

1. a) First of all, if d is square-free we must have $d \equiv 1, 2, 3 \pmod{4}$ since $d \equiv 0 \pmod{4}$ would imply that 2^2 divides d .

b) Now we can consider the two cases:

- (i) $d \equiv 2, 3 \pmod{4}$. The discriminant of the basis $(1, \sqrt{d})$ is

$$\left(\det \begin{pmatrix} 1 & \sqrt{d} \\ 1 & -\sqrt{d} \end{pmatrix} \right)^2 = 4d.$$

Let $\mathcal{B}$ be a $\mathbb{Z}$ -basis of $\mathcal{O}_K$, and let M be an integer matrix such that $\mathcal{B}M = (1, \sqrt{d})$. We have

$$\text{disc}(\mathcal{O}_K) = \frac{\text{disc}(1, \sqrt{d})}{\det(M)^2} = \frac{4d}{\det(M)^2}.$$

Since d is square-free the only possibilities are $|\det(M)| = 1$ and $|\det(M)| = 2$. In the latter case we would have $\text{disc}(\mathcal{O}_K) = d \equiv 2, 3 \pmod{4}$, which is impossible since, as we have shown on Sheet 8 in Exercise 2 that the discriminant of the ring of integers is always 0 or 1 mod 4. Therefore we must have $|\det(M)| = 1$, meaning that $(1, \sqrt{d})$ is a $\mathbb{Z}$ -basis of $\mathcal{O}_K$ and therefore $\mathcal{O}_K = \mathbb{Z}[\sqrt{d}]$.

- (ii) $d \equiv 1 \pmod{4}$. We observe that $\frac{1+\sqrt{d}}{2} \in \mathcal{O}_K$ since it is a root of the integer polynomial $p(x) = x^2 - x - \frac{d-1}{4}$. We leave the verification to the reader. The discriminant of the $\mathbb{Q}$ -basis $\left(1, \frac{1+\sqrt{d}}{2}\right)$ of K is

$$\left(\det \begin{pmatrix} 1 & \frac{1+\sqrt{d}}{2} \\ 1 & \frac{1-\sqrt{d}}{2} \end{pmatrix} \right)^2 = d.$$

Let $\mathcal{B}$ be a $\mathbb{Z}$ -basis of $\mathcal{O}_K$, and let M be an integer matrix such that $\mathcal{B}M = \left(1, \frac{1+\sqrt{d}}{2}\right)$. We have

$$\text{disc}(\mathcal{O}_K) = \frac{\text{disc}\left(1, \frac{1+\sqrt{d}}{2}\right)}{\det(M)^2} = \frac{d}{\det(M)^2}.$$

Since d is square-free the only possibility is $|\det(M)| = 1$, meaning that $\left(1, \frac{1+\sqrt{d}}{2}\right)$ is a $\mathbb{Z}$ -basis of $\mathcal{O}_K$ and therefore $\mathcal{O}_K = \mathbb{Z}\left[\frac{1+\sqrt{d}}{2}\right]$.

2. a) Let $K_1 = \mathbb{Q}(\sqrt{-m})$ and $K_2 = \mathbb{Q}(\sqrt{-n})$. The extensions $K_1/\mathbb{Q}$ and $K_2/\mathbb{Q}$ are of degree 2 and hence Galois, with Galois group isomorphic to $\mathbb{Z}/2\mathbb{Z}$. The composite field K_1K_2 is K , and the intersection $K_1 \cap K_2$ is $\mathbb{Q}$ since m, n are distinct and squarefree. Therefore the extension $K/\mathbb{Q}$ is Galois of degree 4, and we have

$$\text{Gal}(K/\mathbb{Q}) \cong \text{Gal}(K_1/\mathbb{Q}) \times \text{Gal}(K_2/\mathbb{Q}) \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}.$$

- b) Proper subfields of K are in one-to-one correspondence with non-trivial subgroups of the Galois group. Since $\text{Gal}(K/\mathbb{Q}) \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$, it has three proper non-trivial subgroups, all isomorphic to $\mathbb{Z}/2\mathbb{Z}$ and generated by the pairs

$(1, 0), (0, 1), (1, 1)$ in $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$. Therefore there are exactly three subfields L that satisfy $\mathbb{Q} \subset L \subset K$ with proper inclusions. We can find them explicitly: they are $\mathbb{Q}(\sqrt{-m}), \mathbb{Q}(\sqrt{-n})$ and $\mathbb{Q}(\sqrt{mn})$. They are all non-isomorphic, and the last one is the only real subfield.

- c) We recall that $\mathcal{O}_L$ is the set of elements in L that are integral over $\mathbb{Z}$, and $\mathcal{O}_K$ is the set of elements in K that are integral over $\mathbb{Z}$. Since $L \subseteq K$, it is immediate that $\mathcal{O}_L = L \cap \mathcal{O}_K$. Moreover, if $x \in \mathcal{O}_L$ has an inverse in $\mathcal{O}_L$ then this inverse is also in $\mathcal{O}_K$, hence $\mathcal{O}_L^\times \subseteq L \cap \mathcal{O}_K^\times$. Conversely, if $x \in L \cap \mathcal{O}_K^\times$ has an inverse in $\mathcal{O}_K$, since L is a field this inverse must lie in L and, since elements of $\mathcal{O}_K$ are integral, hence in $\mathcal{O}_L$, providing the inclusion $L \cap \mathcal{O}_K^\times \subseteq \mathcal{O}_L^\times$.
- d) By Dirichlet's unit theorem, an element in $\mathcal{O}_K^\times$ is a root of unity if and only if it is in the kernel of the logarithmic embedding. Therefore, for $\varepsilon \in \mathcal{O}_K^\times$, we have $\frac{\varepsilon}{\sigma(\varepsilon)} \in \mu_K$ if and only if

$$\forall \tau \in \text{Hom}_{\mathbb{Q}}(K, \mathbb{C}) \quad \left| \tau \left(\frac{\varepsilon}{\sigma(\varepsilon)} \right) \right| = 1 .$$

This is true if and only if $|\tau(\varepsilon)| = |\tau(\sigma(\varepsilon))|$ for all $\tau \in \text{Hom}_{\mathbb{Q}}(K, \mathbb{C})$. Since $K/\mathbb{Q}$ is Galois we have $\text{Hom}_{\mathbb{Q}}(K, \mathbb{C}) = \text{Gal}(K/\mathbb{Q})$ and, since the Galois group is commutative, the condition is equivalent to $|\tau(\varepsilon)| = |\sigma(\tau(\varepsilon))| \forall \tau \in \text{Gal}(K/\mathbb{Q})$. Now one shows that the assumptions imply that σ must send 1 to 1, $\sqrt{mn}$ to $\sqrt{mn}$, $\sqrt{-m}$ to $-\sqrt{-m}$, and $\sqrt{-n}$ to $-\sqrt{-n}$. One checks that this implies that σ agrees with the restriction to K of the conjugation in $\mathbb{C}$ and therefore preserves the absolute value. We leave the details and the remaining parts to the reader.

- e) Let $\mu_K^2 = \{\zeta \in \mathcal{O}_K^\times : \exists \xi \in \mu_K \zeta = \xi^2\}$. Then μ_K^2 is the image of the squaring homomorphism $\mu_K \rightarrow \mu_K, \zeta \mapsto \zeta^2$, hence a subgroup of μ_K . We denote by $\Phi: \mathcal{O}_K^\times \rightarrow \mu_K/\mu_K^2$ the composition of ϕ with the canonical projection. Then $\ker \Phi = \mu_K \mathcal{O}_L^\times$. We sketch a proof of $\ker \Phi \subseteq \mu_K \mathcal{O}_K^\times$ and leave the opposite direction to you. Suppose that $\varepsilon \in \ker \Phi$ and write $\varepsilon = r\zeta$ with $r \in (0, \infty)$ and $\zeta \in \mathbb{S}^1$. One checks that $\psi(\varepsilon) = \zeta^2$. Since $\zeta^2 \in \mu_K^2$. One checks that this implies $\zeta \in \mu_K$ and, hence, $r \in \mathcal{O}_K^\times \cap L_{\mathbb{R}} = \mathcal{O}_{L_{\mathbb{R}}}^\times$.

Now one uses the isomorphism theorems to deduce that

$$[\mathcal{O}_K^\times : \mu_K \mathcal{O}_L^\times] \leq |\mu_K/\mu_K^2|$$

and, therefore, it remains to show that $[\mu_K : \mu_K^2] \leq 2$. Then one shows that μ_K is cyclic and, using the classification of finite cyclic groups, deduces that μ_K^2 has index one in μ_K if N is odd and index two if N is even.

3. a) Note that for any $x, y \in K^\times$ totally positive, the product xy is totally positive. This implies that the totally positive elements in $K^\times$ form a subgroup of $K^\times$, which then implies that $\mathcal{P}_K^+$ is a subgroup of $\mathcal{P}_K$ and, hence, of $\mathcal{F}_K$. We skip the details of this bit of the proof. It remains to prove finiteness of the *narrow class group* $\text{Cl}^+(K)$.

We let $q: \text{Cl}^+(K) \rightarrow \text{Cl}(K)$ denote the canonical projection, which is well-defined since $\mathcal{P}_K^+ \subseteq \mathcal{P}_K$. Let $H = \ker q$, $\text{Cl}(K) \cong \text{Cl}^+(K)/H$ since q is surjective and, since $\text{Cl}(K)$ is finite, it suffices to show that H is finite.

Our goal is to express H as a quotient of finite groups. Let $\psi: K^\times \rightarrow \text{Cl}^+(K)$ denote the map $x \mapsto (x\mathcal{O}_K)\mathcal{P}_K^+$. Then $q \circ \psi$ maps $K^\times$ to $\mathcal{P}_K^+$, i.e., $\psi(K^\times) \subseteq H$. On the other hand, let $\mathfrak{f} \triangleleft K$ be a fractional $\mathcal{O}_K$ -ideal such that $\mathfrak{f}\mathcal{P}_K^+ \in H$. Since, by assumption, $\mathfrak{f}\mathcal{P}_K = \mathcal{P}_K$, i.e., since $\mathfrak{f}\mathcal{P}_K^+ \in H$, we know that $\mathfrak{f}$ is a principal

fractional ideal, i.e., there exists $x \in K^\times$ such that $\mathfrak{f} = x\mathcal{O}_K$. In particular, we find that $\psi(K^\times) = H$.

Now denote by K^+ the totally positive elements in K and note that for any $x \in K^\times$ and for any $y \in K^+$ we have that

$$\psi(xy) = (xy\mathcal{O}_K)\mathcal{P}_{K^+} = (x\mathcal{O}_K)(y\mathcal{O}_K)\mathcal{P}_K^+ = (x\mathcal{O}_K)\mathcal{P}_K^+ = \psi(x),$$

that is, ψ factors as

$$\begin{array}{ccc} K^\times & \xrightarrow{\psi} & H \\ \downarrow & \searrow \Psi & \\ K^\times/K^+ & & \end{array}$$

Next one show that $\ker \Psi = \mathcal{O}_K^\times K^+$ using that the generator of a principal fractional ideal is unique up to multiplication by $\mathcal{O}_K^\times$. In the next step one shows that $\mathcal{O}_K^\times K^+/K^+ \cong \mathcal{O}_K^\times/\mathcal{O}_{K^+}^\times$, where the main step involves checking that if $\varepsilon_1, \varepsilon_2 \in \mathcal{O}_K^\times$ satisfy $\varepsilon_1 K^+ = \varepsilon_2 K^+$, then $\varepsilon_1 \mathcal{O}_{K^+}^\times = \varepsilon_2 \mathcal{O}_{K^+}^\times$. Using the appropriate isomorphism theorem, it follows that

$$|H| = \frac{[K^\times : K^+]}{[\mathcal{O}_K^\times : \mathcal{O}_{K^+}^\times]}.$$

Hence, in the light of 3b, it remains to show that K^+ has finite index in $K^\times$. To this end, we recall that $\sigma_\infty(K)$ is dense in $\mathbb{R}^s$, thus one can show that for all $1 \leq i \leq d$ there exists an element $x_i \in K^\times$ such that

$$\forall 1 \leq j \leq d \quad \sigma_j(x_i) < 0 \iff j = i.$$

In particular, given $I \subseteq \{1, \dots, d\}$, let

$$y_I = \prod_{i \in I} x_i^{-1},$$

then

$$K^\times \subseteq \bigcup_{I \subseteq \{1, \dots, d\}} y_I K^+$$

and, therefore, $[K^\times : K^+] \leq 2^d$.

The details are left to the reader.

- b) Let $\varphi: \mathcal{O}_K^\times \rightarrow \mathcal{O}_K^\times$ denote the squaring homomorphism, i.e., for all $\varepsilon \in \mathcal{O}_K^\times$ we have that

$$\varphi(\varepsilon) = \varepsilon^2.$$

Then

$$\text{Log}_\infty(\text{im} \varphi) = \{\text{Log}_\infty(\varepsilon^2) : \varepsilon \in \mathcal{O}_K^\times\} = 2\text{Log}_\infty(\mathcal{O}_K^\times)$$

and, hence, by Dirichlet's unit theorem $\text{Log}_\infty(\text{im} \varphi) \subseteq \mathbb{Z}^{r-1}$. In particular, we find that

$$\mathbb{Z}^{r-1} \cong \text{Log}_\infty(\text{im} \varphi) \subseteq \text{Log}_\infty(\mathcal{O}_{K^+}^\times) \subseteq \text{Log}_\infty(\mathcal{O}_K^\times) \cong \mathbb{Z}^{r-1};$$

therefore proving that $\text{Log}_\infty(\mathcal{O}_{K^+}^\times) \cong \mathbb{Z}^{r-1}$ by the structure theory for $\mathbb{Z}$ -modules. In particular, it suffices to show that $\ker \text{Log}_\infty|_{\mathcal{O}_{K^+}^\times}$ is trivial. Since

$$\ker \text{Log}_\infty|_{\mathcal{O}_{K^+}^\times} = \mathcal{O}_{K^+}^\times \cap \ker \text{Log}_\infty|_{\mathcal{O}_K^\times} = \mathcal{O}_{K^+}^\times \cap \mu_K,$$

we just have to show that 1 is the only totally positive root of unity. But, in fact, $\{\pm 1\}$ are the only real roots of unity and, since every relevant embedding is $\mathbb{Q}$ -linear, 1 is the only totally positive real root of unity.

c) Let

$$E = (-\infty, 0)^\Sigma \times (0, \infty)^{\text{Hom}_{\mathbb{Q}}(K, \mathbb{R}) \setminus \Sigma}.$$

Our goal is to show that

$$\emptyset \neq \text{Log}_\infty(\mathcal{O}_{K+}^\times) \cap E.$$

We denote by $\mathbf{1} \in \mathbb{R}^d$ the vector with constant coordinate equal to 1 and let $H = \mathbf{1}^\perp$. We denote by $\|\cdot\|$ the norm induced by the standard inner product on $\mathbb{R}^d$. Given a subspace W of $\mathbb{R}^d$, a vector $\mathbf{w} \in W$, and a radius $r > 0$, we denote

$$B_r^W(\mathbf{w}) = \{\mathbf{w}' \in W : \|\mathbf{w} - \mathbf{w}'\| < r\},$$

i.e., $B_r^W(\mathbf{w})$ is the ball of radius r inside W around $\mathbf{w}$, where the metric is the one induced by the restriction of the inner product to W . Given $\mathbf{v} \in \mathbb{R}^d$, we denote

$$B_r(\mathbf{v}) = B_r^{\mathbb{R}^d}(\mathbf{v}).$$

We note that $B_r^W(\mathbf{w}) = B_r(\mathbf{w}) \cap W$.

Claim 1. For every $r > 0$ there exists $\mathbf{v} \in H$ such that

$$B_r^H(\mathbf{v}) \subseteq E.$$

Proof of Claim 1. Since $B_r^H(\mathbf{v}) = B_r(\mathbf{v}) \cap H$, it suffices to show that there exists $\mathbf{v} \in H$ such that $B_r(\mathbf{v}) \subseteq E$. In what follows, we denote by $\mathbf{I}_\Sigma \in \mathbb{R}^d = \mathbb{R}^{\text{Hom}_{\mathbb{Q}}(K, \mathbb{R})}$ the vector

$$\forall \sigma \in \text{Hom}_{\mathbb{Q}}(K, \mathbb{R}) \quad \mathbf{I}_\Sigma(\sigma) = \begin{cases} -|\Sigma|^{-1} & \sigma \in \Sigma, \\ |\text{Hom}_{\mathbb{Q}}(K, \mathbb{R}) \setminus \Sigma|^{-1} & \sigma \notin \Sigma. \end{cases}$$

Since by assumption $\Sigma \notin \{\emptyset, \text{Hom}_{\mathbb{Q}}(K, \mathbb{R})\}$, the vector $\mathbf{I}_\Sigma$ is well-defined, contained in E , and

$$\sum_{\sigma \in \text{Hom}_{\mathbb{Q}}(K, \mathbb{R})} \mathbf{I}_\Sigma(\sigma) = -\frac{1}{|\Sigma|} \sum_{\sigma \in \Sigma} 1 + \frac{1}{|\text{Hom}_{\mathbb{Q}}(K, \mathbb{R}) \setminus \Sigma|} \sum_{\sigma \in \text{Hom}_{\mathbb{Q}}(K, \mathbb{R}) \setminus \Sigma} 1 = 0$$

implies that $\mathbf{I}_\Sigma \in H$. We denote $M = \max\{|\Sigma|, |\text{Hom}_{\mathbb{Q}}(K, \mathbb{R}) \setminus \Sigma|\}$.

Let now $r > 0$ arbitrary and set $\mathbf{v} = 2rM\mathbf{I}_\Sigma$, then $\mathbf{v} \in H$ since H is a vector space. We leave it to you to check that $B_r(\mathbf{v}) \subseteq E$.

Now recall that $\text{Log}_\infty(\mathcal{O}_K^\times)$ is a lattice in H and, hence, so is $\text{Log}_\infty(\mathcal{O}_{K+}^\times)$. Fix a $\mathbb{Z}$ -basis $\mathcal{B} = (\mathbf{v}_1, \dots, \mathbf{v}_{d-1}) \in H^{d-1}$ of $\text{Log}_\infty(\mathcal{O}_{K+}^\times)$. We denote by $\|\cdot\|_{\mathcal{B}}$ the sup-norm on H defined with respect to $\mathcal{B}$ and we recall that. Given $r > 0$ and $\mathbf{v} \in H$, let $B_r^{\mathcal{B}}(\mathbf{v})$ denote the r -ball around $\mathbf{v}$ with respect to the metric induced by $\|\cdot\|_{\mathcal{B}}$. Recall that

$$\mathcal{P} = \left\{ \sum_{i=1}^{d-1} t_i \mathbf{v}_i : \forall 1 \leq i < d \quad -\frac{1}{2} \leq t_i < \frac{1}{2} \right\}$$

is a fundamental domain for $\text{Log}_\infty(\mathcal{O}_{K+}^\times) \curvearrowright H$ and note that

$$B_{1/2}^{\mathcal{B}}(\mathbf{0}) \subseteq \mathcal{P} \subseteq \overline{B_{1/2}^{\mathcal{B}}(\mathbf{0})}.$$

Claim 2. For every $\mathbf{v} \in H$, the set $B_1^{\mathcal{B}}(\mathbf{v}) = B_1^{\mathcal{B}}(\mathbf{0}) + 0$ intersects $\text{Log}_\infty(\mathcal{O}_{K+}^\times)$ non-trivially.

Proof of Claim 2. Since $\mathcal{P}$ is a fundamental domain, there exists $\ell \in \text{Log}_\infty(\mathcal{O}_{K+}^\times)$ such that $\mathbf{v} \in \ell + \mathcal{P}$. One needs to show that $\|\mathbf{v} - \ell\|_{\mathcal{B}} < 1$. The details are left to you.

Now one uses the equivalence of norms on H to choose $r^* > 0$ such that for all $\mathbf{v} \in H$ we have

$$B_1^{\mathcal{B}}(\mathbf{v}) \subseteq B_{r^*}^H(\mathbf{v}).$$

Using Claim 1, there is $\mathbf{v} \in H$ such that $B_{r^*}^H(\mathbf{v}) \subseteq E$, hence $B_1^{\mathcal{B}}(\mathbf{v}) \subseteq E$ and, as of Claim 2, $\text{Log}_\infty(\mathcal{O}_{K+}^\times)$ intersects E non-trivially, which completes the proof. The details are left to the reader.

4. a) We prove that

$$\sum_{y < n \leq x} a_n \psi(n) = A(x)\psi(x) - A(y)\psi(y) - \int_y^x A(\xi)\psi'(\xi)d\xi.$$

Given $\alpha \in \mathbb{R}$, let $[\alpha]$ denote the largest integer bounding α from below. Note that we can assume without loss of generality that $[y] + 1 \leq [x]$, since A is constant on $[n, n+1)$ for $n \in \mathbb{Z}$.

We have

$$\begin{aligned} \sum_{y < n \leq x} a_n \psi(n) &= \sum_{y < n \leq [x]} (A(n) - A(n-1))\psi(n) \\ &= \sum_{[y]+1 \leq n \leq [x]} A(n)\psi(n) - \sum_{[y] \leq n \leq [x]-1} A(n)\psi(n-1) \\ &= A(x)\psi([x]) - A(y)\psi([y] + 1) - \sum_{[y] \leq n < [x]} A(n)(\psi(n+1) - \psi(n)) \\ &= A(x)\psi([x]) - A(y)\psi([y] + 1) - \int_{[y]+1}^{[x]} A(\xi)\psi'(\xi)d\xi. \end{aligned}$$

In order to conclude, one uses once more that A is constant on intervals of the form $[n, n+1)$ with $n \in \mathbb{Z}$.

b) We apply Abel summation with the constant sequence $a_n = 1$, so that $A(\xi) = [\xi]$, and $\psi(\xi) = \xi^{-s}$ with $\text{Re } s > 1$ to obtain that

$$\begin{aligned} \sum_{1/2 < n \leq N} \frac{1}{n^s} &= N^{1-s} - s \int_1^N \frac{[\xi]}{\xi^{s+1}} d\xi \\ &= N^{1-s} + s \int_1^N \frac{\xi - [\xi]}{\xi^{s+1}} d\xi - s \int_1^N \frac{d\xi}{\xi^s} \\ &= \frac{1-2s}{1-s} N^{1-s} + \frac{1}{1-s} - 1 + s \int_1^N \frac{\xi - [\xi]}{\xi^{s+1}} d\xi \\ &\xrightarrow{N \rightarrow \infty} \frac{1}{1-s} - 1 + s \int_1^\infty \frac{\xi - [\xi]}{\xi^{s+1}} d\xi. \end{aligned}$$

One checks that the function

$$s \mapsto \int_1^\infty \frac{\xi - [\xi]}{\xi^{s+1}} d\xi$$

is holomorphic on $\{s: \operatorname{Re}(s) > 0\}$ using the characterization of holomorphic functions via the Cauchy-Riemann equations and differentiation under the integral sign via dominated convergence.

c) This can be found in the lecture notes. We leave the details to the reader.