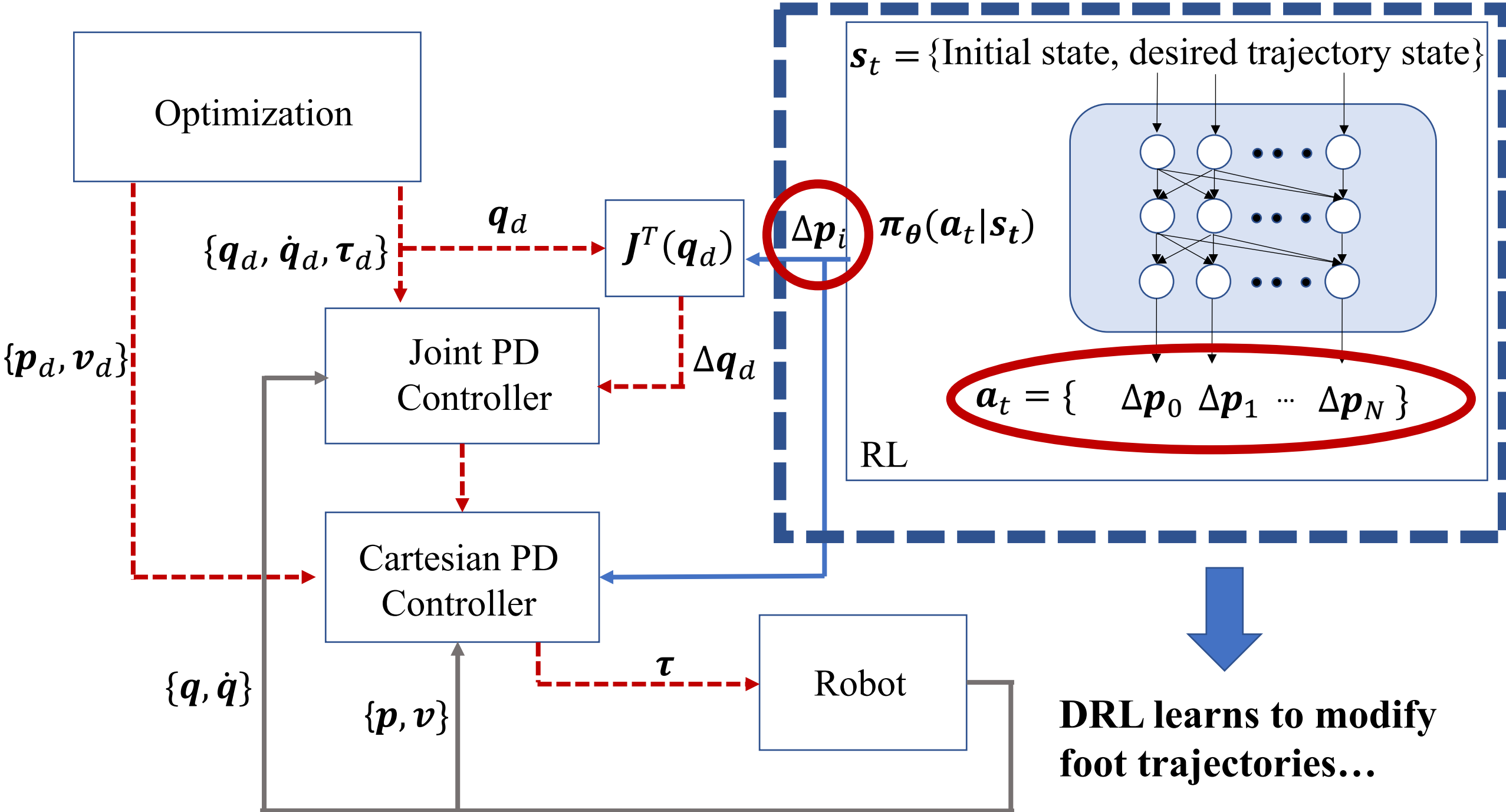
How to improve jumping with learning?

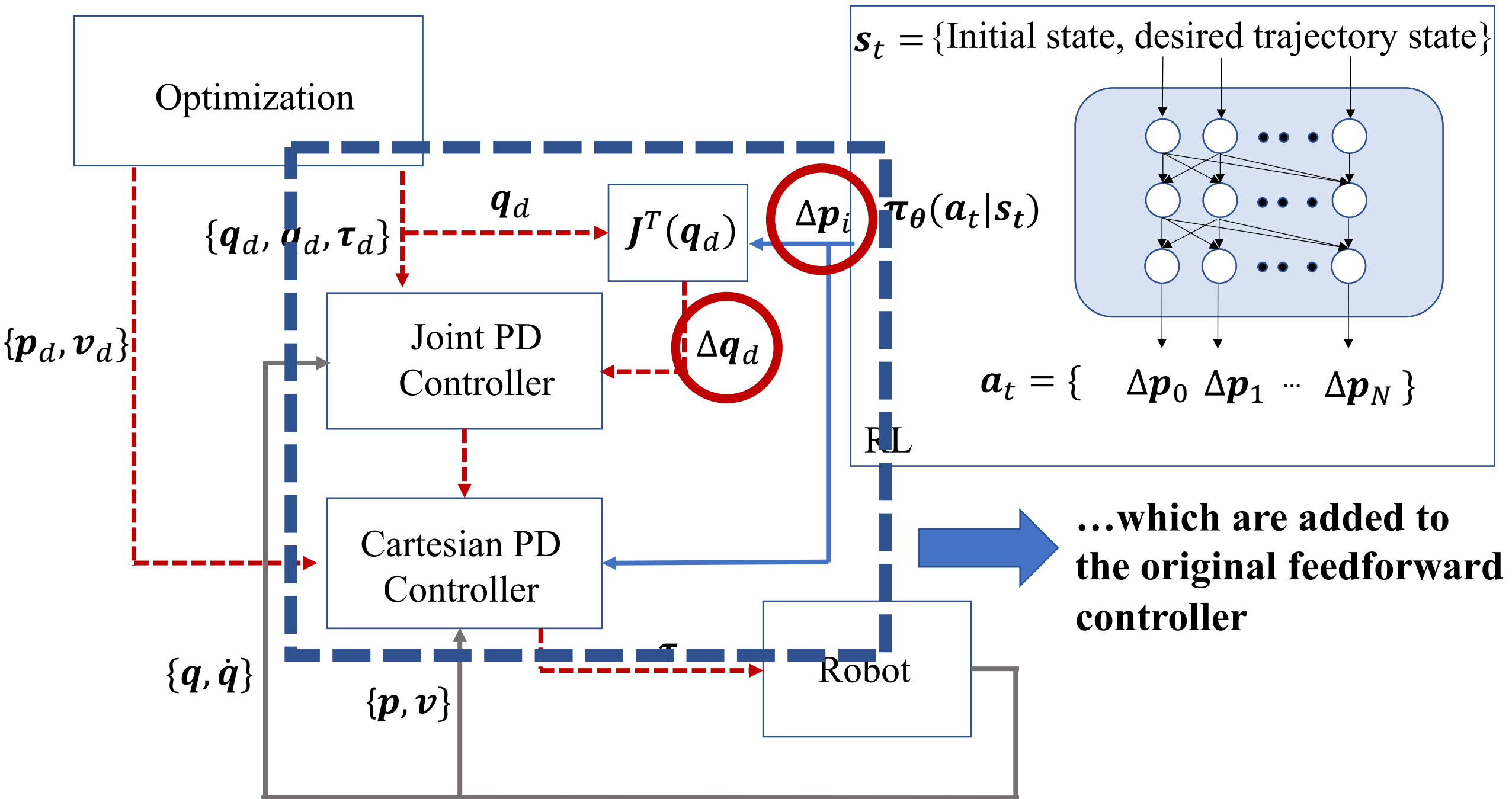
- Jumping involves very specific joint trajectories



- Difficult to learn from scratch..
 - Imitate trajectory?
 - Reward function tuning..
- Already have an approximate solution in ideal case
 - Learn to modify existing trajectories for robustness (residual learning)



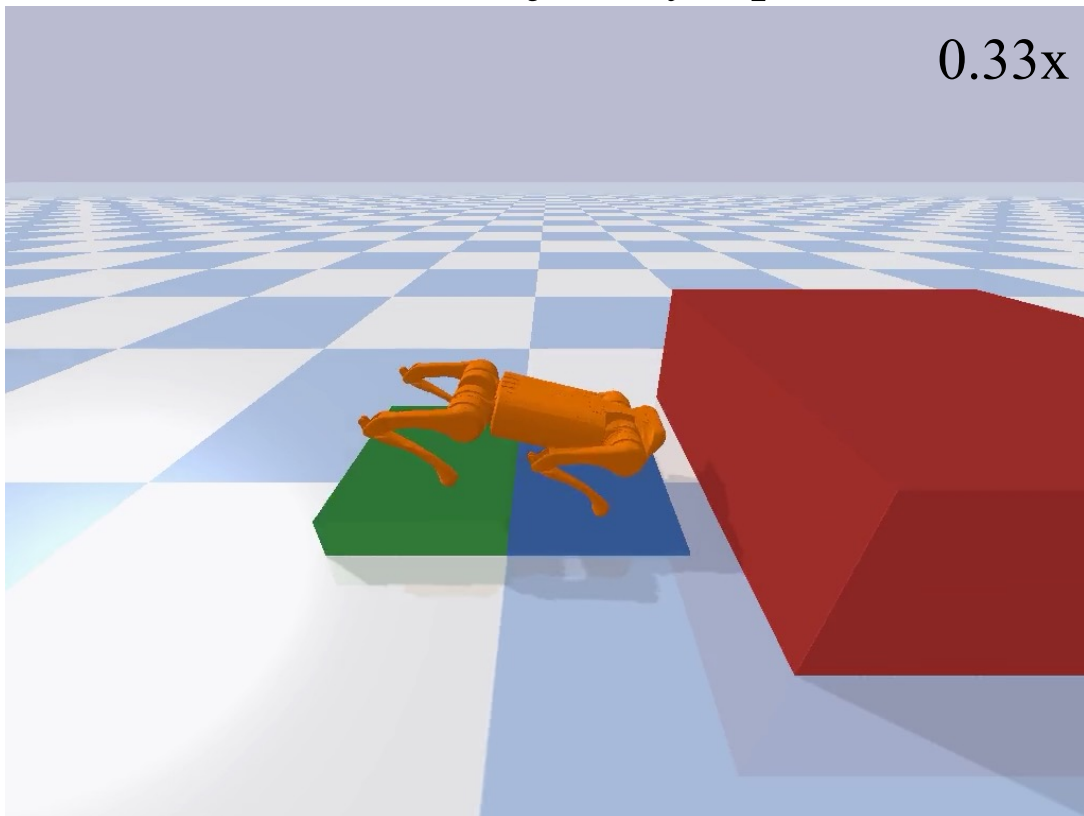




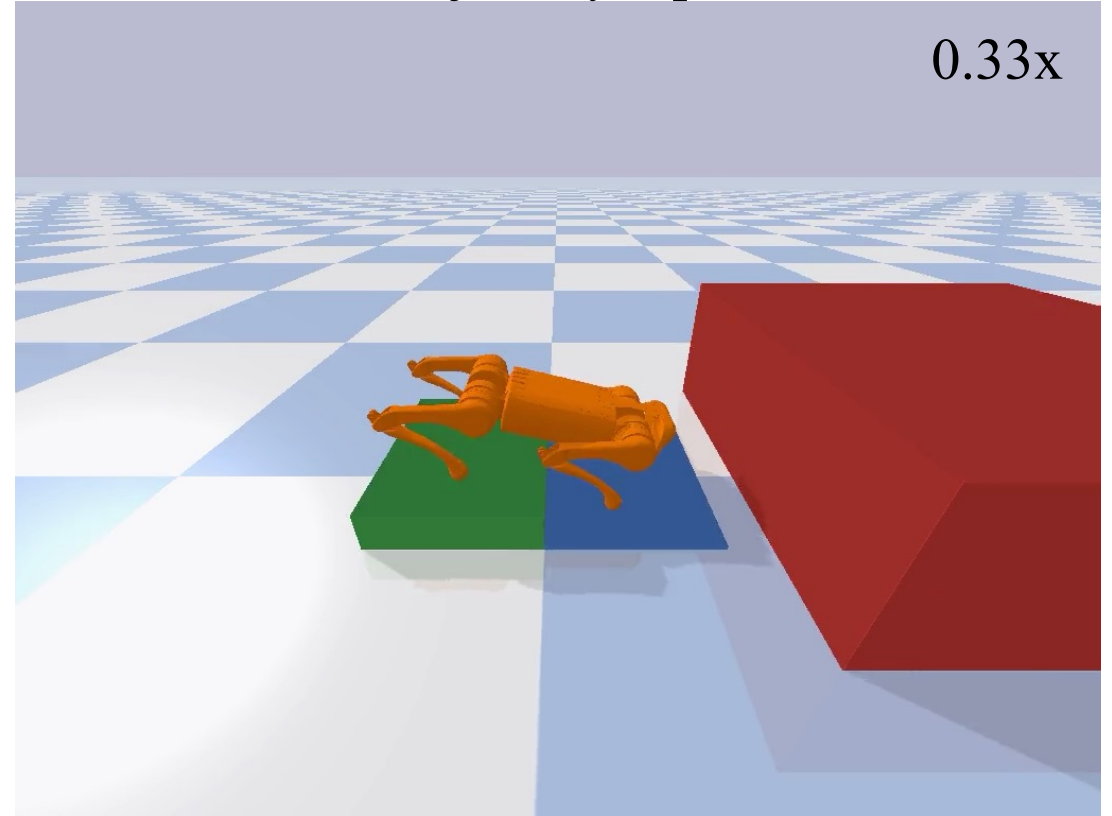
Example Simulation Result

- Jumping height and distance: **(0.4, 0.7) m**
- Mass and inertia uncertainty: **5%**
- Ground uncertainty: **0.1 m** block under rear feet

Baseline - Pure Trajectory Optimization



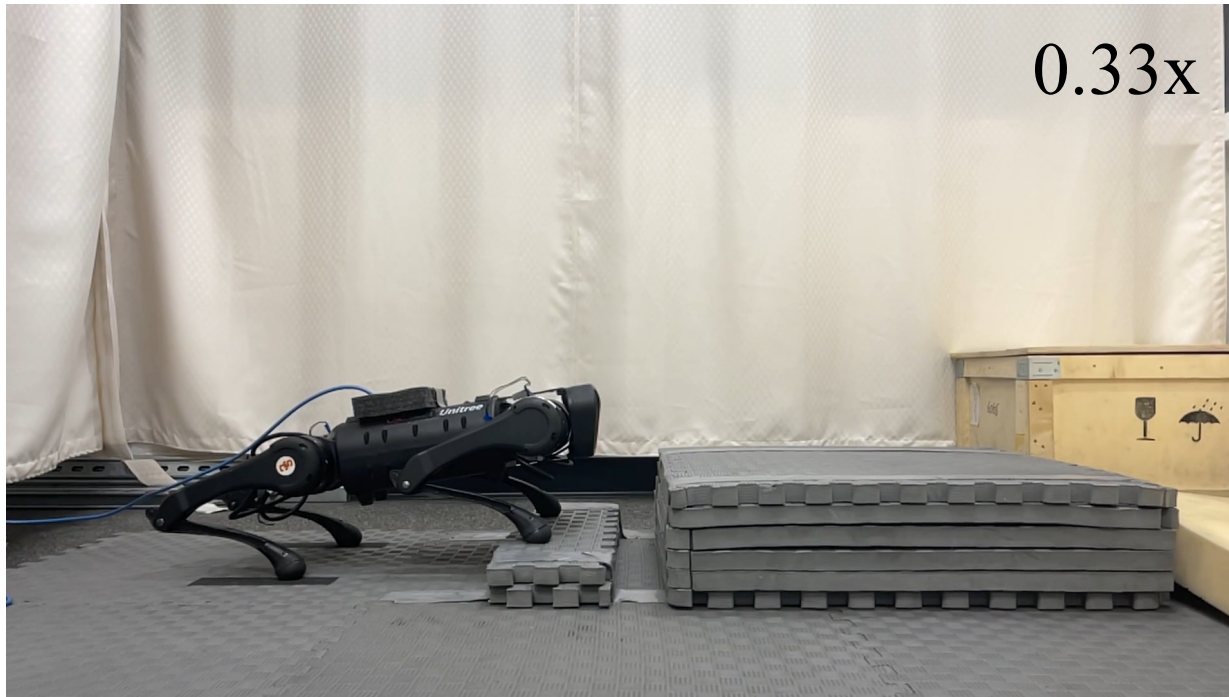
Our method – Trajectory Optimization + DRL



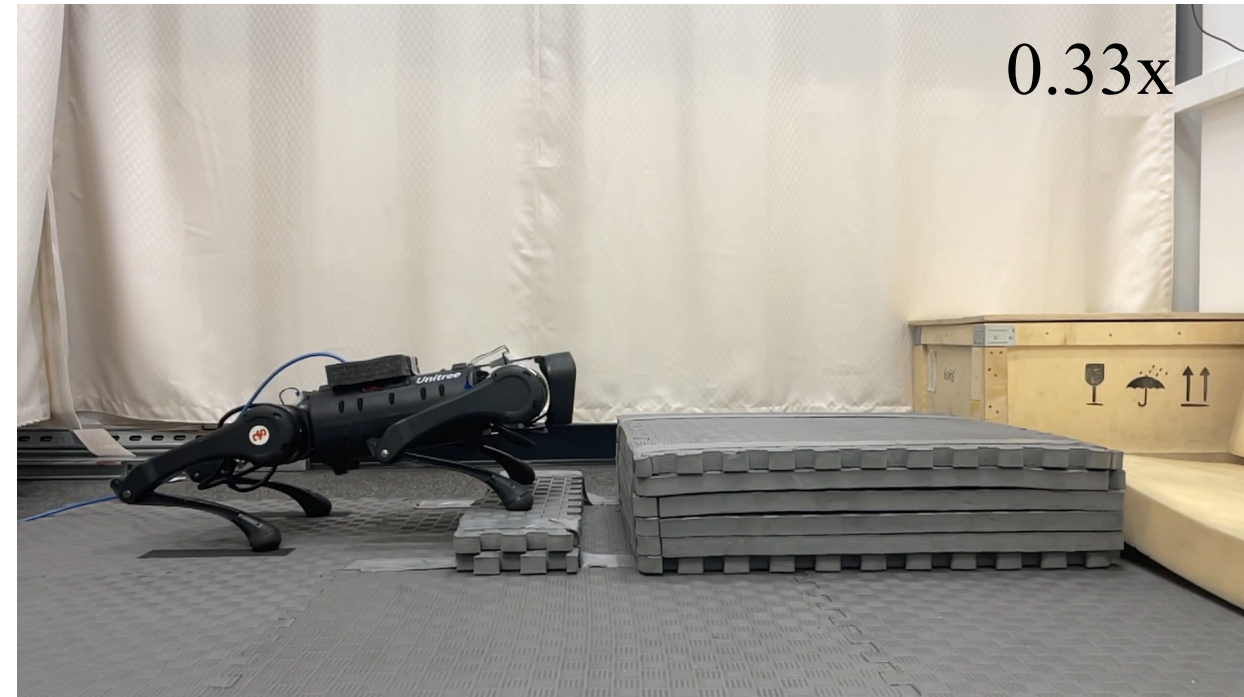
Example Hardware Result

- Jumping height and distance: **(0.2, 0.6) m**
- Ground uncertainty: **0.06 m** block under front feet

Baseline - Pure Trajectory Optimization



Our method – Trajectory Optimization + DRL



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I. Action space in reinforcement learning [RoboSimian, A1]

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V. Bio-inspired learning

VI. Conclusion

From Lecture 4

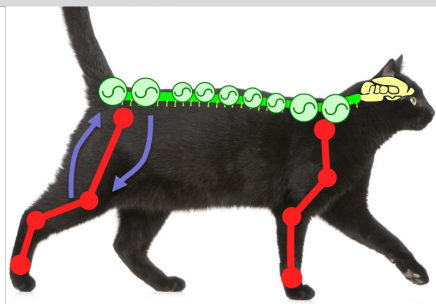
100%

Descending modulation

Spinal cord

Reflexes

Central pattern generators



Musculoskeletal system

Respective Role
in motor control



lamprey



salamander



cat

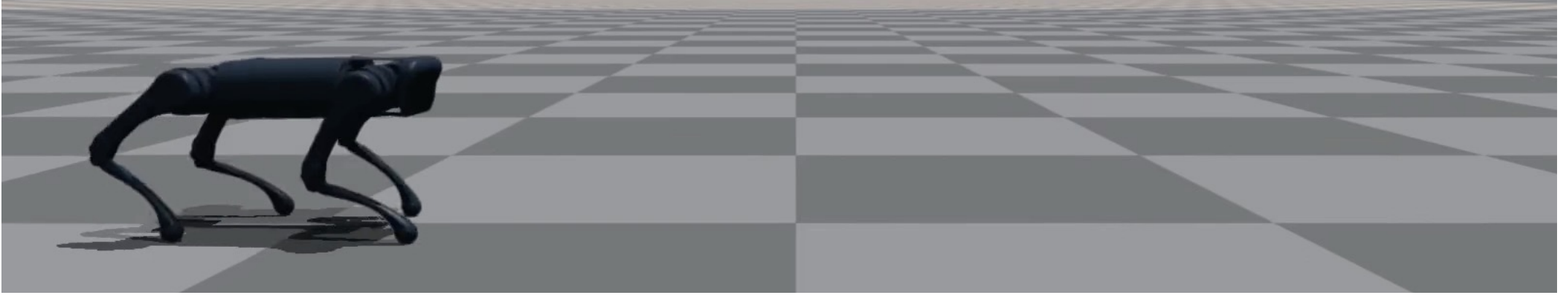


human

"Comp animal sp

Traditional Central Pattern Generators for Quadruped Locomotion

Trot



Amplitude:

$$\ddot{r}_i = a \left(\frac{a}{4} (\mu_i - r_i) - \dot{r}_i \right)$$

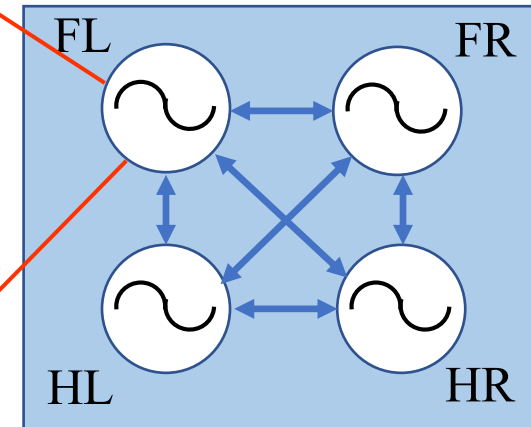
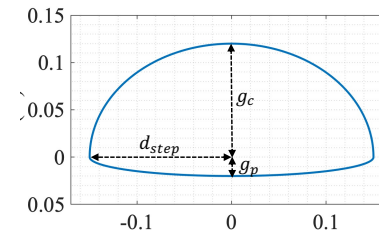
Phase:

$$\dot{\theta}_i = \omega_i + \sum_j r_j w_{ij} \sin(\theta_j - \theta_i - \phi_{ij}), \quad \omega_i = \begin{cases} \omega_{\text{swing}} & \text{if } 0 \leq \theta_i < \pi \\ \omega_{\text{stance}} & \text{if } \pi \leq \theta_i < 2\pi \end{cases}$$

Output:

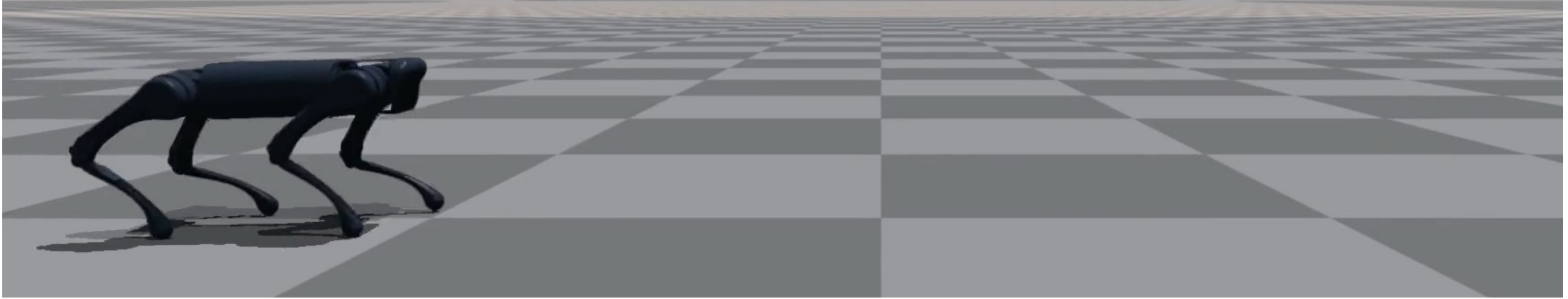
$$x_{\text{foot},i} = -d_{\text{step}} r_i \cos(\theta_i)$$

$$z_{\text{foot},i} = \begin{cases} -h + g_c \sin(\theta_i) & \text{if } \sin(\theta_i) > 0 \\ -h + g_p \sin(\theta_i) & \text{otherwise} \end{cases}$$



Traditional Central Pattern Generators for Quadruped Locomotion

Pace



Amplitude:

$$\ddot{r}_i = a \left(\frac{a}{4} (\mu_i - r_i) - \dot{r}_i \right)$$

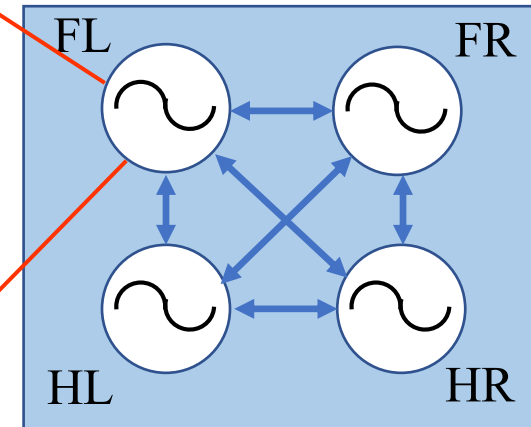
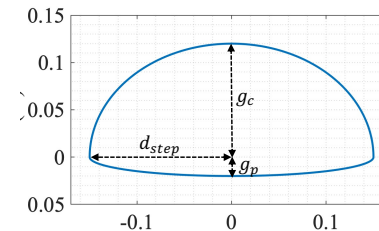
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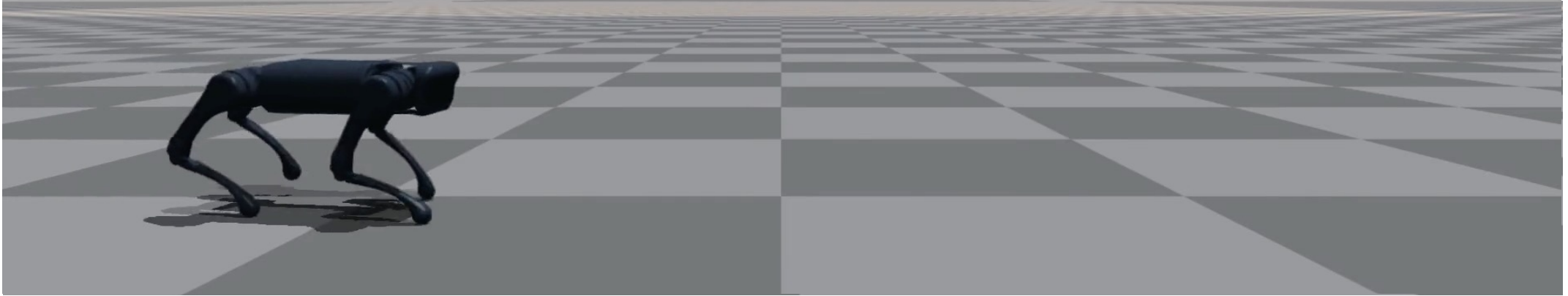
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Traditional Central Pattern Generators for Quadruped Locomotion

Walk



Amplitude:

$$\ddot{r}_i = a \left(\frac{a}{4} (\mu_i - r_i) - \dot{r}_i \right)$$

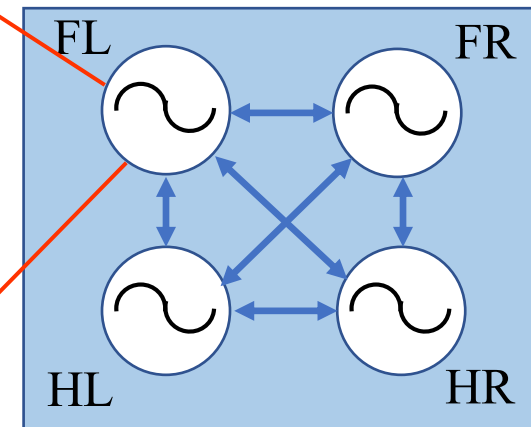
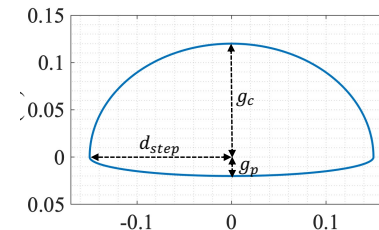
Phase:

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Output:

$$x_{\text{foot},i} = -d_{\text{step}} r_i \cos(\theta_i)$$

$$z_{\text{foot},i} = \begin{cases} -h + g_c \sin(\theta_i) & \text{if } \sin(\theta_i) > 0 \\ -h + g_p \sin(\theta_i) & \text{otherwise} \end{cases}$$

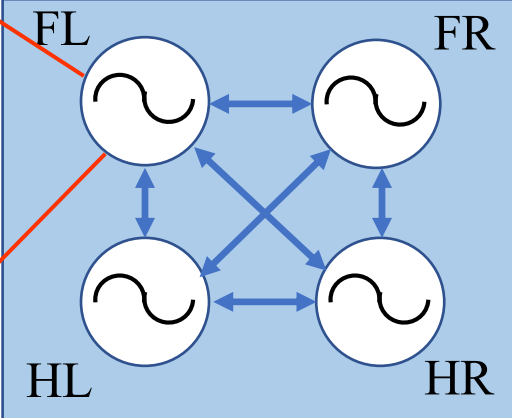


Traditional Central Pattern Generators for Quadruped Locomotion

- Require careful parameter tuning (optimization-based or hand-tuned)
- Result in a single fixed gait (must re-tune for each desired gait and speed)
- Difficult to specify omni-directional motion (i.e. add control like VMC to turn)

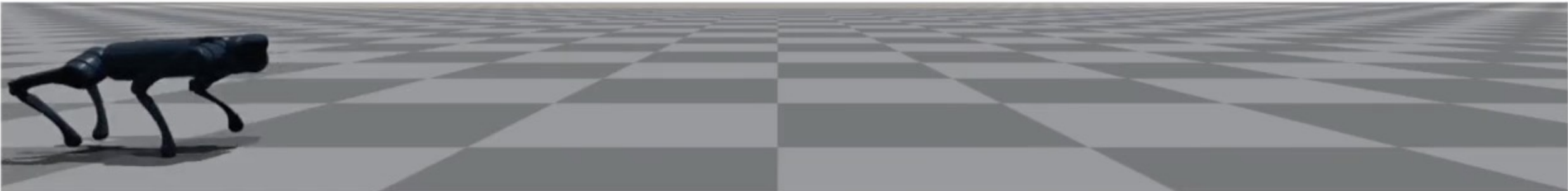
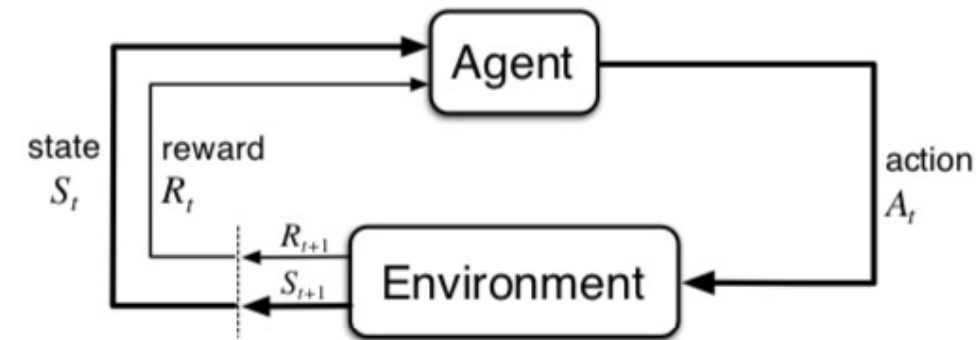
Can we improve the general capabilities of CPGs with deep reinforcement learning?

Amplitude:	$\ddot{r}_i = a \left(\frac{a}{4} (\mu_i - r_i) - \dot{r}_i \right)$
Phase:	$\dot{\theta}_i = \omega_i + \sum_j r_j w_{ij} \sin(\theta_j - \theta_i - \phi_{ij}) , \quad \omega_i = \begin{cases} \omega_{\text{swing}} & \text{if } 0 \leq \theta_i < \pi \\ \omega_{\text{stance}} & \text{if } \pi \leq \theta_i < 2\pi \end{cases}$
Output:	$x_{\text{foot},i} = -d_{\text{step}} r_i \cos(\theta_i)$ $z_{\text{foot},i} = \begin{cases} -h + g_c \sin(\theta_i) & \text{if } \sin(\theta_i) > 0 \\ -h + g_p \sin(\theta_i) & \text{otherwise} \end{cases}$



Training Control Policies with Deep Reinforcement Learning

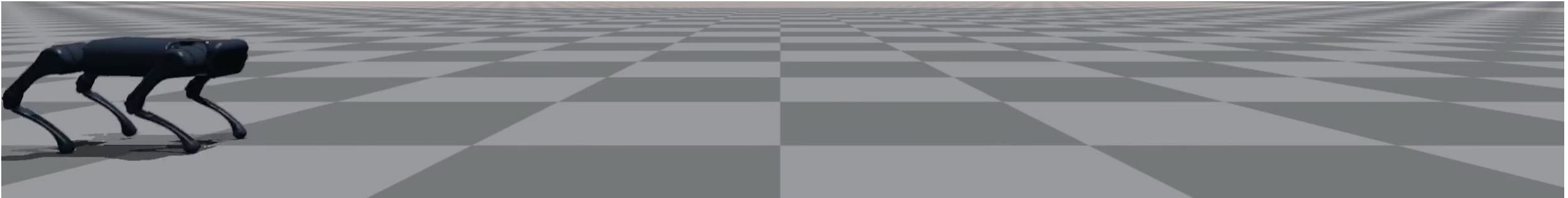
- Training in joint space with task-minimalistic reward functions gives rise to unnatural gaits
 - Reward function:
 - + Track base velocity command (v_x, v_y, ω_z)
 - Penalize other base velocities (v_z, ω_x, ω_y)
 - Penalize energy
 - Add terms for:
 - + Track base height h
 - + Reward foot air time



Training Control Policies with Deep Reinforcement Learning

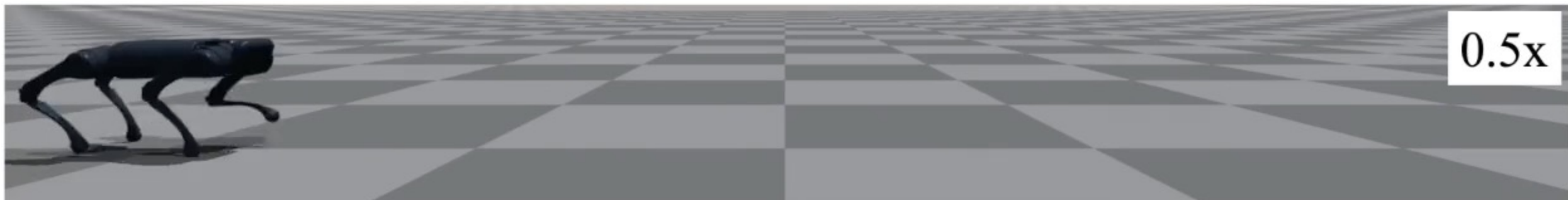
- Training in joint space with task-minimalistic reward functions gives rise to unnatural gaits
- With extensive reward shaping, more natural behaviors can emerge
 - Reward function:

	definition	weight
Linear velocity tracking	$\phi(\mathbf{v}_{b,xy}^* - \mathbf{v}_{b,xy})$	$1dt$
Angular velocity tracking	$\phi(\boldsymbol{\omega}_{b,z}^* - \boldsymbol{\omega}_{b,z})$	$0.5dt$
Linear velocity penalty	$-\mathbf{v}_{b,z}^2$	$4dt$
Angular velocity penalty	$- \boldsymbol{\omega}_{b,xy} ^2$	$0.05dt$
Joint motion	$- \ddot{\mathbf{q}}_j ^2 - \dot{\mathbf{q}}_j ^2$	$0.001dt$
Joint torques	$- \boldsymbol{\tau}_j ^2$	$0.00002dt$
Action rate	$- \dot{\mathbf{q}}_j^* ^2$	$0.25dt$
Collisions	$-n_{collision}$	$0.001dt$
Feet air time	$\sum_{f=0}^4 (\mathbf{t}_{air,f} - 0.5)$	$2dt$

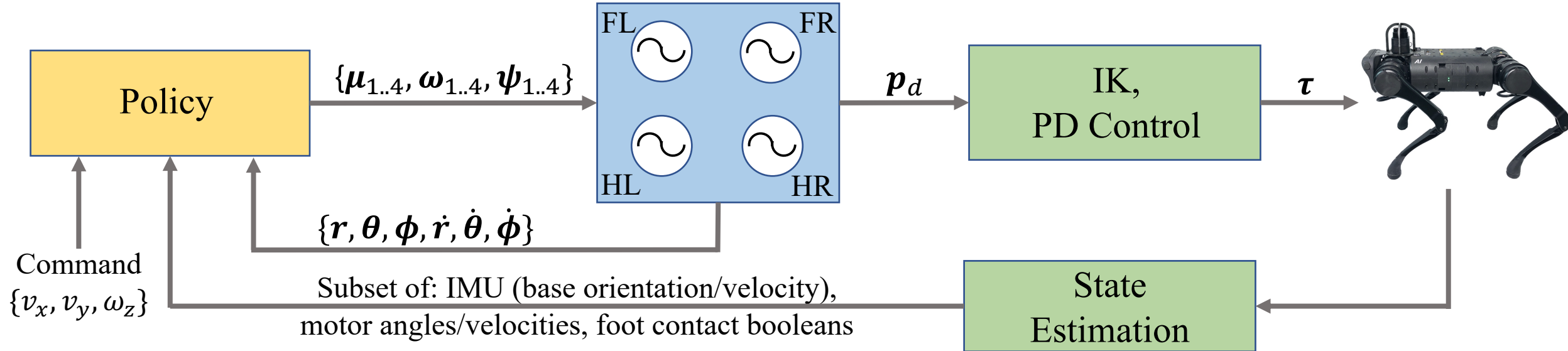


Training Control Policies with Deep Reinforcement Learning

- Training in joint space with task-minimalistic reward functions gives rise to unnatural gaits
- With extensive reward shaping, more natural behaviors can emerge
- However:
 - What has been learned? (interpretability?)
 - How should we weight and shape reward functions for this (or other) tasks?
 - Can we specify a desired swing foot ground clearance?
 - Can we draw any connections to biological systems?

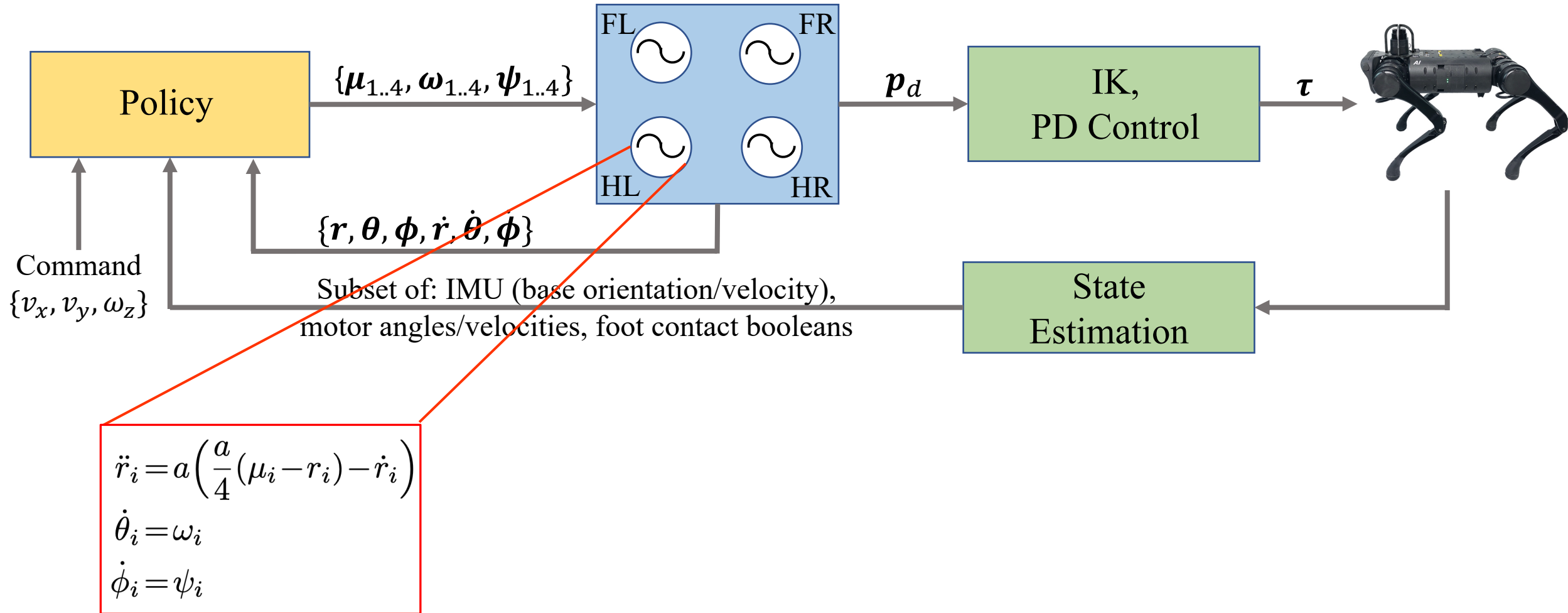


CPG-RL: Learning Central Pattern Generators for Quadruped Locomotion

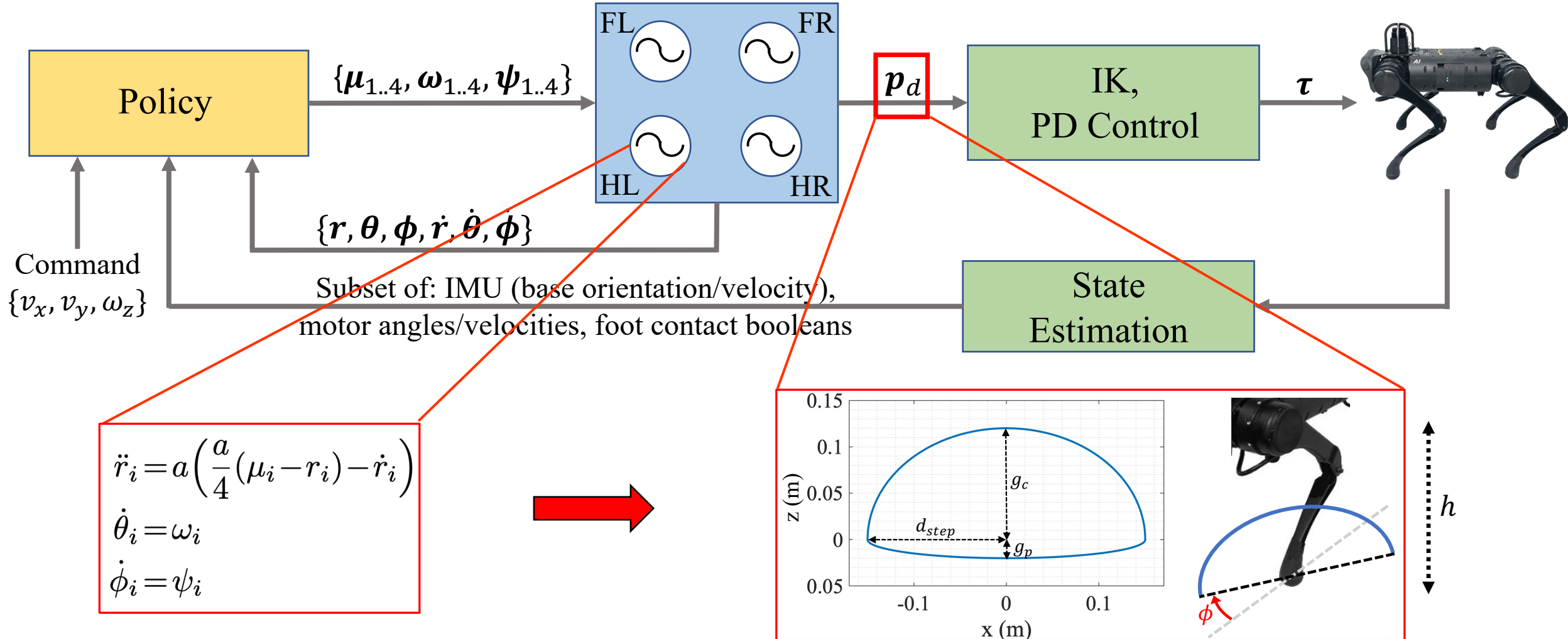


In this work, we propose to learn to modulate the neural oscillator intrinsic amplitude and frequency of each oscillator that together forms a Central Pattern Generator with deep reinforcement learning.

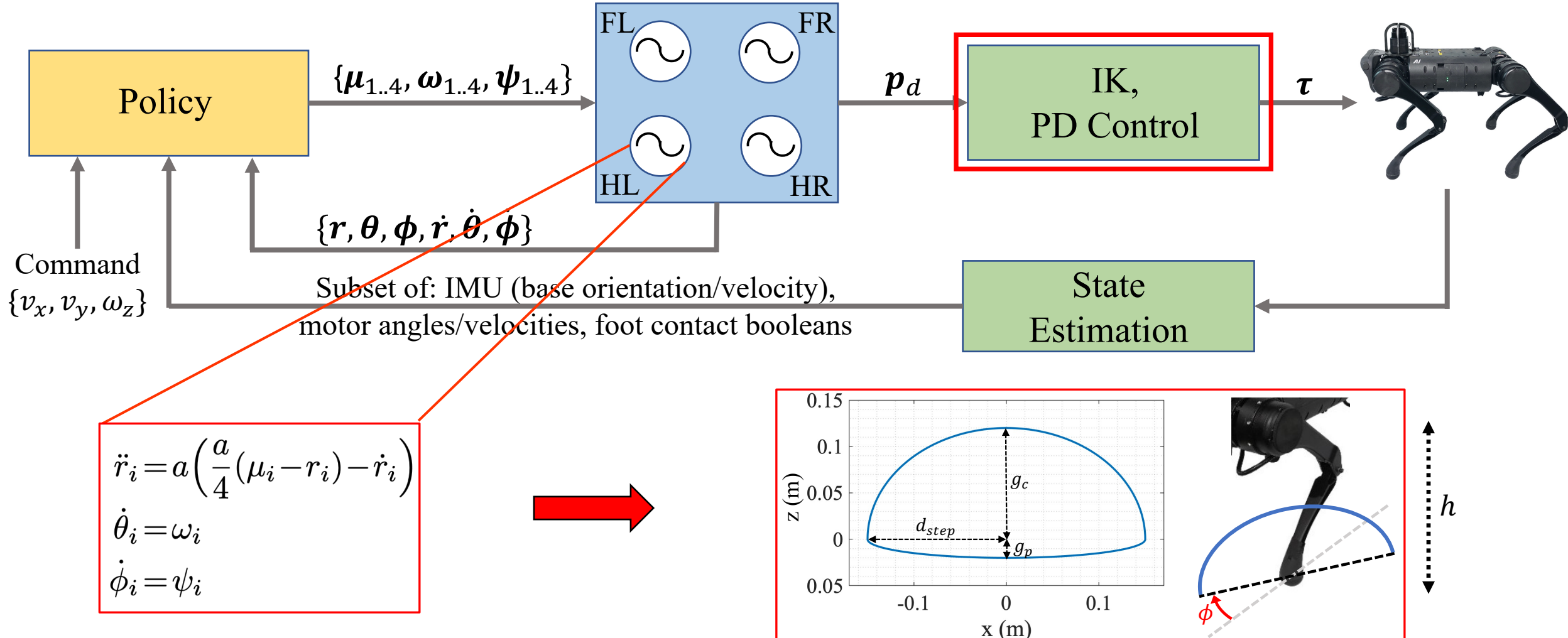
CPG-RL: Learning Central Pattern Generators for Quadruped Locomotion



CPG-RL: Learning Central Pattern Generators for Quadruped Locomotion



CPG-RL: Learning Central Pattern Generators for Quadruped Locomotion

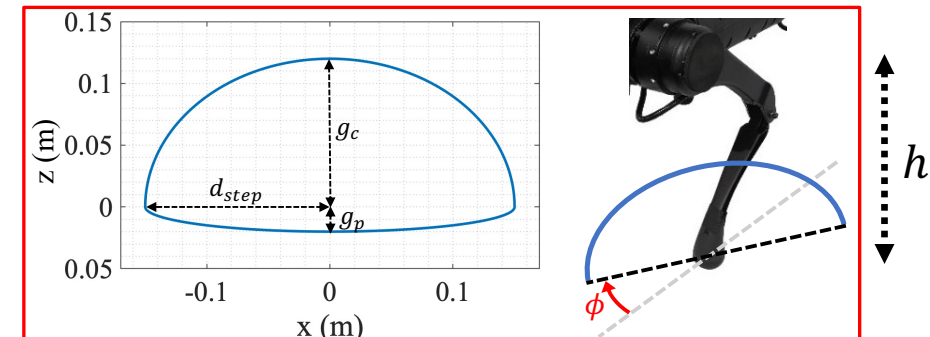
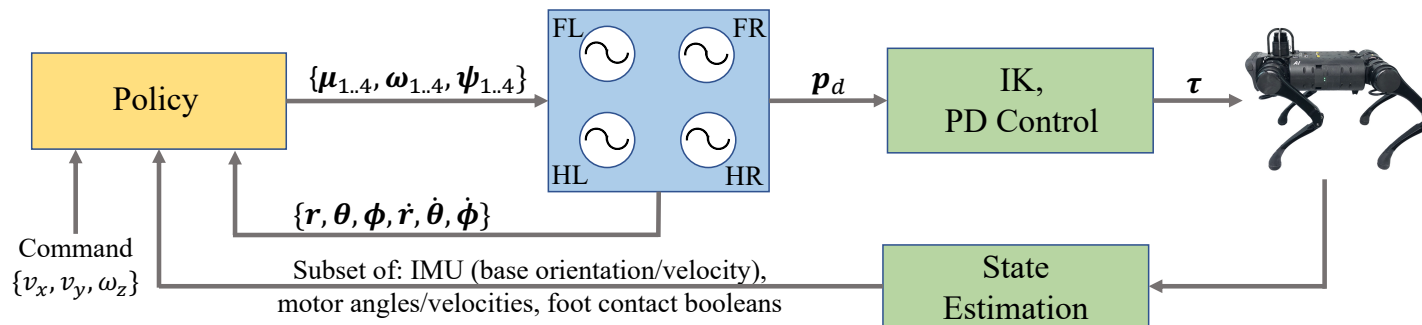
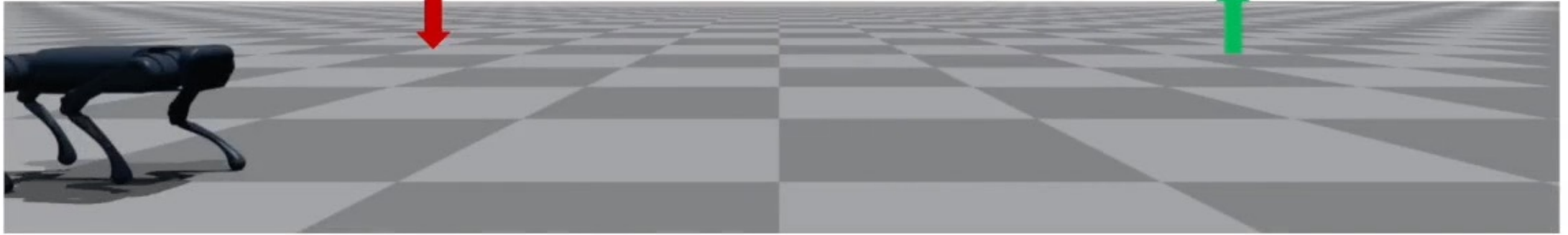


Body Height and Swing Foot Height can be adjusted on the fly

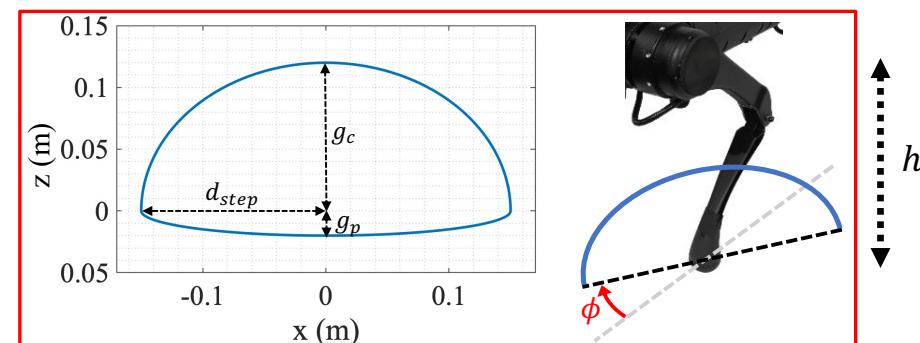
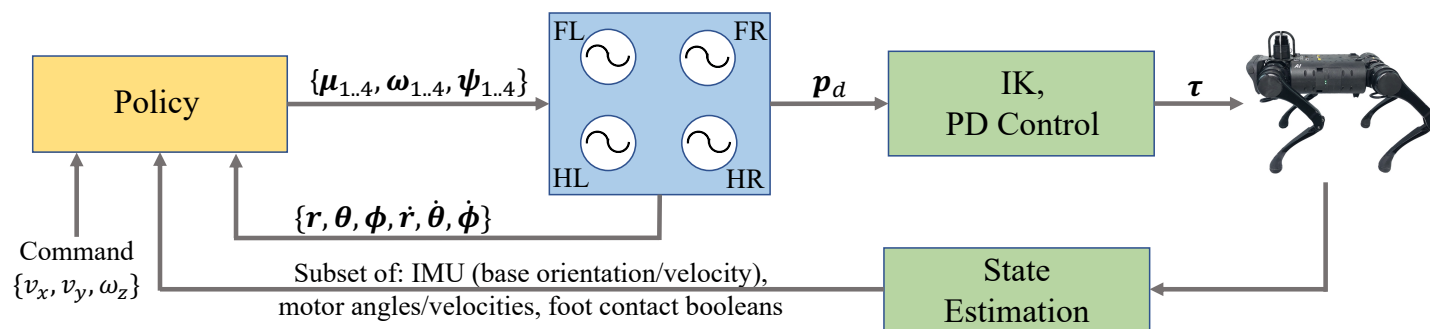
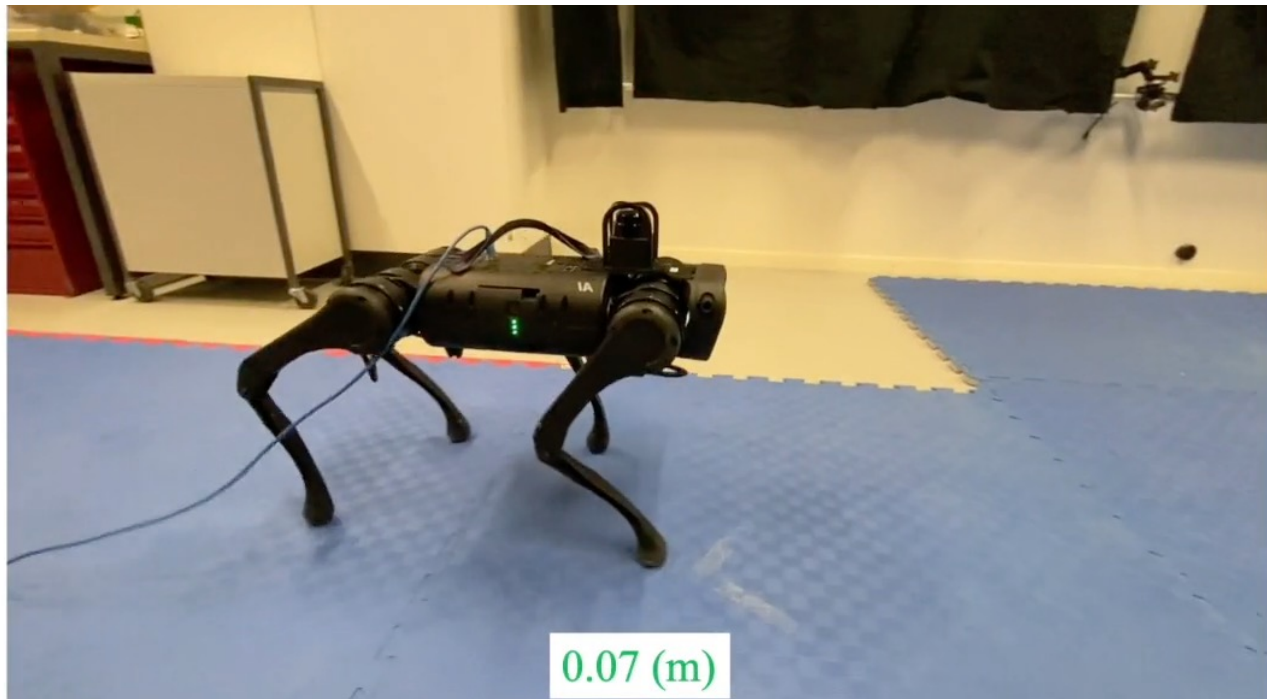
Body Height: 0.3 (m)

0.19 (m)

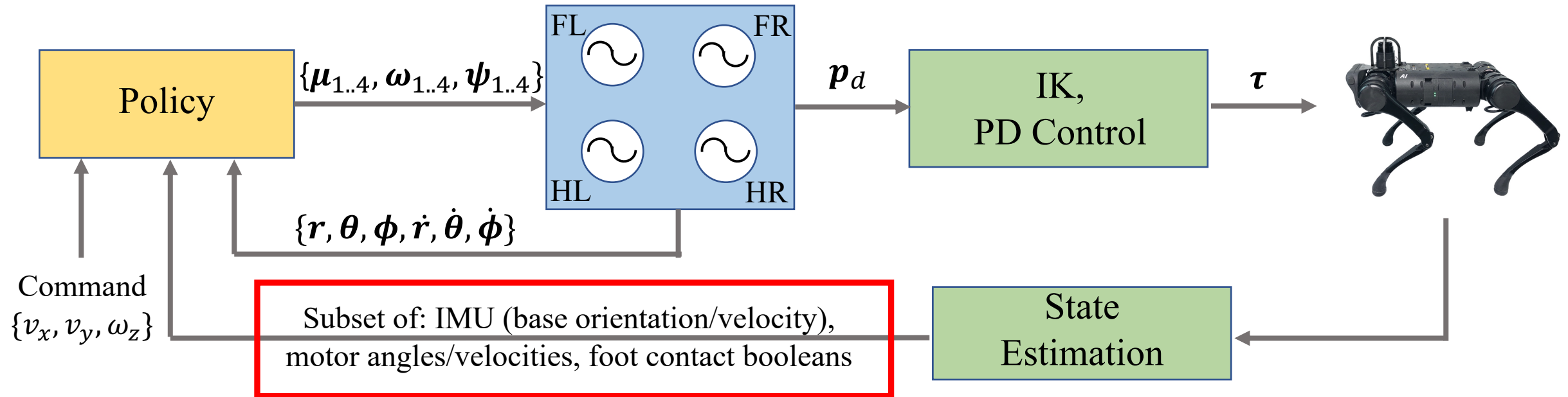
0.3 (m)



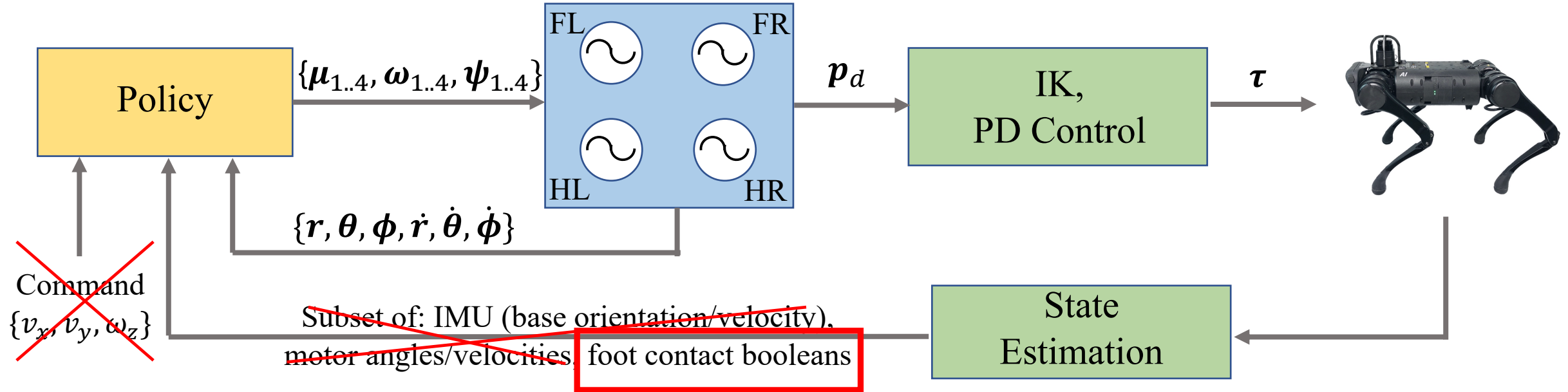
Body Height and Swing Foot Height can be adjusted on the fly



What sensory information should be in the observation space?



What sensory information should be in the observation space?

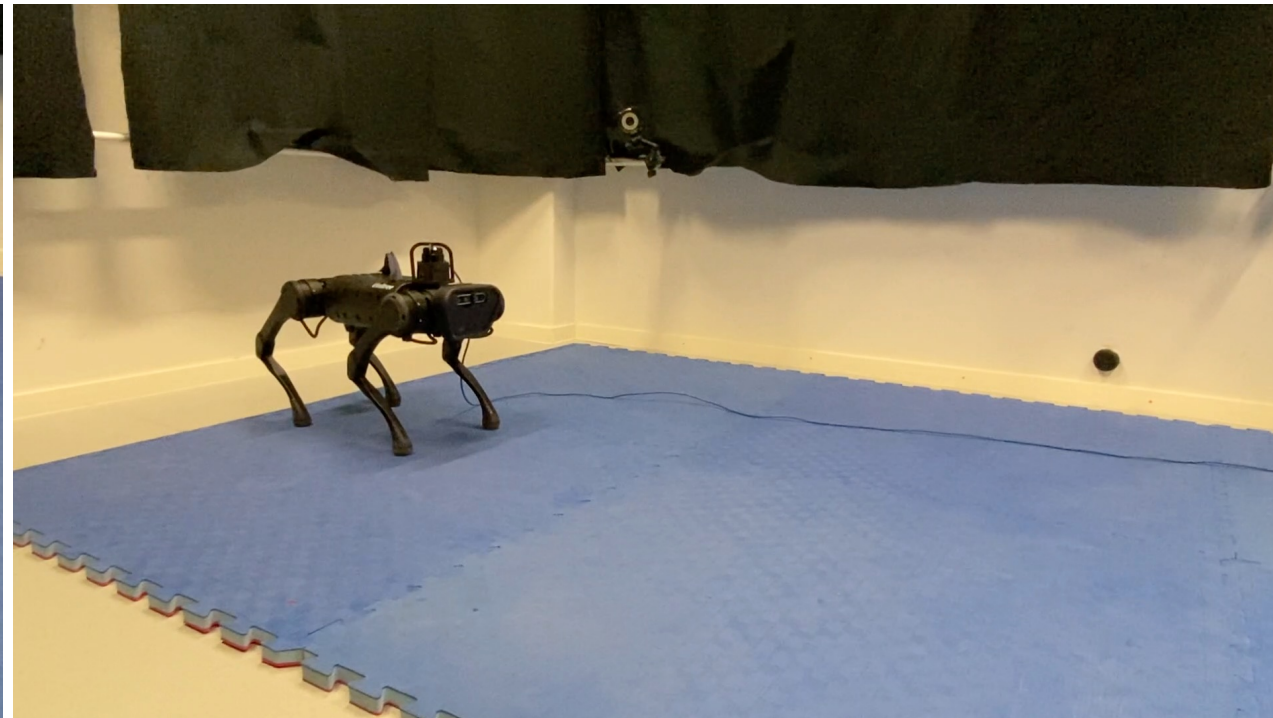
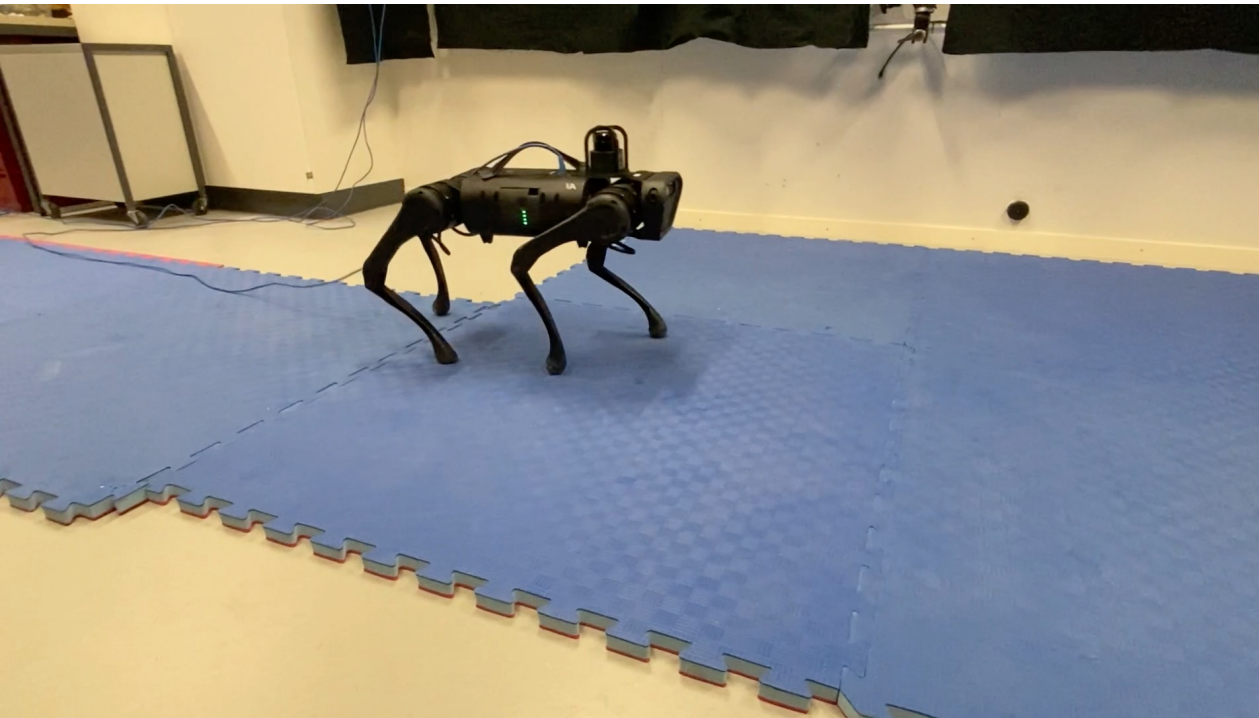


- Similar to the “Tegotae” feedback idea:

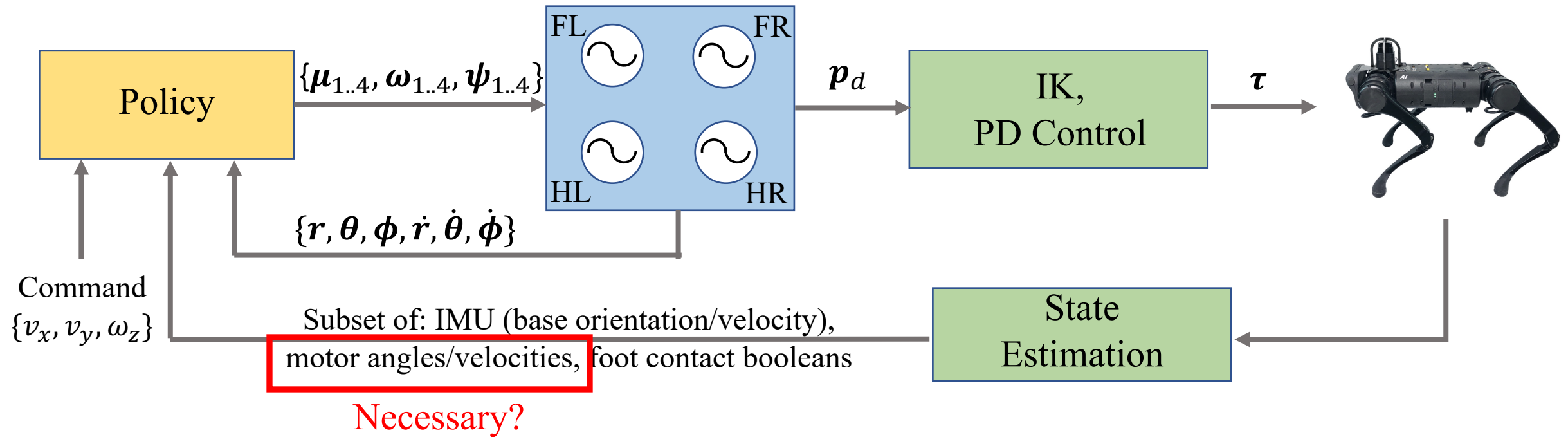
$$\dot{\theta}_i = \omega_i + \sum r_j w_{ij} \sin(\theta_j - \theta_i - \phi_{ij}) - \sigma N_i \cos \theta_i$$

Observation space: {Foot contact booleans, CPG states}

- No domain randomization or noise during training



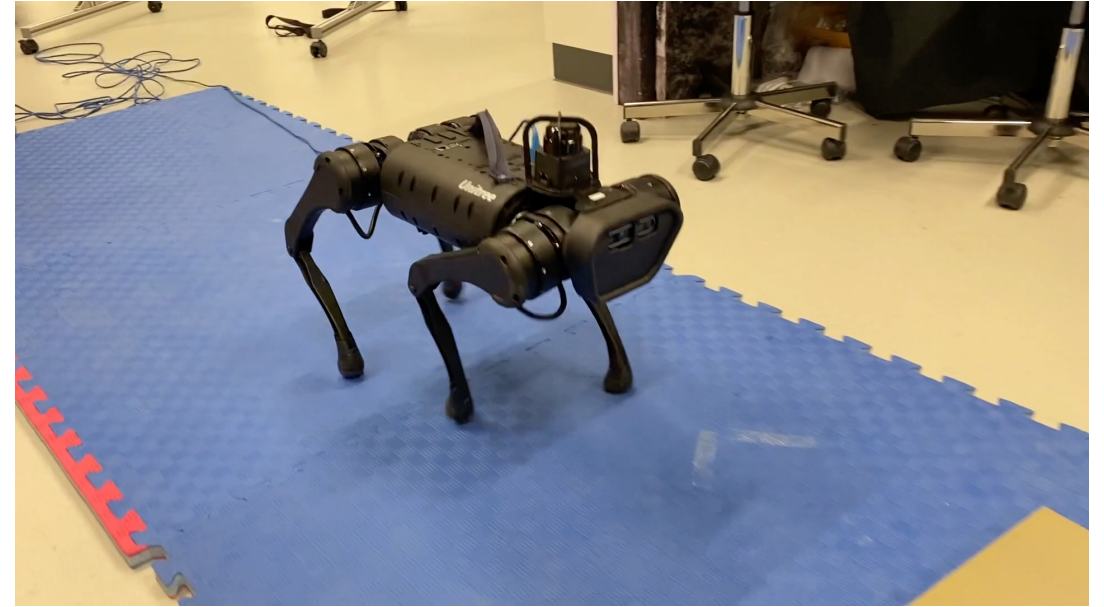
What sensory information should be in the observation space?



Full Obs. Space, $v_{b,x}^* = 0.3$ [m/s]



Medium Obs. Space, $v_{b,x}^* = 0.8$ [m/s]
(No joint states in obs. space)

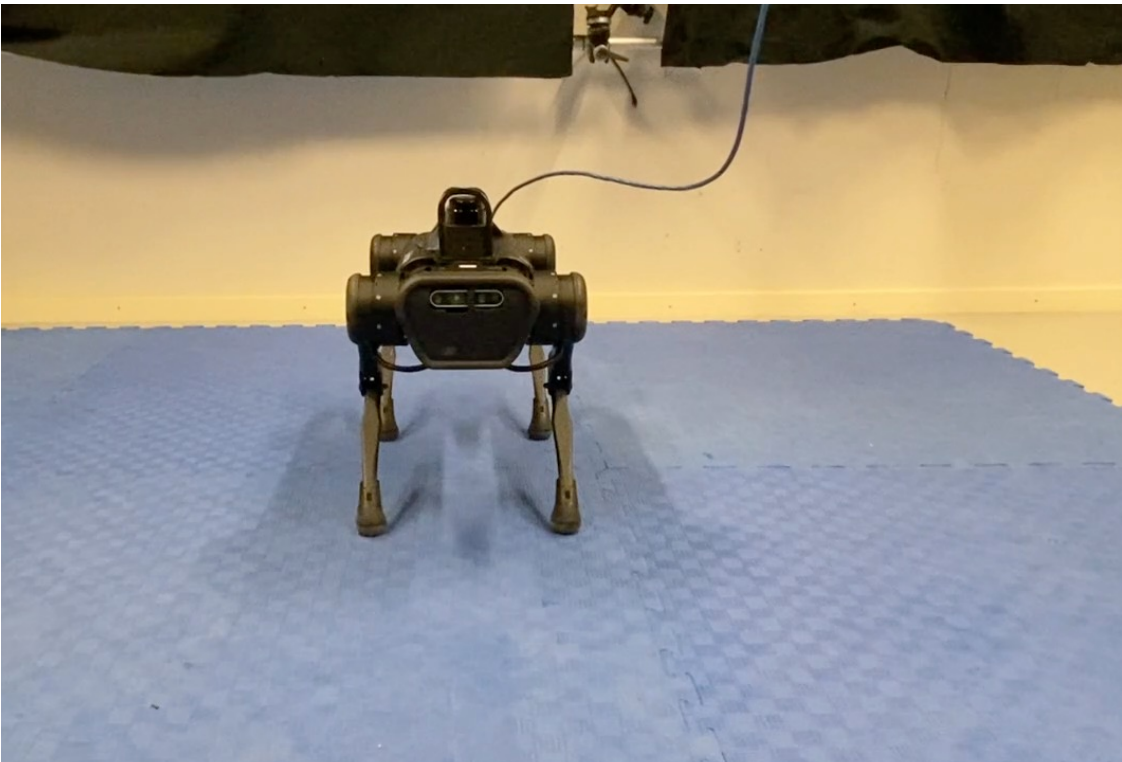


Dynamically Added Mass ($5+5+2.5+1.25=13.75$ kg)
Medium Observation Space, $v_{b,x}^* = 0.8$ [m/s]

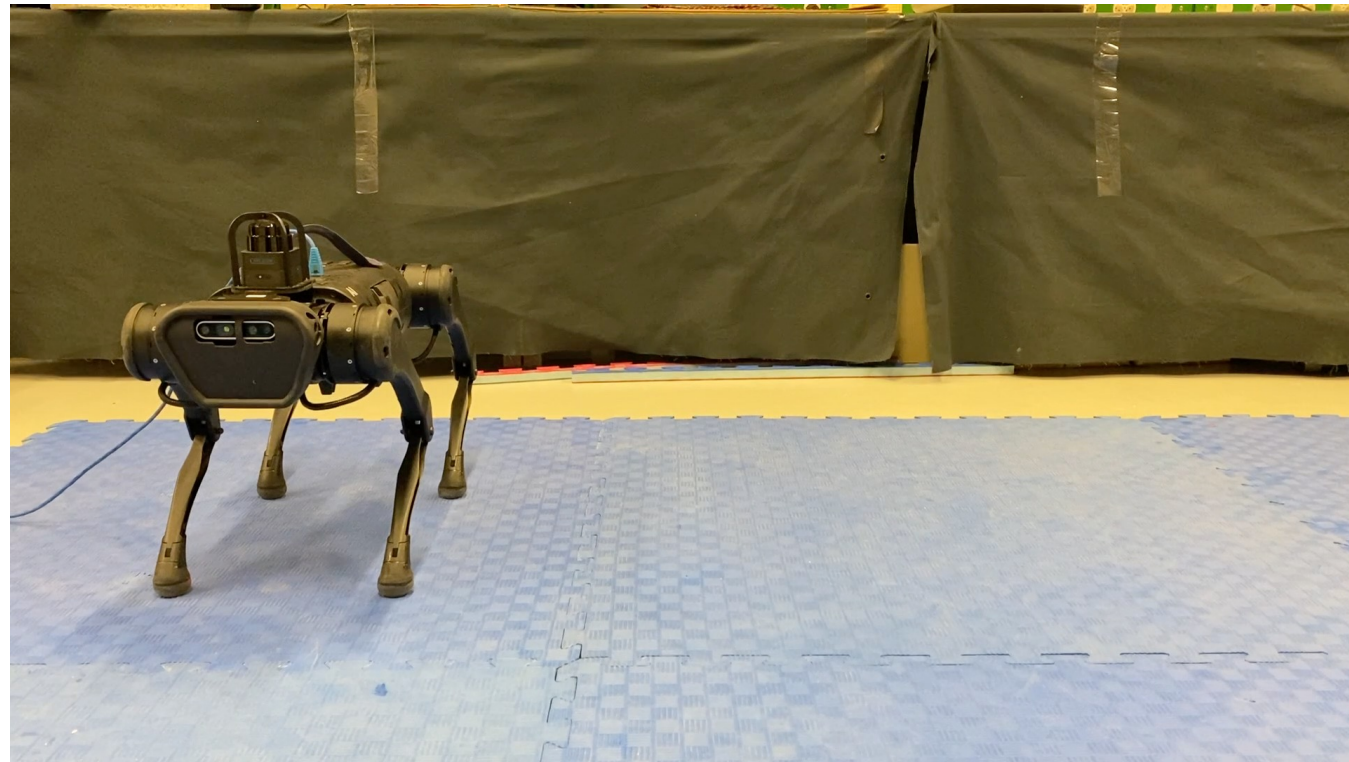


Omnidirectional Locomotion

Full Obs. Space, $\omega_{b,z}^* = 0.5$ [rad/s]

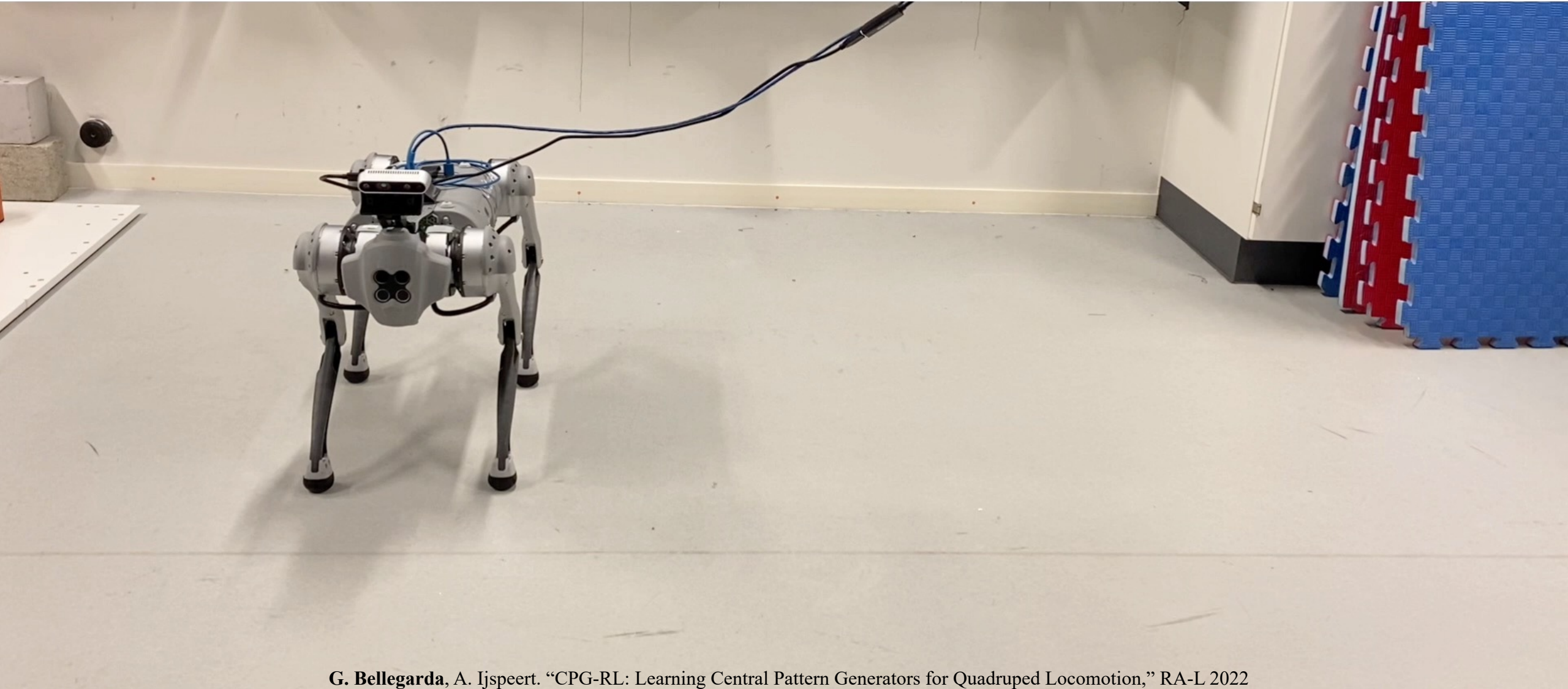


Medium Obs. Space, $v_{b,y}^* = 0.5$ [m/s]

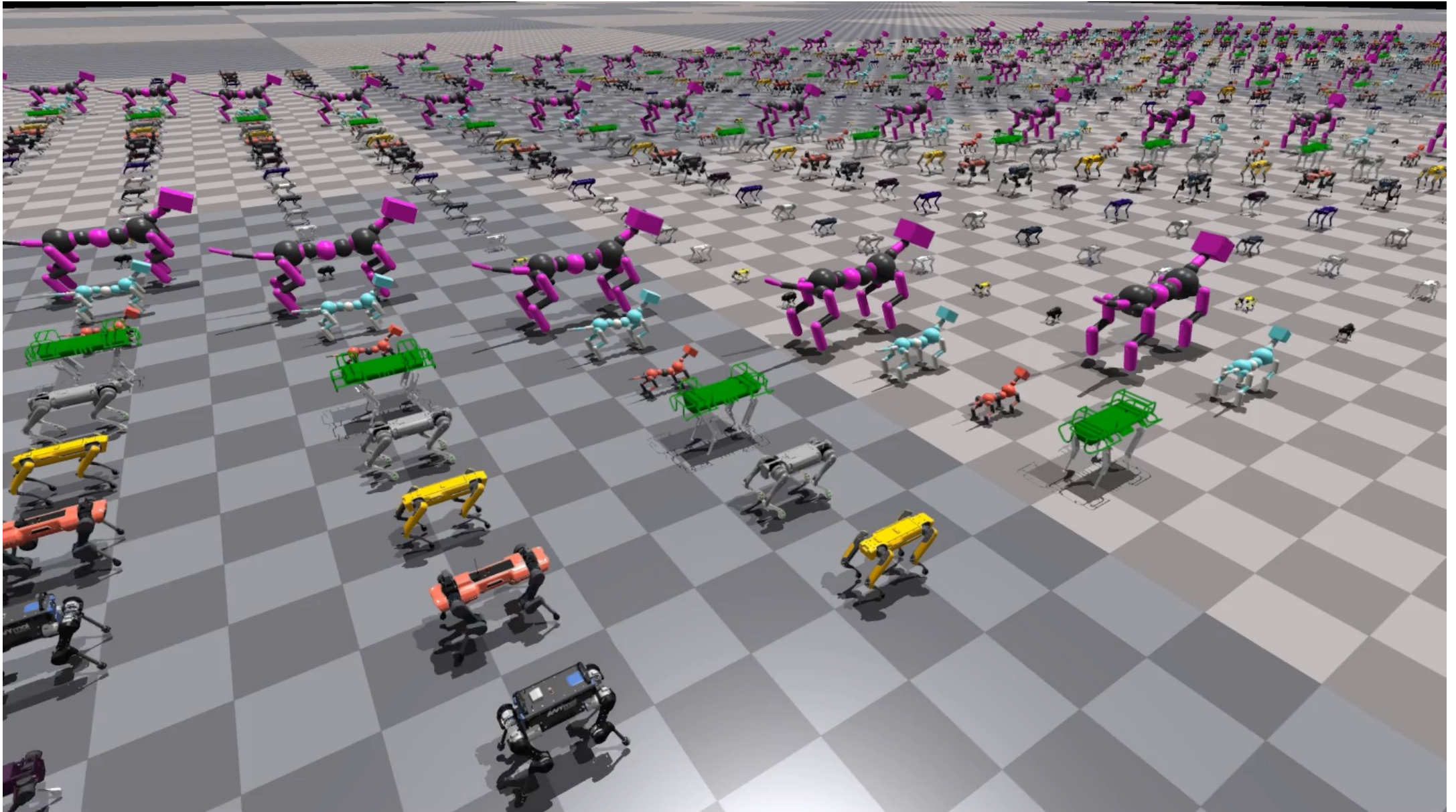


Omnidirectional Locomotion

- Command: $v_{b,y}^* = 0.4$ [m/s]

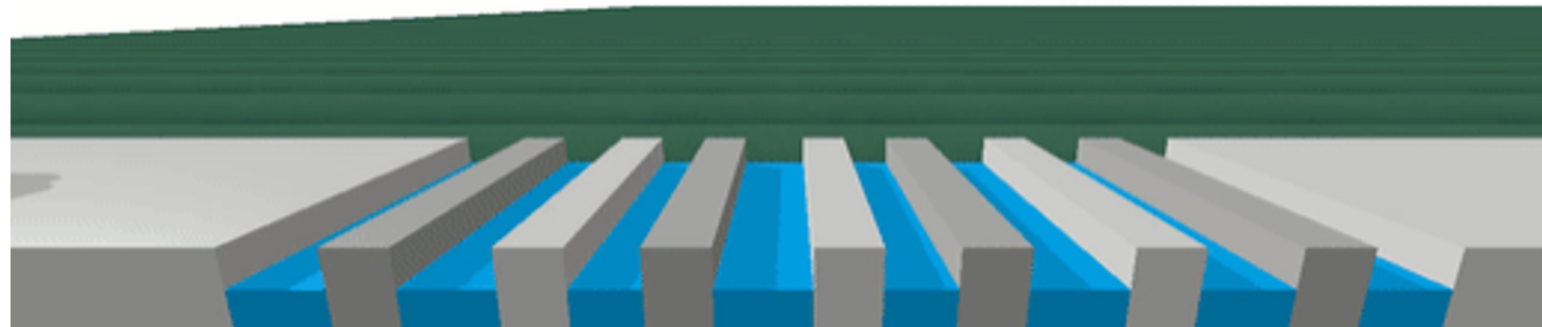
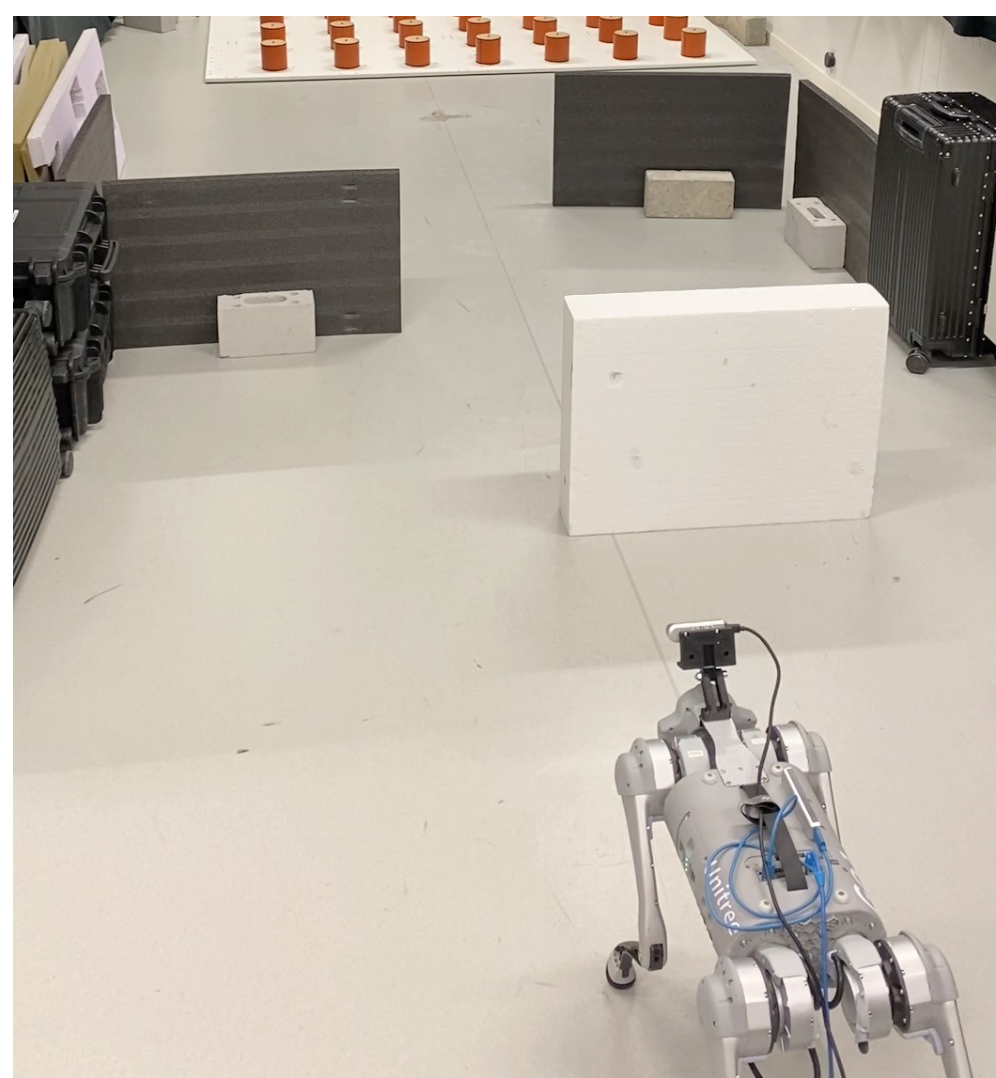


ManyQuadrupeds: A single locomotion policy capable of controlling diverse robots



M. Shafiee, G. Bellegarda, A. Ijspeert. "ManyQuadrupeds: Learning a Single Locomotion Policy for Diverse Quadruped Robots," ICRA 2024

Visual CPG-RL



G. Bellegarda, M. Shafiee, A. Ijspeert. “Visual CPG-RL: Learning Central Pattern Generators for Visually-Guided Quadruped Locomotion,” ICRA 2024
M. Shafiee, **G. Bellegarda**, A. Ijspeert. “Viability Leads to the Emergence of Gait Transitions in Learning Anticipatory Quadrupedal Locomotion Skills,” Nature Communications 2024
M. Shafiee, **G. Bellegarda**, A. Ijspeert. “Learning Anticipatory Quadrupedal Locomotion Based on Interactions of a Central Pattern Generator and Supraspinal Drive,” ICRA 2023

CPG-RL Summary

- CPG-RL: integrated abstract oscillators into the deep reinforcement learning framework to learn quadruped locomotion
- Online modulation of body height and swing foot height
- Robust sim-to-real transfer (115% nominal mass load, uneven terrain)
- Minimal proprioceptive sensing (only contact Booleans)
- Training without any dynamics randomization or noise
- Can learn a single policy for Many Quadrupeds!
- Vision in-the-loop for navigation and gap crossing → emergence of gait transitions

Outline

- I. Motivation and Goals
- II. Model-Predictive Control vs. Reinforcement Learning (same?)
- III. Model-based methods
 - I. Background on RoboSimian and designing skating motions
 - II. Trajectory optimization allowing wheel slip
- IV. Learning-based methods
 - I. Action space in reinforcement learning [RoboSimian, A1]
 - II. Augmenting motion planning with deep reinforcement learning [A1]
- V. Bio-inspired learning
- VI. Conclusion

Conclusion

- Presented several methods for agile robot locomotion:
 - Designed skating trajectories [RoboSimian]
 - 3- vs. 4-limb skating on flat vs. “rough” terrain
 - Trajectory optimization methods [RoboSimian, car]
 - Novel wheel model to exploit or avoid slipping, gaits
 - Deep learning (incorporating ideas from control)
 - Simple methods like forward/inverse kinematics can have a huge benefit for learning [RS, A1]
 - Augmented jumping TO with DRL for improved robustness [A1]
 - Bio-inspired learning
 - Oscillators in the loop greatly simplify and robustify the sim-to-real transfer [A1,Go1]
- Explored trade-offs relating to our goals of robustness, agility and efficiency

Possible Exam Questions

- How are model-based methods and learning-based methods similar? How are they different?
- When might you consider using a model-based controller over a learning-based controller, and vice-versa?
- Assume you need to design a locomotion controller with trajectory optimization. What would your cost function include? What kind of constraints do you need?
- Assume you need to train a locomotion controller with deep reinforcement learning. How will you structure the Markov Decision Process (i.e. observation space, action space, reward function)?
- What makes reinforcement learning challenging? What might you consider when applying reinforcement learning to a new problem?