

1. a)
 b)
 c) Let $\varepsilon > 0$. Let $\mathcal{P}_\varepsilon$ be the set defined as

$$\mathcal{P}_\varepsilon := \{p \text{ prime} : p \leq e^{\frac{d}{\varepsilon}}\}.$$

By the definition of $\mathcal{P}_\varepsilon$ and by the well-known inequality $\ell + 1 \leq e^\ell$, which holds for all $\ell \in \mathbb{N}$, we have that

$$\frac{(\ell + 1)^d}{p^{\varepsilon\ell}} \leq 1 \quad \text{for all } p \notin \mathcal{P}_\varepsilon \text{ and } \ell \in \mathbb{N}.$$

Next, we define the positive real number M_ε as follows,

$$M_\varepsilon := \max_{\lambda \in [0, \infty)} \frac{(\lambda + 1)^d}{2^{\varepsilon\lambda}}.$$

Now, given a positive integer n with prime decomposition

$$n = p_1^{\ell_1} \cdots p_r^{\ell_r},$$

it follows from the results proven in 1a and 1b that

$$\frac{r_K(n)}{n^\varepsilon} \leq \prod_{i=1}^r \frac{(\ell_i + 1)^d}{p_i^{\varepsilon\ell_i}} \leq \prod_{\substack{1 \leq i \leq r \\ p_i \in \mathcal{P}_\varepsilon}} \frac{(\ell_i + 1)^d}{p_i^{\varepsilon\ell_i}} \leq \prod_{\substack{1 \leq i \leq r \\ p_i \in \mathcal{P}_\varepsilon}} \frac{(\ell_i + 1)^d}{2^{\varepsilon\ell_i}} \leq M_\varepsilon^{|\mathcal{P}_\varepsilon|}.$$

Thus

$$r_K(n) \leq C_\varepsilon n^\varepsilon,$$

where we have set $C_\varepsilon := M_\varepsilon^{|\mathcal{P}_\varepsilon|}$.

- d)
 2. a) We first prove the claim for the special case where $\mathfrak{a} \triangleleft \mathcal{O}_K$. As of Sh. 2 Ex. 2a it suffices to show that

$$\text{covol}(\sigma_\infty(\mathcal{O}_K)) = 2^{-r_2} |\text{disc}(K)|^{\frac{1}{2}}.$$

Let $(\alpha_1, \dots, \alpha_d) \in \mathcal{O}_K^d$ be a $\mathbb{Z}$ -basis of $\mathcal{O}_K$. Then

$$(\sigma_\infty(\alpha_1), \dots, \sigma_\infty(\alpha_d)) \in K_\infty$$

is a $\mathbb{Z}$ -basis for $\sigma_\infty(\mathcal{O}_K)$. We recall that for $r_1 < i \leq r_2$ and $z \in \mathcal{O}_K$ we have

$$\underbrace{\begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}}_{=A} \begin{pmatrix} \text{Re } \sigma_i(z) \\ \text{Im } \sigma_i(z) \end{pmatrix} = \begin{pmatrix} \sigma_i(z) \\ \overline{\sigma_i(z)} \end{pmatrix}$$

and therefore

$$\begin{aligned} \text{covol}(\sigma_\infty(\mathcal{O}_K)) &= \det(\sigma_\infty(\alpha_1), \dots, \sigma_\infty(\alpha_d)) \\ &= (\det A)^{-r_2} \det \underbrace{\begin{pmatrix} \sigma_1(\alpha_1) & \cdots & \sigma_1(\alpha_d) \\ \vdots & \ddots & \vdots \\ \sigma_d(\alpha_1) & \cdots & \sigma_d(\alpha_d) \end{pmatrix}}_{=\Delta}. \end{aligned}$$

We have seen that $\Delta^2 = \text{disc}(K)$. As $\det A = -2$, the claim follows.

Now suppose that $\mathfrak{f} \triangleleft K$ is a non-zero fractional ideal. We first define a $\mathbb{Q}$ -linear embedding $\iota: K \rightarrow \text{Mat}_d(\mathbb{R})$ as follows. Fix a $\mathbb{Z}$ -basis $(\omega_1, \dots, \omega_d) \in \mathcal{O}_K^d$ of $\mathcal{O}_K$ and recall that $(\sigma_\infty(\omega_1), \dots, \sigma_\infty(\omega_d)) \in (\mathbb{R}^d)^d$ is a basis of $\mathbb{R}^d$. Given $b \in K$, we define $\iota(b) \in \text{Mat}_d(\mathbb{R})$ by the unique linear extension of

$$\forall 1 \leq i \leq d \quad \sigma_\infty(\omega_i) \iota(b) = \sigma_\infty(\omega_i b).$$

Note that the diagram

$$\begin{array}{ccc} K & \xrightarrow{\sigma_\infty} & \mathbb{R}^d \\ [\times b]_{K/\mathbb{Q}} \downarrow & & \downarrow \iota(b) \\ K & \xrightarrow{\sigma_\infty} & \mathbb{R}^d \end{array}$$

commutes. Hence density of $\sigma_\infty(K) \subseteq \mathbb{R}^d$ implies that $\det(\iota(b)) = \det([\times b]_{K/\mathbb{Q}})$. Now suppose that $b \in \mathcal{O}_K$ and $\mathfrak{a} \triangleleft \mathcal{O}_K$ satisfy that $\mathfrak{f} = b^{-1} \cdot \mathfrak{a} = [\times b]_{K/\mathbb{Q}}(\mathfrak{a})$. Then

$$\begin{aligned} \text{covol}(\sigma_\infty(\mathfrak{f})) &= \text{covol}(\sigma_\infty([\times b^{-1}]_{K/\mathbb{Q}}(\mathfrak{a}))) \\ &= \text{covol}(\sigma_\infty(\mathfrak{a}) \iota(b)^{-1}) \\ &= |\det(\iota(b))|^{-1} \text{covol}(\sigma_\infty(\mathfrak{a})) \\ &= |\text{Nr}_{K/\mathbb{Q}}(b)|^{-1} 2^{-r_2} |\text{disc}(\mathcal{O}_K)|^{\frac{1}{2}} \text{Nr}(\mathfrak{a}) \\ &= 2^{-r_2} |\text{disc}(\mathcal{O}_K)|^{\frac{1}{2}} \text{Nr}(\mathfrak{f}). \end{aligned}$$

- b) Let $(\alpha_1, \dots, \alpha_d) \in K^d$ a $\mathbb{Q}$ -basis of K . Then $(\alpha_1 \otimes 1, \dots, \alpha_d \otimes 1) \in (K \otimes_{\mathbb{Q}} \mathbb{R})^d$ is an $\mathbb{R}$ -basis of $K \otimes_{\mathbb{Q}} \mathbb{R}$. We define a linear map $\Phi: K \otimes_{\mathbb{Q}} \mathbb{R} \rightarrow \mathbb{R}^{r_1} \oplus \mathbb{C}^{r_2}$ by linearly extending

$$\Phi(\alpha_i \otimes 1) = \sigma_\infty(\alpha_i) \quad (1 \leq i \leq d).$$

The verification that Φ defines a homomorphism of $\mathbb{R}$ -algebras is purely formal. As

$$\dim_{\mathbb{R}}(K \otimes \mathbb{R}) = \dim_{\mathbb{Q}} K = d = \dim_{\mathbb{R}}(\mathbb{R}^{r_1} \oplus \mathbb{C}^{r_2}),$$

it suffices to prove that Φ is injective. This follows from

$$(\Phi(\alpha_1 \otimes 1), \dots, \Phi(\alpha_d \otimes 1)) = (\sigma_\infty \alpha_1, \dots, \sigma_\infty \alpha_d)$$

being linearly independent over $\mathbb{R}$ as was proven in class.

3. One calculates

$$a_2 = \left(\frac{4\pi}{2 \cdot 4} \right)^2 = \frac{\pi^2}{4} > 2$$

and

$$\frac{a_{d+1}}{a_d} = \left(\frac{(d+1)^{d+1}}{d^d} \frac{1}{d+1} \left(\frac{\pi}{4} \right)^{\frac{1}{2}} \right)^2 = \frac{\pi}{4} \left(1 + \frac{1}{d} \right)^{2d}.$$

Now we note that

$$\left(1 + \frac{1}{d} \right)^{2d} = 1 + 2d \frac{1}{d} + \sum_{k=2}^{2d} \binom{2d}{k} d^{-k} \geq 3$$

and conclude that

$$\frac{a_{d+1}}{a_d} \geq \frac{3\pi}{4} > 1.$$

As of Minkowski's bound, we can find a non-zero ideal $\mathfrak{a} \triangleleft \mathcal{O}_K$ such that

$$1 \leq \text{Nr}(\mathfrak{a})^2 \leq \left(\frac{d!}{d^d} \left(\frac{4}{\pi} \right)^{r_2} \right)^2 |\text{disc}(K)|$$

and hence

$$|\text{disc}(K)| \geq \left(\frac{d^d}{d!} \right)^2 \left(\frac{\pi}{4} \right)^{2r_2} \geq \left(\frac{d^d}{d!} \right)^2 \left(\frac{\pi}{4} \right)^d = a_d \geq 2.$$

4. Given a number field $K/\mathbb{Q}$, we let $\Delta_K = \text{disc}(\mathcal{O}_K)$. Given $d, n \geq 2$ integers, define $\gamma_d(n)$ by

$$n^{\gamma_d(n)} = \frac{d!}{d^d} \left(\frac{4}{\pi} \right)^{r_2}.$$

Hence, if K is a number field of degree $d \geq 2$, since $|\Delta_K| \geq 2$, we obtain

$$\frac{d!}{d^d} \left(\frac{4}{\pi} \right)^{r_2} |\Delta_K|^{\frac{1}{2}} = |\Delta_K|^{\frac{1}{2} + \gamma_d(|\Delta_K|)}.$$

As of Ex. 1d and Minkowski's bound, for every $\varepsilon > 0$ there is $C_\varepsilon > 0$ such that

$$|\text{Cl}(K)| \leq \left| \left\{ \mathfrak{a} \triangleleft \mathcal{O}_K : \text{Nr}(\mathfrak{a}) \leq |\Delta_K|^{\frac{1}{2} + \gamma_d(|\Delta_K|)} \right\} \right| \leq C_\varepsilon |\Delta_K|^{\frac{1}{2} + \frac{\varepsilon}{2} + 2\gamma_d(|\Delta_K|)}.$$

Note that $\lim_{n \rightarrow \infty} \gamma_d(n) = 0$. Hence the claim follows after enlarging C_ε to account for the finitely many n satisfying $n^{\frac{\varepsilon}{2} + 2\gamma_d(n)} \geq n^\varepsilon$.

5.
6. As proven in the preceding exercise, $\text{Cl}(K)$ is generated by prime ideals lying above primes satisfying the bound

$$1 \leq a \leq \frac{4}{\pi} \cdot \frac{2!}{2^2} \cdot \sqrt{19} < 3.$$

In order to determine $\text{Cl}(K)$, we thus need to find the factorization of (2).

Note that we have

$$\mathcal{O}_K = \mathbb{Z}[\theta] \quad \text{with} \quad \theta = \frac{1 + \sqrt{-19}}{2},$$

with the minimal polynomial of θ given by

$$P(X) = X^2 - X + 5.$$

Now, observing that

$$P(X) \equiv X^2 - X + 1$$

and since $P(X)$ is irreducible mod 2 using Dedekind recipe, we see that (2) is in fact prime. Hence every ideal in $\mathcal{O}_K$ is equivalent to a principal ideal. In other words, $\text{Cl}(\mathcal{O}_K)$ is trivial.

7.

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81 # PRE: Integer D
2  # POST: If D is not a perfect square, the class number of
3  #       the quadratic field QQ(sqrt(D)). Otherwise 1.
4 def ClassNumber(D):
5     if is_square(D):
6         return 1
7     else:
8         z = sqrt(D)
9         K.<b> = QQ[z]
10        return K.class_number()
11

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12 # PRE: Positive integers T and n
13 # POST: The proportion of number fields with class number
14 #       n among quadratic fields of discriminant between 2
15 #       and T.
16 def ProportionClassNumber(T,n):
17     N = 0
18     R = 0
19     for D in range(T):
20         if is_fundamental_discriminant(D):
21             N += 1
22             h = ClassNumber(D)
23             if h == n:
24                 R += 1
25     return R/N
26
27 # PRE: Positive integers T and n
28 # POST: The proportion of number fields with class number
29 #       n among quadratic fields of prime discriminant
30 #       between 2 and T.
31 def ProportionClassNumberPrime(T,n):
32     N = 0
33     R = 0
34     for D in range(T):
35         if is_fundamental_discriminant(D) and is_prime(D):
36             N += 1
37             h = ClassNumber(D)
38             if h == n:
39                 R += 1
40     return R/N

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